# DSCI 572: Supervised Learning II Lecture 2

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# Feedforward Neural Networks

## Biological Inspiration

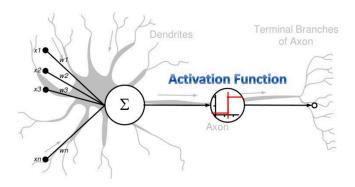


Figure: Information processing in the brain. Weights between neurons model whether they excite or inhibit one another. Activation can be viewed as firing rates. Activation functions and bias model the thresholded behavior of action potentials. (Recall: action potential is an electric impulse traveling through an axon when a neuron is excited above a threshold). [From Andrew Nelson]

# Hubel and Wiesel Cat Experiment



#### Listen to Neurons

Hubel and Wiesel could listen to the neurons of a cat firing as they moved lines of light in certain directions before the retina of a cat's eye. Listen [here] and [here].

## An Artificial Neuron



Figure: One neuron, with an activation function

## Neuron With Input

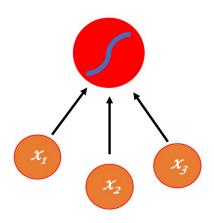


Figure: Input is a vector of **x** with three units, each taking an index **j** 

## A Vector For Connection Weights

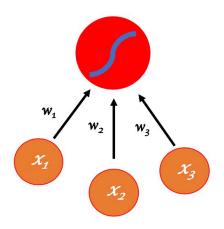


Figure: A vector of free parameters  $\mathbf{w}$  with several items, each taking an index i

#### A Bias Unit

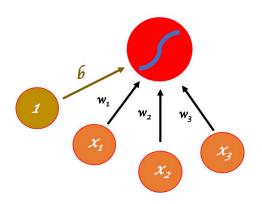


Figure: A bias unit b, equal to 1

## A Deep Neural Network

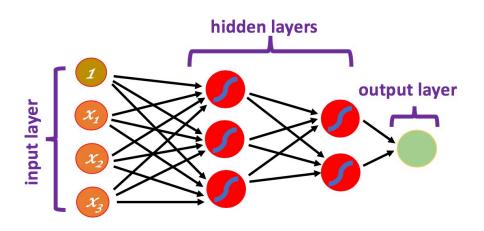


Figure: A deep neural network. The network has 2 hidden layers.

## Deep Feed Forward Networks

#### What are Feedforward Nets?

- Also called multilayer perceptrons (MLP).
- A mapping function of input x to a category y s.t.  $y = f(x; \theta)$
- Goal: To learn the value of the parameters  $\theta$ .
- It is a directed acyclic graph, e.g.,  $f(x) = f^{(3)}(f^{(2)}(f^{(1)}))$ .
- Each of the three functions is one layer, in the deep network.
- No feedback connections in which outputs of the model are fed back into itself, otherwise the network would be a recurrent neural network.

## Learning Good Representations

- We want to **identify a function**  $\phi(x)$  that we use to acquire a new representation of x.
- $\phi(x)$  defines a **hidden layer**.
- In DL, we actually **learn this function**  $\phi$ .
- We parametrize the representation as

$$\phi(x;\theta)$$

and use the **optimization algorithm** to find the  $\theta$  that corresponds to a good representation.

• In DL, we use gradient-based optimization.

### XOR Function

- The XOR function ("exclusive or") is an operation on two binary values,  $x_1$  and  $x_2$ .
- When exactly one of these binary values is equal to 1, the XOR function returns 1. Otherwise, it returns 0.

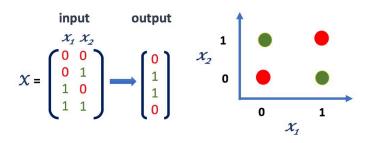


Figure: XOR Function

## A Simple Feedforward Neural Network

## a single hidden layer network

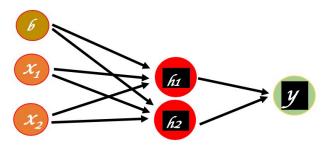


Figure: A simple feedforward network for solving XOR function. Connection weights from input to hidden will be a  $2 \times 2$  matrix  $\mathbf{W}$ . We will refer to the matrix as  $\mathbf{W}^{(1)}$  to denote it is the first layer matrix (input-to-hidden). Weights from hidden to output will be a vector  $\mathbf{w}^{(2)}$  of 2 dimensions. We will also add bias.

## A Feedforward Neural Network With Weights

## the network with weights

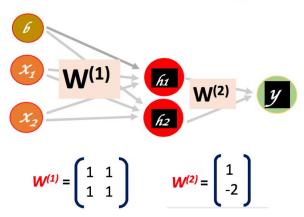


Figure: The network with weights. The hidden layer has one bias unit that is dropped from diagram, for simplicity.

## Components of a Feedforward Network (For Solving XOR)

$$W = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

$$c = \begin{bmatrix} 0 \\ -1 \end{bmatrix}$$

$$X = \begin{bmatrix} x_1 x_2 \\ 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{bmatrix}$$

$$w = \begin{bmatrix} 1 \\ -2 \end{bmatrix}$$

$$b = \begin{bmatrix} 0 \end{bmatrix}$$

Figure: Ingredients of the Feedforward Network. (Left) W: 1st layer weight matrix. w: second layer weight vector. c: input biases. (Right) X: input. b: hidden layer bias.

#### Pre-Activation in One Unit

**W**<sup>(1)</sup> is weight matrix for input-hidden connections.

$$W^{(1)} = {W_{11} \ W_{12}}$$

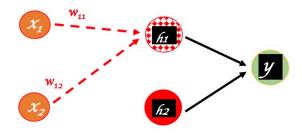


Figure: Connection weights from input to hidden in the  $2 \times 2$  matrix  $\mathbf{W}$  are indexed with  $\mathbf{i}$  (hidden unit index) and  $\mathbf{j}$  (input unit index). We refer to the matrix as  $\mathbf{W}^{(1)}$  to denote it is the first layer matrix (input-to-hidden). For simplification, bias is dropped.

## Pre-Activation in One Unit: More Connection Weights

**W**<sup>(1)</sup> is weight matrix for input-hidden connections.

$$W^{(1)} = \begin{array}{c} W_{11} & W_{12} \\ W_{21} & W_{22} \end{array}$$

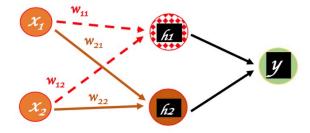


Figure: Connection weights from input to hidden in the  $2 \times 2$  matrix **W** are indexed with i (hidden unit index) and j (input unit index). We refer to the matrix as  $\mathbf{W}^{(1)}$  to denote it is the first layer matrix (input-to-hidden).

# Calculating a Feedforward Network (Compact)

#### 1: 1-Hidden Layer Feedforward Network

$$\mathbf{h} = f^{(1)}(\mathbf{x}; \mathbf{W}, \mathbf{c})$$

$$\mathbf{y} = f^{(2)}(\mathbf{h}; \mathbf{w}, b)$$

The Whole feedforward network then is:

$$f = (x; W, c, w, b)$$

# Computing Hidden Layer

- Let's call  $f^{(1)}$ : g, and its input: z, so that we have: g(z).
- g will be a nonlinear function, say a Rectified Linear Unit (ReLu), defined as: g(z) = max(0, z).
- The input  $z := W^T x + c$ . W: weight matrix.
- Two things happen: (1) The weighted summing, and (2) applying the activation function.

#### 2: Computing Hidden Layer

$$\mathbf{h} = g(\mathbf{W}^{\mathrm{T}}\mathbf{x} + \mathbf{c})$$

## Rectified Linear Unit (ReLu)

• For g, we use a non-linear activation function like ReLU:

#### 3: ReLU Non-Linear Activation Function

$$g(x) = max(0, x)$$

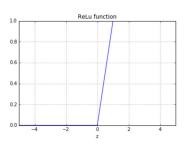


Figure: Rectified Linear Unit (ReLU). We use ReLU for hidden layer activations

## Computing Output Layer

- Let's call  $f^{(2)}$ : o.
- Its input is h, so that we have: o(h).
- o will be a sigmoid (since we do binary activation here).
- For multiclass, we use softmax.

#### 4: Computing Output Layer

Recall:

$$\mathbf{h} = g(\mathbf{W}^{\mathrm{T}}\mathbf{x} + \mathbf{c})$$

Now for output:

$$o = \mathbf{w}^{\mathrm{T}}\mathbf{h} + b.$$

## Components of a Feedforward Network (For Solving XOR) I

$$W = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

$$c = \begin{bmatrix} 0 \\ -1 \end{bmatrix}$$

$$X = \begin{bmatrix} x_1 x_2 \\ 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{bmatrix}$$

$$w = \begin{bmatrix} 1 \\ -2 \end{bmatrix}$$

$$b = \begin{bmatrix} 0 \end{bmatrix}$$

Figure: Ingredients of the Feedforward Network. (Left) W: 1st layer weight matrix. w: second layer weight vector. c: input biases. (Right) X: input. b: hidden layer bias.

## Calculating The Network II

We can now walk through the way that the model processes a batch of inputs. Let X be the design matrix containing all four points in the binary input space, with one example per row:

$$\boldsymbol{X} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{bmatrix}. \tag{6.7}$$

The first step in the neural network is to multiply the input matrix by the first layer's weight matrix:

$$XW = \begin{bmatrix} 0 & 0 \\ 1 & 1 \\ 1 & 1 \\ 2 & 2 \end{bmatrix}. \tag{6.8}$$

Next, we add the bias vector  $\boldsymbol{c}$ , to obtain

$$\begin{bmatrix} 0 & -1 \\ 1 & 0 \\ 1 & 0 \\ 2 & 1 \end{bmatrix}. \tag{6.9}$$

Figure: Calculating the Feedforward I. (Goodfellow et al., 2016, p. 176)



## Calculating The Network III

In this space, all of the examples lie along a line with slope 1. As we move along this line, the output needs to begin at 0, then rise to 1, then drop back down to 0. A linear model cannot implement such a function. To finish computing the value of  $\boldsymbol{h}$  for each example, we apply the rectified linear transformation:

$$\begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 1 & 0 \\ 2 & 1 \end{bmatrix} . \tag{6.10}$$

This transformation has changed the relationship between the examples. They no longer lie on a single line. As shown in figure 6.1, they now lie in a space where a linear model can solve the problem.

We finish by multiplying by the weight vector  $\boldsymbol{w}$ :

$$\begin{bmatrix} 0\\1\\1\\0 \end{bmatrix}. \tag{6.11}$$

Figure: Calculating the Feedforward II. (Goodfellow et al., 2016, p. 176)

## Sigmoid Units for Bernoulli Output Distributions

- Used for tasks requiring predicting a **binary value** for *y*.
- Example: positive vs. negative sentiment.

### 5: Sigmoid Function

$$\sigma(a)=\frac{1}{1+e^{-a}}.$$

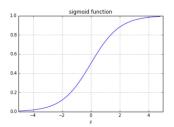


Figure: A plot of sigmoid.

## On Sigmoid Units

#### 6: Properties of Sigmoid

As activation becomes very small (with  $e^{very}$ \_large\_number), it gos to zero:

$$a \to -\infty : \sigma(a) \to 0$$

As activation becomes very large (with  $e^{-very}$ \_ $^{large}$ \_ $^{number}$ ), it gos to 1:

$$a \to \infty : \sigma(a) \to 1$$

If a = 0, the function outputs  $\frac{1}{2}$ 

The function is differentiable, with a nice form:

$$\Delta\sigma(a) = \sigma(a)(1 - \sigma(a))$$



## Sigmoid Code Example I

#### 0.502499979167

```
1 a=1000
2 print(sigmoid(a))
```

#### 1.0

```
1 a=-0.5
2 print(sigmoid(a))
```

#### 0.377540668798

## Sigmoid Code Example II

## Softmax Units for Categorical Output Distributions

- A Categorical distribution (also called generalized Bernoulli or Multinoulli) is a probability distribution over a discrete variable with n possible outcomes.
- Example: {joy, sadness, anger, surprise} for emotion is an example.
- We can use the **softmax** function for this.
- Softmax is often used as the output of a classifier, to represent a probability distribution of *n* different classes.
- To calculate a softmax, we produce a vector  $\hat{\mathbf{y}}$ , with  $\hat{y}_i = P(y = i|x)$ :

#### Softmax Output

- each element of  $\hat{y}_i$  is between 0 and 1.
- The **entire vector**  $\hat{\mathbf{y}}$  **sums to** 1 so that it represents a valid probability distribution.



#### Softmax

#### 7: Softmax

First, a linear layer predicts unnormalized log probabilities:

$$z = W^{T}h + b$$

where

$$z_i = \log \hat{P}(y = i|x)$$

Then the softmax can exponentiate and normalize z to obtain  $\hat{y}$ :

$$softmax(z)_i = \frac{exp(z_i)}{\sum_c exp(z_c)}.$$

Where the sum is over all the units/classes (= same number of classes we are predicting).

We then predict the class with the highest predicted probability.