#### **ARN - Practical work 4**

#### **Group information:**

- First names1 Last names1 Rodrigo LOPEZ DOS SANTOS
- First names2 Last names2 Urs BEHRMANN

#### Learning algorithm

We will use the same learning algorithm for the first 3 experiments: RMSprop. We will also use the same parameters for all the experiments.

Optimizer:	RMSprop
learning_rate:	0.001
momentum:	0.1
loss:	categorical_crossentropy
batch_size:	128
n_epoch:	50

The equation for the loss function is:

$$E[g^{2}]_{t} = \beta E[g^{2}]_{t-1} + (1 - \beta)(\frac{\delta C}{\delta w})^{2}$$

$$w_{t} = w_{t-1} - \frac{\eta}{\sqrt{E[g^{2}]_{t}}} \frac{\delta C}{\delta w}$$

#### How the number of weights is calculated

The number of weights between two layers is calculated by multiplying the number of neurons in the first layer by the number of neurons in the second layer. We also add the number of biases in the second layer.

$$P = \sum_{l=1}^{l-1} (N_l \times N_{l+1}) + N_{l+1}$$

#### **Example**

The input layer has 784 neurons and the output layer has 10 neurons.

If we have a hidden layer with 16 neurons, the number of weights is calculated as follows:

Layer 1:  $784 \times 16 + 16 = 12560$ 

Layer 2:  $16 \times 10 + 10 = 170$ 

Total: 12560 + 170 = 12730

Inputs 392

# Do deeper networks have more weights than shallow ones?

The answer is perhaps. The number of weights in a neural network is not only determined by the number of layers, but also by the number of neurons in each layer. A deep network with few neurons in each layer can have fewer weights than a shallow network with many neurons in each layer.

The performance of a neural network is also not only determined by the number of weights. A deep network can have a better performance than a shallow network with the same number of weights. It is important to find the right balance between the number of layers and the number of neurons in each layer. Also activation functions and learning algorithms play an important role in the performance of a neural network.

## **MLP** from raw data

We will test three different models with different number of neurons in the hidden layers.

For the first model, we chose a low number of neurons in the hidden layers to see how the model would perform with insufficient capacity. We hope to see the highest error rate in this model.

For the second model, we chose a number of neurons in the hidden layers that is higher than the number of neurons in the input layer. We hope to see a better performance than the first model.

For the third model, we chose a high number of neurons in the hidden layers to see how the model would perform with a high capacity. We hope to see the lowest error rate in this model, but we also expect to see overfitting.

We have the same number of inputs and outputs for all models. The input layer has 784 neurons and the output layer has 10 neurons.

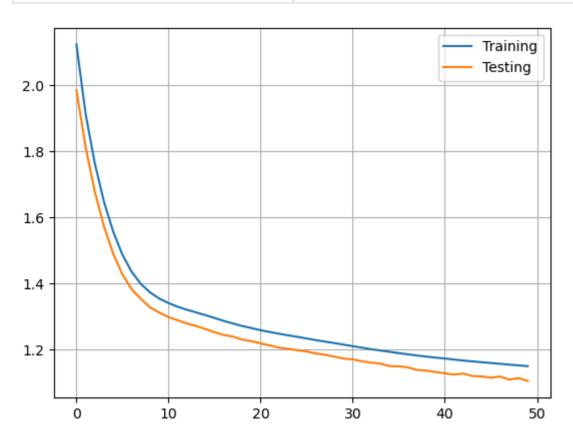
#### First model

#### model = Sequential

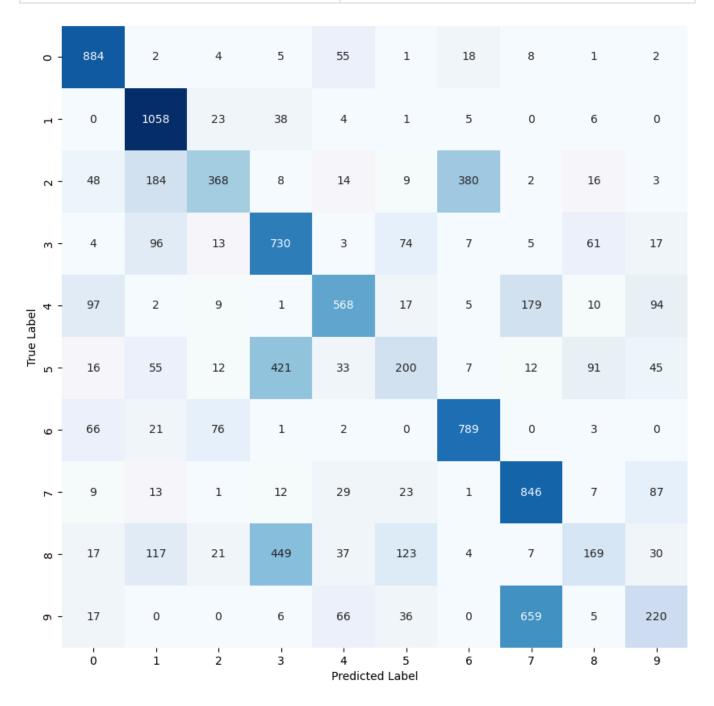
Layer (type)	Output Shape	Param #
dense (Dense)	(None, 2)	1,570
dense_74 (Dense)	(None, 10)	30

Total params:	1,600 (6.25 KB)
Trainable params:	1,600 (6.25 KB)
Non-trainable params:	0 (0.00 B)

Test score:	1.1609300374984741
Test accuracy:	0.5831999778747559



F1 macro Score:	0.5442219669271385
F1 weighted Score:	0.5492755535704517
F1 micro Score:	0.5832



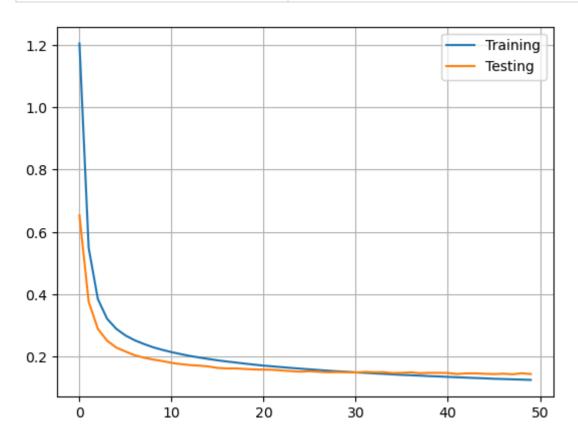
# Second model

#### model = Sequential

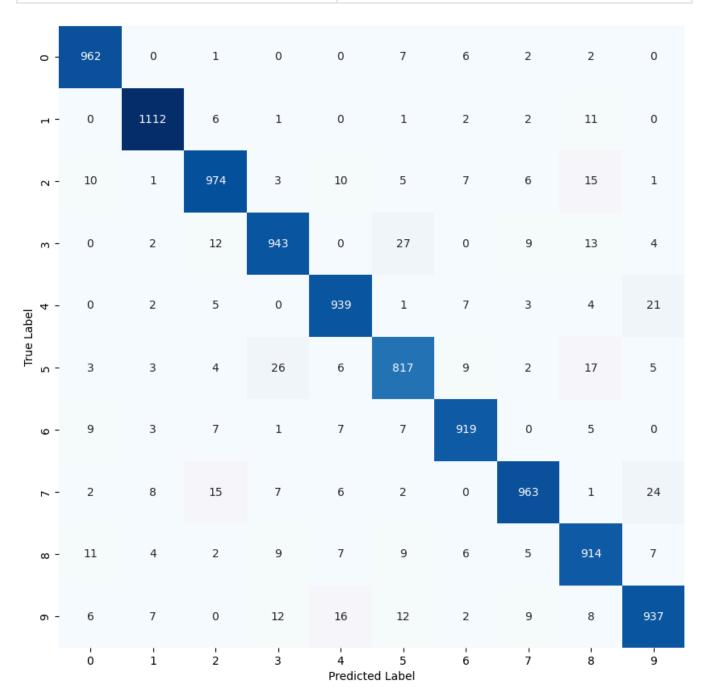
Layer (type)	Output Shape	Param #
dense_72 (Dense)	(None, 16)	12,560
dense_74 (Dense)	(None, 10)	170

Total params:	12,730 (49.73 KB)
Trainable params:	12,730 (49.73 KB)
Non-trainable params:	0 (0.00 B)

Test score:	0.17095278203487396
Test accuracy:	0.9480000138282776



F1 macro Score:	0.9473270917753236
F1 weighted Score:	0.9479600401672442
F1 micro Score:	0.948



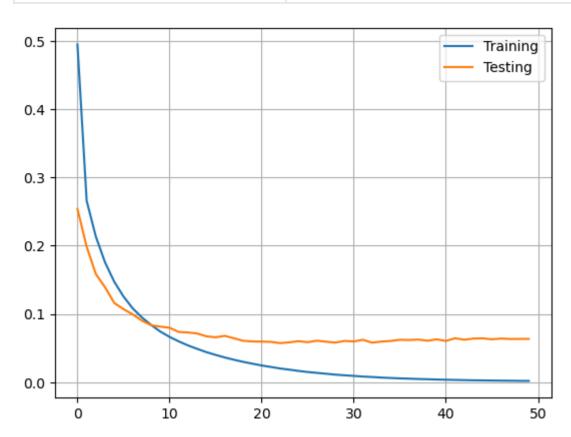
# Third model

#### model = Sequential

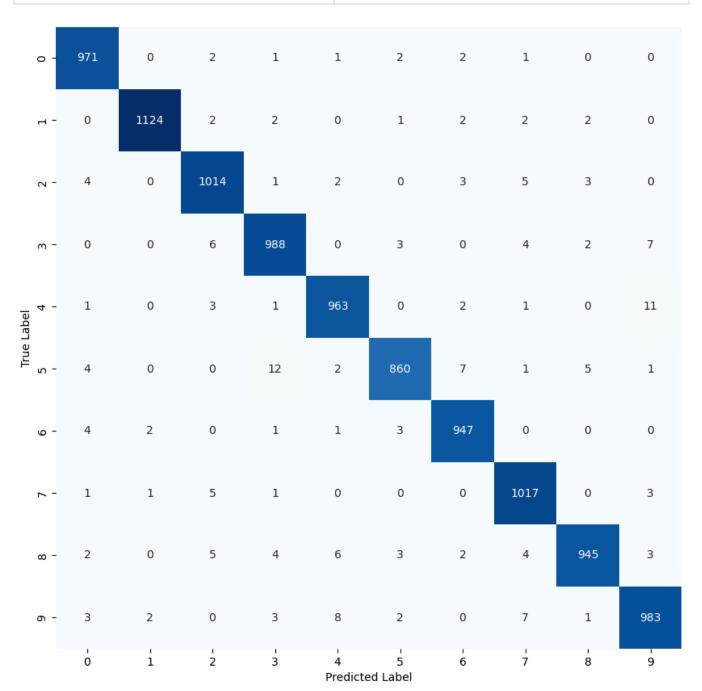
Layer (type)	Output Shape	Param #
dense (Dense)	(None, 254)	199,390
dense (Dense)	(None, 10)	2,550

Total params:	201,940 (788.83 KB)
Trainable params:	201,940 (788.83 KB)
Non-trainable params:	0 (0.00 B)

Test score:	0.06881905347108841
Test accuracy:	0.9811999797821045



F1 macro Score:	0.9809796904590385
F1 weighted Score:	0.9811910297485315
F1 micro Score:	0.9812



#### Conclusion of the first exercise

First model has the highest error rate, as expected, because it has the lowest capacity. There are confusions between number 2 and 6, 5 is often classified as 3, 8 as 3 and 9 as 7. This is probably because the model is not complex enough to distinguish between these numbers.

The second model has a better performance than the first model, but it is still not as good as the third model. There are no major confusions in this model.

The third model has the lowest error rate, but it is also the model with the highest capacity. We can see in the performance plots that the third model is overfitting the training data. As the second model, there are no major confusions in this model.

# **MLP form HOG**

We will test three different models with different number of pix\_p\_cells, orientations and hidden neurons.

The first one, we will keep the parameters as we got them in den notebook.

For the second one, we will increase the number of pix\_p\_cells to 7 and increase the hidden neurons to 16.

For the third one, we will increase the number of orientations to 16.

#### First model

model = Sequential

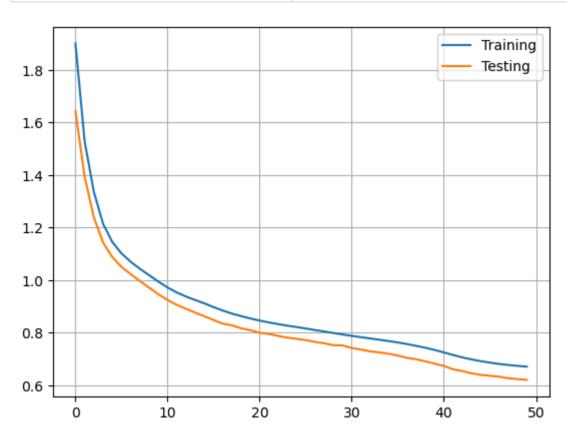
Input layer: 392 neurons Output layer: 10 neurons

pix_p_cell:	4
orientations:	8

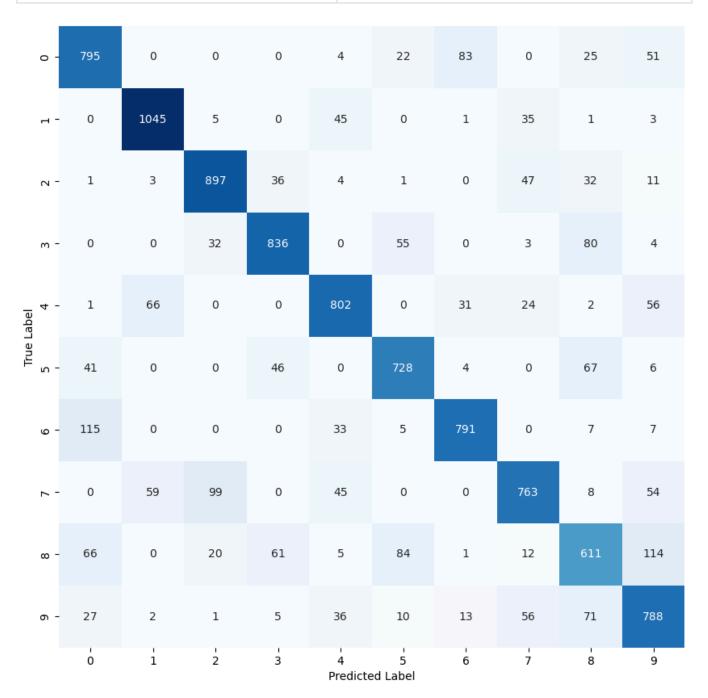
Layer (type)	Output Shape	Param #
dense (Dense)	(None, 2)	786
dense_74 (Dense)	(None, 10)	30

Total params:	816 (3.19 KB)
Trainable params:	816 (3.19 KB)
Non-trainable params:	0 (0.00 B)

Test score:	0.6384848356246948
Test accuracy:	0.8055999875068665



F1 macro Score:	0.8034473897606494
F1 weighted Score:	0.8050793032002753
F1 micro Score:	0.805600000000001



# Second model

model = Sequential

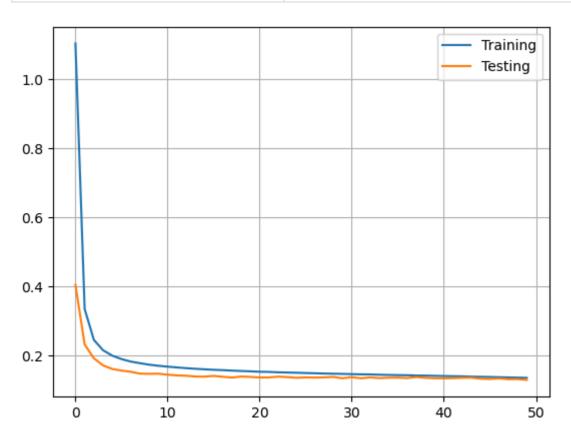
Input layer: 128 Output layer: 10

pix_p_cell:	7
orientations:	8

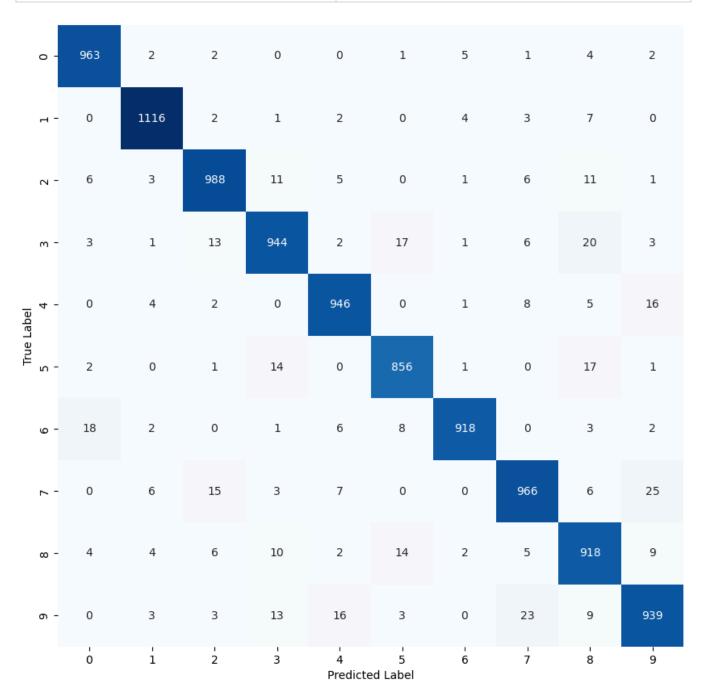
Layer (type)	Output Shape	Param #
dense_72 (Dense)	(None, 16)	2,064
dense_74 (Dense)	(None, 10)	170

Total params:	2,234 (8.73 KB)
Trainable params:	2,234 (8.73 KB)
Non-trainable params:	0 (0.00 B)

Test score:	0.13750861585140228
Test accuracy:	0.9553999900817871



F1 macro Score:	0.9551721269922793
F1 weighted Score:	0.9554019788594343
F1 micro Score:	0.9554



# Third model

model = Sequential

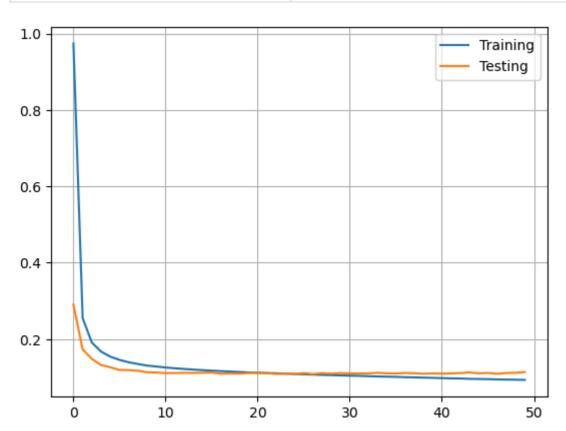
Input layer: 256 Output layer: 10

pix_p_cell:	7
orientations:	16

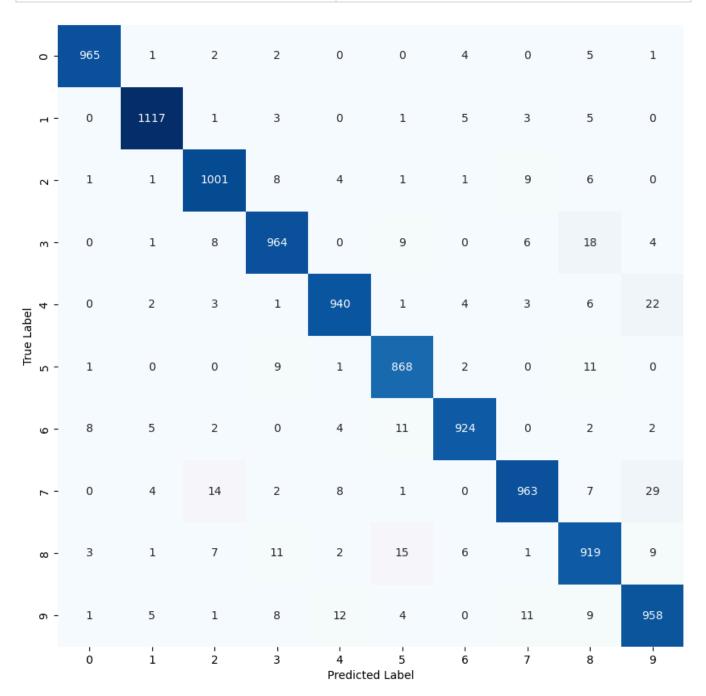
Layer (type)	Output Shape	Param #
dense (Dense)	(None, 16)	4,112
dense (Dense)	(None, 10)	170

Total params:	4,282 (16.73 KB)
Trainable params:	4,282 (16.73 KB)
Non-trainable params:	0 (0.00 B)

Test score:	0.1171414703130722
Test accuracy:	0.961899995803833



F1 macro Score:	0.9616971327055737
F1 weighted Score:	0.9619184118610641
F1 micro Score:	0.9619



#### Conclusion of the second exercise

We don't have any confusion between the numbers in this exercise, even the first model which has the lowest performance. This is probably because the model is complex enough to distinguish between these numbers and we don't have too difficult numbers to distinguish.

The first model has the lowest performance, as expected, because it has the lowest capacity. But it has still a good performance: ~80% accuracy. This is better than the first model of the first exercise which has the same capacity.

The second model has a better performance, but the training stops at ~40 epochs. This is probably because the model is overfitting the training data.

The third model has the best performance, but it is also the one which overfits the most. It begins to overfit the training data at ~20 epochs.

# **CNN**

In this exercise, we will test three different models with different number of neurons in the feedforward part. We will start with a low number of neurons and increase the number of neurons in each model.

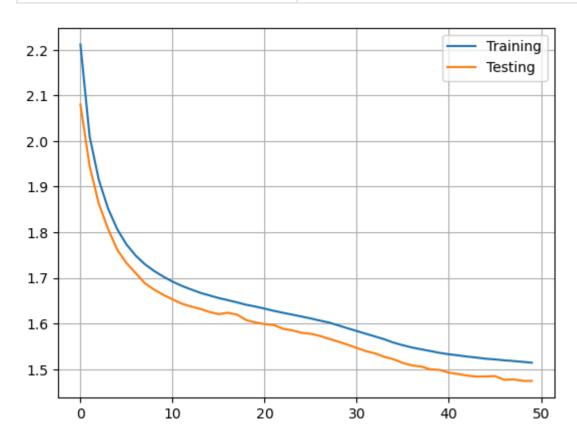
#### First model

#### model = functional

Layer	Output Shape	Param #
I0 (InputLayer)	(None, 28, 28, 1)	0
I1 (Conv2D)	(None, 28, 28, 2)	10
I1_mp (MaxPooling2D)	(None, 14, 14, 2)	0
I2 (Conv2D)	(None, 14, 14, 2)	18
l2_mp (MaxPooling2D)	(None, 7, 7, 2)	0
I3 (Conv2D)	(None, 7, 7, 2)	18
l3_mp (MaxPooling2D)	(None, 3, 3, 2)	0
flat (Flatten)	(None, 18)	0
I4 (Dense)	(None, 2)	38
I5 (Dense)	(None, 10)	30

Total params:	114 (456.00 B)
Trainable params:	114 (456.00 B)
Non-trainable params:	0 (0.00 B)

Test score:	1.4822582006454468
Test accuracy:	0.3634999990463257



F1 macro Score:	0.2766729045340783
F1 weighted Score:	0.28665495444409084
F1 micro Score:	0.363499999999999

0 -	0	75	3	5	703	0	0	0	159	35
н -	0	1072	0	3	56	0	0	0	2	2
2 -	0	7	100	388	24	0	0	163	107	243
m -	0	0	75	379	3	0	0	410	24	119
True Label	0	90	1	6	635	0	0	0	201	49
True 5	0	18	41	59	180	0	0	5	338	251
φ-	0	863	0	0	89	0	0	0	4	2
7 -	0	0	42	186	4	0	0	695	28	73
ω -	0	16	43	57	237	0	0	6	357	258
ი -	0	0	117	279	49	0	0	33	134	397
	Ó	i	2	3	4 Predicte	5 ed Label	6	7	8	9

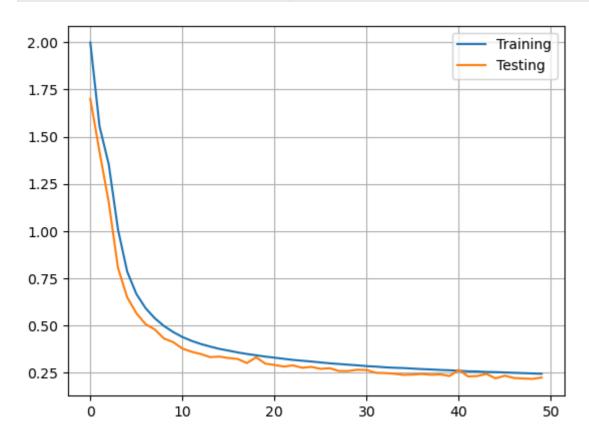
# Second model

#### model = functional

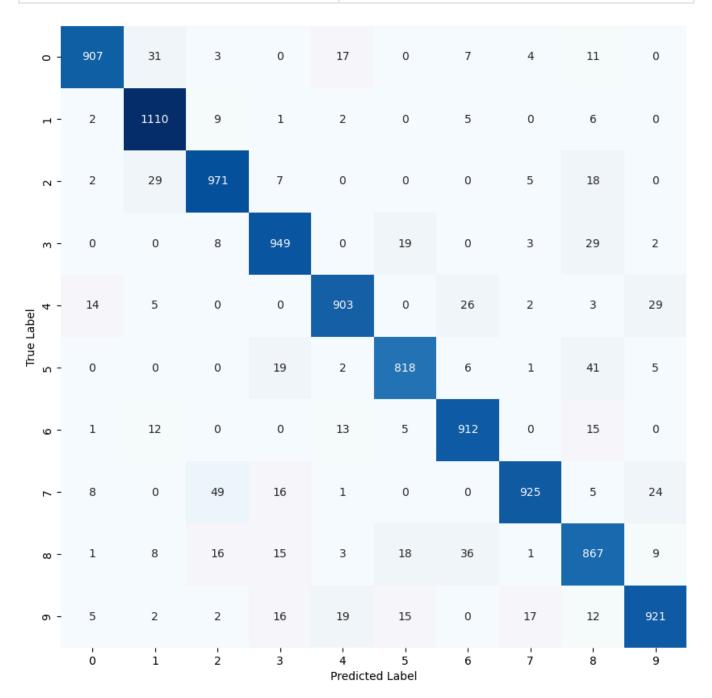
Layer	Output Shape	Param #
I0 (InputLayer)	(None, 28, 28, 1)	0
I1 (Conv2D)	(None, 28, 28, 4)	20
I1_mp (MaxPooling2D)	(None, 14, 14, 4)	0
I2 (Conv2D)	(None, 14, 14, 8)	136
I2_mp (MaxPooling2D)	(None, 7, 7, 8)	0
I3 (Conv2D)	(None, 7, 7, 8)	264
I3_mp (MaxPooling2D)	(None, 3, 3, 8)	0
flat (Flatten)	(None, 72)	0
I4 (Dense)	(None, 4)	292
I5 (Dense)	(None, 10)	50

Total params:	762 (2.98 KB)
Trainable params:	762 (2.98 KB)
Non-trainable params:	0 (0.00 B)

Test score:	0.24304336309432983
Test accuracy:	0.9283000230789185



F1 macro Score:	0.9279060132915193
F1 weighted Score:	0.9283349237758494
F1 micro Score:	0.9283



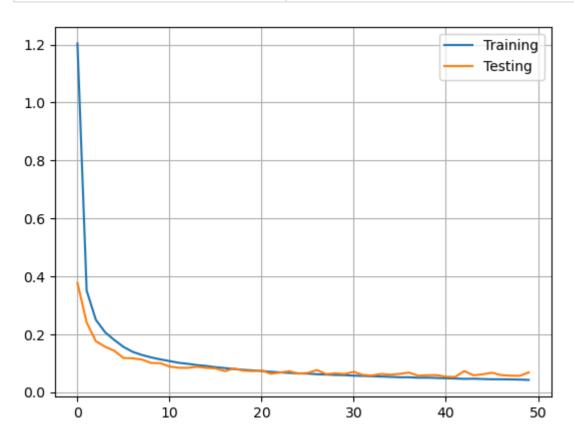
# Third model

#### model = functional

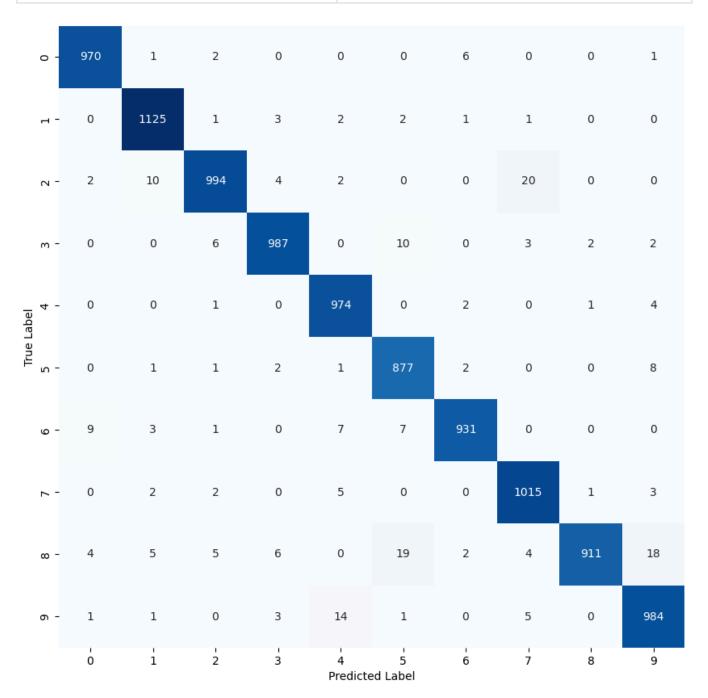
Layer	Output Shape	Param #
I0 (InputLayer)	(None, 28, 28, 1)	0
I1 (Conv2D)	(None, 28, 28, 8)	40
I1_mp (MaxPooling2D)	(None, 14, 14, 8)	0
I2 (Conv2D)	(None, 14, 14, 16)	528
I2_mp (MaxPooling2D)	(None, 7, 7, 16)	0
I3 (Conv2D)	(None, 7, 7, 16)	1040
I3_mp (MaxPooling2D)	(None, 3, 3, 16)	0
flat (Flatten)	(None, 144)	0
I4 (Dense)	(None, 8)	1160
I5 (Dense)	(None, 10)	90

Total params:	2,858 (11.16 KB)
Trainable params:	2,858 (11.16 KB)
Non-trainable params:	0 (0.00 B)

Test score:	0.07541753351688385
Test accuracy:	0.9768000245094299



F1 macro Score:	0.9765884358846029
F1 weighted Score:	0.97675954914543
F1 micro Score:	0.9768



#### Conclusion of the third exercise

The first model has the lowest performance, as expected, because it has the lowest capacity. It has the worst performance from all first models with a  $\sim$ 36% accuracy. There are confusions between number 2 and 6, 5 is often classified as 3, 8 as 3 and 9 as 7. This is probably because the model is not complex enough to distinguish between these numbers. It could only classify 1 really good and 4 and 7 around 70% of the time. All other numbers are classified around correctly 40% or less .

The second model has a better performance, but the training isn't quite finished with 50 epochs. This shows in the confusion matrix, where it only gets to ~93% accuracy. There are no major confusions in this model. This model has only a few minor confusions, like 7 with 2, 5 with 8, 0 with 1. Probably because the images were more difficult to distinguish.

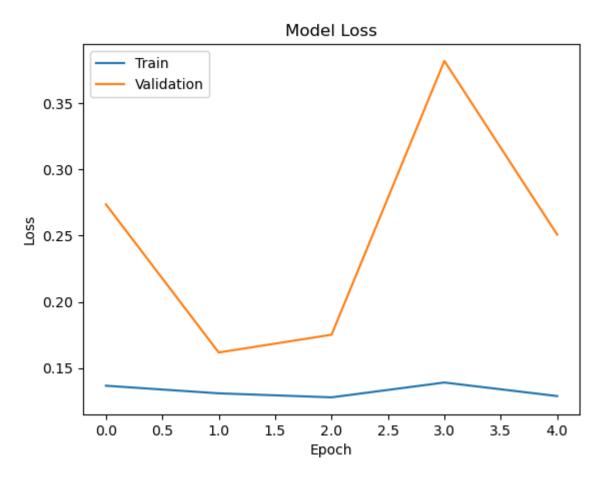
The third model has the best performance, but it is also the one which overfits the most. It begins to overfit the training data at ~20 epochs. It still does have the best performance of this experiment with a ~97% accuracy. A the second model, there are no major confusions in this model. We have negligible confusions between 9 with 4, 8 with 5, 2 with 7. Same as the second model, probably because the images were more difficult to distinguish, but it could classify images which the second model couldn't.

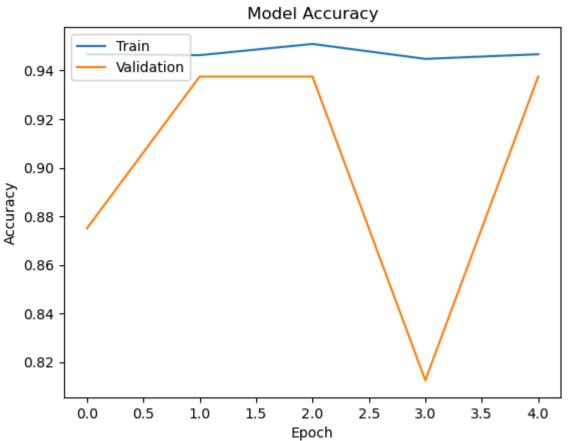
# CNN for chest x-ray pneumonia recognition

# Weights

Layer	Output Shape	Param #
input_layer	(None, 128, 128, 1)	0
conv_1 (Conv2D)	(None, 128, 128, 8)	16
max_pooling_1	(None, 64, 64, 8)	0
conv_2 (Conv2D)	(None, 64, 64, 16)	144
max_pooling_2	(None, 32, 32, 16)	0
conv_3 (Conv2D)	(None, 32, 32, 32)	544
max_pooling_3	(None, 16, 16, 32)	0
conv_4 (Conv2D)	(None, 16, 16, 64)	2,112
max_pooling_4	(None, 8, 8, 64)	0
conv_5 (Conv2D)	(None, 8, 8, 128)	8,320
max_pooling_5	(None, 4, 4, 128)	0
flatten_7	(None, 2048)	0
dense_21 (Dense)	(None, 32)	65,568
dense_22 (Dense)	(None, 16)	528
dense_23 (Dense)	(None, 1)	17

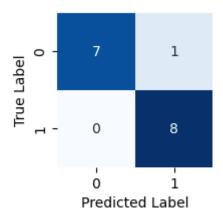
Total params:	77,249 (301.75 KB)





#### **Validation**

F1 score:	0.8889



#### Conclusion of the fourth exercise

The model has a good performance with a ~89% accuracy. It only classified one of the 16 images wrong. The model could be improved by increasing the number of epochs. At the 3rd epoch, we have a dip in validation accuracy, which could be improved by training the model for more epochs.

I tried to see the differences between the images with pneumonia and the images without pneumonia. I couldn't see any differences between the images. While I could classify the numbers correctly in the previous exercises. This CNN model could probably have a 100% accuracy if we had trained it at the number classification task.