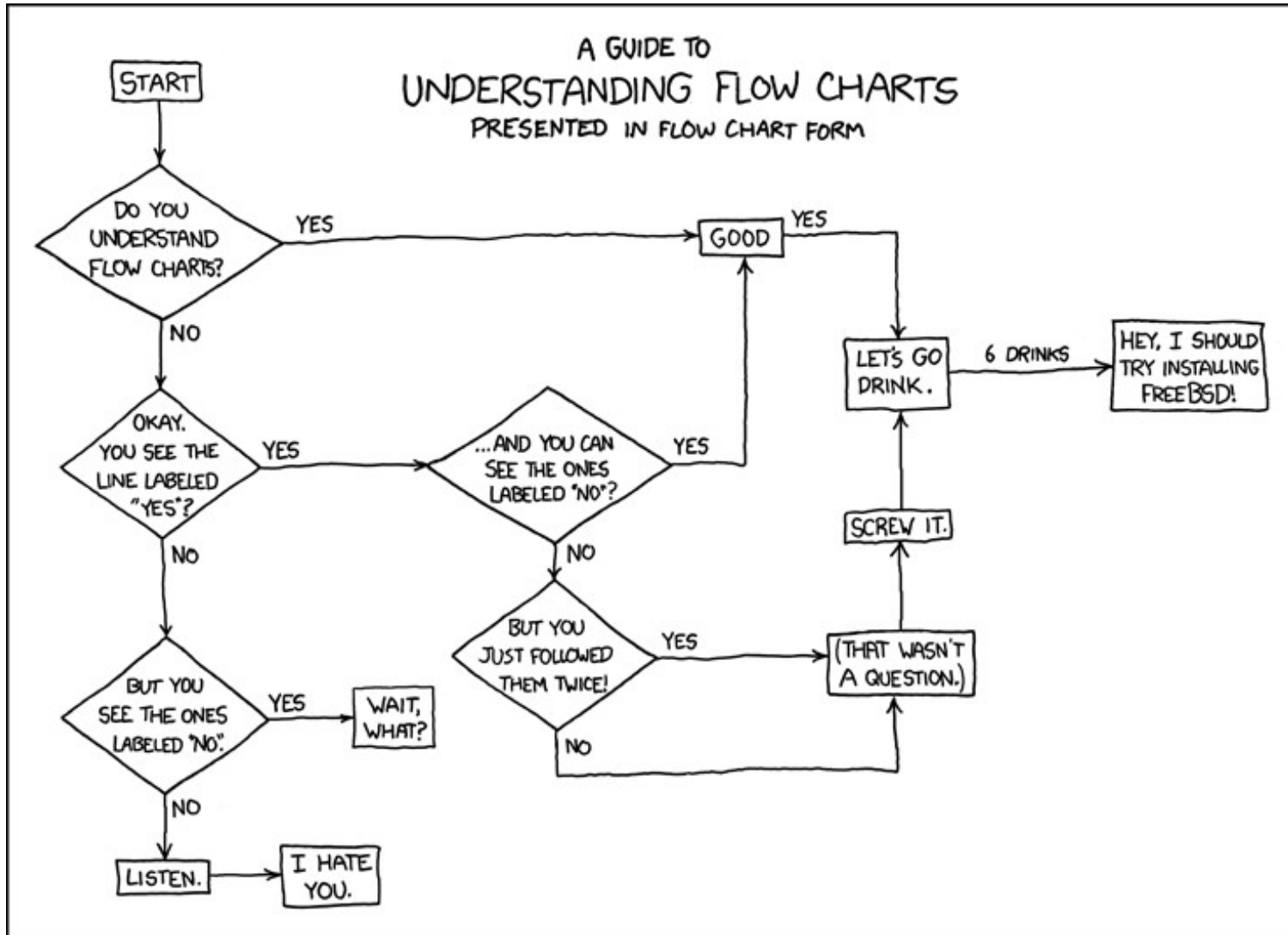


# ICS Summer Academy Session II

## Topic 4: Decision Trees

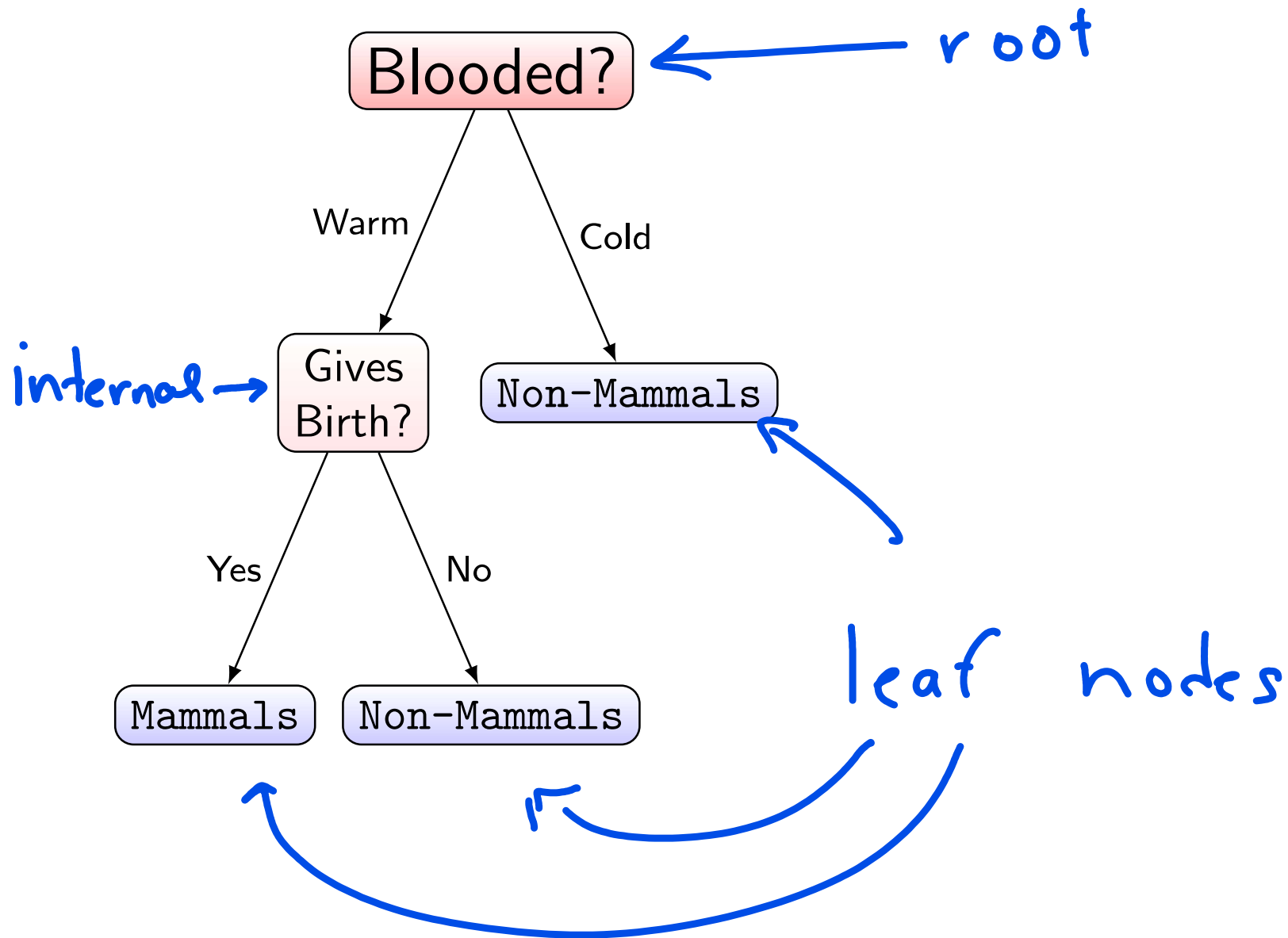
Michael Shindler

# An aside: Flowcharts

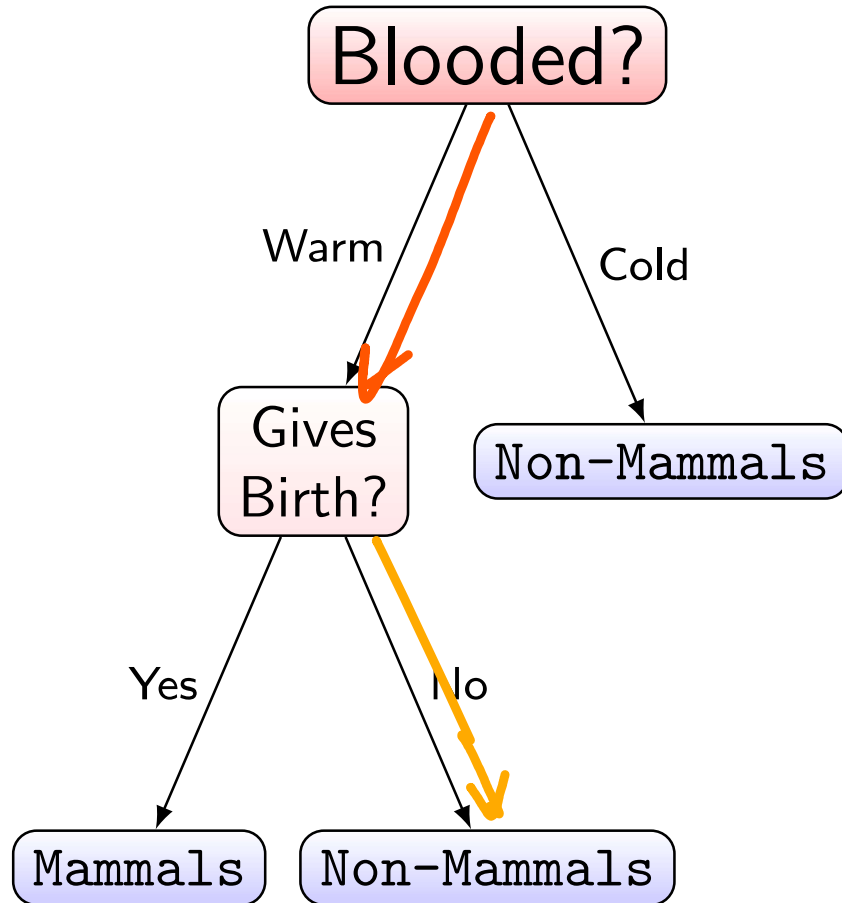


- ▶ XKCD # 518: Flow Charts. At 8 drinks, you switch the torrent from freeBSD to Microsoft Bob. C'mon, it'll be fun!
- ▶ Your professor is not endorsing underage drinking

# What is a decision tree?



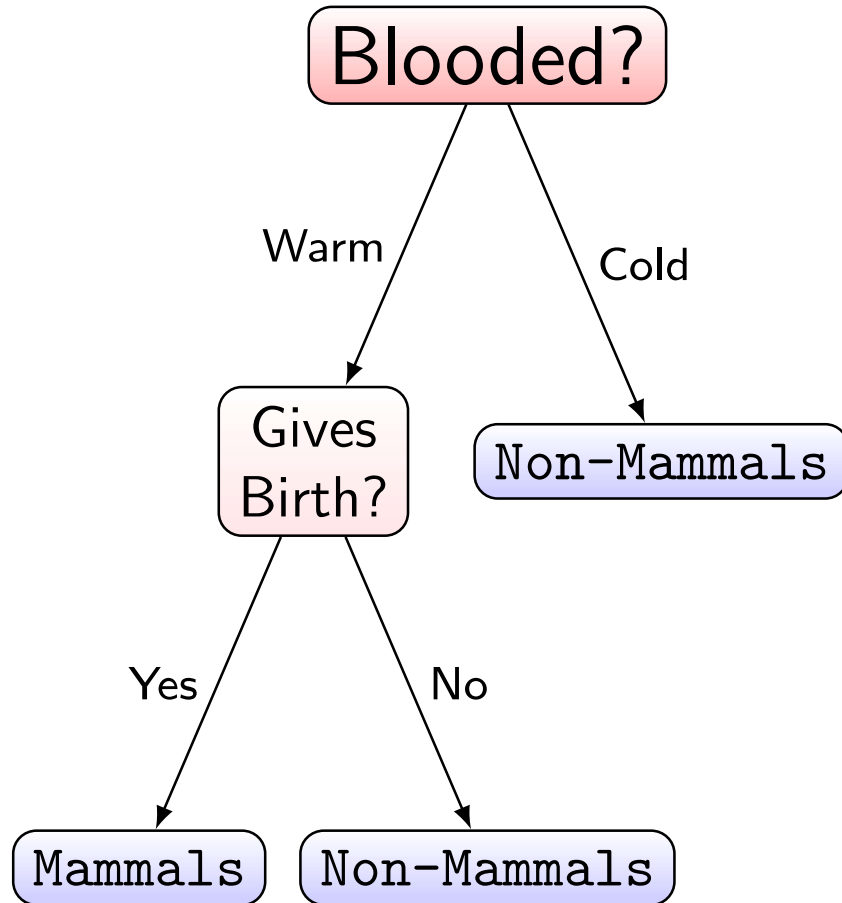
# What is a decision tree?



Is a Flamingo a mammal or not?

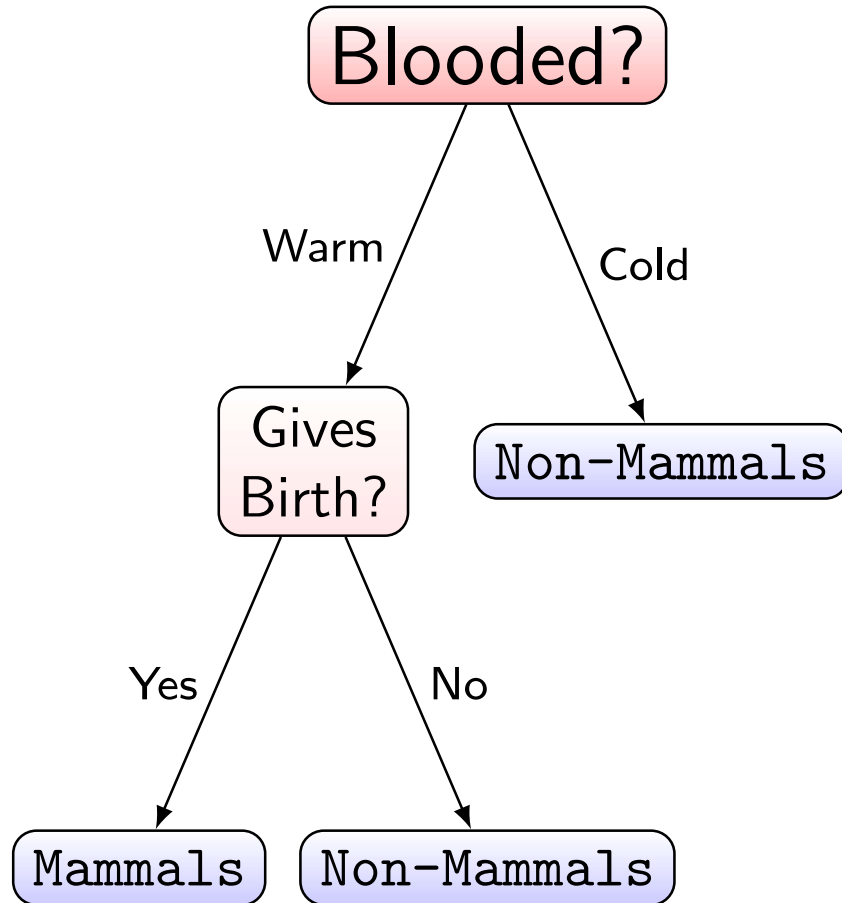
It is warm-blooded and does not give birth.

# What is a decision tree?



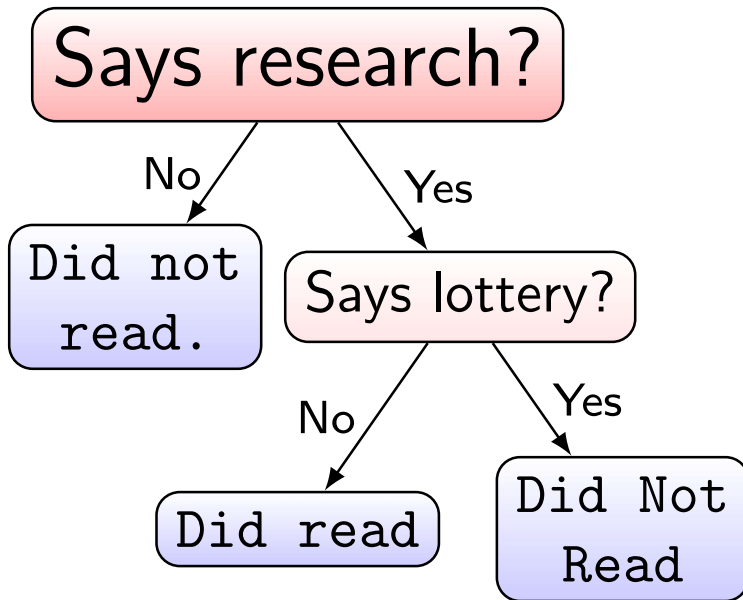
A decision tree represents a disjunction of conjunctions.  
What does this represent for *Mammals*?

# What is a decision tree?

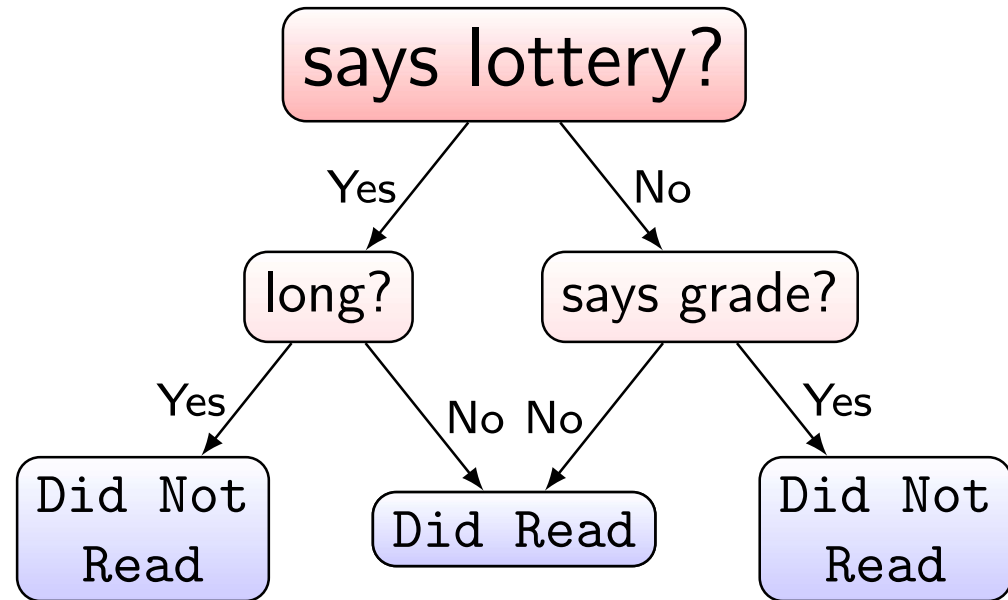


A decision tree represents a disjunction of conjunctions. What does this represent for *Non-Mammals*?

# More Trees to Explain



Tree 1



Tree 2

- For each tree, write the description for both yes and no.

# What problems are good for decision trees?

- ▶ Attributes have discrete values
- ▶ Output is discrete
- ▶ Disjunctive descriptions
- ▶ Training data may contain errors
- ▶ Training data may contain missing attribute values



# The Basic Idea

- ▶ Look at all the data.
  - ▶ If it is one category, we are done.
  - ▶ If it is not, choose an attribute to split on.
  - ▶ Split on that attribute and construct a tree for each.

# A Dataset

| Outlook  | Temperature | Humidity | Wind   | PlayTennis |
|----------|-------------|----------|--------|------------|
| Sunny    | Hot         | High     | Weak   | No         |
| Sunny    | Hot         | High     | Strong | No         |
| Overcast | Hot         | High     | Weak   | Yes        |
| Rain     | Mild        | High     | Weak   | Yes        |
| Rain     | Cool        | Normal   | Weak   | Yes        |
| Rain     | Cool        | Normal   | Strong | No         |
| Overcast | Cool        | Normal   | Strong | Yes        |
| Sunny    | Mild        | High     | Weak   | No         |
| Sunny    | Cool        | Normal   | Weak   | Yes        |
| Rain     | Mild        | Normal   | Weak   | Yes        |
| Sunny    | Mild        | Normal   | Strong | Yes        |
| Overcast | Mild        | High     | Strong | Yes        |
| Overcast | Hot         | Normal   | Weak   | Yes        |
| Rain     | Mild        | High     | Strong | No         |

| Temp | Y | N |
|------|---|---|
| H    | 2 | 2 |
| M    | 4 | 2 |
| C    | 3 | 1 |

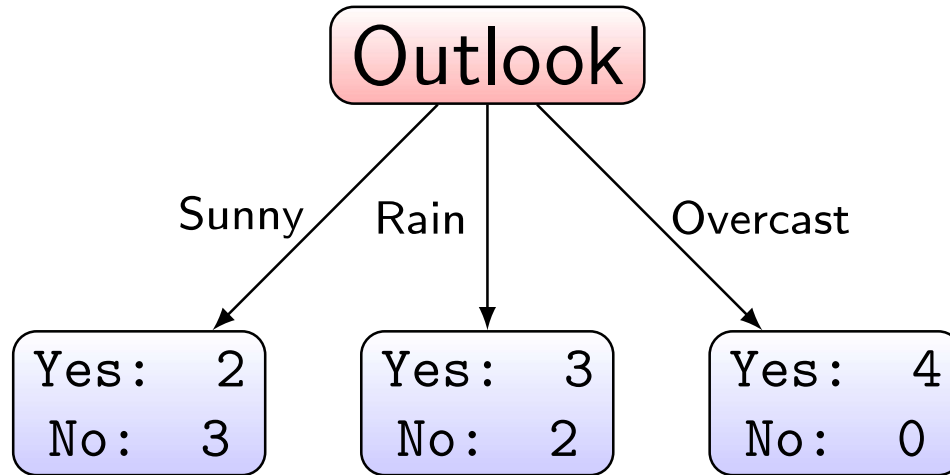
Informally, which attribute *looks good* as a root?

## Deciding on a Root

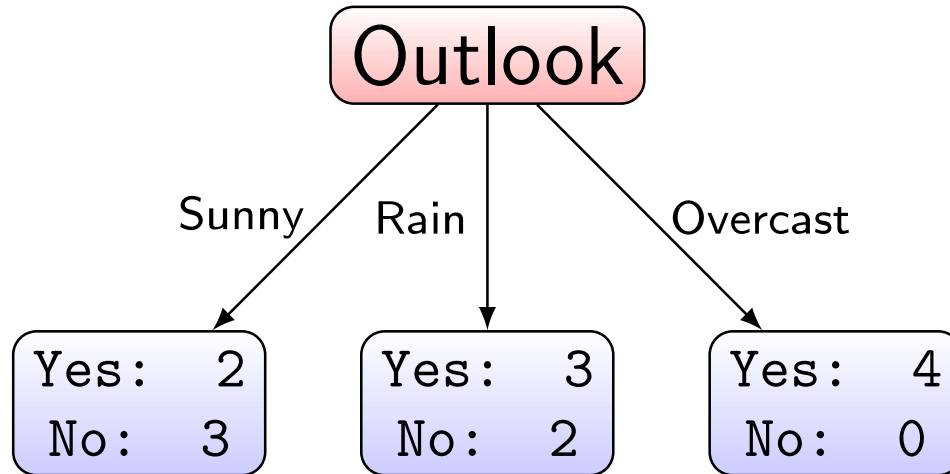
For each attribute, what is the yes/no split?

| Attribute          | # Yes | # No |
|--------------------|-------|------|
| Outlook = Sunny    | 2     | 3    |
| Outlook = Overcast | 4     | 0    |
| Outlook = Rain     | 3     | 2    |
| Temperature = Hot  | 2     | 2    |
| Temperature = Mild | 4     | 2    |
| Temperature = Cool | 3     | 1    |
| Humidity = High    | 3     | 4    |
| Humidity = Normal  | 6     | 1    |
| Wind = Weak        | 6     | 2    |
| Wind = Strong      | 3     | 3    |

# Splitting on “Outlook”



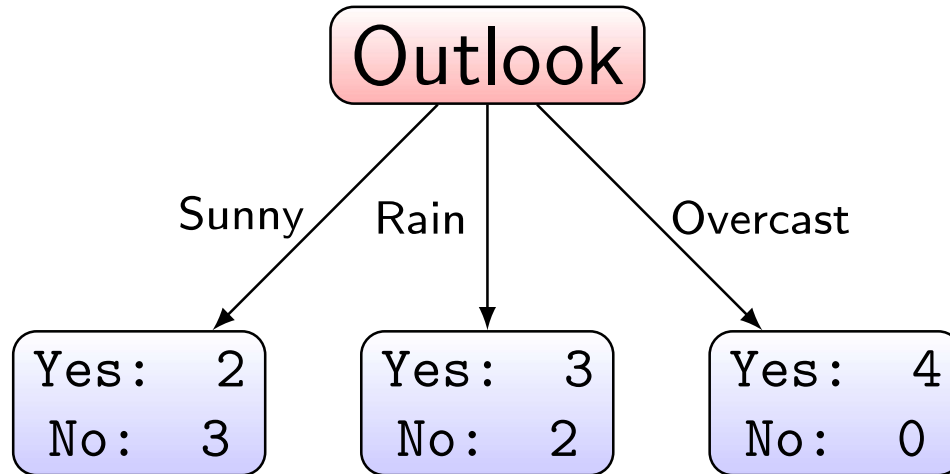
# Splitting on “Outlook”



We now need to split the five examples with a Sunny outlook:

| Outlook | Temperature | Humidity | Wind   | PlayTennis |
|---------|-------------|----------|--------|------------|
| Sunny   | Hot         | High     | Weak   | No         |
| Sunny   | Hot         | High     | Strong | No         |
| Sunny   | Mild        | High     | Weak   | No         |
| Sunny   | Cool        | Normal   | Weak   | Yes        |
| Sunny   | Mild        | Normal   | Strong | Yes        |

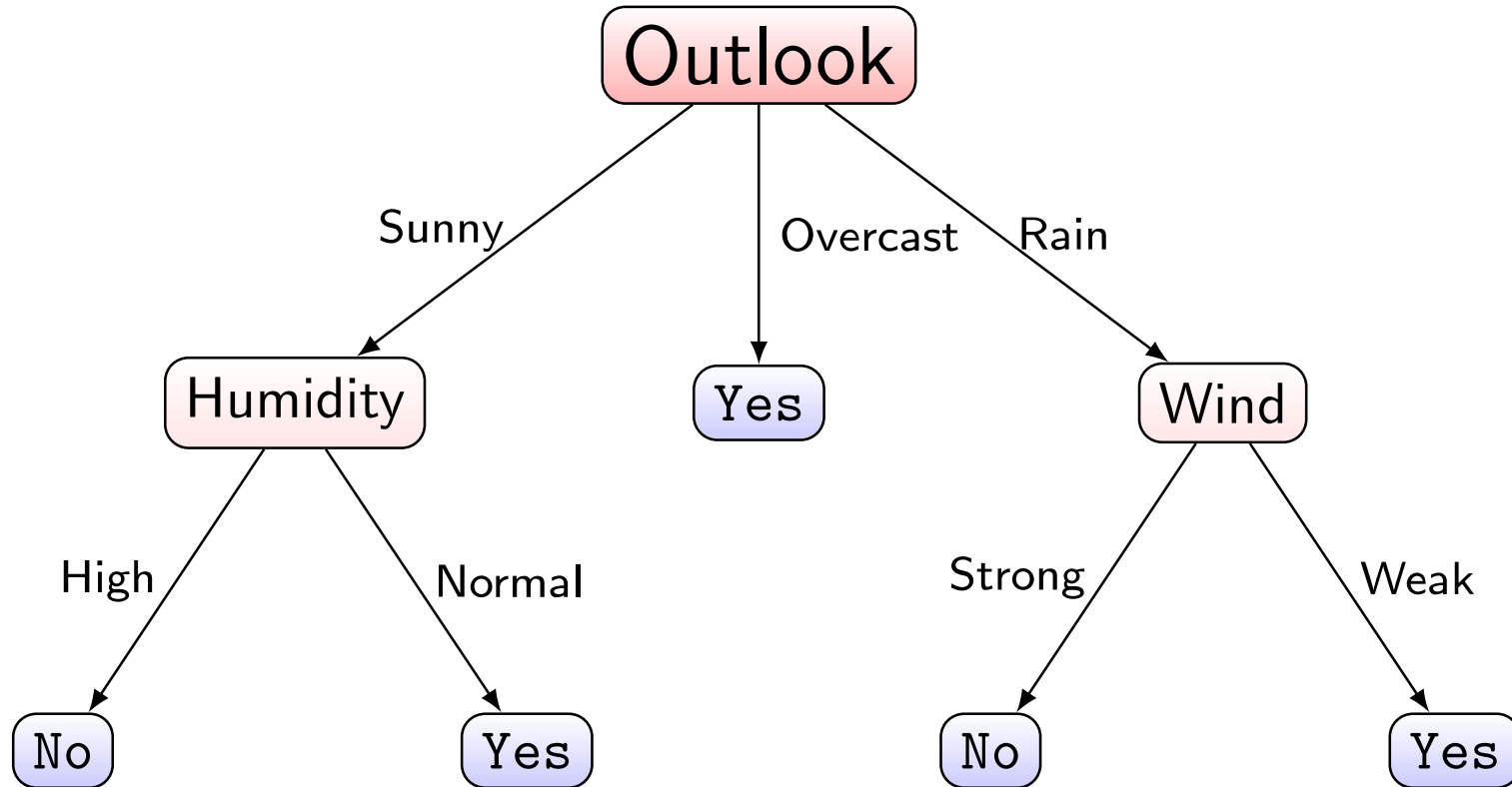
# Splitting on “Outlook”



We now need to split the five examples with a Rainy outlook:

| Outlook | Temperature | Humidity | Wind   | PlayTennis |
|---------|-------------|----------|--------|------------|
| Rain    | Mild        | High     | Weak   | Yes        |
| Rain    | Cool        | Normal   | Weak   | Yes        |
| Rain    | Cool        | Normal   | Strong | No         |
| Rain    | Mild        | Normal   | Weak   | Yes        |
| Rain    | Mild        | High     | Strong | No         |

# Resulting Tree



## But a computer can't “eyeball” a selection

Let's look at the definition of *entropy*; you can think of it as a measure of uncertainty.

$$\text{Entropy}(S) = \sum_{i=1}^c -p_i \log_2 p_i$$

where  $p_i$  is the proportion of  $S$  belonging to class  $i$ .

**Example:** suppose  $S$  has 14 examples, 9 positive and 5 negative.  
Calculate the entropy

$$\frac{-9}{14} \cdot \log_2 \frac{9}{14} + \frac{-5}{14} \log_2 \frac{5}{14} \approx .940$$



# But a computer can't “eyeball” a selection

Let's look at the definition of *entropy*; you can think of it as a measure of uncertainty.

$$\text{Entropy}(S) = \sum_{i=1}^c -p_i \log_2 p_i$$

where  $p_i$  is the proportion of  $S$  belonging to class  $i$ .

What should entropy be if everything is one category?

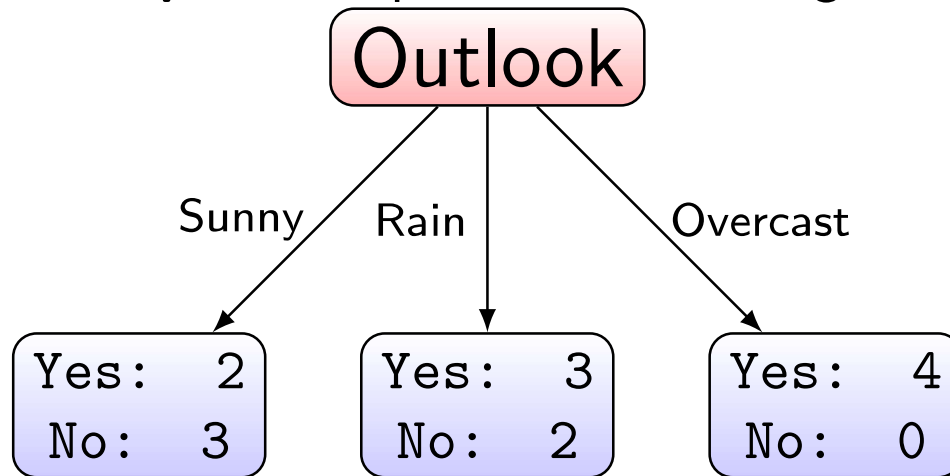
$$-\log \cdot 1 \quad + \quad - \underbrace{0 \log 0}_{\text{treat as } 0}$$

# How to select based on entropy?

The **information gain** for selecting attribute  $A$  to split set  $S$ :

$$\text{Gain}(S, A) = \text{Entropy}(S) - \sum_{v \in \text{Values}(A)} \frac{|S_v|}{|S|} \text{Entropy}(S_v)$$

**Example:** Compute information gain from Outlook:



also  $E(S_{\text{Rain}})$

↓

$$E(S_{\text{Sunny}}) = \frac{2}{5} \log_2\left(\frac{2}{5}\right) + \frac{3}{5} \log_2\left(\frac{3}{5}\right) \approx .970$$

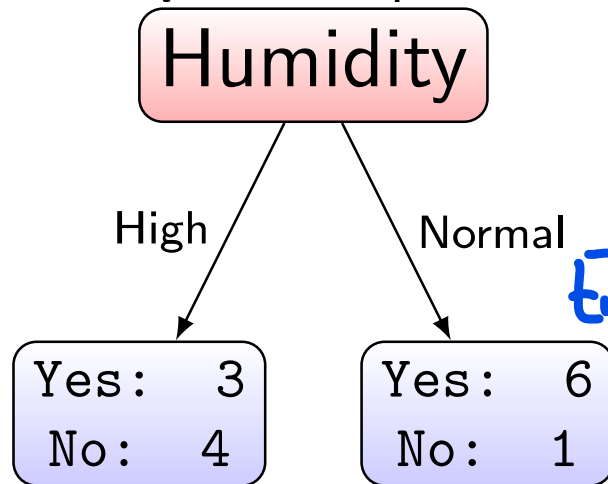
$$\text{Info Gain} = .970 - \left( \frac{5}{14} (.970) - \frac{5}{14} (.970) - \frac{4}{14} (0) \right) \approx \downarrow$$

# How to select based on entropy?

The **information gain** for selecting attribute  $A$  to split set  $S$ :

$$\text{Gain}(S, A) = \text{Entropy}(S) - \sum_{v \in \text{Values}(A)} \frac{|S_v|}{|S|} \text{Entropy}(S_v)$$

**Example:** Compute information gain from Humidity:



Gain:  $.940 - \frac{3}{14}(.985) - \frac{7}{14}(.592)$

$\text{Entropy}(S_{\text{High}}) = -\frac{3}{7} \log \frac{3}{7} - \frac{4}{7} \log \frac{4}{7} \approx .985$

$\text{Entropy}(S_{\text{Normal}}) = -\frac{6}{7} \log \frac{6}{7} - \frac{1}{7} \log \frac{1}{7} \approx .592$

## Why did we select Outlook?

Information Gain for root choices:

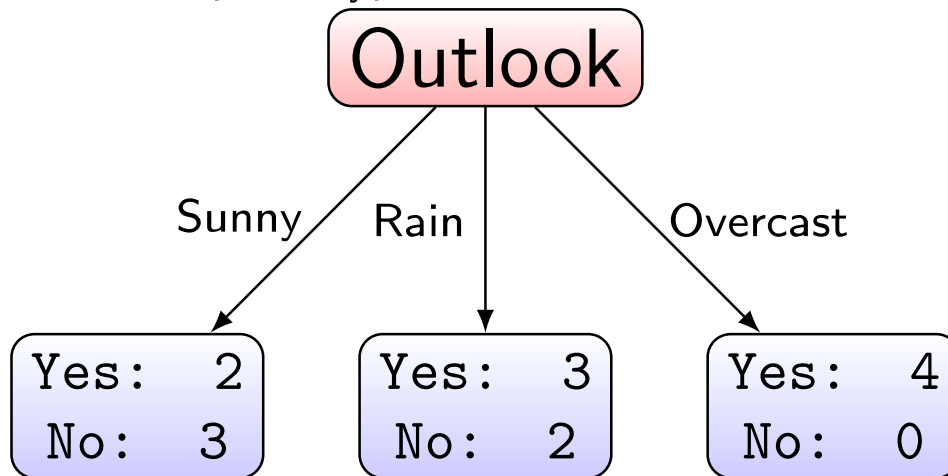
| Attribute   | Information Gain |
|-------------|------------------|
| Outlook     | .246             |
| Humidity    | .151             |
| Wind        | .048             |
| Temperature | .029             |

You can calculate the remaining ones if you want to.

# Finishing the tree

What is the information gain for splitting Sunny Outlook category on attribute Humidity?

$$\text{Entropy}(S_{\text{Sunny}}) = .970$$



| Outlook | Temperature | Humidity | Wind   | PlayTennis |
|---------|-------------|----------|--------|------------|
| Sunny   | Hot         | High     | Weak   | No         |
| Sunny   | Hot         | High     | Strong | No         |
| Sunny   | Mild        | High     | Weak   | No         |
| Sunny   | Cool        | Normal   | Weak   | Yes        |
| Sunny   | Mild        | Normal   | Strong | Yes        |

# Sunburn Dataset

| Hair   | Height  | Weight  | Lotion | Result    |
|--------|---------|---------|--------|-----------|
| blonde | average | light   | no     | sunburned |
| blonde | tall    | average | yes    | none      |
| brown  | short   | average | yes    | none      |
| blonde | short   | average | no     | sunburned |
| red    | average | heavy   | no     | sunburned |
| brown  | tall    | heavy   | no     | none      |
| brown  | average | heavy   | no     | none      |
| blonde | short   | light   | yes    | none      |

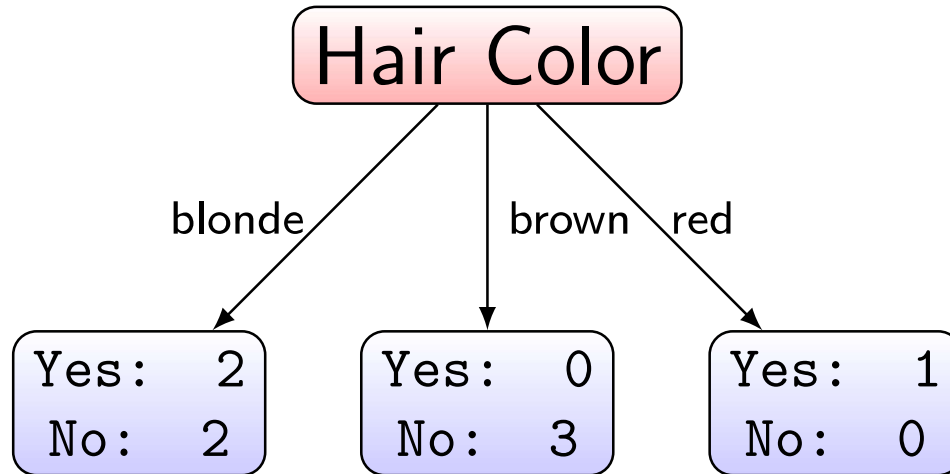
$$Entropy(S) = -\frac{3}{8} \log\left(\frac{3}{8}\right) + \frac{5}{8} \log\left(\frac{5}{8}\right) .$$

$$\approx .954$$

# Sunburn data gather

| Attribute        | # Yes | # No |
|------------------|-------|------|
| hair=blonde      | 2     | 2    |
| hair=brown       | 0     | 3    |
| hair=red         | 1     | 0    |
| height = short   | 1     | 2    |
| height = tall    | 0     | 2    |
| height = average | 2     | 1    |
| weight = light   | 1     | 1    |
| weight = average | 1     | 2    |
| weight = heavy   | 1     | 2    |
| lotion = no      | 3     | 2    |
| lotion = yes     | 0     | 3    |

# Hair color as the root



| Hair   | Height  | Weight  | Lotion | Result    |
|--------|---------|---------|--------|-----------|
| blonde | average | light   | no     | sunburned |
| blonde | tall    | average | yes    | none      |
| blonde | short   | average | no     | sunburned |
| blonde | short   | light   | yes    | none      |

► Which attribute to split?



## Wrapping up ID3

- ▶ What hypothesis space does ID3 search?
- ▶ How many hypotheses does ID3 maintain?  
Can we use it to get a set of consistent hypotheses?

# Contrasting ID3 to Candidate-Elimination

- ▶ CANDIDATE-ELIMINATION does not search the complete hypothesis space
- ▶ ID3 searches a *complete space*:  
all finite discrete-valued functions are possible to find.

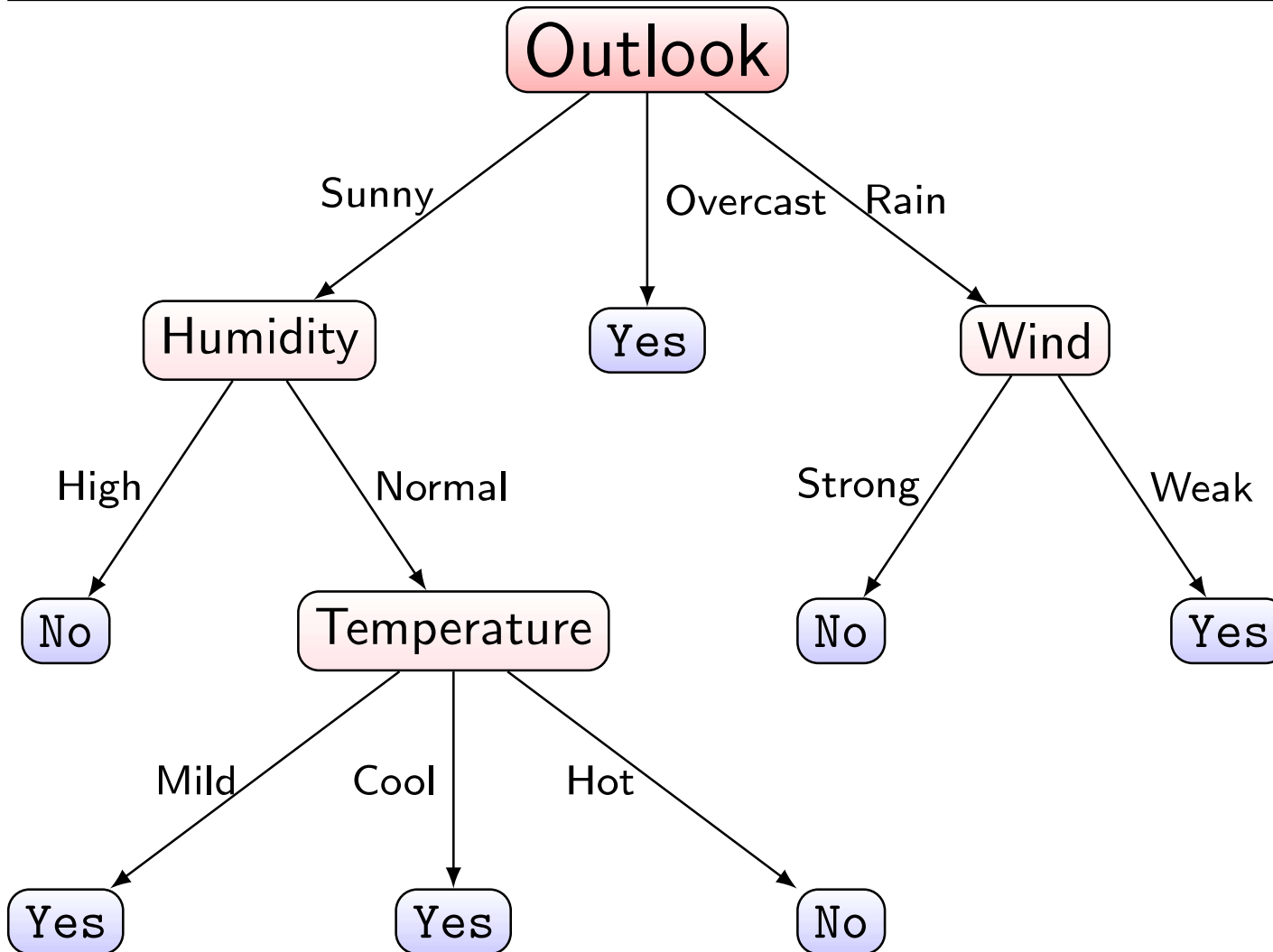
# Contrasting ID3 to Candidate-Elimination

- ▶ What types of trees does ID3 produce?
- ▶ How do the preferences of ID3 and CANDIDATE-ELIMINATION differ?

# Overfitting

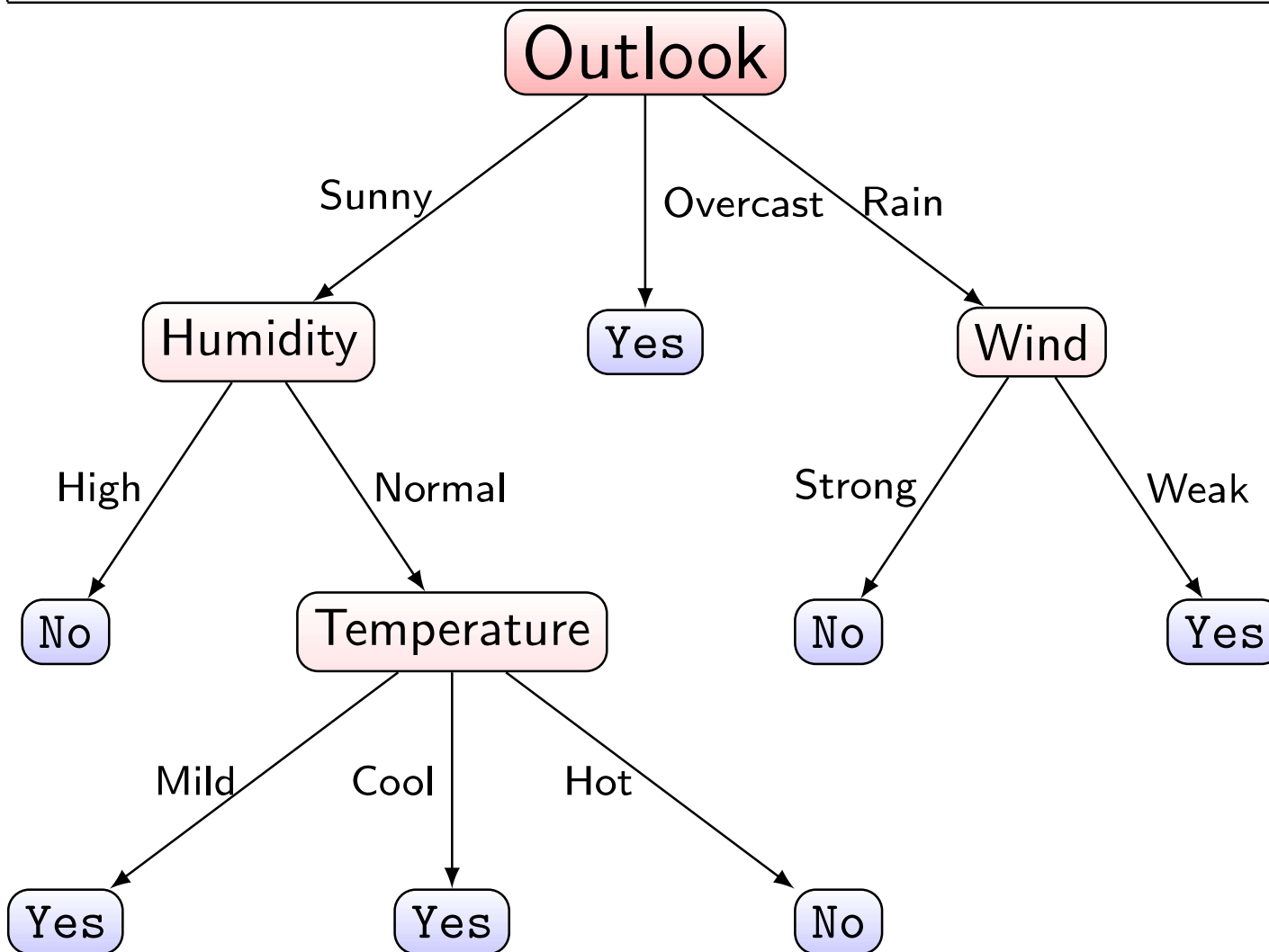
- ▶ We saw ID3 can achieve 100% training accuracy.  
Is this always desirable?
- ▶ What should cause us to suspect overfitting in a decision tree?

| Outlook | Temperature | Humidity | Wind   | PlayTennis |
|---------|-------------|----------|--------|------------|
| Sunny   | Hot         | Normal   | Strong | No         |



We saw that larger trees are suspected of overfitting.  
What can we change about ID3 to combat this?

| Outlook | Temperature | Humidity | Wind   | PlayTennis |
|---------|-------------|----------|--------|------------|
| Sunny   | Hot         | Normal   | Strong | No         |



How can we decide branches as candidates for pruning?

(end 7/26: will finish tmw)