

# Weak solutions of the skeleton equation

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June 25, 2025

# I. The kinetic formulation of the skeleton equation

**The skeleton equation:** in the case of the zero range process,

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho) g) \quad \text{in } \mathbb{T}^d \times (0, T),$$

for an  $L^2$ -control  $g \in (L^2_{t,x})^d$ . We specialize to the case, for some  $\alpha \in (0, \infty)$ ,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

**The kinetic formulation:** for  $\chi = \mathbf{1}_{\{0 < \xi < \rho\}}$ ,

$$\partial_t \chi = \alpha \xi^{\alpha-1} \Delta \chi + \partial_\xi q - \partial_\xi (\xi^{\frac{\alpha}{2}} g \cdot \nabla \chi) + \nabla \cdot (\xi^{\frac{\alpha}{2}} (\partial_\xi \chi) g),$$

for a locally finite, nonnegative measure  $q$  on  $\mathbb{T}^d \times \mathbb{R} \times [0, T]$  with

$$q \geq \delta_\rho (\alpha \xi^{\alpha-1} |\nabla \rho|^2).$$

We have that, for  $\psi \in C_c^\infty(\mathbb{T}^d \times (0, \infty))$ ,

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \psi(x, \xi) \chi \Big|_{r=0}^{r=t} &= - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \int_{\mathbb{R}} \partial_\xi \psi q \\ &\quad + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho) + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho. \end{aligned}$$

# I. The kinetic formulation of the skeleton equation

## Well-posedness of renormalized kinetic solutions [F., Gess; 2023]

Let  $\rho_0 \in L^1(\mathbb{T}^d)$  be nonnegative and  $g \in (L^2_{t,x})^d$ . Then, there exists a unique renormalized kinetic solution of the equation

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho) g) \text{ in } \mathbb{T}^d \times (0, T) \text{ with } \rho(\cdot, 0) = \rho_0.$$

Furthermore, if  $\rho_1$  and  $\rho_2$  are two solutions with initial data  $\rho_{1,0}$  and  $\rho_{2,0}$ , then

$$\max_{t \in [0, T]} \|\rho_1(x, t) - \rho_2(x, t)\|_{L^1(\mathbb{T}^d)} = \|\rho_{1,0} - \rho_{2,0}\|_{L^1(\mathbb{T}^d)}.$$

**The entropy estimate:** we have that

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho \log(\rho) + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho^{\frac{\alpha}{2}}|^2 \lesssim \int_{\mathbb{T}^d} \rho_0 \log(\rho_0) + \int_0^T \int_{\mathbb{T}^d} |g|^2.$$

**An interpolation inequality:** we have that

$$\|\rho^{\frac{\alpha}{2}}\|_{L_t^2 L_x^2} \lesssim \|\rho_0\|_{L_x^1}^\alpha + \|\nabla \rho^{\frac{\alpha}{2}}\|_{L_t^2 L_x^2}.$$

**The skeleton equation:** we have that

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) = 2 \nabla \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}}) - \nabla \cdot (\rho^{\frac{\alpha}{2}} g).$$

# I. The kinetic formulation of the skeleton equation

## Weak solutions of the skeleton equation [F., Gess; 2023]

A weak solution is a nonnegative  $\rho \in C([0, T]; L^1(\mathbb{T}^d))$  that satisfies:

**The entropy estimate:** we have

$$\rho^{\frac{\alpha}{2}} \in L^2([0, T]; H^1(\mathbb{T}^d)).$$

**The equation:** for every  $\psi \in C^\infty(\mathbb{T}^d)$  and  $t \in [0, T]$ ,

$$\int_{\mathbb{T}^d} \rho(x, s) \psi(x) \Big|_{s=0}^{s=t} = -2 \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} \cdot \nabla \psi + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \nabla \psi.$$

**The kinetic formulation:** for  $\psi \in C_c^\infty(\mathbb{T}^d \times (0, \infty))$ ,

$$\begin{aligned} \int_{\mathbb{T}^d} \int_{\mathbb{R}} \psi(x, \xi) \chi \Big|_{r=0}^{r=t} &= - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \partial_\xi \psi q \\ &\quad + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho) + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho. \end{aligned}$$

**Weak-strong continuity:** does a *weakly convergent* sequence  $g_n \rightharpoonup g \in (L^2_{t,x})^d$  induce a *strongly convergent* sequence  $\rho_n \rightarrow \rho \in L^1_{t,x}$ ?

## II. Scalar conservation laws

**Burger's equation:** in one-dimension,

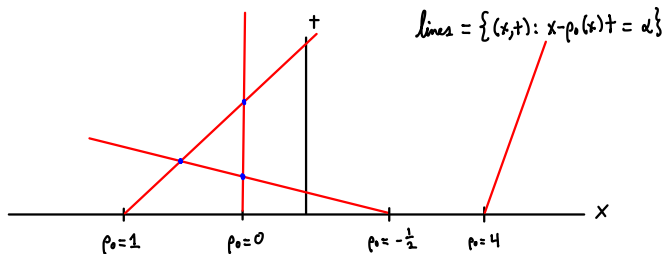
$$\partial_t \rho + \partial_x \left( \frac{1}{2} \rho^2 \right) = \partial_t \rho + \rho \partial_x \rho = 0.$$

**The characteristics:** In this case,  $A'(\rho) = \rho$  and the characteristic equations are

$$\dot{X}_t^x = A'(\rho_0(x)) = \rho_0(x) \quad \text{with} \quad X_t^x = x + \rho_0(x)t.$$

We therefore have, for the inverse characteristics  $Y_t^x$ ,

$$Y_t^x = x - \rho_0(x)t \quad \text{and} \quad \rho(x, t) = \rho_0(x - \rho_0(x)t).$$



## II. Scalar conservation laws

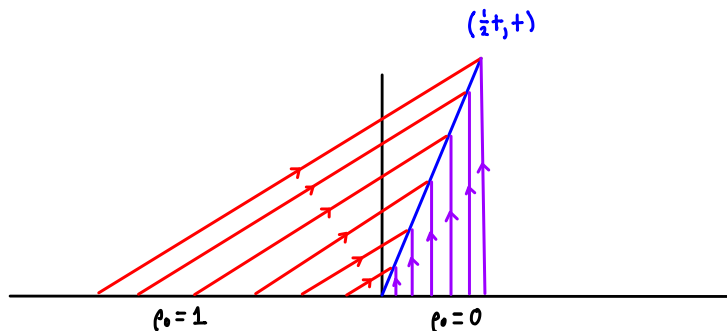
**Burger's equation:** in one-dimension,

$$\partial_t \rho + \rho \partial_x \rho = 0,$$

and we have, for the inverse characteristics  $Y_t^x$ ,

$$Y_t^x = x - \rho_0(x)t \quad \text{and} \quad \rho(x, t) = \rho_0(x - \rho_0(x)t).$$

**Shock vs. Rarefaction Wave:**



## II. Entropy solutions

**The regularized equation:** for  $\eta \in (0, 1)$ , the equation

$$\partial_t \rho_\eta - \eta \Delta \rho_\eta + \frac{1}{2} \nabla \cdot (\rho_\eta)^2 = 0 \quad \text{in } \mathbb{T}^d \times (0, \infty) \quad \text{with } \rho_\eta(\cdot, 0) = \rho_0.$$

**A selection principle as  $\eta \rightarrow 0$ :** if  $S$  is convex and  $\phi$  is nonnegative,

$$\begin{aligned} \partial_t \left( \int_{\mathbb{T}^d} \phi(x) S(\rho_\eta) \right) &= \eta \int_{\mathbb{T}^d} \phi(x) S'(\rho_\eta) \Delta \rho_\eta - \frac{1}{2} \int_{\mathbb{T}^d} \phi(x) S'(\rho_\eta) \nabla(\rho_\eta)^2 \\ &= -\eta \int_{\mathbb{T}^d} S'(\rho_\eta) \nabla \rho_\eta \cdot \nabla \phi - \int_{\mathbb{T}^d} \phi S''(\rho_\eta) |\nabla \rho_\eta|^2 + \int_{\mathbb{T}^d} \beta(\rho_\eta) \nabla \phi, \end{aligned}$$

for  $\beta(0) = 0$  and  $\beta'(\xi) = S'(\xi)\xi$ .

**The entropy inequality:** as  $\eta \rightarrow 0$ , if  $\rho_\eta \rightarrow \rho$ ,

$$\partial_t \left( \int_{\mathbb{T}^d} \phi(x) S(\rho) \right) \leq \int_{\mathbb{T}^d} \beta(\rho) \nabla \phi,$$

or, in the sense of distributions,

$$\partial_t S(\rho) + \nabla \cdot \beta(\rho) \leq 0.$$

— an ensemble of equations for all “entropy-flux pairs”  $(S, \beta)$

## II. Scalar conservation laws

**Inviscid and Viscous Burger's equations:** in one-dimension,

$$\partial_t \rho + \rho \partial_x \rho = 0 \quad \text{and} \quad \partial_t \rho_\eta + \rho_\eta \partial_x \rho_\eta = \eta \Delta \rho_\eta,$$

and we have, for the inverse characteristics  $Y_t^x$ ,

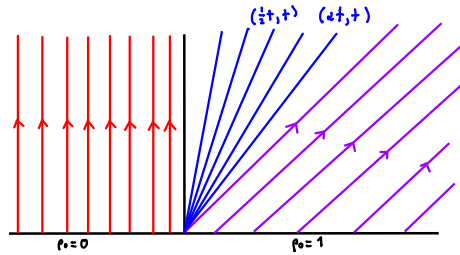
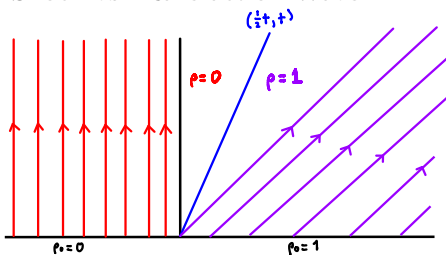
$$Y_t^x = x - \rho_0(x)t \quad \text{and} \quad \rho(x, t) = \rho_0(x - \rho_0(x)t).$$

**The kinetic formulation:** for the kinetic function  $\chi = \mathbf{1}_{\{0 < \xi < \rho(x, t)\}} - \mathbf{1}_{\{\rho(x, t) < \xi < 0\}}$ ,

$$\partial_t \chi_\eta + \xi \nabla_x \chi_\eta = \eta \Delta \chi_\eta + \partial_\xi q_\eta,$$

and for a *nonnegative* “defect measure”  $q = \lim_{\eta \rightarrow 0} \eta |\nabla \rho_\eta|^2$  and  $q_\eta = \eta |\nabla \rho_\eta|^2$ .

**Shock vs. Rarefaction Wave:**





## II. Scalar conservation laws

DiPerna–Lions theory [DiPerna, Lions; 1989], [Ambrosio; 2004]

Let  $b \in (L_t^1 L_x^\infty \cap L_t^1 BV_x)^d$ . Then, for every  $\rho_0 \in L^\infty(\mathbb{T}^d)$  the continuity equation

$$\partial_t \rho = \nabla \cdot (\rho b),$$

has a unique weak solution in  $(L^1 \cap L^\infty)(\mathbb{T}^d \times [0, T])$ .

**Relaxed assumptions:** a one-sided bound on  $\nabla \cdot b$  is sufficient [Ambrosio; 2004]

**Optimality:** counterexamples for  $b$  failing to be  $BV$  on hyperplane [Depauw; 2003]

**Commutator estimates:** for a weak solution  $\rho$ , for  $\rho_\varepsilon = (\rho * \kappa_\varepsilon)$ ,

$$\partial_t \int_{\mathbb{T}^d} S(\rho_\varepsilon) \phi(x) = \int_{\mathbb{T}^d} \phi(x) S'(\rho_\varepsilon) \nabla \cdot (\rho b)_\varepsilon \simeq \int_{\mathbb{T}^d} \phi(x) S'(\rho_\varepsilon) \nabla \cdot (\rho_\varepsilon b).$$

**The skeleton equation:** for  $g \in (L_{t,x}^2)^d$ , for any  $m \in (0, \infty)$ ,

$$\partial_t \rho = \Delta \rho^m - \nabla \cdot (\rho^{\frac{m}{2}} g).$$

### III. Weak solutions of the skeleton equation

**Equivalence of weak and kinetic solutions:** for initial data with finite entropy, is a weak solution

$$\int_{\mathbb{T}^d} \rho(x, s) \psi(x) \Big|_{s=0}^{s=t} = -2 \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} \cdot \nabla \psi + \int_0^t \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot \nabla \psi,$$

a kinetic solution

$$\begin{aligned} \int_{\mathbb{T}^d} \chi \psi \Big|_{s=0}^{s=t} = & - \int_0^t \int_{\mathbb{T}^d} \alpha \rho^{\alpha-1} \nabla \rho \cdot (\nabla \psi)(x, \rho) - \int_0^t \int_{\mathbb{T}^d} \int_{\mathbb{R}} (\partial_\xi \psi)(x, \xi) q \\ & + \int_0^t \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho) \rho^{\frac{\alpha}{2}} g \cdot \nabla \rho + \int_0^T \int_{\mathbb{T}^d} \rho^{\frac{\alpha}{2}} g \cdot (\nabla \psi)(x, \rho)? \end{aligned}$$

**Deriving the kinetic form:** for  $\partial_\xi \Psi(x, \xi) = \psi(x, \xi)$ , for  $\rho_\varepsilon = (\rho * \kappa^\varepsilon)$ ,

$$\begin{aligned} \partial_t \int \Psi(x, \rho_\varepsilon) &= \int \psi(x, \rho_\varepsilon) \partial_t \rho_\varepsilon \\ &= -2 \int (\nabla \psi)(x, \rho_\varepsilon) \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}})_\varepsilon - \int (\nabla \psi)(x, \rho_\varepsilon) \cdot (\rho^{\frac{\alpha}{2}} g)_\varepsilon \\ &\quad - 2 \int_{\mathbb{T}^d} (\partial_\xi \psi)(x, \rho_\varepsilon) \nabla \rho_\varepsilon \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}})_\varepsilon - \int (\partial_\xi \psi)(x, \rho_\varepsilon) \nabla \rho_\varepsilon \cdot (\rho^{\frac{\alpha}{2}} g)_\varepsilon. \end{aligned}$$

## II. Weak solutions of the skeleton equation

**The equation satisfied by the convolution:** for  $\rho = (\rho * \kappa^\varepsilon)$ ,

$$\partial_t \rho_\varepsilon = -(2\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} * \nabla \kappa^\varepsilon) + (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon).$$

**Deriving the kinetic form:** if  $\rho$  is a weak solution, for  $\partial_\xi \Psi(x, \xi) = \psi(x, \xi)$ ,

$$\partial_t \int_{\mathbb{T}^d} \Psi(x, \rho_\varepsilon) = -2 \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}} * \nabla \kappa^\varepsilon) + \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon).$$

**A useful decomposition:** let  $\text{Supp}(\psi) \subseteq \mathbb{T}^d \times [0, M]$  and let

$$A_k = \{(x, t) : \rho^{\frac{\alpha}{2}}(x, t) \geq M^{\frac{\alpha}{2}} + k\} \text{ and let } A_0 = (\mathbb{T}^d \times [0, T]) \setminus A_1.$$

We then write, for  $\mathbf{1}_k = \mathbf{1}_{A_{k+1} \setminus A_k}$ ,

$$\begin{aligned} & \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \\ &= \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) + \sum_{k=1}^{\infty} \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_k \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \\ &\lesssim \int_{\mathbb{T}^d} \psi(x, \rho_\varepsilon) (\mathbf{1}_{A_0} \rho^{\frac{\alpha}{2}} g * \nabla \kappa^\varepsilon) \\ &\quad + \varepsilon^{-1} \|g\|_{L_{t,x}^2} \left( \sum_{k=1}^{\infty} (M^{\frac{\alpha}{2}} + k + 1)^2 \int_{\mathbb{T}^d} |\psi(x, \rho_\varepsilon)| (\mathbf{1}_{A_k} * |\varepsilon \nabla \kappa^\varepsilon|) \right)^{\frac{1}{2}}. \end{aligned}$$

## II. Weak solutions of the skeleton equation

### Equivalence of weak and renormalized kinetic solutions [F., Gess; 2023]

Under assumptions including  $\Phi(\xi) = \xi^m$  for every  $m \in [1, \infty)$ , a nonnegative function  $\rho \in C([0, T]; L^1(\mathbb{T}^d))$  that satisfies

$$\Phi^{\frac{1}{2}}(\rho) \in L^2([0, T]; H^1(\mathbb{T}^d))$$

is a renormalized kinetic solution of the skeleton equation

$$\partial_t \rho = \Delta \Phi(\rho) - \nabla \cdot (\Phi^{\frac{1}{2}}(\rho) g) \quad \text{in } \mathbb{T}^d \times (0, T) \quad \text{with } \rho(\cdot, 0) = \rho_0,$$

for a nonnegative  $\rho_0$  with finite entropy if and only if  $\rho$  is a weak solution. In particular, weak solutions exist and are unique.

- equivalence of renormalized and weak solutions [DiPerna, Lions; 1989], [Ambrosio; 2004].
- strong continuity with respect to weak convergence of the control
- for example,  $\Phi^{\frac{1}{2}}$  convex or concave or  $\Phi$  satisfies that  $0 < \lambda \leq \Phi' \leq \Lambda$ .

## II. Weak solutions of the skeleton equation

### Weak-strong continuity [F., Gess; 2023]

If  $\rho_n$  are solutions of the skeleton equation with controls  $g_n \rightharpoonup g$  and initial data  $\rho_{0,n} \rightharpoonup \rho_0$  with uniformly bounded entropy, then  $\rho_n \rightarrow \rho$  for  $\rho$  the solution of the skeleton equation with control  $g$  and initial data  $\rho_0$ .

**The entropy estimate:** if  $\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} g_n)$  then

$$\max_{t \in [0, T]} \int_{\mathbb{T}^d} \rho_n \log(\rho_n) + \int_0^T \int_{\mathbb{T}^d} |\nabla \rho_n^{\frac{\alpha}{2}}|^2 \lesssim \int_{\mathbb{T}^d} \rho_{0,n} \log(\rho_{0,n}) + \int_0^T \int_{\mathbb{T}^d} |g_n|^2.$$

**Compactness** since the  $g_n$  are uniformly  $(L_{t,x}^2)^d$ -bounded,

$\rho_n$  is strongly compact in  $L_{t,x}^1$  and  $\rho_n^{\frac{\alpha}{2}}$  is weakly compact in  $L_t^2 H_x^1$ .

**Uniqueness of the limit:** We have for some  $\rho$  that, along a subsequence,

$$\rho_n \rightarrow \rho \text{ in } L_{t,x}^1 \text{ and } \rho_n^{\frac{\alpha}{2}} \rightharpoonup \rho^{\frac{\alpha}{2}} \text{ in } L_t^2 H_x^1,$$

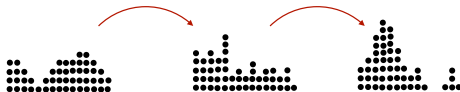
from which we conclude that

$$\partial_t \rho = 2 \nabla \cdot (\rho^{\frac{\alpha}{2}} \nabla \rho^{\frac{\alpha}{2}}) - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

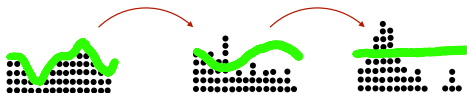
and that  $\rho_n \rightarrow \rho$  along the full sequence  $n \rightarrow \infty$ .

### III. L.s.c. envelope of the rate function

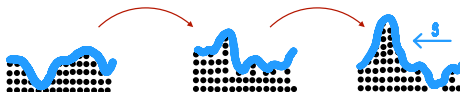
The zero range process:  $\mu^N$  on  $\mathbb{T}^1 \times [0, T]$  for  $N = 15$  and  $T(k) \sim ke^{-kt}$ ,



The heat equation: the hydrodynamic limit  $\partial_t \bar{\rho} = \Delta \bar{\rho}$ ,



The skeleton equation: the controlled equation  $\partial_t \rho = \Delta \rho - \nabla \cdot (\sqrt{\rho} \cdot g)$ ,



The rate function: we have  $\mathbb{P}(\mu^N \simeq \rho) \simeq \exp(-NI(\rho))$  for

$$I(\rho) = \frac{1}{2} \inf \{ \|g\|_{L^2_{t,x}}^2 : \partial_t \rho = \Delta \rho - \nabla \cdot (\sqrt{\rho} g) \}.$$

### III. L.s.c. envelope of the rate function

**The rate function:** we have that

$$I(\rho) = \frac{1}{2} \inf \left\{ \|g\|_{L^2(\mathbb{T}^d \times [0, T]; \mathbb{R}^d)}^2 : \partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} \cdot g) \right\}.$$

Large Deviations Principle [Benois, Kipnis, Landim; 1995]

For every closed  $A \subseteq D([0, T]; \mathcal{M}_+(\mathbb{T}^d))$ ,

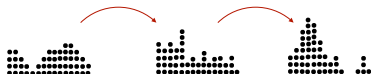
$$\limsup_{N \rightarrow \infty} \frac{1}{N} \log (\mathbb{P}(\mu^N \in A)) \leq - \inf_{m \in A} I(m).$$

For the space of smooth fluctuations

$$\mathcal{S} = \{\partial_t m = \Delta m^\alpha - \nabla \cdot (m^\alpha \nabla H) : H \in C^{3,1}(\mathbb{T}^d \times [0, T])\},$$

for every open subset  $A \subseteq D([0, T]; \mathcal{M}_+(\mathbb{T}^d))$ ,

$$\limsup_{N \rightarrow \infty} \frac{1}{N} \log (\mathbb{P}(\mu^N \in A)) \geq - \inf_{\rho \in A} \overline{I(\rho)}|_{\mathcal{S}}^{\text{lsc}}.$$



## IV. L.s.c. envelope of the rate function

**The rate function:** we have

$$I(\rho) = \frac{1}{2} \inf \left\{ \|g\|_{L^2}^2 : \partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \right\}.$$

**The Hilbert space:**  $H_{\rho^\alpha}^1$  is the strong closure w.r.t. the inner product

$$\langle \nabla \psi, \nabla \phi \rangle = \int_0^T \int_{\mathbb{T}^d} \rho^\alpha \nabla \psi \cdot \nabla \phi \text{ for } \phi, \psi \in C^\infty.$$

**Unique minimizer:** the equation defines

$$\partial_t \rho - \Delta \rho^\alpha = -\nabla \cdot (\rho^{\frac{\alpha}{2}} g) \in H_{\rho^\alpha}^{-1},$$

and if  $I(\rho) < \infty$  then the minimizer  $g = \rho^{\frac{\alpha}{2}} \nabla H$  for  $H \in H_{\rho^\alpha}^1$  and

$$I(\rho) = \frac{1}{2} \int_0^T \int_{\mathbb{T}^d} \rho^\alpha |\nabla H|^2 = \frac{1}{2} \|H\|_{H_{\rho^\alpha}^1}^2 = \frac{1}{2} \|\partial_t \rho - \Delta \Phi(\rho)\|_{H_{\rho^\alpha}^{-1}}^2.$$

**The “ill-posed” equation:** we have the formally “supercritical” equation

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^\alpha \nabla H).$$



## IV. L.s.c envelope of the rate function

**The space of smooth fluctuations:** we have that

$$\mathcal{S} = \{\partial_t m = \Delta m^\alpha - \nabla \cdot (m^\alpha \nabla H) : H \in C^{3,1}(\mathbb{T}^d \times [0, T])\}.$$

**The recovery sequence:** given an arbitrary fluctuation

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g),$$

to show that  $\overline{I|_{\mathcal{S}}}^{lsc}(\rho) = I(\rho)$  we need to find a sequence  $\rho_n \in \mathcal{S}$  such that

$$\rho_n \rightarrow \rho \in L^1_{t,x} \quad \text{and} \quad I(\rho_n) \rightarrow I(\rho).$$

**A first attempt:** there exists  $H \in H^1_{\rho^\alpha}$  such that

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^\alpha \nabla H) \quad \text{and} \quad I(\rho) = \frac{1}{2} \int_0^T \int_{\mathbb{T}^d} \rho^\alpha |\nabla H|^2.$$

Let  $\rho_\varepsilon$  solve

$$\partial_t \rho_\varepsilon = \Delta \rho_\varepsilon^\alpha - \nabla \cdot (\rho_\varepsilon^\alpha (\nabla H * \kappa_\varepsilon)).$$

- supercritical with no stable estimates with respect to  $\nabla H$
- the Hilbert space framework is too rigid

## IV. L.s.c envelope of the rate function

**A second attempt:** for some  $g \in L^2_{t,x}$  and  $\rho_0$  with finite entropy,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \quad \text{with } \rho(\cdot, 0) = \rho_0.$$

**Regularizing the data:** we consider

$$\rho_{0,n} = \left( (\rho_0 \wedge n) \vee \frac{1}{n} \right) * \kappa_x^{\frac{1}{n}} \quad \text{and} \quad g_n = g * \kappa_{t,x}^{\frac{1}{n}},$$

and solve

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} g_n) \quad \text{with } \rho_n(\cdot, 0) = \rho_{0,n}.$$

There exists  $H_n \in H^1_{\rho_n^\alpha}$  such that

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^\alpha \nabla H_n) \quad \text{with } \rho_n(\cdot, 0) = \rho_{0,n}.$$

**Deducing the regularity of  $H_n$ :** we have the elliptic equation

$$-\nabla \cdot (\rho_n^\alpha \nabla H_n) = \partial_t \rho_n - \Delta \rho_n^\alpha.$$

- is not necessarily uniformly elliptic
- how regular is  $\rho_n$ ?

## IV. L.s.c envelope of the rate function

**The final attempt:** for some  $g \in L^2_{t,x}$  and  $\rho_0$  with finite entropy,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \quad \text{with } \rho(\cdot, 0) = \rho_0.$$

**Regularizing the data:** we consider

$$\rho_{0,n} = \left( (\rho_0 \wedge n) \vee \frac{1}{n} \right) * \kappa_x^{\frac{1}{n}} \quad \text{and} \quad g_n = g * \kappa_{t,x}^{\frac{1}{n}},$$

**“Turning off” the control:** for  $\sigma_n(\xi) = 0$  if  $\xi \leq \frac{1}{n}$  or  $\xi \geq n$ , solve

$$\begin{aligned} \partial_t \rho_n &= \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \sigma_n(\rho_n) g_n) \\ &= \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \tilde{g}_n), \end{aligned}$$

for the control  $\tilde{g}_n = \sigma_n(\rho_n) g_n$ .

**Regularity of  $\rho_n$ :** we have that  $\frac{1}{n} \leq \rho_n \leq n$  and  $\rho_n \in C^\infty(\mathbb{T}^d \times [0, T])$ .

**Deducing the regularity of  $H_n$ :** There exists  $H_n \in H^1_{\rho_n^\alpha}$  such that

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^\alpha \nabla H_n) \quad \text{and} \quad -\nabla \cdot (\rho_n^\alpha \nabla H_n) = \partial_t \rho_n - \Delta \rho_n^\alpha.$$

## IV. L.s.c. envelope of the rate function

**The fluctuation:** for some  $g \in L^2_{t,x}$  and  $\rho_0$  with finite entropy,

$$\partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \quad \text{with } \rho(\cdot, 0) = \rho_0.$$

**The recovery sequence:** for  $\sigma_n(\xi) = 0$  if  $\xi \leq \frac{1}{n}$  or  $\xi \geq n$ , solve

$$\partial_t \rho_n = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \sigma_n(\rho_n) g_n) = \Delta \rho_n^\alpha - \nabla \cdot (\rho_n^{\frac{\alpha}{2}} \tilde{g}_n),$$

for the control  $\tilde{g}_n = \sigma_n(\rho_n) g_n$  and with  $\rho_n(\cdot, 0) = \rho_{0,n}$ .

**Compactness:** the  $\rho_n$  satisfy uniformly the entropy estimate and

$$\rho_n \rightarrow \rho \quad \text{and} \quad \sigma(\rho_n) g_n \mathbf{1}_{\{\rho > 0\}} \rightarrow g \mathbf{1}_{\{\rho > 0\}} \quad \text{and} \quad I(\rho_n) \leq \|\sigma(\rho_n) g_n\|_2^2 \rightarrow \|g\|_2^2.$$

### Large deviations of the zero range process [F., Gess; 2023]

For the space of smooth fluctuations

$$\mathcal{S} = \{\partial_t m = \Delta m^\alpha - \nabla \cdot (m^\alpha \nabla H) : H \in C^{3,1}(\mathbb{T}^d \times [0, T])\},$$

we have that

$$\overline{I(\rho)} \Big|_{\mathcal{S}}^{\text{lsc}} = I(\rho) = \frac{1}{2} \inf \{ \|g\|_2^2 : \partial_t \rho = \Delta \rho^\alpha - \nabla \cdot (\rho^{\frac{\alpha}{2}} g) \}.$$

## VII. References



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