# STIR

# $Software\ for\ Tomographic \\ Image\ Reconstruction$

# User's Guide Version 5.1

## K. Thielemans

 $Hammers mith\ Imanet\ Ltd;\ Algorithms\ and\ Software\ Consulting\ Ltd;\ University\ College\ London$ 

Ch. Tsoumpas

Hammersmith Imanet Ltd; Imperial College London; King's College London

D. Sauge, C. Labbé, C. Morel

Hopital Cantonal Genève

M. Jacobson

Technion University

A. Zverovich

Brunel University

T. Beisel

Univ. of Paderborn

C. Falcón

Univ. of Barcelona

R. Twyman

 $University\ College\ London$ 

D. Deidda

National Physical Laboratory

M. Strugari

Dalhousie University

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## 1 Introduction

The objective of this document is to give practical information about the use of the object-oriented library for 3D Reconstruction of PET and SPECT data, called *STIR*. The most recent version of this document (and the library) can be found on

http://stir.sourceforge.net.

This library was originally developed by the PARAPET project (funded by the European Union during 1997-2000), extended by Hammersmith Imanet and made into an Open Source project.

The current library has different license restrictions than the original PARAPET distribution, with nearly all changes since then released under the Apache-2.0 license. Details on licensing are in the file **STIR/LICENSE.txt** that comes with the distribution.

See the publications section of the STIR website for information on which reference to use for STIR. You will want to read [Thi12], see [Fus13] for SPECT additions since STIR 3.0 (for STIR 1.x, use [Lab99a], [Lab99b]).

This guide attempts to give end users an overview of all the functionality in *STIR*. It mainly provides procedures for downloading and installing the necessary gnu g++ compiler tools and the *STIR* reconstruction building blocks. Then a brief explanation is given about how to run reconstruction algorithms as well as additional utilities. A description of the reconstruction building block library can be found on our web site (section *documentation*). A short (but very out-dated) review of analytic and iterative reconstruction methods is available in [PAR1.3] (available on the STIR web-site).

## 2 A general note on documentation in STIR

Although we attempt to keep all documentation up-to-date, we recommend to read documentation in the following order:

- general overview documents, such as this User's Guide
- the STIR Wiki
- online generated documentation (produced by doxygen). This is produced from comments and (partly) code in the source files.
- check the source itself if in any doubt.

Many questions have already been answered via the mailing lists. See the web-site on how to search these.

Any comments on documentation, and especially contributions are always welcome. Please use the stir-users mailing list.

## 3 Installation

This section describes how to install STIR. It is complemented by information on the STIR Wiki with information for specific systems etc.

## 3.1 Installing source files

Download the source at the STIR site:

http://stir.sourceforge.net

or from github.com/UCL/STIR/releases.

Alternatively, if you are feeling adventurous, you can get the most-recent developer version (no guarantees!) of STIR from

https://github.com/UCL/STIR.

Download the source files in the zip format. You can then use unzip from

http://www.info-zip.org/pub/infozip/. Extract using

> unzip -a file.zip

The -a option makes sure that files are extracted using the end-of-line convention appropriate for your system. Note that other programs that can handle zip files (such as WinZip) should work as well, although you might have problems with the EOL convention.

Note that you can put the distribution in any location (it does not have to be your home directory).

The result is a **STIR** directory and subdirectories, described in the annex section.

## 3.2 Installing external software

STIR relies on some external software and a few external libraries which enable certain functionality. On most systems, you should be able to get these using your package manager. Please check the Wiki for most up-to-date information.

## 3.2.1 BOOST

The only required external library is the well-respected *boost* library. If it is not installed on your system, you can download it from http://www.boost.org. Currently *STIR* only uses the include files from *boost* (so you do not need to build the boost libraries). However, you will need to tell your compiler where to find the boost/ directory with all the include files (see section 3.3.1).

## 3.2.2 **JSON**

The HUToMu and Radionuclide database functionality parse a file in JSON format. We use the nlohman\_json library for this. Installation instructions for this library are available on its github page.

## 3.2.3 C++ Compiler

In order to compile and run the *STIR* programs, you will need a compiler and associated tools. These days, any compiler should work. See the STIR Wiki for more specific information of which compilers we have tried. We would love to hear from any attempts of using another compiler.

## 3.2.4 Enabling ECAT 7 support

Older CTI (Siemens) scanners use a file format called ECAT<sup>TM</sup>. At present, *STIR* uses parts of the Louvain la Neuve ecat library (called LLN in the rest of this document).<sup>1</sup> The library might still be available on ftp://ftp.topo.ucl.ac.be/pub/ecat. It is also available for GATE users.

 $<sup>^1</sup>STIR$  versions 1.? came with specific files for ECAT6 support without the need for the LLN library. However, due to license restrictions this is now no longer the case.

You have to download that library and issue 'make –f Makefile.unix' (or 'make –f Makefile.cygwin' if you are using CYGWIN on Windows) in the new ecat directory first. Please get a recent version of this library (dated 20 July 2004 or later) as Merence Sibomana has introduced various bug fixes, some of which solve problems that you would otherwise experience when using *STIR* and ECAT7 files.

## 3.2.5 Enabling GE RDF support

This software includes support for GE Raw Data Format (RDF) version 9 files, used by the GE Signa PET/MR and some PET/CT scanners (depending on their software version). This is only enabled if CMake was able to find HDF5 libraries. For most operating systems this can be done via your package manager which we highly recommend. You could also download from the HDF5 group download page.

## 3.3 Building

Since version 2.2, *STIR* contains files to build STIR using the platform-independent CMake. This is now the only to build STIR as it is easier for configuration and finding system dependencies.

## 3.3.1 Using CMake

Normal build procedure on Linux/Unix would be something like<sup>2</sup>

- > cd /somewhere/nice/STIRbuild/Release
- > cmake-gui /somewhere/else/STIR&

This will bring up a basic interface where you might to have to choose your preferred build system (i.e. make on Linux/Unix) and do an initial configuration. Then you specify build variables. You can press Configure to do additional configurations, possibly repeatedly and finally Generate for generating your build files<sup>3</sup>. After this step, you will have to run your build system (e.g. on Linux etc, type make, then make test, then make install). A helpful note: You can specify just to make a single "target", using make <target>. All executable names are targets that make just the required executable (e.g., make FBP2D). A useful target for developers is to just make the tests, using make BUILD\_TESTS. So make BUILD\_TESTS && make test would build and then run the tests.

On Windows or MacOSX, the procedure is essentially the same, except that you will likely have to specify more locations. When using Visual Studio, XCode or other IDEs as your build system, you do not need to specify the Build Type, as you will be able to select that in your IDE.

More information on using CMake is on the STIR Wiki.

## 3.3.1.1 CMake configuration variables

GRAPHICS	Possible values: X, PGM, MATHLINK, NONE. X (default) uses basic X windows graphics, PGM writes the graphics to a .pgm file, MATHLINK uses the (external) MathLink library which could be used to send data directly to Mathematica, NONE switches off all graphics. See also section 5.15.
STIR_MPI	Toggles between ON, OFF. Enable parallel processing using MPI. STIR contains code for running OSMAPOSL and OSSPS in parallel-mode. See section 5.3 for information on how to run programs using MPI. <sup>4</sup> . <sup>5</sup>
STIR_OPENMP	Toggles between ON, OFF. Enable threaded processing using OPENMP. <sup>6</sup> See section 5.4 for information on how to run programs using OPENMP.
STIR_LOCAL	Specify location of directory with your own extensions to STIR. See the developer's guide.

<sup>&</sup>lt;sup>2</sup>Point CMake to the main STIR directory, not STIR/src.

<sup>&</sup>lt;sup>3</sup> When using ccmake as opposed to cmake-gui, the key presses are: c to do additional configurations, g for generating your build files, q to quit

<sup>&</sup>lt;sup>4</sup>In fact, any algorithm that uses PoissonLogLikelihoodWithLinearModelForMeanAndProjData. This functionality was contributed mainly by Tobias Beisel, Univ. of Paderborn). You need a version of the MPI library to get this to work. We have tested this using OpenMPI 1.4.2 and 1.4.5

<sup>&</sup>lt;sup>5</sup> When using MPI, you can set a compiler define STIR\_MPI\_TIMINGS=1 to enable additional timings for all send/receive pairs (see **distributed\_functions.h** in **include/stir/recon\_buildblock**. This should only be used for testing purposes as it can slow down STIR dramatically.

<sup>&</sup>lt;sup>6</sup>Since version 2.0, *STIR* contains preliminary code for running FBP2D in threaded mode (contribution by Tobias Beisel, Univ. of Paderborn). You need a compiler that supports OPENMP. Note however, that this code doesn't presently result in a decent speed-up of FBP2D.

$STIR\_ENABLE\_$	Toggles between ON, OFF. Enable to use experimental features in STIR.
EXPERIMENTAL	
$STIR\_CONFIG\_DIR$	Contains the location of the STIR configuration folder, which defaults to a sub-directory
	of CMAKE_INSTALL_PREFIX. See section 4 for more info rmation.
$STIR\_LEGACY\_$	Default to OFF. Introduced in v5.0.0 for backwards compatibility (will be removed in
$IGNORE_{-}VIEW_{-}$	a future version). When setting to ON, STIR will ignore the view offset (degrees)
OFFSET	keyword in Interfile headers and similar information in other places.
$STIR\_ROOT\_$	Default to OFF. Introduced in v5.0.1 for backwards compatibility (will be removed in a
ROTATION_AS_V4	future version). When setting to ON, STIR re-enables ad-hoc additions to the correspon-
	dence between GATE and STIR crystal indices "along" the ring. See section 5.5.9 for more
	info.

You can also (optionally) specify locations of some external libraries for additional IO capabilities of STIR.

- LLN files for ECAT support via LLN\_INCLUDE\_DIRS and LLN\_LIBRARIES.
- AVW<sup>TM</sup> (a commercial library<sup>7</sup>) via AVW\_ROOT\_DIR. See section 5.13.3.3 for usage. AVW support has not been tested since about 2010.
- ITK\_DIR, use IO from ITK, a large open source library. Specifying this enables NRRD, MetaIO and Nifti IO.
- CERN ROOT files used by GEANT and GATE via ROOT\_DIR. If this is not set, we will try to get it from the ROOTSYS environment (or CMake) variable.
- GE RDF<sup>TM</sup> 9 support via HDF5\_ROOT and related variables (requires the HDF5 library).

Note that you can use e.g.  $DISABLE\_LLN\_MATRIX$  to *not* use the LLN ECAT library, even if cmake found it. Here are some standard CMake variables that might be useful.

- set CMAKE\_BUILD\_TYPE to Release (default), Debug or RelWithDebug. (This is ignored when using Visual Studio or XCode).
- CMAKE\_INSTALL\_PREFIX specifies where the final installation has to occur. CMake provides normally a default in a system location, but you could set it for instance to ./install to put the files inside your build directory.
- CMAKE\_CXX\_STANDARD can be used to tell CMake to add flags to your compiler to use a particular version of the C++ standard (if it supports it). It is set to 11 since STIR 5.0. Other possible allowed values are 14 and 17. More recent version have not yet been tested.

When building the Python code, and you have multiple versions of Python installed, it is often necessary to specify the correct Python executable that you want, together with the libraries. You can use the PYTHON\_EXECUTABLE variable for this. If you specify the full path to the actual executable, this should be sufficient. If not, set PYTHON\_LIBRARY as well.

There are various other variables that can be set, some of which are only visible if you toggled the display of Advanced variables on. They should only be set by advanced users, or if a package cannot be found. For example, if CMake cannot find *boost*, you will have to set BOOST\_ROOT.

## 3.3.2 Operating system specifics

#### 3.3.2.1 Mac OS

The lln library does not compile on Mac OS.

## 3.3.2.2 All Unix/Linux flavours

If you want to use the X windows display routines, CMake should work out-of-the-box if you have suitable libraries installed, see the wiki for required packages.

 $<sup>^7\</sup>mathrm{See}$  www.mayo.edu/bir/Software/AVW/AVW1.html.

## X development libraries

If you experience compilation or linking problems mentioning X11, you have to check if the X development libraries are installed on your system. You can check this by doing

 $> {\tt find /usr -name Xlib.h -print}$ 

If this file is not found, you'll have to install these libraries somehow.

## X display depth

STIR version 1.0 required that your Xserver worked in 8bit mode (or Pseudocolor mode in X terminology). This is no longer necessary. However, if you are experiencing problems with the display, you could try 8bit mode anyway.

#### 3.3.2.3 Cygwin on Windows

Cygwin support has not been tested since about 2018 but likely still works. Instructions below might be out-of-date though.

If you are using Windows but would like to have nearly everything that Linux/Unix has to offer, Cygwin could help. Check out http://cygwin.com.

## Using X windows on cygwin

- Install the Xorg-x11 packages using the cygwin setup utility (you need the devel package).
- install neurses-devel if you don't have it yet (use the cygwin Net setup).
- make sure that /usr/X11R6/bin is in your PATH (necessary for DLLs)

You can start the Xorg X server by executing the startxwin.sh script (you might want to modify this a bit to suit your taste).

Other decent X servers should work as well (Kris Thielemans used Exceed at some point).

## 3.4 Running tests

We highly recommend running test programs after building. There are currently two sets of tests. The first set tests various components of *STIR*. This process is described on the Wiki, but briefly can be run using make BUILD\_TESTS && make test. The second set of tests is available via the *STIR* web-site, where we we provide test reconstructions as part of the recon\_test\_pack, with an automated procedure to see if you can reproduce the correct results. You really should download this and run it.

Note that if you are using a non-standard scanner, you might want to run one reconstruction on your data with the debug version of the reconstruction program, to see if everything works as intended. You'll probably want to choose a small value for the 'maximum absolute segment number to process' parameter in your reconstruction (see Section 5.9.1), as this will be **very** slow.

## 4 Configuration after installation

Since STIR version 5.0, STIR reads some configuration files, currently JSON files used for the estimation of attenuation factors from a CT image and a database with isotope information. The location of these files is found as follows

- Environment variable: if the STIR\_CONFIG\_DIR environment variable exists, it is used.
- CMake variable: when running *CMake*, you can specify the default location via the STIR\_CONFIG\_DIR CMake variable. By default, this is set to a sub-directory share/stir/config in your install folder.

If you want to use a non-default location, it is highly recommended to set the variable to an absolute path.

The installation process will copy the default configuration files to the path specified by the (CMake) STIR\_CONFIG\_DIR variable. This currently contains

- ct\_slopes.json which is used for the estimation of attenuation factors from a CT image,
- radionuclide\_info.json contains information on radio-isotopes, such as decay rates etc.
- radionuclide\_names.json contains a look-up table for radio-isotope names to convert them to the standard (as used by DICOM).

You might need to edit these files to add information for your scanner.

See section 5.13.1 for a utility to display the directory where the configuration files are found. See section 5.4 for information on OpenMP and mult-threading (if enabled when building *STIR*).

## 5 Running *STIR* programs

Here we describe:

- documentation and program conventions (section 5.1)
- supported file formats (section 5.5)
- list mode processing (section 5.7)
- rebinning algorithms (section 5.8)
- reconstruction programs (section 5.9)
- scatter estimation and simulation (section 5.10)
- parametric image construction using kinetic modelling (section 5.11)
- motion correction (section 5.12)
- utility programs (section 5.13)
- user-selectable components such as projectors, filters etc (section 5.14)
- display properties (section 5.15)

STIR is highly configurable and modular. It is therefore difficult to give a complete (and readable) description of all the options in this guide. Moreover, many options are common to many programs. For instance, a forward projector will be needed in many places, and there are different forward projectors implemented in STIR, each with their own set of parameters. Your best bet might be to start with some of the sample parameter files, and then come back to this guide. In particular, section 5.14 on user-selectable components gives more detail on what options are available and what their parameters are.

## 5.1 Conventions

When discussing command line parameters of the *STIR* executables, the following format is used in this documentation (and the usage messages):

```
> executable_name parameter1 parameter2 \
[optional_parameter3 [optional_parameter4 etc]]
```

This means that the first 2 parameters are mandatory, but a  $3^{rd}$  parameter can be given, or 4 parameters. A single parameter is usually given as one word, but sometimes as a string between < >.

All parameters have to be on a single command line. This is indicated by the backslash \, as in Unix this is the standard way of continuing a command line on the next line.

All executables are supposed to be located in the path of the command shell used.

Most STIR programs accept a single parameter on the command line, which is sometimes optional

#### > executable\_name [parameter\_filename]

The parameter file is a text file which uses an Interfile-like syntax. It is composed of keywords, corresponding to the names of the various parameters, with the values entered next to them. Spaces and tabs are normally irrelevant. Parameters omitted from the parameter file are assigned a default value. Comments are indicated via a semi-colon (;) at the start of the line. Do not put a comment before the first keyword in the file.

If a parameter file is not passed to the executable, some programs will prompt the user for the required information. For questions that ask for a number, the format is as follows:

What number do you want to enter today [minimum, maximum, D: default]:

If a simple Carriage Return is entered, the default value is selected.

Sample parameter files for particular programs (or part of a parameter file relevant to a particular common component) are presented in subsequent sections. See also the files in STIR/examples/samples/.

## 5.2 Error handling etc

STIR executables tend to write a lot of information to the terminal on what is happening. Check for lines starting with WARNING and ERROR. After an error, the executable will stop<sup>8</sup>. Information on some of the common causes for errors is on the Wiki.

All *STIR* executables return a status value to signify success or failure. What value this is depends on your Operating System. On Unix, Linux and Windows variations, success is indicated by a status of 0, and failure by anything else. There is currently no differentiation between the reasons for failure.

## 5.3 Running programs using MPI

If you have compiled with STIR\_MPI, you need run the executables in such a way that they know about the availables nodes. How to do this is system/MPI version specific. The following should work with OpenMPI on Linux:

```
> mpirun -np 12 --hostfile /myconf.txt OSMAPOSL mypars.par
```

where the host file describes your set-up, e.g. if you have 3 nodes with differing number of cores, the host file could look like this:

```
# The Hostfile for Open MPI
beo-09 slots=4
beo-10 slots=8
beo-11 slots=8
```

Without host file, the executables will normally be run on the host where you executed the command, which is useful for multi-processor/core machines.

MPICH would use a similar line using mpiexec.

If you have a queueing system that supports MPI such as Torque, it is better to use that system for sorting out available resources etc. For example, to use 2 nodes with 4 processes each, the following should work

```
> qsub -I -l nodes=2:ppn=4
```

This will open a interactive prompt on one node where you just type

> mpirun OSMAPOSL mypars.par

Of course, you can use qub to submit a job as opposed to getting an interactive prompt.

## 5.4 Running programs using OPENMP

If you have compiled with OPENMP support, the executables should run as normal. The environment variable OMP\_NUM\_THREADS can be set to the number of processes to be used for OpenMP. Without using this, the number of threads is defined as the number of cores on your system. It is probably useful to reduce the number of threads as currently performance is limited by the available cache in your processor.

## 5.5 File formats

The STIR utility and reconstruction programs frequently need to read and write files of image and projection data. Files formats are encountered in which data and header information are maintained in separate files (e.g. interfile). In other formats, data files carry header information (e.g. the native GE Advance sinogram format).

When reading a file, *STIR* will automatically discover its file format (independent of its name). See section 5.14.1 for supported output file formats. In addition, utilities are available for converting ECAT6 and ECAT7 to/from interfile (see Section 5.13.3).

<sup>&</sup>lt;sup>8</sup>On some systems there is at the end a somewhat confusing error about exceptions thrown, but ignore that and check the ERROR line.

#### 5.5.1 Interfile

The most comprehensively supported file format in the library is a newly proposed version of interfile. More details about this type can be found in the "Other info" section of the *STIR* website.

- For PET projection data, we use the proposed Interfile version. You can find sample Interfile headers in the recon\_test\_pack or by using create\_projdata\_template.
- For SPECT projection data, we use Interfile version 3.3 (with a few small changes). You can find a sample SPECT Interfile header in the samples directory.
- For images, we use the proposed Interfile version. Currently, images have to be written as PET data, even for SPECT.

Interfile is currently the only format supported for writing projection data (except by the *conv\_to\_ecat?* utilities). Projection data files are written in pairs:

 $projdata\_filename.hs,\ projdata\_filename.s$ 

where *projdata\_filename.hs* is the header text file and *projdata\_filename.s* is the data file. Please read section 5.14.1 for info regarding images written by *STIR* in Interfile.

#### 5.5.2 Siemens interfile-like

Siemens PET/CT and PET/MR scanners use a file format that is based on the mentioned proposed Interfile standard but with some variations. We read this since STIR 4.0, but do not write it.

## 5.5.3 VOLPET sinograms

The GE VOLPET singeram format for the GE Advance was supported for reading, but only for data files with a single data set, maximum ring difference 11 and contain 281 bins \* 256 segments \* 336 views. This has not been tested in a long time so will be removed in a future version.

#### 5.5.4 RDF9 data

See section 3.2.5 for enabling support for data from GE scanners that use the GE RDF format. We currently support uncompressed listmode, uncompressed sinograms and normalisation files. (well-counter files are not yet supported). Please check examples/GE-Signa-PETMR for some information.

#### 5.5.5 ECAT6 and ECAT7 data

See section 3.2.4 for enabling support for ECAT data.

ECAT6 data are no longer supported.

ECAT7 sinograms, attenuation files and images can be read without conversion. However, only the first frame (ECAT matrix 1,1,1,0,0) will be read for static reconstruction.

Warning The calibration factor field in the main header of ECAT7 images is currently ignored.

For dynamic or gated data, conversion can be used, see 5.13.3.

Warning ECAT7 support is no longer tested.

## 5.5.6 Image IO using the AVW library

AVW support has not been tested since about 2010. See the conv\_AVW utility (section 5.13.3.3).

## 5.5.7 Image IO using the ITK library

If you compiled *STIR* such that it could find the *ITK* library, you will be read all image formats supported by *ITK*, see the ITK Wiki for some information. However, many file formats do not specify geometric information (e.g jpg). For those that do, *STIR* currently timing. Except for DICOM, orientation and offset code assumes the patient is in HFS position. Only if DICOM is used and the correct DICOM codes are set (0018, 5100) will the orientation be correct.

Note that as DICOM files often store only a single slice, *STIR* attempts to find other slices belonging to the same series. When there are multiple time frames/gates in a folder, these may be incorrectly treated as a single volume.

#### 5.5.8 SimSET files

end ROOT header :=

There are some preliminary files to make it easier to use SimSET and STIR together in the **SimSET** directory. See the README.txt in that directory for more info.

## 5.5.9 ROOT files as output by OpenGATE

We highly recommend STIR-GATE-Connection to be sure that GATE input and STIR images are aligned etc. We give information on the details here.

You need to create a text file header for your ROOT file to specify the scanner (as this information is not stored in the ROOT file). We tend to name this header something.hroot but this is not mandatory.

We currently support only ROOT output using the Cylindrical PET and ECAT systems from GATE.<sup>9</sup>

Warning: There is a check of consistent scanner geometry information between STIR template projection data headers that are used for lm\_to\_projdata with the information in the .hroot file as discrepancies can lead to crashes if the actual number of blocks/crystals etc is larger than what is specified in the scanner info. However, this check still requires the .hroot file to accurately correspond to your GATE macro (which are not read by STIR).

Warning: Since STIR 5.0, some scanners (currently some Siemens scanners) default to using "virtual crystals" to accommodate for gaps between blocks. If you use the originating system to specify the scanner, this will be automatically enabled. (If you do not want this, set it to User\_defined\_scanner and specify all values).

An OpenGATE scanner geometry is modular. For CylindricalPET, STIR uses the conversion scheme detailed in Table 2.

GATE	STIR
Crystal	Crystal
Submodule	Block
Module	Bucket
Rsector	Buckets around the ring

Table 2: A guide on the terminology equivalents between STIR and OpenGATE for CylindricalPET scanner geometries.

A full example is given in STIR/examples/samples/root\_header.hroot. Below are 2 shorter examples. If the scanner is known to stir::Scanner, you can use this

```
ROOT header :=
Originating system := Siemens mMR

; specify GATE output format (could be GATE_ECAT_PET as well)
GATE scanner type := GATE_Cylindrical_PET
GATE_Cylindrical_PET Parameters :=
; name of the actual ROOT file
name of data file := mysim.root

; See elsewhere for other parameters
End GATE_Cylindrical_PET Parameters :=
```

This is of course very brief, but it is hard to know if the STIR defined scanner is compatibile with your GATE macros. Below is an example using a user-defined scanner.

 $<sup>^9</sup>$ There are some unfinished classes available on the STIR web-site to read LMF format files, in conjunction with the LMF library. However, these might be out-dated. .

```
ROOT header :=
Originating system := User_defined_scanner
Number of rings
                                        := 4
                                        := 504
Number of detectors per ring
Inner ring diameter (cm)
                                         := 65.6
Average depth of interaction (cm)
                                       := 0.7
Distance between rings (cm)
                                         := 0.40625
Default bin size (cm)
                                         := 0.208626
Maximum number of non-arc-corrected bins := 344
Default number of arc-corrected bins := 344; default is same as previous value
View offset (degrees)
                                         := 0 ; default, but see info below
GATE scanner type := GATE_Cylindrical_PET
GATE_Cylindrical_PET Parameters :=
  ; name of the actual ROOT file
  name of data file := mysim.root
  ; root tree chain to use
  name of input TChain := Coincidences
  ; Gate scanner geometry terminology used to check scanner properties
  number of Rsectors := 504
  number of modules_X := 1
  number of modules_Y := 1
  number of modules_Z := 1
  number of submodules_X := 1
 number of submodules_Y := 1
  number of submodules_Z := 1
  number of crystals_X := 1
  number of crystals_Y := 1
  number of crystals_Z := 4
  Singles readout depth := 1
  ; exclude different event types
  exclude non-random events := 0
  exclude unscattered events := 0
  exclude scattered events := 0
  exclude random events := 0
  low energy window (keV) := 0 ; Default 0
  upper energy window (keV):= 10000; Default 10000
End GATE_Cylindrical_PET Parameters :=
end ROOT header :=
```

See STIR/examples/samples/root\_headerECAT.hroot for an example using the ECAT system.

OpenGATE energy information is recorded in MeV units into the ROOT file. For STIR files, e.g. .hroot and .hs, should use keV units. STIR assumes this convention and will convert this automatically.

## 5.5.9.1 STIR GATE geometry alignment

## Rotation

When View offset is 0, STIR's first crystal/detector index is at y = -R, while GATE (by default) places the centre of the first module at x = +R. This results in a rotation of about 90 degrees in the reconstructed images unless accounted for. Two possible correction methods exist:

- 1. Rotate the GATE scanner geometry using /gate/cylindricalPET/placement/setRotationAngle.
- 2. Use the View offset (degrees) parameter in the scanner definition of the .hroot header file.

Of course, these 2 methods can be combined. This can be necessary if you want to reproduce the gemoetry of an existing scanner. For instance, most GE scanners have the first "bucket" horizontally on "top" of the scanner. See an example to accommodate this by using a rotation by -90 degrees in the GATE macro ROOT\_STIR\_consistency/Gate\_macros/geometry.mac and a small rotation for the "bucket" in ROOT\_STIR\_consistency/root\_header\_test\_template.hroot.

Note, pre-STIR version 5.0.1, a number of rotations were used in STIR to rotate the scanner and align GATE simulation with STIR. However, these were removed because with the addition of View offset (degrees) into scanner definitions. More information can be found in the documentation/release\_5.0.htm notes. Translation

At present, STIR image coordinates are such that the origin is in the centre of the first plane, normally corresponding to the centre of the first detector ring. GATE macros usually define the origin in the centre of the scanner. Therefore, when comparing shapes generated with STIR (e.g. via generate\_image), and geometric shapes from GATE, you need to use the following

$$z_{\text{STIR}} = (N-1)d/2 + z_{\text{GATE}} \tag{1}$$

with d the ring spacing (as given in the STIR files) and N the number of rings.

## 5.5.9.2 ROOT exclusion keys configurations

The exclude xxx events := 1 keys can be used to process specific types of events. Below are sets event types that will be processed with an exclusion or combination of exclusions. The exclusions of an event type is false by default.

Event(s) type	Exclusion Configuration
All events	None
Scattered from same eventID	$exclude \ random \ events := 1$
Unscattered from same eventID	
Unscattered from same eventID	$exclude\ scattered\ events := 1$
Unscattered from different eventIDs	
Scattered from different eventIDs	exclude non-random events := 1
Unscattered from different eventIDs	
Scattered from same eventID	$exclude \ unscattered \ events := 1$
Scattered from different eventIDs	
Unscattered from same eventID	$exclude \ random \ events := 1$
	$exclude\ scattered\ events := 1$
Unscattered from different eventIDs	exclude non-random events := 1
	$exclude\ scattered\ events := 1$
Scattered from same eventID	$exclude \ random \ events := 1$
	$exclude \ unscattered \ events := 1$
Scattered from different eventIDs	exclude non-random events := 1
	$exclude \ unscattered \ events := 1$

Table 3: A guide on how to process specific types of events from a ROOT file using various exclusion keys and combinations of keys.

## 5.6 Multi header format

It is often useful to be able to constract a single dataset from multiply components (e.g. images or projection data). We have a (STIR-specific) header format for this which is very simple, for example

```
Multi :=
  total number of data sets := 2
  data set[1] := sinogram_1.hs
  data set[2] := sinogram_2.hs
end :=
```

Each "component" will be read independently, so could in principle be in a different file format from the others. We currently support this format for dynamic images and projection data.

## 5.7 List mode processing

Some scanners produce list mode data, which is essentially a list of events. *STIR* provides utilities to use the list mode files, for example to convert them to sinograms. It is also possible to reconstruct images directly from list mode data.

Currently supported list mode formats are specific to the ECAT HR+, ECAT EXACT 3D and Siemens mMR scanners. We also support *CERN ROOT* files as output by OpenGATE (see section 5.5.9).

#### 5.7.1 lm\_to\_projdata

This utility can be used to bin (or "sort") the list mode data into 'projection data' (also known as **3D sinograms**. This can then be processed further by other *STIR* utilities. It needs to be run as follows:

> lm\_to\_projdata par\_filename

See STIR/examples/samples/lm\_to\_projdata.par for an example file. Please check out the online documentation (as generated by doxygen) for more info.

Currently, each time frame is written in a different file (as Interfile). Gating will be supported in a future version.

## 5.7.2 lm\_to\_projdata\_bootstrap

As before, but using bootstrapping (with replication). This is useful to generate multiple realisations from a single list mode file to study variance.

You can use it as follows:

> lm\_to\_projdata\_bootstrap par\_filename seed

The seed is a positive integer (do not use 0). Specifying different seeds will give you different noise realisations. You can use the same parameter file as for lm\_to\_projdata.

#### 5.7.3 lm\_to\_projdata\_with\_random\_rejection

As before, but using random rejection of events. This is useful to generate multiple sub-samples from a long list mode file to study variance.

You can use it as follows:

> lm\_to\_projdata\_with\_random\_rejection par\_filename seed ratio

The seed is a positive integer (do not use 0). Specifying different seeds will give you different noise realisations. The ratio is a number between 0 and 1, the default value is 0.5 which will save half of the events. You can use the same parameter file as for lm\_to\_projdata.

## 5.7.4 lm\_to\_projdata\_NiftyPET

If STIR has been built with NiftyPET-wrapped [Mar18] support, then GPU-accelerated functionality can be used to extract projection data from listmode files. It can also be used to estimate randoms and norm projection data.

To extract a projection data for the first 1000 s of the listmode file, list.1, saving the prompts, randoms and norm to disk, you can use it as follows:

> lm\_to\_projdata\_NiftyPET list.l 0 1000 -N norm.n.hdr -p sino -r rands -n norm

Note that to extract the norm projection data, it is necessary to supply the binary ECAT8 normalisation file (either with the extension .bf (straight from the scanner) or .n converted to interfile format.

Also note that this functionality is currently limited to the Siemens mMR scanner, span-11 projection data, and NiftyPET requires a CUDA-enabled GPU.

## 5.7.5 lm\_fansums

Computes fan-sums, i.e. for each detector, sum all data that are in coincidence with that detector. Results are written as a simple text file. This could be used to check if all detectors are working properly for instance.

#### 5.7.6 list\_lm\_info

Writes exam-info and (optionally) geometric info on underlying projection data to stdout. Run without arguments to see a usage message.

#### 5.7.7 list\_lm\_events

Allows inspecting the events in a list mode file. Run without arguments to see a usage message.

#### 5.7.8 list\_lm\_countrates

Outputs a text file with (comma separated) colums of count-rates per time interval like

```
start_time_in_secs , end_time_in_secs , num_prompts , num_delayeds
```

Run without arguments to see a usage message.

## 5.8 Rebinning algorithms

In 3D PET, the name *rebinning* is used for the process of manipulating the 3D projection data set to the equivalent of 2D projection data. This reduces the number of segments (see *STIR* Glossary) to 1. Popular rebinning algorithms are SSRB, FORE and variations such as FOREX, and FOREJ. Rebinning is often used before reconstruction to decrease total reconstruction time.

## 5.8.1 SSRB

The Single Slice Rebinning algorithm [Dau87] is the oldest and simplest rebinning algorithm. It essentially ignores the obliqueness of a Line of Response and moves data to the axial position in segment 0 such that z-resolution on the axis of the scanner is preserved.

The *STIR* implementation of SSRB is a generalisation that applies the same idea while still allowing preserving some of the obliqueness. For instance, for a dataset with 9 segments, **SSRB** can produce a new dataset with only 3 segments. This essentially increases the axial compression (or *span* in CTI terminology), see the *STIR* Glossary on axial compression. In addition, **SSRB** can introduce extra *mashing* (see the *STIR* Glossary) of the data, i.e. add views together.

Usage:

num\_segments\_to\_combine has to be odd. It is used as the number of segments in the original data to combine.

- num\_views\_to\_combine has to be at least 1 (which is the default). It is used as the number of views in the original data to combine.
- do\_normalisation has to be 1 (default) or 0. When it is 1, the result is normalised, i.e. divided by num\_segments\_to\_combine\*num\_views\_to\_combine. This is appropriate for rebinning data where normalisation has already been applied, but inappropriate otherwise.
- template\_filename inicated by the *-template* flag, is the sinogram type that the *input\_projdata\_name* will be mapped to. This allows for the effective use of *num\_segments\_to\_combine* to be an even number, e.g. the conversion between span-1 and span-2 projection data sets.
- max\_in\_segment\_num\_to\_process defaults to all segments. Can be used to ignore the most oblique segments in the input data. Note that the most oblique segments that cannot be rebinned completely will be ignored automatically. For instance, when the input data has 7 segments, and num\_segments\_to\_combine is 3, only 3 segments are used from the input (and 1 output segment produced), as 9 input segments would be necessary to produce 3 'complete' output segments.

#### 5.8.2 FORE

The Fourier Rebinning algorithm [Def97] is probably the most popular rebinning algorithm. It is based on an approximate formula called the "frequency-distance relationship". FORE works better than SSRB but starts to fail with larger acceptance angles. Also, the mathematics for FORE only works if the data were fully precorrected before running FORE.

Usage: > rebin\_projdata fore.par The form of a typical parameter file<sup>10</sup> is as follows: Rebin projdata Parameters := rebinning type := FORE FORE Parameters := input file := Your\_Input\_File\_Name.hs output filename prefix := Your\_Output\_File\_Name Smallest angular frequency := 20 Smallest transaxial frequency := 20 Index for consistency := 20 Delta max for small omega := 10 maximum absolute segment number to process := 2 FORE debug level := 0 End FORE Parameters:= END:=

For the meaning of these parameters, check the documentation for the FourierRebinning class or read src/include/recon\_buildblock/FourierRebinning.h.

## 5.9 Image reconstruction programs

## 5.9.1 Iterative algorithms

Two statistical reconstruction algorithms are currently implemented:

**OSMAPOSL** an implementation of the OSEM-One Step Late algorithm with various additional refinements and capabilities. See [Jac00] for a description of many details of the implementation.

**OSSPS** an implementation of the Ordered Subsets Paraboloidal Surrogate algorithm by Erdogan and Fessler, and Ahn and Fessler [Ahn2003].

Since STIR 2.0, all iterative algorithms use a generalised objective function<sup>11</sup> which the algorithm tries to maximise. The result of the algorithm will obviously depends very much on the objective function used.

Currently, only Poisson-based objective functions are implemented in *STIR*. We have an objective function for static projection data (*aka* sinograms), list mode data and parametric images from dynamic projection data (currently only using the Patlak model).

Most parameters are shared between algorithms and objective functions. Therefore, once you understand how to run e.g. OSEM, it should be easy to understand OSSPS etc. This guide therefore describes the case of running OSMAPOSL on projection data in detail first. Other algorithms (and objective functions) are then described as variations on this case.

## 5.9.1.1 Running OSMAPOSL on static projection data

The program **OSMAPOSL** executes the IF-OSEM-OSL algorithm. This is a generalisation of the One Step Late algorithm [Gre90] but allowing inter-iteration filtering and/or a prior. Note that this algorithm is in general not convergent.

## OSMAPOSL allows

- the use of subsets, similar to the OSEM algorithm [Hud94]. OSMAPOSL will only work when the subsets are

<sup>&</sup>lt;sup>10</sup>Parameter values used here are for illustration only and are *not* necessarily recommended.

<sup>&</sup>lt;sup>11</sup>We call them *generalised* because they do not necessarily have to correspond to a function. Most algorithms only require that we can compute some kind of gradient. As an example, the update of OSEM with the Median Root Prior (MRP) does not correspond to the gradient of a function.

approximatelly balanced (i.e. the number of subsets has to be a divisor of the number of views). In fact, by default this implementation <sup>12</sup> assumes that the subsensitivity image is proportional to the total sensitivity image, but this can be switched off .

- inter-update filtering (applying a filter to the image before it is multiplied with the update image) [Jac00]
- inter-iteration filtering (applying a filter after the rest of the image update is performed), sometimes called EMS [Sil90], see also [Mus04].
- post-filtering (apply a filter after the last subiteration)
- applying the prior information in an additive or multiplicative way [Mus01]
- random permutation of the order of the subsets in each iteration

See [Jac00] for more information, and also the online documentation for the class **OSMAPOSLReconstruction**.

The successive image iterates of the algorithm are saved at pre-specified subiteration intervals in a sequence of image files. The files are named with a pre-specified output file prefix with the subiteration number appended after an underscore.

As described in Section 5.1, the recommended manner of running **OSMAPOSL** is to pass the executable a parameter file argument which specifies the relevant parameters of the reconstruction. Parameters omitted from the file are assigned a default value. If a parameter file is not used, the program prompts the user for the required information.

The form of a typical parameter file<sup>13</sup> is as follows:

```
OSMAPOSLParameters :=
; lines starting with semicolons are comments
objective function type:= \
  PoissonLogLikelihoodWithLinearModelForMeanAndProjData
PoissonLogLikelihoodWithLinearModelForMeanAndProjData Parameters:=
; input, sensitivity and prior parameters here
input file := projection_data_filename.hs
; use -1 to use the maximum available
maximum absolute segment number to process := 4
zero end planes of segment 0 := 1
; keywords that specify the projectors to be used
Projector pair type := Matrix
Projector Pair Using Matrix Parameters :=
   ; Use the PET Ray-tracing matrix.
   ; Change to SPECT UB when using SPECT data with parallel-hole collimators
   ; Change to Pinhole SPECT UB when using SPECT data with pinhole collimators
  Matrix type := Ray Tracing
     Ray Tracing Matrix Parameters:=
   End Ray Tracing Matrix Parameters:=
 End Projector Pair Using Matrix Parameters :=
; background (e.g. randoms)
additive sinogram := 0
; sensitivity related keywords
 ; time frame info used for dead-time calculation when using ECAT7
 ;time frame definition filename:=
 ;time frame number:= 1
 ; normalisation and attenuation info
 ; Bin Normalisation type:= None
 recompute sensitivity := 1
 use subset sensitivities:=1; recommended
```

<sup>&</sup>lt;sup>12</sup>In fact, this assumption is part of the PoissonLogLikelihoodWithLinearModel class, which is the base class of the objective function that OSMAPOSL uses.

<sup>&</sup>lt;sup>13</sup>Parameter values used here are for illustration only and are *not* recommended.

```
; optional filename to store/read the sensitivity image
 ; (if use subset sensitivity is off)
 ;sensitivity filename:=
 ; optional filename to store/read the subsensitivities
 ; use %d where you want the subset-number (a la printf)
 subset sensitivity filenames:= sens_%d.hv
; keywords for specifying the prior information
prior type := None
; next keywords can be used to specify image size, but will be removed
; they are ignored when using an initial image
zoom := 1
; use --1 for default sizes that cover the whole field of view
XY output image size (in pixels) := -1
end PoissonLogLikelihoodWithLinearModelForMeanAndProjData Parameters:=
; set output file format, if omitted a default value will be used
Output file format := Interfile
Interfile Output File Format Parameters :=
; byte order := little-endian
; number format := signed integer
; number of bytes per pixel := 2
End Interfile Output File Format Parameters :=
initial estimate:= initial_image_filename.hv
enforce initial positivity condition:=1
number of subsets:= 6
start at subset:= 0
number of subiterations:= 30
start at subiteration number:=1
output filename prefix := out_file
save estimates at subiteration intervals:= 2
uniformly randomise subset order:= 1
; keywords that specify the filtering that occurs after every subiteration
; warning: do not normally use together with a prior
inter-iteration filter subiteration interval := 4
inter-iteration filter type := Separable Cartesian Metz
 ; keywords below will depend on the filter type (see text)
 separable cartesian metz filter parameters :=
  x-dir filter fwhm (in mm) := 6
  y-dir filter fwhm (in mm) := 6
  z-dir filter fwhm (in mm) := 6
   ; use some sharpening here as example (not really recommended though)
  x-dir filter metz power := 2
  y-dir filter metz power := 2
   z-dir filter metz power := 2
 end separable cartesian metz filter parameters :=
; keywords that specify the filtering that occurs at the end
; of the reconstruction
post-filter type := None
; keywords that specify the filtering that occurs before
; multiplying with the update image
inter-update filter subiteration interval := 4
```

```
; would have to be filled in.
inter-update filter type := None

map model := additive

; keywords for preventing too drastic (multiplicative) updates
; below just set to their default values
maximum relative change := 3.40282e+38
minimum relative change := 0

; enabling this will write the multiplicative update images
; every sub-iteration
write update image := 0

END :=
```

See STIR/examples/samples/ for example parameter files.

The following gives a brief explanation of the parameters. Where appropriate, the notation [min, max, default] is used to specify allowable numeric ranges. Values of  $\infty$  indicate that the largest value possible for the numeric type of the parameter value is allowed.

## Objective function related parameters (Poisson statistics)

**input file** The name of the file for the measured projection data.

**zero end planes of segment 0** If this is set to 1, the reconstruction pretends that the projection data measured in the extreme-most rings of the scanner (the end planes of segment 0) are zero. See also the discussion in Section 5.9.1.

time frame definition filename See Bin Normalisation type

**time frame number** Defaults to 1. Currently only used for finding the frame number and hence dead-time (in ECAT7). See *Bin Normalisation type*.

Bin Normalisation type This keyword is used to set both normalisation factors and attenuation correction factors. See section 5.14.8.

use subset sensitivities Defaults to 1 (recommended). If you set this to 0, it is assumed that all subset sensitivities are equal, and therefore proportional to the total sensitivity. We can then save some memory by internally storing only the total sensitivity. However, in many cases and in particular f you have non-uniform attenuation, this assumption will generate some artefacts in the image.

recompute sensitivity Defaults to 0. If sensitivity filename or subset sensitivity filenames are specified and recomputation is switched off, the sensitivity data will be read from file, and the Bin Normalisation type keyword will be effectively ignored. On the other hand, if the filename is set and recomputation is switched on, the (subset) sensitivity will be written to that filename. This parameter might be useful to avoid recomputing the sensitivity if it does not change.

sensitivity filename The sensitivity image is an important ingredient of likelihood-based reconstruction algorithms of PET or SPET emission data <sup>14</sup> For each voxel, it represents the total probability of detection of an event originating in that voxel (up to a global proportionality factor). It is computed by backprojecting projection data with all elements set to 1.

The name of the file containing the sensitivity image. The default is to compute it from the previous keywords. You could set it to 1, which is am image uniformly 1 over all voxels. Using this is generally a bad idea however. It is only somewhat appropriate when using fully precorrected data in 2D PET. Even in that case, you will get an image with the wrong scale factor.

Note that when no attenuation nor normalisation was used, the sensitivity will be computed based on geometrical backprojection only. This only appropriate when reconstructing from pre-corrected projection data.

<sup>&</sup>lt;sup>14</sup>In STIR 2.0, this is generalised to the sensitivity for any problem with Poisson statistics where the data are linear combinations of the variables of interest.

- subset sensitivity filenames This should be used instead of sensitivity filename when using subset sensitivities. It should provide a pattern á la printf<sup>15</sup> to allow constructing different filenames for each subset.
- **Projector pair type** Specifies the back/forward projector pair to be used in the reconstruction. See Section 5.14.4 for possible values. We recommend using matching projectors (e.g. based on a single projection matrix).
- additive\_sinogram This parameter can be used to take randoms or scatter into account. It is a constant background term that will be added to the forward projected data in the denominator of the MAP-OSL algorithm. Please note that currently the forward projector produces 'geometric' data. This is because the normalisation and attenuation coefficients can be cancelled out between the forward and backprojector. They then remain only in the sensitivity image and the background term. This means that in effect, a normalised and attenuation corrected randoms sinogram would have to be passed as 'additive sinogram'. By suitably adding a term to the input projection data, the Shifted Poisson version of OSL can be run as well.
- maximum absolute segment number to process Denoting the input value by  $num\_segments$ , this indicates that the reconstruction will be carried out using segment numbers  $-num\_segments$  through  $+num\_segments$  of the measured projection data. Defaults to use all segments in the input data.
- **prior type** The type of prior information. See Section 5.14.3 for the list of possible values.
- **zoom** The zoom factor. Defaults to 1 which gives x,y voxel size equal to the bin size of the projection data<sup>16</sup>. Larger than 1 means smaller voxel size. This parameter is ignored when an *initial estimate* is specified. This parameter will be removed in a future version.
- **XY output image size (in pixels)** Number of pixels to use in x,y direction. Default (-1) covers the whole FOV as determined from the projection data and gives an odd number of pixels. This parameter is ignored when an *initial image* is specified.

This parameter will be removed in a future version.

#### Algorithm settings

- **output filename prefix** The output filename prefix. When image iterates are saved, subiteration numbers are appended to this prefix after an underscore.
- **output file format type** Set output file format, if omitted a default value will be used. Subsequent parameters will depend on the type of output file format. See section 5.14.1.
- initial estimate The name of the image file with which the algorithm is initialised. The default is an image which is uniformly 1 over all voxels. The default can be specified by giving the parameter an input of 1. Using an input of 0 will use a uniformly 0 image, but this is inappropriate for OSMAPOSL.
- **enforce initial positivity condition** If this parameter is not set to 0, the program will set all non-positive voxel values in the initial estimate to small positive ones.
- **number of subsets** [1, num\_views, 1] The number of subsets. For symmetric balancing among subsets, it is advisable to select a number which divides evenly into num\_views, or even num\_views/4, depending on the symmetries used by the projector.
- uniformly randomise subset order When set to 1, the order of the subsets for each full iteration is randomly permuted (uniformly).
- start at subset [0, num\_subsets-1, 0] Specifies with which subset in the ordered subset sequence to start, where the subsets are enumerated from  $\theta$  to  $num_subsets-1$ .
- **number of subiterations**  $[1,\infty,1]$  The number of subiterations to run.
- start at subiteration number  $[1,\infty,1]$  Initializes the subiteration counter to the input value. Useful for resuming reconstructions (for example, the continuity of output image file numbering may be preserved).

<sup>&</sup>lt;sup>15</sup>In fact, boost::format is used, so the pattern can be more flexible.

<sup>&</sup>lt;sup>16</sup>This is different from the CTI convention which involves some factors like the number of elements and 128.

- save estimates at subiteration intervals [1, num\_subiterations,0] Specifies at what intervals (in subiterations) the iterates of the algorithm are saved. The final iteration is always saved.
- inter-iteration filter subiteration interval [0, num\_subiterations, 0] Specifies at what intervals (in subiterations) inter-iteration filtering is carried out. A value of 0 disables inter-iteration filtering.
- inter-iteration filter type The type name of the inter-iteration filter. See Section 5.14.2 for the list of possible values.
- post-filter type The type name of the post filter. See Section 5.14.2 for the list of possible values.
- inter-update filter subiteration interval [0, num\_subiterations, 0] Specifies at what intervals (in subiterations) inter-update filtering is carried out (see [Jac00]). A value of 0 disables inter-update filtering.

  (OSMAPOSL specific)
- inter-update filter type The type name of the inter-update filter. See Section 5.14.2 for the list of possible values.

(OSMAPOSL specific)

map model: additive|multiplicative The default choice additive applies the prior on the image as is, the other choice multiplicative applies it essentially on the image times the sensitivity image. See [Mus01] for more details.

(OSMAPOSL specific)

- maximum relative change [0, 3.40282e+38, 3.40282e+38] The multiplicative update image will be thresholded from above with this value (at every subiteration except the first) *i.e.*, before multiplying it with the old image to get the new one. The default value does not impose any thresholding (as in strict OSMAPOSL). However, we find that when subsets are used, a value of about 10 is beneficial. (OSMAPOSL specific)
- minimum relative change [0, 3.40282e+38, 0] The multiplicative update image will be thresholded from below with this value (at every subiteration except the first).

  (OSMAPOSL specific)
- write update image [0, 1, 0] When this is set to 1, OSMAPOSL will write the multiplicative update images every sub-iteration.

(OSMAPOSL specific)

## 5.9.1.2 Notes and technical issues regarding the selection of parameters

## Application of filters:

In addition to the remarks in section 5.14.2 on filtering, one should note the following

- (i) Inter-iteration filtering (or inter-update filtering) generally results in resolution that is object dependent and space varying. This is probably not what you want. See [Mus04,Mus02] for more details.
- (ii) If a strictly positive Metz power parameter is chosen, a non-trivial Metz filter results whose frequency response possesses an amplifying middle frequency band. In this case, it is potentially hazardous to choose too small a corresponding FWHM parameter. For then, the amplifying mid-band may coincide with the high frequencies of the noise components of the image and hence strengthen these components. Empirically, we have found that good lesion detectability is obtained by IMF-OSEM with Metz powers of 1, together with FWHMs of 40%-75% the relevant dimensions of the lesion (see also [Jac00]).
- (iii) All filtering operations in all iterative algorithms currently implemented in the library apply post-thresholding to ensure positivity at each iteration. Future releases may allow users to vary the thresholding rule or de-activate it.

## Zeroing end planes of segment 0:

The "zero end planes of segment 0" option is made available to help users of the *STIR* reconstruction software overcome a modelling difficulty created by an awkward image discretisation convention. So that images reconstructed using the *STIR* software can be compared to those of other reconstruction software, the *STIR* library defaults to an image discretisation scheme that has become common among most commercial analytic reconstruction software packages. In this scheme, images are discretised on a voxel grid in which there are 2\*num\_rings-1 transaxial slices of voxels, num\_rings denoting the number of rings in the scanner. The axial extent of each slice is

half that of the detector rings and the extreme-most voxel slices are centered about the mid-plane of the extreme-most detector rings. A consequence of this set-up is that the voxel grid does not cover the entire length of the scanner, but rather leaves a gap at each end of the scanner whose axial extent is half the axial length of a detector ring.

We have discovered that this creates a problem for iterative MLE algorithms when reconstructing activity distributions that extend into these "end gaps". For the activity present in the gaps is surely detected by the scanner. However, the restricted extent of the voxel grid imposes a model of the projection data statistics in which the activity in the gaps is zero. As a result, the algorithm assigns an excess of activity to the end planes of the image grid, seemingly because they are the next most likely origins of the annihilations in the end gaps. In turn, the reconstructed images exhibit excessively bright end planes.

From a theoretical point of view, there is nothing "wrong" with such reconstruction results. The image observed approximates a maximum likelihood estimate consistent with the parametric model assumed. From a practical point of view, however, it is obvious that the parametric model assumed is an inferior one, since it does not reflect the physical reality that activity is present in the end gaps. In turn, poor quantification of the end plane activity results.

We have found that using the "zero end planes of segment 0" option can often remedy this problem. This causes the reconstruction to pretend that the detector pairs in the extreme-most detector rings detected no counts. For the scanners currently supported by the library, we have verified that only the measurements of these detector pairs can be influenced by the end gap activity. By setting this option, one models the acquisition as one where the end ring detector pairs had zero efficiency. This provides a more realistic model for the truncated projection data. Note also that the acquisition software of some scanners (e.g. the Positron HZL/R) automatically discards the end plane data. For the same reasons, it is advisable to use the "zero end planes of segment 0" in these cases as well.

The method of zeroing end planes, unfortunately, does not apply well to scanners whose 2D projection data includes merged cross-planes (e.g. the GE scanners or all recent Siemens scanners). This is because the currently supported projectors yield a relatively poor model of the detection probabilities associated with the end planes of such scanners. Excluding the end detector pairs from the computation degrades the model still further. Consequently, some of the voxel values in the end planes may get very large (i.e. noisy) with increasing iterations. For such scanners, we advise that users forego the "zero end planes of segment 0" option. Doing so still yields very reasonable results in the interior part of the reconstructed image. Moreover, future development of the STIR library's suite of projector functions will remedy most of the problems discussed in this section.

Finally, for phantom studies in which the activity is known to lie within the space covered by the voxel grid, the end plane phenomenon does not arise.

## 5.9.1.3 Extra parameters the MPI version

When running the MPI version of OSMAPOSL on distributed memory systems, the following extra parameters can be set in the .par files.

- **enable distributed caching** (default: 0) Enables/disables the caching algorithm to save some communication overhead (according to Tobias Beisel's tests, this makes only makes a difference with very large files).
- **enable distributed tests** (default : 0) Tests to check whether the distributed functions work. This is of no use if you're not developing new code for the parallel version. It could be thrown out of the code at some point.
- **enable message timings** (default : 0) Prints timings of send and receive operations, to see where the time gets lost. Could be used for later optimization.
- message timings threshold (default: 1.0) Defines a threshold of the above timings, so that not all messages are timed, but those which consume a serious amount of time.
- enable rpc timings (default : 0) Measures the total time and the average slave time spend on RPC\_process\_related\_viewgrams\_gradient() function. Gives some indication of how much time you save by calculating in parallel.

See [Bei08] for some info on performance.

## 5.9.1.4 OSSPS

#### Algorithm description

OSSPS is a relaxed preconditioned sub-gradient descent algorithm:

$$\lambda^{\text{new}} = \lambda + \zeta D \nabla \Psi$$

with  $\lambda$  the parameters to be estimated,  $\Psi$  the objective function, D a dagional matrix (the preconditioner) and  $\zeta$  an iteration-dependent number called the relaxation parameter (see below).

D depends on  $\Psi$ . The data-dependent term in the preconditioner suggested in Ahn and Fessler turns out to be equal to the product of the Hessian of  $\Psi$  summed over all columns (while using the data plug-in approach for approximating the Hessian close to the solution). Therefore, this OSSPS implementation uses this prescription in general and can be applied to any objective function implemented in STIR.

Note that the exact paraboloidal surrogate algorithm by Erdogan and Fessler is currently not implemented.

The relaxation value for the additive update follows the suggestion from Ahn and Fessler:

$$\zeta = \frac{\alpha}{1 + \gamma n} \tag{2}$$

Note that because of the nature of the preconditioner, the OSSPS algorithm does not perform very well in our experience when there is no "additive sinogram".

## **Running OSSPS**

Most of what has been described above for OSMAPOSL applies verbatim for OSSPS. See STIR/examples/samples/ for example parameter file(s). The following describes the parameters that are specific to OSSPS:

precomputed denominator if you do not specify this keyword, the data-dependent part of the precomputed denominator will be computed automatically (and saved using output filename prefix). You can use this parameter to re-use the file from a previous run.

Note: setting the value to 1 will use an images full of ones (which is not a good idea!)

relaxation parameter  $\alpha$  in the formula 2 above

relaxation gamma  $\gamma$  in the formula 2 above

**upper bound** you can give an upper bound on the image values (the lower bound is always zero). The upper bound defaults to a very large value.

## 5.9.1.5 Using list mode data as input

Since STIR 2.0, you can specify an objective function that takes list mode data as input and uses a projection matrix. This can be used to do a static image reconstruction without any resolution loss etc.

The following gives the main parameters to use for the objective function (illustrated with OSMAPOSL).

```
OSMAPOSLParameters :=
       objective function type:=\
                 PoissonLogLikelihoodWithLinearModelForMeanAndListModeDataWithProjMatrixByBinardComparison And Comparison Formula (Comparison Formula (Comparison
      PoissonLogLikelihoodWithLinearModelForMeanAndListModeDataWithProjMatrixByBin Parameters:=
              list mode filename:= listmodefile.lm
              time frame definition filename:= timeframes.fdef
               ; time frame to use data for the reconstruction
              time frame number:=1
              ; or use a total number of events (if larger than 0, frame definitions will be ignored)
              ; note that this counts the total of prompts
             num events to use := 0
              ; maximum segment number to process (defaults to all segments)
              ;maximum absolute segment number to process:=
              ;; acquisition modelling
              ; matrix to use for forward and back projection (defaults to ray tracing)
              ;Matrix type:= using ray tracing
```

```
;bin normalisation type := None ; see elsewhere for allowed values
    ; background term
    additive sinogram:=
    ; sensitivity keywords (see the corresponding objective function for projection data)
    ;; speeding-up things (experimental. will likely change)
    ; if set to 1, additive_sinogram will be completely stored in memory, as opposed to re-reading from disk
    reduce memory usage := 0
    ; cache listmode events in memory and on file
      ; set this number > 0 to enable this feature
      ; depending on this max size, one or more files will be created in cache_path (see below)
     max cache size := 0
      ; location of cache files (defaults to current working directory, needs to exist)
      ; if set to 1, any existing cache file will be overwritten (defaults to 1)
     recompute cache := 1
    ; if you are sure your subsets are balanced, you can save a bit of time by skipping the test
    skip checking balanced subsets := 0
    ; other usual objective function parameters
 End PoissonLogLikelihoodWithLinearModelForMeanAndListModeDataWithProjMatrixByBin Parameters:=
  ; normal OSMAPOSL algorithm parameters
End:=
```

Some parameters are the same as for the projection data case, see 5.9.1.

Note that for some scanners, listmode data are stored using axial compression or view mashing (see the STIR glossary). Therefore, a listmode file has "intrinsic" projection data info associated to it. You can see what this is using

```
> list_lm_info --geom <filename>
```

You need to provide the additive sinogram (and noormalisation when using From ProjData, see 5.14.8) with the same dimensions.

## Use of caching (experimental feature)

Since STIR 5.1, it is possible to use cache listmode events in memory (and on disk). This is enabled by setting max cache size to a non-zero (and preferably large) number. This will result in faster reading, but also enables multi-threaded calculation if OpenMP is used.

It is also possible to re-use existing cached files, currently called <cache path>/my\_CACHE%d.bin. This could be handy to distribute files to someone that doesn't have a particular listmode reader (e.g. the UPenn format depends on files that we cannot distribute with STIR). However, the cache files are simple binary files and could be compiler dependent. Moreover, when re-using existing cache files, there is **no** check on time frames or number of events, which might lead to surprising (i.e. wrong!) results. Therefore, recompute\_cache defaults to 1 (i.e. ignore existing cache files).

## 5.9.1.6 Parametric image estimation algorithms

Both OSMAPOSL and OSSPS work have implementations to estimate parametric images from dynamic data, called **POSMAPOSL** and **POSSPS** respectively. Their parameters are identical to the static versions of the algorithms, except that they need a different type of objective function. Currently, the only defined version is where the input is dynamic projection data and the Patlak linear model is used to go from dynamic images to parametric images. See section 5.11 for more information.

Below is an excerpt of a possible parameter for file **POSMAPOSL**. A complete parameter file can be found in STIR/examples/samples/. The only change is the fact that dynamic data form the input, and that a (linear) kinetic model has to be specified, see 5.11.

```
objective function type:=\
     {\tt PoissonLogLikelihoodWithLinearKineticModelAndDynamicProjectionData}
  PoissonLogLikelihoodWithLinearKineticModelAndDynamicProjectionData Parameters:=
  ; input dynamic projection data with emission
  input file := SOME_DYNAMIC_PROJDATA.S
  ; specify additive (dynamic) projection data to handle randoms or so
  additive sinograms := SCATTER_AND_RANDOMS_ADDED_ECAT7.S
  ; kinetic model specification
  Kinetic Model type := Patlak Plot
    Patlak Plot Parameters :=
    ;; see the Patlak model description elsewhere
    end Patlak Plot Parameters :=
  ; other parameters such as projectors and sensitivity
  ; identical to the static case
  end PoissonLogLikelihoodWithLinearKineticModelAndDynamicProjectionData:=
  ; normal OSMAPOSL algorithm parameters
End:=
```

#### 5.9.1.7 HKEM

OSMAPOSLParameters :=

## Algorithm description

Hybrid kernelised expectation maximisation (HKEM) and kernelised expectation maximisation (KEM) iterative algorithms are implemented corresponding to the one presented by Deidda D et al, "Hybrid PET-MR list-mode kernelized expectation maximization reconstruction", Inverse Problems, 2019, DOI: https://doi.org/10.1088/1361-6420/ab013f. However, this allows also sinogram-based reconstruction. Each voxel value of the image,  $\lambda$ , can be represented as a linear combination using the kernel method. If we have an image with prior information, we can construct for each voxel j of the emission image a feature vector,  $v_j$ , using the prior information. The voxel value,  $\lambda_j$ , can then be described using the kernel matrix

$$\lambda_j = \sum_{l=1}^{L} \alpha_l k_{jl}$$

where  $k_{jl}$  is the  $jl^{th}$  kernel element of the matrix, K. The resulting algorithm with OSEM, for example, is the following:

$$\alpha_j^{(n+1)} = \frac{\alpha_j^{(n)}}{\sum_m k_{jm}^{(n)} \sum_i p_{mi}} \sum_m k_{jm}^{(n)} \sum_i p_{mi} \frac{y_i}{\sum_q p_{iq} \sum_l k_{ql}^{(n)} \alpha_l^{(n)} + s_i}$$

where the element, jl, of the kernel can be written as:

$$k_{jl}^{(n)} = k_m(\mathbf{v}_j, \mathbf{v}_l) \cdot k_p(\mathbf{z}_j^{(n)}, \mathbf{z}_l^{(n)});$$

with

$$k_m(\boldsymbol{v}_j, \boldsymbol{v}_l) = \exp\left(-\frac{\|\boldsymbol{v}_j - \boldsymbol{v}_l\|^2}{2\sigma_m^2}\right) \exp\left(-\frac{\|\boldsymbol{x}_j - \boldsymbol{x}_l\|^2}{2\sigma_{dm}^2}\right)$$

being the MR component of the kernel and

KOSMAPOSL Parameters:=

$$k_p(\boldsymbol{\mathcal{Z}}_j^{(n)}, \boldsymbol{\mathcal{Z}}_l^{(n)}) = \exp\left(-\frac{\|\boldsymbol{z}_j^{(n)} - \boldsymbol{z}_l^{(n)}\|^2}{2\sigma_p^2}\right) \exp\left(-\frac{\|\boldsymbol{x}_j - \boldsymbol{x}_l\|^2}{2\sigma_{dp}^2}\right)$$

is the part coming from the emission iterative update. Here, the Gaussian kernel functions have been modulated by the distance between voxels in the image space.

Below is an excerpt of a possible parameter file for KOSMAPOSL. A complete parameter file can be found in STIR/examples/samples/. The changes in this parameter file includes the MR anatomical prior images and the kernel-based parameters.

An example of a KOSMAPOSL parameter file can be found in examples/samples/. It is similar to the OSMAPOSL

```
; the following disables the alpha coefficient output:
disable output :=1
; here we have the possibility to choose the parameters which define the kernel
; matrix and the name of the anatomical image. the following are the defaults values:
; 1 (default): use hybrid kernel (prior from MR and PET estimate)
; 0 kernel is MR-only
hybrid:=1
; Gaussian scaling parameter for the anatomical prior (units of image intensity)
; It controls the edge preservation from the anatomical image, the bigger the stronger
; default: 1
sigma_m:= 1
; Gaussian scaling parameter for the PET estimate (units of PET image intensity)
; It controls the edge preservation from the functional image, the bigger the stronger
; default: 1
sigma_p:=1
; NB: sigma_dm and sigma_dp should be the same
; Spatial Gaussian scaling parameter for the anatomical prior (mm)
; default: 1 (usual range 1-5)
sigma_dm:=5
; Spatial Gaussian scaling parameter for the PET prior (mm)
; default: 1 (usual range 1-5)
sigma_dp:=5
; Number of neighbouring voxels to compare: (num_neighbours X num_neighbours X num_neighbours)
; default: 3
number of neighbours:= 3
; Number of non-zero elements in the feature vectors. This makes you choose the size of your feature vecto
; default: 1
number of non-zero feature elements:=1
; this are the file names of the anatomical images (at the moment we can accept only one image)
anatomical image filenames:= {MRbrain.hv}
```

```
; the following should be 1 if you want to reconstruct 2D data
only_2D:=1

; the following is the output prefix of the PET reconstructed image
kernelised output filename prefix :=KOSMAPOSL

;the following is only set if you want to freeze the kernel matrix after a certain subiteration. Default i
;freeze iterative kernel at subiteration number :=21

objective function type:= PoissonLogLikelihoodWithLinearModelForMeanAndProjData
PoissonLogLikelihoodWithLinearModelForMeanAndProjData Parameters:=

.
.
.
```

End KOSMAPOSL Parameters :=

## 5.9.2 Filtered back projection (FBP2D)

This implements SSRB+FBP. Currently, data have to be completely precorrected before-hand (arc-correction will be performed automatically if necessary).

The implementation is careful about the implementation of the ramp-filter to avid problems with the DC component (see RampFilter.cxx for more details).

As described in Section 5.1, the recommended manner of running **FBP2D** is to pass the executable a parameter file argument which specifies the relevant parameters of the reconstruction. Parameters omitted from the file are assigned a default value. If a parameter file is not used, the program prompts the user for the required information.

A sample par file can be found in the samples directory. Below are the parameters you probably need.

```
fbp2dparameters :=
input file := input.hs
output filename prefix := output
; output image parameters
; zoom defaults to 1
zoom := 1
; image size defaults to whole FOV
xy output image size (in pixels) := 180
; can be used to call SSRB first
; default means:
; if no axial compression, use 3
; otherwise, use 1
; num segments to combine with ssrb := -1
; filter parameters, default to pure ramp
alpha parameter for ramp filter := 1
cut-off for ramp filter (in cycles) := 0.5
; keywords that specify the filtering that occurs at the end
; of the reconstruction
post-filter type := None
end :=
```

The filter parameters can be used to specify an apodising window with 2 parameters: a cut-off frequency fc and  $\alpha$  which specifies the usual Hamming window (although I'm not so sure about the terminology here).

$$(\alpha + (1 - \alpha) * cos(\pi * f/fc))$$

The actual implementation works differently to overcome problems with defining the ramp in frequency space (with a well-known DC offset as consequence). We therefore compute the ramp\*Hanning in "ordinary" space in continuous form, do the sampling there, and then DFT it.

Warning: the current version of the interpolating backprojector, the default backprojector used by FBP2D, has a central artefact on some systems (including Sparc and 64-bit AMD and Intel processors).

## 5.9.3 3D Reprojection Algorithm (FBP3DRP)

This implements Kinahan and Rogers FBP algorithm with reprojection of the missing data [Kin89]. The implementation is fairly generic and should be able to handle non-standard data (e.g. with less or more sinograms in a segment than you would normally have). Also, the Colsher filter is numerically computed at a finer grid in an initial stage to avoid DC problems.

A sample.par file can be found in the samples directory. See separate documentation on FBP3DRP, the doxygen documentation (or the source) for more info. Similar to FBP2D, an apodizing window can be specified. FBP3DRP defaults to using the "pure" Colsher filter.

Warning: the current version of the interpolating backprojector, the default backprojector used by FBP3DRP, has a central artefact on some systems (including Sparc and 64-bit AMD and Intel processors).

## 5.10 Scatter Estimation and simulation

Coincidence events where one or more of the photons are scattered form a major contribution to the data in 3D PET. This scatter background needs to be estimated and taken into account during reconstruction. In *STIR 2.1*, a version of the Single Scatter Simulation (*SSS*) algorithm [Wat96, Wat00, Wat97, Wat04, Wer02, Poe03] has been implemented and evaluated [Tso04]. Polycarpou et al describe in detail how to perform scatter correction using STIR [Pol11].

Since STIR 4.0, there is a class ScatterEstimation and executable scatter\_estimation that performs the iterative process necessary to estimate the scatter from measured data.<sup>17</sup>

A full example of scatter estimation (and other steps) is provided in examples/Siemens-mMR. The following describes the various components.

## 5.10.1 Scatter simulation

The actual scatter simulation process consists of 2 steps:

- Use **simulate\_scatter** to find subsampled (single) scatter estimate from current emission image
- Use upsample\_and\_fit\_single\_scatter

These steps are now discussed in more detail.

#### > simulate\_scatter simulate\_scatter.par

Note that this if you enable the downsample\_scanner option, the class currently simulates scatter for the downsampled scanner, and needs to be followed by upsampling (see below).

Example simulate\_scatter.par

```
Scatter Simulation Parameters := Scatter Simulation type := PET Single Scatter Simulation PET Single Scatter Simulation Parameters := ; threshold below which not to bother putting a scatter point ; in a voxel (in cm^-1)
```

<sup>&</sup>lt;sup>17</sup>There is also a script estimate\_scatter.sh that performs most of the steps by calling various other executables, as detailed below. However, it is strongly recommended to ignore this script.

```
attenuation_threshold :=.01
; Place the scatter points in a random position of a voxel or in the centre
   randomly_place_scatter_points := 1
; Caching (of the line integrals) speeds up the estimation drastically.
   use_cache := 1
   activity_image_filename := ACTIVITY_IMAGE
   attenuation_image_filename := DENSITY_IMAGE
   template_projdata_filename := SUBSAMPLED_PROJECTION_DATA_TEMPLATE
   output_filename_prefix := OUTPUT_PROJECTION_DATA
   ; downsample the template projection data
   downsample scanner := 0 ; default value is off!
   ; if the above is 1, let STIR do the downsampling (recommended!).
   ; This is safer than doing it yourself, physical size will be preserved etc.
   ; With the following settings it should use sensible defaults
   downsampled scanner number of detectors per ring := -1
   downsampled scanner number of rings := -1
; advanced usage (don't use these!)
   ; use your own down-sampled attenuation image
   attenuation_image_for_scatter_points_filename :=
   ; or specify dimensions yourself
   zoom XY for attenuation image for scatter points := -1
   zoom Z for attenuation image for scatter points := -1
   XY size of downsampled image for scatter points := -1
   Z size of downsampled image for scatter points := -1
   ; you can write the zoomed image to file
   attenuation image for scatter points output filename :=
End Single Scatter Simulation Parameters:=
End Scatter Simulation Parameters:=
```

activity\_image current estimate of the activity (or emission) image

attenuation\_image mu-map or attenuation image (at 511 keV and in units cm<sup>-1</sup>), used to compute the line-integrals (in the exponentials) in the Klein-Nishina formula.

template\_proj\_data "sinogram" template. If downsample\_scanner is on, it is first downsampled.

random select random scatter points in each selected scatter voxel (as in [Wat96])

attenuation\_threshold allows excluding voxels with too low attenuation as scatter points (all voxels will be used for the attenuation line integrals)

attenuation\_image\_for\_scatter\_points "subsampled" attenuation image (at 511 keV and in units cm<sup>-1</sup>), used to select scatter points (and their corresponding mu), normally computed automatically. This is used to speed up your calculation. When caching line integrals (which is the default), it also means that the scatter estimation needs less memory.

The reason we use 2 different attenuation images is to be able to investigate if the sampling used for the attenuation in those 2 situations makes any difference. Unfortunately, we didn't complete that investigation so cannot recommend what to use for the original attenuation image (for large uniform objects, it won't make any difference, for objects with small features of high attenuation, it might). As discussed in the literature however, you can safely reduce the number of scatter points and still get good estimates.

Optional Data Initialisation If you want more control over the scatter simulation, you can provide the following input data yourself. Note however that is not recommended as you can easily do things wrong.

• You can construct a "sub-sampled sinogram" template (i.e. same geometrical characteristics but less rings and detectors without arc-correction) as suggested in literature (e.g. in [Tso04]). This template sinogram should have no compression (number of views = half the number of detectors per ring, and span = 1 (see the STIR

glossary). Use a number of tangential positions that is (Number of detectors per ring - 1) to cover all possible detector pairs. You can reduce this if your scanner has a narrower FOV, but be careful that you do not make it too small (otherwise you will get strange behaviour at the edge when upsampling the scatter estimate). Because of a limitation in the upsampling routine, it is currently recommended to have ring difference 0 only in this template.

• You can construct the "subsampled" attenuation image using <code>zoom\_image</code>. There is however a current STIR limitation described on <code>Github issue 495</code> that imposes a relation between the number of voxels and zoom. It is therefore strongly recommended to let <code>simulate\_scatter</code> take care of this (you can safely set either the z-zoom or the z-size, and xy-size has to be odd). We perform a consistency check in the code to make sure results will be fine.

See below for information on upsampling.

#### 5.10.2 Scatter estimation

The scatter estimation is based on images that do not include scatter, therefore an iterative procedure is needed such that scatter is eliminated progressively. In the first iteration, scatter is overestimated and thus images are overcorrected for it. Then, in the second iteration scatter is underestimated. The mean value of the two scatter estimates can be used for scatter correction. Two/three iterations are usually enough to estimate a good approximation of scatter.

There are a lot of parameters that can be set in scatter estimation. It is highly recommended to use the example parameter files provided in the examples folder. In particular, you could check the fully working example for a NEMA phantom provides for the Siemens mMR.

```
> estimate_scatter scatter_estimate.par
   Example scatter_estimate.par
Scatter Estimation Parameters :=
;;; input
; Measured data
input file := ${sino_input}
; Attenuation Image
attenuation image filename := ${atnimg}
; Normalisation coefficients & attenuation data
; If only one normalisation input is set;
; it will be assumed that they are attenuation correction factors.
;; The algorithm will assume that the first is normalisation, i.e. the
;; efficiency factors and the second the attenuation. You should not
;; change this order.
Bin Normalisation type := Chained
Chained Bin Normalisation Parameters:=
   Bin Normalisation to apply first:= from ProjData
           Bin Normalisation From ProjData :=
            normalisation projdata filename:= ${NORM}
       End Bin Normalisation From ProjData:=
Bin Normalisation to apply second:= From ProjData
Bin Normalisation From ProjData :=
           normalisation projdata filename:= ${acf3d}
        End Bin Normalisation From ProjData:=
END Chained Bin Normalisation Parameters:=
;; Background data (not normalised)
```

```
background projdata filename := ${randoms3d}
;;; Output
; Export scatter estimates of each iteration
export scatter estimates of each iteration := 1
output scatter estimate name prefix := ${scatter_prefix}
output additive estimate name prefix:= ${total_additive_prefix}
;;; Parameters
;Run in debug mode
;; A new folder called extras will be created, in which many
;; extra files will be stored (default is off)
run in debug mode := 0
;; Mask for tail-fitting
; It will be computed by masking the attenuation image, and forward projecting that.
; If recompute mask projdata == 0, then the filename must be set.
recompute mask projdata := 1
mask projdata filename := ${mask_projdata_filename}
; Input or output filename - depends on recompute
recompute mask image := 1
mask image filename := ${mask_image}
mask attenuation image min threshold := 0.003
mask attenuation image postfilter filename := ${scatter_pardir}/postfilter_Gaussian.par
;End of Mask
; Run simulation and reconstruction in 2D (this is currently the only possibility)
run in 2d projdata := 1
;; ScatterSimulation parameters
; specify either via a separate file or directly here
scatter simulation parameter filename := ${scatter_pardir}/scatter_simulation.par
; keyword for parsing here
; Scatter Simulation type := ...
; scatter simulation parameters would need to follow (see one of the examples)
; next option is the default
use scanner downsampling in scatter simulation := 1
;; specify how to run the reconstructions
; you should use a large voxel size to save time.
; Important to use filtering to avoid problems with the downsampling.
reconstruction parameter filename := ${scatter_pardir}/run_reconstruction.par
; could use keyword here instead
; reconstruction type := ...
; number of times which the Scatter Estimation will iterate. Default is 5
number of scatter iterations := ${num_scat_iters}
; Average the first two activity images to reduce the initial oscillatoins
do average at 2 := 1
;; tail fitting parameters
; Parameter file for the tail fitting of the scatter data (within the mask)
```

```
tail fitting parameter filename := ${scatter_pardir}/tail_fitting.par
maximum scatter scaling factor := 2
minimum scatter scaling factor := 0.4
; smooth scale factors (defaults to 3)
upsampling half filter width := 3
```

This still needs documenting. Apologies. Some parameters are similar to what is described below.

## 5.10.2.1 tail-fitting

End Scatter Estimation Parameters :=

Performance of the scatter estimation is strongly determined by the tail-fitting. By default, the "tails" are determined by forward projecting an image mask (normally constructed by thresholding the attenuation image, but you can supply one) and thresholding in the sinogram. Alternatively, you can pass a file using the mask projdata filename parameter. You could use a separate utility for creating this mask.

```
> create_tail_mask_from_ACFs --ACF-filename <projdata> \
--ACF-threshold <number (1.1)> \
--output-filename <projdata> \
--safety-margin <0>
```

ACF-filename Attenuation Correction Factors Projection Data Filename

ACF-threshold Value used to threshold ACF and creates the mask, defaults to 1.01

safety-margin This dilates the mask for a number of safety bins in tangential direction

output-filename The file that new projection data will be stored

The following utility can be used to manually upsample with tail-fitting. Its underlying code is used in the scatter\_estimate utility above.

```
> upsample_and_fit_single_scatter \
--min-scale-factor <number> \
--max-scale-factor <number> \
--remove-interleaving <1|0> \
--half-filter-width <number> \
--output-filename <filename> \
--data-to-fit <filename> \
--data-to-scale <filename> \
--norm <filename> \
--weights <filename>
```

This program takes the coarse scatter estimate, upsamples it to the size of the emission data (data-to-fit) and performs "tail-fitting": the tails in the sinograms are supposed to contain only scattered counts, so the upsampled scatter estimate is scaled to fit the emission data in the tails. The tails are determined from the weights parameter.

min-scale-factor,max-scale-factor: Both min and max scale factors are set such that the scaling does not explode for some reason. STIR's scatter routine is scaled such that scale-factor=1 is appropriate to model single scatter only. For human patients, the presence of multiple scatter and out-of-FOV scatter means that the actual scale factor will be a bit more than 1. How much will depend on your scanner, the size of the patient etc. In most cases it shouldn't be more than 2. Therefore, limiting the scale factor between 0.9 and 2 is probably a good idea.

**remove-interleaving**: Remove the interleaving in the coarse scatter estimate before upscaling (it is recommended to set this to 1)

half-filter-width: width of filter to apply on estimated scale factors (in axial direction). The filter is a simple boxcar.

output-filename: Scatter projection data at the emission data settings

data-to-fit: Normalised Emission sinogram (corrected for randoms)

data-to-scale: Course scatter sinogram

**norm**: optional parameter to specify normalisation appropriate for the emission data (see below). The parameter expects the filename of a .par file with the following keywords

Bin Normalisation parameters:=
type:= <type info as usual>
<other keywords for the chosen type>
END:=

weights: This is the mask sinogram, or any other weighting factors on projection space that is used to define the region of scaling.

If the emission data was pre-normalised, the *norm* parameter should not be used. However, this strategy fails for scanners which have zero detection efficiency in some bins (as pre-normalisation cannot work in those bins). Therefore, it is also possible to use emission data without normalisation, but pass the normalisation info to the fitting. In effect, what this will do is find scale factors  $\alpha$  that minimise

$$\sum_{b} w_b (D_b - \alpha U_b / N_b)^2$$

with b an index running over the bins in the sinograms, D the data to fit (normally randoms-corrected emission), N the normalisation factors, U the upsampled scatter estimate. (If no normalisation is given, N = 1). The output of the program is the final scatter estimate:

$$S_b = \alpha U_b/N_b$$

The procedure of upsampling involves B-Splines interpolation for the tangential (Cubic), axial (Cubic) and view (Linear) dimensions, while for oblique segments, currently the interpolation is achieved by applying the pseudo-inverse transform of Single Slice Rebinning as described in [Tso05].

## 5.10.3 Limitations

The SSS can estimate 3D scatter that is measured by indirect rings but no interpolation of indirect rings is currently in place. Therefore, upsampling of oblique sinograms is currently handled in a simple way i.e. (pseudo)-inverse SSRB but ideally interpolation of the 3D sinograms will be useful. In the current version, we have only SSS in STIR with scaling per sinogram of the scatter sinograms for taking consideration of the out of field of view activity and multiple scattering events. This generally seems to produce good results in most instances [Thi07].

## 5.11 Parametric Image Construction using kinetic modelling

A parametric image class (**ParametricDiscretisedDensity**) has been implemented that at the moment can hold two parameters<sup>18</sup>. In this release *Patlak plot* [Pat83, Pat85], i.e. a linear kinetic model for tracers with irreversible kinetic behavior, has been implemented. The **PatlakPlot** class is derived from the **KineticModel** class.

The user will need to provide information about:

- File of input function (e.g. plasma activity or reference tissue activity values);
- Calibration Factor (i.e. scale factor that sets the projection data to the same units as the input function)
- Starting frame to apply Patlak Plot
- Delay between input function and PET measurement
- Time-frame definition file There is currently no check that these time-frame definitions are consistent with the actual data!

<sup>&</sup>lt;sup>18</sup>Most relevant *STIR* classes are templated in the number of parameters and would therefore work with numbers. There are some preprocessor defines however to shorten the code in a few places. Currently this uses #DEFINE NUM\_PARAMS 2.

- The boolean *In total counts* is 0 or 1 depending if the counts are total (which is currently the case in STIR reconstructions) or mean over each frame
- In correct scale should be set to 1 if *STIR* has already scaled correctly the images according to *x\_voxel\_size* (i.e. voxels have the same values as for zoom=1) (this needs to be set to 0 for STIR reconstructions).

Example Sample File: PatlakPlot.par

```
Patlak Plot Parameters:=

time frame definition filename :=
starting frame := UNSIGNEDINT
calibration factor := FLOAT
blood data filename := plasma.if
; In seconds
Time Shift := FLOAT
In total counts := 1
In correct scale := 1

end Patlak Plot Parameters:=
```

Current assumptions are that an F-18 tracer is used; the input function is not decay corrected and it is given in a text file with three columns: time of the sample in seconds, plasma-activity<sup>19</sup> in kBq/cm-3, and blood-activity in kBq/cm-3). The total number of samples has to be in the very first row. (See an example in the recon\_test\_pack/test\_modelling\_input). The data are stored as a vector of PlasmaSample objects.

Currently, the parametric image can be in (STIR-specific) Interfile, use a Multi header (see 5.6), or ECAT7 format with num\_frames being equal to num\_params.

```
How to use Patlak Plot for post-reconstruction fitting:

> apply_patlak_to_images \
output-parametric-image input-dynamic-image patlak-plot.par
How to Estimate Dynamic Images using Patlak Plot Parametric Images:

> get_dynamic_images_from_parametric_images \
output-dynamic-image input-parametric-image patlak-plot.par
Of course the reference tissue model can also be applied:
```

```
time frame definition filename :=
starting frame := UNSIGNEDINT
calibration factor := 1
blood data filename := tac.roi
; In seconds
Time Shift := 0
In total counts := 1
```

Patlak Plot Parameters:=

end Patlak Plot Parameters:=

In correct scale := 1

**Important Note**: The current version of indirect reconstruction does not consider any special weighting for the time frames. This is a good approximation only if the counts at each frame is very similar which is mostly true for frames with the same duration. If the user needs to use *weighted least-squares*, the code will need minor modification.

Moreover, two direct reconstruction version of linear kinetic models have been also implemented, evaluated [Tso08, Tso07] and optimised [Ang11]. These are the parametric versions of **OSEM** and **OSSPS** therefore called **POSEM** and **POSSPS**.

```
> POSMAPOSL POSEM.par
```

<sup>&</sup>lt;sup>19</sup>If you have no plasma info, it is customary to use a published function to convert blood activity to plasma activity. *STIR* has currently no functionality for this however.

## > POSSPS POSSPS.par

The parameter files include the information of the kinetic model as described for the indirect case and the declaration of the new objective function type

PoissonLogLikelihoodWithLinearKineticModelAndDynamicProjectionData, see 5.9.1.

**Important Note**: Patlak plot Parameters are highly correlated thus **POSEM** and **POSSPS** will struggle to compute the parameters [Tso07]. However, for (non-negative) kinetic models that correlation is minimum it is expected that they will work fine.

## 5.12 Motion Correction

Motion correction has become an important task in PET imaging. Amongst several approaches to motion-correct PET data, two are the most common: reconstruct-transform-average (RTA) [Kle96] and motion-compensated image reconstruction (MCIR) [Li06]. In RTA, separate images are reconstructed for each motion "state" (or "frame"), which are then transformed to one reference frame and averaged to produce a motion-corrected image. In MCIR, the projection data from all frames are reconstructed together by including the motion information into the reconstruction system matrix, so that a motion-corrected image is produced directly. In both cases it is assumed that an accurate description of the motion is available.

In STIR, the motion compensation can happen either before or during reconstruction. Two research papers have validated the implementation of RTA and MCIR in STIR [Pol12], [Tso13]. As these are based on OSMAPOSL their names are RTA-OSMAPOSL and MCIR-OSMAPOSL. The implementation has been validated only for the additive median-root-prior (MRP), but it is compatible with any MAP reconstruction of STIR. Furthermore, the implementation can also work for OSSPS but the current version of MCIR-OSSPS needs further debugging.

During RTA, motion correction is performed by first reconstructing independently the raw data of each respiratory position using a conventional iterative algorithm, such as the ordered subsets expectation maximisation (OSEM). Then the reconstructed image of each gate is transformed to the reference position using known motion fields. The transformed gates are then averaged to produce a motion-corrected image. For example, when using MRP:

$$\Lambda_{\nu g}^{(s+1)} = \Lambda_{\nu g}^{(s)} \frac{1}{\sum_{b \in S_l} P_{\nu b} A_{bg} + \beta_g \nabla_{\Lambda_{\nu}} E_{\nu}^{(s)}} \sum_{b \in S_l} P_{\nu b} \frac{Y_{bg}}{\sum_{\tilde{\nu}} P_{b\tilde{\nu}} \Lambda_{\tilde{\nu}g}^{(s)} + \frac{B_{bg}}{A_{bg}}}$$
(3)

where

$$\beta_g \nabla_{\Lambda_\nu} E_\nu^{(s)} \equiv \beta_g \frac{\Lambda_{\nu g}^{(s)} - M_\nu^{(s)}}{M_\nu^{(s)}} \tag{4}$$

$$\Lambda_{\nu} = \frac{1}{G} \sum_{g} \sum_{\nu'} \hat{W}_{\nu'g \to \nu}^{-1} \Lambda_{\nu'g}$$
 (5)

The transform-and-average operation is performed by the warp\_and\_accumulate\_gated\_images utility.

For MCIR the motion transformations are incorporated directly into reconstruction. The motion corrected image is reconstructed using the following iterative formula which is based on conventional OSEM including motion via the forward / backward transformation operators, as well. Again using the Median Root Prior, we get

$$\Lambda_{\nu}^{(s+1)} = \Lambda_{\nu}^{(s)} \frac{1}{\sum_{b \in S_{l}, g} \sum_{\nu'} \hat{W}_{\nu'g \to \nu}^{-1} P_{\nu'b} A_{bg} + \beta \nabla_{\Lambda_{\nu}} E_{\nu}^{(s)}} \times \sum_{b \in S_{l}, g} \sum_{\nu'} \left( \hat{W}_{\nu'g \to \nu}^{-1} P_{\nu'b} \frac{Y_{bg}}{\sum_{\bar{\nu}} P_{b\bar{\nu}} \sum_{\bar{\nu}'} \hat{W}_{\bar{\nu}' \to \bar{\nu}g} \Lambda_{\bar{\nu}'}^{(s)} + \frac{B_{bg}}{A_{bg}}} \right)$$
(6)

Notation:

- $\Lambda_{\nu}^{(s)}$  is the radioactivity distribution at voxel  $\nu$  and subiteration number s;
- $Y_{bg}$  is the number of coincident photons of each detector pair (bin) b that belongs to the *lth* subset S and gate g;
- S<sub>l</sub> corresponds to the lth subset of the projection space, which is divided into L total subsets;
- s is the subiteration number and  $l = s \mod L$ . A set of L subiterations comprises a full iteration;
- $P_{b\nu}$  is the system projection matrix;

- $\hat{W}$ ,  $\hat{W}^{-1}$  represent the forward / backward warping operations of the image that move the activity from voxel  $\nu'$  to the voxel  $\nu$  using the motion fields and linear interpolation;
- E is the "potential" function;
- $M_{\nu}$  corresponds to the median  $3 \times 3 \times 3$  mask width of neighbourhood voxels centred at voxel  $\nu$ ;
- G is the total number of gates;
- $\beta$ ,  $\beta_g$  are the penalisation factors for MCIR and RTA, respectively. Note that  $\beta = G \times \beta_g$ , but for simplicity all cases are displayed with respect to  $\beta_g$ ;
- $A_{bg}$  and  $B_{bg}$  are the attenuation coeffecient and background term (e.g. scatter) for each bin and gate, respectively.

Both RTA-OS-MAP-OSL and MCIR-OS-MAP-OSL are using the same routines for warping each respiratory gate to the reference gate. However, MCIR requires two motion fields: the forward  $(\hat{W})$  motion fields and the backward  $(\hat{W}^{-1})$  motion fields (i.e. the same as used in RTA). The forward operator practically warps all frames to the reference frame, while the backward operator 'unwarps' the reference frame to each frame so that they can be compared with the corresponding projection gates in the numerator. It is important that the forward and backward projectors are consistent with each other, if not the result might not converge to a solution. In future we plan to include an alternative option for the backward motion fields to be the transpose matrix of the forward motion fields. Warning:

The current implementation has been tested for respiratory gating, where each gate has the same number of counts. If this is not the case, or if there is for instance radioactive decay between frames in dynamic PET, the implementation likely needs minor modifications. For instance, the different gate duration could be accounted for by normalising for the time duration [Rah08]. A simple temporary solution is to rescale the normalisation sinogram to take into account time duration, e.g. for two gates with the first gate having 80% of the total duration and the second gate 20%, the normalisation sinogram for the first gate should be divided by a factor 0.8 and the second by 0.2.

### 5.12.1 Data Preparation

Multiple Files (one for each position): Emission sinogram, Multiplicative corrections (attenuation, normalisation), additive corrections (scatter, randoms), motion vectors, and gate definitions filename.

- Sinograms for each position: These have a standard suffix \_g#, e.g.  $sinogram\_g1.hs$  is the header of the position 1. To read the sinogram you will need also have set the corresponding definition file for the positions, e.g. sinogram.gdef
- Images: These have a similar suffix \_g#, e.g.  $image\_g1.hv$  is the header of the position 1. To read the image you will need also to set the corresponding definition file for the positions, e.g. image.gdef
- Motion Vectors: These have a standard suffix \_g#d%, e.g. motion\_g1d1.hv is the header of the motion corresponding to the position 1 and the first direction (i.e. axial according to STIR coordinate system); d2 corresponds to the vertical axis direction and d3 to the horizontal axis direction. To read the files you will need also to have set the corresponding definition file for the positions, e.g. motion.gdef. The image has exactly the same characteristics as the reconstructed PET image. This currently creates a minor burden as the final voxel sizes and the number of voxels have to predefined on the motion vector images. We hope to change this in future releases.

## 5.12.1.1 Coordinate system for motion vectors:

Although motion is designed for general motion information such as rigid motion, affine etc, currently only MotionVectors on a Cartesian grid are implemented. Information is stored in millimeter using the normal STIR coordinate system and axes (see the developer's guide).

**Important** STIR (as many other programs) uses a *pull interpolation* warping, i.e. for each voxel in the target (i.e. motion-corrected) image, the motion vector at that voxel is added to its coordinates, the corresponding location (i.e. before motion correction) in the original image is found and the new value is obtained by interpolating between the values of the surrounding voxels of the original image.

One way to understand this is as follows: if a point-source is in a voxel at location  $\vec{r}$  in the motion-corrected image and the motion field at that voxel is  $\vec{m}_1$  for gate 1, then this means that the point source was at location  $\vec{r} + \vec{m}_1$  in gate 1.

A nice illustration can be found (at the time of writing) at http://www.bioen.utah.edu/wiki/index.php?title=Geometric\_Transformation\_and\_Interpolation.

### 5.12.2 Motion Correction

## 5.12.2.1 RTA

See section 5.13.8.

### 5.12.2.2 MCIR

OSMAPOSLParameters :=

The procedure involves warping operation by the use of linear interpolation (based on B-Splines) in each forward/backward step. Note that the current release assumes no weighting over the respiratory positions but this could be manually included in the multiplicative sinogram.

As the main reconstruction algorithm is exactly the same as for ordinary image reconstruction, we can use the normal OSMAPOSL program, but with a different objective function.

> OSMAPOSL OSMAPOSL\_with\_motion\_correction.par

An example parameter file would be as follows

```
objective function type:= PoissonLogLikelihoodWithLinearModelForMeanAndGatedProjDataWithMotion
PoissonLogLikelihoodWithLinearModelForMeanAndGatedProjDataWithMotion Parameters:=
input filename := INPUT
projector pair type := Matrix
Projector Pair Using Matrix Parameters :=
Matrix type := Ray Tracing
Ray Tracing Matrix Parameters:=
 ; use a slightly better approximation than simple ray tracing
number of rays in tangential direction to trace for each bin := 10
End Ray Tracing Matrix Parameters:=
End Projector Pair Using Matrix Parameters :=
use subset sensitivities := 1
; This input is to read the multiplicative factors
; (normalisation*attenuation). The suffix of each file is _g#
normalisation sinograms := ATTENNORMFACTORS
; The input is to read the additive term
; (randoms + scatter). The suffix of each file is _g#
additive sinograms := added_sinos
Gate Definitions filename := MOTION.gdef
; The Motion Vectors are in image file format and their suffix is _g#d%
; where % corresponds to the dimension (1, 2 or 3)
Motion Vectors filename prefix := MOTION
Reverse Motion Vectors filename prefix := INVERTEDMOTION
; here comes the MRP stuff
prior type := FilterRootPrior
FilterRootPrior Parameters :=
penalisation factor := 1
 ; you can use any image processor here
 ; the next parameters specify a 3x3x3 median
Filter type := Median
 Median Filter Parameters :=
     mask radius x := 1
```

```
mask radius y := 1
  mask radius z := 1
End Median Filter Parameters:=
END FilterRootPrior Parameters :=
end PoissonLogLikelihoodWithLinearModelForMeanAndGatedProjectionDataWithMotion Parameters:=
number of subsets:= 23
number of subiterations:= 460
save estimates at subiteration intervals:= 23
output filename prefix := MOTIONCORRECTEDIMAGE
END:=
```

## 5.12.3 Regularisation and Noise

According to [Tso13], regularisation is generally advised for either RTA or MCIR. Currently, MRP is validated. Further tests on quadratic prior and OSSPS implementation are recommended to the researchers using STIR. Otherwise, two iterations are usually enough to obtain a relatively good image if followed by postfiltering.

#### 5.12.4 Further Extensions for the Future

More robust testing: Currently the tests are performed based on basic tests.

OSSPS: Needs further debugging as it seems the current settings do not reconstruct the motion compensated image.

Scatter Estimation: Assumed to have it already estimated prior to reconstruction.

### 5.12.5 Realistic Datasets and other info

An extensive database of realistic simulated PET data with motion is available at http://www.isd.kcl.ac.uk/pet-mri/simulated-data.

Motion fields of these data have been estimated using a local hierarchical affine registration algorithm developed by Christian Buerger [Bue11]. This independent library is available at http://www.isd.kcl.ac.uk/internal/hyperimage. The library is working with GIPL (Guy's Image Processing Lab) File Format and we provide in STIR two utilities two convert them to/from Interfile format (conv\_gipl\_to\_interfile and conv\_interfile\_to\_gipl). Note that special care need to be taken with respect to the orientation the original files have been stored in gipl format.

### 5.13 Utilities

Programs are given in the **STIR**/utilities directory, that allow the user to display, manipulate and convert interfile data, either image or projection data. Utilities specific to files in ECAT 6 or 7 format or in the **ecat** sub-directory.

## 5.13.1 Displaying STIR configuration and version information

stir\_config allows displaying the directory where the configuration files are found (see section 4), where the documentation and examples are installed and the STIR version. Run without arguments to get usage information. (Equivalent functions in C++/Python are get\_STIR\_config\_dir, get\_STIR\_doc\_dir and get\_STIR\_examples\_dir).

## 5.13.2 Displaying and performing operations on data

The programs are manip\_image, stir\_write\_pgm, list\_image\_info, list\_image\_values and find\_maxima\_in\_image for image data and manip\_projdata, display\_projdata, extract\_segments, list\_projdata\_info for projection data, and stir\_math and get\_time\_frame\_info for both. Run them without arguments to get usage information.

See also section 5.8.1 for the use of **SSRB** to manipulate projection data.

**Note**: The displaying functionality is *really* basic, and only intended for a quick check how your data looks like. For any serious work, use a decent viewer. For example, AMIDE is a free viewer that can read STIR image data  $^{20}$ . Alternatively, convert your STIR data to another format using (X)medcon  $^{21}$ .

### 5.13.2.1 list\_image\_info

A utility that lists information about an image on stdout. This includes number of voxels, physical sizes, bounding boxes, etc. It also prints min/max/sum of the data. Optionally this can be listed per time-frame.

### 5.13.2.2 stir\_write\_pgm

A utility that writes a PGM file for a single slice of an image. You can specify min and max threshold, orientation and slice number. PGM files can be displayed for instance via ImageMagick. This utility is mainly useful for shell/batch scripts.

### 5.13.2.3 manip\_image

This program works in two modes. Additionally to the display possibility, the main mode allows to retrieve information about the image:

- the minimum and maximum values; either for a plane or for an entire 3D image.
- the number of counts.
- the voxel value profile; either for an image slice or for any row 1D row through the image.

Within this mode, a truncation (here called trim) of the data can be performed; it results in the circular resetting to zero of pixels at the image edges.

The other mode (math mode) lets one perform arithmetic operations between two images, or between an image and a scalar. The result of each calculation is kept in a math mode buffer which becomes the input to the next calculation. Hence, a sequence of mathematical operations can be carried out on the input image within math mode. The math buffer contents can also be displayed inside the mode.

## 5.13.2.4 manip\_projdata

This utility is the counterpart of manip\_image for projection data. A menu is displayed with the following options:

- viewgram-wise / sinogram-wise display.
- computation of minimum and maximum values and total counts.
- arithmetic operations between two projection data arrays or between a projection data array and a scalar.
- binarisation of the sinogram, positive values are set to 1, negative values to 0.
- truncation of the negative values.
- application of a tangential truncating window (e.g. all data for bins greater than a specified distance from the scanner axis may be set to 0).
- application of an axial truncating window to segment 0 (e.g. end plane data may be set to zero).

Each time an operation results in a new projection data array, the user is prompted for the name of an output file, to which the result is written. This output file then automatically becomes the input file to the next selected operation. Similar to manip\_image, this allows new projection data files to be generated from a sequence of operations.

**Warning**: the user must ensure that all input and output projection data arrays in a given operation are read from / written to separate files.

 $<sup>^{20} {\</sup>tt http://amide.sourceforge.net}$ 

<sup>21</sup>http://xmedcon.sourceforge.net

### 5.13.2.5 display\_projdata

This utility should be preferred to **manip\_projdata** when the goal is to display the data by view or by segment for a defined segment number (ring difference).

## 5.13.2.6 list\_projdata\_info

A utility that lists size info of the projection data on stdout. Optionally it also prints min/max/sum of the data. Use this if you think there is something wrong with how *STIR* reads your projection data.

### 5.13.2.7 create\_projdata\_template

This utility is mainly useful to create a template that can then be used for other *STIR* utilities (such as forward\_project, lm\_to\_projdata etc.).

### > create\_projdata\_template output\_filename

This will ask questions to the user about the scanner, the data size, etc. It will then output new projection data (in Interfile format). However, the binary file will not contain any data.

It currently only supports PET data. For SPECT, you will have to copy the sample Interfile header from the samples directory and edit it by hand. You will also need to provide a file with the binary data (but this can be empty if you are only going to use this as a template).

### 5.13.2.8 extract\_segments

This utility extracts projection data by segment into a sequence of 3d image files. It is mainly useful to import segments into external image display/manipulation programs which do not understand 3D-PET projection data, but can read Interfile images.

The user will be asked if the images should correspond to SegmentByView or SegmentBySinogram data. In the first, data are stored as a stack of viewgrams (one for each view), in the second as a stack of sinograms (one for each axial position). (See the *STIR* glossary).

### 5.13.2.9 stir\_math

This is a command line utility for adding or multiplying data and other numerical operations, with a somewhat awkward syntax. Just execute stir\_math for a usage message.

Since STIR 2.1, this utility also supports parametric or dynamic data using the command line switches --parametric and --dynamic.

**Examples** 

• Adding 3 images

```
> stir_math output in1 in2 in3
Sets output=in1+in2+in3
```

• Subtracting 2 images

```
> stir_math --times-scalar -1 output in1 in2 Sets output = in1 - in2
```

• Sum the square of each images

```
> stir_math --power 2 --including-first output in1 in2 Sets output=in1^2+in2^2
```

• Dividing 2 projection data files

```
> stir_math -s --mult --power -1 output in1 in2 Sets output=in1/in2
```

• Dividing 2 projection data files avoiding division by 0 by thresholding the 2<sup>nd</sup> data-set.

```
> stir_math -s --mult --min-threshold .1 --power -1 \ output in1 in2 Sets output=in1/max(in2..1)
```

• Dividing 2 files, with first file set to the quotient

```
> stir_math --accumulate --mult --power -1 in1 in2 Sets in1=in1/in2
```

• Linear combination of 3 files

```
> stir_math --times-scalar 5 --divide-scalar 2.5 output \ in1 in2 in3 Sets output=in1+2*in2+2*in3
```

Warning There is no check that the data sizes and other info are compatible and the output will have the largest data size in the input, and the characteristics (like voxel-size or so) are taken from the first input data. Hence, lots of funny effects can happen if data are not compatible.

Warning When '--accumulate' is not used, the output file HAS to be different from all the input files. Warning The result of using non-integral powers on negative numbers is probably system-dependent.

## 5.13.2.10 generate\_image

This program can be used to generate images containing geometric shapes such as cylinders, spheres etc. See section 5.14.9 for further details.

## 5.13.2.11 zoom\_image

This can be used to reinterpolate images to different voxel sizes and/or dimensions. See the online documentation generated by doxygen for more info.

### 5.13.2.12 get\_time\_frame\_info

This simple program allows display of time frame info for a given file. The specified file can be an ECAT6 or an ECAT7 file, or a simple text file specifying the number of time frames and their durations. See the class documentation for *TimeFrameDefinitions* for the format of this text file.

Basic Usage:

## > get\_time\_frame\_info filename frame\_number

Using no arguments will give a more extensive usage message showing some options to select which data to print.

### 5.13.2.13 list\_ROI\_values

This is a test-release of a program that can be used to find ROI values for an image. See the online documentation generated by doxygen for more info.

## 5.13.2.14 extract\_single\_images\_from\_dynamic\_image

This executable can be used to get the individual components of a dynamic image as separate images. To use it, simply give the pattern of the output filename, the input filename, and optionally supply a parameter file for the file-format used to save the output images.

### 5.13.2.15 extract\_single\_images\_from\_parametric\_image

As above but for parametric images.

#### 5.13.2.16 create\_multi\_header

This is a command line utility for creating a header that "points" to several other data-sets, useful for e.g. dynamic images/data. The output header is in the multi file-format (see 5.6) and can then be used in other STIR utilities. This is useful for instance if you have a set of reconstruct images corresponding to several time frames, but need to read the data as a single study.

To use it, specify the output filename and a list of input filenames (in the order you want them to appear in the header). There is no check that the data sizes and other info are compatible.

#### 5.13.2.17 ctac\_to\_mu\_values

This executable can be used to convert a CT or CT for attenuation correction (CTAC) to linear attenuation coefficients (mu-values) in cm-1. To use it, pass the output filename, input volume file name (if this is a DICOM series, then choose one file from the directory), the JSON file containing the conversion slopes, the manufacturer of the scanner (this needs to be present in the JSON file) and the target keV. It should normally be run as follows

```
> ctac_to_mu_values \
-o output_filename \
-i input_volume \
-j slope_filename \
-m manufacturer_name \
[-v kilovoltage_peak] \
-k target_photon_energy
```

The optional *kilovoltage\_peak* is the kilovoltage peak (kVp) used to acquire the data. If no value is given, a kVp of 120 is assumed.

## 5.13.2.18 compute\_sqrt\_Hessian\_row\_sum

This executable can be used to generate the square root of the Hessian row sum of an objective function, the output of which may be used as a spatially variant penalty strength  $\kappa$  (or *kappa image*) within iterative reconstruction algorithms, see 5.14.3.2.

This utility computes the row-sum of the Hessian of the objective function at a given image (by multiplying it with a vector of ones). The square root of the image is saved to file. Along with a method to compute the Hessian row sum, we include an optional method to approximate Hessian row sum that is less computational and does not require an estimate image for the Hessian computation (but does require one for an output image template).

Basic Usage:

> compute\_sqrt\_Hessian\_row\_sum compute\_sqrt\_Hessian\_row\_sum.par An example parameter file is included in the samples folder.

## 5.13.3 Converting data

This program is used to convert CTI ECAT 6 data (either image or projection data) into interfile data. It normally should be run as follows

```
> convecat6_if output_file_name_without_extension \ cti_data_file_name [scanner_name]
```

The optional *scanner\_name* can be used to force to a particular scanner (ignoring the system\_type in the main header). *scanner\_name* has to be recognised by the Scanner class (see STIR/buildblock/Scanner.cxx). Examples are: ECAT 953, ART, ECAT HR+, Advance etc. If the *scanner\_name* contains a space, the scanner name has to be surrounded by double quotes when used as a command line argument.

If there are no command line parameters, the user is asked for these parameters instead.

The program asks if all frames should be written or not. If so, all sinograms/images are converted for a fixed 'data' number. For each data set, a suffix is added to the output\_filename of the form \_f#g#b#d# where the # are replaced by the corresponding number of the frame, gate, bed, data.

Warning CTI ECAT 6 files seem to have a peculiarity that frames and gates are numbered from 1, while bed positions are numbered from 0. Similarly, the number of bed positions in the main header seems to be 1 less than

the actual number present. This is at least the case for single bed studies. If this is not true for multi-bed studies, the code would have to be adapted.

Warning Most of the data in the ECAT 6 headers is ignored (except dimensions)

Warning Data are multiplied with the subheader.scale\_factor, In addition, for emission sinograms, the data are multiplied with subheader.loss\_correction\_fctr (unless the loss correction factor is < 0, in which case it is assumed to be 1).

Warning Currently, the decay correction factor is ignored

Warning Note that sinogram data have to be 'corner-swapped', see section 5.13.3.3.

#### 5.13.3.1 conv\_to\_ecat7

This program is used to convert image or projection data into CTI ECAT7 data (input can be any format currently supported by the library). **conv\_to\_ecat7** uses the Louvain la Neuve ecat library, see section 3.2.4. This means it will only work on those systems supported by that library. It normally should be run as follows

for images:

```
> conv_to_ecat7 output_ECAT7_name input_filename1 \
[input_filename2 ...] scanner
  for emission projection data
> conv_to_ecat7 --s output_ECAT7_name input_filename1 \
[input_filename2 ...]
  for sinogram-attenuation data
> conv_to_ecat7 -a output_ECAT7_name orig_filename1 \
[orig_filename2...]
```

If there are no command line parameters, the user is asked for the filenames and options instead. The data will be assigned a frame number in the order that they occur on the command line.

See buildblock/Scanner.cxx for supported scanner names, but examples are "ECAT 953", "ART", "Advance".

### 5.13.3.2 ifheaders\_for\_ecat7: ECAT7 support for reading

The current release includes some support for making Interfile headers that point towards and ECAT 7 file. This is possible because ECAT7 normally stores the data with single subheaders per frame/gate/bed/data. **ifheaders\_for\_ecat** uses the Louvain la Neuve ecat library, see section 3.2.4. This means it will only work on those systems supported by that library.

This program writes Interfile headers that 'point into' an ECAT 7 file. That is, the binary data are NOT rewritten. So, the result of this program is a collection of Interfile headers for every data set in the ECAT 7 file. They are called ecat7-filename\_extension\_f1g1d0b0.\* etc, indicating which frame, gate, bed, data number the dataset corresponds to. Run the program as follows

#### > ifheaders\_for\_ecat7 ecat7\_filename.extension

This only works with some CTI file\_types. In particular, it does NOT work with the ECAT6-like file\_types, as then there are subheaders 'in' the datasets.

Warning This utility does not take corner-swapping of 3D projection data into account, see section 5.13.3.3.

Note that you do not have to use this utility if you want to read only "frame 1, gate 1, data 0, bed 0". In this case, you can pass the ECAT7 file directly to any STIR program.

Warning The calibration factor field in the main header of ECAT7 images is currently ignored.

## 5.13.3.3 ecat\_swap\_corners

This utility is obsolete and will be removed in a next version.

Usage

> ecat\_swap\_corners out\_name in\_name

## What does it do?

For some historical reason, CTI scanners store 3D sinograms sometimes in a 'corner-swapped' mode. What happens is that some corners of the positive and negative segments are interchanged. (As a consequence, segment 0 is never affected).

Below is a summary of what Kris Thielemans understood about corner-swapping from various emails with CTI people. However, he might have totally misunderstood this, so beware!

For ECAT6 data, corner-swapped mode occurs *always* for data straight from the scanner. However, data which have been normalised using the import\_3dscan utility from CTI are already corner-swapped correctly. Unfortunately, there is no field in the ECAT6 header that allows you to find out which mode it is in.

For ECAT7 data, the situation is even more confusing. Data acquired directly in projection data have to be corner-swapped when the acquisition was in 'volume-mode' (i.e. stored by sinograms), but NOT when acquired in 'view-mode' (i.e. stored by view). It seems that bkproj\_3D\_sun follows this convention by assuming that any ECAT7 projection data stored in 'volume-mode' has to be corner swapped, and when it writes projection data in 'view-mode', it does the corner swapping for you. So, although there is strictly speaking no field in the ECAT7 header concerning corner swapping, it seems that the 'storage mode' field determines the corner swapping as well. When the data is acquired in listmode, this changes somewhat. Apparently, there is a parameter in the set-up of listmode scans that allows you to put the ACS in 'volume-mode' or 'view-mode'. The resulting listmode files encode the sinogram coordinates then with corner-swapping or without. After the acquisition, the listmode data has then to be binned into projection data. It is then up to the binning program to take this corner-swapping into account. This is easiest to do by generating 'volume-mode' projection data when a 'volume-mode' when the listmode setup was in 'volume-mode', and similar for 'view-mode'.

If this sounds confusing to you, KT would agree. Here seems to be the best thing to do:

Do all acquisitions in 'view-mode', set-up your listmode scan in 'view-mode', bin the data in 'view-mode'. Forget about corner-swapping.

If you cannot do this, then this utility will corner-swap the projection data for you.

### Who implemented this and how was it tested?

The actual corner swapping code was supplied by Christian Michel, based on code by Larry Byars. KT has tested it by performing a very long cylinder scan in 'volume-mode' on the ECAT 966, and looking at the delayeds. The oblique segments had obvious discontinuities in the efficiency patterns. After applying this utility, these discontinuities appeared.

Warning This utility does not (and cannot) check for you if the data has to be corner-swapped or not. So, it can do the wrong thing.

### 5.13.3.4 copy\_ecat7\_header

Allows copying header info between ECAT7 files. Check doxygen, or run without parameters for usage info.

#### 5.13.3.5 conv\_AVW

AVW support has not been tested since about 2010.

If you have the  $AVW^{\text{TM}}$ library <sup>22</sup> installed on your system, the build process should have built utilities/conv\_AVW, see also 3.3.1. This utility allows to use the AVW library to read an image, and then write it out using STIR as Interfile.

**Warning:** the AVW library seems to do flip some images depending on the file format. For instance, it reads ECAT7 files using a z-flip compared to STIR.

It normally should be run as follows:

> conv\_AVW [ --flip\_z ] imagefile

It will require access to a run-time license for AVW.

## ${\bf 5.13.3.6}\quad {\bf conv\_GATE\_projdata\_to\_interfile}$

This program converts GATE raw sinogram output (.ima) into STIR interfile format. This utility has not been used recently however, so beware.

### 5.13.3.7 conv\_gipl\_to\_interfile and conv\_interfile\_to\_gipl

These programs convert from/to GIPL format. The latter program has a somewhat wrong name. It will actually read an image in any format supported by STIR.

 $<sup>^{22}\</sup>mathrm{See}$  www.mayo.edu/bir/Software/AVW/AVW1.html.

### 5.13.4 Filtering image data

The **postfilter.cxx** program allows one to apply any available image processor on an input image. <sup>23</sup> Review Section 5.14.2 for info on which filters you can apply.

The program is run as follows:
> postfilter [<output filename> [<input file name> \

[<postfilter\_par\_filename>]]]

where the square brackets denote optional parameters (their value will be asked interactively). Example post-filter.par files can be found in the STIR/examples/samples directory.

## 5.13.5 Comparing files

The two utilities **compare\_image** and **compare\_projdata** can be used to see if 2 files are identical up to rounding errors. (Note that running reconstructions on a different architecture, or even when using different compilers will almost certainly give rounding error differences.) They should be run with 2 command line arguments, specifying the 2 filenames. Optional arguments are as follows:

- > compare\_projdata file1 file1 [maximum\_segment\_number\_to\_process]
- > compare\_image [-r rim\_truncation\_in\_pixels] file1 file1

where the rim\_truncation argument to **compare\_image** says how many pixels it should ignore at the radial rim of the image.

## 5.13.6 Precorrecting (or uncorrecting) projection data

The **correct\_projdata** utility located in STIR/**utilities** is useful to perform precorrections such as randoms and/or scatter subtraction, normalisation and attenuation correction. It can also be used to 'uncorrect' the data which might be useful if you get completely precorrected data out from the scanner (or FORE) and want to reverse some of the corrections.

It is run as

> correct\_projdata correct\_projdata\_par\_filename

A sample parameter file is given in STIR/examples/samples/ and is more or less as follows

```
correct_projdata Parameters :=
input file := trues.hs
; Current way of specifying time frames, pending modifications to
; STIR to read time info directly from the headers
; The specified file can be an ECAT6 or an ECAT7 file, or a simple
; text file. See also section \ref{sec:get_time_frame_info}.
time frame definition filename := frames.fdef
; if a frame definition file is specified, you can say that
; the input data corresponds to a specific time frame
; the number should be between 1 and num\_frames and defaults to 1
; this is currently only used to pass the relevant time
; to the normalisation
time frame number := 1
; output file
; for future compatibility, do not use an extension in the name of
; the output file. It will be added automatically
output filename := precorrected
; default value for next is -1, meaning 'all segments'
```

<sup>&</sup>lt;sup>23</sup>The current version does no longer allow to compute the impulse response (i.e. point spread function) of a discretised filter. This is largely because the image processors can be non-linear, in which case the PSF concept does not apply. For linear filters, it would still be possible to obtain the PSF by using as input image an image with all 0s except a single pixel in the middle of the image.

```
; maximum absolute segment number to process :=
; use data (1) or set to one (0) :=
; apply (1) or undo (0) correction :=
; parameters specifying correction factors
; if no value is given, the corresponding correction will
; not be performed
; random coincidences estimate, subtracted before anything else
; is done
; randoms projdata filename := random.hs
; normalisation (or binwise multiplication)
Bin Normalisation type := from projdata
Bin Normalisation From ProjData :=
normalisation projdata filename:= norm.hs
End Bin Normalisation From ProjData:=
; scatter term to be subtracted AFTER norm(+atten correction)
; WARNING This is not the same as the output of the scatter estimation
; defaults to 0
;scatter projdata filename := scatter.hs
END:=
```

Time frame definition is only necessary when the normalisation type uses this time info for dead-time correction (which is not supported yet in the current (public) version of *STIR*).

The following gives a brief explanation of the non-obvious parameters.

use data (1) or set to one (0) Use the data in the input file, or substitute data with all 1's. This is useful to get correction factors only. Its value defaults to 1.

apply (1) or undo (0) correction Precorrect data, or undo precorrection. Its value defaults to 1.

Bin Normalisation type Normalisation (or binwise multiplication, so can contain attenuation factors as well). See Section 5.14.8.

attenuation image filename obsolete Specify the attenuation image, which will be forward projected to get attenuation factors. Has to be in units  $cm^{-1}$ .

This parameter will be removed. Use instead a *chained* bin normalisation (section 5.14.8) with a bin normalisation from attenuation image (section 5.14.8).

forward\_projector type obsolete Forward projector used to estimate attenuation factors, defaults to Ray Tracing. See Section 5.14.5.

This parameter will be removed.

The calculate\_attenuation\_coefficients utility (located in STIR/utilities) can also convert an attenuation image to attenuation (correction) factors. It is less flexible than correct\_projdata though. For example, using the --ACF switch, it will compute the attenuation correction factors (ACFs)<sup>24</sup>, which can then be used in other STIR programs.

The attenuation\_coefficients\_to\_projections utility (located in STIR/utilities) is a (simplistic) example to convert attenuation (correction) coefficients to projections by taking the logarithm. For real-world use, it is recommended to pre-filter the data first, to reduce noise and remove negatives.

 $<sup>^{24}</sup>$ roughly log(-ray\_tracing(image))

### 5.13.7 Generating Poisson noise

For simulation purposes, it is often useful to be able to generate multiple noise realisations given the 'true' mean projection data. For PET and SPECT, the appropriate statistics is very closely Poisson<sup>25</sup>, at least for uncorrected counts. *STIR* includes the following utility to find a noise realisation given the mean projection data.

Usage:

```
> poisson_noise [-p | --preserve-mean] output_filename \setminus mean_projdata_filename scaling_factor seed-unsigned-int
```

The *scaling\_factor* is used to multiply the input data before generating the Poisson random number. This means that a *scaling\_factor* larger than 1 will result in less noisy data.

The seed value for the random number generator has to be strictly positive. Passing different seeds will result in different noise realisations.

Without the -p option, the mean of the output data will be equal to  $scaling\_factor*mean\_of\_input$ , otherwise it will be equal to  $mean\_of\_input$ .

The options -p and -preserve-mean are identical.

#### 5.13.8 Motion related utilities

### 5.13.8.1 warp\_image

This program warps an image to another position using as input given motion vectors

## 5.13.8.2 warp\_and\_accumulate\_gated\_images

```
> warp_and_accumulate_gated_images \
output_filename filename_prefix motion_vectors_prefix
```

filename prefix The images that need to be placed in the same reference position.

motion vectors prefix motion vector images

The procedure involves a warping operation by the use of linear interpolation (based on B-Splines). Note that the current release assumes no weighting over the respiratory positions, but this could be manually included if the images are scaled according to duration of each position prior to the correction.

## 5.13.8.3 zeropad\_planes

This program zero pads the start and end planes of an image.

## 5.13.8.4 shift\_image\_origin

This utility can be used to simply change the origin in the interfile header of an image.

### 5.13.8.5 shift\_image

This utility can be used to apply translations to an image. The translations are applied with no interpolation, but the entire image moves for an given integer number of voxels.

## 5.13.9 Using projectors

#### 5.13.9.1 Utilities for forward or back-projection

forward\_project and back\_project will perform a single projection given input data and a "template" for the output. The template is used to know what data sizes and characteristics to use. Both utilities optionally allow passing a parameter file with settings which projector to use (see 5.14.5 for forward projection and 5.14.6 for back-projection). Run these utilities for a usage message.

<sup>&</sup>lt;sup>25</sup>For a given scan, the actual distribution of the detected raw counts is binomial. However, for acquisition times that are shorter than the half life of the radio-isotope, the Poisson approximation is very good.

### 5.13.9.2 Utilities for testing

The **fwdtest** and **bcktest** programs located in **STIR/recon\_test/** can be used to examine forward or back projectors. They allow to project only subregions of the data, but can also do the whole projection in one go. These programs are really only intended for testing though. Normally, you would use **forward\_project** and **back\_project**.

The **fwdtest** and **bcktest** programs located in **STIR/recon\_test/** can be used to examine forward or back projectors. They allow to project only subregions of the data, but can also do the whole projection in one go. These programs are really only intended for testing though. Normally, you would use **forward\_project** and **back\_project**.

### 5.13.10 Interfacing with SimSET

STIR contains some routines to make it easier to reconstruct data from SimSET [Lew98], a popular Monte Carlo simulator for PET and SPECT. This is all preliminary. Check out the README.txt in the STIR/SimSET directory.

## 5.14 User-selectable components

The software design of *STIR* has a heavy emphasis on object-oriented programming. For the user, the main benefit of this is that it is possible to select at *run-time* what particular type of e.g. forward projector to use. The following is a list of all available components where this type of run-time selection is currently available. In the doxygen documentation, look at the class documentation for **RegisteredObject**.

For each component, there are different types, each with its own unique name. Each type has its specific set of parameters.

### Conventions used in this section

Each component has its own section, and each type has its subsection. The name of the subsection is the unique identifier of the type.

In a parameter file, the selection of the type would look for instance as

```
This Program Parameters:=
some parameter:=
filter type := my preferred filter type
my preferred filter type parameters:= ; REQUIRED keyword
par 1:=
...
end my preferred filter type parameters := ; REQUIRED keyword
another program parameter :=
;etc
end :=
```

Please note that the first and last keywords of a particular type *have* to be included in the parameter file, even if no additional parameters are given.

## 5.14.1 Available output file formats

STIR can write images in a number of different formats. Currently, a single 3D image is written per file, i.e. no multi-frame or gate files yet. The only exceptions to this rule are the conv\_to\_ecat6 and conv\_to\_ecat7 utilities. Currently, the default output file format is Interfile (see below), although this could be changed (for most STIR programs) by editing the file

include/stir/IO/DefaultOutputFileFormat.h (not recommended).

### 5.14.1.1 Common parameters

The following parameters are common to all file formats. However, the implementation for a particular file format could ignore the value of these parameters if it does not support it. These parameters follow the Interfile 3.3 syntax, except that the "short float" and "long float" values for "number format" keyword are not supported. Use "float" instead.

## byte order <string>

values: littleendian | bigendian default: native byte order

### number format <string>

values: bit | ascii | signed integer | unsigned integer | float;

default: float

## number of bytes per pixel <integer>

default: 4

If "byte order" is omitted, the default value corresponds to the native byte order of the computer the program runs on

Summarising: if none of these keywords is specified, data are written as 4-byte floats in the native byte order, unless the specific file format has another default.

**Warning:** Note that only essential information is written in the headers. In particular, frame duration etc are currently *not* filled in.

### **5.14.1.2** Interfile

The most comprehensively supported file format in the library is a newly proposed version of interfile. More details about this type can be found on the STIR website. Interfile image files are written as a pair of files  $image\_filename.hv$ ,  $image\_filename.v$ 

where  $image\_filename.hv$  is the header text file and  $image\_filename.v$  is the data file. In addition, we currently write a .ahv file which uses Interfile 3.3 conventions, with a tweak for the slice thickness keyword to work-around an Analyze<sup>TM</sup> bug<sup>26</sup>. The .ahv file is probably also readable by other programs capable of reading Interfile 3.3.

If this output file format is used, and a filename without extension is specified for output, or when the filename has an extension .hv, the above naming conventions hold. If a filename with another extension is specified, this name is used for the name of the binary file.

When a file must be specified for reading as a parameter for a STIR utility or reconstruction program, the name of the .hv header file should be given.

Warning The interfile 3.3 standard does not allow to specify scale factors for the data. Hence, the .ahv file has no scale factors. This means that any program that reads the .ahv file will have improperly scaled images, unless the scale factor is 1. However, when using float output, STIR automatically writes data with scale factor equal to 1, so as long as your non-STIR program knows about float data, everything will be all right. The newly proposed Interfile standard does use a scale factor, and the .hv file follows this convention. However, currently probably only STIR programs know about this convention.<sup>27</sup>

## Parameters

This file format currently has no extra parameters, except for the start and stop keywords.

```
Interfile Output File Format Parameters :=
; any parameters common to all file formats
End Interfile Output File Format Parameters :=
```

Currently, data is written always in the native byte order, and only signed short, unsigned short or float data are supported (although this could easily be extended to 1 or 4 byte integers or doubles).

## 5.14.1.3 ITK

If this output file format is used, ITK IO is used, see also 5.5.7. In this case, the actual file format will be determined by the extension of the filename. Therefore, this can be used to write Nifti (.nii), Teem (.nhdr), MetaIO (.mhdr), etc.

A default extension can be set that will be appended if a filename without extension is used.

```
ITK Output File Format Parameters:=
  default extension:= .nhdr ; current default value
End ITK Output File Format Parameters:=
```

 $<sup>^{26}\</sup>mathrm{See}$  the comments for write\_basic\_interfile\_image\_header() in  $\mathbf{STIR}/\mathbf{IO}/\mathbf{interfile.cxx}$ 

<sup>&</sup>lt;sup>27</sup>The utilities distributed by the UCL, Louvain-la-Neuve, Belgium on ftp://ftp.topo.ucl.ac.be/pub/ecat follow a different convention of reading the scale factor. Currently, *STIR* writes .hv files that can be read correctly by those utilities, including the *mediman* image viewer and (x)medcon. Note however that *mediman* cannot read float data.

#### 5.14.1.4 ECAT6

If this output file format is used, and a filename without extension is specified for output, .img will be added to the filename.

#### **Parameters**

This file format currently has only 1 extra parameter, except for the start and stop keywords.

```
ECAT6 Output File Format Parameters :=
; any parameters common to all file formats
default scanner name := <string>
End ECAT6 Output File Format Parameters :=
```

The scanner name has to be one of the values listed in **buildblock/Scanner.cxx**, but generally follows the format *ECAT 953* (this is the default). Currently, the value of this keyword is *always* used, even for other images reconstructed from data from other scanners.

Currently, data is written always in the little-endian byte order, and only signed short data are supported.

#### 5.14.1.5 ECAT7

The file format is only available when the ECAT7 support is enabled during compilation, see section 3.2.4. If this output file format is used, and a filename without extension is specified for output, .img will be added to the filename.

#### **Parameters**

This file format currently has only 1 extra parameter, except for the start and stop keywords.

```
ECAT7 Output File Format Parameters :=
; any parameters common to all file formats
default scanner name := <string>
End ECAT7 Output File Format Parameters :=
```

The scanner name has to be one of the values listed in **buildblock/Scanner.cxx**, but generally follows the format *ECAT 962* (this is the default). Currently, the value of this keyword is *always* used, even for other images reconstructed from data from other scanners.

Currently, data is written always in the big-endian byte order, and only signed short data are supported.

## 5.14.2 Available filters or data processors

Reconstruction algorithms and some utilities use filters, or in general data processing algorithm. In STIR 1.x the data-type was restricted to images, but now other data-types can in principle be used. Some of the available data processors can work on any data type, but most only work on images.

The type of data processors available to programs is fully extendable (at compile time). How to do this is beyond the scope of this document. Here we only discuss how to specify a particular data processor at run-time, and list the currently available ones. Samples of their parameters should be available in STIR/examples/samples.

Each data processor has a unique name associated to it (given as the head of its subsection below). This name has to be used as value of a filter type keyword (or interactive question). Depending on the filter type, different parameters have to be given in the par file (or will be asked interactively).

In addition, it is possible to specify the name None. This is the default for all keywords that ask for the type of the data processor .

See also the online documentation for the class **DataProcessor**.

As of STIR version 4.0, it is now possible to use data processors on images prior to forward projection and following back projection with any projector. This supercedes functionality provided by **PresmoothingForward-ProjectorByBin** and **PostsmoothingBackProjectorByBin**, which can now be considered deprecated. Examples are available in STIR/examples/samples/forward\_projector\_proj\_matrix\_ray\_tracing\_pre\_smoothing.par (and a similarly named back-projector example).

### 5.14.2.1 Separable Convolution

This implements spatial non-periodic convolution with a 3D separable filter. The kernel is given in voxel units (not in Fourier space).

This filter applies a 1D convolution in all directions (z,y,x) with potentially a different filter kernel for every direction. When parsing, the filter coefficients are read as a list of numbers for each direction. The following conventions is used:

- A list of 0 length (which is the default) corresponds to no filtering.
- When the list contains an even number of data, a 0 is appended (at the end).
- After this, the central element of the list corresponds to the 0-th element in the kernel, see below. Convolution is non-periodic. In each direction, the following is applied:

$$out_i = \sum_{j} kernel for this direction_j in_{i-j}$$

Note that for most kernels, the above convention means that the zero-index of the kernel corresponds to the peak in the kernel.

Elements of the input array that are outside its index range are considered to be 0.

Warning There is NO check if the kernel coefficients add up to 1. This is because not all filters need this (e.g. edge enhancing filters).

#### **Parameters**

```
Separable Convolution Filter Parameters:=
x-dir filter coefficients:= list_of_numbers
y-dir filter coefficients:= list_of_numbers
z-dir filter coefficients:= list_of_numbers
END Separable Convolution Filter Parameters:=
```

## Example input for a low-pass filter in x,y, no filtering in z

```
Separable Convolution Parameters := x-dir filter coefficients := {0.25,.5,.25} y-dir filter coefficients := {0.25,.5,.25} ;z-dir filter coefficients := END Separable Convolution Parameters :=
```

## 5.14.2.2 Separable Cartesian Metz

This is a separable 3D Metz filter for images discretised on a Cartesian voxel grid. These filters are composed of 3 1D filter kernels, one for the x,y and z directions each. Each 1D filter is specified by a Full Width at Half Maximum (FWHM) parameter (in millimetres), Metz power parameter and maximum spatial kernel width.

With each triple of parameters, one can associate a 1D continuous Metz filter kernel whose frequency response M(f) is given by the formula

$$M(f) = \frac{1 - (1 - G(f)^2)^{N+1}}{G(f)}$$

Where G(f) is the frequency response (G(0) = 1) of a zero-mean Gaussian distribution function with the associated FWHM and N is the associated Metz power parameter. Note that in the special case N=0, the filter reduces to the Gaussian filter G(f). If a strictly positive Metz power parameter is chosen, a non-trivial Metz filter results whose frequency response possesses an amplifying middle frequency band (see also [Jac00]).

The filtering routines apply the filter by computing discretised versions of the 1D continuous filter kernels in the space domain and convolving with the image in the appropriate direction. The following rules apply to the construction and application of filters:

(i) The value 0.0 mm is permissible for the filter FWHM parameter. Selecting a FWHM of 0.0 mm produces trivial filter kernels, i.e. impulse functions, enabling one to disable the filter in any direction.

- (ii) In accordance with sampling theory, the routine that constructs the 1D filter kernels bandlimits the continuous filter to the hypothesized Nyquist frequency of the image (i.e. 1/(2\*voxel\_size) of the image in the associated direction). Note that this is equivalent to convolving with a sinc function in the space domain and may yield negative kernel values where unexpected (e.g. in a Gaussian filter kernel). In general, when reasonably large FWHMs are selected (a few multiples of the voxel dimension), the bandlimiting has no effect on the filter construction.
- (iii) The spatial kernel width can be limited, which will set any other values to 0. If this is not done, the kernel width is determined by the first value which is smaller in absolute value than 10<sup>-6</sup> times the central kernel value.
- (iv) Filter kernels computed by the software may contain non-positive values. This is partly because, in general, a 1D continuous Metz filter response function may contain non-positive value and so also will its samples. In addition, non-positive kernel values may arise due to the bandlimiting operations described in (ii).

Consequently, filtered positive images may likewise contain non-positive values.

### **Parameters**

Sample parameters are given below

```
separable cartesian metz filter parameters :=
x-dir filter fwhm (in mm) := 6
y-dir filter fwhm (in mm) := 6
z-dir filter fwhm (in mm) := 6
x-dir filter metz power := 2
y-dir filter metz power := 2
z-dir filter metz power := 2
x-dir maximum kernel size := 129
y-dir maximum kernel size := 129
z-dir maximum kernel size := 31
end separable cartesian metz filter parameters :=
```

An explanation of these parameters is given here for the x-direction (others are obvious extensions)

**x-dir filter FWHM (in mm)** The Full Width at Half Maximum of the Gaussian filter kernel from which the *x* direction Metz filter kernel are derived.

**x-dir filter Metz power** The exponent parameter for the x direction Metz filter kernel.

**x-dir maximum kernel size** The maximum width of the kernel (in pixels). Prior to version 0.93 of the PARAPET library this was fixed to the number of pixels in the input image (in that direction).

## 5.14.2.3 Separable Gaussian Filter

This is a separable 3D Gaussian filter for images discretised on a Cartesian voxel grid. These filters are composed of 3 1D filter kernels, one for the x,y and z directions each. Each 1D filter is specified by a Full Width at Half Maximum (FWHM) parameter (in millimetres), and a maximum spatial kernel width. The kernel values are obtained by sampling a Gaussian.

The filter is normalised to 1 by default, but the user can choose to disable this option.

#### Parameters

Sample parameters are given below

```
separable gaussian filter parameters :=
x-dir filter fwhm (in mm) := 6
y-dir filter fwhm (in mm) := 6
z-dir filter fwhm (in mm) := 6
x-dir maximum kernel size := 129
y-dir maximum kernel size := 129
z-dir maximum kernel size := 31
Normalise filter to 1 := 1
end separable gaussian filter parameters :=
```

Default values are: (i) fwhm = 0, which means no filtering (ii) maximum kernel size = -1, which means unrestricted (iii) normalise filter to 1 = 1, which means that the filter is normalised to 1.

#### 5.14.2.4 Median

This applies a straightforward 3D (or 2D) median filter on the image.

#### **Parameters**

Sample parameters are given below

```
Median Filter Parameters :=
mask radius x := 1
mask radius y := 1
mask radius z := 1
End Median Filter Parameters:=
```

A radius of 0 means no filtering in that direction, a radius of 1 means the median will be computed over a mask of 3 pixels, and so on.

## 5.14.2.5 Truncate To Cylindrical FOV

This image processor will set all voxels to 0 outside a certain radius. TODO this needs updating.

### **Parameters**

Sample parameters are given below

```
Truncate To Cylindrical FOV Parameters:=
; default use x^2 + y^2 < R^2
; if set to 0, will use <=
strictly_less_than_radius:=1
End Truncate To Cylindrical FOV Parameters:=</pre>
```

#### 5.14.2.6 Threshold Min To Small Positive Value

This is a generic data processor that will work on any type of data.

Since strict positivity is a preferred property of images in many circumstances, a post-thresholding of the filtered data is sometimes applied to truncate non-positive values. The thresholding rule currently used is:

- (1) If the entire filtered data is non-positive, the data is uniformly set to a hard-coded strictly positive parameter SMALL\_NUM<<1.
- (2) Otherwise, all non-positive entries in the data are set to SMALL\_NUM times the minimum strictly positive value.

### 5.14.2.7 HUToMu

This data processor (class HUToMuImageProcessor) is intended to convert a CT image in Hounsfield Units to mu-values. It takes a file in JSON format specifying the piecewise-linear for various systems, Xray voltages and gamma energies. Currently, it supports 2-segment piecewise linear transformations only. In practice, this needs to be combined with a smoothing filter to match CT to PET resolution.

A sample file JSON is currently located in the source of STIR (src/utilities/share). This file is installed in your install\_prefix/share folder.

#### Parameters

Example parameters are given by

```
HUToMu Parameters:=
   slope filename := json_filename
   ; next defaults to GENERIC
   manufacturer_name := IN_CAPITALS
   ; CT tube voltage (defaults to 120)
   ;kilovoltage_peak :=
   ; gamma energy (defaults to 511 for PET)
   ;target_photon_energy :=
End HUToMu Parameters:=
```

#### 5.14.2.8 Chained Data Processor

This is a generic data processor that will work on any type of data.

This data processor allows subsequent application of 2 other data processors on the image. It can for example be used to first Metz filter an image and then threshold it.

#### Parameters

Example parameters are given by

```
Chained Data Processor Parameters := Data Processor to apply first := None
Data Processor to apply second := None
END Chained Data Processor Parameters :=
```

Obviously, in normal practice the Data Processor keywords will have values given by any of the listed data processors in this section (including Chained Data Processor again).

## 5.14.3 Incorporating prior information

Some iterative reconstruction algorithms allow the incorporation of *a priori* information, for instance the One Step Late algorithm (implemented as OSMAPOSL). At the moment, 'generalised' priors are used, where we mean that we need to know only the gradient of the actual (log of the) prior function.

Which priors are available to programs is fully extendable (at compile time). How to do this is beyond the scope of this document. Here we only discuss how to specify a particular prior, and list the currently available ones. Samples of their parameters should be available in STIR/examples/samples.

Each prior has a unique name associated to it (given as the head of its subsection below). This name has to be used as value of a prior type keyword (or interactive question). Depending on the prior type, different parameters have to be given in the partial parameters asked interactively).

In addition, it is possible to specify the name *None*. This is the default for all prior keywords.

See also the online documentation for the class **GeneralisedPrior**.

## **Parameters**

These are the keywords that can be used for all priors.

```
penalisation factor := <float>
```

where the penalisation factor is usually called  $\beta$  in the literature, and is just a global scale factor for the prior.

### 5.14.3.1 FilterRootPrior

This prior is an extension of the idea first developed for the Median Root Prior [Ale97]. The prior takes any Data Processor (i.e. a filter), and computes the prior 'gradient' as

$$G_v = \lambda_v / F_v - 1$$

where  $\lambda_v$  is the image where to compute the gradient, and  $F_v$  is the image obtained by filtering  $\lambda$ .

Note that for nearly all filters, this is not really a prior, as this 'gradient' is *not* the gradient of a function. This can be checked by computing the 'Hessian' (i.e. the partial derivatives of the components of the gradient). For most (interesting) filters, the Hessian will not be symmetric.

The Median Root Prior is obtained by using Median (see 5.14.2) as Data Processor.

#### **Parameters**

These are the keywords that can be used in addition to the ones listed in 5.14.3..

```
FilterRootPrior Parameters :=
penalisation factor := 1
; you can use any data processor here
; the next parameters specify a 3x3x3 median
Filter type := Median
   Median Filter Parameters :=
```

```
mask radius x := 1
mask radius y := 1
mask radius z := 1
End Median Filter Parameters:=
```

END FilterRootPrior Parameters :=

### **5.14.3.2** Quadratic

This implements a quadratic Gibbs prior. The gradient of the prior is computed as follows:

$$g_r = \sum_{d} r w_{dr} (\lambda_r - \lambda_{r+dr}) * \kappa_r * \kappa_{r+dr}$$

where  $\lambda$  is the image where the gradient is computed and r and dr are indices and the sum is over the neighbourhood where the weights  $w_{dr}$  are non-zero.

The  $\kappa$  image can be used to have spatially-varying penalties such as in Jeff Fessler's papers. It should have identical dimensions to the image for which the penalty is computed. If  $\kappa$  is not set, this class will effectively use 1 for all  $\kappa$ 's. The utility  $compute\_sqrt\_Hessian\_row\_sum$  5.13.2.18 may be used to generate such images.

By default, a 3x3 or 3x3x3 neighbourhood is used where the weights are set to x-voxel\_size divided by the Euclidean distance between the points.

### **Parameters**

These are the keywords that can be used in addition to the ones listed in 5.14.3..

```
Quadratic Prior Parameters:=
; next defaults to 0, set to 1 for 2D inverse Euclidean weights, 0 for 3D only 2D:= 0
; next can be used to set weights explicitly. Needs to be a 3D array (of floats).
' value of only_2D is ignored
; following example uses 2D 'nearest neighbour' penalty
; weights:={{{0,1,0},{1,0,1},{0,1,0}}}
; use next parameter to specify an image with penalisation factors (a la Fessler)
; see class documentation for more info
; kappa filename:=
; use next parameter to get gradient images at every subiteration
; see class documentation
gradient filename prefix:=
END Quadratic Prior Parameters:=
```

## 5.14.3.3 Relative Difference

This implements a Relative Difference Prior RDP, proposed by J. Nuyts, et.al., 2002. The gradient of the prior is computed as follows:

$$g_r = \sum_{dr} w_{dr} * \frac{(\lambda_r - \lambda_{r+dr}) (\gamma |\lambda_r - \lambda_{r+dr}| + \lambda_r + 3\lambda_{r+dr} + 2\epsilon)}{(\lambda_r + \lambda_{r+dr} + \gamma |\lambda_r - \lambda_{r+dr}| + \epsilon)^2} * \kappa_r * \kappa_{r+dr}$$

where  $\lambda$  is the image where the gradient is computed and r and dr are indices and the sum is over the neighbourhood where the weights  $w_{dr}$  are non-zero.  $\gamma$  is a edge preservation hyper-parameter and  $\epsilon$  is small modification the penalty function used to prevent divide by zero's.

The  $\kappa$  image is the same as that of 5.14.3.2.

By default, a 3x3 or 3x3x3 neighbourhood is used where the weights are set to x-voxel\_size divided by the Euclidean distance between the points.

#### **Parameters**

These are the keywords that can be used in addition to the ones listed in 5.14.3..

```
prior type := Relative Difference Prior
Relative Difference Prior Parameters:=
; next defaults to 0, set to 1 for 2D inverse Euclidean weights, 0 for 3D
only 2D := 0
; next can be used to set weights explicitly. Needs to be a 3D array (of floats).
' value of only_2D is ignored
; following example uses 2D 'nearest neighbour' penalty
; weights:=\{\{\{0,1,0\},\{1,0,1\},\{0,1,0\}\}\}
; use next parameter to specify an image with penalisation factors (a la Fessler)
; see class documentation for more info
; kappa filename:=
; use next parameter to get gradient images at every subiteration
; see class documentation
; gamma is a parameter used to control edge preservation
; gamma value := 2
; epsilion is used to control divide by zeros in the prior denominator
; epsilon value := 0.0001
END Relative Difference Prior Parameters:=
```

### 5.14.3.4 Logcosh

This implements a Logcosh. The gradient of the prior is computed as follows:

$$g_r = \sum_{dr} w_{dr} * \frac{1}{s} * \tanh(s * (\lambda_r - \lambda_{dr})) * \kappa_r * \kappa_{r+dr}$$

where  $\lambda$  is the image where the gradient is computed and r and dr are indices and the sum is over the neighbourhood where the weights  $w_{dr}$  are non-zero. s (a.k.a. scalar) controls the transition between the quadratic (smooth) and linear (edge-preserving) nature of the prior.

The  $\kappa$  image is the same as that of 5.14.3.2.

By default, a 3x3 or 3x3x3 neigbourhood is used where the weights are set to x-voxel\_size divided by the Euclidean distance between the points.

## Parameters

These are the keywords that can be used in addition to the ones listed in 5.14.3..

```
prior type := Logcosh
Logcosh Prior Parameters:=
; next defaults to 0, set to 1 for 2D inverse Euclidean weights, 0 for 3D
only 2D := 0
; next can be used to set weights explicitly. Needs to be a 3D array (of floats).
' value of only_2D is ignored
; following example uses 2D 'nearest neighbour' penalty
; weights:=\{\{\{0,1,0\},\{1,0,1\},\{0,1,0\}\}\}
; use next parameter to specify an image with penalisation factors (a la Fessler)
; see class documentation for more info
; kappa filename:=
; use next parameter to get gradient images at every subiteration
; see class documentation
; scalar is a parameter used to control edge preservation
; scalar value := 1
END Logcosh Prior Parameters:=
```

#### 5.14.3.5 PLS

This implements the anatomical penalty function, Parallel Level Sets (PLS), proposed by Matthias J. Ehrhardt et. al [Ehr16]. Note that PLS becomes smoothed TV when an uniform anatomical image is provided. The prior has 2 parameters alpha and eta. It is computed for an image f as

$$\phi(f) = \sqrt{\alpha^2 + |\nabla v|^2 - \left\langle \nabla f, \xi \right\rangle^2}$$

where f is the PET image,  $\xi$  is the normalised gradient calculated as follows:

$$\xi = \frac{\nabla v}{\sqrt{|\nabla v|^2 + \eta^2}}$$

v is the anatomical image,  $\alpha$  controls the edge-preservation property of PLS, and depends on the scale of the emission image, and  $\eta$  avoids division by zero, and depends on the scale of the anatomical image.

#### **Parameters**

prior type := PLS

These are the keywords that can be used in addition to the ones listed in 5.14.3..

```
PLS Prior Parameters:=
penalisation factor :=1

;
; alpha controls the edge-preservation property of PLS, and depends on the scale of the emission image.
; eta avoids division by zero, and depends on the scale of the anatomical image.

eta:=1
alpha:=1

anatomical_filename:=${filename}
only_2D:=0
END PLS Prior Parameters:=
```

## 5.14.4 Selecting different projector pairs

Many algorithms use both a forward and a back projector. The first step to select which one will be used is to say what kind of projector pair you want to use, of which there are only 2 candidates as given below.

Each projector pair has a unique name associated to it (given as the head of its subsection below). This name has to be used as value of a 'projector pair type' keyword (or interactive question). Depending on the projector pair type, different parameters have to be given in the par file (or will be asked interactively).

Samples of OSMAPOSL reconstruction parameter files selecting different types of projectors should be available in STIR/examples/samples.

Warning: for most iterative algorithms, it is recommended to use matching forward and back-projector. This is unfortunately not the default due to historical reasons. It is recommended to replace the default by using a projection matrix as detailed below.

## 5.14.4.1 Matrix

Both projectors are based on a single projection matrix, as given in Section 5.14.7.

### Parameters

```
Projector Pair Using Matrix Parameters:=
Matrix type := some value
; parameters relevant to this type of matrix
End Projector Pair Using Matrix Parameters:=
```

See Section 5.14.7 for possible values for the 'matrix type' keyword.

### 5.14.4.2 NiftyPET

If STIR has been built with NiftyPET-wrapped [Mar18] support, then GPU-accelerated functionality can be used to project data. The forward and back projectors are described individually in the following sections.

Note that this functionality is currently limited to the Siemens mMR scanner, and NiftyPET requires a CUDA-enabled GPU.

Also note that, although NiftyPET allows spans 0, 1 and 11, the STIR wrapper has only currently been tested for span-11, so use with caution. The wrapper is also only currently capable of projecting all of the data, meaning projections of subsets of the data are not possible. We hope to address this soon.

### **Parameters**

```
Projector Pair Using NiftyPET Parameters:=
  verbosity := 1
End Projector Pair Using NiftyPET Parameters:=
```

## 5.14.4.3 Parallelproj

This projector pair wraps Georg Schramm's parallelproj projectors, see github.com/gschramm/parallelproj. This project provides implementations of PET projectors using the Joseph algorithm. We only use the C and CUDA code (not any Python).

This projector is only available if STIR has been built with parallelproj support (by letting CMake finding it). At present, STIR will use the GPU-accelerated functionality of parallelproj was built with CUDA support, otherwise it falls back to the OpenMP versions.

#### **Parameters**

```
Projector Pair Using Parallelproj Parameters:=
  verbosity := 1
End Projector Pair Using Parallelproj Parameters:=
```

## 5.14.4.4 Separate Projectors

Forward and back projectors are completely independent of each other. In some programs this is necessary to handle images or projection data of different sizes.

Even if the projectors are used that both use the same type of projection matrix, that matrix will not share the same cache or memory.

### **Parameters**

```
Projector Pair Using Separate Projectors Parameters:=
Forward projector type:= some value
Back projector type:= some value
End Projector Pair Using Separate Projectors Parameters:=
```

See Section 5.14.5 for possible values for the 'forward projector type' keyword and Section 5.14.6 for the 'back projector type' keyword.

### 5.14.5 Selecting a forward projector

It is possible to select the forward projector used at run-time, and extend the available ones at compile time. The mechanism is exactly the same as for the ImageProcessor hierarchy.

Each projector has a unique name associated to it (given as the head of its subsection below). This name has to be used as value of a 'forward projector type' keyword (or interactive question). Depending on the projector type, different parameters have to be given in the partial (or will be asked interactively).

## 5.14.5.1 Matrix

This forward projector uses a projection matrix to compute its result.

#### Parameters

```
Forward Projector Using Matrix Parameters:=
Matrix type := some value
End Forward Projector Using Matrix Parameters:=
```

See Section 5.14.7 for possible values for the 'matrix type' keyword.

## 5.14.5.2 Ray Tracing

This forward projector uses an optimisation of Siddon's algorithm to compute its result. That is, it uses Length of Intersection. As it avoids storing the matrix elements, it is currently faster than using a forward projector using a ray tracing matrix (see Section 5.14.7). The result is identical though (up to rounding errors and possibly the voxels at the edge of the FOV).

See also online documentation for class ForwardProjectorUsingRayTracing.

#### Parameters

```
Forward Projector Using Ray Tracing Parameters:=
End Forward Projector Using Ray Tracing Parameters:=
```

This projector currently has no user-selectable parameters. Nevertheless, the 2 keywords given above have to follow the 'forward projector type' keyword in a parameter file.

## 5.14.5.3 Pre Smoothing

This forward projector applies a filter before the normal back-projection. This is useful for reconstruction with resolution-recovery by using an (image-based) PSF.

## **Parameters**

```
Pre Smoothing Forward Projector Parameters:=
Original Forward projector type:= some projector value
; normal projector parameters
filter type:= some filter value
; normal filter parameters
End Pre Smoothing Forward Projector Parameters:=
```

## 5.14.5.4 NiftyPET

This forward projector wraps NiftyPET's GPU forward projector.

## Parameters

```
Forward Projector Using NiftyPET Parameters:=
  verbosity := 1
End Forward Projector Using NiftyPET Parameters:=
```

## 5.14.5.5 Parallelproj

This forward projector wraps the parallelproj forward projector. See Section 5.14.4.3.

### Parameters

```
Forward Projector Using Parallelproj Parameters:=
verbosity := 1
End Forward Projector Using Parallelproj Parameters:=
```

## 5.14.6 Selecting a back projector

It is possible to select the type of back projector. The mechanism is exactly the same as for the ForwardProjector hierarchy.

### 5.14.6.1 Matrix

This back projector uses a projection matrix to compute its result.

#### **Parameters**

```
Back Projector Using Matrix Parameters:=
Matrix type := some value
End Back Projector Using Matrix Parameters:=
```

See Section 5.14.7 for possible values for the 'matrix type' keyword.

## 5.14.6.2 Interpolation

This back projector uses incremental (piecewise)-linear interpolation to compute its result. See [Egg98] for details. It can *only* handle arc-corrected data.

Warning: The current implementation has problems (noticeable sometimes at 45 and 135 degrees but mostly at the centre of the image) on Sun Sparc, HP and 64-bit AMD and Intel processors (for certain optimisation settings of the C++ compiler).

### **Parameters**

```
Back Projector Using Interpolation Parameters:=
Use piecewise linear interpolation := 1
Use exact Jacobian := 1
End Back Projector Using Interpolation Parameters:=
```

The 'piecewise linear' keyword allows the user to choose between ordinary linear interpolation or piecewise linear interpolation [Thi99] in axial direction. The latter approximates Volume of Intersection for axially uncompressed data. The piecewise linear interpolation is only used when the axial voxel size is half the axial sampling of the projection data (for the segment in question), otherwise linear interpolation is used anyway.

The 'exact Jacobian' keyword selects if the exact or an approximate version of the Jacobian is used. The approximation consists in taking the value for the central tangential position and is often used in analytic algorithms in the literature.

Even when all default values are used, the start and end keywords given above have to follow the 'back projector type' keyword in a parameter file.

See also online documentation for class BackProjectorByBinUsingInterpolation.

## 5.14.6.3 Post Smoothing

This back projector applies a filter after the normal back-projection. This is useful for reconstruction with resolution-recovery by using an (image-based) PSF.

### **Parameters**

```
Post Smoothing Back Projector Parameters:=
Original Back projector type:= some projector value
; normal projector parameters
filter type:= some filter value
; normal filter parameters
End Post Smoothing Back Projector Parameters:=
```

### 5.14.6.4 NiftyPET

This back projector wraps NiftyPET's GPU back projector.

#### **Parameters**

```
Back Projector Using NiftyPET Parameters:=
  verbosity := 1
End Back Projector Using NiftyPET Parameters:=
```

### 5.14.6.5 Parallelproj

This back projector wraps the parallelproj back projector. See Section 5.14.4.3.

### **Parameters**

```
Back Projector Using Parallelproj Parameters:=
  verbosity := 1
End Back Projector Using Parallelproj Parameters:=
```

## 5.14.7 Selecting a projection matrix

It is possible to select these independently at run-time, and extend the available ones at compile time. The mechanism is exactly the same as for the ForwardProjector hierarchy.

Warning: Currently, most projection matrices are implemented for PET. Using them for SPECT data could lead to surprising results.

## 5.14.7.1 Common parameters to all projection matrices

The following parameters can be used for all types of projection matrices. There default values are indicated below.

```
disable caching:= 0
store only basic bins in cache:=1
```

Here is an explanation of these parameters.

disable caching [0,1,0] Normally the elements of the matrix are stored in memory (or at least parts of it, see next keyword) after the first use. This can be disabled, but this should normally only be done if not enough RAM memory is available such that heavy swapping occurs. The current procedure is an all-or-nothing cache. In the future, it might become possible that an upper memory limit can be given.

store only basic bins in cache [0,1,1] Most projectors use symmetries to reduce the number of elements that need to be computed. For example, if the ring spacing of the scanner is an integer multiple of the voxel size in z-direction, it is only necessary to compute the matrix elements only for 1 ring(pair). When caching is enabled, by default only the independent elements are cached. If you have plenty of RAM memory, you can store all (non-zero) elements. If your system does not start swapping, this will speed-up the computation.

### **5.14.7.2** Ray Tracing

This projection matrix uses an optimisation of Siddon's algorithm to compute its result. That is, it uses Length of Intersection.

Currently, the LOIs are divided by voxel\_size.x(), unless NEWSCALE is #defined during compilation time of ProjMatrixByBinUsingRayTracing.cxx.

It is possible to use multiple LORs in tangential direction. The result will then be the average of the various contributions. Currently all these LORs are parallel. For a very high number of LORs, the result approximates a strip integral (in tangential direction).

If the z voxel size is exactly twice the sampling in axial direction, 2 or 3 LORs are used, to avoid missing voxels. It is possible to use a cylindrical or cuboid FOV (in the latter case it is going to be square in transaxial direction). In both cases, the FOV is slightly 'inside' the image (i.e. it is about 1 voxel at each side smaller than the maximum possible).

It is possible to reduce the number of symmetries used by this matrix. This might be useful if you have plenty of RAM and want to speed up the calculations. See also the discussion on caching in section 5.14.7.

Warning Care should be taken to select the number of rays in tangential direction such that the sampling is not greater than the x,y voxel sizes.

Warning The current implementation assumes that z voxel size is either smaller than or exactly twice the sampling in axial direction of the segments.

#### Parameters

The following parameters can be set (default values are indicated):

```
Ray Tracing Matrix Parameters :=
; any parameters appropriate for all matrices
restrict to cylindrical FOV := 1

number of rays in tangential direction to trace for each bin := 1

do symmetry 90degrees min phi := 1
do symmetry 180degrees min phi := 1
End Ray Tracing Matrix Parameters :=
```

It is recommended to use a number of rays that is larger than 1 (e.g. 10) to avoid some discretisation artefacts in the final image.

```
For the azimuthal angle \phi, the following angles are symmetry related for a square grid: \{\phi, 180^o - \phi, 90^o - \phi, 90^o + \phi\}.
```

Two boolean parameters allow to select which angles should be considered as related:

```
all 4 (do_symmetry_90degrees_min_phi=true, do_symmetry_180degrees_min_phi = true)  
only \{\phi, 180 - \phi\} (do_symmetry_90degrees_min_phi=false, do_symmetry_180degrees_min_phi = true)  
none (do_symmetry_90degrees_min_phi=false, do_symmetry_180degrees_min_phi = false)
```

Note that when do\_symmetry\_90degrees\_min\_phi=true, it is irrelevant what the value is of do\_symmetry\_180degrees\_min\_phi. This is because otherwise a non-consecutive range in  $\phi$  would have to be used.

The symmetry in  $\phi$  is automatically reduced for non-square grids or when the number of views is not a multiple of 4.

In addition, there is a keyword use actual detector boundaries. However, changing its default value is currently not recommended.

Note that even when all default values are used, the start and stop keywords given above have to follow the 'matrix type' keyword in a parameter file.

See also online documentation for class ProjMatrixByBinUsingRayTracing.

## 5.14.7.3 Interpolation

This projection matrix uses interpolation in projection space as model. When using this matrix for back-projection, the centre of a voxel is projected into a "viewgram" (*i.e.* roughly a projection plane but taking scanner geometry into account), and its contribution is computed by linearly interpolating between the surrounding values in the viewgram.

It is intended to give essentially the same results as the incremental-interpolation backprojector 5.14.6. This matrix version allows using the same model for forward projection.

**Note** The implementation does not use the incremental computations of 5.14.6 and is therefore not affected by rounding error.

Warning The current implementation is quite slow in constructing the matrix. If you need to use this multiple times, it might be worth writing it to disk and then reading it (see 5.14.7).

#### Parameters

The following parameters can be set (default values are indicated):

```
Interpolation Matrix Parameters:=
    ; any parameters appropriate for all matrices

use_piecewise_linear_interpolation:=1
use_exact_Jacobian:=1

do_symmetry_90degrees_min_phi:=1
do_symmetry_180degrees_min_phi:=1
do_symmetry_swap_segment:=1
do_symmetry_swap_segment:=1
do_symmetry_swap_s:=1
do_symmetry_shift_z:=1
End Interpolation Matrix Parameters:=
```

See 5.14.6 for an explanation of the first two parameters.

## 5.14.7.4 SPECT UB

This is a flexible implementation specific to SPECT data. See [Fus13] and [Fus14] for some information. A sample is given below:

Projection Matrix By Bin SPECT UB Parameters:=

```
;PSF type of correction { 2D // 3D // Geometrical }
psf type:= 2D
; next 2 parameters define the PSF.
; They are ignored if psf_type is "Geometrical"
; the PSF is modelled as a Gaussian with sigma dependent on the
; distance from the collimator
; sigma_at_depth = collimator_slope * depth_in_cm + collimator_sigma_0
collimator slope := 0.0163
collimator sigma O(cm) := 0.1466
;Attenuation correction { Simple // Full // No }
attenuation type := Simple
; Values in attenuation map in cm-1
attenuation map := attMapRec.hv
;Mask properties { Cylinder // Attenuation Map // Explicit Mask // No}
mask type := Explicit Mask
mask file := mask.hv
; if next variable is set to 0, only a single view is kept in memory
keep all views in cache:=1
```

End Projection Matrix By Bin SPECT UB Parameters:=

The weight matrix or system matrix in tomographic reconstruction in SPECT is the matrix that stores the percentual contribution of each voxel of the object into each bin in the projections. In the MLE framework, weight ij can be though as the probability of one photon being emitted in the voxel i reached the bin j. Each weight can be factorized in three components: geometry (PSF), attenuation and scattering. This software can calculate the geometrical and the attenuation component. The correction for scattering should be done using alternative strategies. The geometrical part is calculated as the integral of the PSF (area 1) within the bin. The geometrical part is multiplied by the attenuation factor calculated using a modified version of the Siddon algorithm.

## **Parameters**

## maximum number of sigmas $[0, \infty, 2]$

This is the number of sigmas to consider in PSF correction (float, typically 1.5 to 2.5). PSF are modelled by Gaussian functions whose extension is infinite. To increase unnecessarily the number of sigmas would produce an increase of the weight matrix size that would not result in a better correction for PSF. It does not make sense to take into account very small contributions. A balance between the precision of the correction for PSF and the size of the matrix and the time of the reconstruction process should be done.

## psf type {2D, 3D, Geometrical (default)}

Indicate, respectively, a planar reconstruction (no information mixed between planes, planes reconstructed sequentially, 1d -PSF), volumetric (mixed information between planes, volume reconstructed at once, 2d-PSF), or no correction for PSF (in this case PSF is the trapezoid obtained by the projection of the square voxel).

## collimator slope, collimator slope sigma 0 (cm) (default 0)

These two 2 parameters define the PSF. They are ignored if *psf type* is *Geometrical*. These values are mostly dependent on your collimator. The PSF is modelled as a Gaussian with sigma dependent on the distance from the collimator

$$\sigma(d) = \text{slope} * d + \sigma_0 \tag{7}$$

with d the distance (in cm) of the voxel to the collimator radius.

In the range of usual distances between the object and the detector, the dependence of the sigma with the distance keeps perfectly linear for parallel collimators. You can adjust this dependence experimentally or use analytical methods to determine it.

## attenuation type {Simple, Full, No (default)}

Attenuation is calculated as the negative exponential of the sum of the length of the projection ray in each crossed voxel by its attenuation coefficient. It requires an attenuation map (see next keyword). The simple option for correction for attenuation is to consider that the whole PSF suffers the same attenuation (the attenuation of the central ray). One single factor is applied to weight the contribution from one voxel to all the bins in a detection plane. It is a very good approximation for uniform attenuation maps. The full option means that a different attenuation coefficient is calculated for each voxel-bin contribution (that is obtained along the voxel-bin pathway). It could be useful for very inhomogeneous attenuation maps.

See [Fus14] for an evaluation and more explanation.

## attenuation map

A filename giving the attenuation map as the attenuation coefficient at each voxel (in cm<sup>-1</sup>). Currently, this file has the same geometric characteristics as the image to be reconstructed (number of columns, rows, slices, voxel dimensions, and orientation).

## mask type {Cylinder, Attenuation Map, Explicit Mask, No (default)}

This is a parameter to indicate if any mask should be applied to the volume, to reduce the matrix size by removing weights from voxels that, according to the previous information, do not contribute to the projections. Possible values are:

Cylinder: The cylinder inscribed into the volume is used as a mask. The radius of the cylinder is the maximum volume dimension (x-y),

**Attenuation Map:** The attenuation map is used as a mask. No weight is calculated where the attenuation map is zero (assuming no attenuation = no activity). NaNs values are set to zero.

Explicit Mask: The mask is defined in a file. The mask should have the same geometrical characteristics as the image. It could be useful, for instance, to remove the weight of voxels from the patient table (no activity but attenuation) or to reduce the matrix size when no attenuation for correction is considered. If not, attenuation map should suffice.

No: No masking.

#### mask file

A filename with the mask (if the mask type is set to *Explicit Mask*). Any voxels which are (exactly) zero in the mask will be ignored. Currently, this file has the same geometric characteristics as the image to be reconstructed (number of columns, row, slices and voxel dimensions, orientation).

## keep all views in cache [0,1,0]

If this variable is set to 0 (default), only a single view is kept in memory. This avoids running out-of-memory but means that the matrix has to be recomputed at every iteration.

### 5.14.7.5 Pinhole SPECT UB

This is a flexible implementation specific to pinhole-SPECT data acquired in circular orbits as illustrated in Fig. 1. The code was written by Carles Falcón and integrated into STIR by Matthew Strugari to create the weight matrix for single- and multi-pinhole collimators in SPECT. The code calculates the contribution of each voxel of the image to each detector element (one per angle and ring) and includes the geometric projection (shadow of the collimator hole) as well as corrections for the spatially variant collimator-detector response function in terms of intrinsic PSF, depth of interaction (DOI), attenuation inside the crystal, and attenuation inside the subject — full (different attenuation factors for each bin of PSF) and simple (the same attenuation factor for the whole PSF). Information about detector and collimator characteristics are read from text files with a fixed structure. See Sec. 5.14.7 and [Str22a], [Str22b], [Fus13], and [Fus14] for additional information. A sample of PinholeSPECTUB parameter files are given below and in the STIR/examples/samples/ directory.

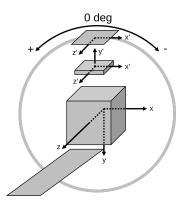


Figure 1: PinholeSPECTUB system of reference and sign criteria illustrated for a polygonal collimator setup. Note that the projection matrix adheres to STIR's coordinate system as indicated by the x, y, and z axes. The detector and collimator use a rotating frame of reference where the transaxial x' and axial z' axes coincide with STIR's axes when the detector is at 0 deg. The collimator uses a right-handed coordinate system as indicated by the y' axis which points toward the detector. Further information is given in the parameter descriptions.

Projection Matrix By Bin Pinhole SPECT UB Parameters:=

```
maximum number of sigmas := 2.0
spatial resolution PSF := 0.001
subsampling factor PSF := 1

detector file := detector.txt
collimator file := collimator.txt

; PSF and DOI correction { Yes // No }
psf correction := no
doi correction := no

; Attenuation correction { Simple // Full // No }
attenuation type := no
```

```
attenuation map :=

object radius (cm) := 2.3
mask file :=
; If no mask file is set, we can either compute it from attenuation map or object radius
mask from attenuation map := 0

keep all views in cache := 0

End Projection Matrix By Bin Pinhole SPECT UB Parameters:=
```

## Parameters

- maximum number of sigmas: Number of sigmas to consider when correcting for intrinsic PSF (float, typically 1.52.5, default 2.0). To increase unnecessarily the number of sigma would produce an increase of the weight matrix size that would not result in a better PSF correction. PSFs are modelled by Gaussian functions whose extension is infinite. It does not make sense to take into account very small contribution. A balance between the precision of the correction for PSF and the size of the matrix and the time of the reconstruction process should be done.
- spatial resolution PSF: This is the spatial high resolution in which to sample distributions (float, cm, typically 0.001-0.0001, default 0.001, depends on the voxel size). It applies to geometric, PSF, and DOI calculations. The geometric contribution to one bin is the plane integral of the shadow of the hole within the bin. To easily compute thousands of integrals, the code pre-calculates the cumulative sum of the shadow of the hole at high resolution. This parameter indicates the discretization interval for such functions. The smaller this parameter the longer it takes to calculate the DOI correction (linear proportion).
- subsampling factor PSF: This is the subsampling factor to compute convolutions when PSF or DOI corrections are enabled (integer, typically 1-8, default 1). In some cases, the Gaussian that describes the intrinsic PSF has just a few voxels. To convolve the shadow of the hole with a low resolution intrinsic PSF could have low accuracy. The subsampling factor reduces temporally the resolution of the PSF to perform more accurate calculus and then down sample the final PSF to the bin size. It has a great influence on the computation time.
- **detector file:** Name of the file containing the detector information. See below for information on the structure of this file.
- **collimator file:** Name of the file containing the collimator information. See below for information on the structure of this file.
- psf correction: {Yes, No (default)} Enable or disable corrections for intrinsic PSF.
- doi correction: {Yes, No (default)} Enable or disable corrections for DOI. Note: There is currently a bug associated with the DOI correction at small angles from the pinhole axis.
- attenuation type: {Simple, Full, No (default)} Attenuation is calculated as the negative exponential of the sum of the length of the projection ray in each crossed voxel by its attenuation coefficient. It requires an attenuation map (see next parameter). The simple option for correction for attenuation is to consider that the whole PSF suffers the same attenuation (the attenuation of the central ray). One single factor is applied to weight the contribution from one voxel to all the bins in a detection plane. It is a very good approximation for uniform attenuation maps. The full option means that a different attenuation coefficient is calculated for each voxel-bin contribution (that is obtained along the voxel-bin pathway). It could be useful for very inhomogeneous attenuation maps.
  - See [Fus14] for an evaluation and more explanation.
- attenuation map: A filename giving the attenuation map as the attenuation coefficient at each voxel (in cm<sup>-1</sup>). If the attenuation map is provided but the attenuation type is set to "No", then simple attenuation will be applied automatically. Currently, this file has the same geometric characteristics as the image to be reconstructed (number of columns, rows, slices, voxel dimensions, and orientation).

**object radius (cm):** The radius of the object in the xy plane of the image volume. All voxels belonging to this cylinder are weighted according to this radius whether or not a mask is used. If a mask is not used, all voxels outside of this cylinder are masked by default. If a mask is specified, this radius should be no smaller than the object in the mask volume to avoid errors in the geometric or convolution calculation of the PSF. See the following two parameters for more information.

mask file: Applying a mask to the image volume reduces the matrix size by removing weights from voxels that do not contribute to the projections. If a mask file is provided, any voxels which are (exactly) zero in the mask will be ignored during reconstruction. It could be useful, for instance, to remove the weight of voxels from the patient table (no activity but attenuation) or to reduce the matrix size when no attenuation correction is considered. If not, attenuation map should suffice. If no mask file is set, masking will be applied either either outside of the object radius, or with the attenuation map (see next parameter). Currently, this file has the same geometric characteristics as the image to be reconstructed (number of columns, rows, slices, voxel dimensions, and orientation).

mask from attenuation map: [0,1,0] If this variable is set to 0 (default), then masking will be applied either outside of the object radius, or with the mask file if it is set (see previous parameter). If this variable is set to 1 and no mask file is provided, then the attenuation map will be used to mask the image volume. No weight is calculated where the attenuation map is zero (no attenuation = no activity). If the attenuation map is obtained from a time-activity curve, very small values of attenuation could be set around the subject. Thresholding the attenuation map could be considered to adjust the mask to the subject. NaNs values are set to zero. Note: If this variable is set to 1 AND the mask file is specified, then this parameter will be automatically set to 0.

**keep all views in cache:** [0,1,0] If this variable is set to 0 (default), only a single view is kept in memory. This avoids running out-of-memory but means that the matrix has to be recomputed at every iteration.

## Detector file

This file contains information about projections (see Fig. 1 for projection image orientation and angle criteria). Parameters are defined with a delimiting colon ":". The labels before the colon are just information for users. The colon indicates the following value is a parameter to read, so it should be avoided in comments. The parameters should always keep the same order because they are read sequentially. The structure is demonstrated below with settings for the Cubresa Spark preclinical SPECT system in an aquisition with four projections over 360 degrees (see [Str22b]).

```
Information of detector
Comments are allowed here or anywhere in lines not containing parameters.
Parameters are defined with a delimiting colon. Avoid using a colon elsewehere.
# Sigma = FWHM/(2*sqrt(2*ln(2))) where FWHM = 0.85 mm
# CsI at 140.5 keV from NIST
Number of rings: 1
#intrinsic PSF#
Sigma (cm): 0.0361
Crystal thickness (cm): 0.3
Crystal attenuation coefficient (cm -1): 4.407
\#repeat for each ring \#
Nangles: 4
ang0 (deg): 180.
incr (deg): 90.0
z0 (cm): 0.
\#until here\#
```

Note that detector radius (in mm), projection matrix size, and scaling factor (mm/pixel) are read directly from the Interfile header. The detector radius refers to the front of the crystal (external face). In case of no DOI correction, the program automatically adds half of the crystal thickness to the detector radius. The projection matrix size can be different in the axial and transaxial directions. The code works internally in radians although the angular parameters in input files are specified in degrees. This file should contain all the parameters although not used for that particular matrix. If not, indices will not match.

#### Parameters

**Number of rings:** There can be several rings of detectors. Each one can have a different configuration according to the parameters for Nangles, ang0(deg), incr(deg), and z0(cm).

**Sigma (cm):** Sigma  $\sigma$  defines the standard deviation of the Gaussian that describes the intrinsic PSF. It can be calculated from the intrinsic resolution (FWHM) of the system as

$$\sigma = \frac{\text{FWHM}}{2\sqrt{2\ln 2}}.\tag{8}$$

Crystal thickness (cm): Thickness of the scintillating crystal.

Crystal attenuation coefficient (cm -1): Attenuation coefficient of the crystal for the photon energy of interest.

Nangles: Number of angles for projection images stored in the current detector ring.

ang0 (deg): Starting angle of the detector in the current detector ring where the first projection was acquired. For example, 0 deg refers to a detector above the patient table and 180 deg refers to a detector below the patient table.

incr (deg): Angular increment of the detector between projections in the current detector ring. When looking from the patient table into the gantry, a positive angular increment refers to a CCW rotation.

z0 (cm): This refers to the axial position of the detector in the current ring relative to the center of the image volume.

#### Collimator file

This file contains information about projections (see Fig. 1 for collimator/pinhole orientation and angle criteria). Parameters are defined with a delimiting colon. The labels before the colon are just information for users. The colon indicates the following value is a parameter to read, so it should be avoided in comments. The parameters should always keep the same order because they are read sequentially. The structure is demonstrated below with settings for the Cubresa Spark preclinical SPECT system in an aquisition with four projections over 360 degrees and a single-pinhole collimator (see [Str22b]).

```
Information of collimator
Comments are allowed here or anywhere in lines not containing parameters.
Parameters are defined with a delimiting colon. Avoid using a colon elsewehere.
Model (cyl/pol): pol
Collimator radius (cm): 2.8
Wall thickness (cm): 1.
#holes#
Number of holes: 4
nh / ind / x(cm) / y(cm) / z(cm) / shape(rect-round) / sizex(cm) / sizez(cm)
/ angx(deg) / angz(deg) / accx(deg) / accz(deg)
h1: 1 0. 0. 0. round 0.1 0.1 0. 0. 45. 45.
h2: 2 0. 0. 0. round 0.1 0.1 0. 0. 45. 45.
h3: 3 0. 0. 0. round 0.1 0.1 0. 0. 45. 45.
h4: 4 0. 0. 0. round 0.1 0.1 0. 0. 45. 45.
```

## Parameters

Model (cyl/pol): The collimator could be either cylindrical or polygonal (flat faces). In both cases, the holes are supposed to be parallel to detector plane.

Collimator radius (cm): In case of polygonal collimator, the radius refers to the apothem, i.e., radius of rotation.

This is the radial distance from the central axis to the center of the face of the collimator.

Wall thickness (cm): Thickness of the face of the collimator.

Number of holes: The number of holes is the total, considering all angular projections and rings.

- **nh:** For each hole, the following information should be introduced in rows (value previous to ":" is a label and is not read).
  - ind: Index from 1 to the number of detector elements defining which detection element the hole projects to.

    In case one hole projects to more than one detector element, it should be added twice, once for each detector element using the relative position to that detector element. Assign the same detector element index to different holes to indicate that they project to the same detector element.
  - x, y, z coordinate (cm): Polygonal collimator: Center of the hole in the collimator plane. That is, 0. 0. 0. refers to the middle of the collimator face and in the middle of the collimator wall. Coordinates x, z refer to spatial position in the plane (transaxial and axial, respectively) and y is the radial position of the hole in the wall thickness, so it should be kept between  $\pm$  wall\_thickness/2. Note: The collimator coordinate system is a right-handed coordinate system (see Fig. 1). When the detector and collimator are at 0 deg, the axial and transaxial axes coincide with STIR's coordinate system whereas the collimator y-axis points radially outward toward the detector.

Cylindrical collimator: Replace x(cm) y(cm) z(cm) with ang(deg) y(cm) z(cm) for cylindrical coordinates.

shape (rect-round): rect or round depending on if the hole has rectangular or round shape, respectively.

- x, z hole size (cm): Hole dimensions in the x (transaxial) and z (axial) directions.
- x, z angle (deg): Angle by which to tilt the central axis of the hole in the x and z directions. Note: The normal of a pinhole with a 0 deg tilt lies along the y-axis (i.e., pointing toward the detector). A positive tilt angle will rotate the normal toward the indicated axis by the defined amount.
- x, z acceptance angles (deg): Aperture with respect to the hole axis given by half of the total acceptance angle.

## **5.14.7.6** From File

You can use write\_proj\_matrix\_by\_bin to write a projection matrix to file. You can then read the matrix back into memory. This could be useful if it takes a long time to generate the matrix, or if you have an external program to write the matrix (see below for more information on what you will need to do).

## **Parameters**

The necessary parameters to include in the par file are:

```
ProjMatrixByBinFromFile Parameters:=
  Version := 1.0
  symmetries type := PET_CartesianGrid
    PET_CartesianGrid symmetries parameters:=
      do_symmetry_90degrees_min_phi:= <bool>
      do_symmetry_180degrees_min_phi:=<bool>
      do_symmetry_swap_segment:= <bool>
      do_symmetry_swap_s:= <bool>
      do_symmetry_shift_z:= <bool>
    End PET_CartesianGrid symmetries parameters:=
  ; example projection data of the same dimensions as used
  ; when constructing the matrix
  template proj data filename:= <filename>
  ; example image of the same dimensions as used
  ; when constructing the matrix
  template density filename:= <filename>
  ; binary data with projection matrix elements
  data_filename:=<filename>
End ProjMatrixByBinFromFile Parameters:=
```

The symmetries all default to true, but it is best to include the values in the file in all cases.

You need to be careful that these parameters match the matrix written to file. To make this easier, write\_proj\_matrix\_by\_bin will write these to file for you. In the current version of STIR, you will need to copy these into the .par file (this will change in a future version of STIR).

Note that image and projection data characteristics are read from the template files. Their geometric characteristics have to match those of the data that you want to process with the stored matrix (the stored matrix could have more segments or tangential positions than the data). This will be checked at run-time.

### Information on how to write your own projection matrix to file

The projection matrix is stored as a sparse matrix (in the file dennoted by the data\_filename parameter). The format is reasonably simple. For each element ("bin") in the projection data, the Line of Response (LOR) is encoded as follows:

```
segment_num (int32_t)
view_num (int32_t)
axial_pos_num (int32_t)
tangential_pos_num (int32_t)
num_voxels_in_LOR (uint32_t)
for_each voxel
   z (int16_t)
   y (int16_t)
   x (int16_t)
   matrix_value (float)
end
```

The order of bins is not important, neither is the order of the voxels (although you will get better performance if these are stored such that voxels are listed consecutively).

To reduce data size, symmetries can be used to store only "basic" Lines Of Responses (LORs). The exact definition of the symmetries is unfortunately not easy and not documented fully here. If you want to use your own code to write the matrix and want to use symmetries you will need to check the DataSymmetriesForBins class or a derived class. In case all symmetries are enabled, the following should hold:

```
0<=segment_num
0<=view_num<=num_views/4
axial_pos_num==0
0<=tangential_pos_num</pre>
```

Check the STIR developer's guide and the Wiki for information on coordinate systems used by STIR. In particular, note the STIR convention about index numbering in section 2.2 of the developer's guide.

A final note: currently the sparse matrix is read completely into memory before it is used (but of course keeping only the "basic" part of the matrix taking the symmetries into account). This restricts the size of the matrix according to how much memory your system has available.

## 5.14.8 Selecting a bin normalisation procedure

In PET, a procedure called 'normalisation' is used which is essentially a calibration procedure for every detector pair. It provides a multiplicative factor for every bin, or element of the projection data.

The library can provide different types of normalisation procedures. It is possible to select these independently at run-time, and extend the available ones at compile time. The mechanism is exactly the same as for the Forward-Projector hierarchy.

In addition to the types listed below, you can also enter 'None', which means that the data won't be normalised at all.

## 5.14.8.1 From Projdata

This can be used when the normalisation factors are stored simply as projection data. Currently, these data have to have exactly the same characteristics (size etc.) as the projection data which are going to be normalised. Note that the stored factors have to be the ones you'd apply to normalise the data (and not their reciprocal).

#### Parameters

```
Bin Normalisation From ProjData :=
normalisation projdata filename:= norm.hs
End Bin Normalisation From ProjData:=
```

See also online documentation for class BinNormalisationFromProjData.

### 5.14.8.2 From ECAT7

This can be used when normalising ECAT7 data. CTI/Siemens stores the normalisation data in a files normally ending on .n or .N. Dead-time correction is also supported, although awkwardly. To get dead-time correction to work, you need to specify the singles rates for the scan<sup>28</sup>

#### **Parameters**

```
Bin Normalisation From ECAT7:=
normalisation filename:= STUDY.n
singles rates := Singles From ECAT7 File
Singles Rates From ECAT7 File:=
ECAT7_filename := ecat7_sinogram.S
End Singles Rates From ECAT7:=
End Bin Normalisation From ECAT7:=
```

In addition, you currently have to set the time frame information in the .par file. See also online documentation for class BinNormalisationFromECAT7.

### 5.14.8.3 From Attenuation Image

This can be used for attenuation correction factors (ACFs) if you do not have the ACFs but an attenuation image (or mu-map). The ACFs are found by forward projecting the attenuation image, multiplying the result with -1, and exponentiating, i.e. using Beer's law.

Warning Attenuation image data are supposed to be in units  $cm^{-1}$ . (Reference: water has  $\mu = .096cm^{-1}$ .)

#### Parameters

```
Bin Normalisation From Attenuation Image:=
attenuation_image_filename := <string>
forward projector type := <string>
End Bin Normalisation From Attenuation Image :=
```

Default forward projector is ForwardProjectorByBinUsingRayTracing (see section 5.14.5). See also online documentation for class BinNormalisationFromAttenuationImage.

## 5.14.8.4 Chained

This can be used to apply two normalisation one after the other. For example, a first one could be the 'usual' normalisation factor, while a second one could be the attenuation factors.

## **Parameters**

```
Chained Bin Normalisation Parameters:=
Bin Normalisation to apply first:= some_bin_normalisation_type
; parameters for this type
```

 $<sup>^{28}</sup>$ In future, hopefully this will not be necessary. This work-around is needed because STIR currently does not directly read any of the meta-data in the headers of sinograms etc.

```
Bin Normalisation to apply second:= some_bin_normalisation_type ; parameters for this type
```

END Chained Bin Normalisation Parameters:=

See also online documentation for class ChainedBinNormalisation.

#### 5.14.8.5 SPECT

This can be used to apply decay correction and uniformity for SPECT. You need to specify the folder where the data and uniformity table are. In addition, for the decay correction it is necessary to specify how many detector heads the scanner has, the acquisition time per angular position, and the half life of the radioisotope.

#### **Parameters**

```
Bin Normalisation type := SPECT

Bin Normalisation SPECT:=
folder_prefix:=folder_prefix
projdata filename:=input_sino
use_uniformity_factors :=0
uniformity_filename:=uniformity_table.dat
use_decay_correction:=1

half_life:=21600 ;seconds
num detector heads:=3
rel_angle:=120

;the following is the time of a head position
view_time_interval:=15 ;seconds
```

## 5.14.9 Available shapes

End Bin Normalisation SPECT:=

**STIR** can use shapes, *e.g.* in the generate\_image utility (see section 5.13.2.10), or for specifying ROIs. The distribution contains sample parameter files in the samples directory. In the following sub-sections the available shapes are listed.

Most shapes have a centre and orientation. This is specified in the parameter files by giving the "origin" and a 3x3 matrix specifying 3 direction vectors. Note that these vectors do not necessarily have to be orthogonal nor have unit-norm. To decide if a point with coordinates **coords** is inside the shape, the coordinates are first translated to "shape-specific" coordinates using:

```
shape\_coords = direction\_vectors.(coord - origin)
```

When parsing, the relevant variables are specified as follows:

```
origin (in mm):= <float> ;defaults to \{0,0,0\}; values below are give a rotation around y for 90 degrees (swapping x and z); Warning: this uses the STIR convention \{z,y,x\} direction vectors (in mm) := \{\{0,0,1\},\{0,1,0\},\{-1,0,0\}\}
```

See also the online documentation for the class Shape3D and Shape3DWithOrientation.

## 5.14.9.1 Box3D

Three-dimensional cuboid box.

#### Parameters

```
Box3D Parameters:=
  length-x (in mm):= <float>
  length-y (in mm):= <float>
  length-z (in mm):= <float>
  ; any parameters of Shape3DWithOrientation
End:=
```

### 5.14.9.2 Ellipsoid

Three-dimensional ellipsoid. A point with coordinates "shape-coordinates" x, y, z is inside the shape if

$$\frac{x^2}{R_x^2} + \frac{y^2}{R_y^2} + \frac{z^2}{R_z^2} \le 1$$

### **Parameters**

```
Ellipsoid Parameters:=
  radius-x (in mm):= <float>
  radius-y (in mm):= <float>
  radius-z (in mm):= <float>
  ; any parameters of Shape3DWithOrientation
End:=
```

## 5.14.9.3 Ellipsoidal Cylinder

Three-dimensional ellipsoidal cylinder (oriented along the z-axis). A point with coordinates "shape-coordinates" x, y, z is inside the shape if

$$\frac{x^2}{R_x^2} + \frac{y^2}{R_y^2} \le 1$$
 and  $abs(z) \le L_z/2$ 

In addition, this shape can be restricted to a wedge by specifying initial and final angles (w.r.t. the x axis).

### **Parameters**

```
Ellipsoidal Cylinder Parameters:=
  radius-x (in mm):= <float>
  radius-y (in mm):= <float>
  length-z (in mm):= <float>
  initial angle (in deg):= <float> ; (defaults to 0)
  final angle (in deg):= <float> ; (defaults to 360)
  ; any parameters of Shape3DWithOrientation
End:=
```

## 5.14.9.4 Discretised Shape3D

This shape can be used to read for instance saved ROIs. Image values are supposed to be between 0 and 1. Note that centre and orientation are taken from the image data, not from the parameter file.

### **Parameters**

```
Discretised Shape3D Parameters:=
  input filename := <filename>
END:=
```

where filename needs to specify a volume that can be read by STIR.

## 5.15 Display

Some of the programs (e.g. in utilities/, recon\_tests/) use the display routines. Which version is actually used depends on the compilation settings (in particular the *GRAPHICS* cmake variable), see section 3.3.1.

## 5.15.1 X Windows display

Warning This functionality is deprecated and will be removed in a next version.

This provides a (very basic) way of displaying bitmaps when using X windows. This works by creating a new window where some of the bitmaps are displayed. To proceed, you have to make this window the 'focus' (how to do this depends on your window manager but usually you have to move the cursor over it, or click the title bar) and then press any key. In your original terminal window you will then be asked if you want to continue with the next set of bitmaps until no more are left.

In addition, when your X server supports the Pseudocolor visual, you can cycle between 4 different colour scales by pressing Mouse button 2 while the 'bitmap' window is selected.

Warning In the current implementation, it seems to happen occasionally that not all colours in the colour scale have been allocated properly. This can be seen by looking at the side bar displaying the colour scale. Unfortunately, this effect might give you wrongly coloured regions (usually spots) in the image.

## 5.15.2 PGM display

This 'display' mode actually writes out a file in the Portable Greyscale Map format, which can be read by various graphics programs (like Paint Shop Pro or xv).

### 5.15.3 MathLink display

This mode is (somewhat) useful if you have  $Mathematica^{TM}$ , and want to pipe the data into Mathematica. (It is probably easier to read the binary data from file though using the Mathematica command BinaryRead). Sample Mathematica statements:

```
(* create link before starting the (first) display in your STIR
program*)
link=LinkCreate[''STIR''];

(* read data from link *)
data3d=LinkRead[link];

(* display the 3rd image *)
ListPlot3D[data3d[[3]], Mesh->False];
(* read data from link from next display *)
nextdata3d=LinkRead[link];
(* and so on *)

(* close link at end of STIR program *)
LinkClose[link];
```

# 6 B-spline interpolation in STIR

Special classes to interpolate data using B-Splines [Uns99] have been implemented in STIR. The B-Splines coefficients are estimated with a fast method that incorporates FIR and IIR filters [Uns93]. The level of B-splines that are currently available are 0, 1 (linear), 2 (quadratic), 3 (cubic), 4 (quartic) and 5 (quintic). Moreover, a synthetic spline has also been implemented based on B-splines for maximum order interpolation but minimal support, i.e. MOMS splines [Blu01]. In all cases no regularization has been incorporated during the interpolation procedures, therefore special care should be taken when higher than the first order B-splines are used, as noise may be enhanced at the interpolated data. Interpolation can be also performed in sinogram space. However this space is regular only in axial, and not in radial, angular and azimuthal directions. Therefore, the current interpolation can be cubic only

in axial direction while for the rest directions a choice of linear interpolator is safer. In future with some additional classes, reconstruction could be performed to obtain B-spline coefficients directly from the projection sinograms, which can be useful for better noise properties [Nic02].

## 7 Directories in the STIR tree

STIR can roughly be split into a library applications and applications. <sup>29</sup> See the doxygen documentation for an overview of the directory tree with brief descriptions.

# 8 Future developments and Support

The *STIR* library, in its current state, possesses many capabilities. The developers, however, look forward to still further increases in the flexibility and power of the software. Some of the developments being discussed are:

- expanded library of polymorphic classes (e.g. image grids, and ordered subsets)
- additional scanners, also for SPECT
- additional data formats support, without conversion to Interfile.
- point-spread function reconstruction

While support for the library is on a voluntary basis, users of the library are encouraged to subscribe to relevant *STIR* mailing lists (see the 'Mailing Lists' section of the *STIR* website http://stir.sourceforge.net) where they can follow developments of the software and obtain helpful information from other users. Questions will ONLY be answered (if at all) when directed to the mailing list.

Commercial support is available from Algorithms and Software Consulting Ltd.

Below, we list of some of the features that might make it into the next releases. However, which feature is actually finalised/implemented depends on the needs of the developers. If you want one of these features and are willing to help, let us know.

- More automatic testing programs
- More algorithms: potentially ART, OSCB [Ben99b]
- More projectors
- More priors
- Extending the parallelisation of OSMAPOSL and OSSPS to FBP3DRP etc., or using OPEMMP
- Compatibility of the interpolating backprojector with recent processors.
- More kinetic models: Spectral Analysis, Logan Plot

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 $<sup>^{29}</sup>$ The distinction is not complete as some applications are implemented in terms of classes that actually end up in the library.

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