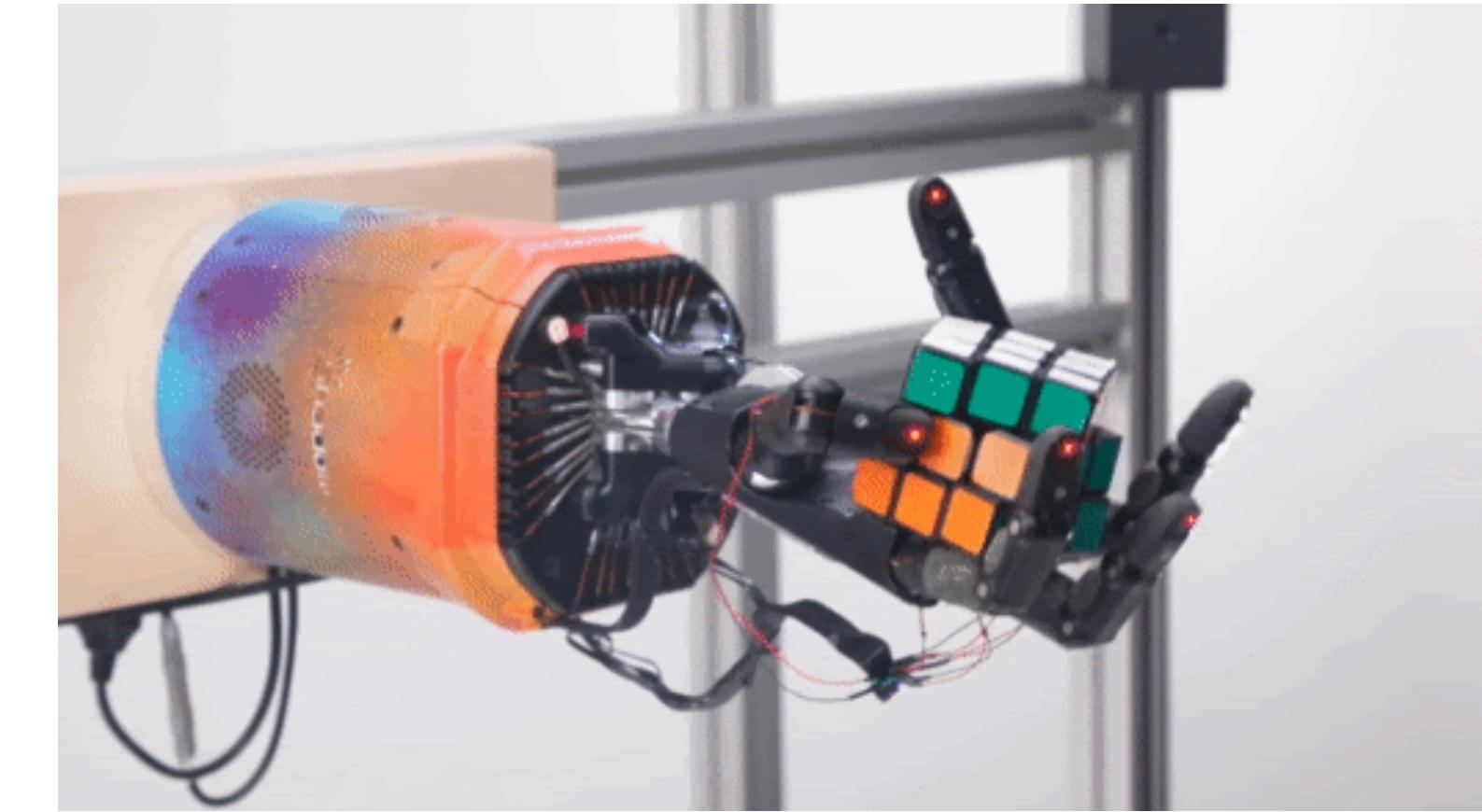


# **From Specialists to Generalists**

## **Structure, Attributes and Causality**

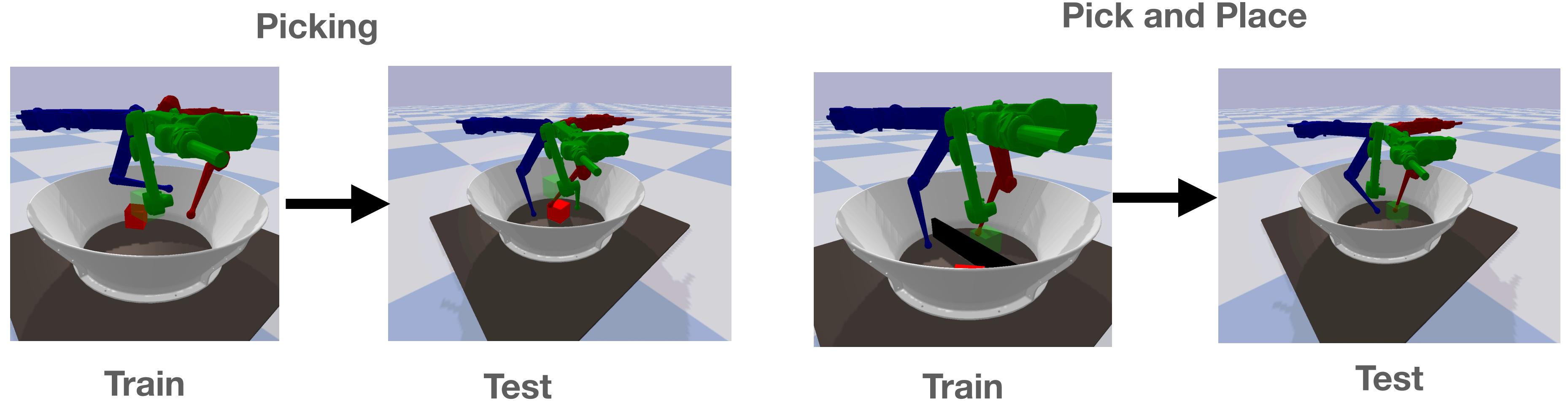
Anirudh Goyal  
Mila, University of Montreal

# Training “Specialists” using Deep Networks

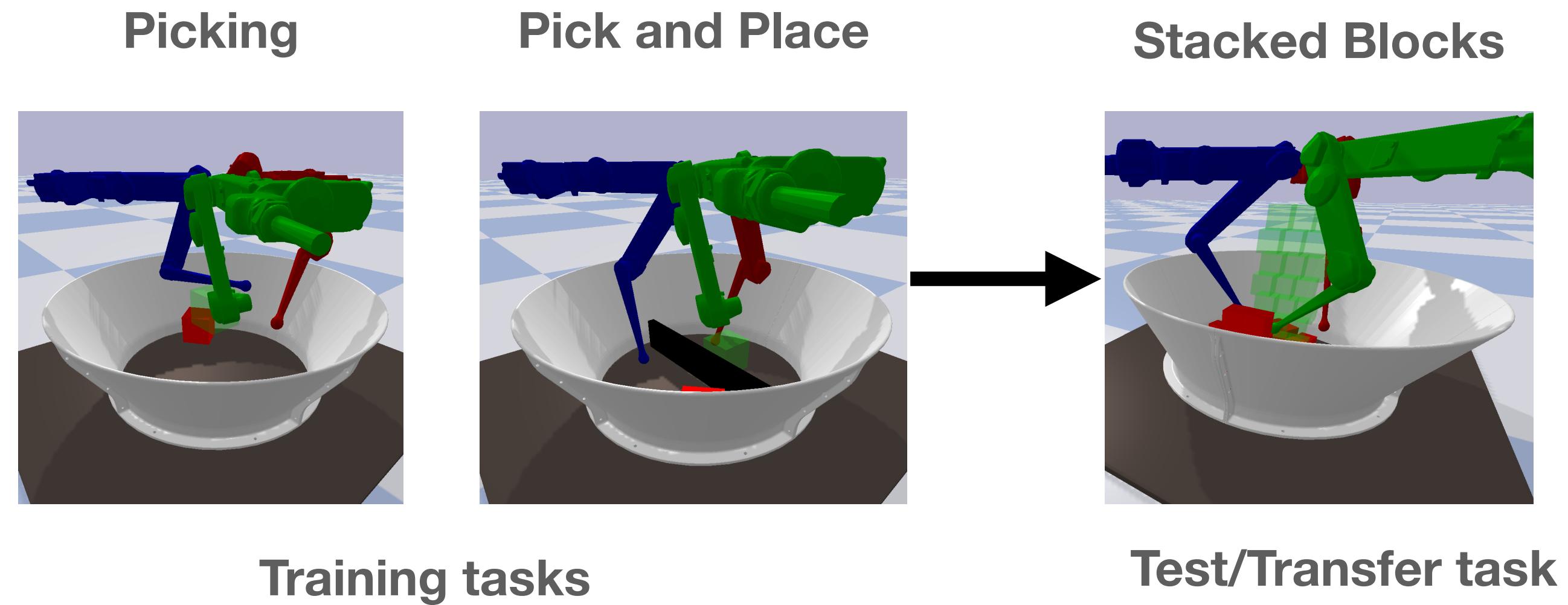


# Training “Generalists” using Deep Networks

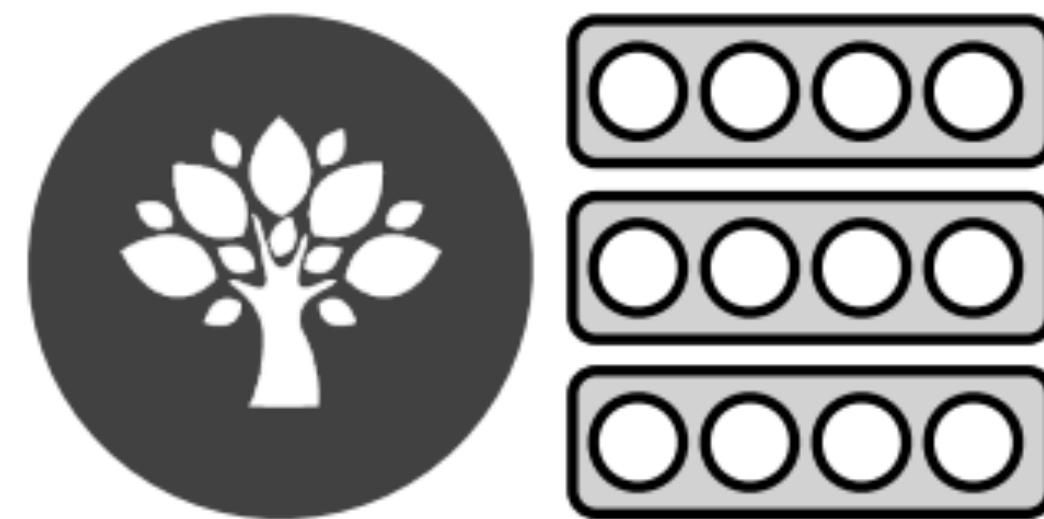
**Specialists**



**Generalists**



Quickly adapt to the transfer task.



## Representation Model

**(Learning causal structures which reflect the dynamics of the environment)**

1. *Inductive Biases for Deep Learning of Higher Level Cognition: Goyal and Bengio (arXiv:2011.15091)*
2. *Coordination among Neural Modules through a Shared Workspace: Goyal et al (ICLR'22, Oral)*
3. *Learning to combine Top Down and Bottom Up Signals in RNN with attention over modules: Mittal, Lamb, Goyal et al, ICML'20 (Spotlight)*
4. *Object Files and Schemata: Factorizing Declarative and Procedural Knowledge in Dynamical Systems : Goyal et al, ICLR'21*
5. ***Neural Production Systems: Goyal et al, NeurIPS'21***
6. *Spatially Structured Recurrent Modules: Rahaman, Goyal et al, ICLR'21*
7. *Fast and Slow learning of Recurrent Independent Mechanisms: Madan, Ke, Goyal, et al ICLR'21*
8. *A meta-transfer objective for learning to disentangle causal mechanisms: Bengio [...], Goyal, ICLR'20*
9. *Systematic Evaluation of Causal Discovery in Visual Model Based Reinforcement Learning: Ke [...], Goyal et al, NeurIPS'21*

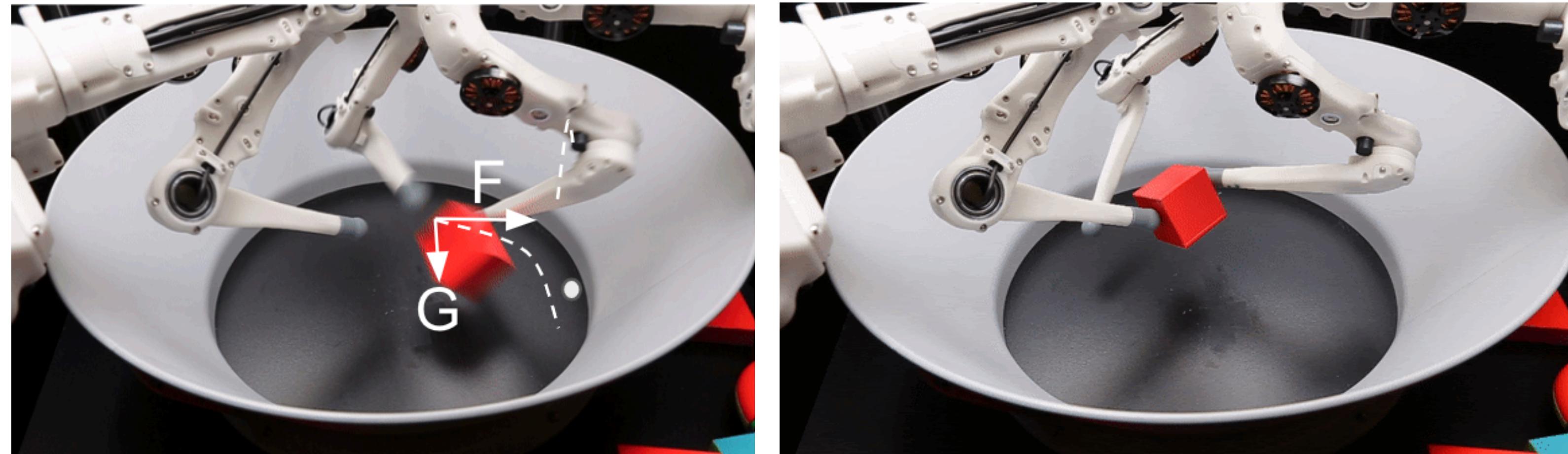
# Prediction v/s Scientific Discovery

$$F = ma$$

$$a = \frac{d}{dt}v(t)$$

$$v = \frac{d}{dt}s(t)$$

Where will the cube land ?



What if the cube were green ? *Color has no effect!*

# Abstractions and Systematicity

```
1 int add(int a, int b){  
2     int c = a + b;  
3     return c;  
4 }  
5 add(4,2); // 6  
6 add(1024, 2048); // 3072
```

Typed argument function in C++. Here, function *add* can be applied to any two entities as long as their type matches the expected types (in this case, integer).

***Logical Rules applied to Symbolic Concepts***

# Discovery of Variables and Functions from Visual Input

Program state:  $a, b, c, d, e, g, x, y$

$$z = f_i(a, g)$$

Program state:  $z, a, b, c, d, e, g, x, y$

```

1 int add(int a, int b){
2     int c = a + b;
3     return c;
4 }
5 add(4,2); // 6
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```

**Typed argument function in C++.** Here, function **add** can be applied to any two entities as long as their type matches the expected types (in this case, integer).

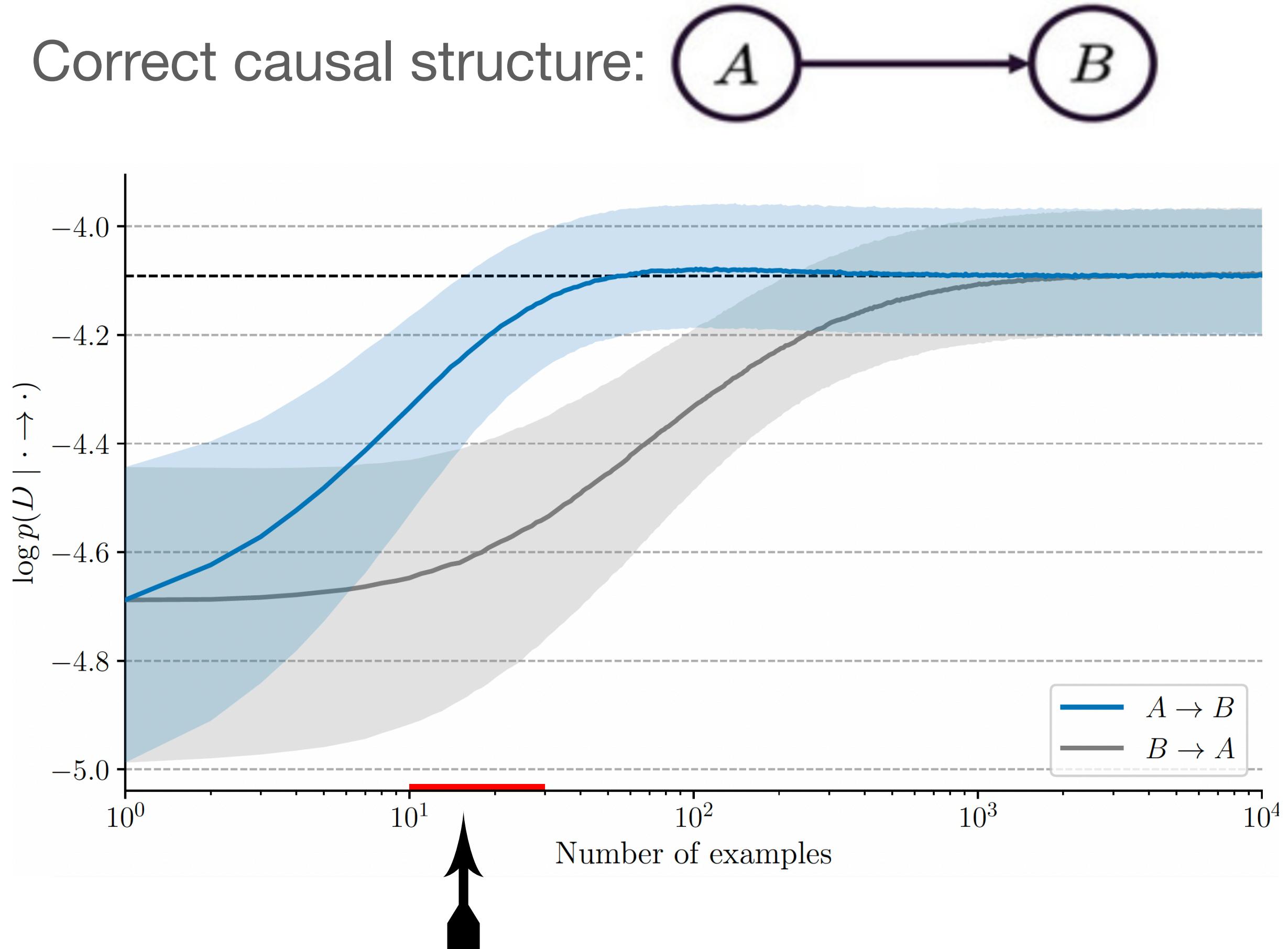
- **Discovering High level causal variables:** How is raw sensory data mapped to high level causal variables ? (i.e., what are “a, b, c, d, e, g, x, y”)
- **Relationship between these causal variables:** How do these high level variables interact with each other ?
- **Promotes systematicity:** We can apply the knowledge of the same (function) as long as the type of “input” matches the type of the input “function” expects.

# Correct Knowledge Decomposition Leads to Faster Adaptation

- Random variables  $A$  and  $B$ , where  $A$  causes  $B$ .
- Assume the correct causal model decomposes as  

$$p(A, B) = p(A)p(B | A)$$
- Consider two distributions, where **only  $p(A)$  changes** and  $p(B | A)$  remains unchanged (covariate shift)
  - A **training distribution**  $p$
  - A **transfer distribution**  $\tilde{p}$
- With the wrong factorization  $p(B)p(A | B)$ , a change in  $p(A)$  influences all the modules.

**Wrong factorization causes poor transfer, catastrophic forgetting.**



- Faster online adaptation to modified distribution.
- Effect most evident using few samples from modified distribution.

# Learning Reusable Independent Mechanisms

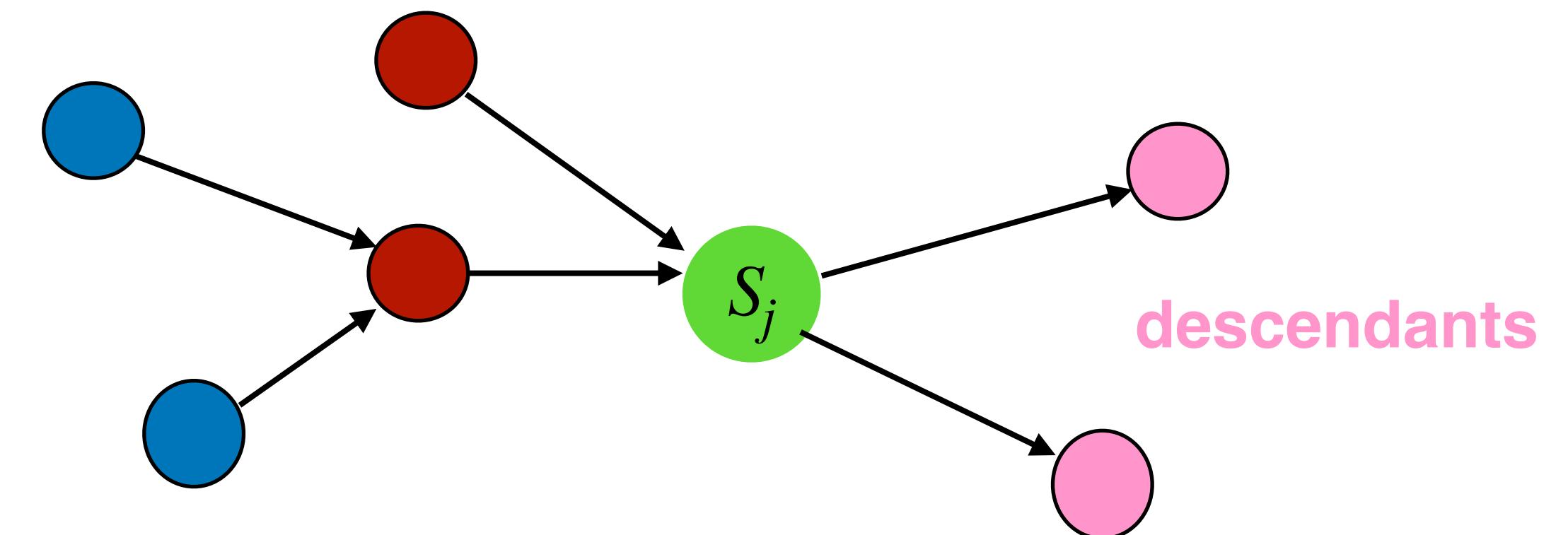
Assumption: Set of observables  $S_1 \dots S_n$

- **Causal Factorization:**

$$P(S_1, \dots, S_n) = \prod_{i=1}^n P(S_i | \text{PA}_i)$$

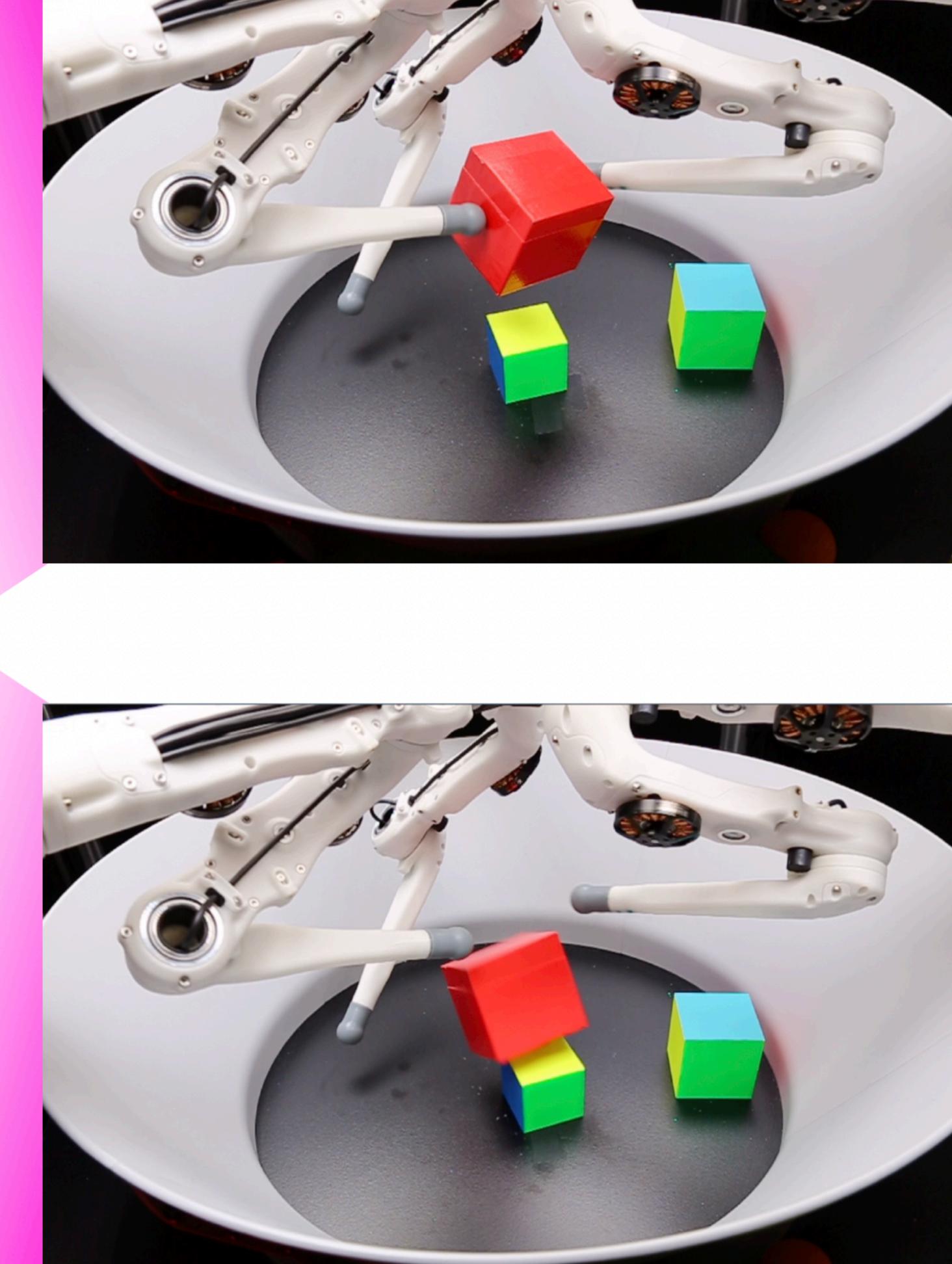
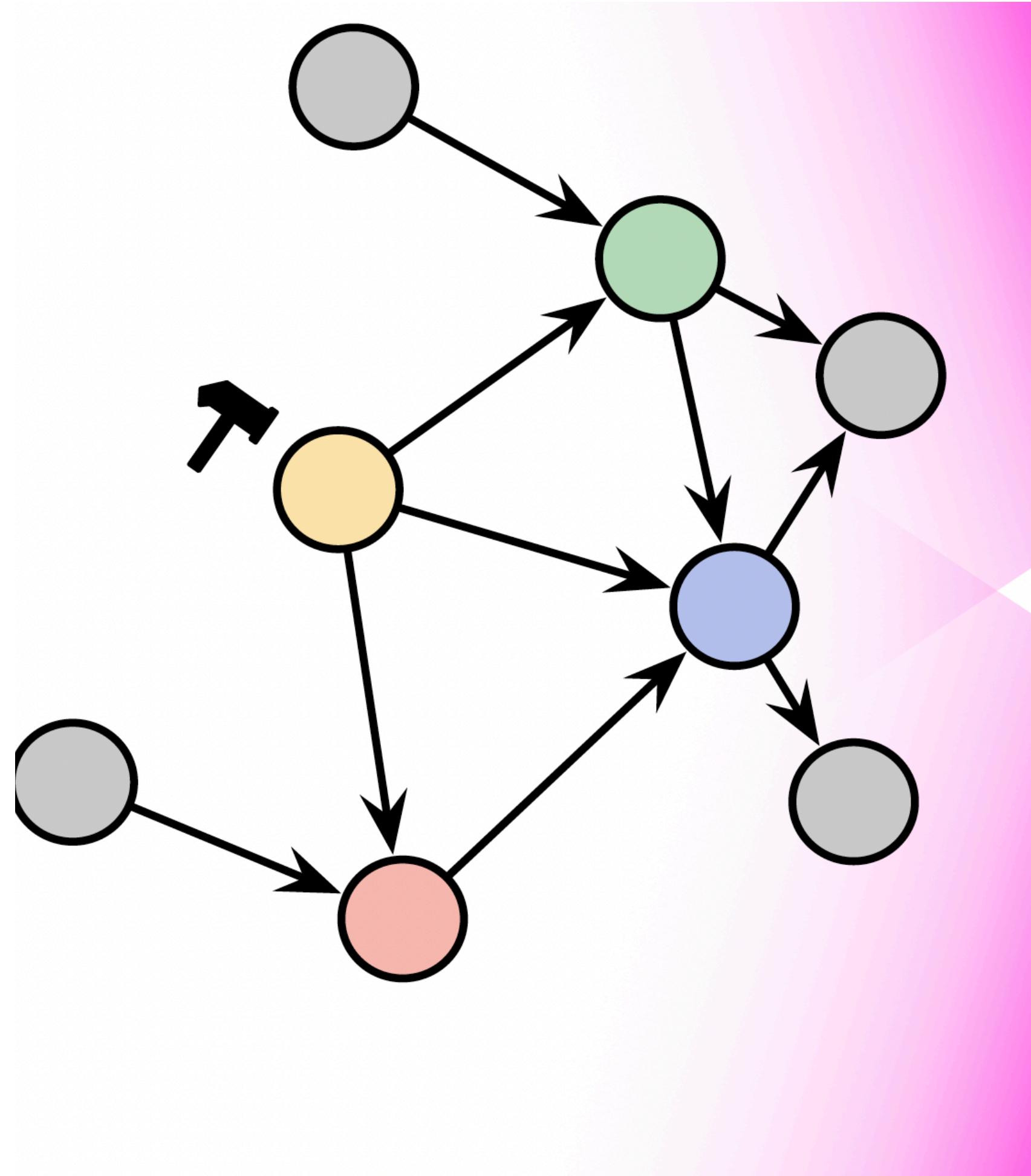
- Changing one “mechanism”  $p(S_i | \text{PA}_i)$  does not influence another “mechanism”  $p(S_j | \text{PA}_j)$ .

**Parents (causes) of  $S_j$**   $\text{PA}_j$  refers to parents of  $S_j$ .



Independence is not between the random variables being processed  
but *between the parametrization of the mechanisms*

# Sparse Change in Correct Causal Factorization



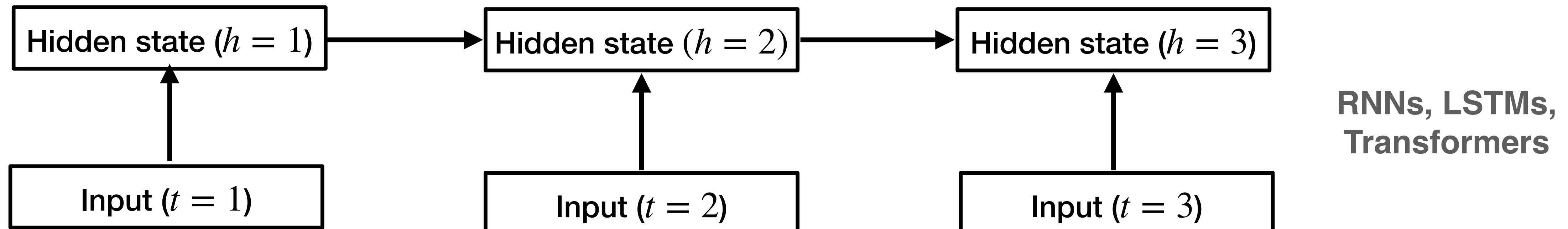
*Changes in the environment tend to manifest themselves in a **sparse way** in the causal factorization.*

*Change in the environment can often change a large fraction of the pixels (even all the pixels).*

We change the position of one finger (shown by hammer), and as a consequence the object falls.

# Deep Learning has the Opposite Inductive Bias

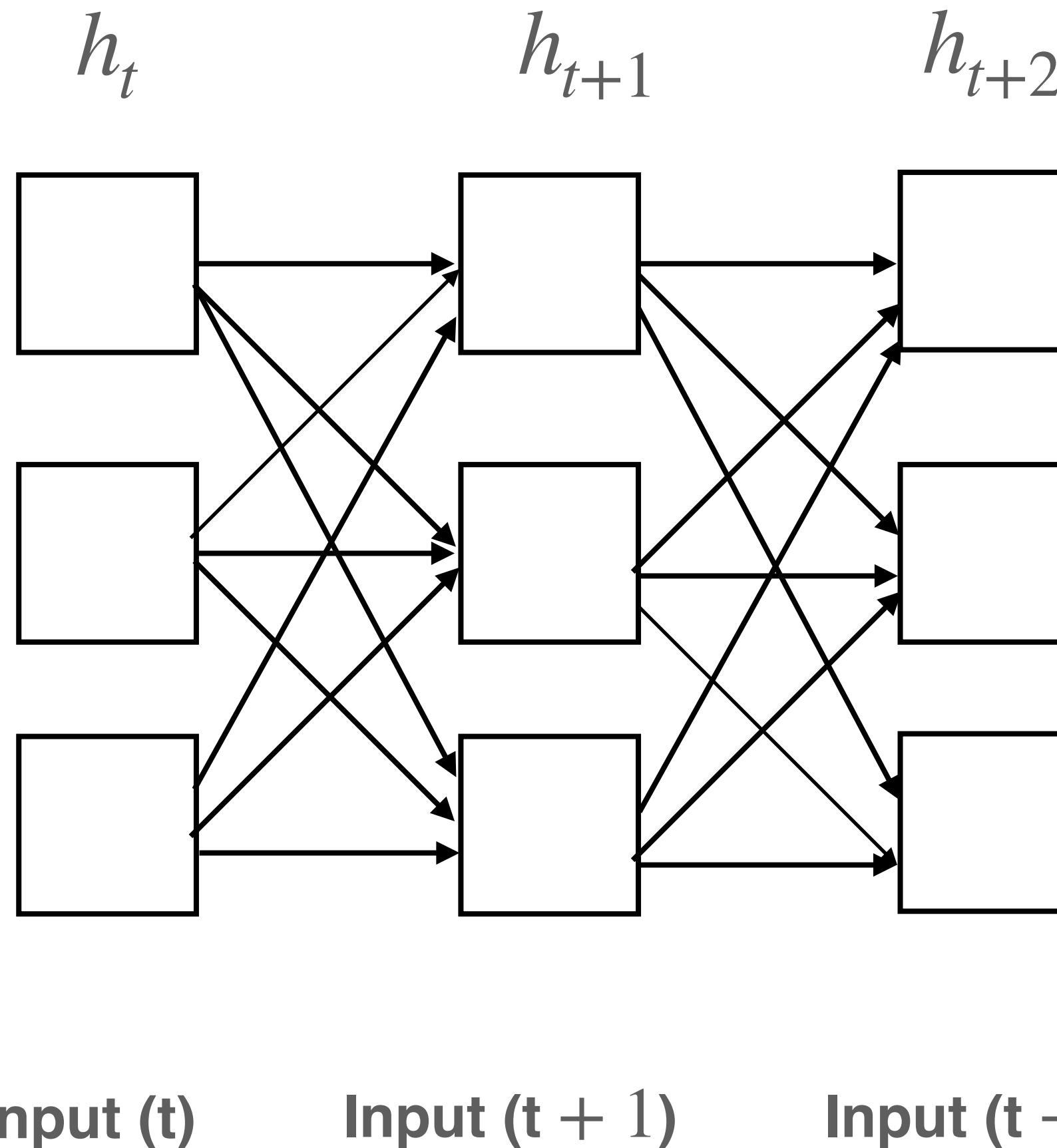
All **sub-systems** are constantly interacting through one big procedure.



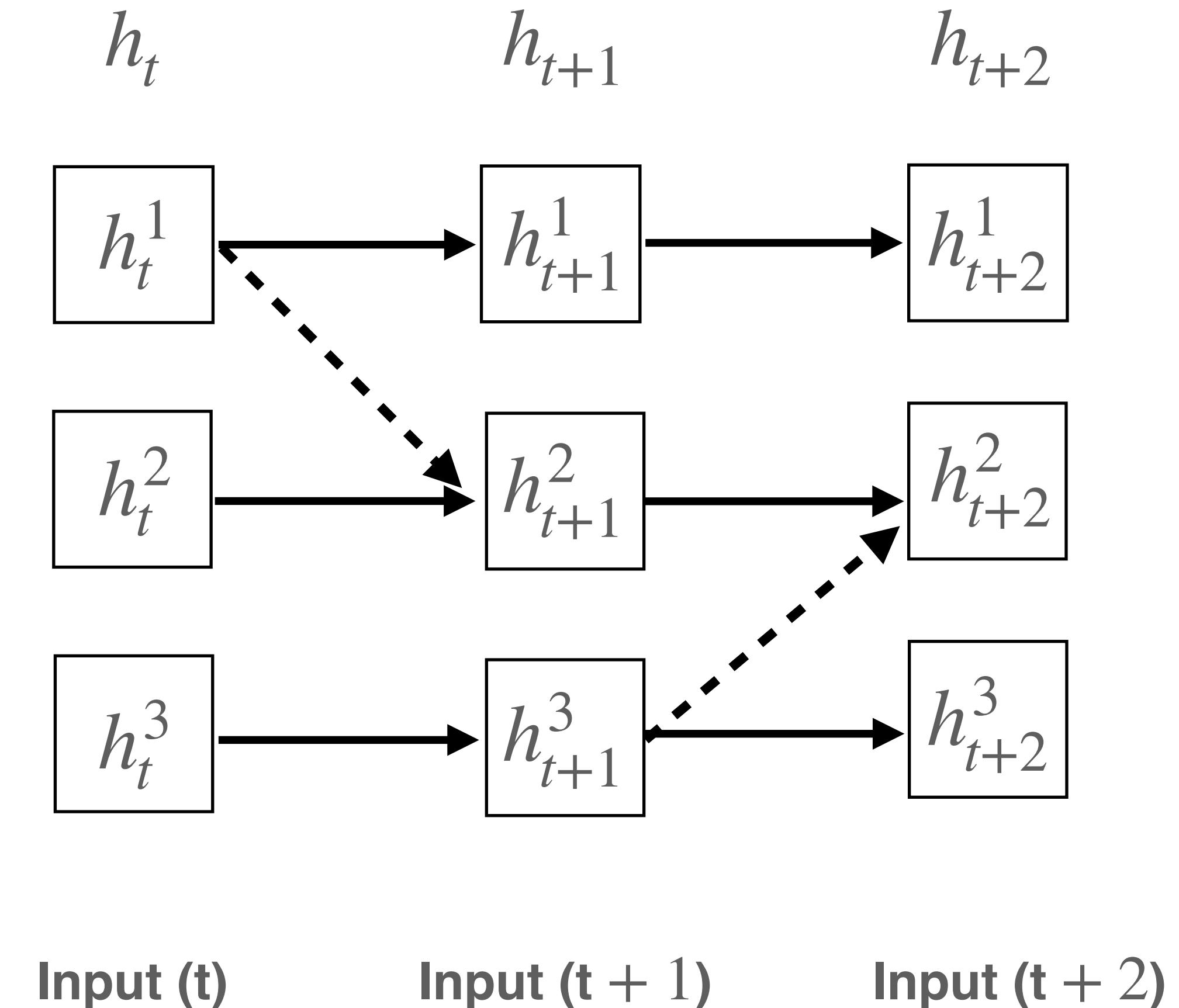
For hidden states to truly compartmentalize  $k$  different processes, a fraction  $\frac{k-1}{k}$  of the connections may need to be set to zero weight.

# Recurrent Independent Mechanisms

RNNs, LSTMs, Transformers



Proposed Approach (RIMs)

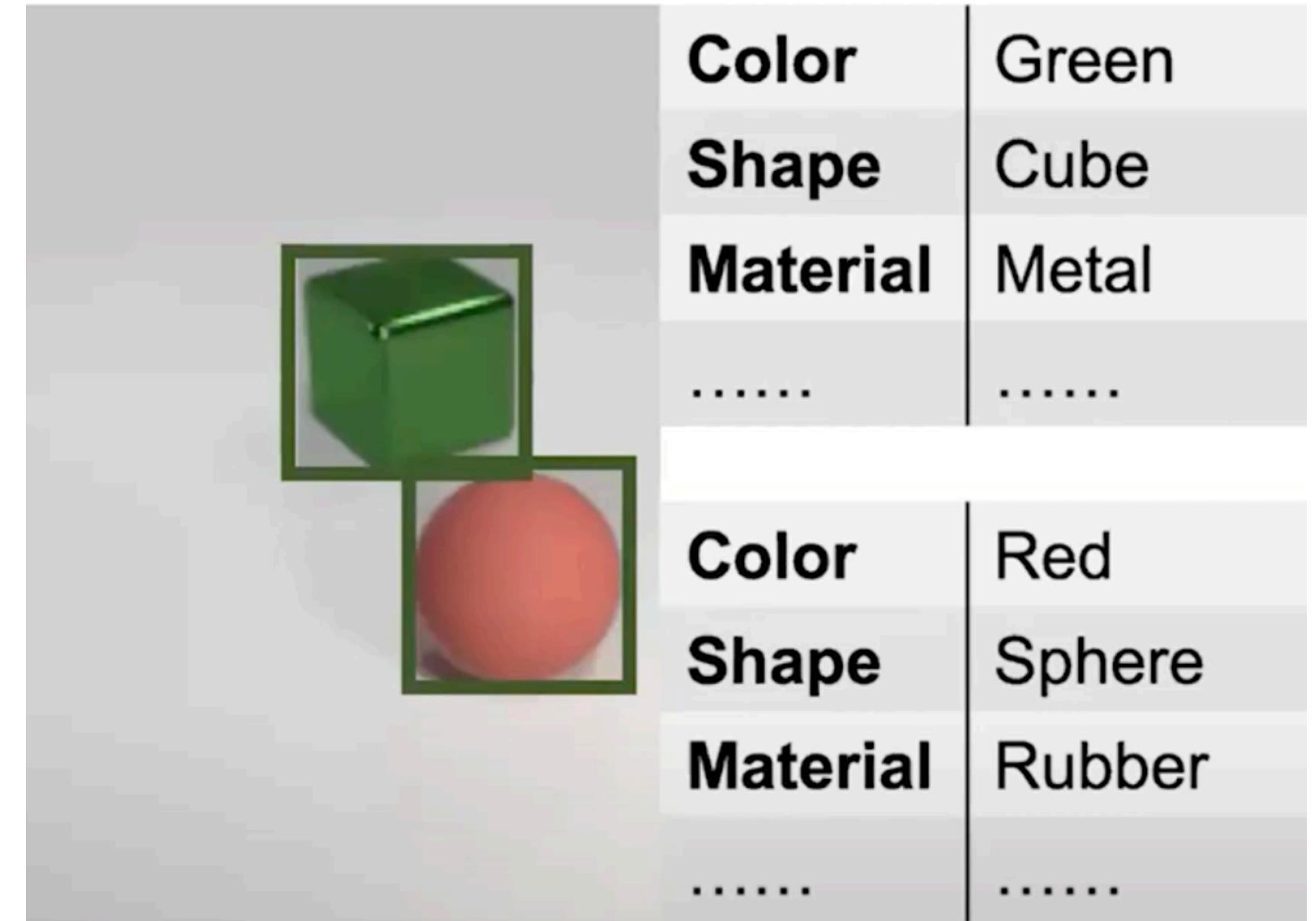


Input dependent dynamic connectivity

# Object Files and Physical Reasoning System

## Object Files (Treisman, 1992; Kahneman, Treisman, & Gibbs 1992)

- Maintains representation of **attributes** over time.  
(location, trajectory, color, history)
- State updates even when features are not visible.



<b>Color</b>	Green
<b>Shape</b>	Cube
<b>Material</b>	Metal
.....	.....
<b>Color</b>	Red
<b>Shape</b>	Sphere
<b>Material</b>	Rubber
.....	.....

## Physical Reasoning System

- To ensure *systematicity*, set of functions which operates on entities and encapsulate *causal interactions* between entities.

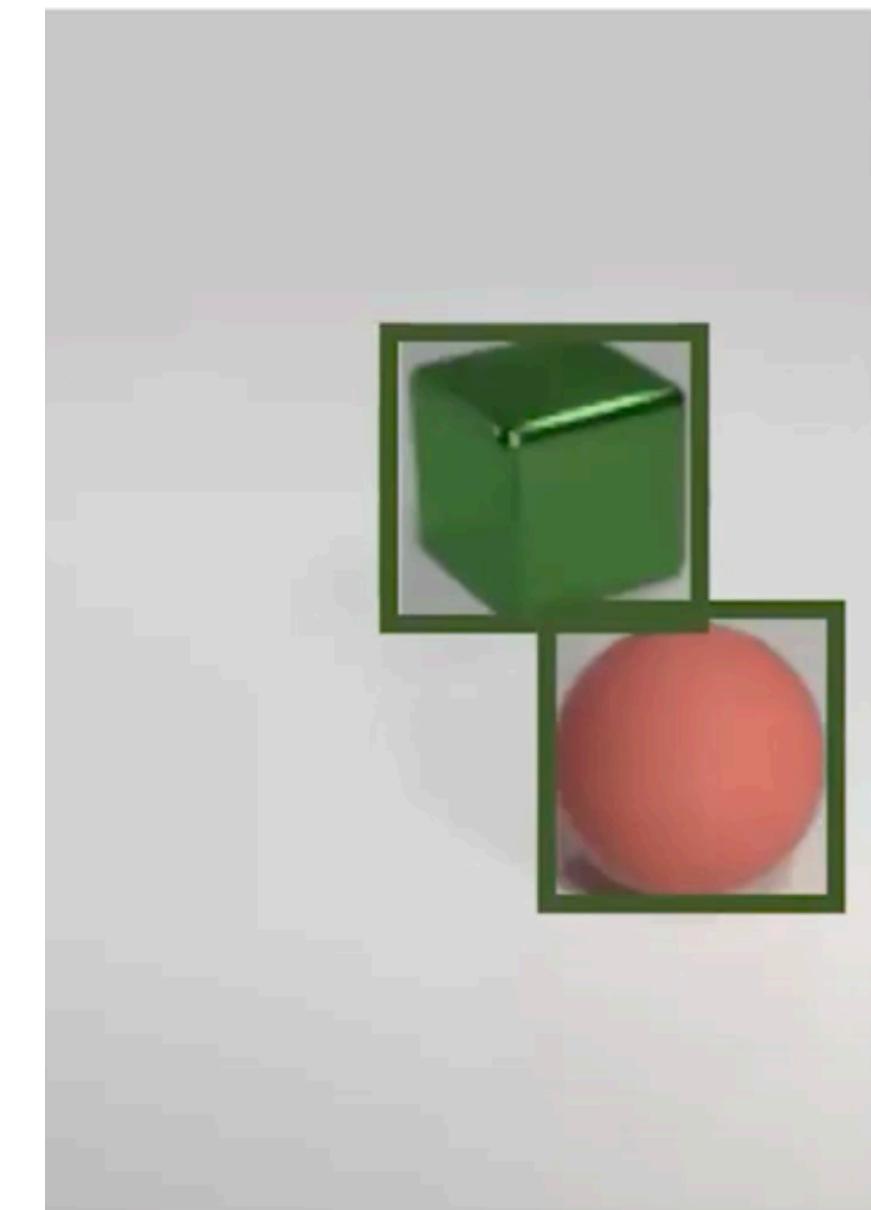
*Use the idea of independent (causal) mechanisms as an inductive bias for learning.*

# Object Files and Physical Reasoning System

## Object Files

(Treisman, 1992; Kahneman, Treisman, & Gibbs 1992)

- Maintains representation of entities over time.  
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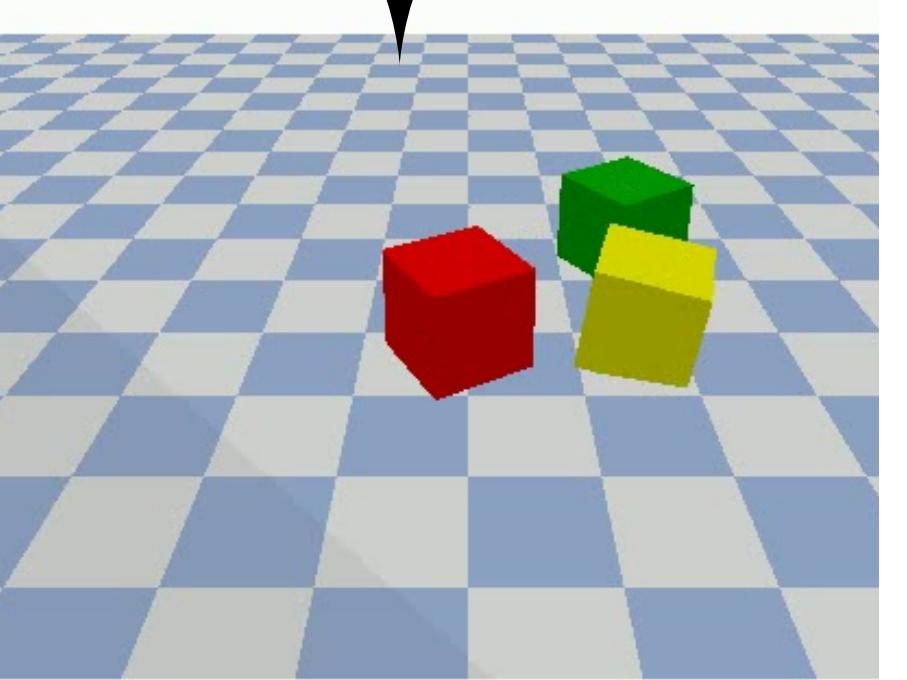
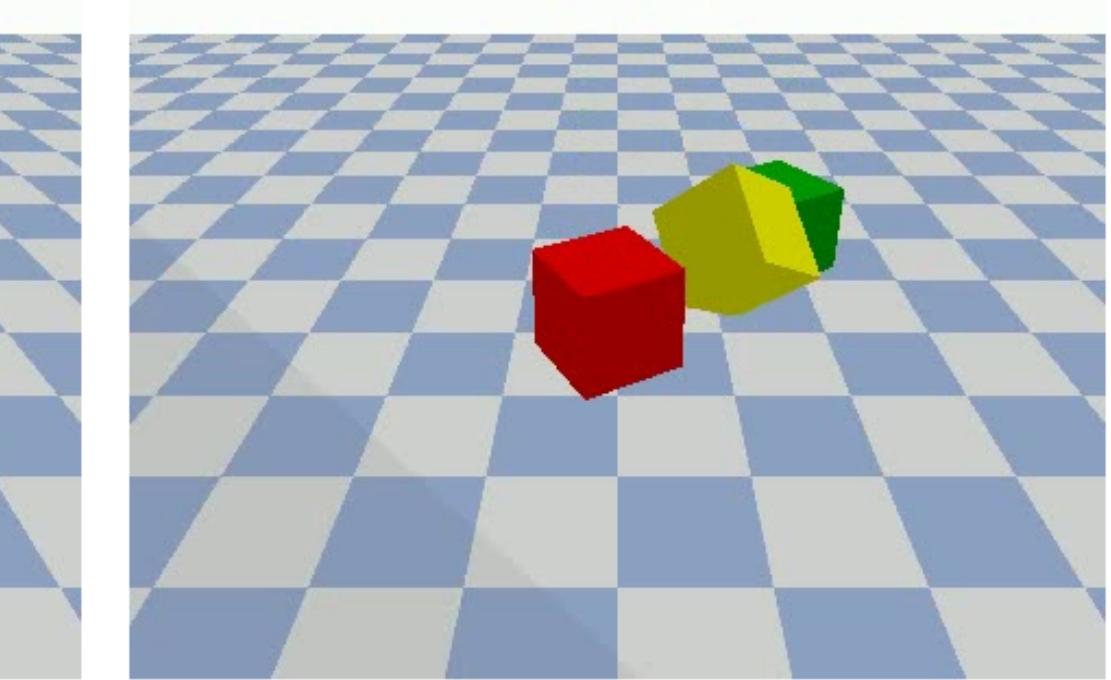
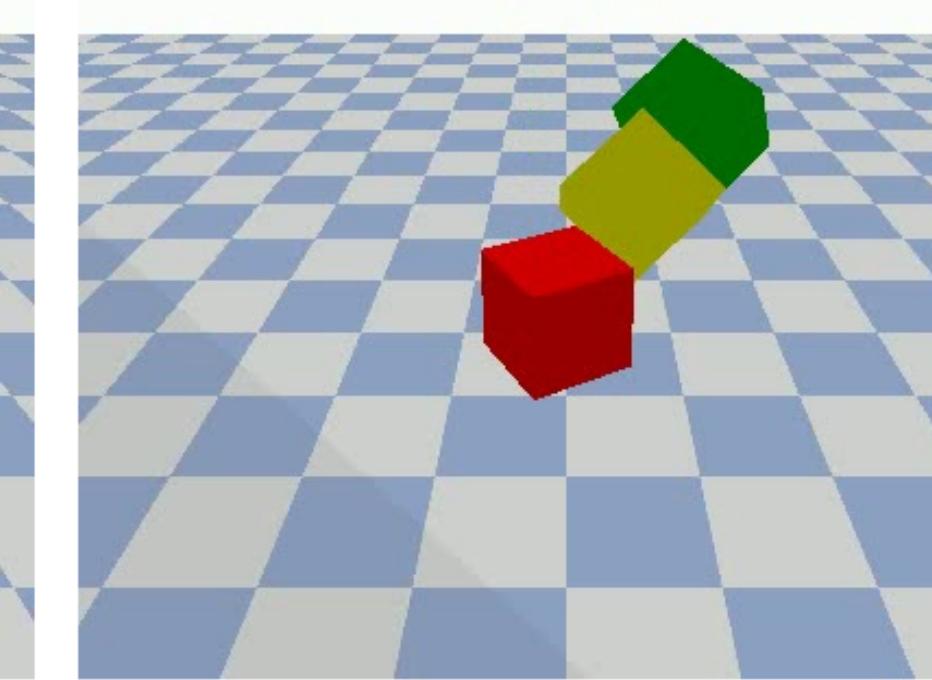
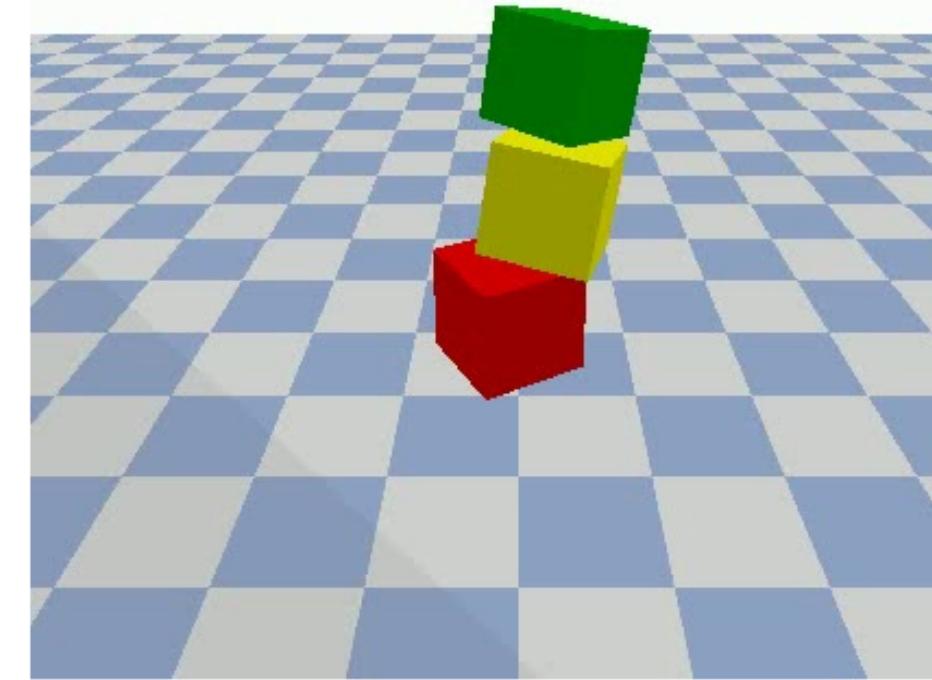
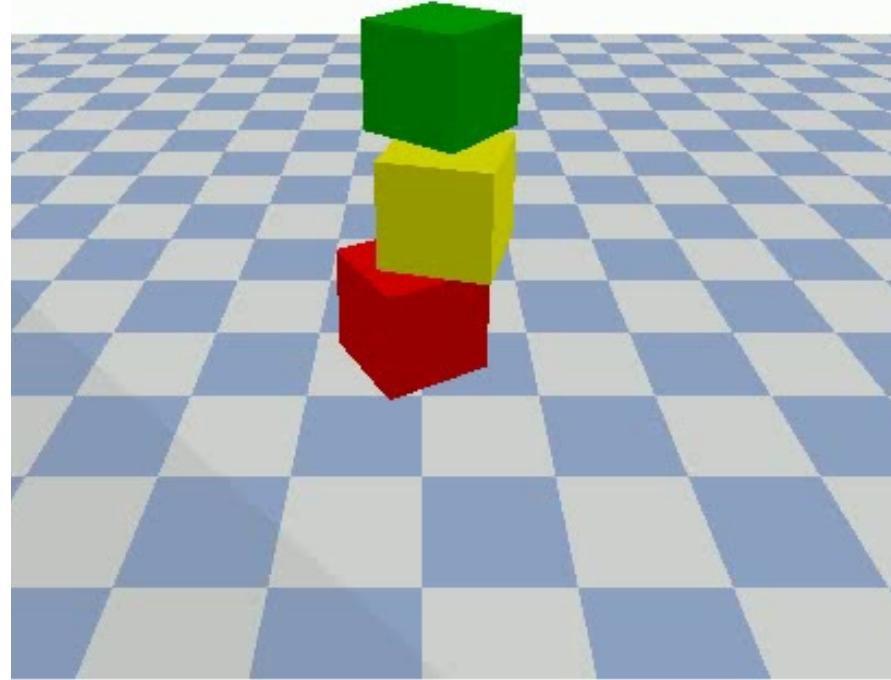
## Physical Reasoning System

- Set of functions which operates on entities and encapsulate ***causal interactions*** between entities.

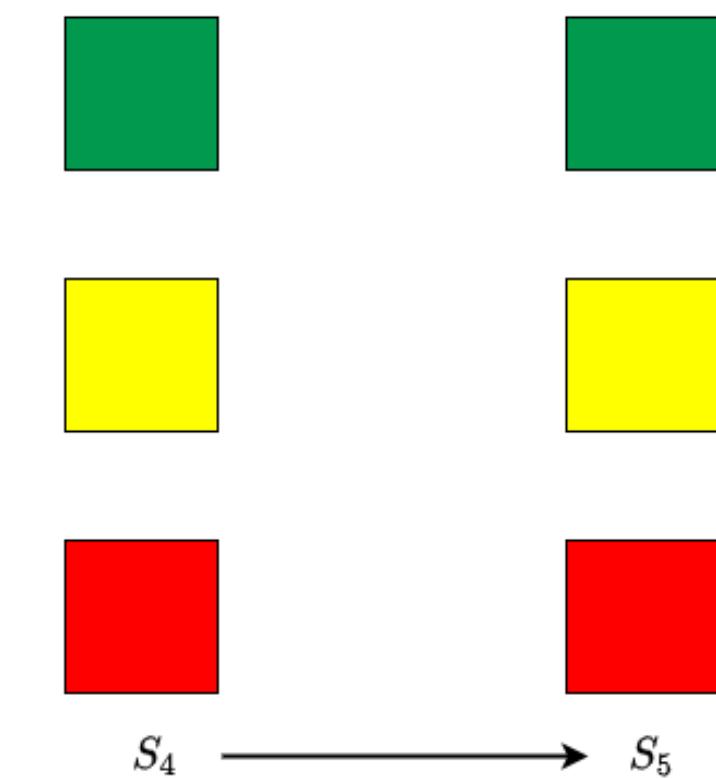
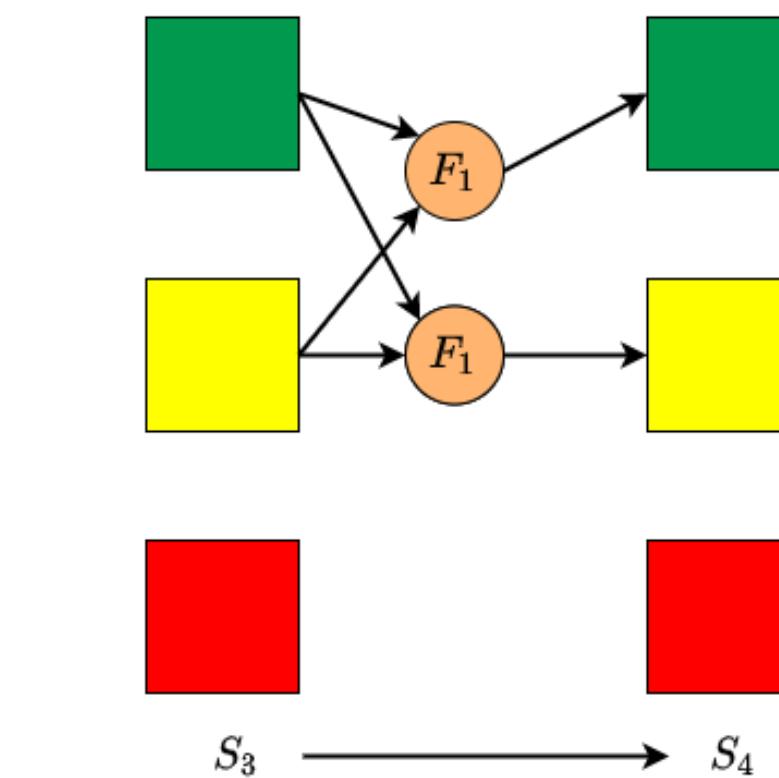
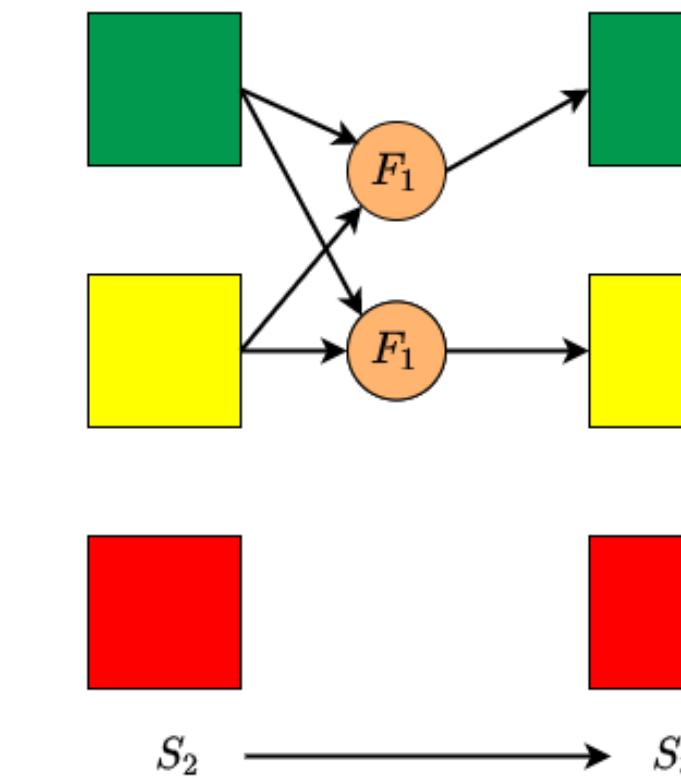
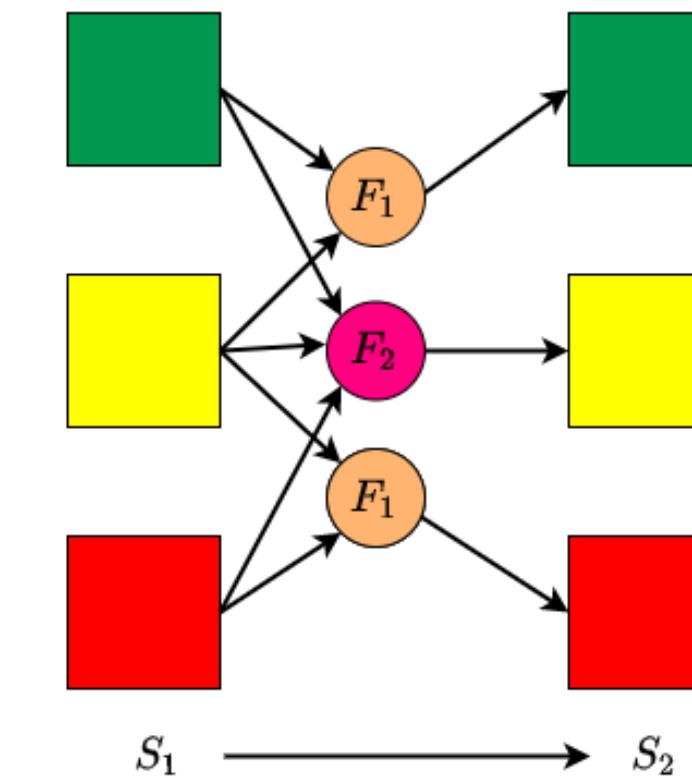
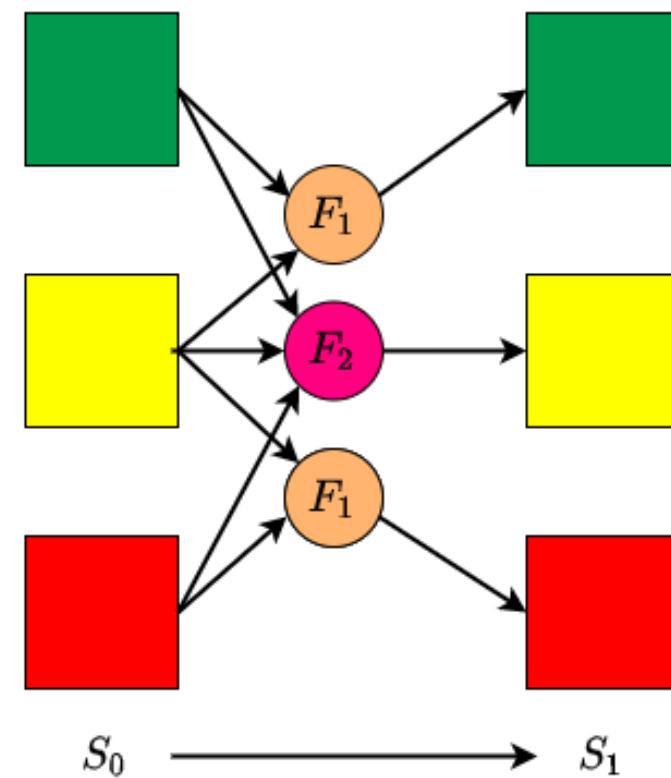
*Use the idea of independent (causal) mechanisms as an inductive bias for learning.*

# Object Files and Physical Reasoning System

Different functions encapsulate different interactions between entities

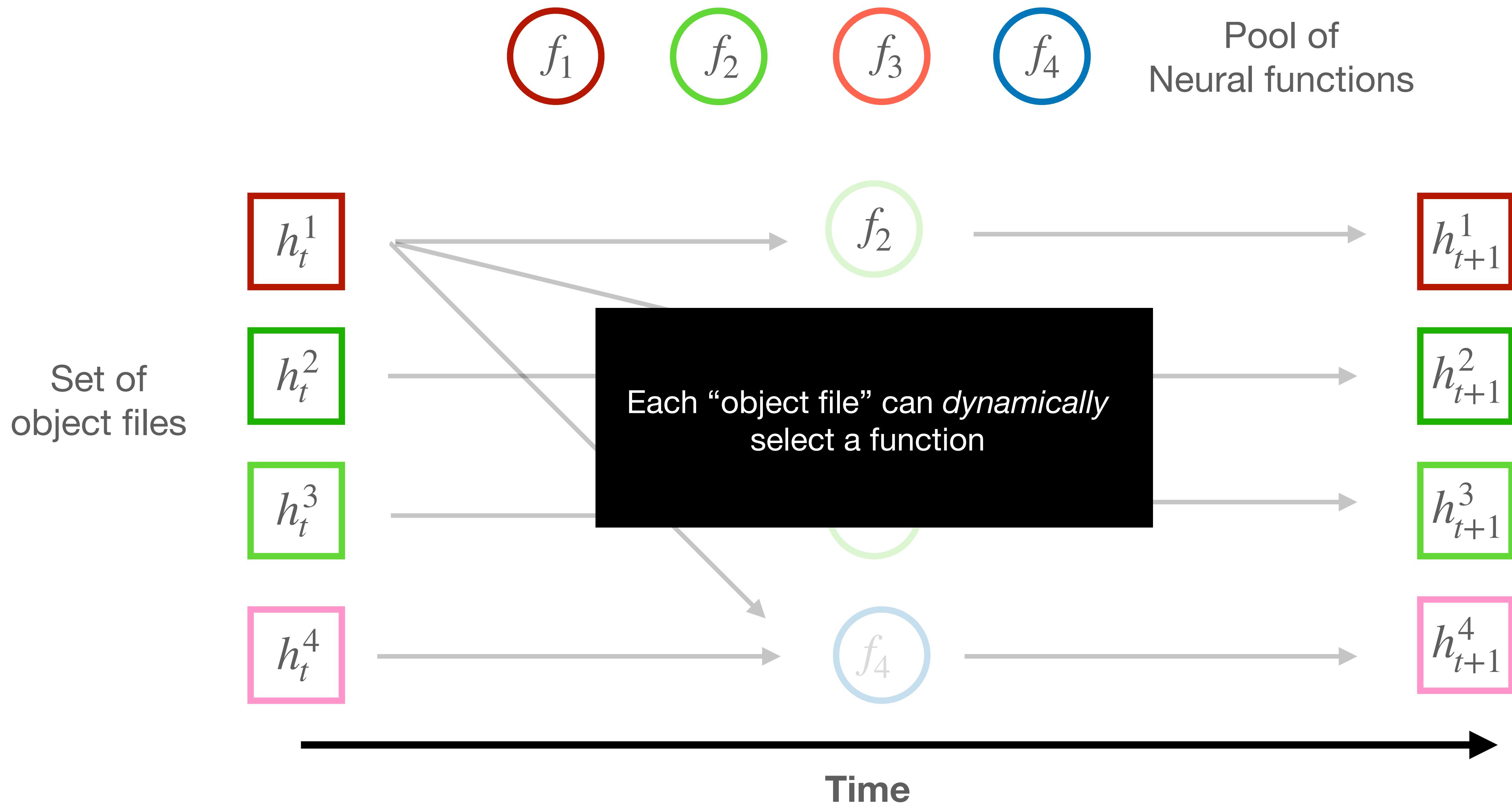


No interaction between entities.



Object Files: Track state (relevant attribute information) of different entities.

# Dynamic binding between object files and functions

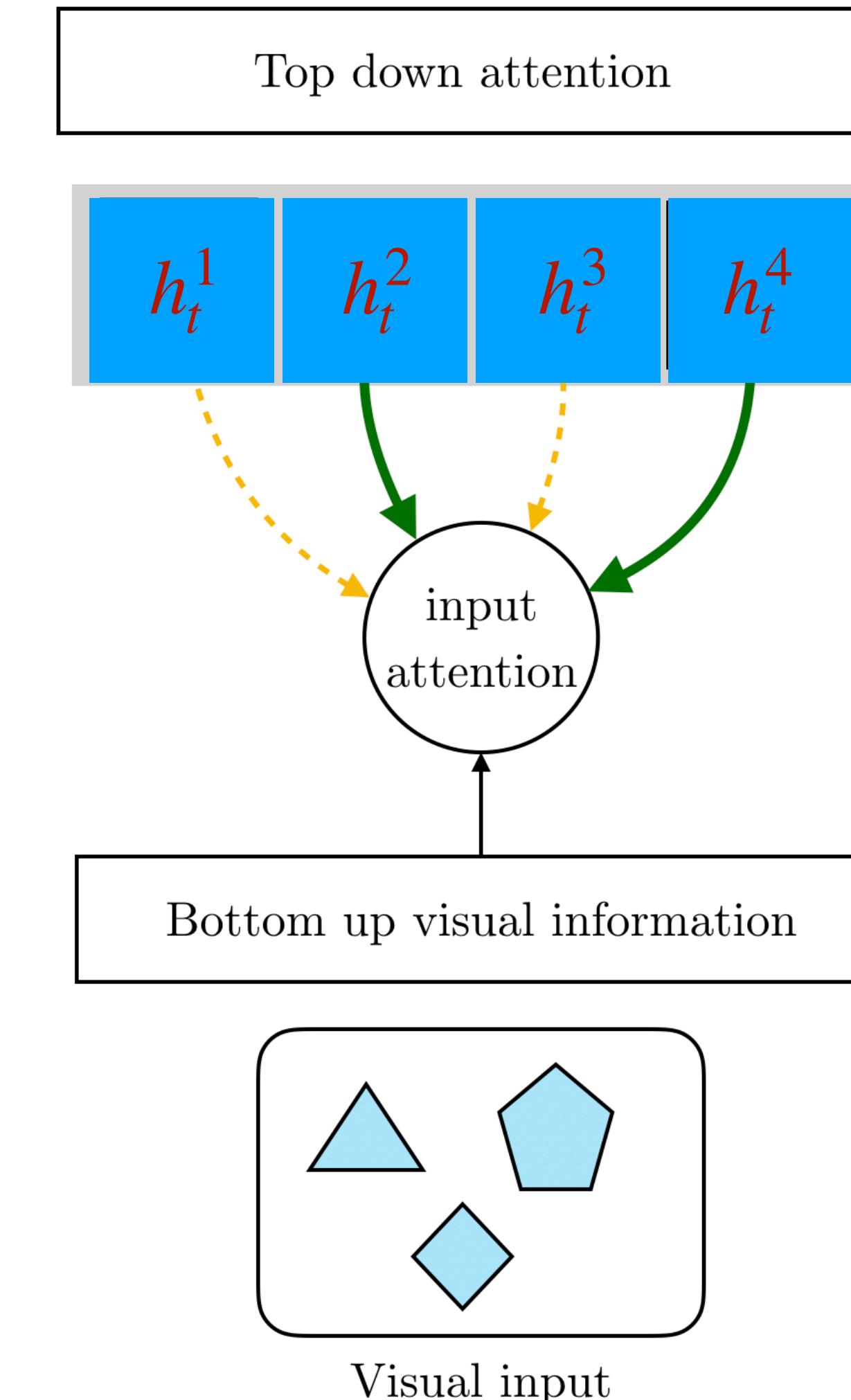


# Competition Leads to Specialization

Object files compete to explain visual input.

$$\tilde{h}_{t+1}^k = f_{index}(h_t^k, A_t^k; \theta_{index})$$

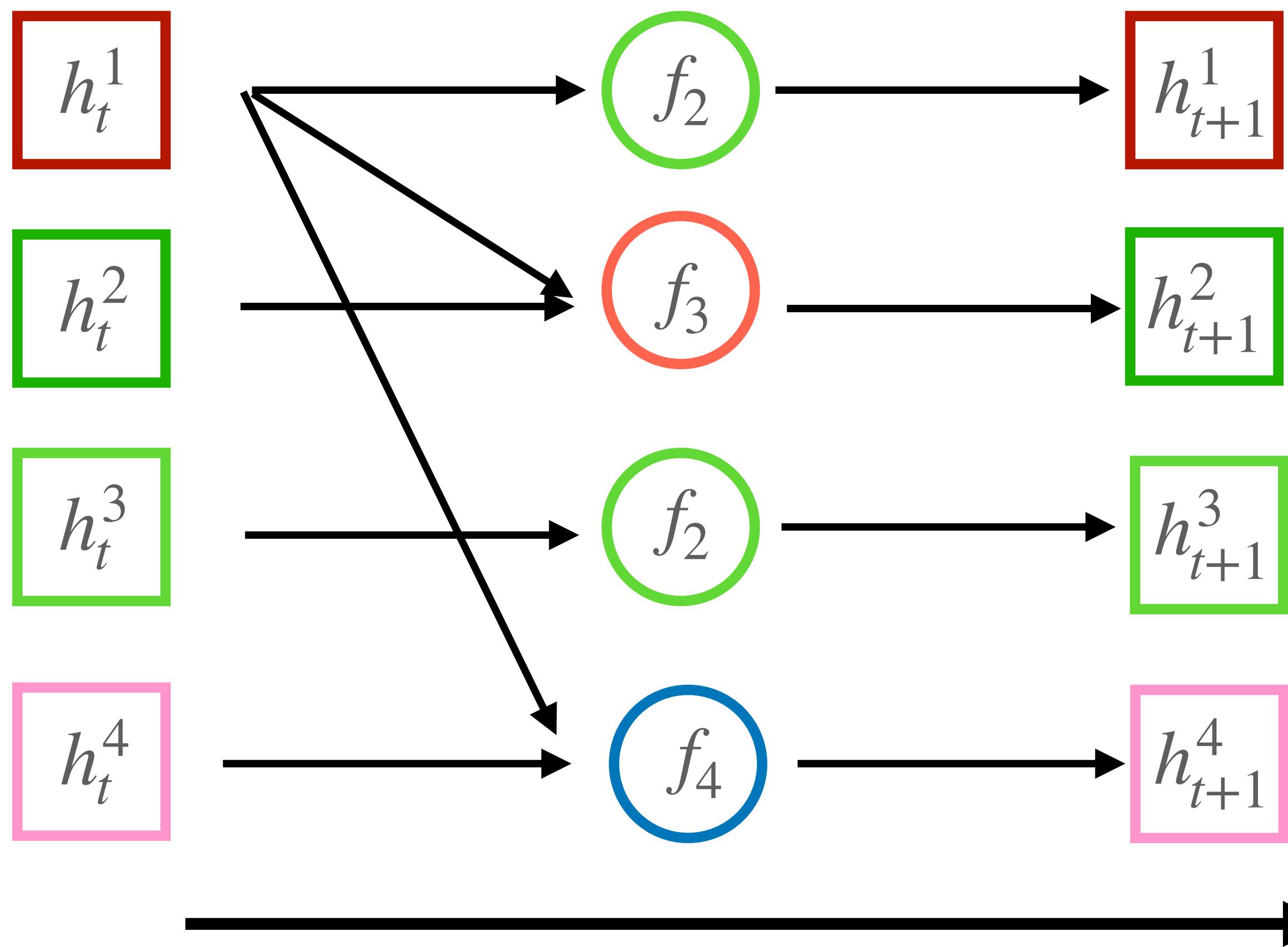
Different modules  
compete to organize  
visual information



Le Roux et al., 2011, Eslami et al., 2016, Greff et al., 2016, Raposo et al., 2017, Van Steenkiste et al., 2018, Kosiorek et al., 2018, Engelcke et al., 2019, Burgess et al., 2019, Greff et al., 2019, Locatello et al., 2020, Ahmed et al., 2020, Goyal et al., 2019, Zablotskaia et al., 2020, Rahaman et al., 2020, Du et al., 2020, Ding et al., 2020, Goyal et al., 2020, Ke et al., 2021

# Dynamic binding between object files and functions

Condition and action specified by each function

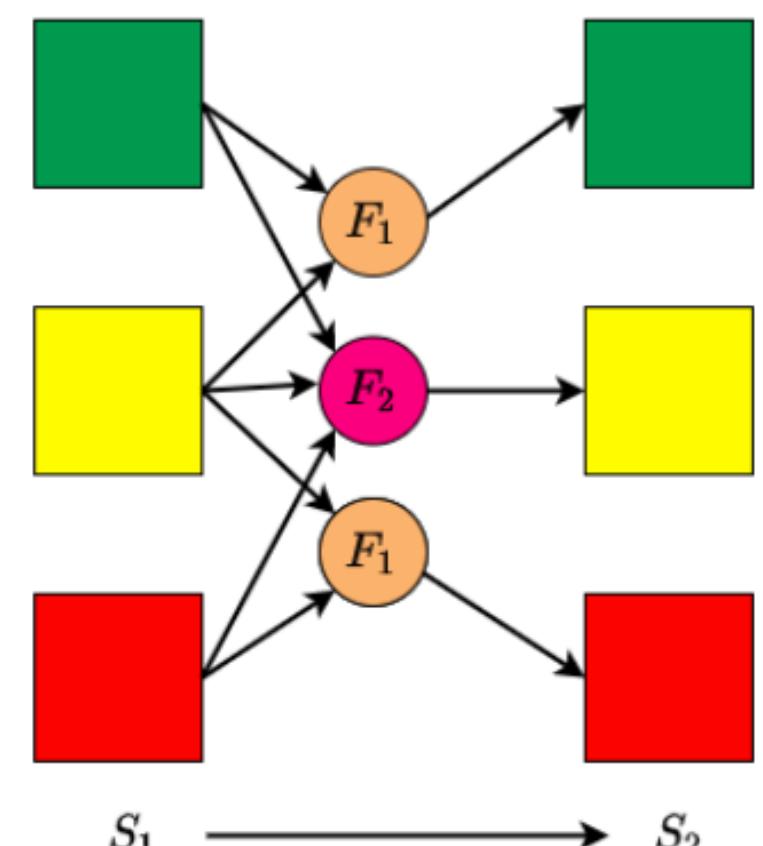
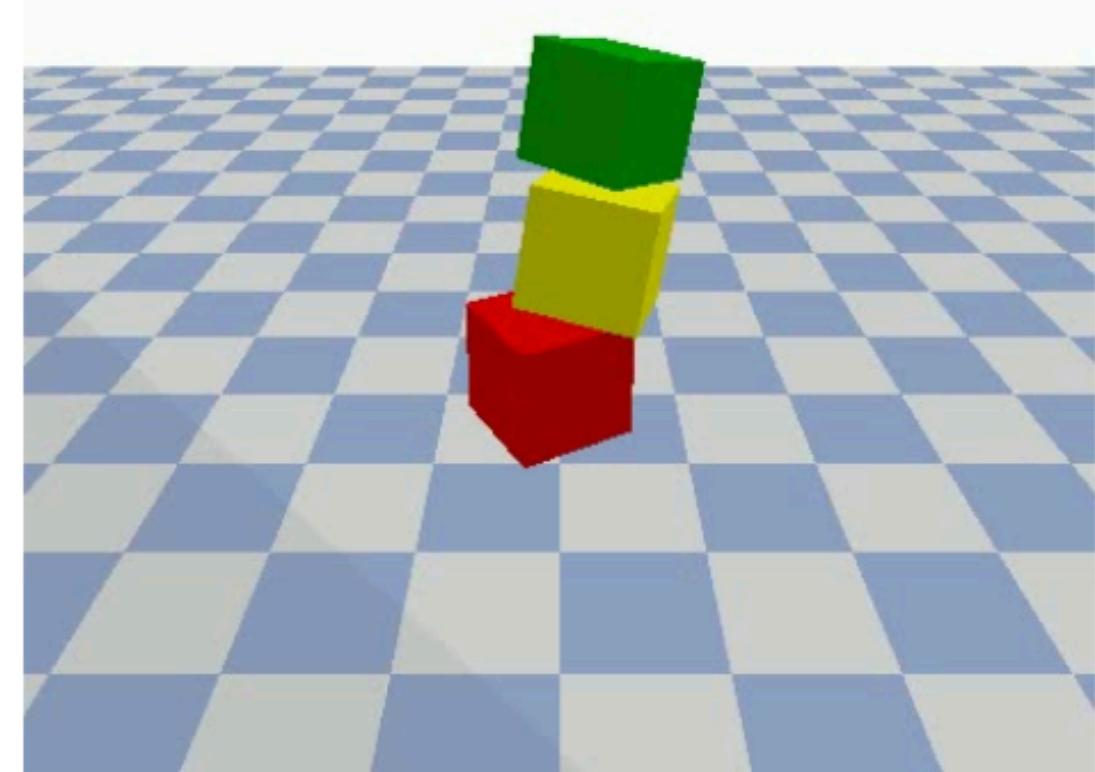


$$h_{t+1}^1 = f_2(h_t^1)$$

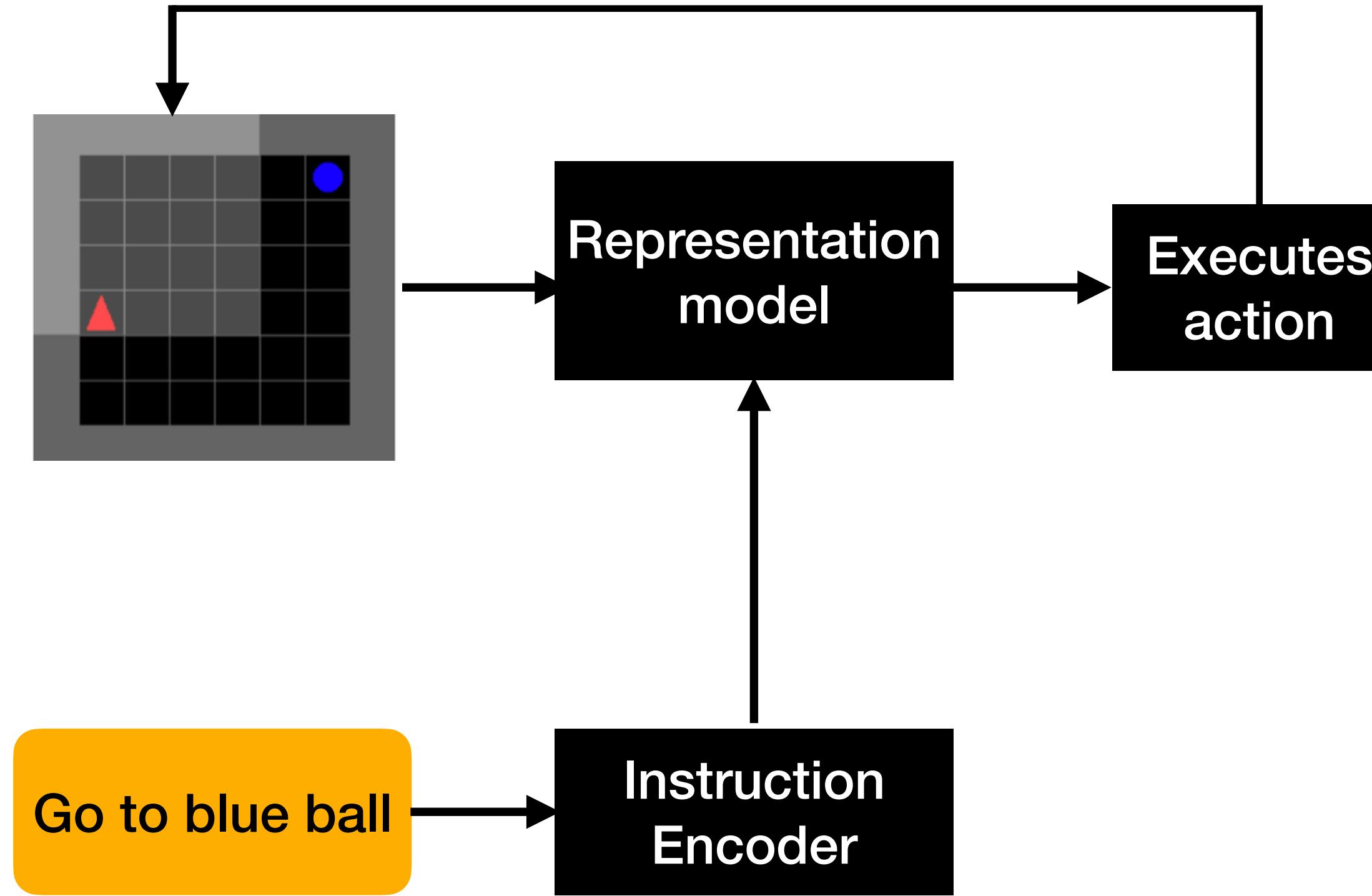
$$h_{t+1}^2 = f_3(h_t^2, h_t^1)$$

$$h_{t+1}^3 = f_2(h_t^3)$$

$$h_{t+1}^4 = f_4(h_t^4, h_t^1)$$

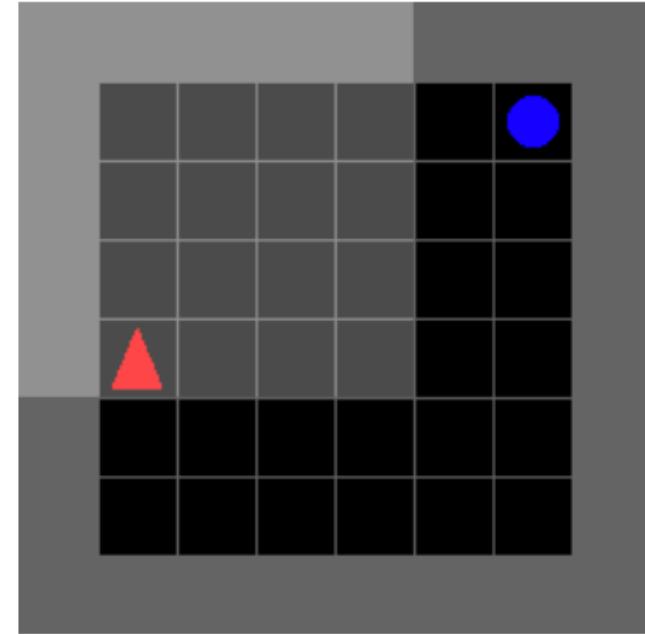


# Problem Setup: Instruction Following

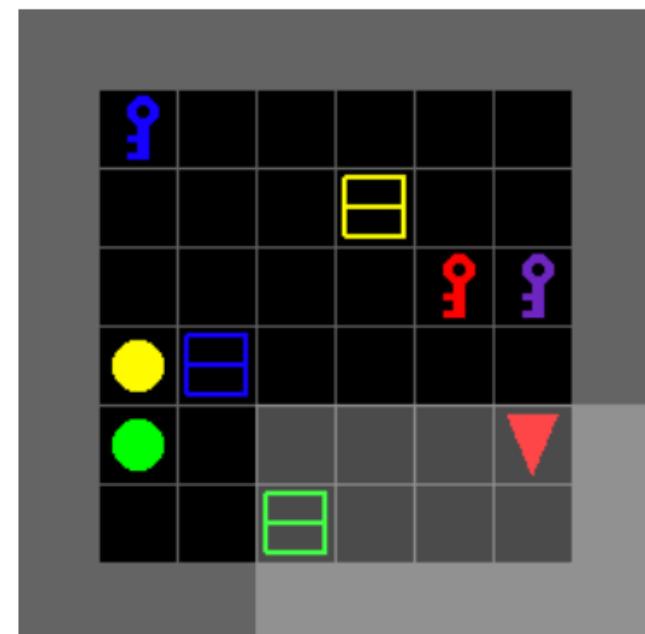


	ROOM	DISTR-BOX	DISTR	MAZE	UNBLOCK	UNLOCK	IMP-UNLOCK	GOTO	OPEN	PICKUP	PUT	LOC	SEQ
GoToObj	x												
GoToRedBallGrey	x	x											
GoToRedBall	x	x	x										
GoToLocal	x	x	x										
PutNextLocal	x	x	x										
PickupLoc	x	x	x										
GoToObjMaze	x			x									
GoTo	x	x	x	x									
Pickup	x	x	x	x									
UnblockPickup	x	x	x	x	x								
Open	x	x	x	x									
Unlock	x	x	x	x		x							
PutNext	x	x	x	x									
Synth	x	x	x	x	x	x							
SynthLoc	x	x	x	x	x	x							
GoToSeq	x	x	x	x	x	x							
SynthSeq	x	x	x	x	x	x							
GoToImpUnlock	x	x	x	x	x	x	x						
BossLevel	x	x	x	x	x	x	x	x					

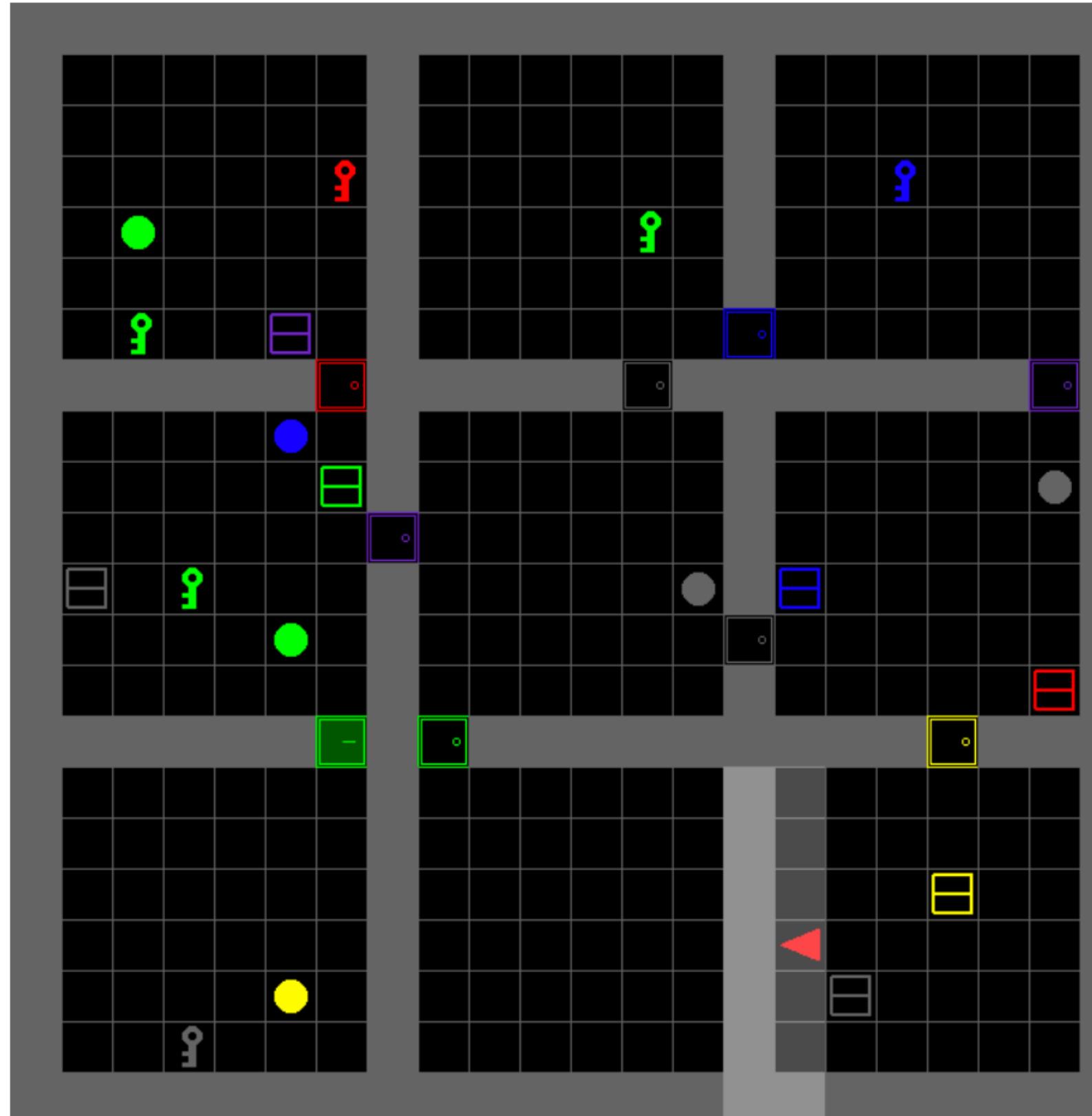
# High Level Concepts: Stationary Property of the Model



(a) GoToObj: "go to the blue ball"



(b) PutNextLocal: "put the blue key next to the green ball"

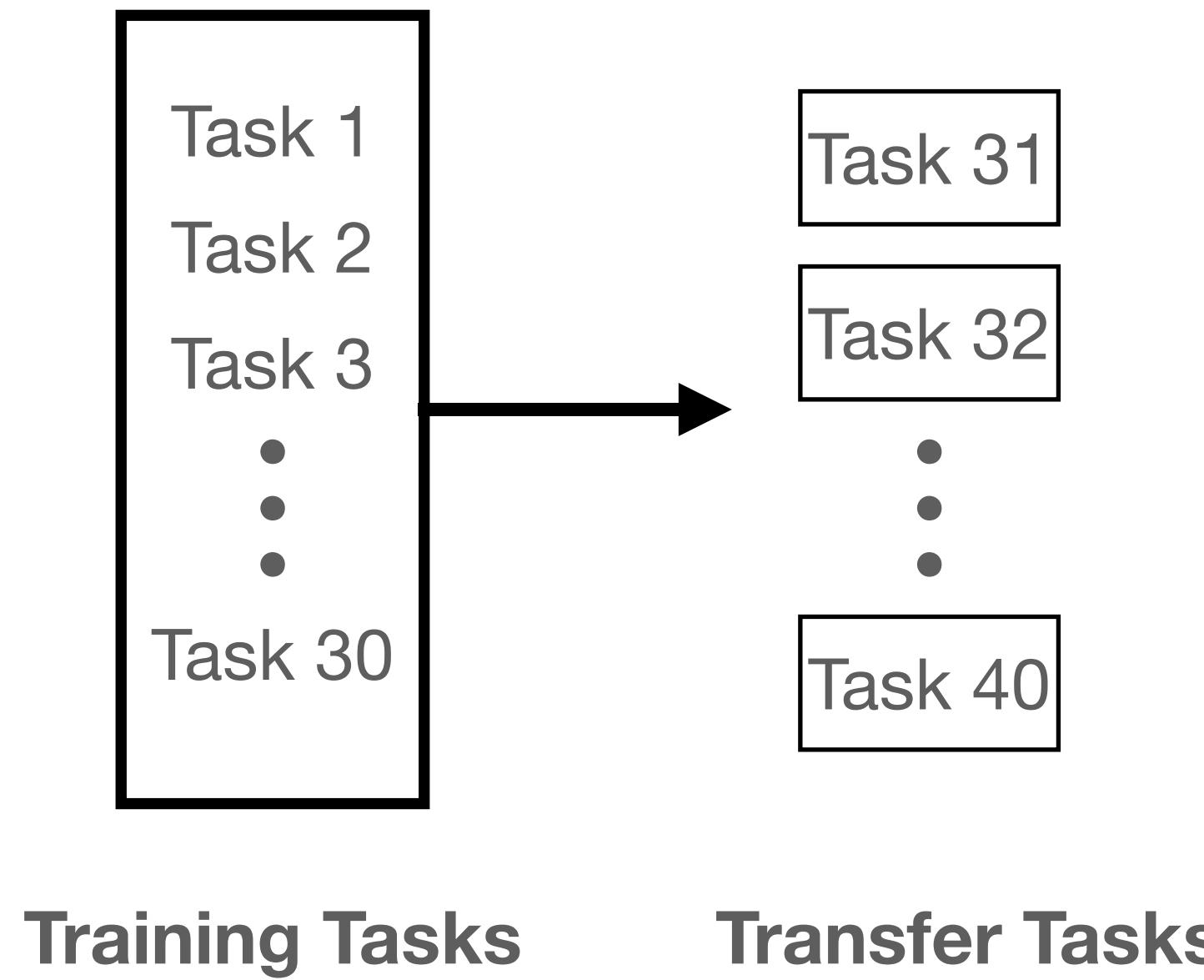


(c) BossLevel: "pick up the grey box behind you, then go to the grey key and open a door". Note that the green door near the bottom left needs to be unlocked with a green key, but this is not explicitly stated in the instruction.

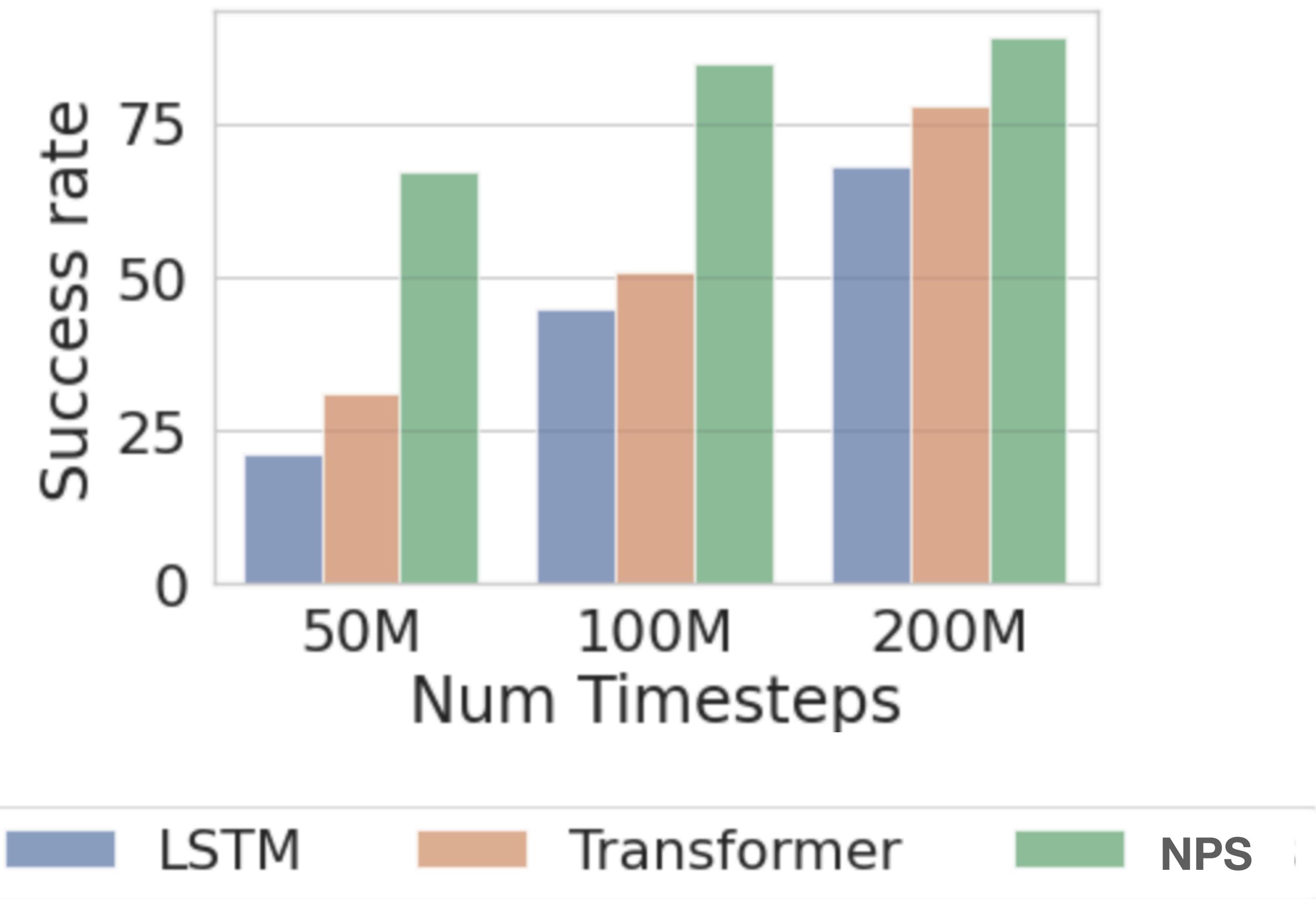
- Knowledge of entities remain same (i.e., key, door, balls etc) across tasks.
- Dynamics of the different modules are changing across different time-steps.

# Results: Instruction Following

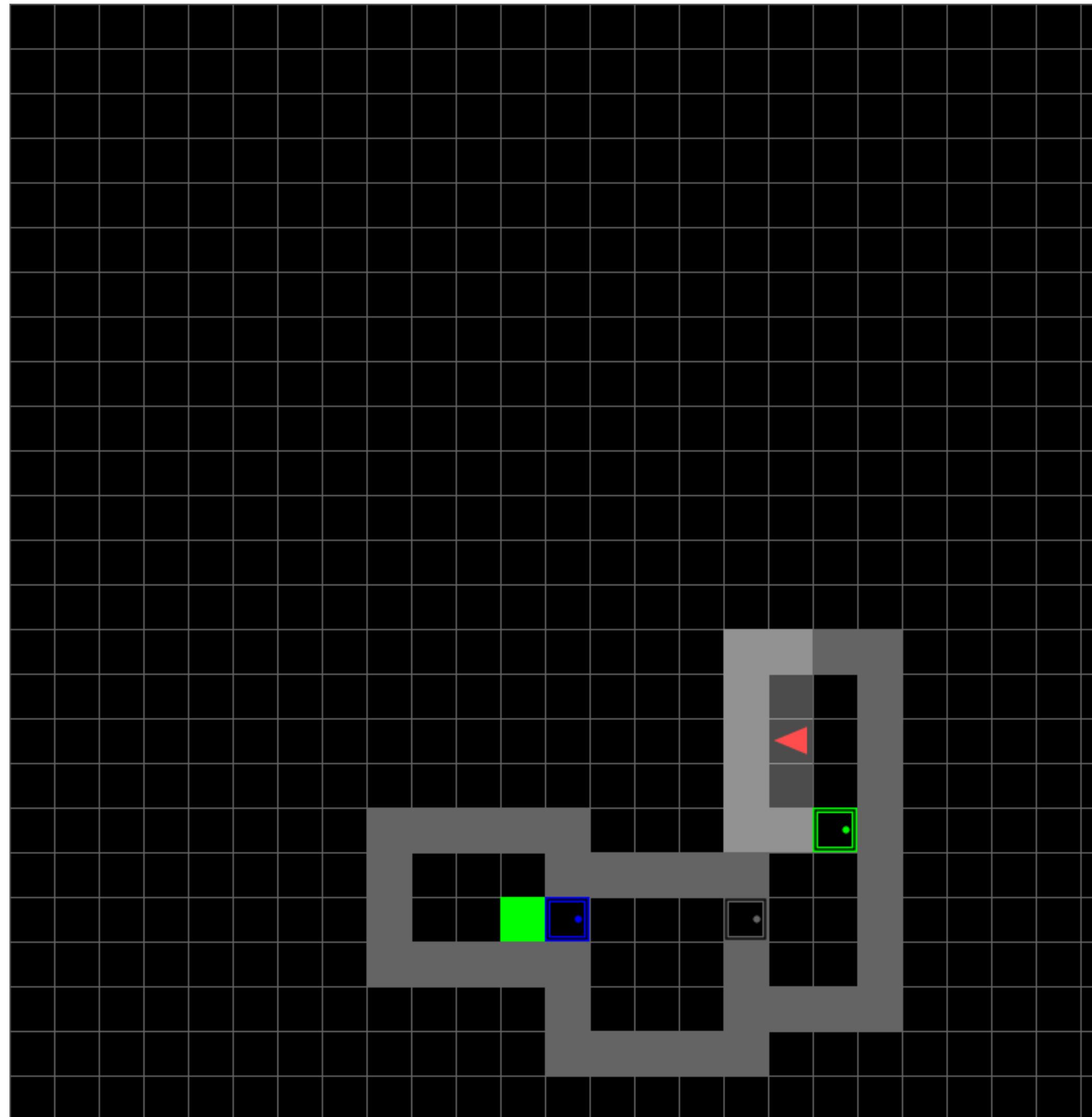
First training on training tasks, and then  
“adaptation” on the transfer tasks.



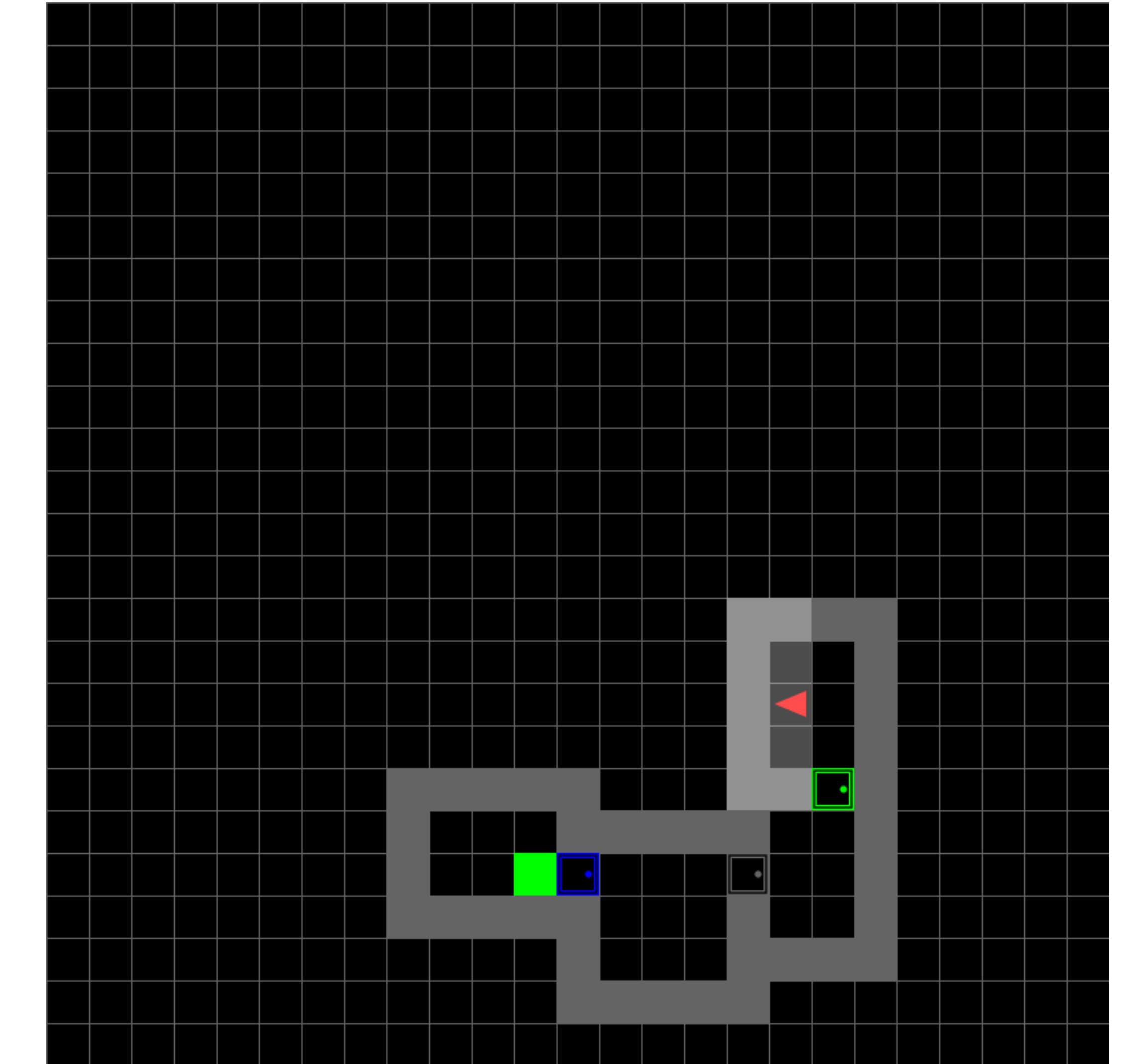
Mean success rate  
(10 different tasks)



# Results: Instruction Following



Baseline



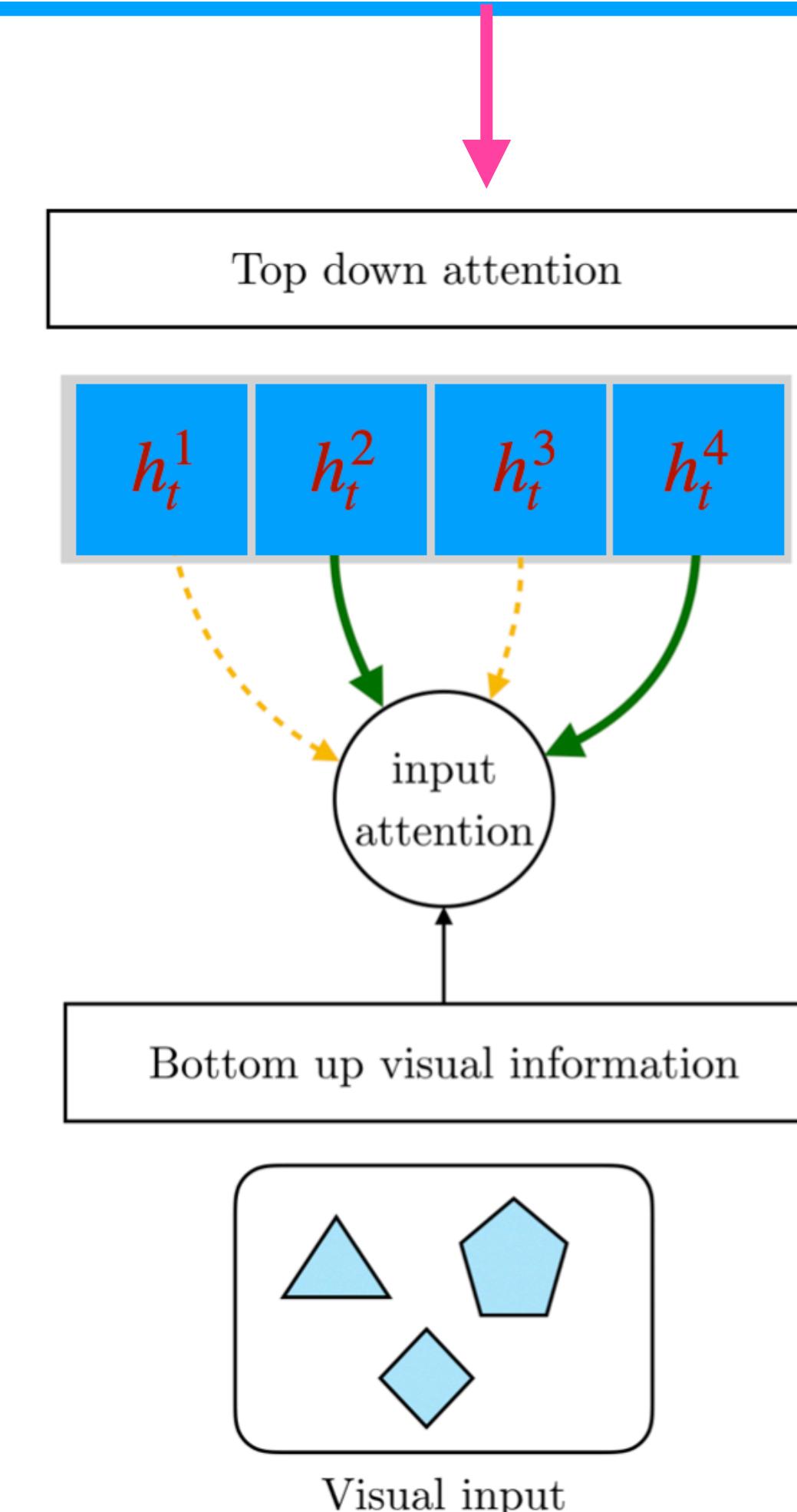
Proposed Method

# Takeaway Lesson

Correct Knowledge Factorization leads to faster adaptation

*The causal generative process of a system's variables is composed of causal modules that do not inform or influence each other.*

Independent Causal Mechanisms

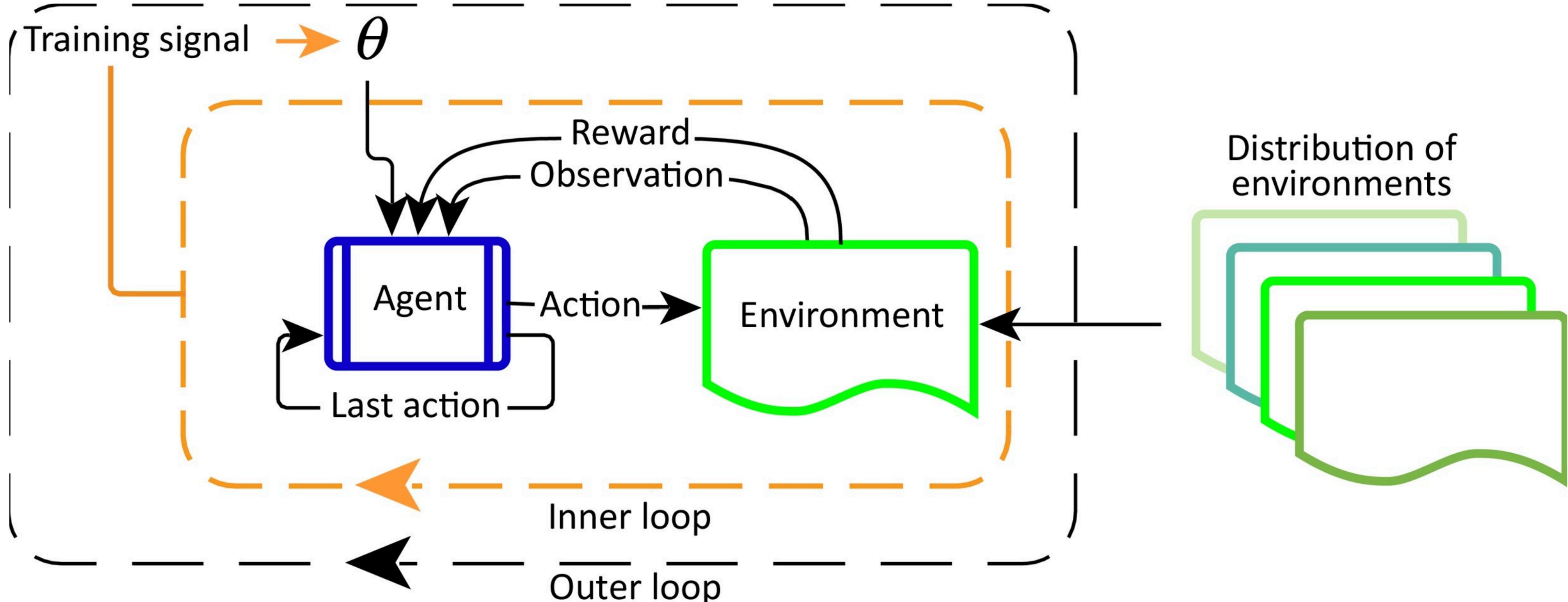


*Small distribution changes tend to manifest themselves in a sparse way in the causal factorization.*

Sparse Change Hypothesis

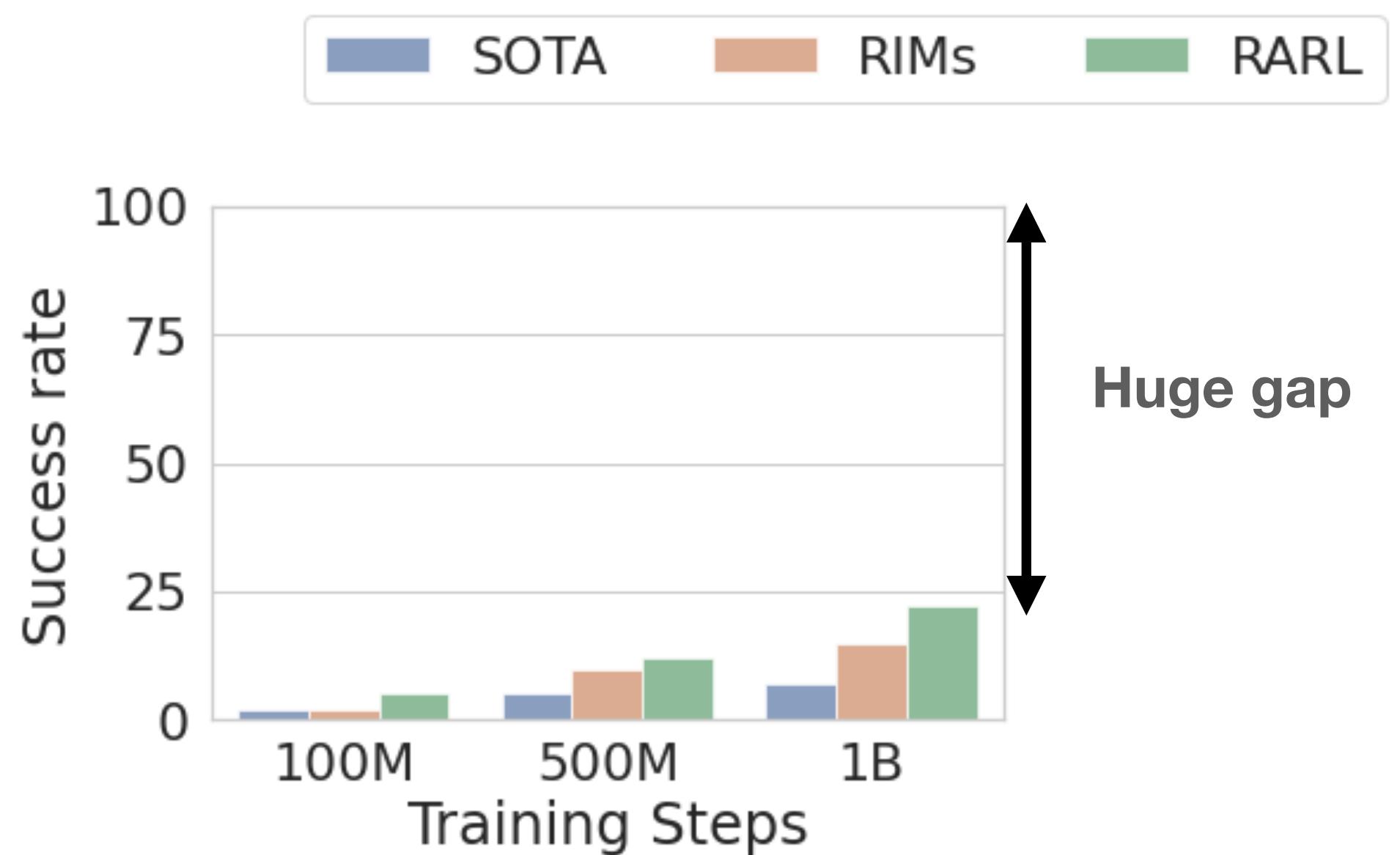
So what's next?

# Objectives that Promote Reuse of Neural Functions



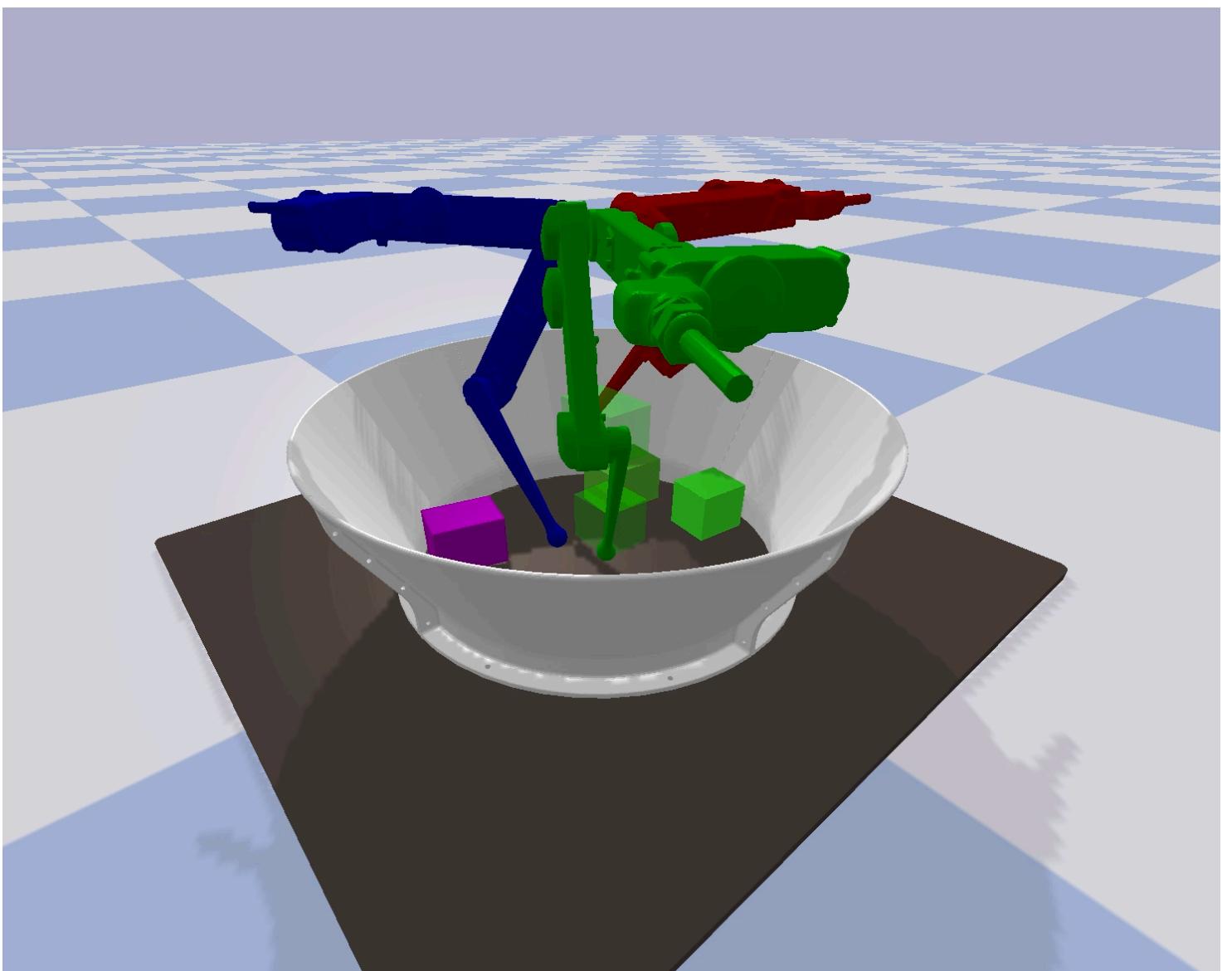
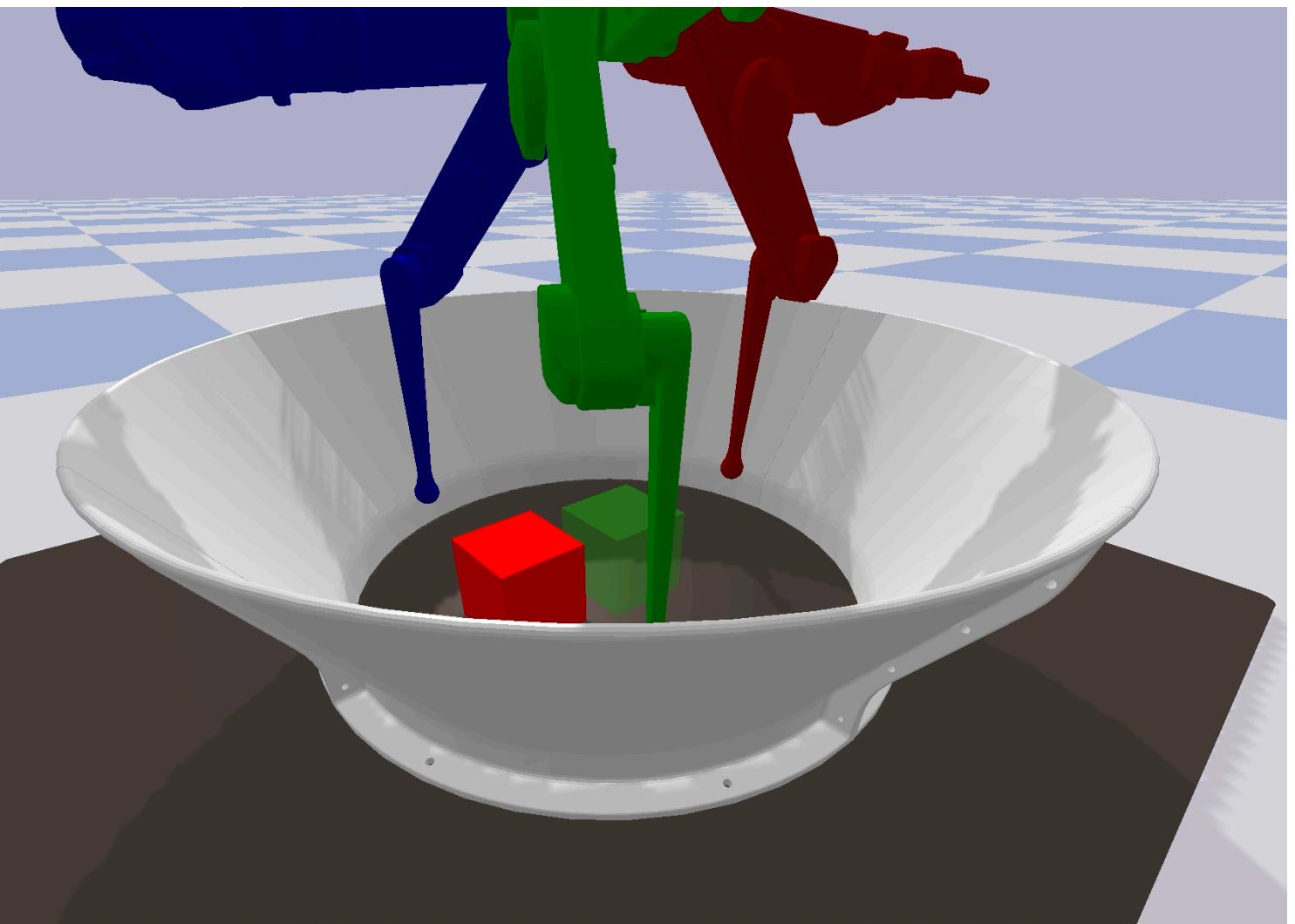
Agent learns at two levels, each associated with different time scales: Rapid learning (inner loop) occurs within an environment. This learning is guided by knowledge accrued more gradually across tasks (outer loop)

# Challenges Ahead: From Simulation to Real-World

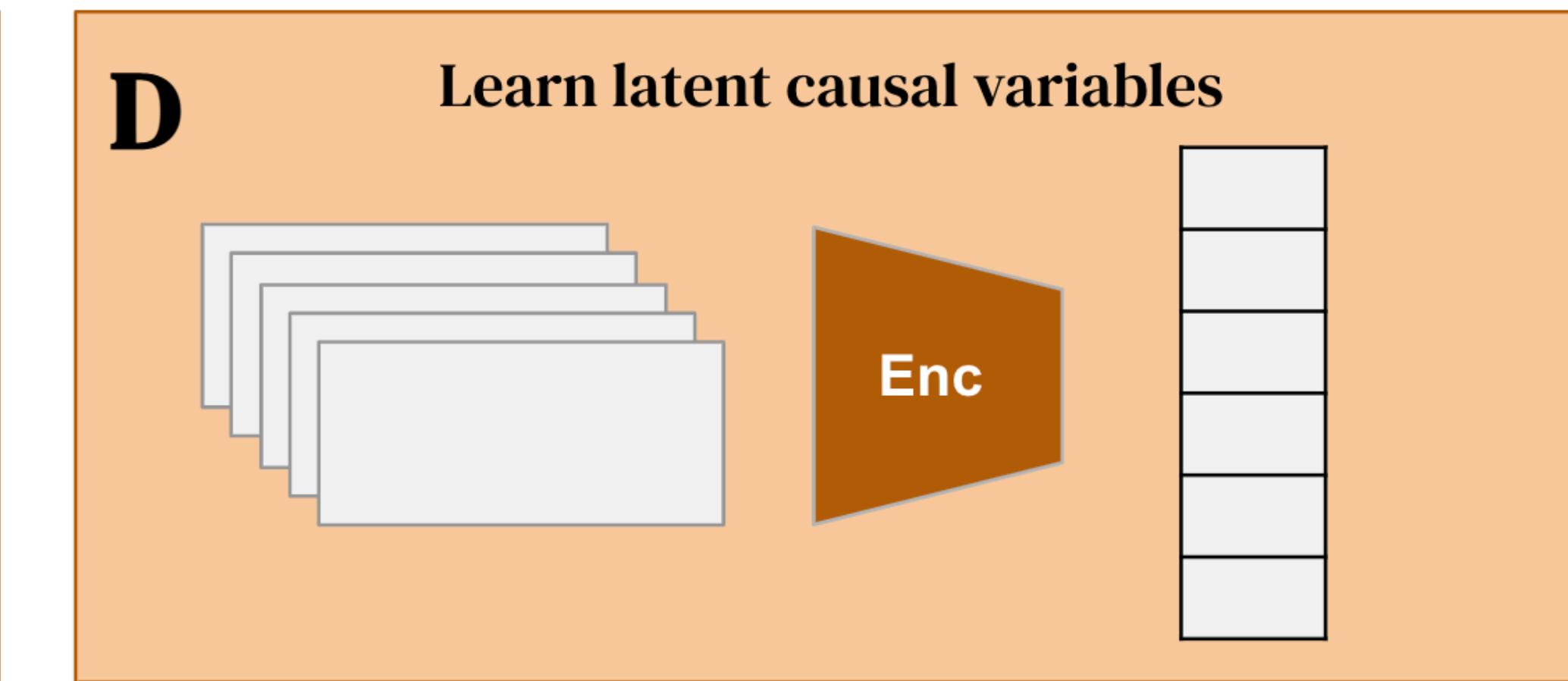
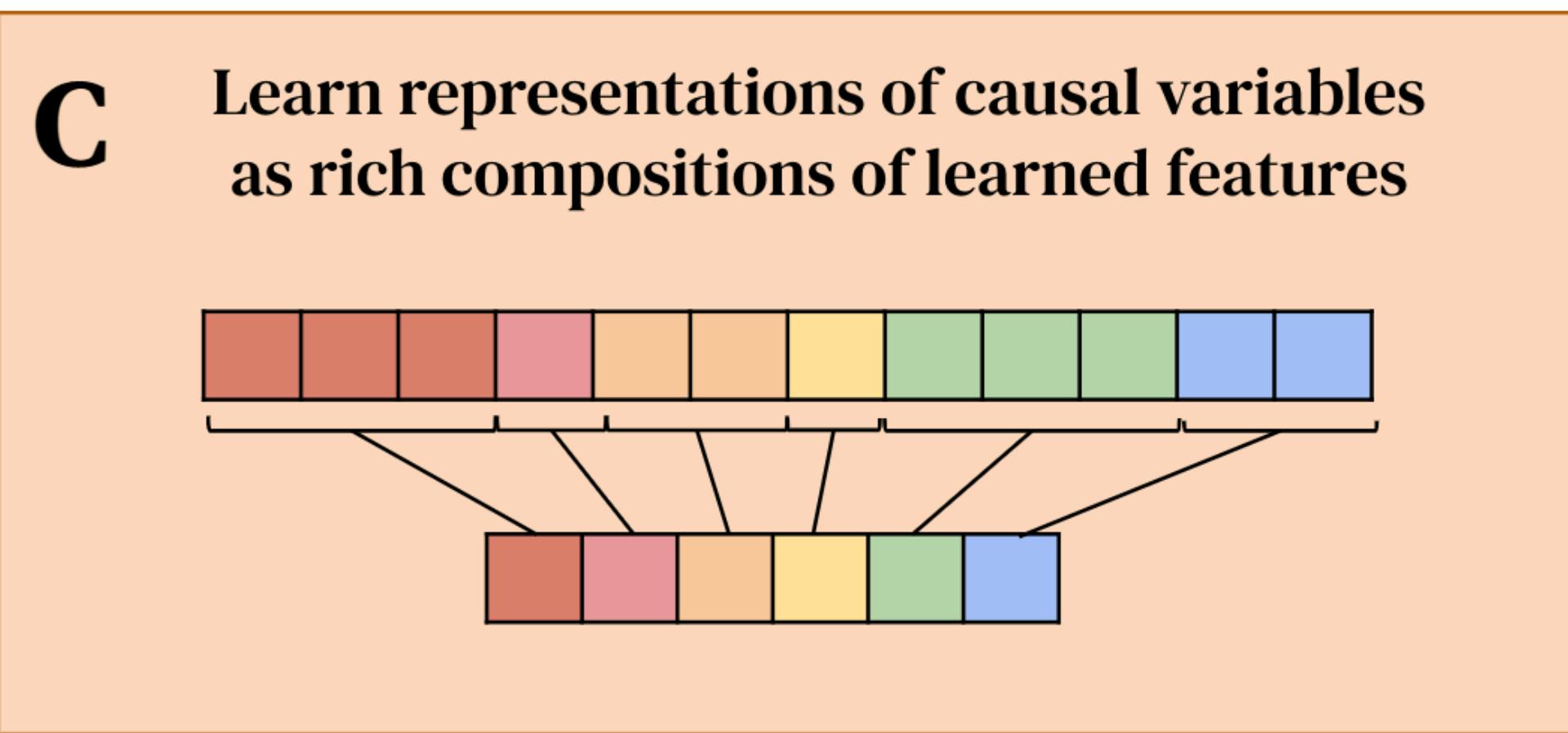
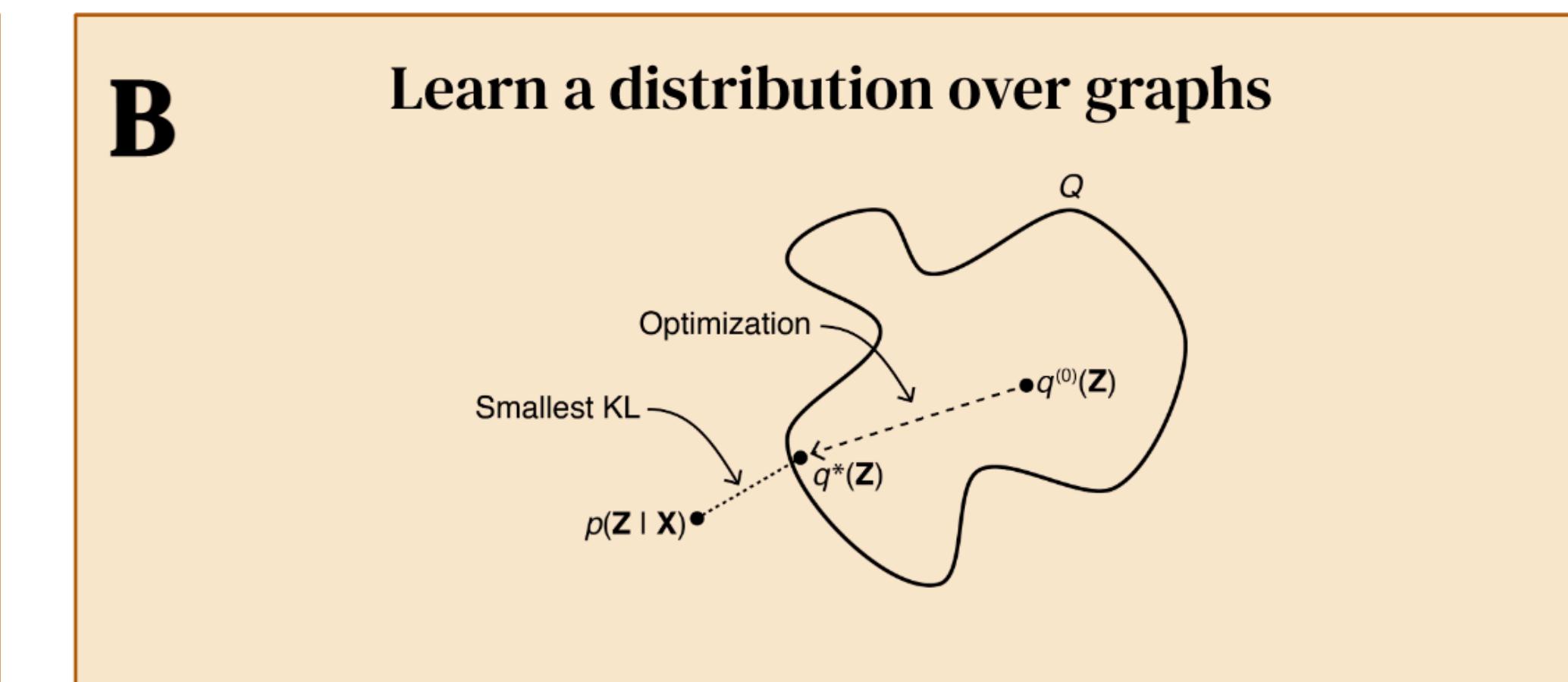
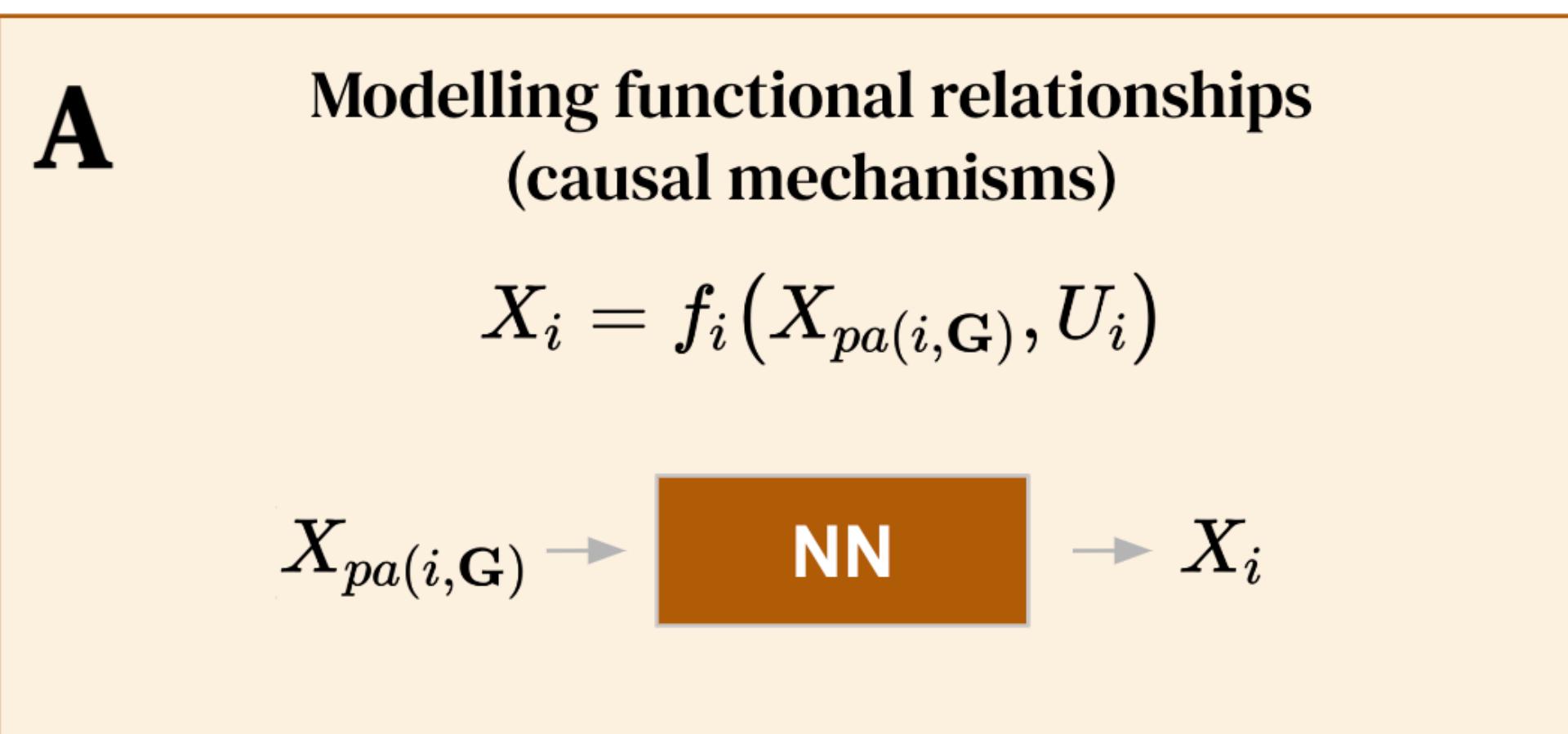


## Ongoing work

- **Exploration:** How can agents behave in a meaningful way in the case of sparse reward task (for ex. just a goal image).
- **Planning:** How good is a robot at building these shapes?
- **Meta-Transfer Objectives:** How well can an agent transfer and generalise to other shapes, physical properties ?
- **Synchronization:** How can different “systems” [planning, motor-control, exploration, language etc] coordinate with each other to solve a given task ?

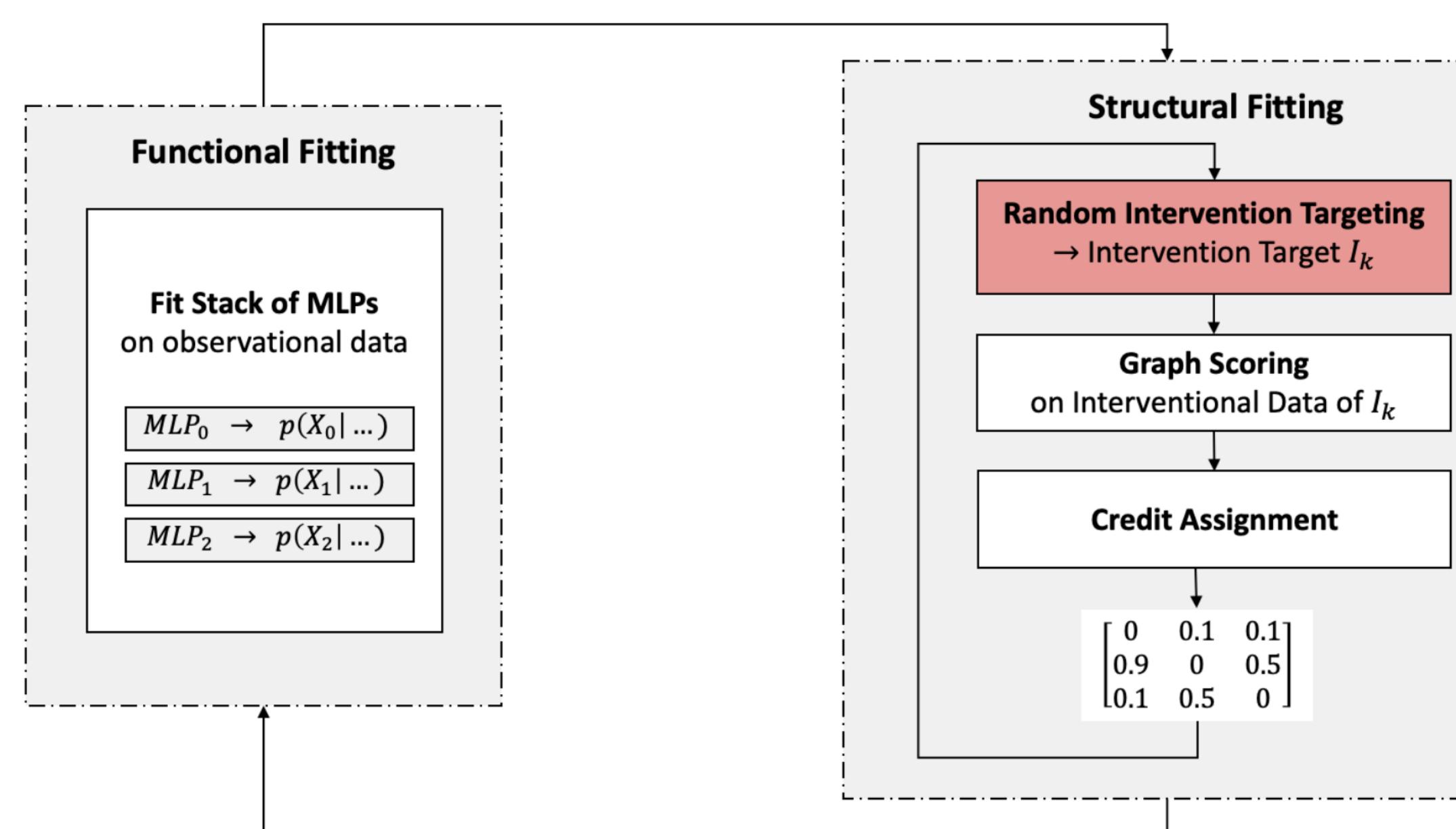


# Deep Learning for Causality/ Causality for Deep Learning

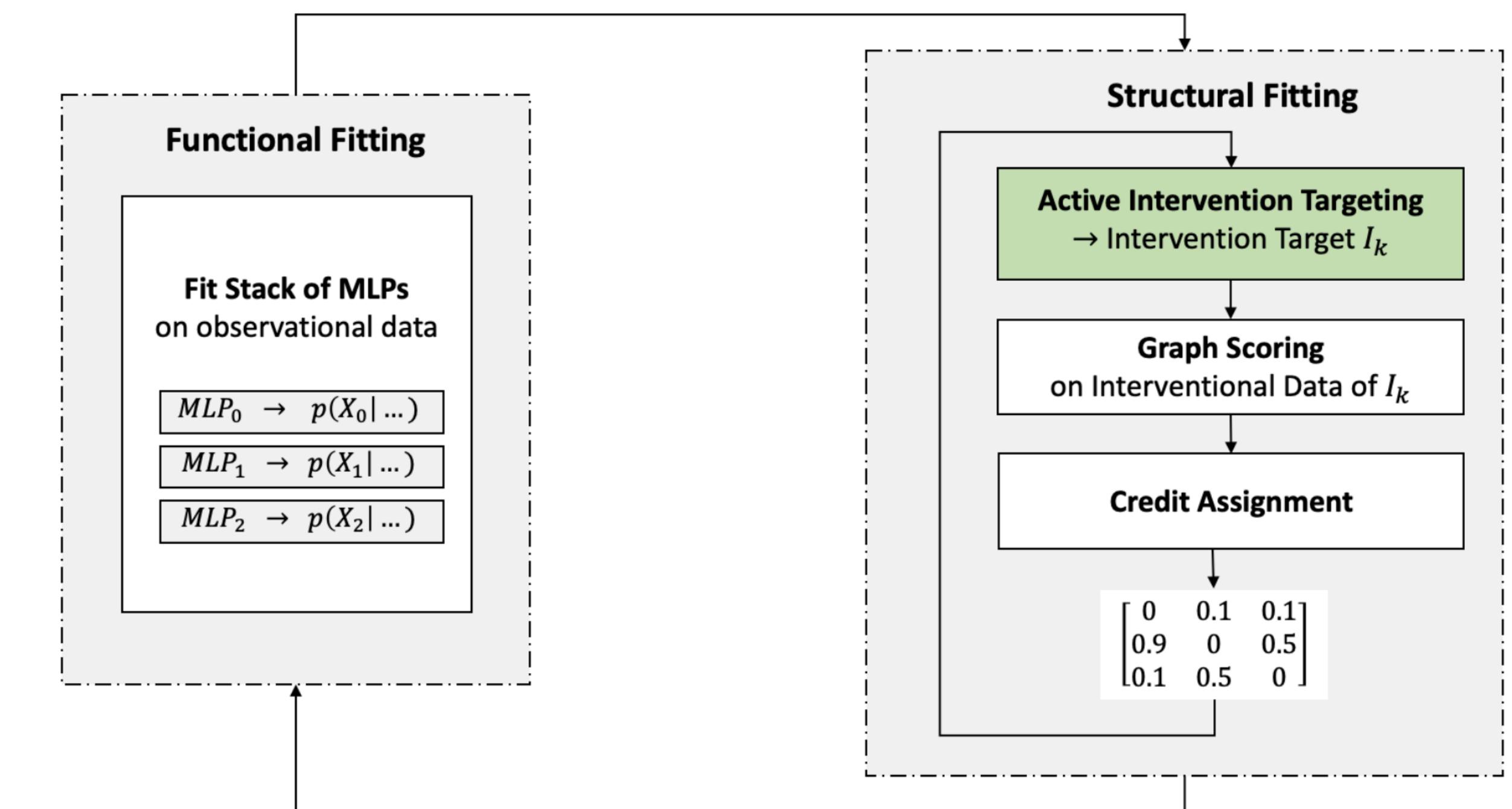


# Neural Causal Models

## Structure Discovery from Unknown Interventions



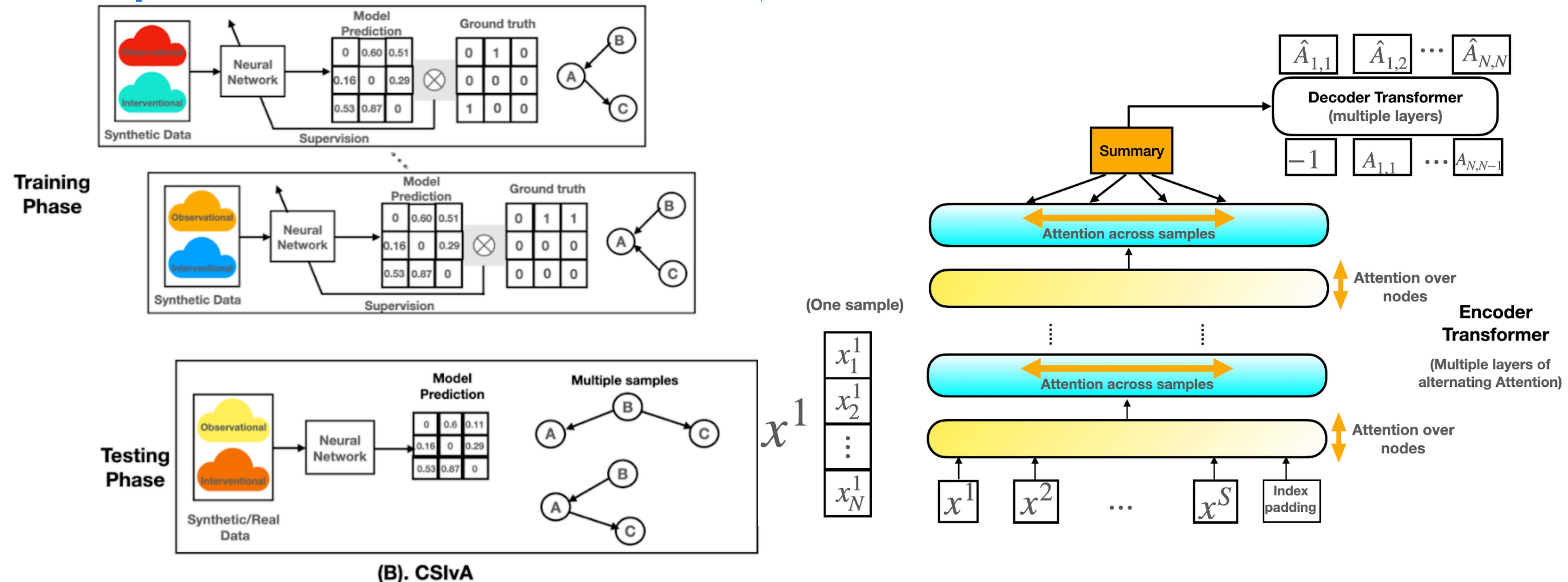
## Structure Discovery from Active Interventions



Learning Neural Causal Models from Unknown Interventions  
Ke et. al, 2019

Learning Neural Causal Models from Active Interventions  
Scherrer et. al, 2021

# Learning to Induce Causal Structure: Implicit Bayesian Inference





Yoshua Bengio, Alex Lamb, Rosemary Ke, Aniket Didolkar, Dianbo Liu, Riashat Islam, Guillaume Lajoie, Kanika Madan, Jonathan Binas, Phanideep Gampa, Shagun Sodhani, Kartikeya Badola, Sarthak Mittak, Guillaume Dumas, Kanika Madan, Sarthak Mittal, Olexa Bilaniux

MAX PLANCK INSTITUTE  
FOR INTELLIGENT SYSTEMS



Bernhard Schölkopf, Nasim Rahaman, Frederick Trauble, Stefan Bauer, Francesco Locatello



Michael Mozer, Hugo Larochelle



Sergey Levine



David Silver, Timothy Lillicrap, Nicolas Heess, Theophane Weber, Charles Blundell, Matthew Botvinick, Andrea Banino, Abe Friesen, Arthur Guez, Michael Valko, Simon Osindero, Murray Shanahan

## Inductive Biases for Deep Learning of Higher Level Cognition: Goyal and Bengio (arXiv:2011.15091)

1. ***Inductive Biases for Deep Learning of Higher Level Cognition: Goyal and Bengio (arXiv:2011.15091)***
2. *Coordination among Neural Modules through a Shared Workspace: Goyal et al (ICLR'22, Oral)*
3. *Learning to combine Top Down and Bottom Up Signals in RNN with attention over modules: Mittal, Lamb, Goyal et al, ICML'20*
4. *Object Files and Schemata: Factorizing Declarative and Procedural Knowledge in Dynamical Systems : Goyal et al, ICLR'21*
5. *Neural Production Systems: Goyal et al, NeurIPS'21*
6. *Spatially Structured Recurrent Modules: Rahaman, Goyal et al, ICLR'21*
7. ***Fast and Slow learning of Recurrent Independent Mechanisms: Madan, Ke, Goyal, et al ICLR'21***
8. ***A meta-transfer objective for learning to disentangle causal mechanisms: Bengio [...], Goyal, ICLR'20***
9. *Systematic Evaluation of Causal Discovery in Visual Model Based Reinforcement Learning: Ke [...], Goyal et al, NeurIPS'21*
10. *InfoBot: Transfer and Exploration using information bottleneck: Goyal et al, ICLR'20*
11. *Variational Bandwidth Bottleneck [...]: Goyal, Bengio, Botvinick, Levine et al, ICLR'21*
12. *RL using competitive ensemble of information constrained primitives: Goyal et al, ICLR'21*
13. *Sparse Attentive Backtracking: Temporal Credit Assignment through Reminding: Ke, Goyal et al, NeurIPS'18*
14. *Untangling Tradeoffs between recurrence and self-attention in neural networks: Kerg, Kanuparthi, Goyal et al, NeurIPS'20*
15. *Recall Traces: BackTracking Model for efficient Reinforcement Learning, Goyal et al, ICLR'19*
16. ***Recurrent Independent Mechanisms, Goyal et al, ICLR'21***
17. *Retrieval Augmented Reinforcement Learning, Goyal et. al, ICML'22*