

CSE 114A: Fall 2021

Foundations of Programming Languages

Datatypes and Recursion

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Based on course materials developed by Nadia Polikarpova

What is Haskell?

- **Last week:**
 - built-in *data types*
 - base types, tuples, lists (and strings)
 - writing functions using pattern matching and recursion
- **This week:**
 - user-defined *data types*
 - and how to manipulate them using pattern matching and recursion
 - more details about recursion

Representing complex data

- **We've seen:**
 - *base* types: **Bool**, **Int**, **Integer**, **Float**
 - some ways to *build up* types: given types **T1**, **T2**
 - functions: **T1** \rightarrow **T2**
 - tuples: (**T1**, **T2**)
 - lists: [**T1**]
- **Algebraic Data Types:** a single, powerful technique for building up types to represent complex data
 - lets you define your own data types
 - subsumes tuples and lists!

Product types

- Tuples can do the job but there are two problems...

```
deadlineDate :: (Int, Int, Int)
```

```
deadlineDate = (2, 4, 2019)
```

```
deadlineTime :: (Int, Int, Int)
```

```
deadlineTime = (11, 59, 59)
```

```
-- | Deadline date extended by one day
```

```
extension :: (Int, Int, Int) -> (Int, Int, Int)
```

```
extension = ...
```

- Can you spot them?

1. Verbose and unreadable

```
type Date = (Int, Int, Int)
```

```
type Time = (Int, Int, Int)
```

```
deadlineDate :: Date
```

```
deadlineDate = (2, 4, 2019)
```


```
deadlineTime :: Time
```

```
deadlineTime = (11, 59, 59)
```

```
-- | Deadline date extended by one day
```

```
extension :: Date -> Date
```

```
extension = ...
```



A type synonym for **T**: a name that can be used interchangeably with **T**

2. Unsafe

- We want this to fail at compile time!!!
extension deadlineTime
- *Solution*: construct two different **datatypes**

```
data Date = Date Int Int Int
```

```
data Time = Time Int Int Int
```

```
-- constructor^    ^parameter types
```

```
deadlineDate :: Date
```

```
deadlineDate = Date 2 4 2019
```

```
deadlineTime :: Time
```

```
deadlineTime = Time 11 59 59
```

Record Syntax

- Haskell's **record syntax** allows you to *name* the constructor parameters:
- Instead of

```
data Date = Date Int Int Int
```

- You can write:

```
data Date = Date {  
    month :: Int,  
    day   :: Int,  
    year  :: Int  
}
```

```
deadlineDate = Date 1 1 2019
```

```
deadlineMonth = month deadlineDate
```

Use the *field name* as a function to access part of the data



Building data types

- Three key ways to build complex types/values:
 1. **Product types (each-of)**: a value of **T** contains a value of **T1** *and* a value of **T2** **[done]**
 2. **Sum types (one-of)**: a value of **T** contains a value of **T1** *or* a value of **T2**
 3. **Recursive types**: a value of **T** contains a *sub-value* of the same type **Ts**

Example: NanoMD

- Suppose I want to represent a *text document* with simple markup. Each paragraph is either:
 - plain text (*String*)
 - heading: level and text (*Int* and *String*)
 - list: ordered? and items (*Bool* and [*String*])
- I want to store all paragraphs in a *list*

```
doc = [ (1, "Notes from 130")           -- Lvl 1 heading
        , "There are two types of languages:" -- Plain text
        , (True, ["purely functional", "purely evil"])
                                                --^^ Ordered List
      ] -- But this doesn't type check!!!
```

Sum Types

- Solution: construct a new type for paragraphs that is a *sum* (*one-of*) the three options!
 - plain text (`String`)
 - heading: level and text (`Int` and `String`)
 - list: ordered? and items (`Bool` and `[String]`)
- I want to store all paragraphs in a *list*

```
data Paragraph =  
    Text String           -- 3 constructors,  
    | Heading Int String  -- each with different  
    | List Bool [String]  -- parameters
```

QUIZ

What would GHCi say? *

```
data Paragraph =  
    Text String | Heading Int String | List Bool [String]
```

What would GHCi say to

```
>:t Text "Hey there!"
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. Paragraph
- ☐ D. [Paragraph]
- ☐ E. [String]



<http://tiny.cc/cse116-para-ind>

QUIZ

What would GHCi say? *

```
data Paragraph =  
    Text String | Heading Int String | List Bool [String]
```

What would GHCi say to

```
>:t Text "Hey there!"
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. Paragraph
- ☐ D. [Paragraph]
- ☐ E. [String]



<http://tiny.cc/cse116-para-grp>

Constructing datatypes

```
data T =  
    C1 T11 .. T1k  
  | C2 T21 .. T2l  
  | ..  
  | Cn Tn1 .. Tnm
```

T is the new datatype

C1 .. Cn are the constructors of **T**

A value of type **T** is

- *either* **C1** v1 .. vk with **vi** :: **T1i**
- *or* **C2** v1 .. vl with **vi** :: **T2i**
- *or* ...
- *or* **Cn** v1 .. vm with **vi** :: **Tni**

Constructing datatypes

You can think of a **T** value as a **box**:

- *either* a box labeled **C1** with values of types **T11** .. **T1k** inside
- *or* a box labeled **C2** with values of types **T21** .. **T2l** inside
- *or* ...
- *or* a box labeled **Cn** with values of types **Tn1** .. **Tnm** inside

Apply a constructor = pack some values into a box (and label it)

- **Text** "Hey there!"
 - put "Hey there!" in a box labeled **Text**
- **Heading 1** "Introduction"
 - put **1** and "Introduction" in a box labeled **Heading**
- Boxes have different labels but same type (**Paragraph**)

QUIZ

```
data Paragraph =  
    Text String | Heading Int String | List Bool [String]
```

What would GHCi say to

```
>:t [Heading 1 "Introduction", Text "Hey there!"]
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. Paragraph
- ☐ D. [Paragraph]
- ☐ E. [String]



<http://tiny.cc/cse116-adt-ind>

QUIZ

What is the type of *

```
data Paragraph =  
    Text String | Heading Int String | List Bool [String]
```

What would GHCi say to

```
>:t [Heading 1 "Introduction", Text "Hey there!"]
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. Paragraph
- ☐ D. [Paragraph]
- ☐ E. [String]



<http://tiny.cc/cse116-adt-grp>

Example: NanoMD

```
data Paragraph =  
    Text String | Heading Int String | List Bool [String]
```

Now I can create a document like so:

```
doc :: [Paragraph]  
doc = [  
    Heading 1 "Notes from 130"  
    , Text "There are two types of languages:"  
    , List True ["purely functional", "purely evil"]  
    ]
```

Example: NanoMD

Now I want **convert documents in to HTML**.

I need to write a function:

```
html :: Paragraph -> String
html p = ??? -- depends on the kind of paragraph!
```

How to tell what's in the box?

- Look at the label!

Pattern Matching

Pattern matching = looking at the label and extracting values from the box

- we've seen it before
- but now for arbitrary datatypes

```
html :: Paragraph -> String
html (Text str)      = ...
    -- It's a plain text! Get string
html (Heading lvl str) = ...
    -- It's a heading! Get level and string
html (List ord items) = ...
    -- It's a list! Get ordered and items
```

Dangers of pattern matching (1)

```
html :: Paragraph -> String  
html (Text str) = ...  
html (List ord items) = ...
```

What would GHCi say to:

```
html (Heading 1 "Introduction")
```

Answer: Runtime error (no matching pattern)

Dangers of pattern matching (1)

Beware of **missing** and **overlapped** patterns

- GHC warns you about *overlapped* patterns
- GHC warns you about *missing* patterns when called with `-W` (use `:set -W` in GHCi)

Pattern matching expression

We've seen: pattern matching in *equations*

You can also pattern-match *inside your program* using the **case** expression:

```
html :: Paragraph -> String
html p =
  case p of
    Text str -> unlines [open "p", str, close "p"]
    Heading lvl str -> ...
    List ord items -> ...
```

QUIZ

What is the type of `*`

```
let p = Text "Hey there!"  
in case p of  
    Text str -> str  
    Heading lvl _ -> lvl  
    List ord _ -> ord
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. String
- ☐ D. Paragraph
- ☐ E. Paragraph -> String



<http://tiny.cc/cse116-case-ind>

QUIZ

What is the type of `*`

```
let p = Text "Hey there!"  
in case p of  
  Text str -> str  
  Heading lvl _ -> lvl  
  List ord _ -> ord
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. String
- ☐ D. Paragraph
- ☐ E. Paragraph -> String



<http://tiny.cc/cse116-case-grp>

Pattern matching expression: typing

The **case** expression

```
case e of  
  pattern1 -> e1  
  pattern2 -> e2  
  ...  
  patternN -> eN
```

has type **T** if

- each $e_1 \dots e_N$ has type **T**
- e has some type **D**
- each $\text{pattern}_1 \dots \text{pattern}_N$ is a *valid pattern* for **D**
 - i.e. a variable or a constructor of **D** applied to other patterns

The expression e is called the *match scrutinee*

QUIZ

What is the type of `*`

```
let p = Text "Hey there!"  
in case p of  
  Text _ -> 1  
  Heading _ _ -> 2  
  List _ _ -> 3
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. Paragraph
- ☐ D. Int
- ☐ E. Paragraph -> Int



<http://tiny.cc/cse116-case2-ind>

QUIZ

What is the type of *****

```
let p = Text "Hey there!"  
in case p of  
  Text _ -> 1  
  Heading _ _ -> 2  
  List _ _ -> 3
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. Paragraph
- ☐ D. Int
- ☐ E. Paragraph -> Int



<http://tiny.cc/cse116-case2-grp>

Building data types

- Three key ways to build complex types/values:
 1. **Product types (each-of)**: a value of **T** contains a value of **T1** *and* a value of **T2** **[done]**
 2. **Sum types (one-of)**: a value of **T** contains a value of **T1** *or* a value of **T2** **[done]**
 3. **Recursive types**: a value of **T** contains a *sub-value* of the same type **Ts**

Recursive types

Let's define natural numbers from scratch:

```
data Nat = ???
```

Recursive types

```
data Nat = Zero | Succ Nat
```

A **Nat** value is:

- either an *empty* box labeled **Zero**
- or a box labeled **Succ** with another **Nat** in it!

Some **Nat** values:

```
Zero          -- 0
Succ Zero     -- 1
Succ (Succ Zero) -- 2
Succ (Succ (Succ Zero)) -- 3
...
```

Functions on recursive types

Principle: Recursive code mirrors recursive data

1. Recursive type as a parameter

```
data Nat = Zero      -- base constructor
         | Succ Nat  -- inductive constructor
```

Step 1: add a pattern per constructor

```
toInt :: Nat -> Int
toInt Zero      = ... -- base case
toInt (Succ n) = ... -- inductive case
                  -- (recursive call goes here)
```


1. Recursive type as a parameter

```
data Nat = Zero      -- base constructor
         | Succ Nat  -- inductive constructor
```

Step 2: fill in base case

```
toInt :: Nat -> Int
toInt Zero      = 0    -- base case
toInt (Succ n) = ...  -- inductive case
                    -- (recursive call goes here)
```

1. Recursive type as a parameter

```
data Nat = Zero      -- base constructor
         | Succ Nat  -- inductive constructor
```

Step 3: fill in inductive case using a recursive call:

```
toInt :: Nat -> Int
toInt Zero      = 0          -- base case
toInt (Succ n) = 1 + toInt n -- inductive case
```

QUIZ

What does this evaluate to? *

```
let foo i = if i <= 0 then Zero else Succ (foo (i - 1))
in foo 2
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. 2
- ☐ D. Succ Zero
- ☐ E. Succ (Succ Zero)



<http://tiny.cc/cse116-rectype-ind>

QUIZ

What does this evaluate to? *

```
let foo i = if i <= 0 then Zero else Succ (foo (i - 1))  
in foo 2
```

- ☐ A. Syntax error
- ☐ B. Type error
- ☐ C. 2
- ☐ D. Succ Zero
- ☐ E. Succ (Succ Zero)



<http://tiny.cc/cse116-rectype-grp>

2. Recursive type as a result

```
data Nat = Zero      -- base constructor
        | Succ Nat  -- inductive constructor

fromInt :: Int -> Nat
fromInt n
  | n <= 0      = Zero      -- base case
  | otherwise   = Succ (fromInt (n - 1)) -- inductive
                                           -- case
```

2. Putting the two together

```
data Nat = Zero      -- base constructor
         | Succ Nat  -- inductive constructor
```

```
add :: Nat -> Nat -> Nat
```

```
add Zero      m = m      -- base case
```

```
add (Succ n) m = Succ (add n m) -- inductive case
```

```
sub :: Nat -> Nat -> Nat
```

```
sub n      Zero      = n      -- base case 1
```

```
sub Zero   _          = Zero   -- base case 2
```

```
sub (Succ n) (Succ m) = sub n m -- inductive case
```

2. Putting the two together

```
data Nat = Zero -- base constructor
```

Lessons learned:

- **Recursive code mirrors recursive data**
- With **multiple** arguments of a recursive type, which one should I recurse on?
- The name of the game is to pick the right **inductive strategy!**

```
add  
add  
add
```

```
sub  
sub
```

```
sub Zero _ = Zero -- base case 2  
sub (Succ n) (Succ m) = sub n m -- inductive case
```

Lists

Lists aren't built-in! They are an *algebraic data type* like any other:

```
data List = Nil                -- base constructor
          | Cons Int List      -- inductive constructor
```

- List [1, 2, 3] is *represented* as Cons 1 (Cons 2 (Cons 3 Nil))
- Built-in list constructors [] and (:) are just fancy syntax for Nil and Cons

Functions on lists follow the same general strategy:

```
length :: List -> Int
length Nil          = 0                -- base case
length (Cons _ xs) = 1 + length xs    -- inductive case
```


Lists

What is the right *inductive strategy* for appending two lists?

```
append :: List -> List -> List
```

```
append ??? ??? = ???
```

Lists

What is the right *inductive strategy* for appending two lists?

```
append :: List -> List -> List
```

```
append Nil ys = ys
```

```
append ??? ??? = ???
```

Lists

What is the right *inductive strategy* for appending two lists?

```
append :: List -> List -> List
```

```
append Nil ys = ys
```

```
append (Cons x xs) ys = Cons x (append xs ys)
```

Trees

Lists are *unary trees* with elements stored in the nodes:

1 - 2 - 3 - ()

data List = Nil | Cons Int List

How do we represent *binary trees* with elements stored in the nodes?

1 - 2 - 3 - ()
| | \
| \
\
 4 - ()
 \
 ()

QUIZ

What is a Haskell datatype for binary trees with elements stored in the nodes? *

```
1 - 2 - 3 - ()
  |   |   \ ()
  |   \ ()
  \ 4 - ()
     \ ()
```

- (A) `data Tree = Leaf | Node Int Tree`
- (B) `data Tree = Leaf | Node Tree Tree`
- (C) `data Tree = Leaf | Node Int Tree Tree`
- (D) `data Tree = Leaf Int | Node Tree Tree`
- (E) `data Tree = Leaf Int | Node Int Tree Tree`



<http://tiny.cc/cse116-tree-ind>

QUIZ

What is a Haskell datatype for binary trees with elements stored in the nodes? *

1 - 2 - 3 - ()

| | \ ()

| \ ()

\ 4 - ()

\ ()

(A) **data** Tree = Leaf | Node Int Tree

(B) **data** Tree = Leaf | Node Tree Tree

(C) **data** Tree = Leaf | Node Int Tree Tree <http://tiny.cc/cse116-tree-grp>

(D) **data** Tree = Leaf Int | Node Tree Tree

(E) **data** Tree = Leaf Int | Node Int Tree Tree



Trees

```
1 - 2 - 3 - ()
 |   |   \ ()
 |   \   ()
 \ 4 - ()
     \ ()
```

```
data Tree = Leaf | Node Int Tree Tree
```

```
t1234 = Node 1
      (Node 2 (Node 3 Leaf Leaf) Leaf)
      (Node 4 Leaf Leaf)
```

Functions on trees

```
depth :: Tree -> Int
```

```
depth Leaf = 0
```

```
depth (Node _ l r) = 1 + max (depth l) (depth r)
```


QUIZ

What is a Haskell datatype for binary trees with elements stored in the leaves? *

```
( ) - ( ) - ( ) - 1
  |      |      \ 2
  |      \ 3
  \ ( ) - 4
      \ 5
```

- (A) `data Tree = Leaf | Node Int Tree`
- (B) `data Tree = Leaf | Node Tree Tree`
- (C) `data Tree = Leaf | Node Int Tree Tree`
- (D) `data Tree = Leaf Int | Node Tree Tree`
- (E) `data Tree = Leaf Int | Node Int Tree Tree`



<http://tiny.cc/cse116-leaves-ind>

QUIZ

What is a Haskell datatype for binary trees with elements stored in the leaves? *

```
( ) - ( ) - ( ) - 1
  |      |      \ 2
  |      \ 3
  \ ( ) - 4
      \ 5
```

- (A) `data Tree = Leaf | Node Int Tree`
- (B) `data Tree = Leaf | Node Tree Tree`
- (C) `data Tree = Leaf | Node Int Tree Tree`
- (D) `data Tree = Leaf Int | Node Tree Tree`
- (E) `data Tree = Leaf Int | Node Int Tree Tree`



<http://tiny.cc/cse116-leaves-grp>

Binary trees

```
() - () - () - 1
  |      |      \ 2
  |      \ 3
  \ () - 4
      \ 5
```

```
data Tree = Leaf Int | Node Tree Tree
```

```
t12345 = Node
  (Node (Node (Leaf 1) (Leaf 2)) (Leaf 3))
  (Node (Leaf 4) (Leaf 5))
```

Example: Calculator

I want to implement an arithmetic calculator to evaluate expressions like:

- $4.0 + 2.9$
- $3.78 - 5.92$
- $(4.0 + 2.9) * (3.78 - 5.92)$

What is a Haskell datatype to *represent* these expressions?

```
data Expr = ???
```

Example: Calculator

```
data Expr = Num Float
          | Add Expr Expr
          | Sub Expr Expr
          | Mul Expr Expr
```

How do we write a function to *evaluate* an expression?

```
eval :: Expr -> Float
```

Example: Calculator

```
data Expr = Num Float
          | Add Expr Expr
          | Sub Expr Expr
          | Mul Expr Expr
```

How do we write a function to *evaluate* an expression?

```
eval :: Expr -> Float
eval (Num f)      = f
```

Example: Calculator

```
data Expr = Num Float
          | Add Expr Expr
          | Sub Expr Expr
          | Mul Expr Expr
```

How do we write a function to *evaluate* an expression?

```
eval :: Expr -> Float
eval (Num f)      = f
eval (Add e1 e2) = eval e1 + eval e2
```

Example: Calculator

```
data Expr = Num Float
          | Add Expr Expr
          | Sub Expr Expr
          | Mul Expr Expr
```

How do we write a function to *evaluate* an expression?

```
eval :: Expr -> Float
eval (Num f)      = f
eval (Add e1 e2)  = eval e1 + eval e2
eval (Sub e1 e2)  = eval e1 - eval e2
```


Example: Calculator

```
data Expr = Num Float
          | Add Expr Expr
          | Sub Expr Expr
          | Mul Expr Expr
```

How do we write a function to *evaluate* an expression?

```
eval :: Expr -> Float
eval (Num f)      = f
eval (Add e1 e2)  = eval e1 + eval e2
eval (Sub e1 e2)  = eval e1 - eval e2
eval (Mul e1 e2)  = eval e1 * eval e2
```

Recursion is...

Building solutions for *big problems* from solutions for *sub-problems*

- **Base case:** what is the *simplest version* of this problem and how do I solve it?
- **Inductive strategy:** how do I *break down* this problem into sub-problems?
- **Inductive case:** how do I solve the problem *given* the solutions for subproblems?

Why use Recursion?

1. Often far simpler and cleaner than loops
 - But not always...
2. Structure often forced by recursive data
3. Forces you to factor code into reusable units (recursive functions)

Why *not* use Recursion?

1.Slow

2.Can cause stack overflow

Example: factorial

```
fac :: Int -> Int
fac n
  | n <= 1    = 1
  | otherwise = n * fac (n - 1)
```

<fac 4>

```
==> <4 * <fac 3>>           -- recursively call `fact 3`
==> <4 * <3 * <fac 2>>>       -- recursively call `fact 2`
==> <4 * <3 * <2 * <fac 1>>>> -- recursively call `fact 1`
==> <4 * <3 * <2 * 1>>>      -- multiply 2 to result
==> <4 * <3 * 2>>           -- multiply 3 to result
==> <4 * 6>                 -- multiply 4 to result
==> 24
```

Example: factorial

```
<fac 4>
```

```
==> <4 * <fac 3>>           -- recursively call `fact 3`  
==> <4 * <3 * <fac 2>>>       -- recursively call `fact 2`  
==> <4 * <3 * <2 * <fac 1>>>> -- recursively call `fact 1`  
==> <4 * <3 * <2 * 1>>>       -- multiply 2 to result  
==> <4 * <3 * 2>>             -- multiply 3 to result  
==> <4 * 6>                   -- multiply 4 to result  
==> 24
```

Each *function call* `<>` allocates a frame on the *call stack*

- expensive
- the stack has a finite size

Can we do recursion without allocating stack frames?

Tail recursion

Recursive call is the *top-most* sub-expression in the function body

- i.e. no computations allowed on recursively returned value
- i.e. value returned by the recursive call == value returned by function

QUIZ

Is this function tail recursive? *

```
fac :: Int -> Int
fac n
  | n <= 1      = 1
  | otherwise = n * fac (n - 1)
```

☐ (A) Yes

☐ (B) No



<http://tiny.cc/cse116-tail-ind>

QUIZ

Is this function tail recursive? *

```
fac :: Int -> Int
fac n
  | n <= 1      = 1
  | otherwise = n * fac (n - 1)
```

☐ (A) Yes

☐ (B) No



<http://tiny.cc/cse116-tail-grp>

Tail recursive factorial

Let's write a tail-recursive factorial!

```
facTR :: Int -> Int
facTR n = loop 1 n
  where
    loop :: Int -> Int -> Int
    loop acc n
      | n <= 1      = acc
      | otherwise = loop (acc * n) (n - 1)
```

Tail recursive factorial

```
loop acc n
  | n <= 1      = acc
  | otherwise = loop (acc * n) (n - 1)
```

```
<facTR 4>
```

```
==>    <<loop 1 4>> -- call loop 1 4
==>    <<<loop 4 3>>> -- rec call loop 4 3
==>    <<<<loop 12 2>>>> -- rec call loop 12 2
==>    <<<<<loop 24 1>>>>> -- rec call loop 24 1
==>    24                -- return result 24!
```

Each recursive call **directly** returns the result

- without further computation
- no need to remember what to do next!
- no need to store the “empty” stack frames!

Tail recursive factorial

Because the *compiler* can transform it into a *fast loop*

facTR n = loop 1 n

where

loop acc n

| n <= 1 = acc

| otherwise = loop (acc * n) (n - 1)

function facTR(n){

var acc = 1;

while (true) {

if (n <= 1) { return acc ; }

else { acc = acc * n; n = n - 1; }

}

}

Tail recursive factorial

```
function facTR(n){  
  var acc = 1;  
  while (true) {  
    if (n <= 1) { return acc ; }  
    else      { acc = acc * n; n = n - 1; }  
  }  
}
```

- Tail recursive calls can be optimized as a **loop**
 - no stack frames needed!
- Part of the language specification of most functional languages
 - compiler **guarantees** to optimize tail calls

That's all folks!
