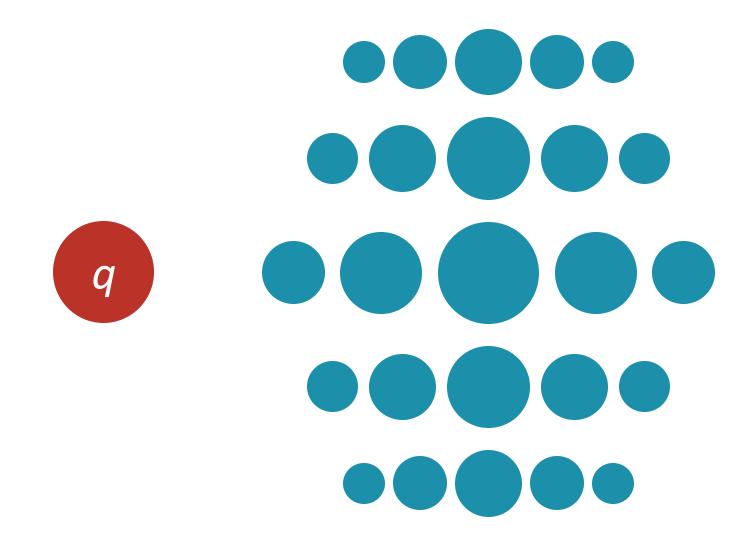
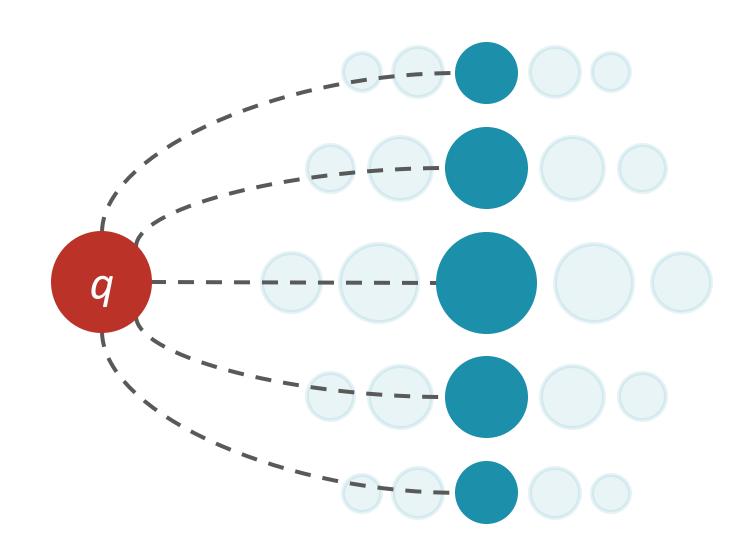


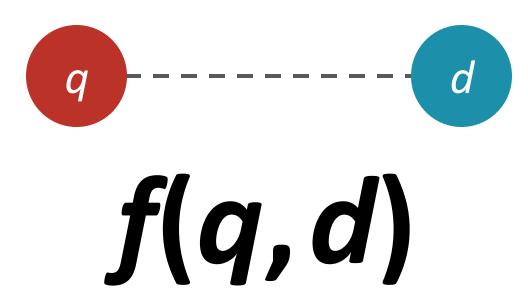
Information Retrieval

Diversification Models

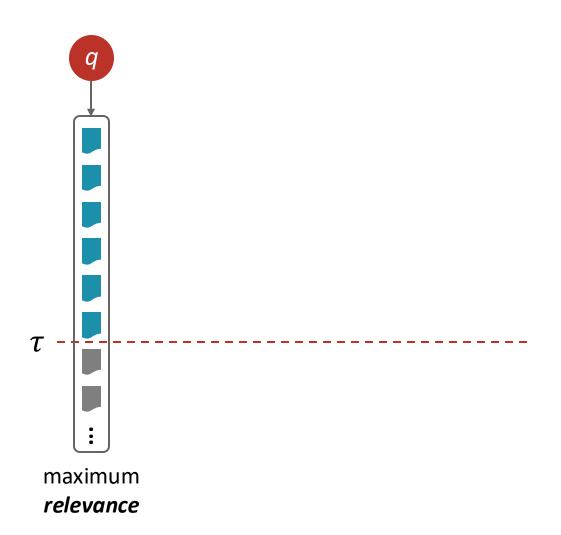
Rodrygo L. T. Santos rodrygo@dcc.ufmg.br







Relevance-oriented ranking



Probability Ranking Principle (Cooper, 1971; Robertson, 1977)

 Ranking information items by decreasing probability of relevance results in optimal retrieval effectiveness

Ranking optimality

PRP is optimal under certain assumptions (Gordon & Lenk, 1991, 1992)

- A1. The probability of relevance is estimated with certainty, with no measure of risk
- A2. The probability of relevance is estimated independently for every document

Limiting assumption A1

Assumption

 A1 The probability of relevance is estimated with certain, with no measure of risk

Limitation: ambiguity

 Information needs and items are ambiguously represented (Turtle & Croft, 1996)



glass



Settings Tools All Images Maps Shopping News More

Maps

Shopping

News More

5

Settings

Tools

About 2,320,000,000 results (0.72 seconds)

Glass - Wikipedia

https://en.wikipedia.org/wiki/Glass ▼

Glass is a non-crystalline amorphous solid that is often transparent and has widespread practical, technological, and decorative usage in, for example, window ...

Glass ionomer cement: A glass ... · Glass (disambiguation) · History of glass · Sand

Glass - X - The Moonshot Factory

https://www.x.company/glass/ ▼

Glass Enterprise Edition is a hands-free device, for hands-on workers that removes distractions and helps you focus on what's most important.

Glassdoor Job Search | Find the job that fits your life

https://www.glassdoor.com/index.htm •

Search millions of jobs and get the inside scoop on companies with employee reviews, personalized salary tools, and more. Hiring? Post a job for free.

Sign In · Glassdoor Jobs · Companies & Reviews · Know Your Worth

Glass (2019) - IMDb

www.imdb.com/title/tt6823368/ ▼

Thriller · The imprisoned Elijah Price holds secrets critical to both David Dunn and Kevin Crumb.

Philip Glass

philipglass.com/ ▼

Glass holds the Richard and Barbara Debs Composer's Chair at Carnegie Hall for the 2017-2018 season. Highlights will include performances by the Pacific ...

Glass | Definition of Glass by Merriam-Webster

https://www.merriam-webster.com/dictionary/glass ▼

Define **glass**: any of various amorphous materials formed from a melt by cooling to rigidity without crystallization: such as — **glass** in a sentence.

Rachel Platten - Broken Glass - YouTube



https://www.youtube.com/watch?v=b2390GAm4d0

Aug 18, 2017 - Uploaded by RachelPlattenVEVO

Rachel Platten - "Broken Glass" (Official Video) Get "Broken Glass" when you preorder her upcoming album ...

material



smart eyeglasses

Glass



has widespread practical, technological, and decorative usage in, for example, window panes, tableware, and optoelectronics. Wikipedia

thriller film



classical composer

esign Furniture

Feedback

View 10+ more

word definition

Fillip Glass (American composer)

Born: January 31, 1937 (age 80), Baltimore, MD Compositions: Einstein on the Beach, Satyagraha, Akhnat.



Director: M. Night Shyamalan





Google Glass 2.0 Is a Startling Second Act | WIRED

https://www.wirod.com/otory/google.gloss 2 is here/

order her upcoming album ...

Google Glass 2.0 Is a Startling Second Act | WIRED

https://www.wired.com/story/google-glass-2-is-here/ ▼

Jul 18, 2017 - Google Glass flopped. Then Alphabet realized that the future of wearables was in factories and warehouses. Welcome to Google Glass 2.0.

Glass Enterprise Edition | Glass Explorer Edition | Google Developers

https://developers.google.com/glass/distribute/glass-enterprise

Temperature

| Temperature** | Temperatu

Jul 18, 2017 - Glass Partners are authorized to develop and deliver enterprise solutions for Glass customers. Learn more here. Except as otherwise noted, the ...

People also ask

Who invented the glass?

What are some of the properties of glass?

How the glass is manufactured?

What are the Google Glasses?

Feedback

 \vee

news + Q&A

Director: M. Night Shyamalan

Why Google Glass Broke - The New York Times

https://www.nytimes.com/2015/02/05/style/why-google-glass-broke.html

This is the story of Google Glass. Before we begin, this is the part in the tale where I should probably explain what Google Glass is. Except ...

Boston wants to fight climate change. So why is every new building ...

https://www.bostonglobe.com/ideas/2017/07/14/boston-wants...glass/.../story.html

Yet **glass** buildings also take a lot of energy to heat and cool. When New York started tracking energy use by skyscrapers, the gleaming 7 World ...

Three-dimensional printing of transparent fused silica glass : Nature ...

www.nature.com/articles/nature22061

Glass is one of the most important high-performance materials used for scientific research, in industry and in society, mainly owing to its ...

Searches related to glass

glass movie glass chemical formula

what is glass made of elements

types of glass

glass definition chemistry

glass **imdb**

how is glass made from sand properties of glass

related searches

Query ambiguity

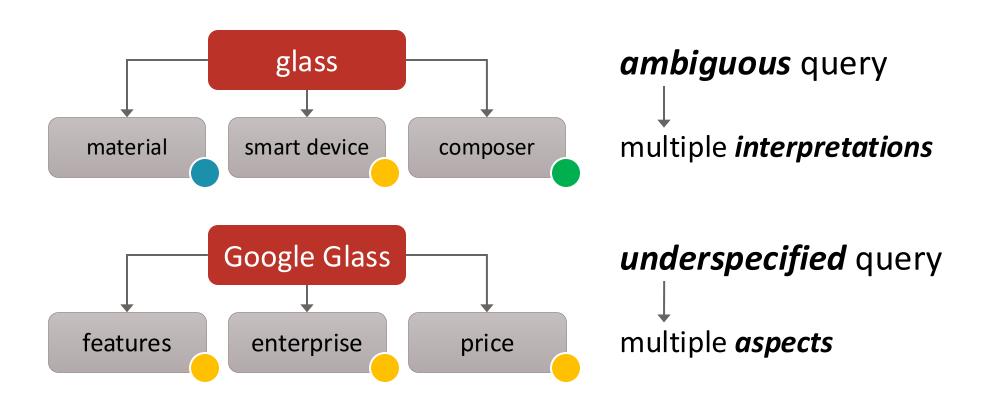
Wikipedia lists over 30 meanings for 'glass'...

... but we can only display '10 blue links'

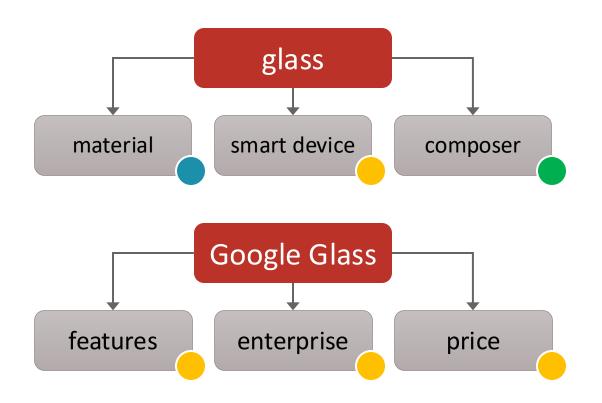
Ambiguity is inherent to user queries...

... but not all queries are equally ambiguous

Query ambiguity



Query ambiguity



ambiguous query

16% of web search queries (Song, 2009)

underspecified query

every query to some extent (Cronen-Townsend & Croft, 2002)

Limiting assumption A2

Assumption

 A2 The probability of relevance is estimated independently for every document

Limitation: *redundancy*

 "The relationship between a document and a query is necessary but not sufficient to determine relevance" (Goffman, 1964) Any need for more results about the material?

 Users are unlikely to inspect the results any further once they find something relevant (Craswell et al., 2008)

Diversity and novelty (Clarke et al., 2008)

Diversity

"the need to resolve ambiguity"[in the retrieval request]

Novelty

"the need to avoid redundancy"[in the retrieval response]

Greedy approximation

Diversification is NP-hard (Agrawal, 2009)

No efficient exact solution

Constant-factor $(1 - 1/e \approx 0.632)$ approximation

 Iteratively select a document that covers the most aspects yet uncovered by the previous documents

Greedy approximation

```
D \leftarrow \emptyset
while |D| < \tau do
     d^* \leftarrow \operatorname{argmax}_{d \in R} f(q, d, D)
     R \leftarrow R \setminus \{d^*\}
     D \leftarrow D \cup \{d^*\}
end while
return D
```

Greedy approximation

Approximation effective in practice (Carterette, 2009)

Minor deviations from the optimal solution

Most approaches focus on producing effective diversification objectives f(q, d, D)

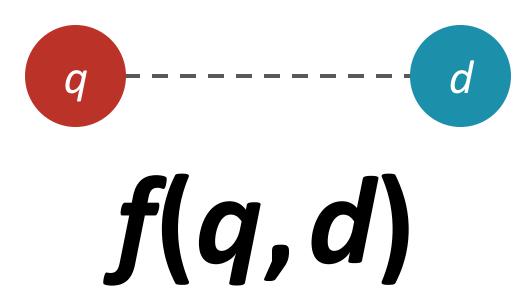
A departure from the PRP

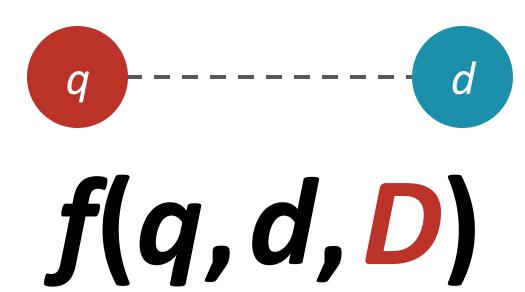
Relevance no longer certain

Query ambiguously convey multiple needs

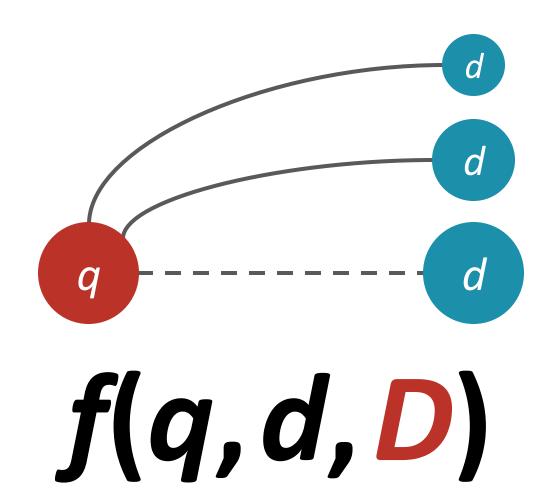
Relevance no longer independent

Relevance of a document impacts other documents





The diversification problem



How to compute f(q,d,D)?

Diversification dimensions

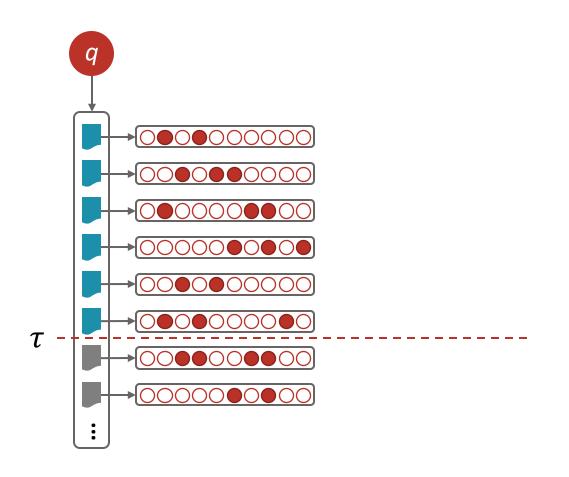
Aspect representation

- Implicit aspects
- Explicit aspects

Ranking strategy

- Novelty-based
- Coverage-based

Implicit aspects



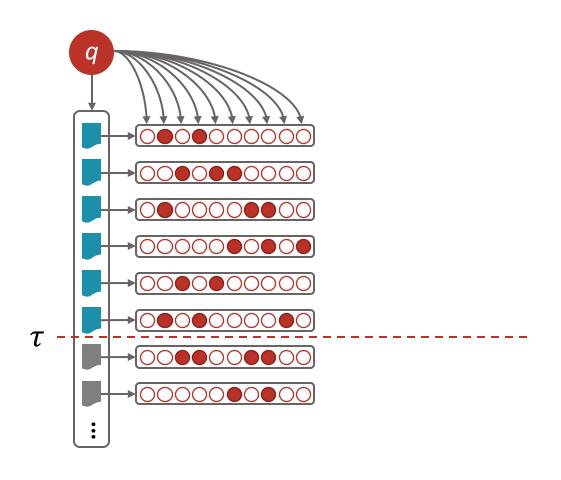
Raw factors

- One-hot term vectors
- Count-based term vectors

Latent factors

- Topic models
- Cluster models
- Embeddings

Explicit aspects



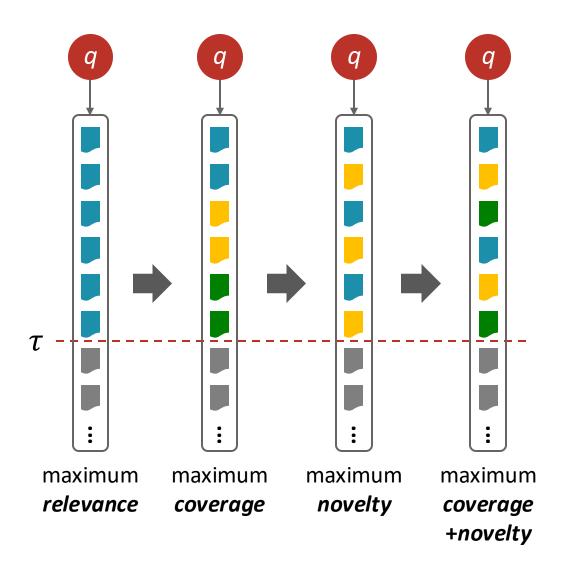
Fixed aspects

Query categories

Non-fixed aspects

Query suggestions

Ranking strategies



Coverage-based strategy

Cover as many aspects as possible

Novelty-based strategy

Cover aspects as early as possible

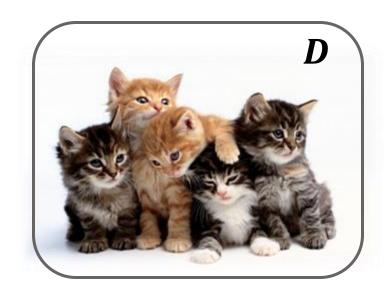
Hybrid strategy

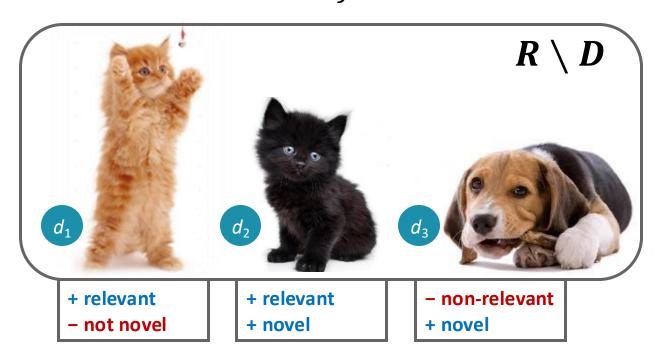
Promote coverage and novelty

MMR (Carbonell and Goldstein, 1998)

Maximal Marginal Relevance

$$\circ f(q,d,D) = \lambda rel(q,d) - (1-\lambda) \max_{d_i \in D} sim(d,d_i)$$

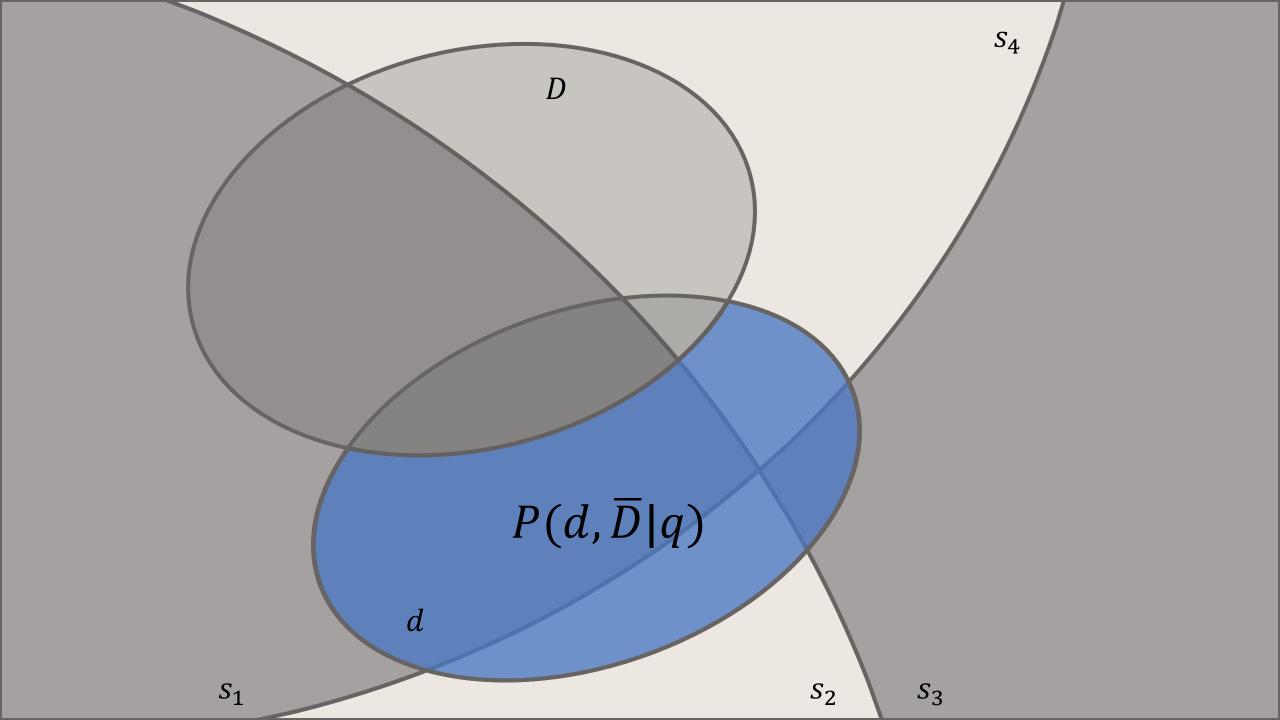




xQuAD (Santos et al., 2010)

Explicit Query Aspect Diversification

$$\circ f(q,d,D) = (1-\lambda) P(d|q) + \lambda P(d,\overline{D}|q)$$



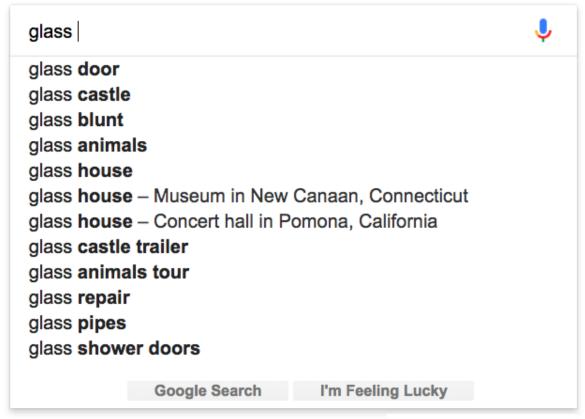
Google

glass

Google Search

I'm Feeling Lucky





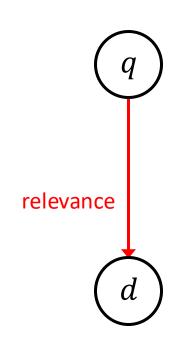
Estimating $P(d, \overline{D}|q)$

$$f(q,d,D) = (1 - \lambda) P(d|q)$$

$$+ \lambda \sum_{s \in S} P(s|q) P(d|q,s) \prod_{d_i \in D} (1 - P(d_i|q,s))$$

Estimating $P(d, \overline{D}|q)$

$$f(q,d,D) = (1 - \lambda) P(d|q) + \lambda \sum_{s \in S} P(s|q) P(d|q,s) \prod_{d_j \in D} (1 - P(d_j|q,s))$$



Estimating $P(d, \overline{D}|q)$

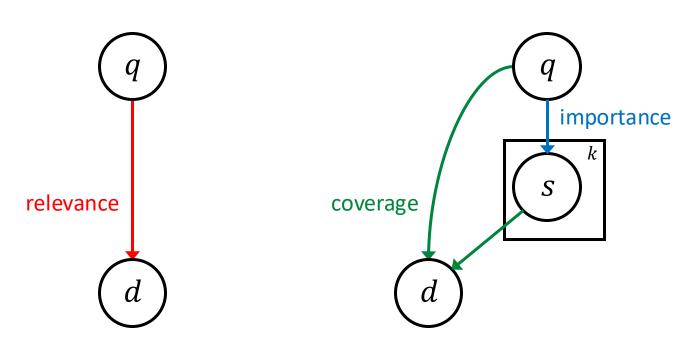
$$f(q,d,D) = (1 - \lambda) P(d|q) + \lambda \sum_{s \in S} P(s|q) P(d|q,s) \prod_{d_j \in D} (1 - P(d_j|q,s))$$



Estimating $P(d, \overline{D}|q)$

$$f(q,d,D) = (1 - \lambda) P(d|q)$$

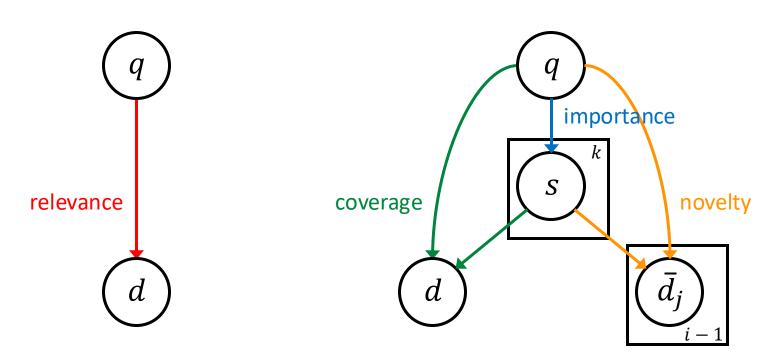
$$+ \lambda \sum_{s \in S} P(s|q) P(d|q,s) \prod_{d_j \in D} (1 - P(d_j|q,s))$$



Estimating $P(d, \overline{D}|q)$

$$f(q,d,D) = (1 - \lambda) P(d|q)$$

$$+ \lambda \sum_{s \in S} P(s|q) P(d|q,s) \prod_{d_j \in D} (1 - P(d_j|q,s))$$



$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{D}^{(i-1)}$$
$$= (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

How to estimate **R**, **C**, **N**, and λ ?

Advanced topics (see Santos et al., FnTIR 2015, Ch. 6)

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{D}^{(i-1)}$$
$$= (1 - \lambda) \mathbf{R} + \lambda \mathbf{CN}^{(i-1)}$$

$$\mathbf{R} = \begin{bmatrix} 0.70 \\ 0.50 \\ 0.30 \\ 0.20 \\ 0.10 \end{bmatrix}, \mathbf{C} = \begin{bmatrix} 0.30 & 0.40 \\ 0.70 & 0.60 \\ 0.20 & 0.30 \\ 0.70 & 0.80 \\ 0.40 & 0.20 \end{bmatrix}, \mathbf{N}^{(0)} = \mathbf{I} = \begin{bmatrix} 0.60 \\ 0.40 \end{bmatrix}$$

$$\lambda = 0.5$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(1)} = (1 - 0.5) \begin{bmatrix} 0.70 \\ 0.50 \\ 0.30 \\ 0.20 \\ 0.10 \end{bmatrix} + 0.5 \begin{bmatrix} 0.30 & 0.40 \\ 0.70 & 0.60 \\ 0.20 & 0.30 \\ 0.70 & 0.80 \\ 0.40 & 0.20 \end{bmatrix} \begin{bmatrix} 0.60 \\ 0.40 \end{bmatrix}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(1)} = \begin{bmatrix} 0.52 \\ 0.58 \\ d_2 \\ 0.26 \\ d_3 \\ 0.47 \\ d_4 \\ d_5 \end{bmatrix}$$

$$\mathbf{N}^{(i)} = \operatorname{diag}(\mathbf{1} - \mathbf{C}_r)\mathbf{N}^{(i-1)}$$

$$\mathbf{C} = \begin{bmatrix} 0.30 & 0.40 \\ 0.70 & 0.60 \\ 0.20 & 0.30 \\ 0.70 & 0.80 \\ 0.40 & 0.20 \end{bmatrix}, \mathbf{N}^{(0)} = \begin{bmatrix} 0.60 \\ 0.40 \end{bmatrix}$$

$$\begin{split} \mathbf{N}^{(i)} &= \mathrm{diag}(\mathbf{1} - \mathbf{C}_r) \mathbf{N}^{(i-1)} \\ \mathbf{N}^{(1)} &= \begin{bmatrix} 1.00 - 0.70 & 0.00 \\ 0.00 & 1.00 - 0.60 \end{bmatrix} \begin{bmatrix} 0.60 \\ 0.40 \end{bmatrix} \\ &= \begin{bmatrix} 0.30 & 0.00 \\ 0.00 & 0.40 \end{bmatrix} \begin{bmatrix} 0.60 \\ 0.40 \end{bmatrix} \\ &= \begin{bmatrix} 0.18 \\ 0.16 \end{bmatrix} \end{split}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(2)} = (1 - 0.5) \begin{bmatrix} 0.70 \\ 0.30 \\ 0.20 \\ 0.10 \end{bmatrix} + 0.5 \begin{bmatrix} 0.30 & 0.40 \\ 0.20 & 0.30 \\ 0.70 & 0.80 \\ 0.40 & 0.20 \end{bmatrix} \begin{bmatrix} 0.18 \\ 0.16 \end{bmatrix}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(2)} = \begin{bmatrix} 0.41 \\ 0.19 \\ 0.23 \\ d_4 \end{bmatrix}, \mathbf{N}^{(2)} = \begin{bmatrix} 0.13 \\ 0.10 \end{bmatrix}$$

$$d_5$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(3)} = (1 - 0.5) \begin{bmatrix} 0.30 \\ 0.20 \\ 0.10 \end{bmatrix} + 0.5 \begin{bmatrix} 0.20 & 0.30 \\ 0.70 & 0.80 \\ 0.40 & 0.20 \end{bmatrix} \begin{bmatrix} 0.13 \\ 0.10 \end{bmatrix}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(3)} = \begin{bmatrix} 0.17 \\ 0.18 \\ 0.08 \end{bmatrix} \begin{matrix} d_3 \\ d_4, \mathbf{N}^{(3)} \\ d_5 \end{bmatrix} = \begin{bmatrix} 0.04 \\ 0.02 \end{bmatrix}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(4)} = (1 - 0.5) \begin{bmatrix} 0.30 \\ 0.10 \end{bmatrix} + 0.5 \begin{bmatrix} 0.20 & 0.30 \\ 0.40 & 0.20 \end{bmatrix} \begin{bmatrix} 0.04 \\ 0.02 \end{bmatrix}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(4)} = \begin{bmatrix} 0.16 \\ 0.06 \end{bmatrix} \frac{d_3}{d_5}, \mathbf{N}^{(4)} = \begin{bmatrix} 0.03 \\ 0.01 \end{bmatrix}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

$$\mathbf{X}^{(5)} = (1 - 0.5)[0.10] + 0.5[0.40 \quad 0.20] \begin{bmatrix} 0.03 \\ 0.01 \end{bmatrix}$$

$$\mathbf{X}^{(i)} = (1 - \lambda) \mathbf{R} + \lambda \mathbf{C} \mathbf{N}^{(i-1)}$$

 $\mathbf{X}^{(5)} = [0.06] d_5$

Input ranking

$$\circ R = (d_1, d_2, d_3, d_4, d_5)$$

Diversified ranking

$$\circ D = (d_2, d_1, d_4, d_3, d_5)$$

Summary

Query ambiguity can harm search quality

Search result diversification can help

NP-hard problem

Efficient greedy approximation

Several objective functions in the literature

Explicit/hybrid approaches are the state-of-the-art

Open directions

Aspect representation

- Exploitation of dependencies between aspects
- Document-driven aspect identification

Diversification strategy

- Personalized diversification
- Discriminative diversification

References

The use of MMR, diversity-based reranking for reordering documents and producing summaries

Carbonell and Goldstein, SIGIR 1998

Exploiting query reformulations for Web search result diversification

Santos et al., WWW 2010

References

Search result diversification

Santos et al., FnTIR 2015



Coming next...

Learning to Rank: Fundamentals

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