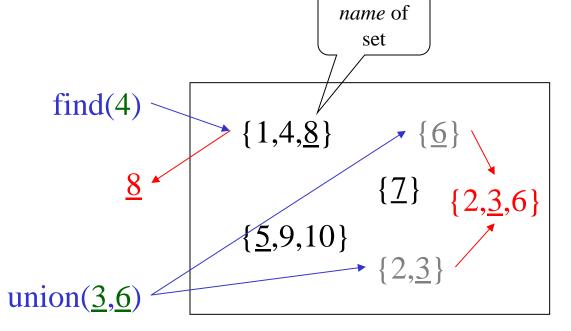
Disjoint Set Union/Find ADT

- Union/Find operations
  - create
  - destroy
  - union
  - find



- *Disjoint set partition property*: every element of a DS U/F structure belongs to *exactly one set* with a *unique name*
- *Dynamic equivalence property*: Union(a, b) creates a new set which is the union of the sets containing a and b

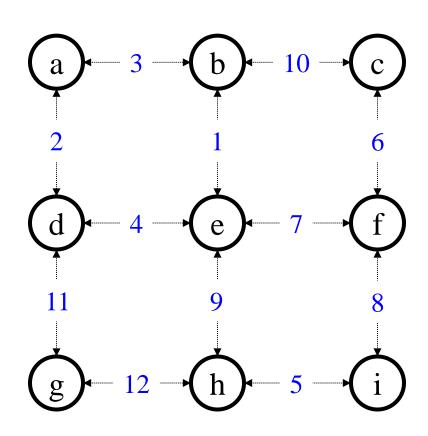
#### Example

Construct the maze on the right

Initial (the name of each set is underlined):

 $\{\underline{a}\}\{\underline{b}\}\{\underline{c}\}\{\underline{d}\}\{\underline{e}\}\{\underline{f}\}\{\underline{g}\}\{\underline{h}\}\{\underline{i}\}$ 

Randomly select edge 1

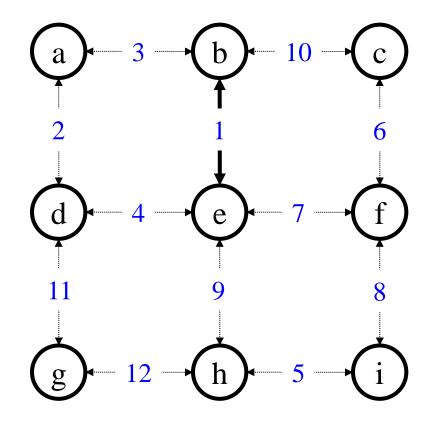


Order of edges in blue

#### Example, First Step

```
\{\underline{a}\}\{\underline{b}\}\{\underline{c}\}\{\underline{d}\}\{\underline{e}\}\{\underline{f}\}\{\underline{g}\}\{\underline{h}\}\{\underline{i}\}
find(b) \Rightarrow \underline{b}
find(e) \Rightarrow \underline{e}
find(b) \neq find(e) so:
      add 1 to E'
      union(b, e)
```

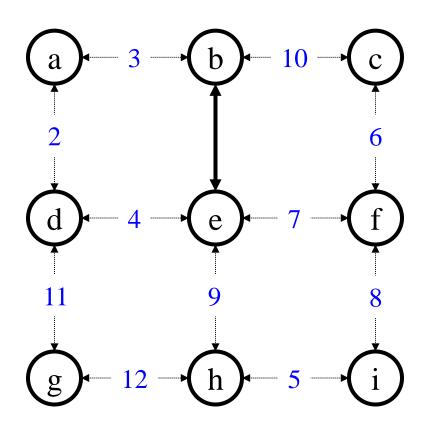
 $\{\underline{a}\}\{\underline{b},e\}\{\underline{c}\}\{\underline{d}\}\{\underline{f}\}\{\underline{g}\}\{\underline{h}\}\{\underline{i}\}$ 



Order of edges in blue

#### Example, Continued

 $\{\underline{a}\}\{\underline{b},e\}\{\underline{c}\}\{\underline{d}\}\{\underline{f}\}\{\underline{g}\}\{\underline{h}\}\{\underline{i}\}$ 



Order of edges in blue

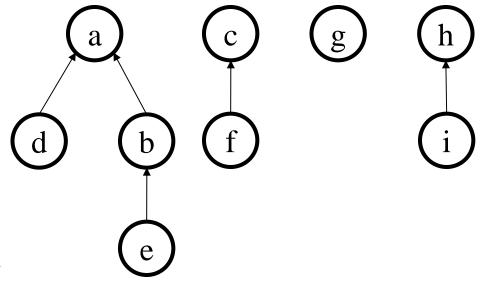
#### **Up-Tree Intuition**

Finding the representative member of a set is somewhat like the *opposite* of finding whether a given key exists in a set.

So, instead of using trees with pointers from each node to its children; let's use trees with a pointer from each node to its parent.

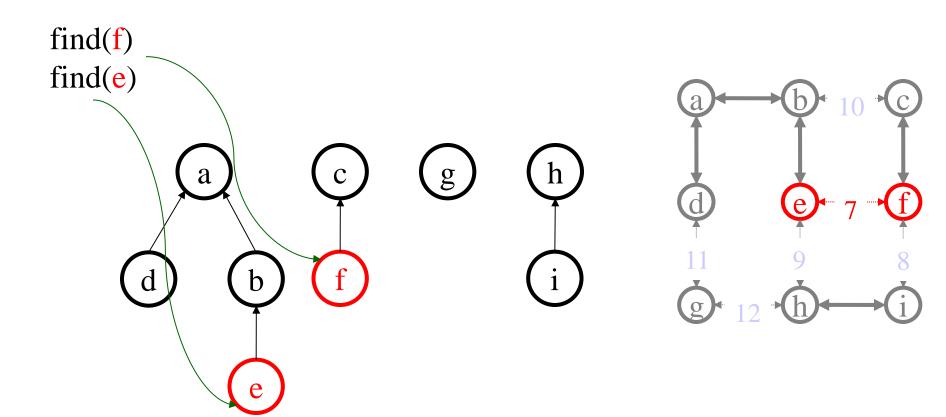
### Up-Tree Union-Find Data Structure

- Each subset is an up-tree with its root as its representative member
- All members of a given set are nodes in that set's up-tree
- Hash table maps input data to the node associated with that data



Up-trees are **not** necessarily binary!

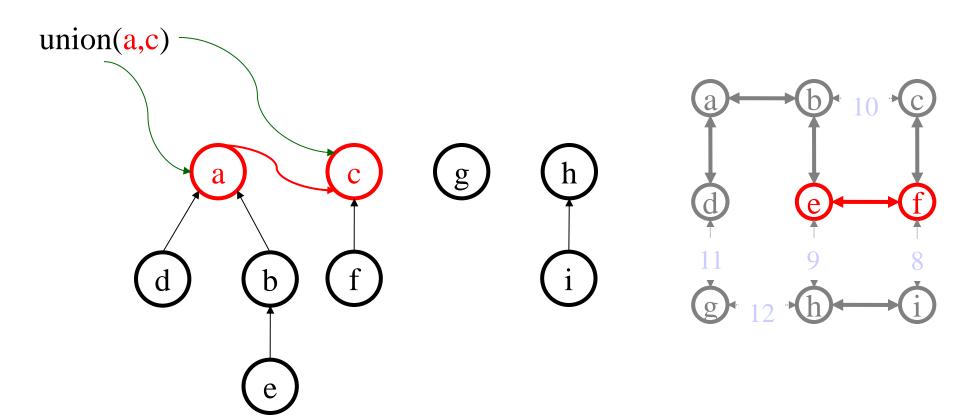
#### Find



runtime:

Just traverse to the root!

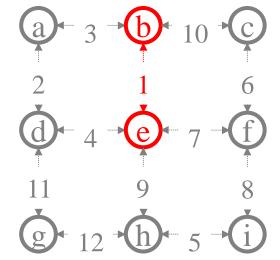
#### Union



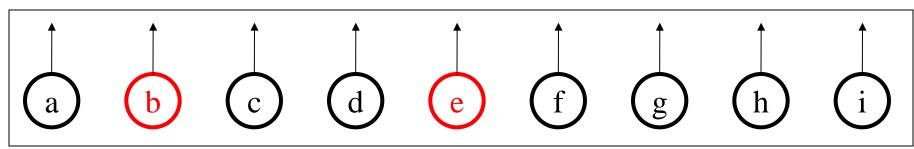
runtime:

Just hang one root from the other!

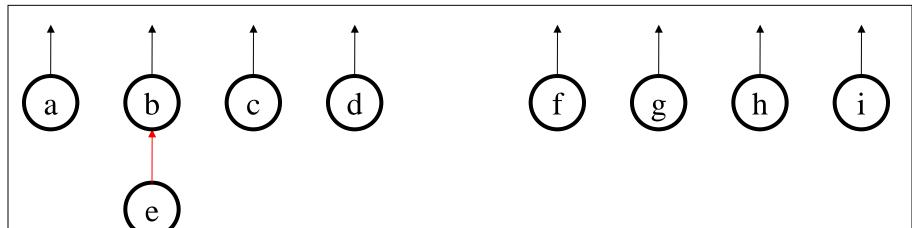
#### The Whole Example (1/11)



union(b,e)



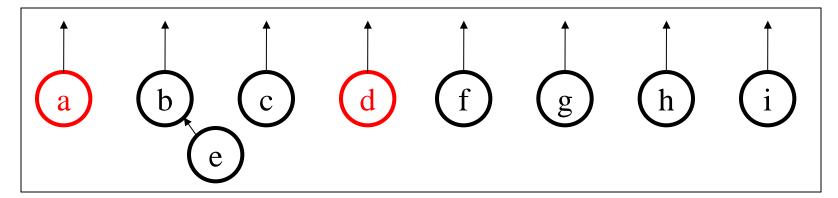


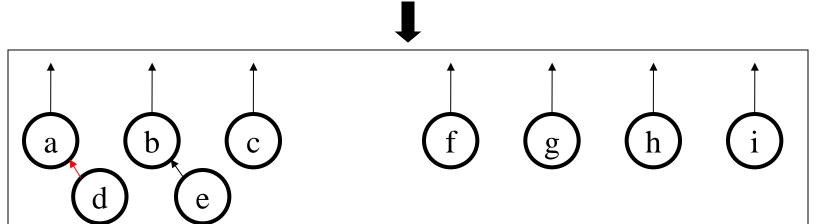


#### The Whole Example (2/11)

a 3 b 10 c 6 6 6 6 7 f 11 9 8 8 6 12 b 5 i

union(a,d)

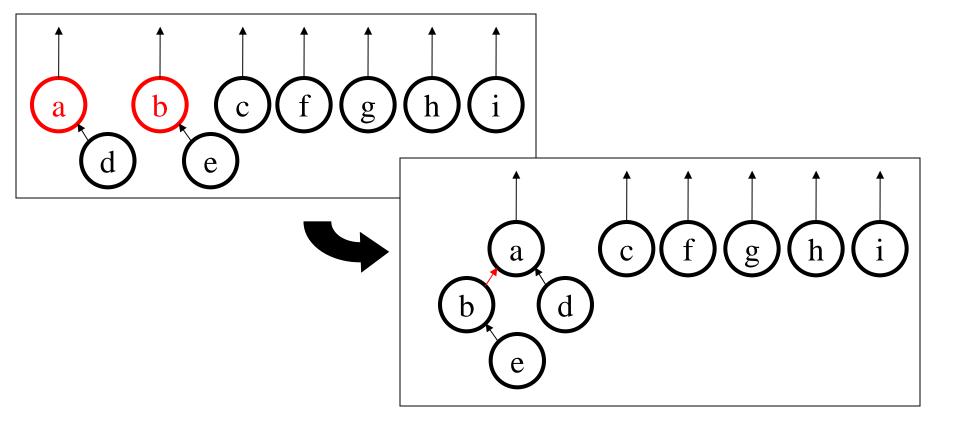




#### The Whole Example (3/11)

a 3 b 10 c 6 6 6 6 11 9 8 8 6 12 h 5 i

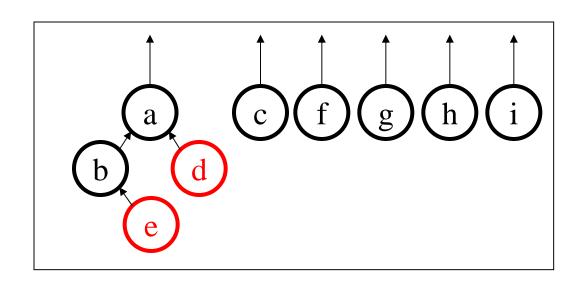
union(a,b)



#### The Whole Example (4/11)

```
a b 10 c 6 6 6 11 9 8 8 6 12 h 5 i
```

```
find(d) = find(e)
No union!
```

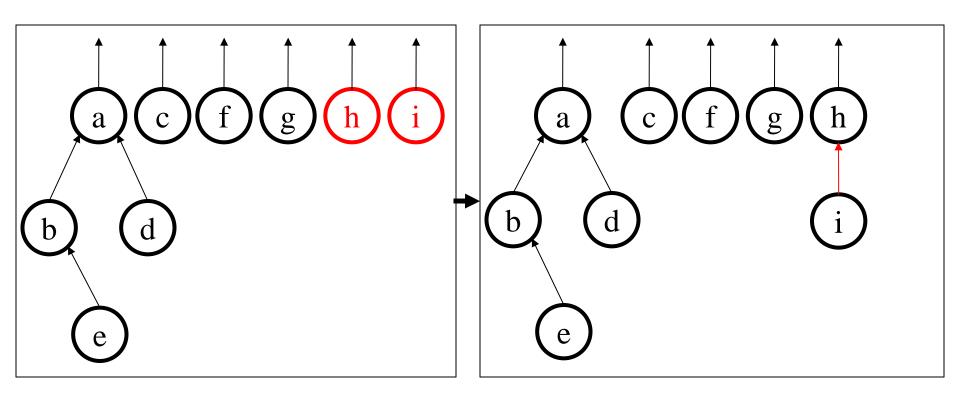


While we're finding *e*, could we do anything else?

#### The Whole Example (5/11)

a b 10 c 6 6 d e 7 f 11 9 8 8 5 12 h 5 i

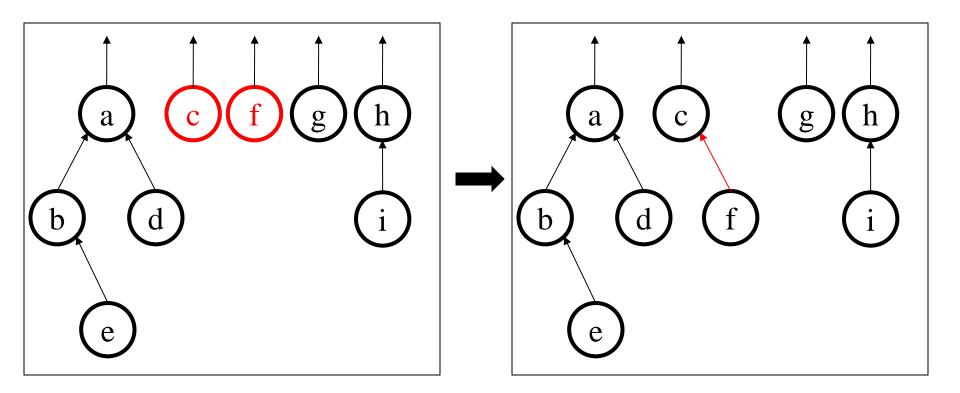
union(h,i)



#### The Whole Example (6/11)

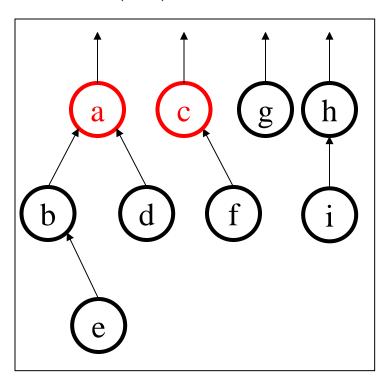
a b 10 c 6 6 6 6 7 f 11 9 8 8 6 9 12 h i

union(c,f)

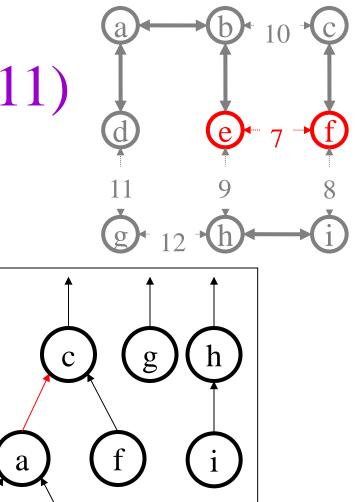


#### The Whole Example (7/11)

find(e)
find(f)
union(a,c)

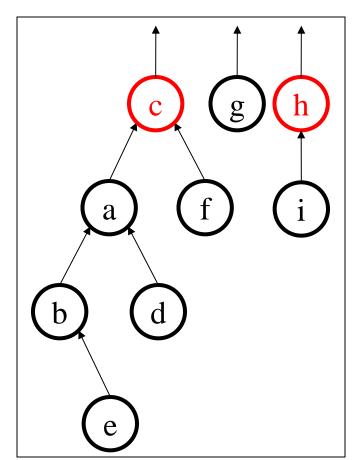


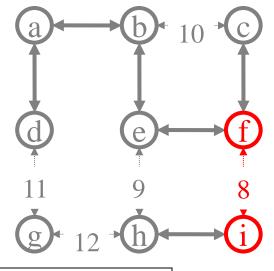
Could we do a better job on this union?

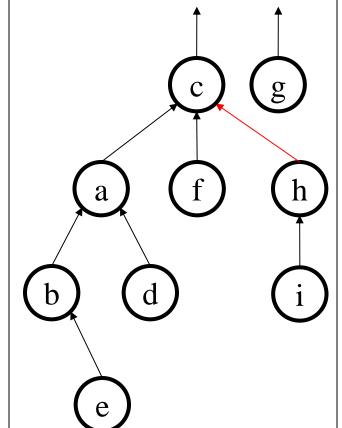


#### The Whole Example (8/11)

find(f)
find(i)
union(c,h)

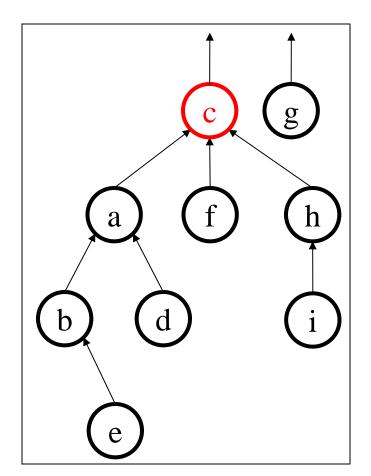


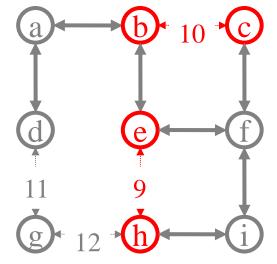




#### The Whole Example (9/11)

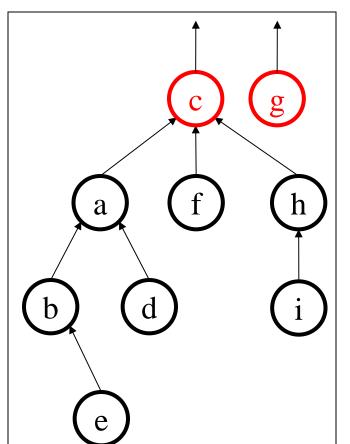
find(e) = find(h) and find(b) = find(c) So, no unions for either of these.

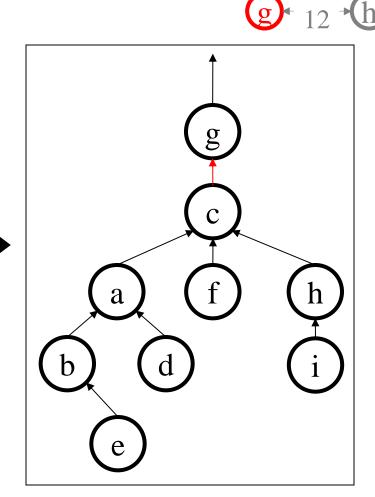




#### The Whole Example (10/11)

find(d)
find(g)
union(c, g)



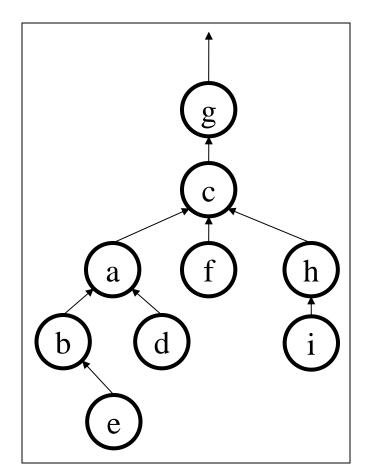


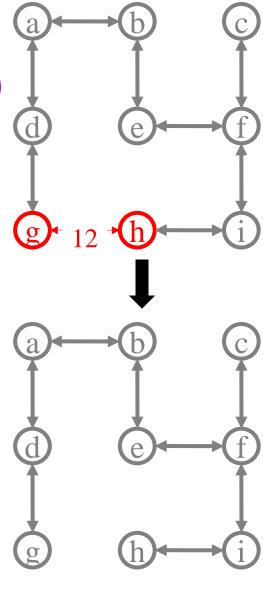
### The Whole Example (11/11)

find(g) = find(h)

So, no union.

And, we're done!



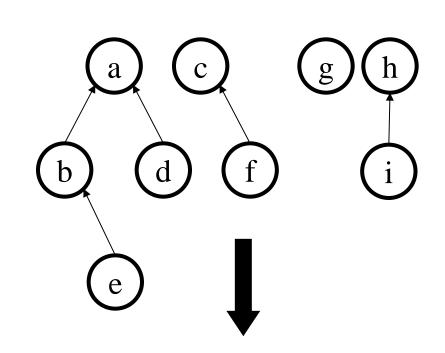


Ooh... scary!
Such a hard maze!

#### Nifty storage trick

A forest of up-trees can easily be stored in an array.

Also, if the node names are integers or characters, we can use a very simple, perfect hash.



	0 (a)	1 (b)	2 (c)	3 (d)	4 (e)	5 (f)	6(g)	7 (h)	8 (i)
up-index:	-1	0	-1	0	1	2	-1	-1	7

#### Implementation

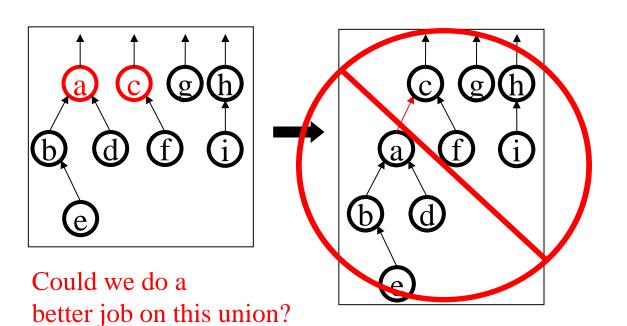
```
typedef ID int;
ID up[10000];
                                  ID union(Object x, Object y)
                                   {
ID find(Object x)
                                     ID rootx = find(x);
                                     ID rooty = find(y);
  assert(HashTable.contains(x));
                                    assert(rootx != rooty);
  ID xID = HashTable[x];
                                    up[y] = x;
  while (up[xID] != -1) {
    xID = up[xID];
  return xID;
```

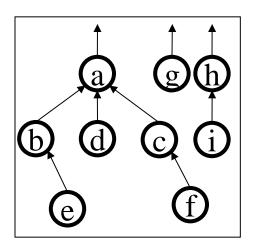
runtime: O(depth) or ...

runtime: O(1)

## Room for Improvement: Weighted Union

- Always makes the root of the larger tree the new root
- Often cuts down on height of the new up-tree





Weighted union!

#### Weighted Union Code

```
typedef ID int;
ID union(Object x, Object y) {
  rx = Find(x);
  ry = Find(y);
  assert(rx != ry);
  if (weight[rx] > weight[ry]) {
                                       new runtime of union:
    up[ry] = rx;
    weight[rx] += weight[ry];
  else {
    up[rx] = ry;
                                       new runtime of find:
    weight[ry] += weight[rx];
```

#### Weighted Union Find Analysis

• Finds with weighted union are O(max up-tree height)

• But, an up-tree of height h with weighted union must have at least  $2^h$  nodes



 $\forall ::, 2^{\max \text{ height}} \leq n \text{ and}$  $\max \text{ height} \leq \log n$ 

• So, find takes  $O(\log n)$ 

Base case: h = 0, tree has  $2^0 = 1$  node Induction hypothesis: assume true for h < h'and consider the sequence of unions.

Case 1: Union does not increase max height. Resulting tree still has  $\geq 2^h$  nodes.

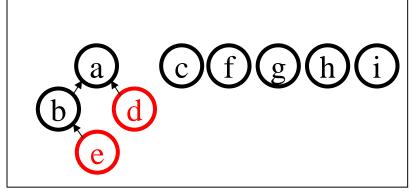
Case 2: Union has height h'=1+h, where h=1 height of each of the input trees. By induction hypothesis each tree has  $\geq 2^{h'-1}$  nodes, so the merged tree has at least  $2^{h'}$  nodes. QED.

#### Alternatives to Weighted Union

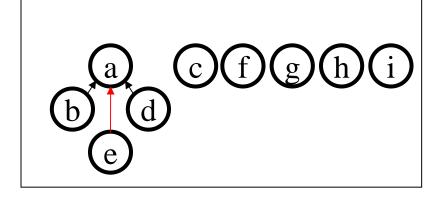
- Union by height
- Ranked union (cheaper approximation to union by height)
- See Weiss chapter 8.

## Room for Improvement: Path Compression

- Points everything along the path of a find to the root
- Reduces the height of the entire access path to 1



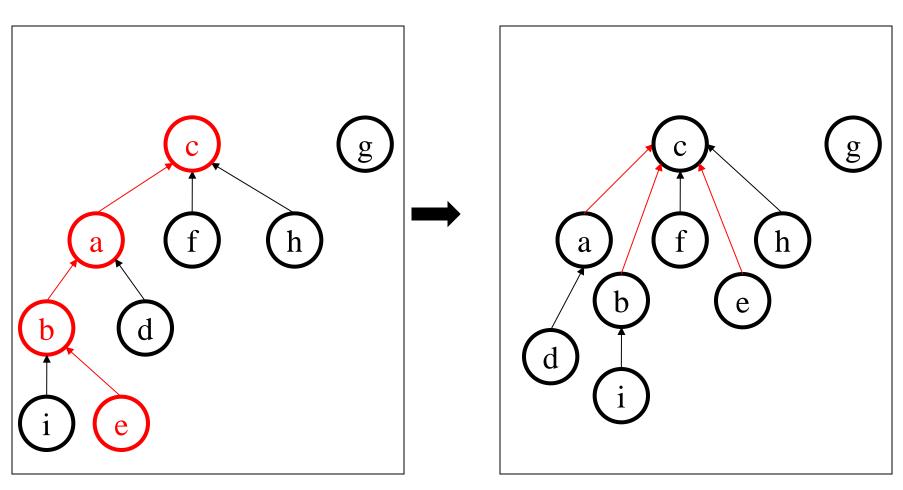
While we're finding *e*, could we do anything else?



Path compression!

#### Path Compression Example

find(e)



#### Path Compression Code

```
ID find(Object x) {
  assert(HashTable.contains(x));
  ID xID = HashTable[x];
  ID hold = xID;
 while (up[xID] != -1) {
    xID = up[xID];
  while (up[hold] != -1) {
    temp = up[hold];
                                      runtime:
    up[hold] = xID;
    hold = temp;
  return xID;
```

#### Digression: Inverse Ackermann's

Let 
$$\log^{(k)} n = \log (\log (\log ... (\log n)))$$

$$k \log s$$

Then, let  $\log^* n = \min m k$  such that  $\log^{(k)} n \le 1$ How fast does  $\log^* n$  grow?

```
\log^* (2) = 1

\log^* (4) = 2

\log^* (16) = 3

\log^* (65536) = 4

\log^* (2^{65536}) = 5 (a 20,000 digit number!)

\log^* (2^{2^{65536}}) = 6
```

# Complex Complexity of Weighted Union + Path Compression

• Tarjan (1984) proved that m weighted union and find operations with path commpression on a set of n elements have worst case complexity  $O(m \cdot \log^*(n))$ 

actually even a little better!

• For **all** practical purposes this is amortized constant time