

# Graph Search

**Sides based on material by Kani, Erickson, Chekuri, et. al.**

**All mistakes are my own! - Ivan Abraham (Fall 2024)**

Image by ChatGPT (probably collaborated with DALL-E)

# Why graphs?

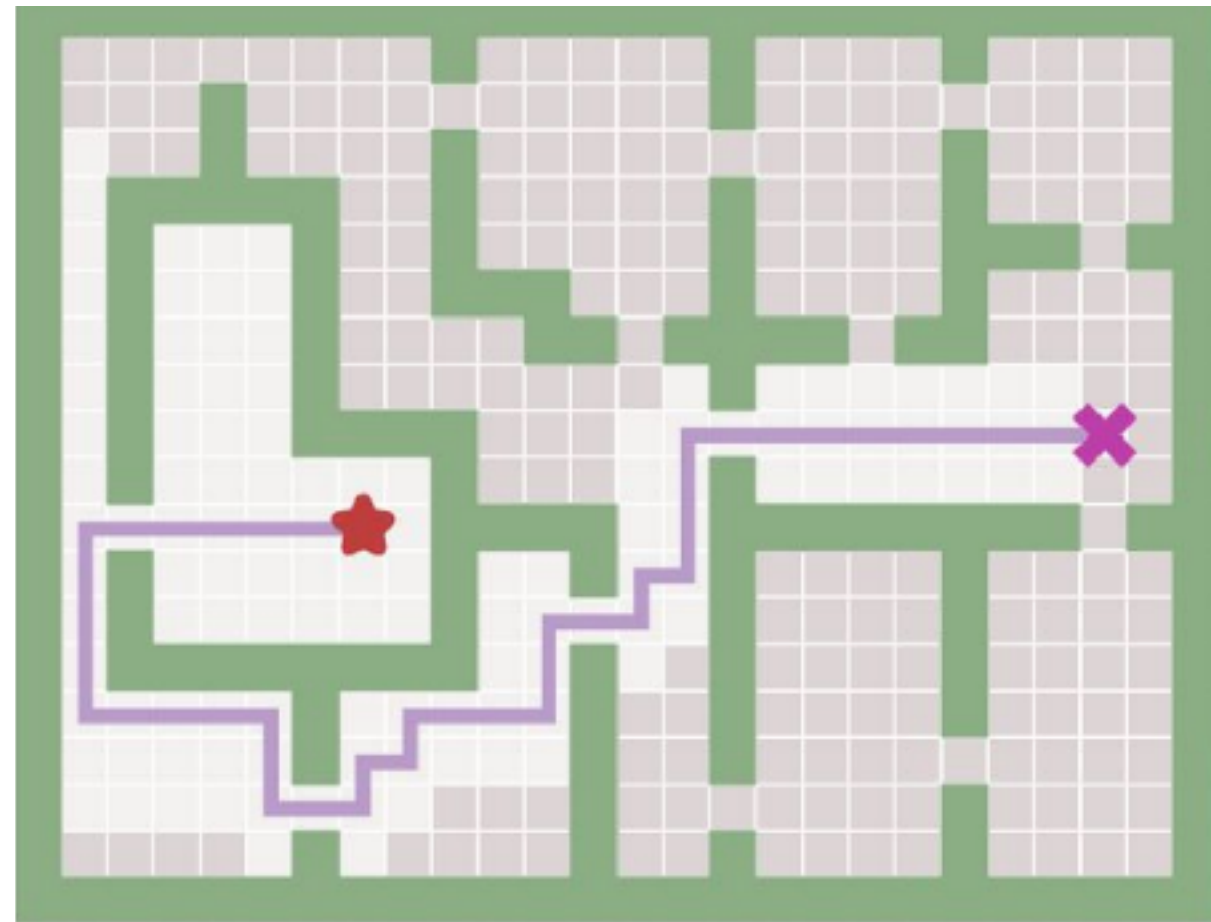
- Graphs have **many applications!**
  - ▶ Graphs help model *networks* — which are ubiquitous: transportation networks (rail, roads, airways), social networks (interpersonal relationships), information networks (web page links), and many problems that don't even look like graph problems.
- Fundamental objects in CS, optimization, combinatorics
- Many important and useful optimization problems are graph problems
- Graph theory: elegant, fun and deep branch of mathematics



# Why graphs?

## Real life applications

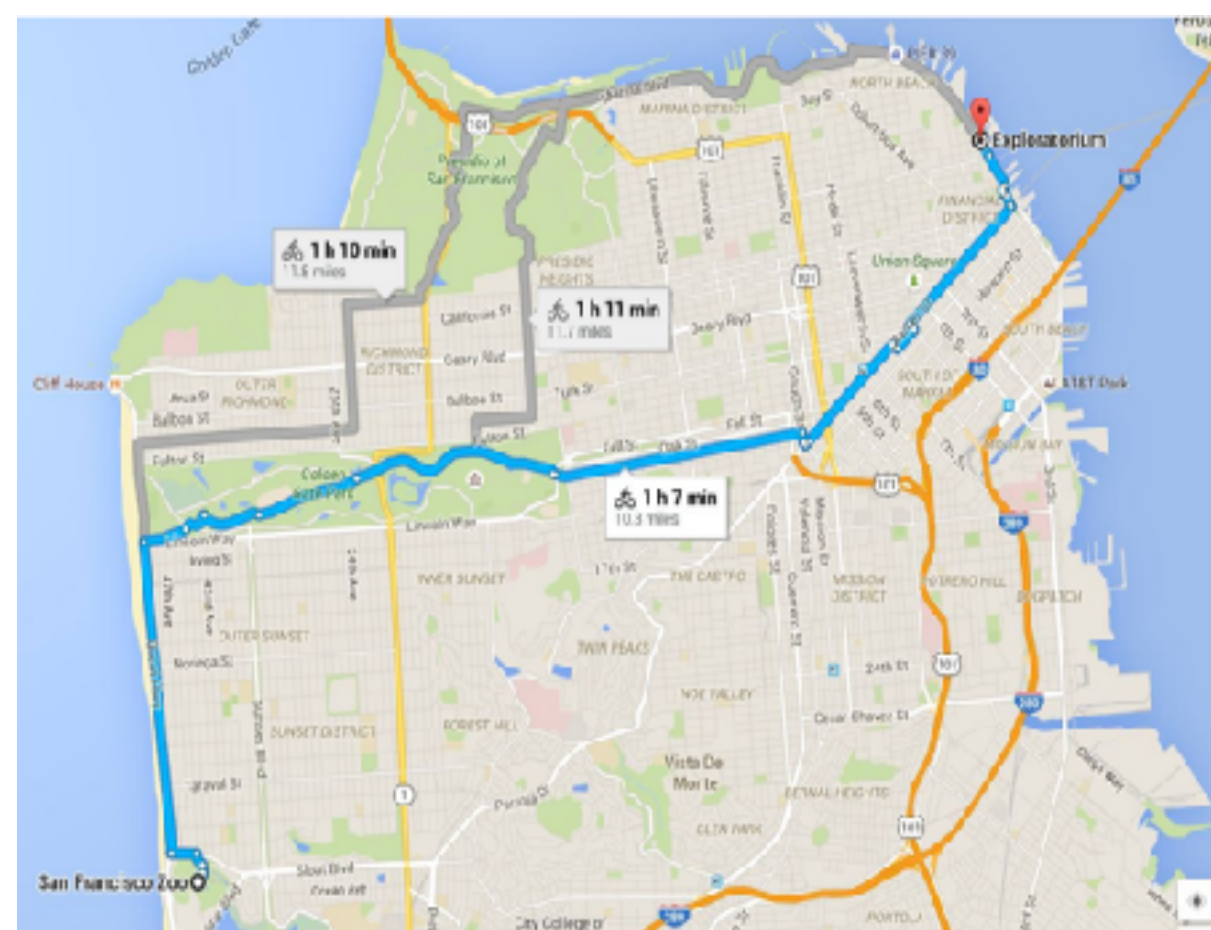
Shortest Path



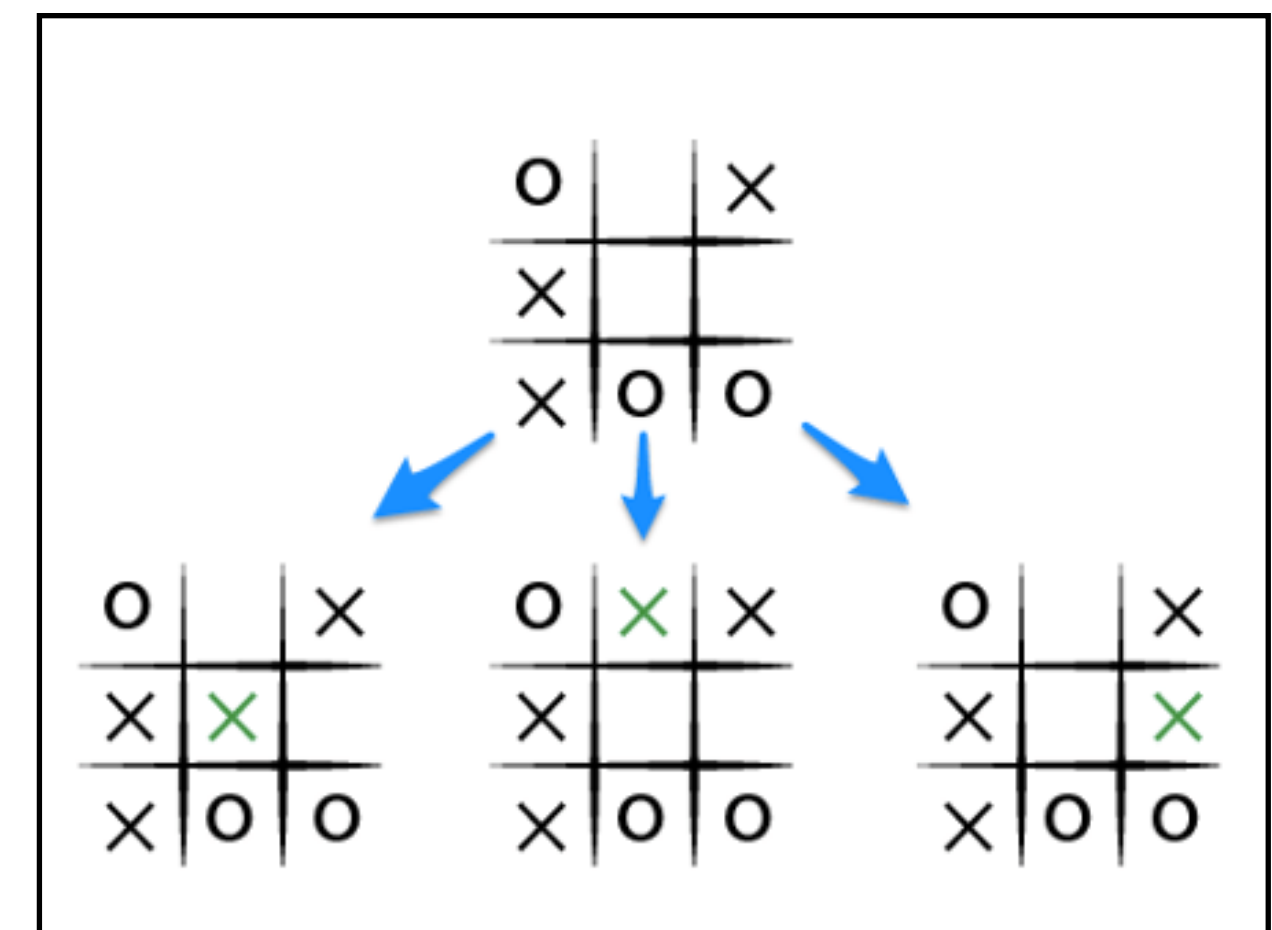
Search & Rescue



Route Planning



Game Playing

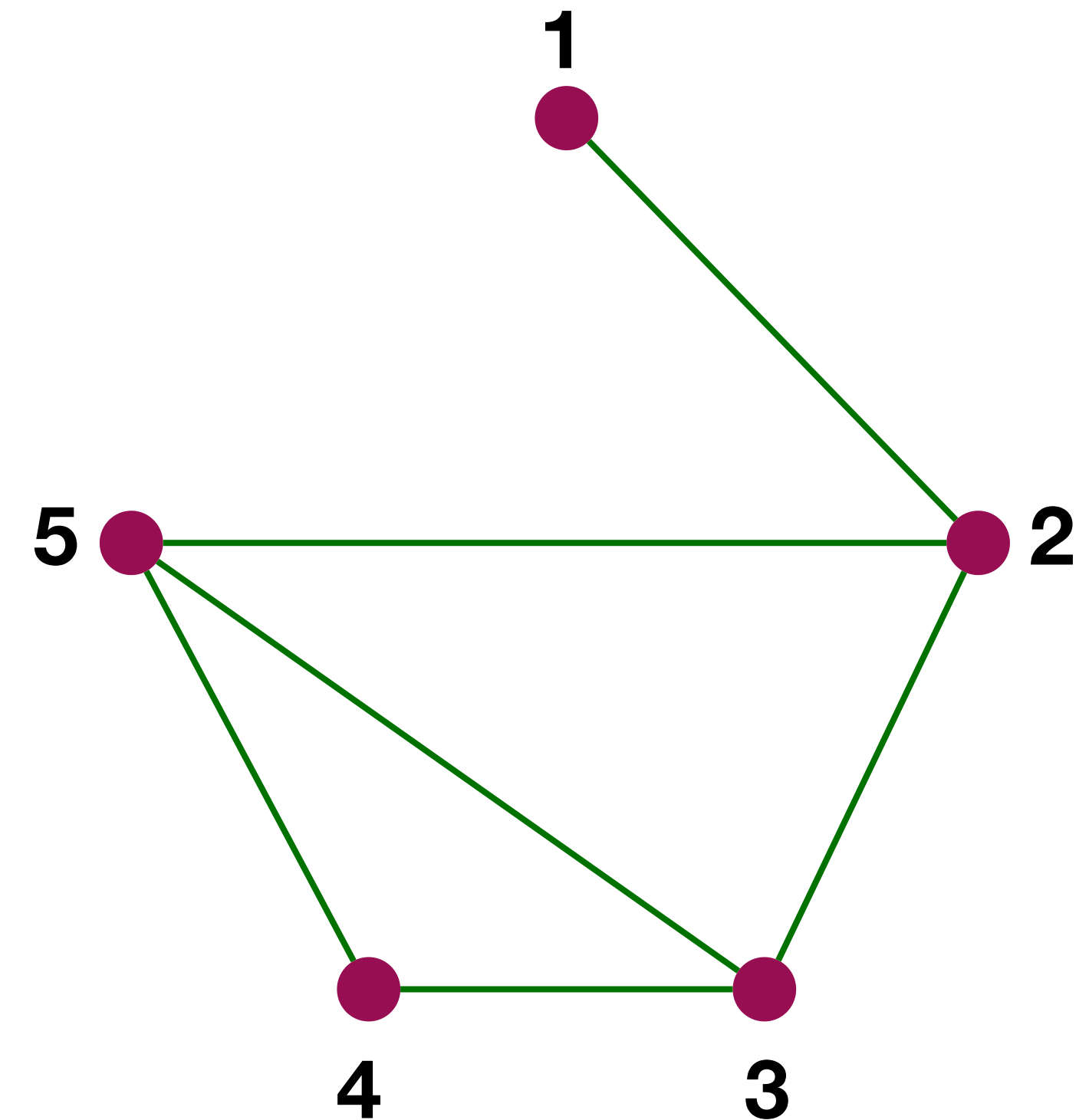


# Introduction

## What is a Graph?

- A graph is a collection of **nodes** and **edges**.
- The dots are called **vertices** or **nodes**.
- The *connections* between nodes are called **edges**
- An edge typically represented as a set  $\{i,j\}$  of two vertices.

Eg: The edge between **2** and **5** is  $\{2,5\} = \{5,2\}$

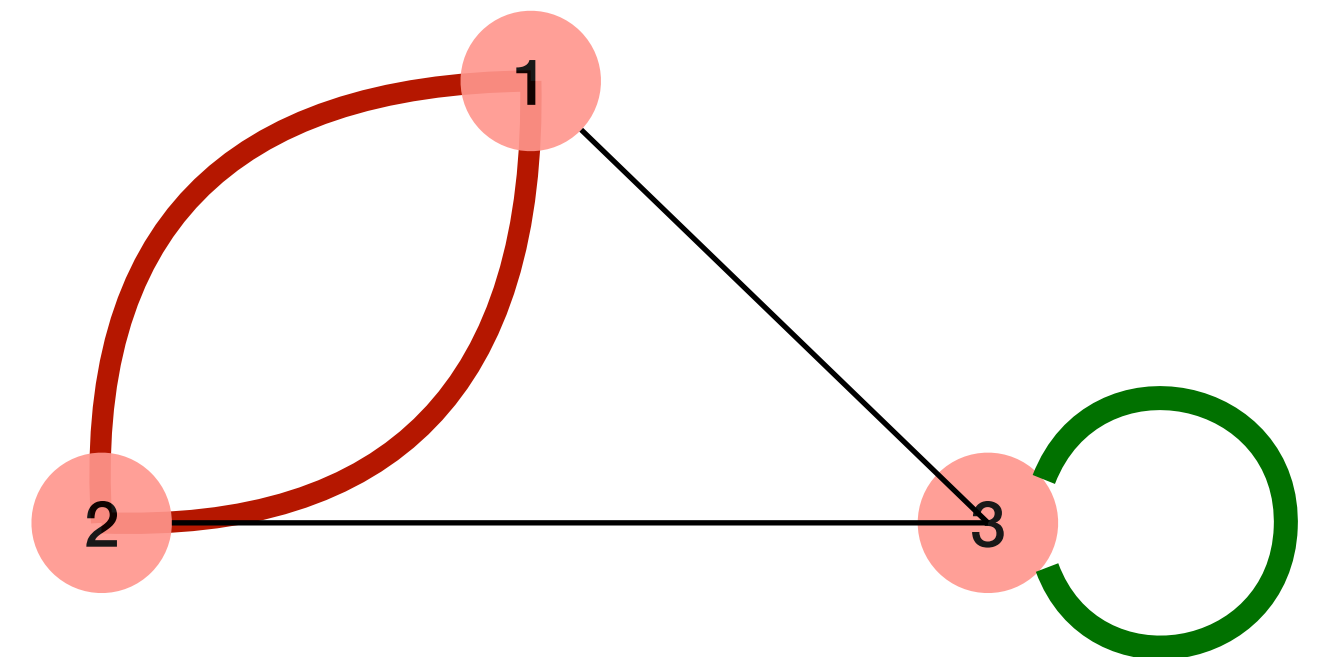


# Notational convention

## What is a Graph?

An edge in an undirected graph is an *unordered pair* of nodes and hence it is a set. We reserve the use of  $(u, v)$  (ordered pair) for the case of *directed* graphs.

- Generalizations
  - *Multi-graphs* allow
    - **loops** which are edges with the same node appearing as both end points
    - **multi-edges**: different edges between same pairs of nodes
- In this class we will assume that a graph is a *simple graph* unless explicitly stated otherwise.





# Introduction

## Defintion

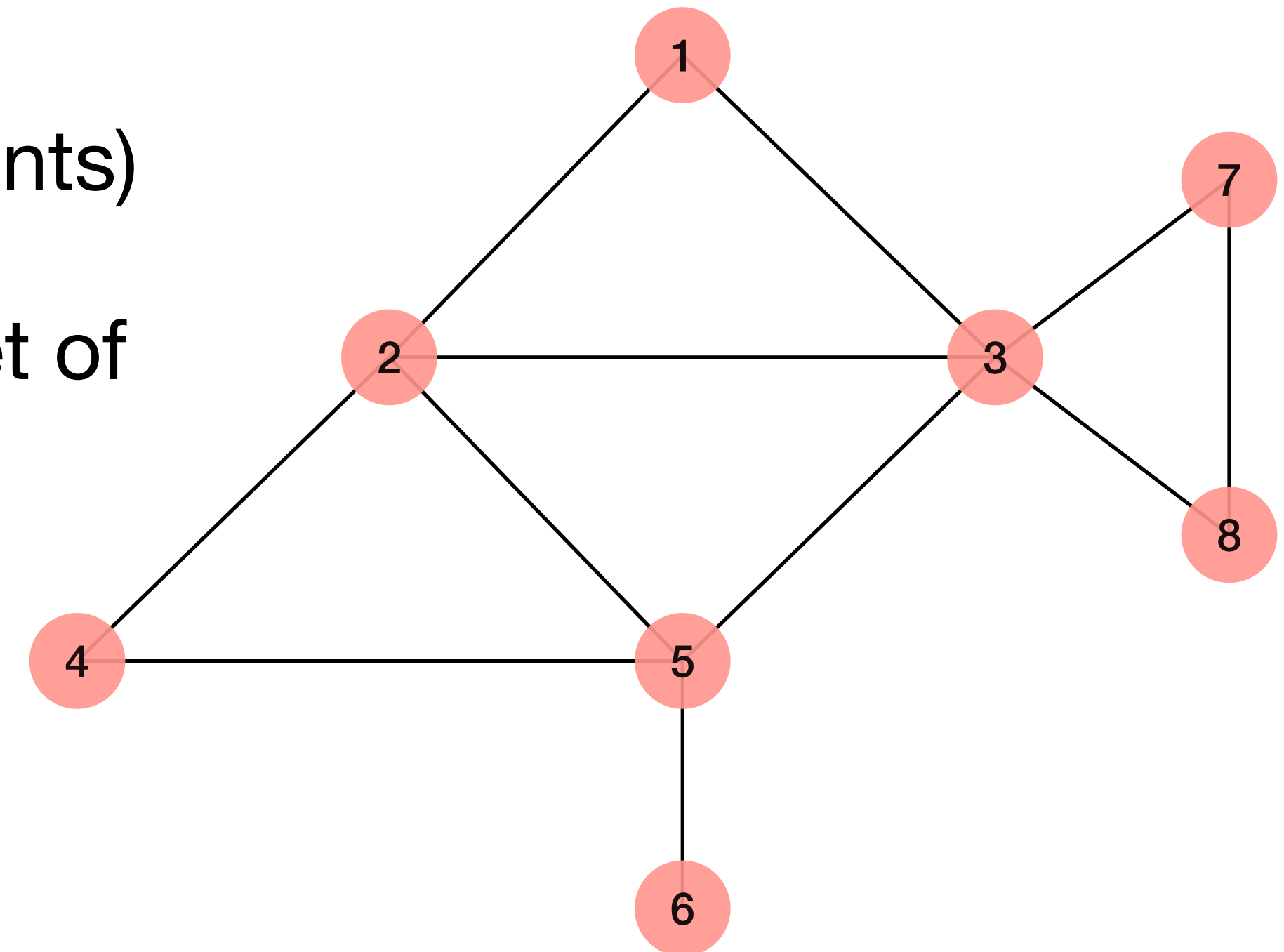
An undirected (simple) graph  $G = (V, E)$  is a 2-tuple:

- $V$  is a set of vertices (also referred to as nodes/points)
- $E$  is a set of edges where each edge  $e \in E$  is a set of the form  $\{u, v\}$  with  $u, v \in V$  and  $u \neq v$ .

### Example:

Graph  $G = (V, E)$  where  $V = \{1, 2, 3, 4, 5, 6, 7, 8\}$  and

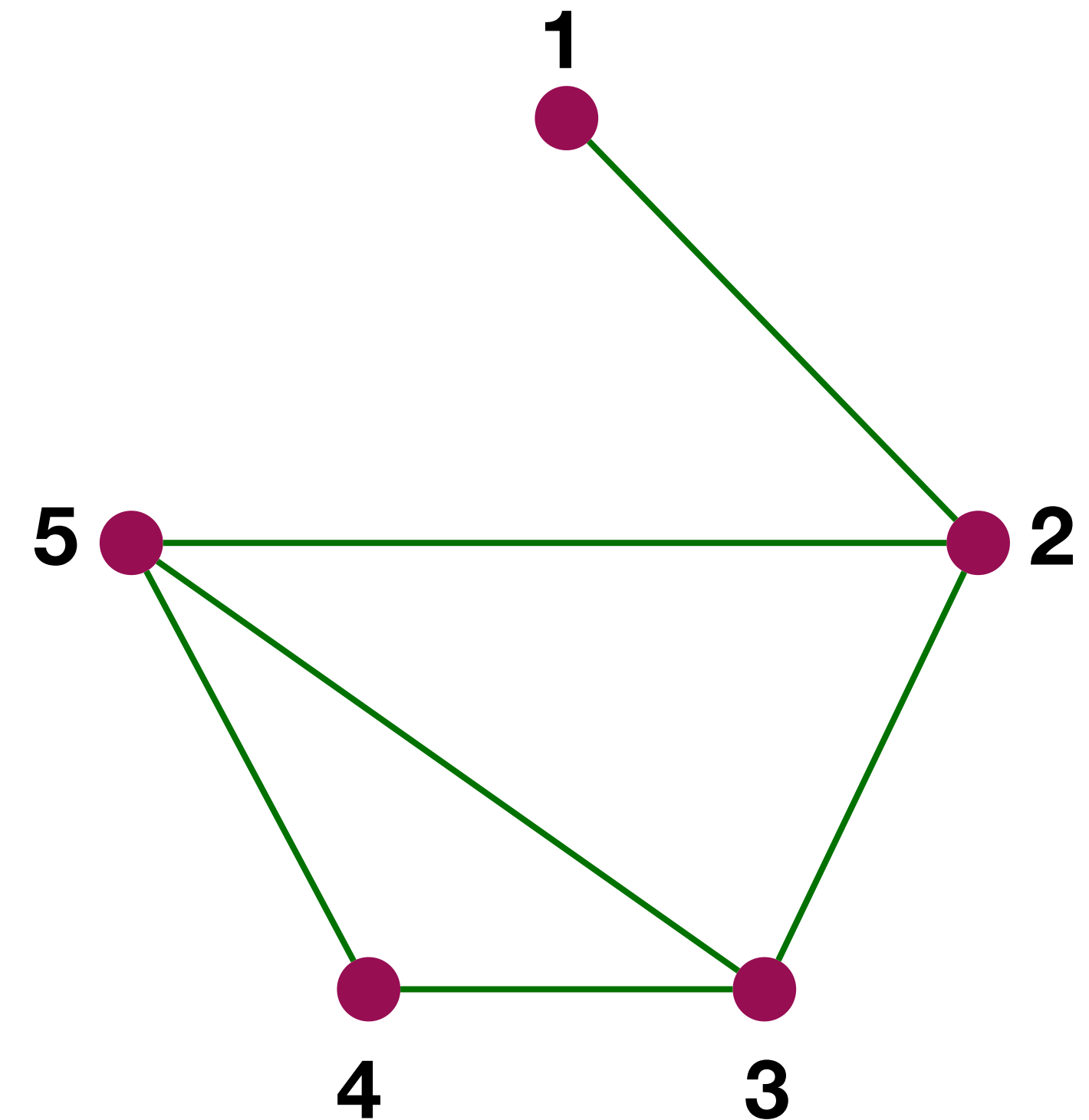
$E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{2, 5\}, \{3, 5\}, \{3, 7\}, \{3, 8\},$   
 $\{4, 5\}, \{5, 6\}, \{7, 8\}\}$



# Basic notions

## Degree

- Vertices connected by an edge are called *adjacent*.
- The *neighborhood* of a node  $v$  is the set of all vertices adjacent to  $v$ . It's denoted  $N_G(v)$ .
  - $N_G(2) = \{1,3,5\}$
- A vertex  $v$  is *incident* with an edge  $e$  when  $v \in e$ .
  - Vertex **2** is incident with edges  $\{1,2\}$ ,  $\{2,5\}$  and  $\{2,3\}$



# Basic notions

## Degree

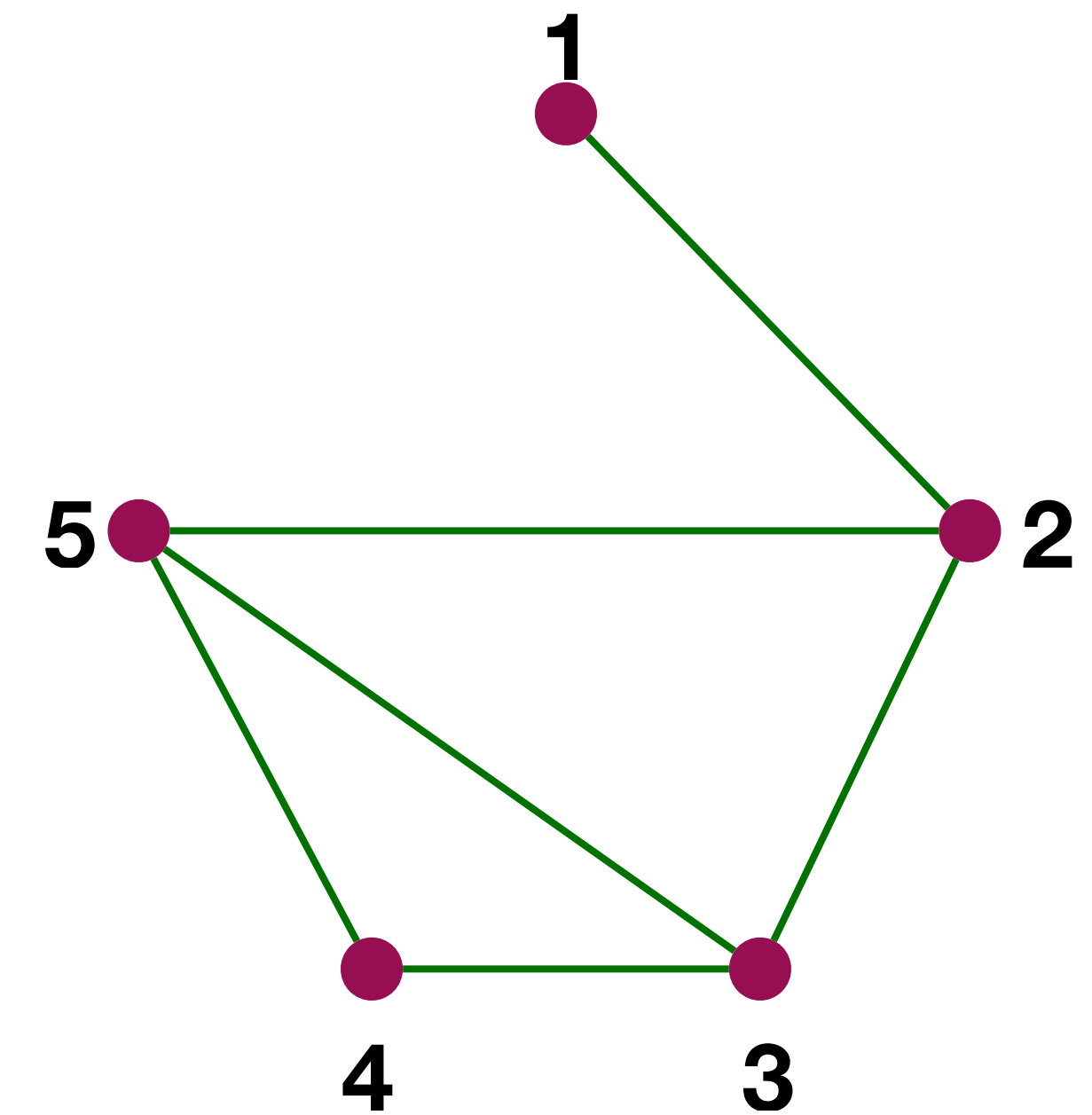
- The **degree** of a vertex is the number of edges incident to it:

$$d(1) = 1 \quad d(2) = 3 \quad d(3) = 3 \quad d(4) = 2 \quad d(5) = 3$$

- The **degree sequence** is to list the degrees listed in descending order:

$$3, 3, 3, 2, 1$$

- The **minimum degree** is denoted  $\delta(G)$ . Here  $\delta(G) = 1$
- The **maximum degree** is denoted  $\Delta(G)$ . Here  $\Delta(G) = 3$



*Handshaking lemma*

$$\sum d(v) = 2|E|$$

Sum of Degrees = 12  
Number of Edges = 6



# Graph representations

# Adjacency matrix

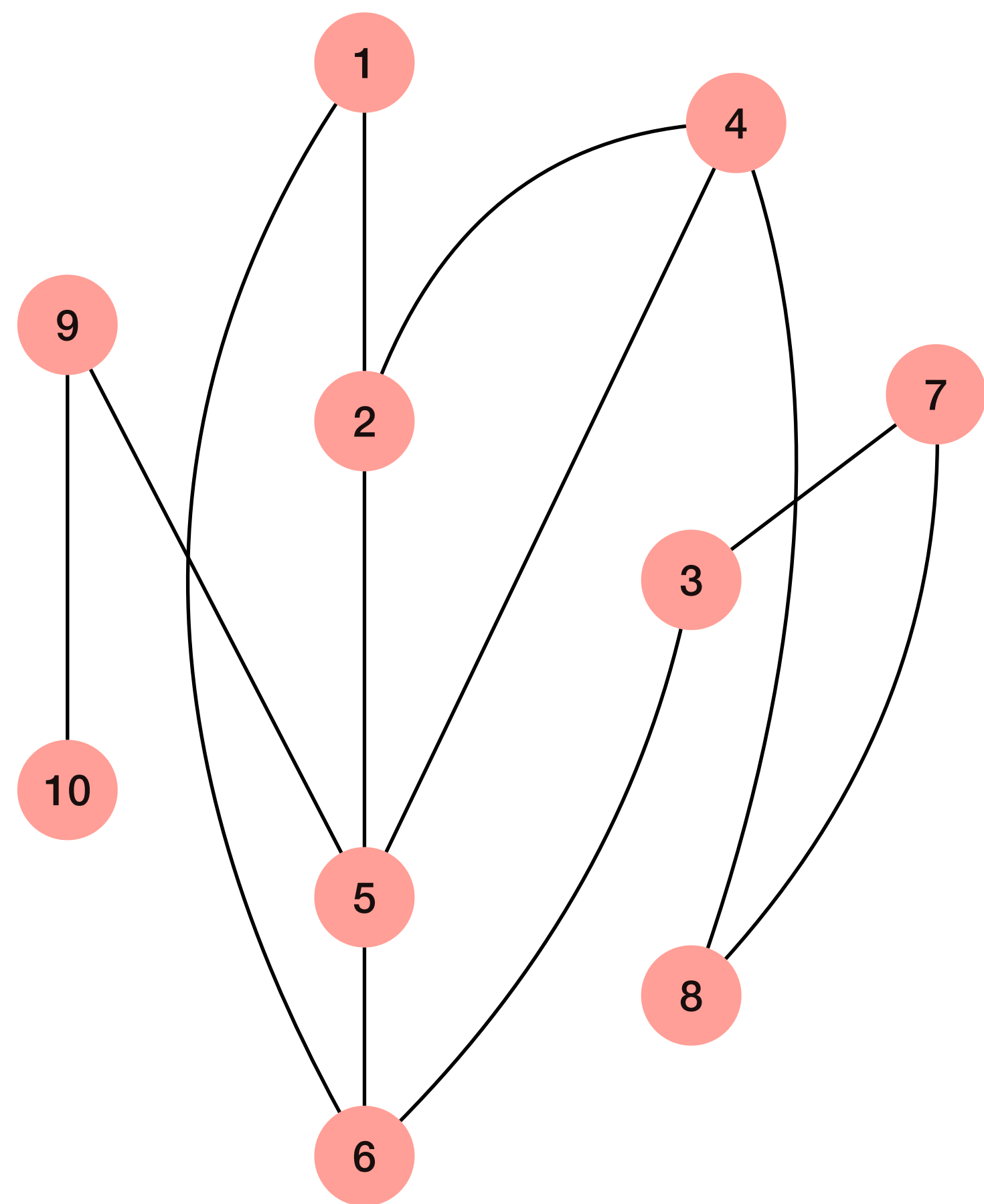
## Graph representation I

Represent  $G = (V, E)$  with  $n$  vertices and  $m$  edges using a  $n \times n$  **adjacency matrix**  $A = (a_{ij})$  where

- $a_{ij} = a_{ji} = 1$  if  $\{i, j\} \in E$  and  $a_{ij} = a_{ji} = 0$  if  $\{i, j\} \notin E$ .
- Advantage: can check if  $\{i, j\} \in E$  in  $O(1)$  time
- Disadvantage: needs  $\Omega(n^2)$  space even when  $m \ll n^2$

# Graph adjacency matrix

## Example



|    | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|----|---|---|---|---|---|---|---|---|---|----|
| 1  | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0  |
| 2  | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0  |
| 3  | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0  |
| 4  | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0  |
| 5  | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0  |
| 6  | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0  |
| 7  | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0  |
| 8  | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0  |
| 9  | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1  |
| 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0  |



# Adjacency list

## Graph representation II

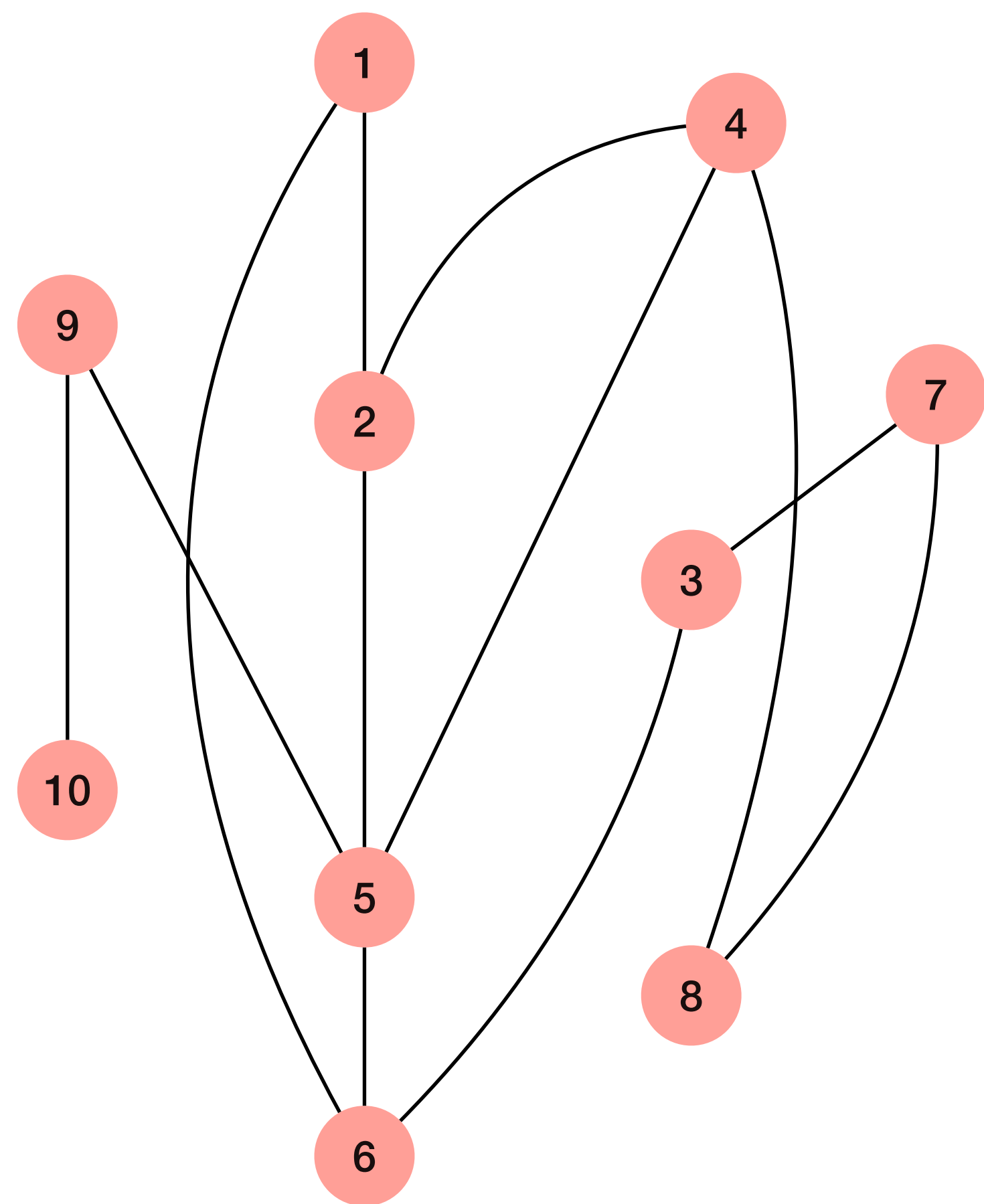
Represent  $G = (V, E)$  with  $n$  vertices and  $m$  edges using ***adjacency lists***:

- For each  $u \in V$ ,  $\text{adj}(u) := N_G(u)$ , that is neighbors of  $u$ .
- Advantage: space is  $O(m + n)$ .
- Disadvantage: cannot “easily” determine in  $O(1)$  time whether  $\{i, j\} \in E$

**Note:** In this class we will assume that by default, graphs are represented using plain vanilla (*unsorted*) adjacency lists.

# Graph adjacency list

## Example



| Vertex | Adjacency List |
|--------|----------------|
| 1      | 2, 6           |
| 2      | 1, 4, 5        |
| 3      | 6, 7           |
| 4      | 2, 5, 8        |
| 5      | 2, 4, 6, 9     |
| 6      | 1, 3, 5        |
| 7      | 3, 8           |
| 8      | 4, 7           |
| 9      | 5, 10          |
| 10     | 9              |

# Adjacency matrix vs. list

|    | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|----|---|---|---|---|---|---|---|---|---|----|
| 1  | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0  |
| 2  | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0  |
| 3  | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0  |
| 4  | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0  |
| 5  | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 0  |
| 6  | 1 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0  |
| 7  | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0  |
| 8  | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0  |
| 9  | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1  |
| 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0  |

| Vertex | Adjacency List |
|--------|----------------|
| 1      | 2, 6           |
| 2      | 1, 4, 5        |
| 3      | 6, 7           |
| 4      | 2, 5, 8        |
| 5      | 2, 4, 6, 9     |
| 6      | 1, 3, 5        |
| 7      | 3, 8           |
| 8      | 4, 7           |
| 9      | 5, 10          |
| 10     | 9              |



# Concrete representations

## How might we represent this in a language?

- Python-like (nested lists can be of different sizes)

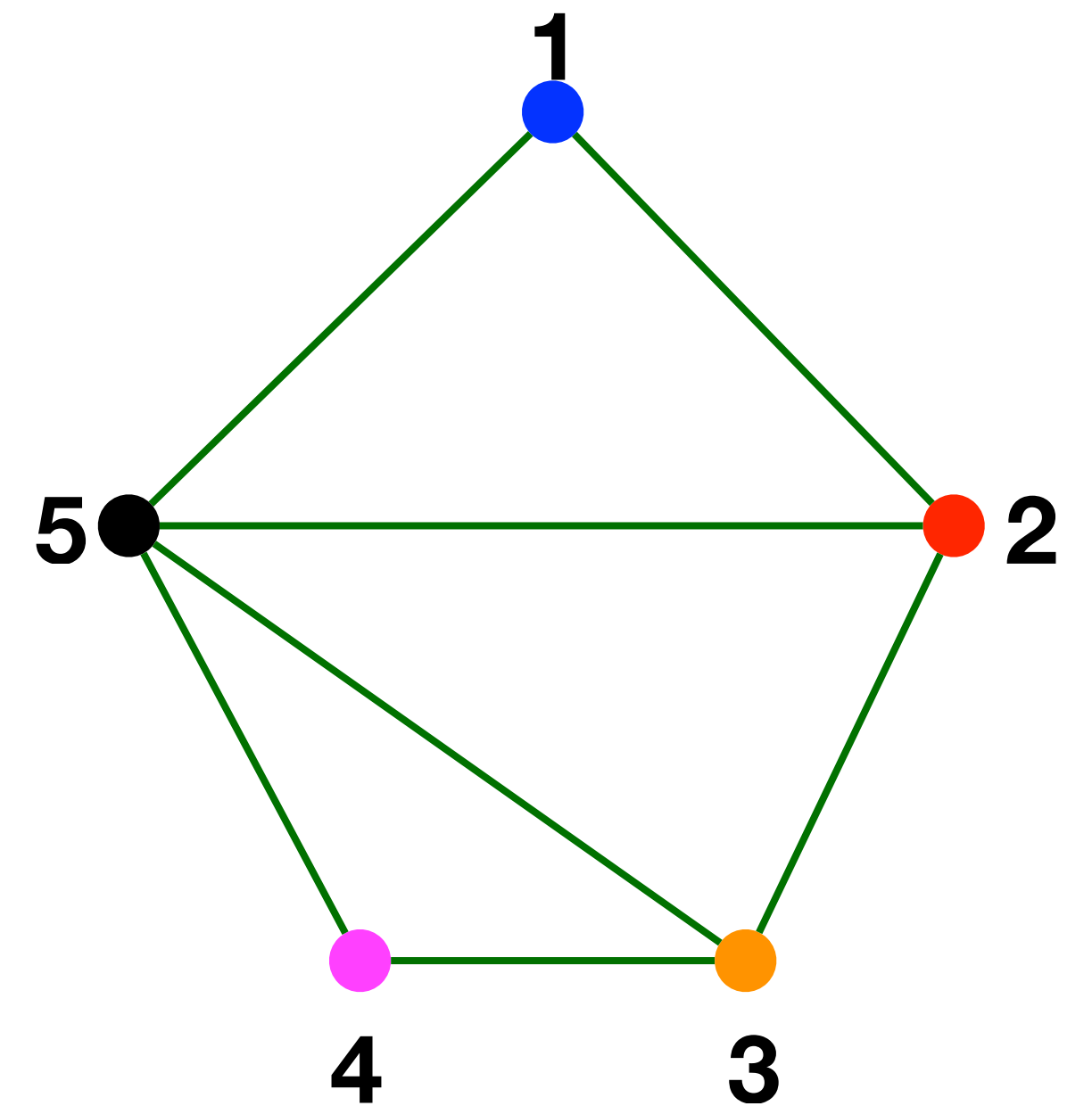
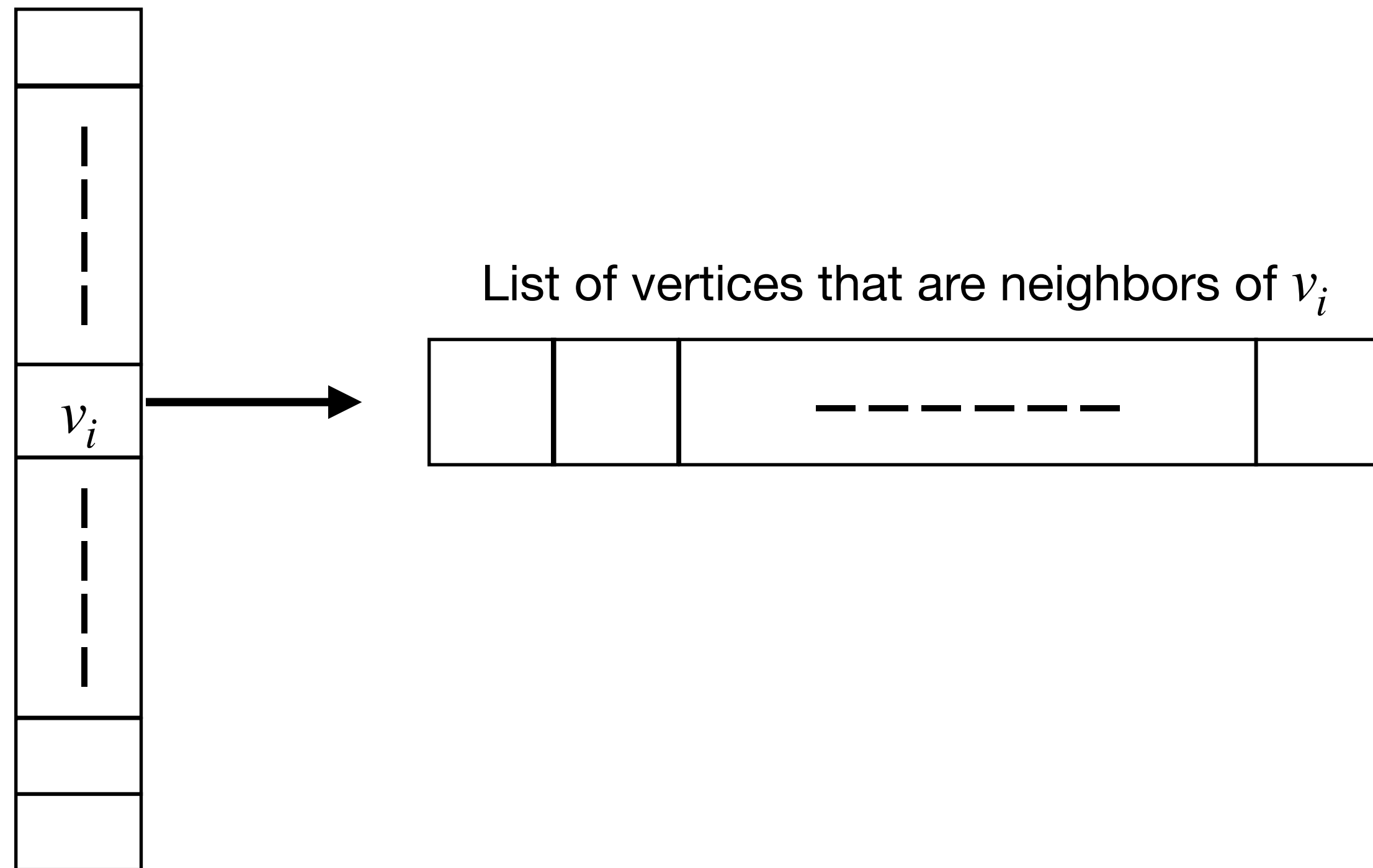
```
alist = [[2, 6],  
         [1, 4, 5],  
         [6, 7],  
         [2, 5, 8],  
         [2, 4, 5, 9],  
         [1, 3, 5],  
         [3, 8],  
         [4, 7],  
         [5, 10],  
         [9]]
```

| Vertex | Adjacency List |
|--------|----------------|
| 1      | 2, 6           |
| 2      | 1, 4, 5        |
| 3      | 6, 7           |
| 4      | 2, 5, 8        |
| 5      | 2, 4, 6, 9     |
| 6      | 1, 3, 5        |
| 7      | 3, 8           |
| 8      | 4, 7           |
| 9      | 5, 10          |
| 10     | 9              |

# Concrete representations

C-like: Can use pointers

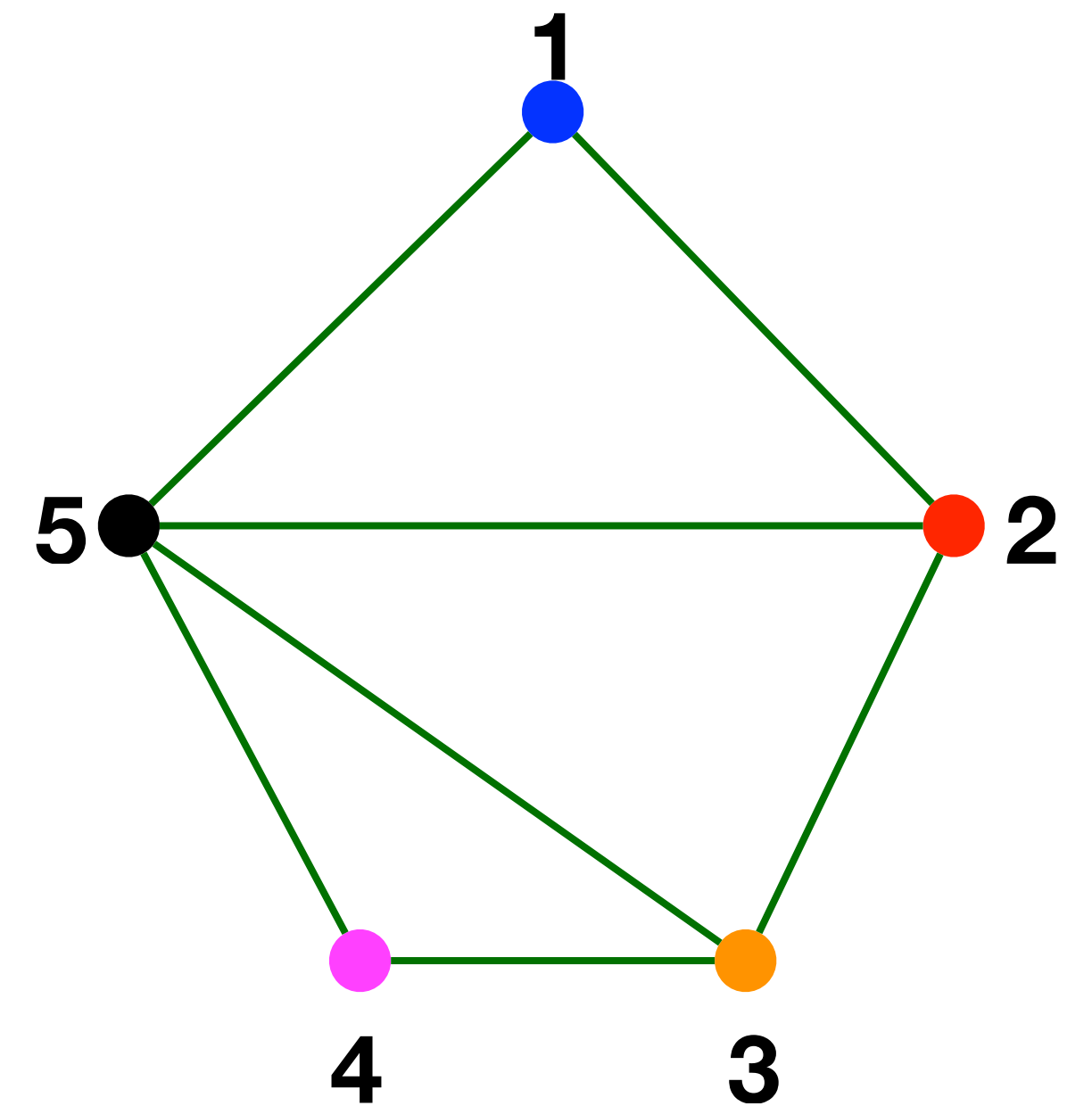
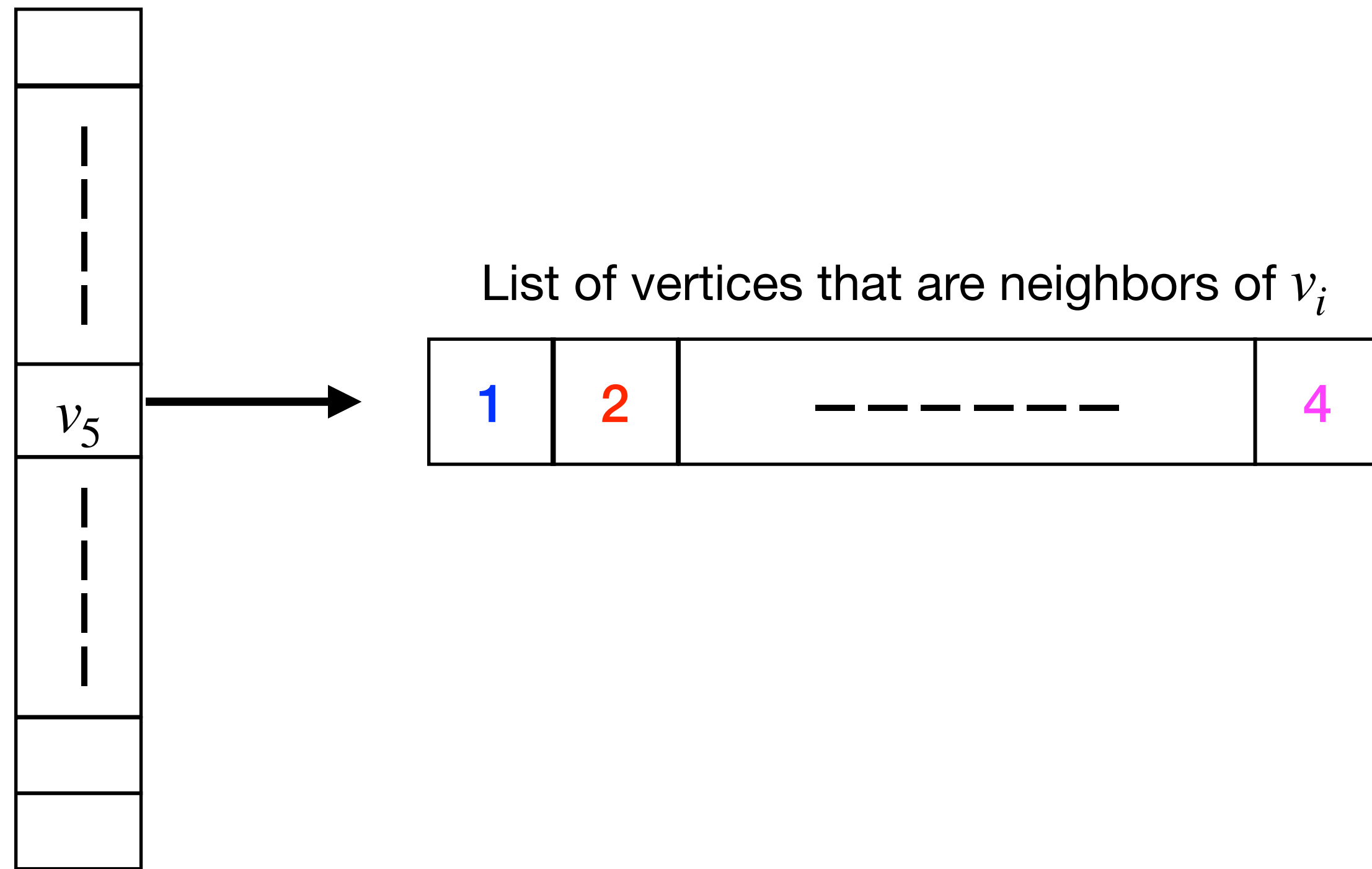
Array of pointers to  
adjacency lists



# Concrete representations

C-like: Can use pointers

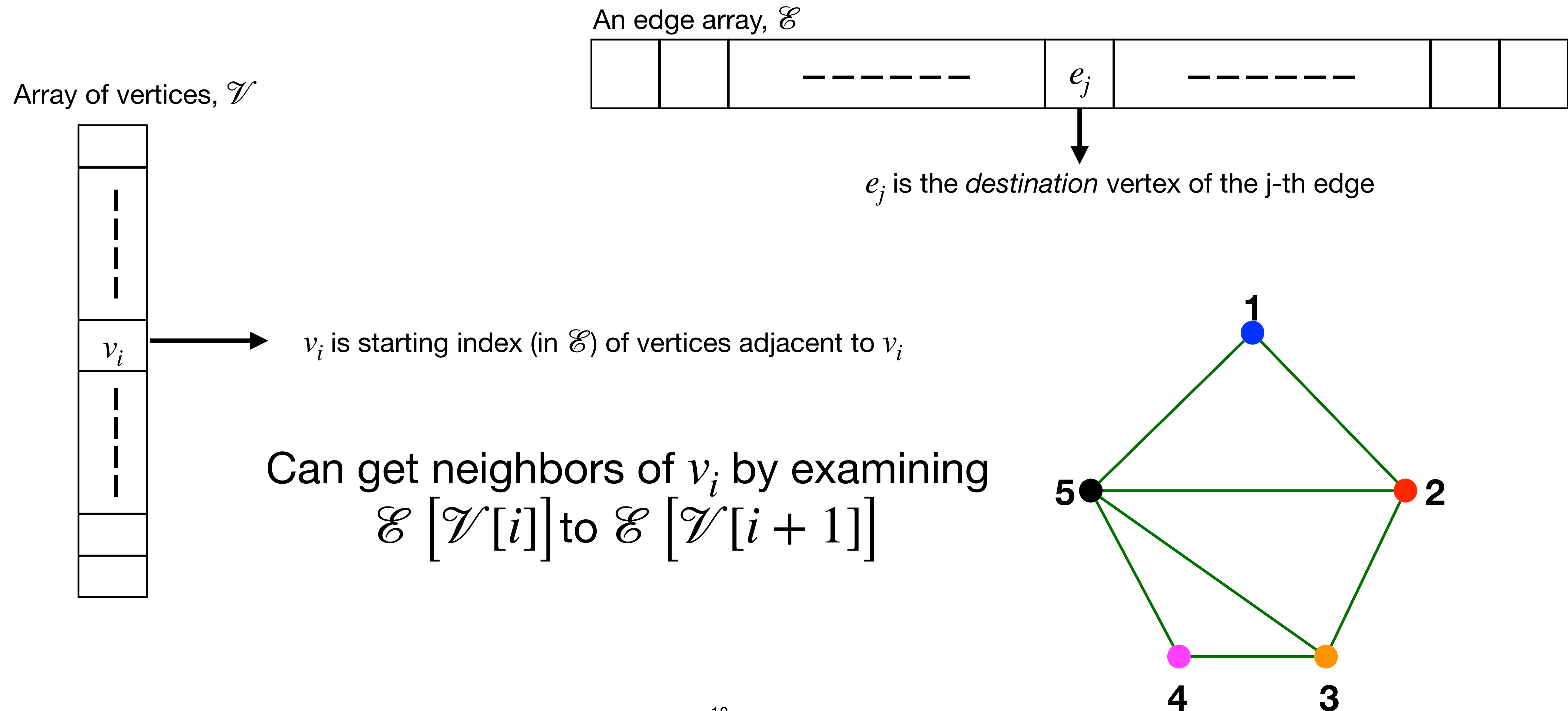
Array of pointers to  
adjacency lists





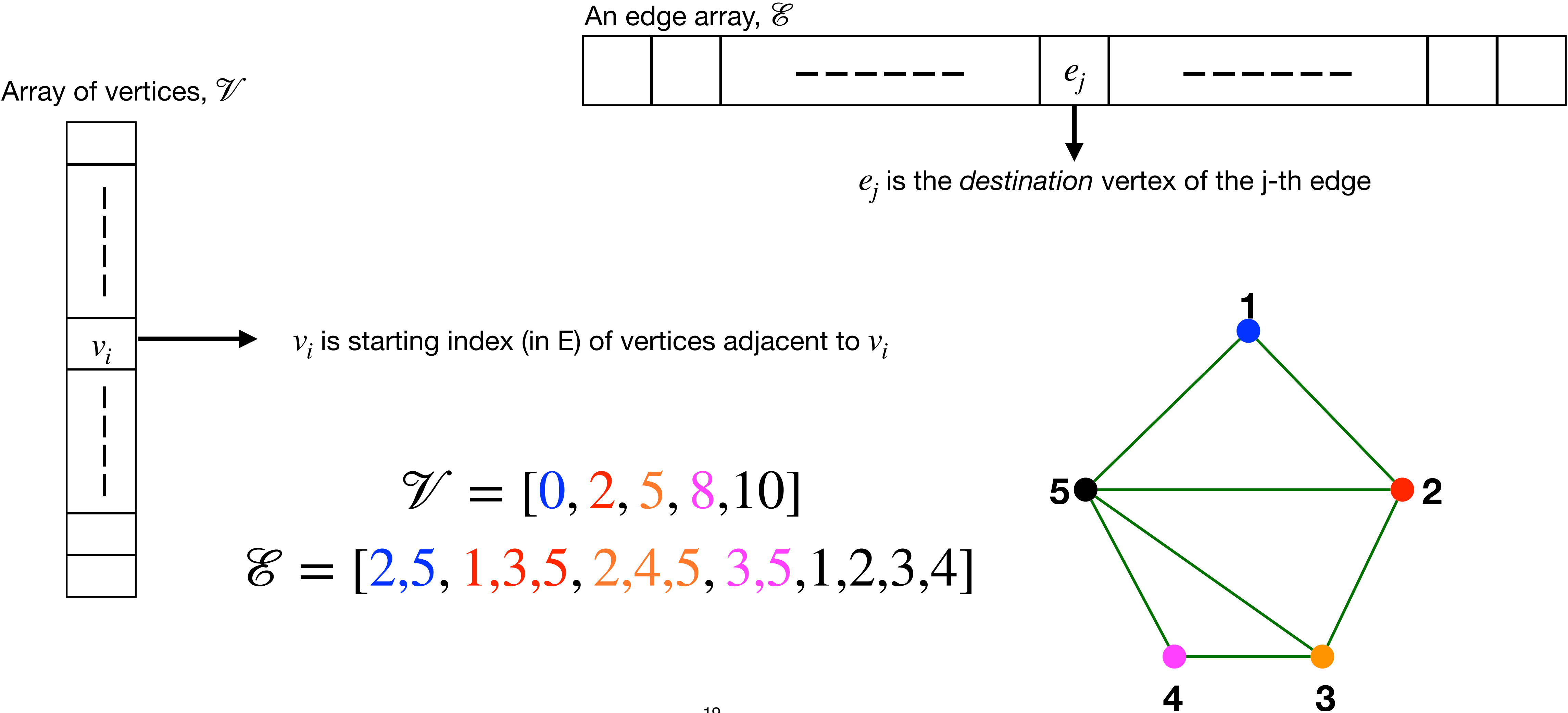
# Concrete representations

How about using plain arrays?



# Concrete representations

How about using plain arrays?

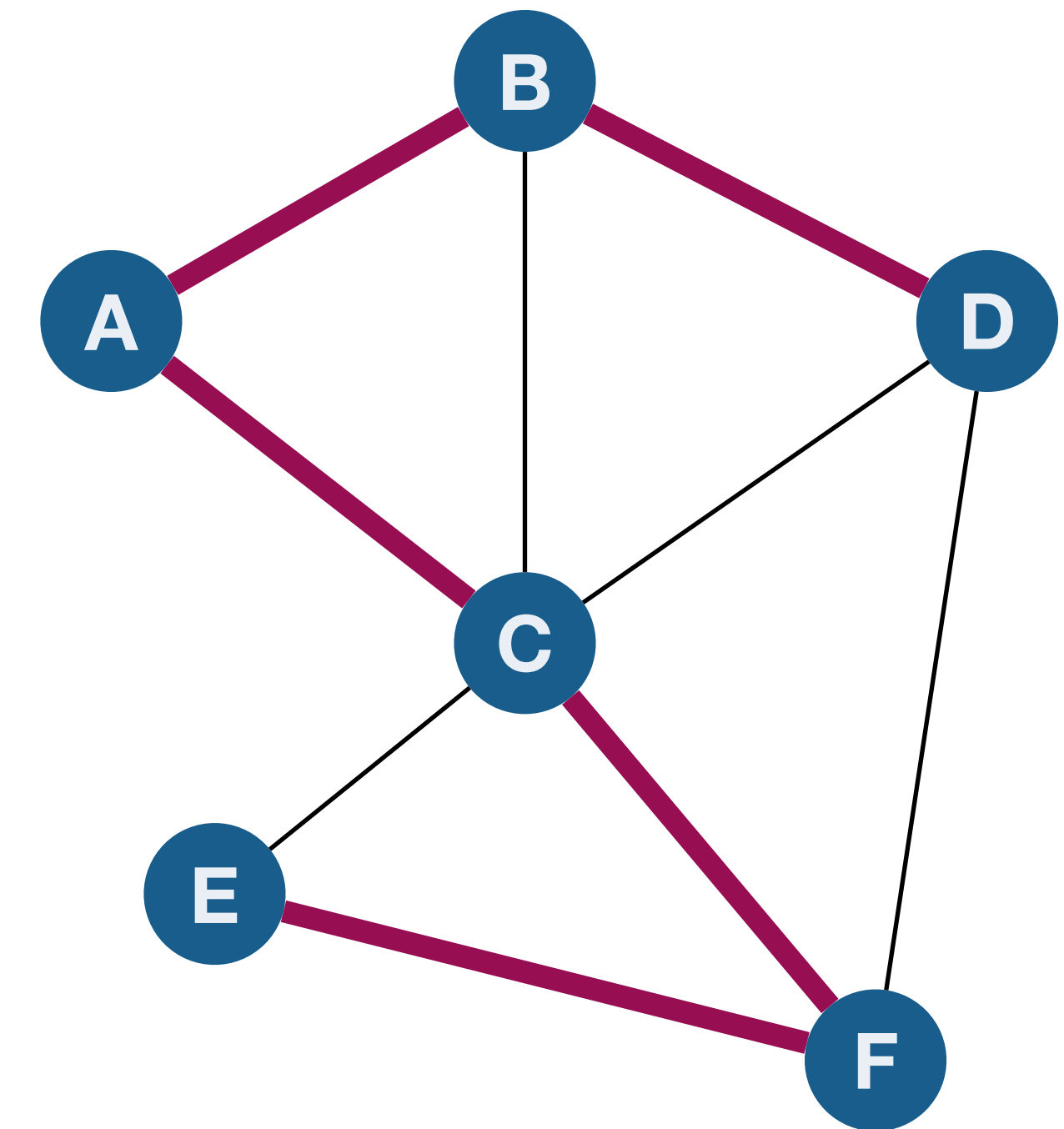


# Connectivity

## Paths on a graph

Given a graph  $G = (V, E)$ :

- A *path* from  $v_1$  to  $v_k$  is a sequence of distinct vertices  $v_1, v_2, \dots, v_k$  such that  $\{v_i, v_{i+1}\} \in E$  for  $1 \leq i \leq k - 1$ . The length of the path is  $k - 1$ .
  - **Note:** A single vertex  $u$  is a path of length 0.
- We say a vertex  $u$  is connected to a vertex  $v$  if there is a path from  $u$  to  $v$ .
- Example:  **$D, B, A, C, F, E$**





# Connectivity

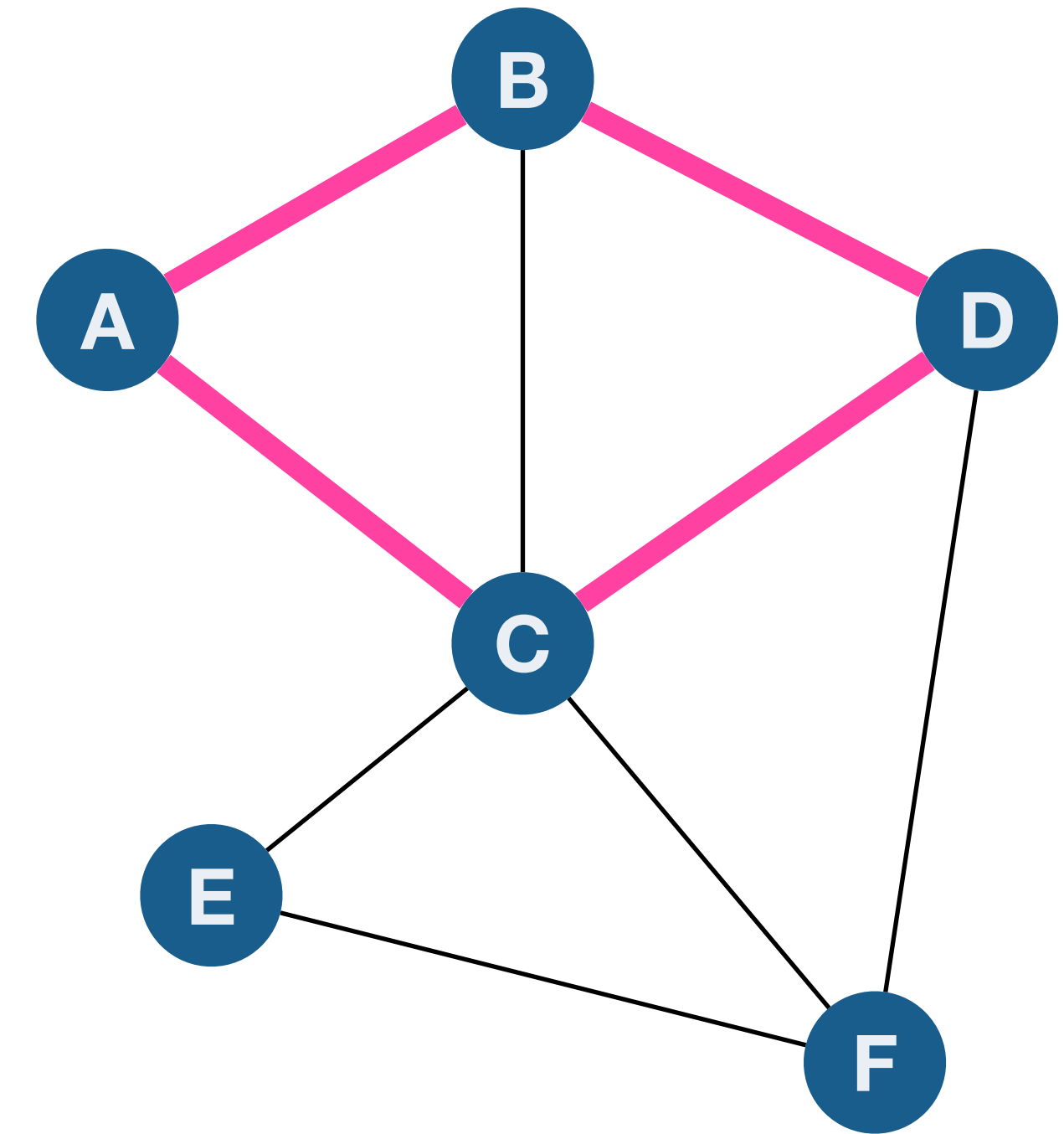
## Cycle

Given a graph  $G = (V, E)$ :

- A **cycle** is a sequence of distinct vertices  $v_1, v_2, \dots, v_k$  with  $k \geq 3$  such that  $\{v_i, v_{i+1}\} \in E$  for  $1 \leq i \leq k - 1$  and  $\{v_1, v_k\} \in E$ .
- Example: **A, B, D, C, A**

*Caveat:* Some times people use the term *cycle* to also allow vertices to be repeated; we will use the term ***tour***.

**Note:** A *single* vertex or *an* edge are not cycles according to this definition



# Connectivity

## Connected components

Define a relation  $C$  on  $V \times V$  as  $uCv$  if  $u$  is **connected** to  $v$

- **Proposition:** In undirected graphs, **connectivity** is a *reflexive*, *symmetric*, and *transitive* relation.
- We say that the ***connected components*** of a graph are the *equivalence classes* of  $C$ .
  - “Analogous to  $\varepsilon$ -reach”
- Graph is said to be connected if there is only ***one*** connected component.
  - In English: starting from any node can reach any other node.

# Connectivity problems

## Algorithmic problems

- Given graph  $G$  and nodes  $u$  and  $v$ , is  $u$  connected to  $v$ ?
- Given  $G$  and node  $u$ , find all nodes that are connected to  $u$ .
- Find all connected components of  $G$ .

Can be accomplished in  $O(m + n)$  time using **BFS** or **DFS**.

**BFS** and **DFS** are refinements of a basic search procedure which is good to understand on its own.

# Search on graph

## Basic search

- BFS and DFS are special case of the following algorithm.
- BFS maintains *ToExplore* using a queue data structure
- DFS maintains *ToExplore* using a stack data structure

```
Explore(G,u):  
  Initialize: Set Visited[I] ← FALSE for  $1 \leq i \leq n$   
  Lists: ToExplore, S  
  Add u to ToExplore and to S,  
  Visited[u] ← TRUE  
  while (ToExplore is non-empty) do  
    Remove node x from ToExplore  
    for each vertex y in Adj(x) do  
      if (Visited[y] = FALSE)  
        Visited[y] ← TRUE  
        Add y to ToExplore  
        Add y to S  
  
  Output S
```



# Search on graph

## Example

# Directed graphs

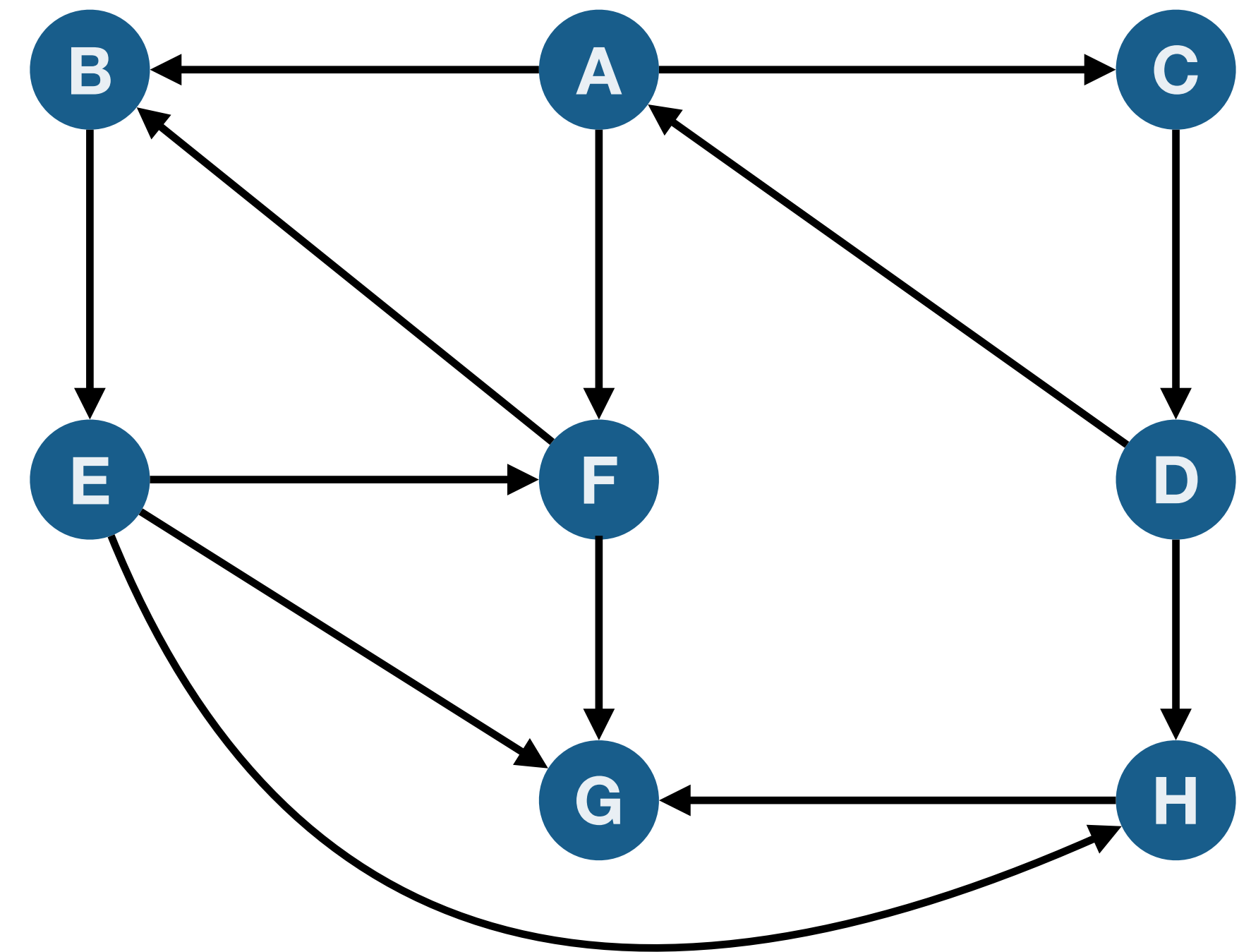
# Directed graphs

## Definition

A directed graph  $G = (V, E)$  consists of

- A set of vertices/nodes  $V$  and
- A set of edges  $E \subseteq V \times V$ .

An edge is an **ordered pair** of vertices:  $(u, v)$   
different from  $(v, u)$



# Directed graphs

## Examples

In many situations relationship between vertices is asymmetric:

- **Road networks** with one-way streets.
- **Web-link graph** where vertices are web-pages and there is an edge from page  $p$  to page  $p'$  if  $p$  has a link to  $p'$ .
- **Dependency graphs** in variety of applications: link from  $x$  to  $y$  if  $y$  depends on  $x$ . E.g. Make files for compiling programs.
- **Program analysis:** functions/procedures are vertices and there is an edge from  $x$  to  $y$  if  $x$  calls  $y$ .

# Directed graphs

## Representation

Graph  $G = (V, E)$  with  $n$  vertices and  $m$  edges:

- **Adjacency matrix:**  $n \times n$  asymmetric matrix  $A$ .  $a_{ij} = 1$  if  $(i, j) \in E$  and  $a_{ij} = 0$  if  $(i, j) \notin E$ .
- **Adjacency lists:** For each node  $u$ ,  $\text{Out}(u)$  (also referred to as  $\text{Adj}(u)$  by default) stores out-going edges from  $u$ 
  - Can also have  $\text{In}(u)$  and storing in-coming edges to  $u$ .

Default representation is adjacency lists ( $\text{Adj}(u)$ ).

# Directed connectivity

Given a graph  $G = (V, E)$ :

- A *directed path* is a sequence of distinct vertices  $v_1, v_2, \dots, v_k$  such that  $(v_i, v_{i+1}) \in E$  for  $1 \leq i \leq k - 1$ . The length of the path is  $k - 1$  and the path is from  $v_1$  to  $v_k$ . By convention, a single node  $u$  is a path of length 0.
- A *cycle* is a sequence of distinct vertices  $v_1, v_2, \dots, v_k$  such that  $(v_i, v_{i+1}) \in E$  for  $1 \leq i \leq k - 1$  and  $(v_k, v_1) \in E$ . By convention, a single node  $u$  is not a cycle.
- A vertex  $u$  can reach  $v$  if there is a path from  $u$  to  $v$ . Alternatively, we say  $v$  can be reached from  $u$ .
- We denote with  $\text{rch}(u)$  the set of all vertices *reachable* from  $u$ .



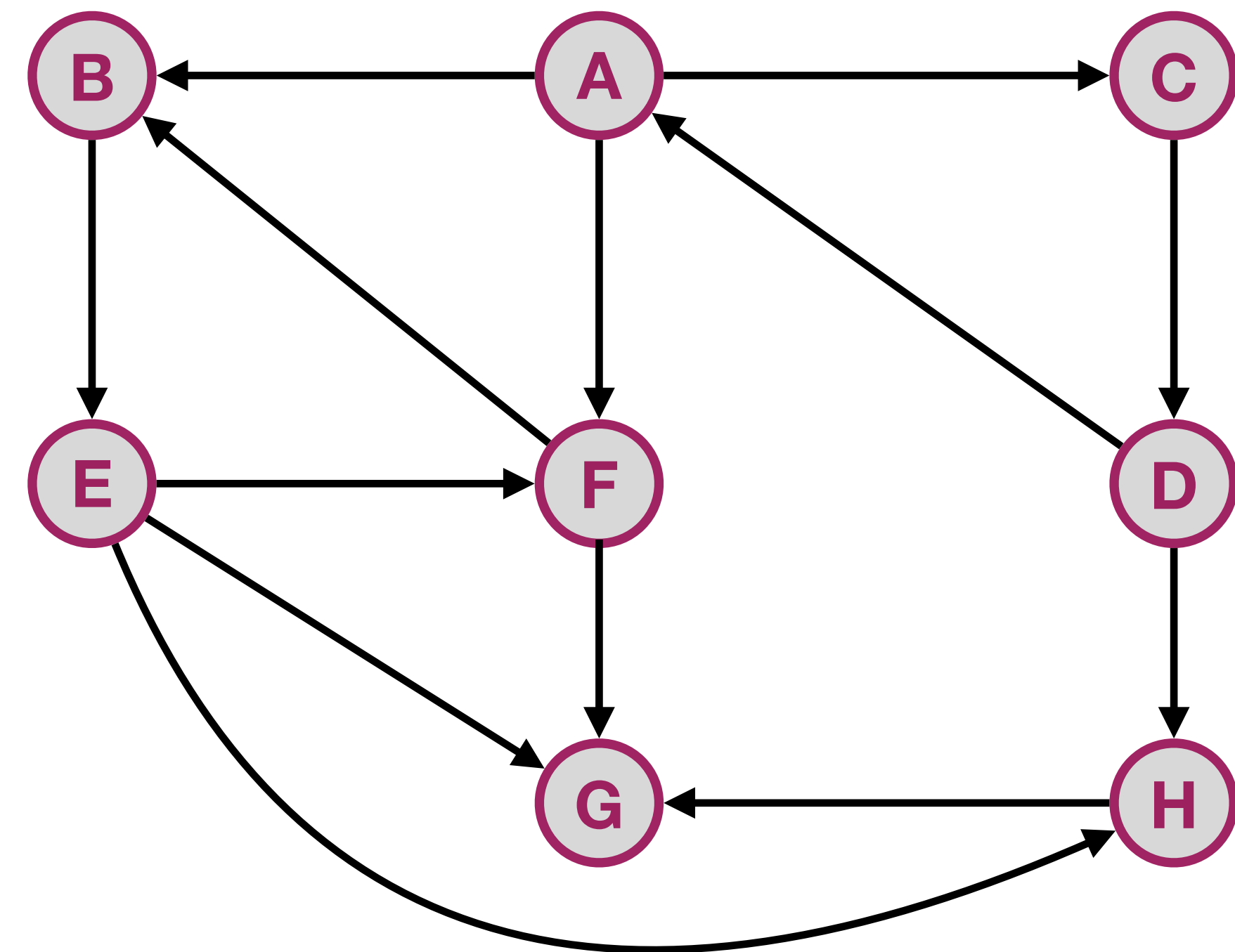
# Directed connectivity

*Asymmetry:* **D** can reach **B** but **B** cannot reach **D**

## Questions:

Is there a notion of connected components?

How do we understand connectivity in directed graphs?



# Connectivity and Strongly Connected Components

**Definition:** Given a directed graph  $G$ ,  $u$  is ***strongly connected*** to  $v$  if  $u$  can reach  $v$  and  $v$  can reach  $u$ . In other words  $v \in \text{rch}(u)$  and  $u \in \text{rch}(v)$ .

**Proposition:** Define relation  $C$  where  $uCv$  if  $u$  is (strongly) connected to  $v$ . Then  $C$  is an equivalence relation, that is *reflexive*, *symmetric* & *transitive*.

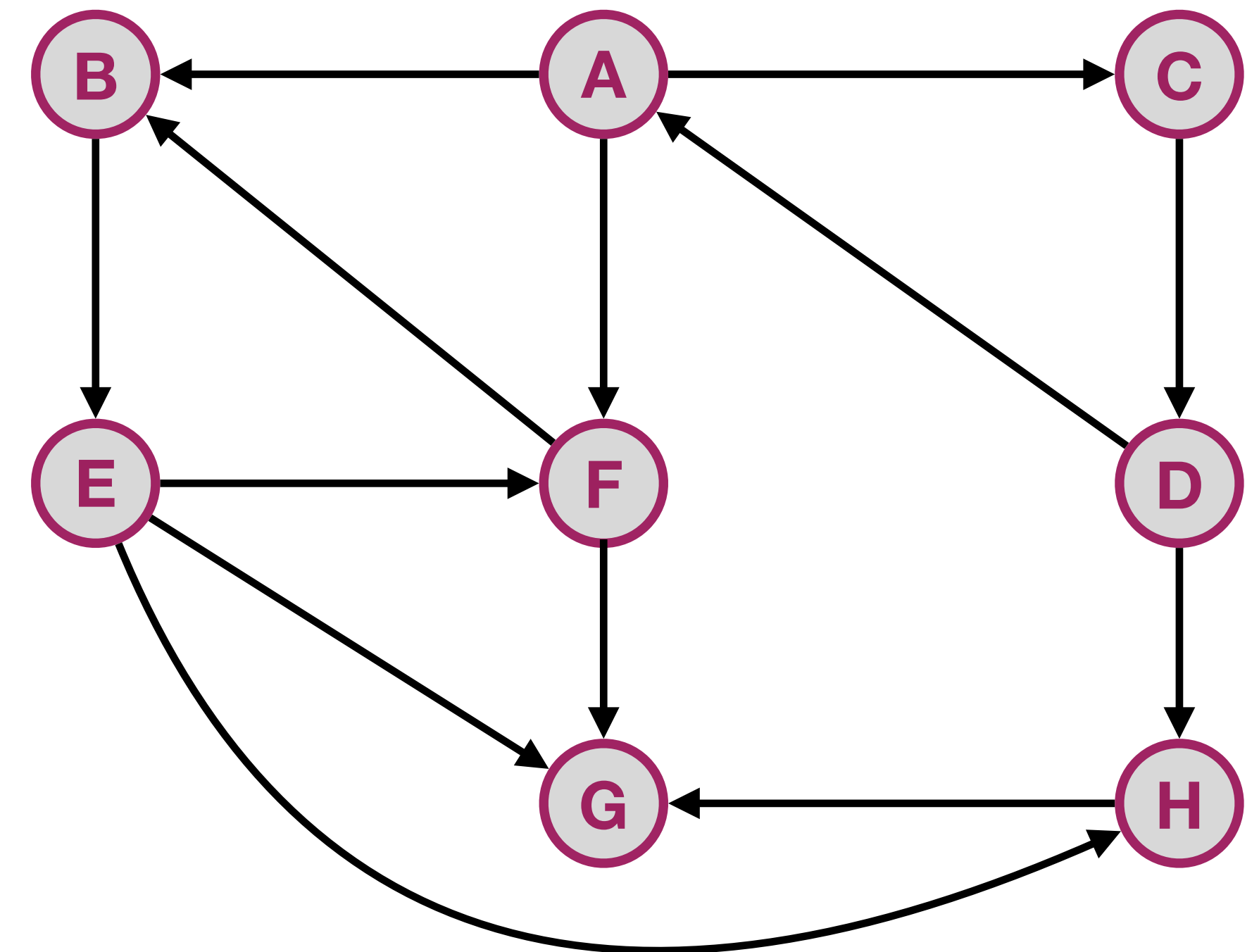
Equivalence classes of  $C$  are the strongly connected components of  $G$  and they partition the vertices of  $G$ .

We denote with  $SCC(u)$  the strongly connected component containing  $u$ .

# Connectivity and Strongly Connected Components

## Example

- Partition vertices of given graph under strong connectivity.



# Directed graph connectivity problems

- Given  $G$  and nodes  $u$  and  $v$ , can  $u$  reach  $v$ ?
- Given  $G$  and  $u$ , compute  $rch(u)$ .
- Given  $G$  and  $u$ , compute all  $v$  that can reach  $u$ , that is all  $v$  such that  $u \in rch(v)$ .
- Find the strongly connected component containing node  $u$ , that is  $SCC(u)$ .
- Is  $G$  strongly connected (a single strong component)?
- Compute all strongly connected components of  $G$

# Graph exploration in directed graphs

# Graph search in directed graphs

Given  $G = (V, E)$   
a directed graph and  
vertex  $u \in V$ .  
Let  $n = |V|$ .

We seek to find all  
nodes that can be  
reached from  $u$   
(represented as a list  
or a *spanning* tree).

```
Explore(G, u):  
    array Visited[1..n]  
    Initialize: Set Visited[i] ← FALSE for  $1 \leq i \leq n$   
    List: ToExplore, S  
    Add u to ToExplore and to S, Visited[u] ← TRUE  
    Make tree T with root as u  
    while (ToExplore is non-empty) do  
        Remove node x from ToExplore  
        for each vertex y in Adj(x) do  
            if (Visited[y] = FALSE)  
                Visited[y] ← TRUE  
                Add y to ToExplore  
                Add y to S  
                Add y to T with x as parent  
    Output S, T
```



# Graph search in directed graphs

## Example

# Directed graph connectivity problems

- Given  $G$  and nodes  $u$  and  $v$ , can  $u$  reach  $v$ ?
- Given  $G$  and  $u$ , compute  $\text{rch}(v)$ .
- Given  $G$  and  $u$ , compute all  $v$  that can reach  $u$ , that is all  $v$  such that  $u \in \text{rch}(v)$ .
- Find the strongly connected component containing node  $u$ , that is  $\text{SCC}(u)$ .
- Is  $G$  strongly connected (a single strong component)?
- Compute all strongly connected components of  $G$ .

First five problems can be solved in  $O(n + m)$  time via Basic Search (or **BFS/DFS**). The last one can also be done in linear time but requires a rather clever **DFS** based algorithm (next lecture).

# Algorithms via Basic Search - I

- Given  $G$  and nodes  $u$  and  $v$ , can  $u$  reach  $v$ ?
- Given  $G$  and  $u$ , compute  $\text{rch}(u)$ .

Use  $\text{Explore}(G, u)$  to compute  $\text{rch}(u)$  in  $O(n + m)$  time.

# Algorithms via Basic Search - II

- Given  $G$  and  $u$ , compute all  $v$ , that can reach  $u$ , that is all  $v$  such that  $u \in \text{rch}(u)$ .  
Naive:  $O(n(n + m))$

## Definition (Reverse graph):

Given  $G = (V, E)$ ,  $G^{rev}$  is the graph with edge directions reversed  $G^{rev} = (V, E')$  where  $E' = \{(y, x) \mid (x, y) \in E\}$

Compute  $\text{rch}(u)$  in  $G^{rev}$ .

**Running time:**  $O(n + m)$  to obtain  $G^{rev}$  from  $G$  and  $O(n + m)$  time to compute  $\text{rch}(u)$  via Basic Search. If both  $\text{Out}(v)$  and  $\text{In}(v)$  are available at each  $v$  then no need to explicitly compute  $G^{rev}$ . Can do  $\text{Explore}(G, u)$  in  $G^{rev}$  implicitly

# Algorithms via Basic Search - III

$$SCC(G, u) = \{v \mid u \text{ is strongly connected to } v\}$$

Find the strongly connected component containing node  $u$ . That is, compute  $SCC(G, u)$ .

$$SCC(G, u) = \text{rch}(G, u) \cap \text{rch}(G^{rev}, u)$$

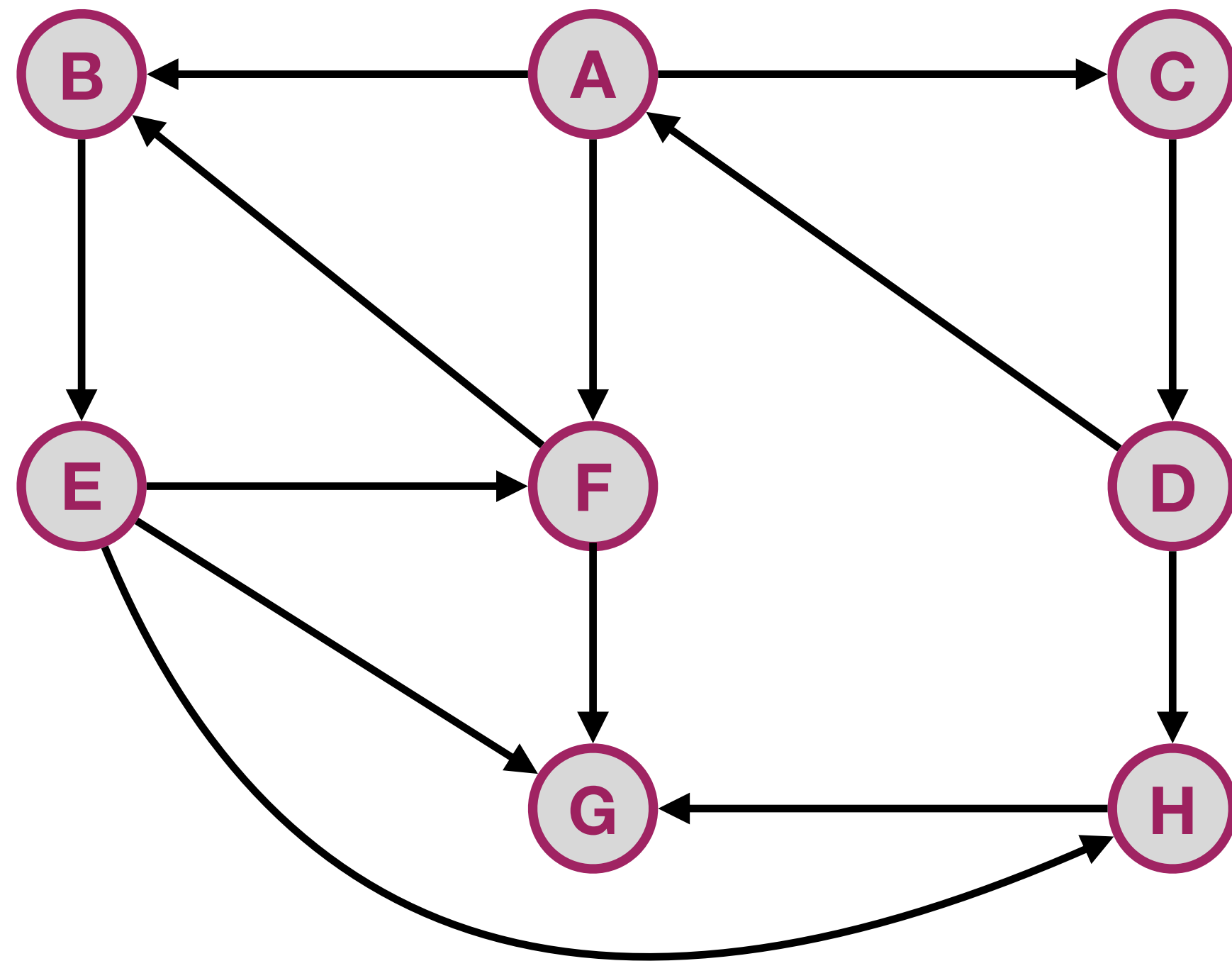
Hence,  $SCC(G, u)$  can be computed with  $\text{Explore}(G, u)$  and  $\text{Explore}(G^{rev}, u)$ .

Total  $O(n + m)$  time

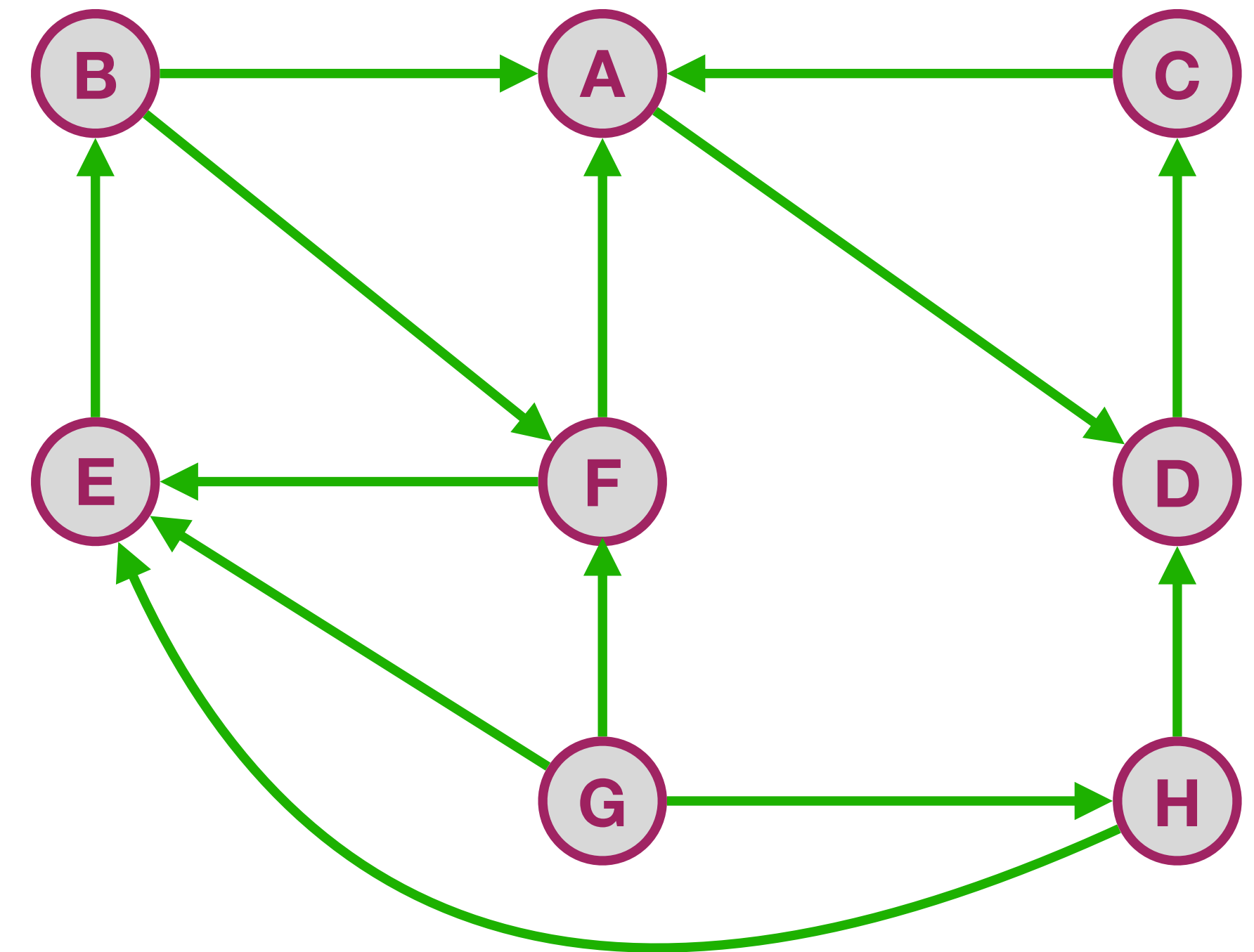
Why can  $\text{rch}(G, u) \cap \text{rch}(G^{rev}, u)$  be done in  $O(n)$  time?

# Strongly connected components

Graph  $G$  and its reverse graph  $G^{rev}$



Graph  $G$

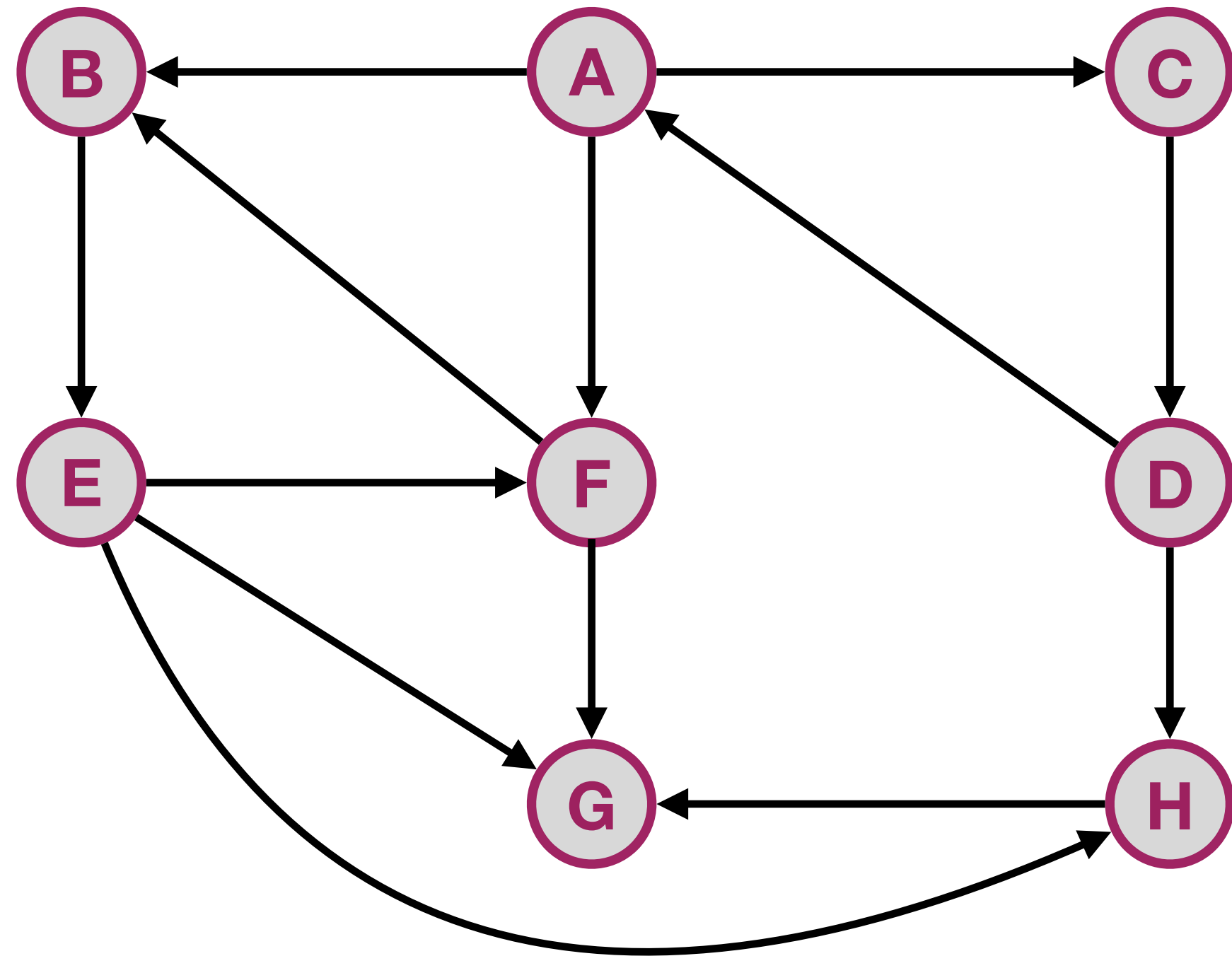


Reverse graph  $G^{rev}$

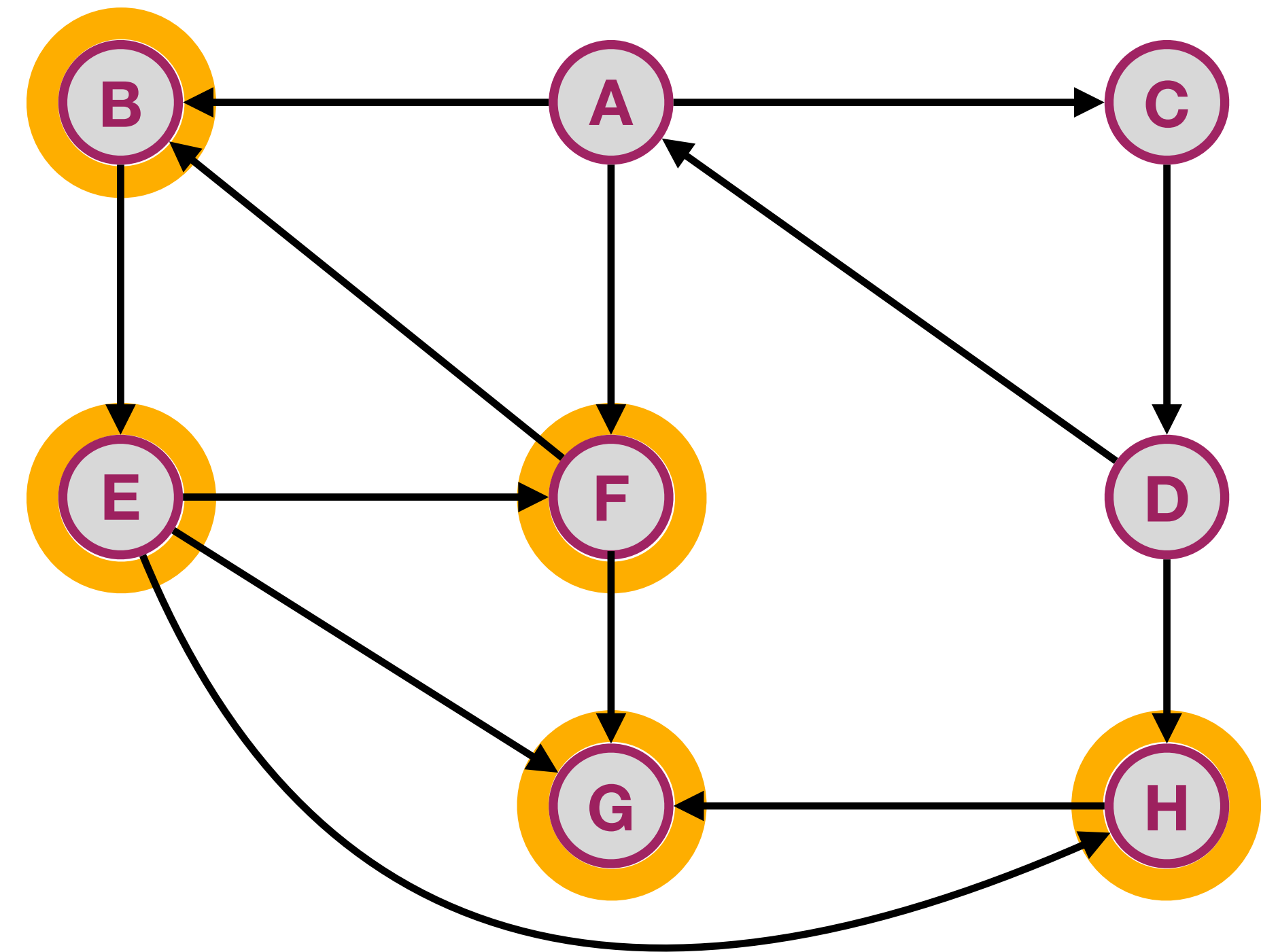


# Strongly connected components

Graph  $G$ , a vertex  $F$  and its reachable set  $\text{rch}(G, F)$



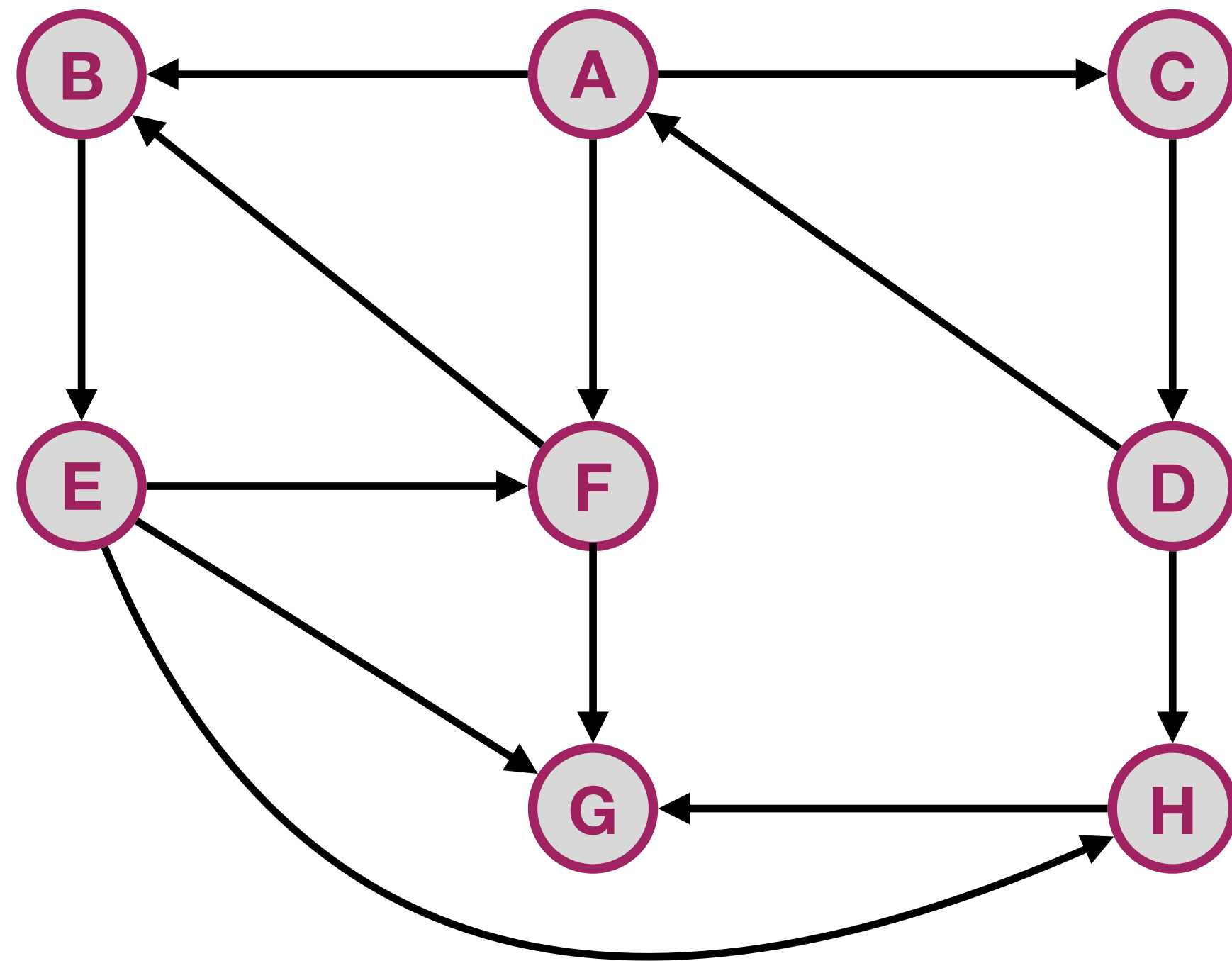
Graph  $G$



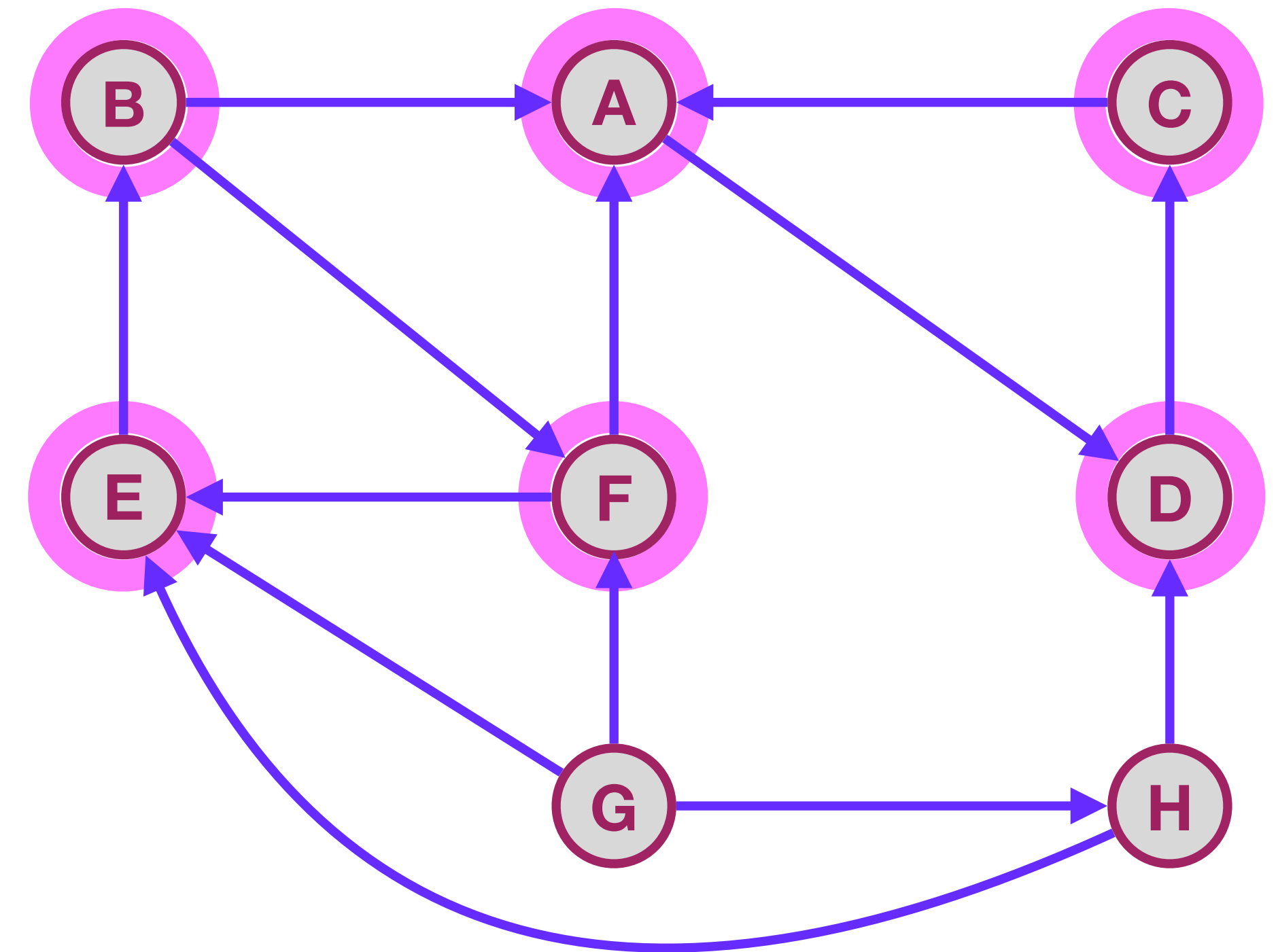
Reachable set of vertices from  $F$

# Strongly connected components

Graph  $G$  a vertex  $F$  and the set of vertices that can reach it in  $G$  :  $\text{rch}(G^{rev}, F)$



Graph  $G$



Reverse graph  $G^{rev}$

# Strongly connected components

Graph  $G$  a vertex  $F$  and its strongly connected component in  $G$

$$SCC(G, F) = \text{rch}(G, F) \cap \text{rch}(G^{rev}, F)$$

