

# CS 353 HW 5



**Section: 1**

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**Q.1 [12 pts, 6 pts each]** Given relation  $R(A, B, C, D, E)$ , determine whether the decomposition of  $R$  into  $ABC$  and  $ADE$  is lossless with the following set of functional dependencies:

(a)  $F = \{A \rightarrow C, A \rightarrow D, E \rightarrow D\}$ .

(b)  $F = \{A \rightarrow B, A \rightarrow D, D \rightarrow E\}$ .

a)  $R_1 = ABC$  and  $R_2 = ADE$

TEST: if  $R_1 \cap R_2 \rightarrow R_1$ , or  $R_1 \cap R_2 \rightarrow R_2$  the composition is lossless.

$R_1 \cap R_2 = A, A \rightarrow ACD$ , since  $ACD \neq R_1$  or  $R_2$  the composition is not lossless.

b)  $R_1 = ABC$  and  $R_2 = ADE$

TEST: if  $R_1 \cap R_2 \rightarrow R_1$ , or  $R_1 \cap R_2 \rightarrow R_2$  the composition is lossless.

$R_1 \cap R_2 = A, A \rightarrow ABDE$  since  $R_1 \cap R_2 \rightarrow R_2$  the composition is lossless.

**Q.2 [20 pts]** Given a relation  $R(A, B, C, D, E)$  with FDs  $A \rightarrow BC, B \rightarrow D, C \rightarrow E$ .

(a) [5 pts] Determine if  $A \rightarrow E$  holds on  $R$ .

(b) [5 pts] Determine if  $B \rightarrow E$  holds on  $R$ .

(c) [10 pts] Determine if  $R$  in BCNF. If not, decompose it into BCNF relations using the BCNF decomposition algorithm discussed in the class. Indicate which FD violates BCNF in each step of decomposition.

a)  $A \rightarrow A$  and  $A \rightarrow BC \Rightarrow A \rightarrow ABC \Rightarrow A \rightarrow B$  and  $A \rightarrow C$ ,  
 $A \rightarrow B$  and  $B \rightarrow D \Rightarrow A \rightarrow D \Rightarrow A \rightarrow ABCD$ ,  
 $A \rightarrow C$  and  $C \rightarrow E \Rightarrow A \rightarrow E \Rightarrow A \rightarrow ABCDE$ ,  $A$  is a candidate key,  
 therefore part (a) is correct  $A \rightarrow E$  holds on  $R$ .

b) For  $B$  we have:  $B \rightarrow B$  and  $B \rightarrow D \Rightarrow B \rightarrow BD$ ,  
 For  $D$  we have:  $D \rightarrow D$ , therefore for  $B$  we have only  $B \rightarrow BD$ ,  
 $B \rightarrow E$  doesn't hold on  $R$ .

c) For the  $A \rightarrow BC$ ,  $A$  is a super key we proved it in part A, hence does not violate BCNF.  
 For the  $B \rightarrow D$ ,  $B$  is not a super key and it can only determine itself and  $D$ , and  $B \rightarrow D$  is not a trivial FD, hence it violates BCNF.

For the  $C \rightarrow E$ , this is not a trivial FD and  $C$  is not a super key,  $E$  can determine only itself hence we have  $C \rightarrow CE$ . Therefore,  $C \rightarrow E$  violates BCNF.

We will start the decomposition by using  $C \rightarrow E$  first:

We can decompose R into,  $R_1 = CE$  and  $R_2 = ABCD$ ,  $R_1$  is in BCNF  $C$  is a super key, for  $R_1$ , but for the  $R_2$  we need to check  $B \rightarrow D$ ,  $B \rightarrow D$  still violates  $R_2$ , we will decompose  $R_2$  into  $R_3 = BD$  and  $R_4 = ABC$ .

In the final we have decomposed R into,  $R_1 = CE$ ,  $R_3 = BD$ , and  $R_4 = ABC$

**Q.3 [20 pts] Given a relation  $R(A, B, C, D, E, F, G)$  and its functional dependencies:**

**$AD \rightarrow F, AE \rightarrow G, DF \rightarrow BC, E \rightarrow C, G \rightarrow E$**

**(a) [10 pts] Find the candidate key(s) of R. Show how you derived the key(s).**

**(b) [5 pts] Check if R is in BCNF. Why or why not?**

**(c) [5 pts] Check if R is in 3NF. Why or why not?**

**(a)**

From the given FDs we can see that A is not determined by any attribute, D is not determined by any attribute. So A and D must be a part of any candidate keys.

Then we will first check if AD is a primary key if so, it will be the candidate key since it is minimal, the candidate key has to include A and D and the minimal form is AD.

For  $AD \rightarrow F$ , we have  $AD \rightarrow ADF$ ,

For  $AE \rightarrow G$ , we have  $AE \rightarrow AEG$ ,

For  $DF \rightarrow BC$ , we have  $DF \rightarrow DFBC$

For  $E \rightarrow C$ , we have  $E \rightarrow EC$

For  $G \rightarrow E$ , we have  $G \rightarrow GE$ . (just added the trivial dependencies)

$AD \rightarrow ADF$  and  $DF \rightarrow DFBC \Rightarrow AD \rightarrow ADFBC$  or  $ABCDF$ , E and G is missing.

We can't use any of the left of the FDs. AE, E, and G do not exist in ADFBC.

Hence AD is not a super key.

We need E and G, but since  $G \rightarrow GE$ , we can have:

$ADG \rightarrow ADFBCGE$  or  $ABCDEFGG$ , hence ADG is minimal and primary key and one of the candidate keys. But we need to check ADE too, and decide if it is primary key.

With E added to the relation, we have  $ADE \rightarrow ADFBCE$ , G looks missing from the

relations related to E,  $E \rightarrow EC$  is already in the relation. However, now we have

$AE \rightarrow AEG$ , therefore ADE also determines G. Hence, ADE is also a candidate key.

Candidate Keys: ADG and ADE

(b)  $AD \rightarrow F$  is not trivial and  $AD$  is not the candidate key therefore it violates BCNF,  $R$  is not in BCNF we don't need the check for the other FDs.

(c)  $AD \rightarrow F$  is not trivial and  $AD$  is not the candidate key and  $F$  is not part of a candidate key. Therefore, it violates 3NF.

**Q.4 [24 pts]** Given the relation schema  $R(A, B, C, D)$  with the functional dependency set  $F = \{AB \rightarrow C, A \rightarrow E, C \rightarrow DE, D \rightarrow BE\}$

(a) [10 pts] Find a Canonical Cover  $F_c$  of  $F$ .

Show all your work.

(b) [14 pts] Check if  $R$  is in 3NF. If not, decompose it into 3NF relations using the lossless and dependency-preserving decomposition algorithm that makes use of the canonical cover you found in part (a).

(a)

**START:**  $F_c = F = \{AB \rightarrow C, A \rightarrow E, C \rightarrow DE, D \rightarrow BE\}$

we can't use:  $\alpha_1 \rightarrow \beta_1$  and  $\alpha_1 \rightarrow \beta_2$  with  $\alpha_1 \rightarrow \beta_1 \beta_2$  relation.

**Removing from the LHS:**

For  $AB \rightarrow C$ , check if  $A$  is extraneous, delete  $A$ , is  $B \rightarrow C$  implied by other FDs?

No, therefore  $A$  is not extraneous in  $AB \rightarrow C$ .

Check if  $B$  is extraneous, Delete  $B$ , is  $A \rightarrow C$  implied by other FDs?

No, therefore  $A$  is not extraneous in  $AB \rightarrow C$ .

$A \rightarrow E$ , no extraneous attribute.

$C \rightarrow DE$ , no extraneous attribute.

$D \rightarrow BE$ , no extraneous attribute.

**Removing from the RHS:**

For  $AB \rightarrow C$  we can't remove attribute from right handside.

$A \rightarrow E$ , no extraneous attribute.

$C \rightarrow DE$ , Remove  $E$  from  $DE$ , we have  $C \rightarrow D$ ,

we can still refer  $C \rightarrow E$  since  $C \rightarrow D$  and  $D \rightarrow BE \Rightarrow C \rightarrow DBE$

$F_c = \{AB \rightarrow C, A \rightarrow E, C \rightarrow D, D \rightarrow BE\}$

$D \rightarrow BE$ , Remove  $E$  from  $BE$ , we have  $D \rightarrow B$ ,

can we still refer to  $D \rightarrow E$ ? no. we can't remove  $E$  from  $BE$ .

Remove  $B$  from  $BE$ , we have  $D \rightarrow E$ ,

can we still refer to  $D \rightarrow B$ ? no we can't remove  $B$  from  $BE$ .

from the first round we have

$F_c = F_c = \{AB \rightarrow C, A \rightarrow E, C \rightarrow D, D \rightarrow BE\}$

if we repeat this process  $F_c$  won't change hence  $F_c$  is in the canonical form.

- (b) To check if the given relation is in 3NF we first need to find the candidate keys.,  
 $R(A,B,C,D,E)$ , I assume there was a typo since the FDs include E.  
 $F = \{AB \rightarrow C, A \rightarrow E, C \rightarrow DE, D \rightarrow BE\}$

From the given FDs we can see that A is not determined by any of the FDs. Therefore it must be a part of the candidate key(s).

First Let's check if the A is a super key, therefore the only candidate key.

For  $AB \rightarrow C \Rightarrow AB \rightarrow ABC$

For  $A \rightarrow E \Rightarrow A \rightarrow AE$

For  $C \rightarrow DE \Rightarrow C \rightarrow CDE$

For  $D \rightarrow BE \Rightarrow D \rightarrow DBE$  (just added the trivial dependencies)

A is not a super key,  $A \rightarrow AE$ , and  $E \rightarrow E$ . B,C,D are missing.

Check for AB:  $AB \rightarrow ABC$ , and  $C \rightarrow CDE \Rightarrow AB \rightarrow ABCDE$ , AB is a super key and a candidate key.

Check for AC:  $A \rightarrow AE$ , and  $C \rightarrow CDE \Rightarrow AC \rightarrow ACDE$ ,

$AC \rightarrow ACDE$  and  $D \rightarrow DBE \Rightarrow AC \rightarrow ABCDE$ , AC is a super key and a candidate key.

Check for AD:  $A \rightarrow AE$ , and  $D \rightarrow DBE \Rightarrow AD \rightarrow ADBE$ ,

$AD \rightarrow ABDE$  and  $AB \rightarrow C \Rightarrow AD \rightarrow ABCDE$ . AD is also a super key and a candidate key.

Candidate keys: AB, AC, AD

We will check for 3NF:

$AB \rightarrow C$ , AB is a candidate key, therefore does not violate 3NF.

$A \rightarrow E$ , A is not a candidate key and E is not part of a candidate key, therefore it violates 3NF.

$F_c = \{AB \rightarrow C, A \rightarrow E, C \rightarrow D, D \rightarrow BE\}$

The for loop generates following 3NF schema:

$R_1 = ABC, R_2 = AE, R_3 = CD, R_4 = DBE$

We observe that at least one of the schemas contains a candidate key for the original relation.  $R_1$  contains  $AB$ .

At the end of the for loop delete schemas, which are subsets of other schemas:

There isn't a scheme that is a subset of one of the schemas.

Therefore the resulting schemas are:

$(A,B,C), (A,E), (C,D), (D,B,E)$

**Q.5 [24 pts, 12 pts each]** The following relation is used to maintain some information about the employees of a company. In addition to the years each employee worked in each particular section, various emails and addresses used by the employee are also stored in the relation.

Employee(id, name, from, to, section, email, address)

The following functional dependencies and multivalued dependencies are given for the Employee relation:

$id \rightarrow name$

$id, from, to \rightarrow section$

$id \twoheadrightarrow email$

$id \twoheadrightarrow address$

**(a)** Check if Employee is in BCNF. If not, decompose it into BCNF relations.

**(b)** Using the multivalued dependencies, check if each relation you found in part (a) is in 4NF. If not, decompose it into 4NF relations.

**(a)**  $id \rightarrow name$ , is not trivial and  $id$  is not a super key. Therefore the relation is not in BCNF.

We will separate  $R$  into  $R_1 = (id, name)$  and  $R_2 = (id, from, to, section, email, address)$

We can check for the  $id, from, to \rightarrow section$  for the  $R_2$  since  $R_1$  is in BCNF.

$id, from, to$  is non-trivial and it is not the super-key due to multivalued dependencies.

Hence, we will separate  $R_2$  into  $R_3 = (id, from, to, section)$

and  $R_4 = (id, from, to, email, address)$

$R_3$  is in BCNF.  $R_4$  is also in BCNF since the BCNF doesn't deal with multivalued dependencies.

(b)  $id \rightarrow name \Rightarrow id \twoheadrightarrow name$ ,  $id, from, to \rightarrow section \Rightarrow id, from, to \twoheadrightarrow section$   
MVDs:  $\{ id \twoheadrightarrow name, id \twoheadrightarrow email, id \twoheadrightarrow address, id, from, to \twoheadrightarrow section \}$

**Examine R1(id,name) :**

R1 is in 4NF. Since  $id \twoheadrightarrow name$ , and  $id^+ = id, name$ .  $id$  is a super key for R1.

**Examine R3(id,from,to,section):**

R3 is in 4NF. Since  $id, from, to \twoheadrightarrow section$ , and  $\{id, from, to\}^+ = id, from, to, section$   
 $\{id, from, to\}$  is a superkey for R2.

**Examine R4(id,from,to,email,address):**

R4 is not in 4NF. Since  $id \twoheadrightarrow email$ , and  $id$  is not a superkey for R4. And  $id \twoheadrightarrow email$  is not trivial.

We will separate R4 into two:  $R5 = (id, email)$  and  $R6 = (id, from, to, address)$

R5 is in 4NF. But we need to check R6. R6 is not in 4NF. Since  $id \twoheadrightarrow address$ , but  $id$  is not a super key, and  $id \twoheadrightarrow address$  not trivial.

We will separate R6 into two:  $R7 = (id, address)$  and  $R8 (id, from, to)$ . Both R7 and R8 is in 4NF now. We are done.

The decomposed relations, with re-ordering of numbers:

$R1 = (id, name)$

$R2 = (id, from, to, section)$

$R3 = (id, email)$

$R4 = (id, address)$

$R5 = (id, from, to)$