Five Lectures on Cluster Theory

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Abstract

In this paper, we will present the author's interpretation and embellishment of five lectures on cluster theory given by Kiyoshi Igusa during the Spring semester of 2022 at Brandeis University. They are meant to be used as an introduction to cluster theory from a representation-theoretic point of view.

1 Introduction

1.1 Some History

Since its introduction in the early 2000's by Fomin and Zelevinsky, cluster theory has been an active area of research. Some of the first results in cluster theory, such Fomin and Zelevinsky's classification of finite type cluster algebras in [17], bore striking resemblances to theorems in representation theory such as Gabriel's theorem in [20] and [21]. In particular, every seed or cluster in a cluster algebra has some number, say n, cluster variables. This allows us to represent the variables of a cluster algebra in a graph in which there is an edge between any two variables that occur in a cluster. In this graph, which we will see in Section 4, we see that if we have $n-1$ cluster variables connected by edges, there are precisely 2 ways to complete the the graph.

On the other hand, many of these phenomena were also being seen in representation theory. For instance in [40], Skowroński showed that every basic tilting module has n indecomposable summands. Moreover, Happel and Unger showed in [24] that every basic partial tilting module having $n-1$ indecomposable direct summands can always be completed into a tilting module and that there are at most two ways that this can be done. Therefore, one may think that the 'right' way to connect cluster theory and representation theory is through tilting theory, though this fails in several ways, one being that there are more cluster variables then there are indecomposable rigid modules and more clusters than tilting modules.

To attain a categorification of cluster theory in terms of representation theory, we thus need to extend the module category in some way. This was done by Buan, Marsh, Reineke, Reiten, and Todorov in [8] where they constructed a larger category called the cluster category in which the original module category can be embedded. In [8], it was assumed that the quiver Q associated to the initial seed was acyclic, so the path algebra is hereditary, which is an assumption we will also make throughout this paper. This need not be the case and in [2], Amoit removes the condition of $\Bbbk Q$ being hereditary and constructs the cluster category for non-hereditary algebras of global dimension 2 and quivers with potential.

There however is still one thing to notice. In the cluster category of a hereditary algebra, we have the following isomorphism from Auslander-Reiten duality: $\mathrm{Ext}^1(M, N) \cong \mathrm{Hom}(N, \tau M)$. One can show that this isomorphism actually also holds in module categories

of non-hereditary cluster algebras. Therefore, there is a correspondence between the tilting objects in the cluster category and modules in the module category of a cluster-tilted algebra. The issue with this is that these modules may not be partial tilting objects due to having infinite projective dimension. By dropping the requirement on projective dimension and loosening rigidity to τ-rigidity, Adachi, Iyama, and Reiten introduced τ-tilting theory in [1].

1.2 Framework of These Notes

Although τ-tilting theory is one of the most active areas of current research, in this paper, we will focus on classical tilting theory and cluster theory. For a survey on τ-tilting theory, we suggest [43] by Treffinger, where many of the references and much of the background information in these notes were found. In this article, we will illuminate some connections between cluster theory and representation theory while working through the process of categorifying cluster theory when the initial seed corresponds to a quiver with no loops or two cycles. We will do this by working through the author's interpretation and embellishment of 5 lectures given by Kiyoshi Igusa during the spring semester of 2022 at Brandeis University which contain several motivating examples and provide some intuition behind results. One thing to note is that all proofs in the first six sections of this paper are meant to provide the main idea and intuition behind the proof and should be taken as nothing more than sketches. We will assume that the reader has some background in the foundations of representation theory and suggest [3], [12], [38], and [39] as references for this material.

We will begin these notes with Section 2 in which we give some examples that provide intuition behind what a cluster algebra is and how clusters and cluster variables are connected to representation theory. We then provide definitions of cluster algebras and mutations in terms of a quiver Q. In particular, we will see a connection between cluster variables (characters) and the Auslander-Reiten (AR) quiver of the corresponding initial quiver. Afterward in Section 3 we will explicitly provide the correspondence by showing how to associate a cluster character to a $\Bbbk Q$-module. We will moreover see how the coefficients of this cluster character are related to the module itself.

In Section 4 we will introduce two questions that we will spend the rest of the notes trying to answer; namely, which sets of modules are sent to clusters and which algebraic objects correspond to the initial cluster variables? These two questions motivated the categorification of cluster theory in terms of representation theory. It is here we will introduce the notion of tilting modules and how they fall short of describing cluster theory in its entirety. We will need to extend the idea of a tilting module by introducing support tilting modules, shifted projectives, and silting pairs. We will do this without introducing the bounded derived category or explicitly creating the cluster category. At this point, we will provide the bijection between clusters and silting pairs. We will finish this section by constructing the wall and chamber structure (stability picture) and show how this structure connects to cluster theory.

After this, in Section 5, we will describe in fact why the stability picture introduced in the previous section is accurate by showing that rigidity is a Zariski open condition. To do

this, we will introduce the category of 2-term silting complexes. We finish this section by introducing the notion of stable barcodes which won't actually be used for the remainder of the notes. In the final section, Section 6, we introduce the notion of maximal green sequences using exchange matrices and ice quivers. The definition of these sequences rely on notions like sign coherence of g-vectors and c-vectors, which we will also explain in this section. Throughout the notes, we will attempt to provide as much referencing as possible to both history and proofs of the results.

Before we begin, we would like to remark that not all connections between cluster and representation theory are made in these notes. For instance, there is a beautiful connection between functorially finite torsion classes in mod-$\Bbbk Q$ and clusters, namely that they are in bijection. One way to see this is through the fact that the dual graph of the stability picture is precisely the Hasse quiver of functorially finite torsion classes in mod-$\Bbbk Q$. For more on the lattice of torsion classes, we suggest Thomas's exposition [41]. For more details on the bijection, Treffinger's survey [43] is a great place to start.
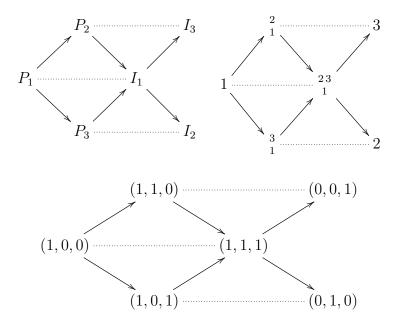
2   Cluster Theory

2.1   Examples

Before presenting the formal definition of a cluster algebra, we provide some intuition, motivation, and examples. Intuitively, 'clusters' are sets of n objects which can be mutated. Each of these n objects are a cluster variable or cluster character. Throughout these lectures, we will provide two methods of thinking about clusters, the former is the original definition and the later is a categorification of it.
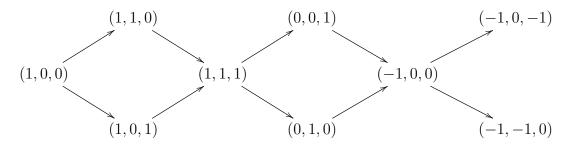
(a) Clusters are transcendence bases for $\mathbb{Q}(x_1, x_2, \ldots, x_n)$ given by a quiver Q.

(b) Clusters are objects in a category of the form $T = T_1 \oplus T_2 \oplus \cdots \oplus T_n$.

One relationship between the above two methods is through something called the 'cluster character' denoted by $\chi(T_i) \in \mathbb{Q}(x_1, x_2, \ldots, x_n)$. We begin our studies of cluster algebras using method (a) through an example.
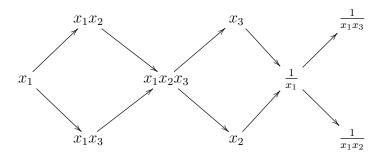
Until otherwise stated, let Q be the quiver $2 \to 1 \leftarrow 3$. Note that this quiver consists of only **descending** arrows, that is, there is an arrow from i to j if and only if $j < i$. Below are three depictions of the Auslander-Reiten (AR) quiver for this quiver. Note that the maps between the projectives are ascending with respect to their vertices; that is, there is an arrow from P_i to P_j in the AR quiver if and only if $i < j$. This is one reason to always take the arrows to be descending when the quiver does not have oriented cycles. Moreover, note that the quiver formed by the three projectives in the AR quiver is the opposite quiver of Q. On the top left we have the standard projective/injective at vertex i notation. On the top right we have another standard notation indicating the tops and socles of the modules on the left. Finally, below these two is a depiction of the AR quiver using dimension vectors. In each quiver the dotted lines indicate the AR translate τ:

$$P_2 \cdots\cdots\cdots I_3$$

$$P_1 \cdots\cdots\cdots I_1$$

$$P_3 \cdots\cdots\cdots I_2$$

$$\begin{smallmatrix}2\\1\end{smallmatrix} \cdots\cdots\cdots 3$$

$$1 \cdots\cdots\cdots \begin{smallmatrix}2\,3\\1\end{smallmatrix}$$

$$\begin{smallmatrix}3\\1\end{smallmatrix} \cdots\cdots\cdots 2$$

$$(1,1,0) \cdots\cdots\cdots (0,0,1)$$

$$(1,0,0) \cdots\cdots\cdots (1,1,1)$$

$$(1,0,1) \cdots\cdots\cdots (0,1,0)$$

The form that uses the dimension vectors is computationally useful and sheds some light onto the relationship between AR theory and cluster theory. Recall that given a short exact sequence of modules $0 \to A \to B \to C \to 0$, the dimension vectors satisfy the equality $\dim A + \dim C = \dim B$. Since each mesh in the above AR quiver forms an almost split sequence, which is short exact, we can construct the AR quiver using this relationship and in some sense extend it as follows.

$$(1,1,0) \qquad (0,0,1) \qquad (-1,0,-1)$$

$$(1,0,0) \qquad (1,1,1) \qquad (-1,0,0)$$

$$(1,0,1) \qquad (0,1,0) \qquad (-1,-1,0)$$

These newly added vectors are not dimension vectors in the usual sense since they contain negative entries. From a representation theoretic point of view, we will see in Section 4 that these negative 'dimension vectors' correspond to 'shifted projectives' in the categorification method of studying cluster algebras. Now to the dimension vector $(i_1, i_2, \ldots, i_n)$, we associate the symbol $x_1^{i_1} x_2^{i_2} \ldots x_n^{i_n}$. Then from the aforementioned additive relationship given by the dimension vectors, we have that given a short exact sequence of modules $0 \to A \to B \to C \to 0$, the symbols satisfy the equality $x^{\dim A} x^{\dim C} = x^{\dim B}$. In this notation, by $x^{\dim A}$ we mean $\prod_{i=1}^{n} x_i^{\dim A_i}$ where $\dim A_i$ is the ith entry in the dimension vector of A. Then the above AR quiver can be rewritten in terms of the symbols as follows.
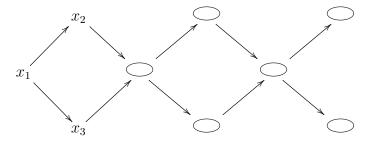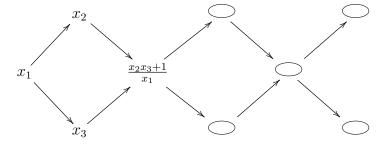
2.2 Cluster Variables

These symbols are the cluster variables or cluster characters for the cluster algebra whose initial quiver is Q. Though we have not yet defined the cluster variables, they satisfy a nice property. As was shown by Caldero and Chapoton in [9], given an almost split sequence of modules $0 \to A \to B \to C \to 0$, the corresponding cluster characters satisfy the relationship
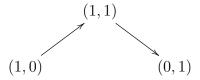
$$\chi(A)\chi(C) = \chi(B) + 1$$

where $\chi(B) = \chi(\oplus_i B_i) = \sum_i \chi(B_i)$. Note that if the sequence is neither split nor almost split, we would need to add more than one on the right hand side and if the sequence is split, we would not need to add anything, providing some intuition on why the sequences are almost split but not split. We will see in Section 3 where this plus 1 is coming from. Using this formula for cluster characters, we can reconstruct the AR quiver for our type $\mathbb{A}$ quiver Q, along with those 'shifted projectives', for the corresponding path algebra by beginning with the opposite quiver as follows.
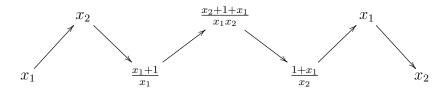

To fill the left most oval, we need a cluster variable y such that $x_1 y = x_2 x_3 + 1$, so $y = \frac{x_2 x_3 + 1}{x_1}$. We get:

Continuing in this way will provide us the entire AR quiver and more for Q in terms of the cluster variables, though it will get quite messy quickly. To reduce unnecessary computations, we examine a simpler example. Let Q now be the quiver $1 \leftarrow 2$. Then the AR quiver is

$$(1,1)$$

$$(1,0) \qquad (0,1)$$

By using the relationship between cluster characters and beginning with the opposite quiver $x_1 \to x_2$, we get the following.

$$x_2 \qquad \frac{x_2+1+x_1}{x_1 x_2} \qquad x_1$$

$$x_1 \qquad \frac{x_1+1}{x_1} \qquad \frac{1+x_1}{x_2} \qquad x_2$$

Notice that if we restrict our attention to only the cluster variables with nontrivial denominators, we are looking at the AR quiver of Q. These cluster characters correspond to $\Bbbk Q$-modules and the intimate relationship between the dimension vectors and the cluster variables will be revealed in Section 3. The cluster variables with trivial denominator correspond to the shifted projectives which will be explained in Section 4.
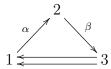
2.3 Cluster Algebras and Mutation

Now that we have a sense of what we want cluster variables to be, we are ready to provide a formal definition. The definitions of a cluster algebra and mutation was first written down by Fomin and Zelevinski in [16]. The original definition used the notion of an exchange matrix instead of a quiver; however as we will soon see, these two notions are one in the same.

Definition 2.1. A **cluster algebra** is a subalgebra $A \subset \mathbb{Q}(x_1, x_2, \ldots, x_n)$ generated by the cluster variables given by mutating a seed (Q, x_*) where
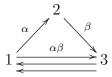
- Q is a quiver with no loops or two cycles.

- $x_* = (x_1, x_2, \ldots, x_n)$ is a transcendence basis for $\mathbb{Q}(x_1, x_2, \ldots, x_n) = \{\frac{f(x)}{g(x)} : f, g \in \mathbb{Q}(x_1, x_2, \ldots, x_n)\}$.

In order for this definition to be complete, we must define mutation which consists of two parts, mutation of the quiver and the seed. We begin by defining mutation of a quiver Q with a running example. For the following definition, let Q' be the quiver

$$
\begin{array}{c}
2 \\
\alpha \nearrow \quad \searrow \beta \\
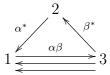1 \rightrightarrows 3
\end{array}
$$

Definition 2.2. We define the **mutation of Q at vertex k**, denoted by $\mu_k Q$, as follows.
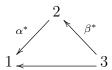
1. We first compose any length two paths through k by introducing a new arrow. In the running example, let $k = 2$.

2. Reverse all arrows at k:

3. Eliminate all two cycles:

After all three steps, the resulting quiver is $\mu_k Q$, or in this example $\mu_2 Q'$.

Notice that the procedure of mutation does not preserve representation type of Q. To provide another example of mutation:

Example 2.1. Let Q be the quiver $2 \to 1 \leftarrow 3$. Then $\mu_1 Q$ is the quiver $2 \leftarrow 1 \to 3$.

Now is a good time to introduce the notion of exchange matrices, which will become important in the study of maximal green sequences which will be defined in Section 6.

Definition 2.3. Let Q be a quiver. The **exchange matrix** of Q is the matrix $B = [b_{ij}]$ where $b_{ij} =$ the number of arrows $i \to j$ - the number of arrows $j \to i$.

Example 2.2. For the running example in Definition 2.2, the exchange matrix is

$$
\begin{bmatrix}
0 & 1 & -1 \\
-1 & 0 & 2 \\
1 & -2 & 0
\end{bmatrix}
$$

Notice that the exchange matrix in Example 2.2 is skew symmetric, that is, all entries are integers and $b_{ij} = -b_{ji}$. This holds more generally; in fact, any skew symmetric matrix gives a quiver with no loops or two cycles and any quiver with no loops or two-cycles has a skew symmetric exchange matrix, so really in our definition of cluster algebra, we are only considering 'skew symmetric' cluster algebras. We are now ready to define mutation of the seed x_*.

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Definition 2.4. We define the **mutation of** x_* **at vertex** k, denoted by $\mu_k(x_*)$, as $\mu_k(x_*) = (x_1, x_2, \ldots, x'_k, x_{k+1}, \ldots, x_n)$ where

$$x'_k = \frac{\displaystyle\prod_{i \to k} x_i^{b_{ik}} + \prod_{k \to j} x_j^{b_{kj}}}{x_k}.$$

The notation $\displaystyle\prod_{i \to k}$ means we take the product over all vertices i such that there is an arrow from i to k. We adopt the standard convention that the empty product equals 1.

Example 2.3. Let Q be the quiver $2 \to 1 \leftarrow 3$ and consider the seed (x_1, x_2, x_3). Then mutation at vertex 1 gives $\mu_1(Q, (x_1, x_2, x_3)) = (\mu_1 Q, (\frac{x_2 x_3 + 1}{x_1}, x_2, x_3))$ with $\mu_1 Q$ the quiver from Example 2.1. Notice that the plus one in the mutated seed comes from the fact that vertex 1 in Q is a sink, so there are no arrows out of it, and the empty product is always taken to be 1. Continuing by mutating at vertex 2 then 3 gives the following:

$$\left(2 \leftarrow 1 \to 3, \left(\tfrac{x_2 x_3 + 1}{x_1}, x_2, x_3\right)\right)$$

$$\mu_2 \downarrow$$

$$\left(2 \to 1 \to 3, \left(\tfrac{x_2 x_3 + 1}{x_1}, \tfrac{x_2 x_3 + 1 + x_1}{x_1 x_2}, x_3\right)\right)$$

$$\mu_3 \downarrow$$

$$\left(2 \to 1 \leftarrow 3, \left(\tfrac{x_2 x_3 + 1}{x_1}, \tfrac{x_2 x_3 + 1 + x_1}{x_1 x_2}, \tfrac{x_2 x_3 + 1 + x_1}{x_1 x_3}\right)\right)$$

We can visualize the mutations of these cluster variables as follows. Recall the construction of the AR quiver for $Q : 2 \to 1 \leftarrow 3$ from Section 2.2. Continuing this procedure would give the picture in Figure 1. Within each loop we have the opposite quiver of the mutated quiver along with the mutated seed after performing the indicated mutation. Moreover, each loop encloses a **cluster**; that is, a collection of n cluster variables attained by mutations.

In all of the examples we have done so far, the cluster variables were all Laurent polynomials; that is, they are of the form $\frac{f(x)}{x^\alpha}$ where $f(x)$ is a polynomial in $\mathbb{N}(x_1, x_2, \ldots, x_n)$ and $\alpha \in \mathbb{Z}^n$. It turns out that whether or not this phenomenon holds in general has been an open question called the positivity conjecture first stated by Fomin and Zelevinsky in 2002 in [16]. Around 2015 in [35], Lee and Schiffler proved the positivity conjecture for all cluster algebras for which the exchange matrix is skew symmetric, called **skew symmetric** cluster algebras, which is the convention we take in these notes for all our cluster algebras. The general case was proven in 2017 by Gross, Hacking, Keel, and Kontsevich in [22]. We have the following theorem.

Theorem 2.1.

- *Cluster variables are Laurant polynomials.*

- *The numerator of the Laurant polynomial always has nonnegative integral coefficients.*

The fact that the coefficients of the numerator are nonnegative integers may lead us to believe that they count something. This is indeed the case as we will see in Section 3.
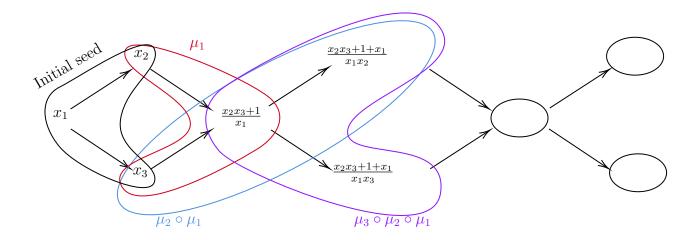
Figure 1: A visualization of mutation in the AR quiver

3 Cluster Character

In this section, we begin by showing how to attain the cluster character associated to a $\Bbbk Q$-module where Q is a connected quiver with n vertices and no oriented cycles; that is, $\Bbbk Q$ is a hereditary algebra which is an assumption we will take throughout the remainder of the notes unless otherwise specified. We then explore the connections between the cluster character and notions like quiver grassmannians and the Fomin-Zelevinsky formula for mutation of cluster variables. To do this, we need the notion of g-vectors, which arose from a purely cluster-algebraic perspective by Fomin and Zelevinsky in [18]. Afterward, we realized that g-vectors can be studied in a representation-theoretic way through projective presentations. The first such realization was done by Dehy and Keller in [13]. Let $\Bbbk = \overline{\Bbbk}$ be an algebraically closed field.

Definition 3.1.

- The g**-vector** of a projective $\Bbbk Q$-module $P = \oplus a_i P_i$ is the vector $g(P) := \vec{a} = (a_1, a_2, \ldots, a_n)$.

- Let M be a $\Bbbk Q$ module. Then M admits a minimal projective presentation of the form $0 \to P'_M \to P_M \to M \to 0$ where both P'_M and P_M are projective. The g**-vector** of M is $g(M) := g(P_M) - g(P'_M)$.

Now we provide some intuition behind how to think of the cluster character with an example.

Example 3.1. Let $Q = 2 \to 1 \leftarrow 3$. Referring back to the AR quiver given in Section 2.1 and Figure 1, we see that the cluster character of $S_1 = P_1$ should be $\chi(S_1) = \frac{x_2 x_3 + 1}{x_1} = \frac{x_2 x_3}{x_1} + \frac{1}{x_1}$. The module S_1 has two submodules, namely 0 and S_1, and these should correspond to the left and right terms in the above sum respectively. The module $P_3 = \begin{smallmatrix} 3 \\ 1 \end{smallmatrix}$ has three submodules:

$0, S_1, P_3$. Again comparing the AR quiver and Figure 1, we see that $\chi(P_3) = \frac{x_2 x_3 + 1 + x_1}{x_1 x_3} = \frac{x_2}{x_1} + \frac{1}{x_1 x_3} + \frac{1}{x_3}$ and from left to right, these three terms should correspond to $0, S_1$, and P_3 respectively.

To see how this correspondence works in general, we make the following definition which can be found in [9].

Definition 3.2. The **cluster character** of the $\Bbbk Q$-module M is $\chi(M) := \sum_{V \subset M} \chi(M, V)$, with
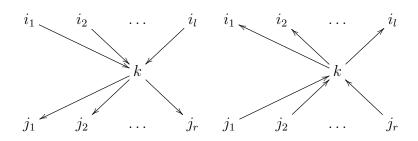$$\chi(M, V) := x^{-g(V)} x^{-g(D(M/V))}$$
where $D : \Bbbk Q\text{-mod} \to \text{mod-}\Bbbk Q^{op}$ denotes the duality functor and $D(M/V)$ denotes the dual of the quotient module M/V.

Let's now verify the results from the previous example.

Example 3.2. We begin by computing the necessary g-vectors. Since $S_1 = P_1$ is projective, by definition we have $g(S_1) = (1, 0, 0)$. Similarly, $g(P_3) = (0, 0, 1)$. To compute $\chi(P_3)$ we also need $g(D(P_3/S_1)) = g(D(S_3))$ and $g(D(P_3/0)) = g(D(P_3))$. The former is easier than the later since $D(S_3)$ is the $\Bbbk Q^{op}$ representation $0 \leftarrow 0 \rightarrow \Bbbk$, hence it is a projective $\Bbbk Q^{op}$-module. Therefore $g(D(S_3)) = (0, 0, 1)$. To compute $g(D(P_3))$, note that $D(P_3))$ is the $\Bbbk Q^{op}$ representation $0 \leftarrow \Bbbk \rightarrow \Bbbk = \frac{1}{3}$. We have a $\Bbbk Q^{op}$ minimal projective presentation given by $0 \rightarrow 2 \rightarrow \frac{1}{2\,3} \rightarrow \frac{1}{3} \rightarrow 0$. Thus $g(D(P_3)) = (1, 0, 0) - (0, 1, 0) = (1, -1, 0)$. Finally, we need $g(D(S_1))$ to compute $\chi(S_1)$. Since $D(S_1)$ is the $\Bbbk Q^{op}$ representation $0 \leftarrow \Bbbk \rightarrow 0 = I_1$, we have a $\Bbbk Q^{op}$ minimal projective presentation given by $0 \rightarrow 2 \oplus 3 \rightarrow \frac{1}{2\,3} \rightarrow 1 \rightarrow 0$. Therefore $g(D(S_1)) = (1, 0, 0) - (0, 1, 0) - (0, 0, 1) = (1, -1, -1)$. We are now ready to compute the cluster characters:

$$\chi(P_3) = \chi(P_3, 0) + \chi(P_3, S_1) + \chi(P_3, P_3)$$
$$= x^0 x^{-g(D(P_3))} + x^{-g(S_1)} x^{-g(D(S_3))} + x^{-g(P_3)} x^{-g(D(0))}$$
$$= x^0 x^{(-1,1,0)} + x^{(-1,0,0)} x^{(0,0,-1)} + x^{(-1,0,0)} x^0$$
$$= \frac{x_2}{x_1} + \frac{1}{x_1 x_3} + \frac{1}{x_1}$$

$$\chi(S_1) = \chi(S_1, 0) + \chi(S_1, S_1)$$
$$= x^0 x^{-g(D(S_1))} + x^{-g(S_1)} x^0$$
$$= x^0 x^{(-1,1,1)} + x^{(-1,0,0)} x^0$$
$$= \frac{x_2 x_3}{x_1} + \frac{1}{x_1}$$

Notice that $\chi(S_1)$ in Example 3.2 is the same as $\mu_1((x_1, x_2, x_3))$ from Example 2.3. This is indeed not a coincidence. Suppose we have a have a general quiver without oriented cycles. Then at vertex k, Q and Q^{op} appear locally as depicted on the left and right of the following diagram.

We attain a minimal projective $\Bbbk Q$ resolution of S_k by $P_{j_1} \oplus P_{j_2} \oplus \cdots \oplus P_{j_r} \hookrightarrow P_k \twoheadrightarrow S_k$ and a minimal projective $\Bbbk Q^{op}$ resolution of $D(S_k)$ given by $P_{i_1} \oplus P_{i_2} \oplus \cdots \oplus P_{i_l} \hookrightarrow P_k \twoheadrightarrow S_k$. Therefore $x^{-g(D(S_k))} = \frac{\prod x_i}{x_k}$ and $x^{-g(S_k)} = \frac{\prod x_j}{x_k}$. Finally, we attain a formula for $\chi(S_k)$ given by

$$\chi(S_k) = \chi(S_k, 0) + \chi(S_k, S_k) = \frac{\prod\limits_{i \to k} x_i}{x_k} + \frac{\prod\limits_{k \to j} x_j}{x_k} = \frac{\prod\limits_{i \to k} x_i + \prod\limits_{k \to j} x_j}{x_k}.$$

But this is precisely the formula for mutation at vertex k given by Fomin and Zelevinsky in Definition 2.4! We conclude that mutation at vertex k of the seed $(x_1, x_2, \ldots, x_n)$ is precisely the cluster character of the simple at vertex k. As we have seen from the previous examples, the cluster characters seem to count the number of submodules. This is the case for modules that have finitely many submodules. The following theorem, which follows from Theorem 3.2, provides this result.

Theorem 3.1. *By setting all $x_i = 1$, we get that $\chi(M)|_{(1,1,\ldots,1)}$ is precisely the number of submodules of M so long as M has finitely many submodules.*

Using this theorem, we can can reconstruct the AR quiver for Q when all $\Bbbk Q$-modules have finitely many submodules. Suppose the module $B = B_1 \oplus B_2$. By evaluating the cluster character at 1 and using the relationship $\chi(A)\chi(C) = \chi(B) + 1$ for almost split sequences $A \hookrightarrow B \twoheadrightarrow C$, we have that the information of being an almost split sequence is encoded in the fact that the determinant of the following matrix is one

$$\begin{bmatrix} \chi(A) & \chi(B_1) \\ \chi(B_2) & \chi(C) \end{bmatrix}.$$
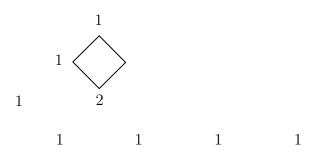
Moreover note that $\chi(M) = 0$ if and only if $M = 0$.

Example 3.3. Let Q be the quiver $1 \leftarrow 2 \leftarrow 3$. We begin with the array

$$1$$

$$1$$

$$1$$
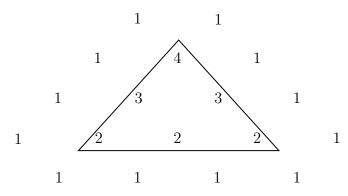
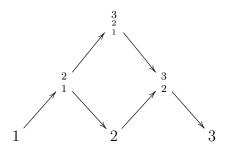$$1 \quad \diamondsuit$$

$$1 \qquad 1 \qquad 1 \qquad 1$$

The first rhombus indicates the first matrix we must complete, namely, $\begin{bmatrix} 1 & 1 \\ 1 & \end{bmatrix}$. Solving for the missing entry to ensure this matrix has determinant one gives the first missing entry.

1

1

1

1   2

1   1   1   1

Continuing to find the missing entry in the matrix given by the rhombi will give the following picture.

1   1

1   4   1

1   3   3   1

1   2   2   2   1

1   1   1   1

Inside the triangle is a depiction of the AR quiver for Q. Notice that each number provides the number of submodules of the corresponding module in the AR quiver:

$\begin{smallmatrix}3\\2\\1\end{smallmatrix}$

$\begin{smallmatrix}2\\1\end{smallmatrix}$ $\begin{smallmatrix}3\\2\end{smallmatrix}$

1   2   3

Note that in the statement of Theorem 3.1, we restrict ourselves to the case in which M has only finitely many submodules. This naturally raises the question, what does the cluster character of M tell us when M has infinitely many submodules? We proceed with an example.

Example 3.4. Let Q be the quiver $1 \leftarrow 2$. Then $\chi(S_2)|_1 = \frac{x_1+1}{x_2}\big|_1 = 2$. Consider the module $M = S_2 \oplus S_2$. Then M has three types of submodules, namely $0, S_2$, and M. There is only one submodule of the form 0 and M; however, there are infinitely many of the form S_2. Given $(a, b) \in \Bbbk^2 - \{(0,0)\}$ we have an embedding $S_2 \hookrightarrow S_2 \oplus S_2$ given by $x \mapsto (ax, bx)$. Note that scaling this embedding gives the same image. Therefore, we can realize the image of this embedding as the equivalence class of $(a, b) \in \Bbbk^2 - \{(0,0)\}$ up to scaling; that is, we can realize each submodule of M of the form S_2 as an element of the projective line $\Bbbk P^1$. There are two methods to find the cluster character.

1. We take $\Bbbk = \mathbb{C}$. Therefore the set of submodules of M isomorphic to S_2 equals $\mathbb{C}P^1 \cong S^2$. We then set the cluster character $\chi(M, S_2)$ equal to the Euler characteristic of $\mathbb{C}P^1$. Recall the definition of Euler characteristic is $\chi(\mathbb{C}P^1) = \sum_k (-1)^k \dim H_k(\mathbb{C}P^1) =$ $1 - 0 + 1$ where H_k denotes the kth homology. There is a shortcut to compute this; namely, the Euler characteristic of a surface of genus g is given by $\chi(\Sigma_g) = 2 - 2g$. In this case, our surface is of genus 0, so we attain the result. One thing to observe is that this number can be negative; however by Theorem 2.1, the coefficients in the Laurant polynomial are always positive. This provides us a restriction on the genus of this surface whenever the modules correspond to cluster characters!

2. Let $\Bbbk = \mathbb{F}_q$ be the finite field with q elements. The number of elements in $\Bbbk P^1$ is $\frac{q^2-1}{q-1} = q + 1$. One way to see this is through the fact that the points are given by $[1, a]$ for $a \in \Bbbk$ and the point at infinity $[0, 1]$. By taking the field with one element, we get that $q = 1$ and that the number of elements in $\Bbbk P^1$ is 2. The reason why we get the same number as the Euler characteristic of $\mathbb{C}P^1$ follows from a deep theorem in number theory that is out of the scope of these notes.

As it turns out, the collection of submodules of fixed dimension of a given module has been thoroughly studied.

Definition 3.3. Let Q be a quiver and M a $\Bbbk Q$-module. The space of all submodules of M with dimension vector $\boldsymbol{e}$, denoted by $\mathrm{Gr}(M, \boldsymbol{e})$, is called a **quiver grassmannian**.

This is indeed a space, in fact, it is a projective variety. A special case is when Q is just a point with no arrows. Then for the representation $M = \Bbbk^n$, the quiver grassmannian $\mathrm{Gr}(M, k)$ gives the ordinary grassmannian of k-planes in n-space. For more on quiver grassmannians, see Cerulli Irelli's lectures on quiver grassmannians [10]. We have already seen another example of a quiver grassmannian:

Example 3.5. For the M and Q from the previous example, $\mathrm{Gr}(M, (0, 1)) \cong \Bbbk P^1$. Moreover, we've computed its Euler characteristic: $\chi(\mathrm{Gr}(M, (0, 1))) = 2$.

We can use the notion of quiver grassmannians to define the cluster character of any module M as was done by Caldero and Chapoton in [9] as follows.

Theorem 3.2 (Caldero-Chapoton)**.**
The cluster character of M is given by

$$\chi(M) = \sum_{\boldsymbol{e}} \chi(Gr(M, \boldsymbol{e})) x^{-g(\boldsymbol{e})} x^{-g(D(M/\boldsymbol{e}))}$$

where the sum is taken over all vectors $\boldsymbol{e}$ such that there is a submodule of M of dimension vector $\boldsymbol{e}$.

At this point, this definition may not seem well defined because it stipulates that g vectors depend only on the dimension vectors of modules and not the actual module itself. This is indeed the case since we can also define the g vector of a $\Bbbk Q$- module V by $g(V) := C_{\Bbbk Q}^{-1} \cdot \dim V$

where $C_{\Bbbk Q}$ is the **Cartan matrix** of Q, which is defined as the matrix whose ith column is the dimension vector of the projective representation at vertex i. This gives the following lemma.
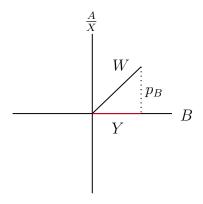
Lemma 3.3. *The g vector $g(V)$ depends only on the dimension vector $\boldsymbol{e} = dimV$.*

Notice that in Example 3.3, we used the fact that $\chi(B_1 \oplus B_2) = \chi(B_1)\chi(B_2)$. We now have the necessary tools to provide a representation theoretic proof of this fact.

Theorem 3.4. *For two $\Bbbk Q$ modules A and B, the cluster character satisfies the following equation $\chi(A \oplus B) = \chi(A)\chi(B)$.*

Sketch of Proof. It suffices to prove this when we set the $x_i = 1$. We begin by providing a correspondence between submodules of $A \oplus B$ and pairs of submodules of the form (X, Y) where $X \leq A$ and $Y \leq B$. Define $\psi((X,Y)) = X \oplus Y$. Then this is an injection into the collection of submodules of $A \oplus B$. Now, we have a split exact sequence $A \hookrightarrow A \oplus B \overset{p_B}{\twoheadrightarrow} B$ and given any submodule $V \leq A \oplus B$, we have another exact sequence $V \cap A \hookrightarrow V \twoheadrightarrow P_B(V)$. We define a map $\phi(V) = (V \cap A, p_B(V))$. Note that $\phi \circ \psi = 1$, so ϕ is surjective.

Now ϕ is not necessarily injective; however, we will show that it is injective on the level of Euler characteristics. Consider the pair of submodules (X, Y). Then $\phi^{-1}((X,Y)) = \{V \subset A \oplus B : V \cap A = X$ and $p_B(V) = Y\}$. By the Noether isomorphism theorem, this set is in bijection with $\{W \subset A/X \oplus B : W \cap A/X = 0$ and $p_b(W) = Y\}$ via the correspondence $V \mapsto V/X$. We can uniquely realize all submodules W in this latter set as the graph of a function from Y to A/X:

In particular, with a little more effort, we have that $\chi(\phi^{-1}(X,Y)) = \chi(\mathrm{Hom}(Y, A/X))$. When we consider $\mathrm{Hom}(Y, A/X)$ over a field with q elements, $\chi(\mathrm{Hom}(Y, A/X)) = q^l$ for some l. By taking $q = 1$, we have that $\chi(\mathrm{Hom}(Y, A/X)) = 1$ and therefore, $\chi(\mathrm{Gr}(A \oplus B, \boldsymbol{e})) =$
$$\sum_{\boldsymbol{e_1} + \boldsymbol{e_2} = \boldsymbol{e}} \chi(\mathrm{Gr}(A, \boldsymbol{e_1}) \times \mathrm{Gr}(B, \boldsymbol{e_2})) = \sum_{\boldsymbol{e_1} + \boldsymbol{e_2} = \boldsymbol{e}} \chi(\mathrm{Gr}(A, \boldsymbol{e_1})\chi(\mathrm{Gr}(B, \boldsymbol{e_2})).$$
Finally, since g-vectors are linear; that is, $g(V) = g(X) + g(Y)$, we have that $g(D(A \oplus B)/X) = g(D(A/X)) + g(D(B/Y))$. By summing over all possible dimension vectors $\boldsymbol{e}$, we have the result. $\qquad \square$

Recall from Section 2.2 that when we have an almost spit sequence $A \hookrightarrow B \twoheadrightarrow C$, the cluster characters satisfy the equation $\chi(A)\chi(C) = \chi(B) + 1$ where $\chi(B) = \chi(\oplus_i B_i) = \sum_i \chi(B_i)$. We now have the tools to prove this.

Theorem 3.5. *For an almost split sequence of $\Bbbk Q$-modules $A \overset{q}{\hookrightarrow} B \overset{p}{\twoheadrightarrow} C$, we have*

$$\chi(A \oplus C) = \chi(A)\chi(C) = \chi(B) + 1.$$

Sketch of Proof. We proceed as in the proof of the previous theorem. Consider the collection of tuples (X, Y) where $X \leq A$ and $Y \leq B$ are submodules, and let $V \leq B$ be a submodule. We define a map $\phi : \{\text{submodules of } B\} \to \{\text{pairs } (X, Y)\}$ by $\phi(V) = (V \cap A, p(V))$. Consider a tuple (X, Y) such that $Y \neq 0$. Then since p is irreducible and the inclusion of Y into C is not a retraction, we have the following commutative diagram.

$$
\begin{array}{ccc}
X & & Y \\
\downarrow{\scriptstyle i} \quad \searrow{\scriptstyle q \circ i} \quad {\scriptstyle f} \nearrow & & \downarrow{\scriptstyle i} \\
A \xrightarrow{\;q\;} & B \xrightarrow{\;p\;} & C
\end{array}
$$

Define $V = q(X) + f(Y)$, so that $\phi(V) = (X, Y)$ and (X, Y) is in the image of ϕ. Now suppose that $X \neq 0$. Then since the quotient map is not a section, we have the following pushout diagram.

$$
\begin{array}{ccccc}
A & \xrightarrow{\;q\;} & B & \xrightarrow{\;p\;} & C \\
\downarrow & {\scriptstyle f}\nearrow & \downarrow{\scriptstyle \pi} & & \downarrow{\scriptstyle \text{id}} \\
A/X & \longrightarrow & A/X \oplus C & \longrightarrow & C
\end{array}
$$

Define $V = \pi^{-1}((0, Y)) + X$, thus $\phi(V) = (X, Y)$ and we conclude that (X, Y) is in the image of ϕ. Therefore the map ϕ is onto $\{\text{pairs } (X, Y)\} - \{(0, C)\}$ since C can't be lifted due to the irreducibilty of p. With some more work, we conclude that $\chi(B) = \chi(A)\chi(C) - 1$ where the minus one comes from the fact that the submodule $(0, C)$ can't be lifted. $\qquad \square$

We have techniques to compute cluster characters of modules that lie in an almost split sequence; however, we do not have any techniques to compute cluster characters of modules that do not lie at the end of an almost split sequence. Although we know how to use the definition to compute cluster characters of any $\Bbbk Q$-module, we will now provide a theorem which will provide a technique to compute the cluster characters of projectives.

Theorem 3.6. *Let P_i denote the projective $\Bbbk Q$-module at vertex i. Then we have*

$$\chi(P_i) = \chi(radP_i)x^{-g(DS_i)} + x_i^{-1}$$

where S_i is the simple top of P_i.

We will not prove this, but instead provide an example. As the reader may have guessed, the proof is given by Caldero and Chapoton in [9].

Example 3.6. Let Q be the quiver $1 \leftarrow 2 \leftarrow 3$ so that Q^{op} is $1 \to 2 \to 3$. We compute $\chi(P_3)$ where $P_3 = \begin{smallmatrix} 3 \\ 2 \\ 1 \end{smallmatrix}$, $radP_3 = \begin{smallmatrix} 2 \\ 1 \end{smallmatrix} = P_2$, and $radP_2 = 1 = P_1 = S_1$. Thus

$$\chi(P_3) = \chi(P_2)x^{-g(DS_3)} + x_3^{-1} = (\chi(S_1)x^{-g(DS_2)} + x_2^{-1})x^{-g(DS_3)} + x_3^{-1}.$$

We compute

$$\chi(S_1) = x^{-g(0)}x^{-g(D(S_1))} + x^{-g(S_1)}x^{-g(0)} = x^{(-1,1,0)} + x^{(-1,0,0)} = \frac{x_2 + 1}{x_1}.$$

Now we need $\chi(P_2) = \chi(S_1)x^{-g(DS_2)} + x_2^{-1}$. We have

$$\chi(P_2) = \frac{x_2 + 1}{x_1}x^{(0,-1,1)} + x_2^{-1} = \frac{x_2x_3 + x_3 + x_1}{x_1x_2}.$$

Finally, we compute

$$\chi(P_3) = \chi(P_2)x^{-g(DS_3)} + x_3^{-1} = \frac{x_2x_3 + x_3 + x_1}{x_1x_2}x^{(0,0,-1)} + x_3^{-1} = \frac{x_2x_3 + x_3 + x_1 + x_1x_2}{x_1x_2}.$$

In the case in which the AR quiver of our algebra is connected, we can get the cluster character of injectives simply by completing the AR quiver. However, in the case in which the AR quiver is disconnected, we can't get the cluster character of injectives by beginning at projectives and completing meshes. For instance in the tame case, we would never leave the preprojective component. By duality, we have a dual theorem to Theorem 3.5 that provides us with a technique to compute the cluster character of injectives.

Theorem 3.7. *Let I_i denote the injective $\Bbbk Q$-module at vertex i. Then we have $\chi(I_i) = \chi(I_i/S_i)x^{-g(S_i)} + x_i^{-1}$.*

Example 3.7. Let's take Q to be the Kronecker $1 \Leftleftarrows 2$ and compute $\chi(I_1) = \chi(\begin{smallmatrix} 2 & 2 \\ & 1 \end{smallmatrix})$. By the previous theorem, we compute $\chi(S_2 \oplus S_2)x^{-g(S_1)} + x_1^{-1}$. Note by Theorem 3.4, we have that $\chi(S_2 \oplus S_2) = \chi(S_2)\chi(S_2)$. We begin by computing $\chi(S_2) = \frac{x_1^2+1}{x_2}$. Since $g(S_1) = (1,0)$, we have that
$$\chi(I_1) = \left(\frac{x_1^2 + 1}{x_2}\right)\left(\frac{x_1^2 + 1}{x_2}\right)x^{(-1,0)} + \frac{1}{x_1} = \frac{x_1^4 + 2x_1^2 + x_2^2 + 1}{x_2^2 x_1}.$$

Notice that this is a case analogous to Example 3.4 in which there are infinitely many submodules of I_1 of the form $S_2 \oplus S_2$. So in this case, the coefficient of x_1^2 in the cluster character provides the Euler characteristic of $\mathrm{Gr}(I_1, (1,1))$.

4   Clusters with Modules

Although not yet explicitly stated, thus far we have seen that the cluster character χ sends indecomposable rigid modules to cluster variables, where by **rigid** we mean $\mathrm{Ext}^1(M, M) = 0$. The two questions we wish to answer in this section are

- Which sets of modules are sent to clusters?

- Given a rigid indecomposable module M, we get $\chi(M) = \frac{\text{something}}{x^{\dim M}}$. Which algebraic objects correspond cluster variables of the form x_i and what object corresponds to the initial cluster?
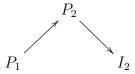
To do this, we need to introduce and establish some more algebraic machinery. For more on classical tilting theory, see [3].

Definition 4.1. Let $\Lambda = \Bbbk Q$ where Q is a quiver with n vertices and no oriented cycles. Then a **tilting module** is a rigid module T with n indecomposable non-isomorphic summands $T = T_1 \oplus T_2 \oplus \cdots \oplus T_n$.

The condition that T is rigid implies that $\mathrm{Ext}^1(T, T) = \oplus_{i,j}\mathrm{Ext}^1(T_i, T_j) = 0$. This happens if and only if each T_i is rigid and they don't extend each other, that is, $\mathrm{Ext}^1(T_i, T_j) = 0$ for all i and j.
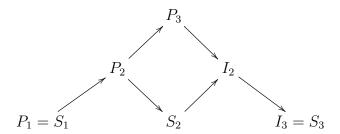
Example 4.1. Some examples of tilting modules are the following.

- The sum of the projective modules $\Lambda = P_1 \oplus P_2 \oplus \cdots \oplus P_n$.

- The sum of the injective modules $\nu\Lambda = I_1 \oplus I_2 \oplus \cdots \oplus I_n$ where ν is the Nakayama functor.

- Let Q be the quiver $1 \leftarrow 2$. Then AR quiver is as follows.

$$
\begin{array}{ccc}
 & P_2 & \\
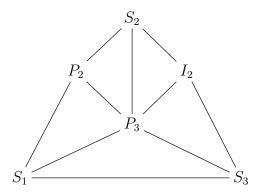\nearrow & & \searrow \\
P_1 & & I_2
\end{array}
$$

  Then there are 2 tilting $\Bbbk Q$-modules given by $S_1 \oplus P_2$ and $P_2 \oplus S_2$. Note that $S_1 \oplus S_2$ is not tilting since there is an extension of S_2 by S_1.
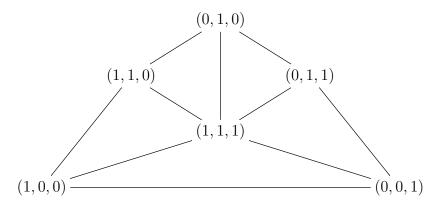
- Let Q be the quiver $1 \leftarrow 2 \leftarrow 3$. Then the AR quiver is as follows.

$$
\begin{array}{ccccc}
 & & P_3 & & \\
 & \nearrow & & \searrow & \\
 & P_2 & & I_2 & \\
 \nearrow & & \searrow \ \nearrow & & \searrow \\
P_1 = S_1 & & S_2 & & I_3 = S_3
\end{array}
$$

  To find all 5 tilting $\Bbbk Q$-modules, we must look for sets of three modules in the AR quiver that do not form a mesh, or almost split sequence. To do this, we can draw the **compatibility graph**, which is the graph in which there is an edge between any two indecomposable modules that form a rigid pair. This idea first originated as generalized associahedra (Stasheff polytopes) by Chapoton, Fomin, and Zelevinsky in [11]. Later in [36], Marsh, Reineke, and Zelevinsky constructed these generalized associahedra using the representation theory of quivers and something called the category of decorated representations. Below is the compatibility graph for this example.
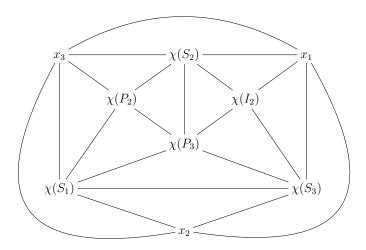
17

The 5 tilting $\Bbbk Q$-modules are given by the triangles in the compatibility graph. We can re-write this using dimension vectors as follows:

Notice that any dimension vector that is a linear combination of any two other dimension vectors lies on the line connecting the two dimension vectors.

4.1 Cluster Variables

A cluster algebra whose initial quiver is of type A_3 has 9 cluster variables, 6 from the cluster character of the 6 indecomposable rigid modules and 3 from the initial cluster (x_1, x_2, x_3). We can put all these cluster variables in an **extended compatibility graph** where we draw an edge between any two clusters variables that occur in a cluster.

Including the trivial outer triangle that corresponds to the initial cluster, there are 14 triangles in the compatibility graph. We can visualize cluster mutation as 'wall crossing' in the compatibility graph and moreover, from the fact that the compatibility graph is a manifold, we see that we can get between any two clusters, or triangles, by a sequence of mutations. Consider the sequence of mutations of the initial cluster $(x_1, x_2, x_3) \overset{\mu_1}{\mapsto} (\chi(x_1), x_2, x_3) \overset{\mu_3}{\mapsto}$ $(\chi(x_1), x_2, \chi(x_3))$. Then this is seen in the compatibility graph as the following sequence of 'wall crossings' where the corresponding triangle has edges given by the cluster variables in the mutated cluster.

4.2   Support Tilting Modules and Shifted Projectives

We will now try to understand why there are 14 clusters, but only 5 tilting modules. To do this, we need to weaken the notion of a tilting module to a support tilting module which was introduced by Ingalls and Thomas in [32].

Definition 4.2. A $\Bbbk Q$ module $T = T_1 \oplus T_2 \oplus \cdots \oplus T_k$ where $k \leq n$ is called **support tilting** if the following hold.

1. T is rigid.

2. The T_i are non-isomorphic.
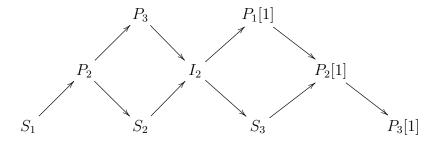
3. The support of T has k elements.

It is condition 3 that motivates the name support tilting because this condition stipulates that the module is tilting on its support. Recall that the support of a module is the set of vertices of the quiver at which the corresponding representation has a non-zero vector space.

Example 4.2. Take Q to be $1 \leftarrow 2 \leftarrow 3$.

- $P_1 \oplus P_2$ is support tilting.

- Any S_i is support tilting.

- $P_2 \oplus P_3$ is **not** support tilting. Note that $|\text{supp}(P_2 \oplus P_3)| = |\{1, 2, 3\}| = 3 \neq 2$.

We will now introduce the algebraic objects that correspond to the initial cluster variables. A **shifted projective** $P_i[1]$ is an object with projective presentation $P_i \to 0 \to P_i[1]$. These are more naturally realized in the bounded derived category of mod-Λ where the shift [1] denotes the shift functor in $D^b(\text{mod-}\Lambda)$ and the presentation is a distinguished triangle in the category. After applying the Nakayama functor to the aforementioned projective presentation, we get $0 \to I_i = \tau P_i[1] \to I_i \to 0$, so we conclude that $\tau(P_i[1]) = I_i$. For $Q : 1 \leftarrow 2 \leftarrow 3$, the AR quiver with the shifted projectives is the following:

$$\begin{array}{ccccccc}
& P_3 & & P_1[1] & & & \\
\nearrow & & \searrow \nearrow & & \searrow & & \\
P_2 & & I_2 & & P_2[1] & & \\
\nearrow & & \searrow \nearrow & & \searrow \nearrow & & \searrow \\
S_1 & & S_2 & & S_3 & & P_3[1]
\end{array}$$

Really what we are looking at here is the 'cluster category' intoduced by Buan, Marsh, Reineke, Reiten, and Todorov in [8].

4.3   Support Tilting Pairs and Extending the Cluster Character

The notion of support tilting (silting) pairs was first introduced in [5] by Broomhead, Pauksztello and Ploog, though as we will see, the notion of silting objects is older.

Definition 4.3. A **silting pair** is a tuple of $\Bbbk Q$-modules (T, P) where $T = T_1 \oplus T_2 \oplus \cdots \oplus T_k$ is a support tilting module and $P = P_{j_1} \oplus P_{j_2} \oplus \cdots \oplus P_{j_{n-k}}$ is a projective module with $n - k$ components whose simple tops $S_{j_1}, \ldots, S_{j_{n-k}}$ at the vertices of Q are *not* in the support of T.

Note the condition on the tops of the projective summands is equivalent to $\text{Hom}(P, T) = 0$.

Example 4.3. Taking Q to be the linear $\mathbb{A}_3$ quiver from above, we have the following silting pairs.
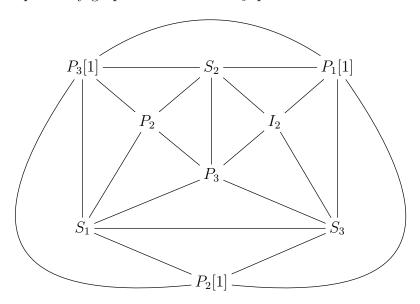
- $(P_1 \oplus P_3, P_3)$ is a silting pair.

- $(S_1, P_2 \oplus P_3)$ is a silting pair.

We are now ready to completely explain the compatibility diagram in terms of algebraic objects. The first part of the bijection in the next theorem was first proven by Buan, Marsh, Reineke, Reiten, and Todorov in [8]. They moreover showed that the tilting objects in the cluster category, which are formed by taking direct sums of indecomposables and shifted projectives, are in bijection with clusters. Adachi, Iyama, and Reiten in [1] showed that silting pairs $(T_1 \oplus T_2 \oplus \cdots \oplus T_k, P_{j_1} \oplus P_{j_2} \oplus \cdots \oplus P_{j_{n-k}})$ and the aforementioned tilting objects are in bijection via the map $(T, P) \mapsto T_1 \oplus T_2 \oplus \cdots \oplus T_k \oplus P_{j_1}[1] \oplus P_{j_2}[1] \oplus \cdots \oplus P_{j_{n-k}}[1]$. This is one way to get the latter part of the below bijection.

Theorem 4.1. *There is a bijection between the collections {rigid indecomposable $\Bbbk Q$-modules M and shifted projectives} and {cluster variables in the corresponding cluster algebra}. This bijection induces a bijection $\varphi : \{silting\ pairs\} \to \{clusters\}$ by $\varphi((T, P)) = (\chi(T_1), \chi(T_2), \ldots, \chi(T_k), x_{j_1}, \ldots, x_{j_{n-k}})$.*

Remark 4.1. Recall in Example 3.4, we mentioned that the Euler characteristic of the quiver grassmannian of any module can be computed using the shortcut $2 - 2g$ where g is the genus of the quiver grassmannian. By the positivity conjecture, Theorem 2.1, this number is always nonnegative whenever M corresponds to a cluster variable. The previous theorem allows us to conclude that the genus of the quiver grassmannian of a rigid module M is at most 1.

We can now define the **extended compatibility graph** in terms of representation theory, which was done by Buan, Marsh, Reineke, Reiten, and Todorov in [8], as follows. We connect $P_i[1]$ and M with an edge if $\mathrm{Hom}(P_i, M) = 0$, we connect M and N with an edge if $\mathrm{Ext}(M, N) = \mathrm{Ext}(N, M) = 0$, and we connect $P_i[1]$ and $P_j[1]$ with an edge so long as $i \neq j$. The extended compatibility graph for the linear $\mathbb{A}_3$ quiver is as follows.

Notice that in the above extended compatibility graph, a nontrivial edge is only shared by at most two triangles. For instance if we consider the silting pair $(S_1 \oplus S_3, P_2)$, we are analyzing the triangle with vertices given by S_1, S_3, and $P_2[1]$. We see that if we remove $P_2[1]$, there is only one other triangle that has two vertices given by S_1 and S_3, namely the triangle whose third vertex is P_3. This is an example of the following not algebraically obvious theorem proven by Buan, Marsh, Reineke, Reiten, and Todorov in [8].

Theorem 4.2. *Given a silting pair (T, P) and one object in the cluster T_i or $P_i[1]$, there is exactly one way to replace that object with another object such that the new collection of objects is a silting pair.*

4.4   Stability Pictures

The stability picture, more commonly known as the wall and chamber structure, is another method of studying quiver algebras. We will now define the stability picture and see how it

is related to the extended cluster diagram. We first need the notion of stability conditions, which was first studied by King in [34]. In [4], Bridgeland used these stability conditions to construct a scattering diagram whose support is the so-called wall and chamber structure which we define below.

Definition 4.4. For $\theta \in \mathbb{R}^n$, a non-zero module $M \in \text{mod-}\Bbbk Q$ is called θ-**stable** if it is orthogonal to θ, that is, $\theta \cdot M = \theta \cdot \dim M = 0$, and $\theta \cdot L < 0$ for every proper submodule L of M. Moreover, a module M orthogonal to θ is called θ-**semistable** if $\theta \cdot L \leq 0$ for every submodule L of M.

We wish to study all the values of θ such that a fixed module M is θ-stable.

Definition 4.5. The stability space of a $\Bbbk Q$-module M is $\mathcal{D}(M) = \{\theta \in \mathbb{R}^n : M \text{ is } \theta\text{-semistable}\}$.
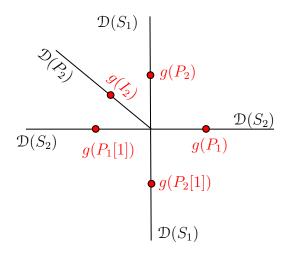
When $\mathcal{D}(M)$ has codimension 1, we call it a **wall**. It is not the case that the stability space of every indecomposable module always gives a wall. Precisely which modules have walls as their stability space has been worked out by Treffinger in [42].

Definition 4.6. Let

$$\mathcal{R} = \mathbb{R}^n - \overline{\bigcup_{M \in \text{mod}\Bbbk Q} \mathcal{D}(M)}$$

denote the maximal open set of θ having no θ-semistable non-zero modules. Then a connected component $\mathcal{C}$ of $\mathcal{R}$ is called a **chamber**.
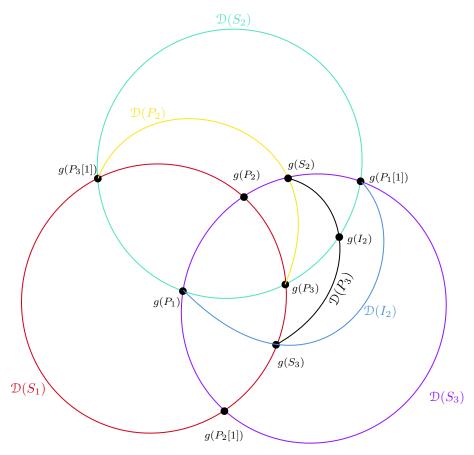
Example 4.4. Let $Q = 1 \leftarrow 2$. Then we have three indecomposable modules S_1, P_2, and S_2 with respective dimension vectors $(1,0), (1,1)$, and $(0,1)$. Given $\theta \in \mathbb{R}^2$, we have $(a,b) \cdot (1,0) = 0 \iff a = 0$, so $\mathcal{D}(S_1) = \{(a,b) : a = 0\}$. Similarly, $\mathcal{D}(S_2) = \{(a,b) : b = 0\}$. Finally, $(a,b) \cdot (1,1) = 0 \iff a = -b$. But note that since $S_1 \leq P_1$, we also require that $(a,b) \cdot (1,0) \leq 0 \iff a \leq 0$. Therefore $\mathcal{D}(P_2) = \{(a,b) : a = -b \text{ and } a \leq 0\}$. Below is a depiction of the wall and chamber structure, which we also call the **stability picture**. In this stability picture we also have the g-vectors of each indecomposable module and shifted projective. Note that the g-vector of the shifted projective $P_i[1]$ is $(0, \ldots, 0, -1, 0, \ldots, 0)$ where the -1 is in the ith postition, since any shifted projective has a projective presentation $P_i \to 0 \to P_i[1]$.

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Notice in the previous example that the g-vectors of all indecomposable modules and shifted projectives lie on a wall in the stability picture. This is indeed not a coincidence and was proven in more generality by Brüstle, Smith, and Treffinger in [7].

Theorem 4.3. *The g-vectors of indecomposable rigid modules and shifted projectives lie on walls in the stability picture.*

Example 4.5. Take $Q = 1 \leftarrow 2 \leftarrow 3$. Then a stereographic projection of the stability picture is depicted below. The ith coordinate is negative inside the wall of the ith simple, it is positive outside the wall, and it is zero on the wall. The vertices depicted are the g-vectors of the corresponding indecomposable modules and their shifted projectives. Notice that there are 14 chambers including the trivial outer chamber, each bounded by three g-vectors of three indecomposable modules or shifted projectives. This is no coincidence since the objects corresponding to the vertices of each chamber form a silting pair and hence a cluster. Notice that the stability picture is actually homeomorphic to the extended compatibility graph that we have already computed by straightening the walls that connect any two g-vectors.

In general, there is a bijection between chambers in the stability picture and silting pairs proven by Brüstle, Smith, and Treffinger in [7].

Theorem 4.4. *The chambers in the stability picture are in bijection with silting pairs, and hence clusters, where each chamber is sent to the direct sum of the modules/shifted projectives whose g-vectors enclose said chamber.*

It follows from this theorem that two g-vectors that lie on a wall in the stability picture with no g-vector between them form a rigid pair. Thus the extended compatibility graph and the stability picture are homeomorphic for any finite dimensional hereditary algebra. Notice that the wall and chamber structure in the previous example triangulates the 2-sphere. This was proven true by Demonet, Iyama, and Jasso in [14] for all algebras that have finitely many silting pairs.

Theorem 4.5. *The compatibility graph and stability picture of a hereditary algebra with finitely many silting pairs form a triangulation of an $n - 1$ sphere.*

5   Rigidity

One may question whether the stability picture in Example 4.5 is accurate; that is, whether there is nothing inside $\mathcal{D}(S_1)$ and outside both $\mathcal{D}(S_3)$ and $\mathcal{D}(S_2)$ for instance. The answer to this question is yes, the diagram is accurate and the reason for this is because 'rigidity is an open condition'. We will spend this section proving this fact, but first, we begin with an example.

Example 5.1. Take $\Bbbk = \mathbb{C}$ and $Q : 1 \to 2$. Then the collection of all representations of Q with dimension $(3, 2)$ is

$$\mathrm{Rep}_{\mathbb{C}Q}(3, 2) := \{\mathbb{C}^3 \xrightarrow{f} \mathbb{C}^2\} \cong \mathbb{C}^6.$$

The morphism f is given by a 3×2 matrix with entries in $\mathbb{C}$, say $\begin{bmatrix} a & c & e \\ b & d & f \end{bmatrix}$. The condition of f being onto is equivalent to this matrix having full rank of 2, which is further equivalent to the non-vanishing of the minors: $ad - bc \neq 0$, $cf - de \neq 0$, and $af - be \neq 0$. Since these are polynomial inequalities, $\{f : f \text{ is onto}\}$ is a Zariski open subset of $\mathrm{Rep}_{\mathbb{C}Q}(3, 2) \cong \mathbb{C}^6$. With the usual topology on $\mathbb{C}^6$, we see that $\{f : f \text{ is onto}\}$ is open, dense, and has full measure.

If f is onto, then the corresponding representation decomposes:

$$\mathbb{C}^3 \twoheadrightarrow \mathbb{C}^2 \cong \mathbb{C}^2 \xrightarrow{\cong} \mathbb{C}^2 \oplus \mathbb{C} \to 0 = 2P_1 \oplus S_1.$$

Therefore all the f in this open, dense, full measure set give the same rigid module, namely $2P_1 \oplus S_1$.

It is this idea that surjectivity of a morphism is a Zariski open condition, illuminated by the previous example, that will play a key role in showing that the stability picture in Example 4.5 is accurate. To continue, we must further develop the notion of 2-term silting complexes.

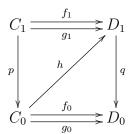## 5.1   Category of 2-term Silting Complexes

The notion of a silting object in a category was first used studied by Keller and Vossieck in [33]. The notion of two-term silting complexes was first studied several years later by Hoshino, Kato, and Miyachi in [25]. Some time later, Adachi, Iyama, and Reiten in [1]

noticed that the zero cohomologies of such complexes connect with cluster theory and more generally, τ-tilting theory. For more on the topic, we suggest Hügel's survey [26].

The **category of 2-term silting complexes** is a subcategory of the bounded derived category of $\Bbbk Q$. Its objects, called **2-term silting complexes**, are segments of chain complexes of the form $C_1 \xrightarrow{P} C_0$ where C_0 and C_1 are both projective $\Bbbk Q$-modules. The morphisms are morphisms of chain complexes up to chain homotopy. More concretely, they are pairs of module morphisms $f_* = (f_1, f_0)$ up to equivalence such that the following diagram commutes:

$$
\begin{array}{ccc}
C_1 & \xrightarrow{f_1} & D_1 \\
{\scriptstyle p}\downarrow & & \downarrow{\scriptstyle q} \\
C_0 & \xrightarrow[f_0]{} & D_0
\end{array}
$$

Two morphisms f_* and g_* are equivalent, or chain homotopic denoted by $f_* \simeq g_*$, if there exists a module morphism $h : C_0 \to D_1$ such that $qh = g_0 - f_0$ and $hp = g_1 - f_1$. The following diagram may be useful.
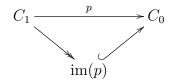
$$
\begin{array}{ccc}
C_1 & \underset{g_1}{\overset{f_1}{\rightrightarrows}} & D_1 \\
{\scriptstyle p}\downarrow & \nearrow{\scriptstyle h} & \downarrow{\scriptstyle q} \\
C_0 & \underset{g_0}{\overset{f_0}{\rightrightarrows}} & D_0
\end{array}
$$

The morphism h is a **chain homotopy**. The indecomposable objects of this category are completely described:

Theorem 5.1. *The indecomposable objects in the category of 2-term silting complexes are*

1. *Projective presentations of indecomposable $\Bbbk Q$-modules $M: C_1 \xrightarrow{p} C_0$ where the cokernel of p is M.*

2. *Objects of the form $P \to 0$ where P is an indecomposable projective, that is, the projective presentations of the shifted projectives.*

Sketch of proof. Let $C_1 \xrightarrow{p} C_0$ be a 2-term silting complex. Then we have a commutative diagram:

$$
\begin{array}{ccc}
C_1 & \xrightarrow{\quad p \quad} & C_0 \\
& \searrow \quad \nearrow & \\
& \text{im}(p) &
\end{array}
$$

Since $\Bbbk Q$ is hereditary, we have that $\mathrm{im}(p)$ is a projective module, so we have a projective presentation of $M = \mathrm{coker}(p)$ given by $0 \to \mathrm{im}(p) \to C_0 \to M \to 0$. Moreover, the exact sequence $0 \to \ker(p) \to C_1 \to \mathrm{im}(p) \to 0$ splits by the projectivity of $\mathrm{im}(p)$. Therefore, we can decompose the original 2-term silting complex into the direct sum of projective presentations of a shifted projective and M respectively:
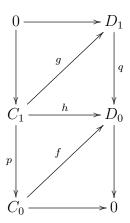
$$C_1 \xrightarrow{p} C_0 \cong \ker(p) \to 0 \oplus \mathrm{im}(p) \to C_0.$$

$\square$

We will now recall some facts from homological algebra and category theory.

Lemma 5.2. *The projective presentation of a $\Bbbk Q$-module M is unique up to chain homotopy.*

Theorem 5.3. *Let $C_1 \to C_0$ and $D_1 \to D_0$ be two projective presentations of M and N respectively. Then $Ext^1(M, N)$ is the set of homotopy classes of maps $h : C_1 \to D_0$.*

What this theorem is saying is that $\mathrm{Ext}(M, N)$ is equivalent to the set of all h up to homotopy of the form

In this diagram, we have shifted the projective presentation of N by one. Really what we are looking at here is $\mathrm{Hom}(M, N[1])$ in the derived category, which is well known to be $\mathrm{Ext}(M, N)$; however, from this diagram we can conclude that $\mathrm{Ext}(M, N)$ is the cokernel of the map $\mathrm{Hom}_{\Bbbk Q}(C_0, D_0) \oplus \mathrm{Hom}_{\Bbbk Q}(C_1, D_1) \xrightarrow{(p,q)} \mathrm{Hom}_{\Bbbk Q}(C_1, D_0)$ that sends $(f, g) \mapsto f \circ p + q \circ g$. If $\mathrm{Ext}(M, N) = 0$, then this map is surjective, a Zariski open condition on the maps p and q. We have the following corollary whose proof is written down by Igusa, Orr, Todorov, and Weyman in [29].

Corollary 5.4. *Suppose $Ext(M, N) = 0$. Then there exist open neighborhoods U and V of p and q respectively in $Hom_{\Bbbk Q}(C_1, C_0)$ and $Hom_{\Bbbk Q}(D_1, D_0)$ such that all $f \in U$ and $g \in V$ are monomorphisms whose cokernels satisfy $Ext(cokerf, cokerg) = 0$.*

To continue, we require the following lemma originally due to Happel and Ringel in [23]. A proof of which can also be found in [12].

Lemma 5.5 (Happel-Ringel). *Let A, B be two indecomposable $\Bbbk Q$-modules such that $Ext(B, A) = 0$. Then any nonzero morphism $f : A \to B$ is either mono or epi.*

Proof. Let $f : A \to B$ be a morphism and let $C = \operatorname{im} f$ and $X = \ker f$. Then we have a short exact sequence $0 \to X \to A \overset{g}{\to} C \to 0$. This induces a long exact sequence that contains the chain complex $\operatorname{Ext}(B/C, X) \to \operatorname{Ext}(B/C, A) \overset{g_\#}{\to} \operatorname{Ext}(B/C, C) \to 0$ where the last term vanishes because $\Bbbk Q$ is hereditary. We conclude that the map $g_\#$ is a surjection. In particular, this implies that there exists a module D such that we have the following diagram:

$$
\begin{array}{ccccccccc}
0 & \longrightarrow & A & \longrightarrow & D & \longrightarrow & B/C & \longrightarrow & 0 \\
& & \downarrow{\scriptstyle g} & & \downarrow & & \downarrow{\scriptstyle 1} & & \\
0 & \longrightarrow & C & \longrightarrow & B & \longrightarrow & B/C & \longrightarrow & 0
\end{array}
$$

This yields a Mayer-Vietoris sequence in which every third map is an isomorphism, hence we attain a short exact sequence $0 \to A \to C \oplus D \to B \to 0 \in \operatorname{Ext}(B, A)$. Since $\operatorname{Ext}(B, A) = 0$ by assumption, we have that $C \oplus D \cong A \oplus B$. We conclude that $C = A$ in which case f is mono, or $C = B$, in which case f is epi. $\qquad\square$

An example of how we can use this lemma is the following.

Example 5.2. Let $Q = 1 \leftarrow 2 \leftarrow 3$. Then there is a map from P_2 to I_2, namely $(0, 1, 0)$. This map is neither mono nor epi, so by the Happel-Ringel lemma we conclude that there is a nontrivial extension of I_2 by P_1, namely $P_2 \hookrightarrow S_2 \oplus P_3 \twoheadrightarrow I_2$, which is an almost split sequence.

Moreover, if we consider a rigid module M, then any map from M to itself is either mono or epi by the Happel-Ringel lemma. Then we have the following corollary which can also be found in [12].

Corollary 5.6. *If M is rigid and indecomposable, then $End(M) = \Bbbk$; that is, M is a brick.*

5.2 Rigidity is Open

The next and main result of this section provides some intuition behind why the term rigid is chosen. Intuitively it comes down to the fact that if you shake M a little bit, M won't change. The proof is written by Igusa, Orr, Todorov, and Weyman in [29].

Theorem 5.7. *Let $0 \to P' \to P \to M \to 0$ be a projective presentation of a rigid $\Bbbk Q$ module M. Then there is a Zariski open neighborhood $U \subset Hom(P', P)$ such that all $f \in U$, are mono and $M_f := cokerf \cong M$. In other words, If M is rigid, almost all modules M' with the same dimension vector as M are isomorphic to M.*

Sketch of Proof. Suppose M is indecomposable, we will prove the general case in Section 6. By Corollary 5.4, we know there is an open $U \subset \operatorname{Hom}(P', P)$ such that all $f, g \in U$ are mono and $\operatorname{Ext}(M_f, M_g) = 0$. It remains to show that M_f and M_g are isomorphic. We have two short exact sequences

$$0 \to P' \to P \to M_g \to 0$$

$$0 \to P' \to P \to M_f \to 0$$

These short exact sequences allow us to conclude that the dimension vectors of M_f and M_g are the same. Moreover, they induce long exact sequences

$$0 \to \text{Hom}(M_g, M_f) \to \text{Hom}(P, M_f) \xrightarrow{g^\#} \text{Hom}(P', M_f) \to 0$$

$$0 \to \text{Hom}(M_f, M_f) \to \text{Hom}(P, M_f) \xrightarrow{f^\#} \text{Hom}(P', M_f) \to 0$$

where the last term is zero since there are no extensions. Since M_f is rigid and indecomposable, it is a brick by Corollary 5.6, so $\text{Hom}(M_f, M_f) = \Bbbk$. This forces $\text{Hom}(M_g, M_f) \neq 0$, so there is a nontrivial morphism $h : M_g \to M_f$ that is either mono or epi by the Happel-Ringel lemma. But since these two modules have the same dimension vector, it must be an isomorphism. $\quad\square$

We will now list some consequences of this theorem, all of which have also been proven using different methods by Brüstle, and Treffinger in [7] and by Adachi, Iyama, and Reiten in [1].

Corollary 5.8. *The components T_i of a rigid module have linearly independent g-vectors and dimension vectors.*
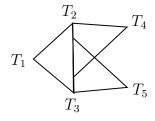
Sketch of Proof. Since $\dim M = C_{\Bbbk Q} g(M)$ where $C_{\Bbbk Q}$ is the Cartan matrix, it suffices to prove this for the g-vectors. For a contradiction, suppose that the components of a rigid module have linearly dependent dimension vectors. Then $\sum n_i g(T_i) = 0$. We then collect the positive and negative terms as in the following example. Suppose for example we have $2g(T_1) + g(T_2) = 3g(T_3) + g(T_4) = (4, -2, -1, 5)$. Then this implies we have two maps $2P_2 \oplus P_3 \underset{g}{\overset{f}{\rightrightarrows}} 4P_1 \oplus 5P_4$ such that $\text{coker} f = 2T_1 \oplus T_2$ and $\text{coker} g = 3T_3 \oplus T_4$. By the previous theorem, we have Zariski open $U, V \subset \text{Hom}(2P_2 \oplus P_3, 4P_1 \oplus 5P_4)$ such that all $h \in U$ have the same cokernel as f and all $j \in V$ have the same cokernel as g. Since U and V are Zariski open, their intersection is not empty. But then the previous theorem implies that $3T_3 \oplus T_4 \cong 2T_1 \oplus T_2$, a contradiction. $\quad\square$

The following three facts are immediate consequences from the previous corollary.

Corollary 5.9.

1. *Rigid modules can have at most n nonisomorphic indecomposable summands.*

2. *The g-vectors of the components of a silting pair span an $n - 1$ simplex.*

3. *Simplices can't overlap.*

In particular, the fact that these simplices don't overlap allows us to conclude that the stability pictures we have drawn in Section 4.4 are indeed accurate. Moreover, it implies that we cannot have simplices of the following form in our extended compatibility graph.

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If we did have such simplices, then the mutation of T_1 would not be well defined since we would not know whether to send it to T_4 or T_5.

5.3   Stable Barcode

In this subsection, we will present a connection between rigidity and persistent homology through stable barcodes. For more on the topic we suggest Vejdemo-Johansson's survey on the topic [44]. Throughout this subsection, let Q be the linearly oriented quiver of type $\mathbb{A}_n$ given by $1 \leftarrow 2 \leftarrow \cdots \leftarrow n$. Recall that the indecomposable modules are uniquely determined by their dimension vector. This leads to the notion of **interval modules** where M_{ab} denotes the indecomposable module with support $[a, b]$. We will construct the stable barcode associated to the rigid modules. To do this, we need a lemma that classifies extensions of interval modules.

Lemma 5.10. $Ext(M_{cd}, M_{ab}) \neq 0$ if and only if one of the following hold:
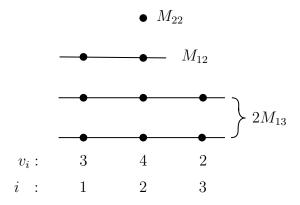
1. $a < c \leq b < d$.

2. $b + 1 = c$.

In case 1 we have a short exact sequence given by $M_{ab} \hookrightarrow M_{ad} \oplus M_{cb} \twoheadrightarrow M_{cd}$. In case 2 we have an exact sequence $M_{ab} \hookrightarrow M_{ad} \twoheadrightarrow M_{cd}$. This lemma allows us to prove the following theorem.

Theorem 5.11. *The unique rigid module with dimension vector v is given by placing v_i spots above the point $(i, 0)$ on the x-axis and joining adjacent spots horizontally. This is called the* **stable barcode** *associated to v.*

Example 5.3. Take $v = (3, 4, 2)$. Then the corresponding rigid module is $M_{22} \oplus M_{12} \oplus 2M_{13}$ and the stable barcode is as follows.
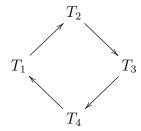

6   Maximal Green Sequences

In this section we will begin by removing the indecomposability assumption in the proof of Theorem 5.7. We begin with a lemma that was first proven by Schofield in [39], then proved in the language used here by Igusa and Schiffler in [30].

Lemma 6.1. *Let $T_1, T_2, \ldots, T_k$ be indecomposable ext-orthogonal $\Bbbk Q$-modules; that is, $Ext(T_i, T_j) = 0$ for all i and j. Then the T_i can be ordered such that $Hom(T_j, T_i) = 0$ for all $i < j$. Such a sequence of modules is an example of an **exceptional sequence**, which is a sequence of modules $(M_1, M_2, \ldots, M_k)$ such that $Hom(M_j, M_i) = 0 = Ext(M_j, M_i)$ for all $i < j$.*

Sketch of Proof. It suffices to show that there are no oriented cycles of hom:

If there were such a cycle, by the Happel-Ringel lemma, each map is a monomorphism or an epimorphism. This forces an epimorphism followed by a monomorphism whose composition is nonzero and neither mono nor epi, a contradiction of the Happel-Ringel lemma. $\qquad\square$

Corollary 6.2. *The set $\{T_1, T_2, \ldots, T_k\}$ form an exceptional collection, that is, there exists at least one ordering $(T_{i_1}, T_{i_2}, \ldots, T_{i_k})$ that is an exceptional sequence.*

We are now ready to prove Theorem 5.7.

Proof Sketch of Theorem 5.7. Let M be a rigid $\Bbbk Q$-module and $P_1 \xrightarrow{p} P_0 \to M$ be a projective presentation of M. By Corollary 5.4, there exists an open $U \subset \mathrm{Hom}(P_1, P_0)$ such that any $f, g \in U$ is such that $\mathrm{Ext}(M_f, M_g) = 0$ where M_f is the cokernel of f and similarly for g. Therefore, $M_f \oplus M_g$ is rigid. Let $E_1, E_2, \ldots E_k$ be the list of nonisomorphic indecomposable summands of $M_f \oplus M_g$. By Lemma 6.1, we may without loss of generality assume that $\mathrm{Hom}(E_j, E_i) = 0$ for $j > i$. By applying $\mathrm{Hom}(-, E_i)$ to the short exact sequence $0 \to P_1 \xrightarrow{f} P_0 \to M_f \to 0$ and the analogous one for g, we get the long exact sequences

$$0 \to \mathrm{Hom}(M_f, E_i) \to \mathrm{Hom}(P_0, E_i) \to \mathrm{Hom}(P_1, E_i) \to 0$$

$$0 \to \mathrm{Hom}(M_g, E_i) \to \mathrm{Hom}(P_0, E_i) \to \mathrm{Hom}(P_1, E_i) \to 0$$

where the last term is zero since $\mathrm{Ext}(M_f, E_i) = 0$ and analogously for g. By analyzing dimensions we conclude that $\dim(\mathrm{Hom}(M_f, E_i)) = \dim(\mathrm{Hom}(M_g, E_i)) = \Bbbk^{a_i}$. Suppose that $M_f = b_1 E_1 \oplus b_2 E_2 \oplus \cdots \oplus b_k E_k$. Then we have that $a_1 = b_1, a_2 = b_2 + c_{12}b_1$, and so on where $c_{ij} = \dim(\mathrm{Hom}(E_i, E_j))$. Therefore the a_i determine the b_i and we conclude $M_f \cong M_g$. $\qquad\square$

6.1 Sign Coherence of g-vectors

The sign coherence of g-vectors was a conjecture until proven in [22] by Gross, Hacking, Keel, and Kontsevich for skew-symmetrizable cluster algebras. The now theorem is as follows.
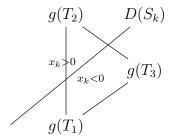
Theorem 6.3. *For any tilting module $T = T_1 \oplus T_2 \oplus \cdots \oplus T_n$ and any k, the kth coordinate of $g(T_i)$ have the same sign.*

Example 6.1. Consider $Q : 1 \leftarrow 2 \leftarrow 3$ and $T = I_2 \oplus S_2 \oplus P_3$. Then by placing the corresponding g-vectors in the first, second, and third column respectively of a matrix, we get the following.

$$\begin{bmatrix} -1 & -1 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$$

Note in each row, the signs of all the entries are the same (or zero). The first row is all negative and the last two are all positive.

The sign coherence of g-vectors is equivalent to the statement that $D(S_k) = \{x \in \mathbb{R}^n : x_k = 0\}$ does not cut the simplex with vertices $g(T_i)$. That is, we can't have the following in the stability picture:

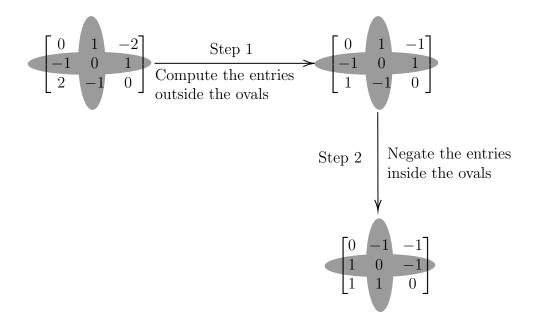## 6.2 c-vectors and Frozen Vertices

Recall the notion of quiver mutation from Definition 2.2. We can provide an analogous definition of mutation in terms of the exchange matrix, which can be found in [6], as follows.

Definition 6.1. Let B be the exchange matrix for a quiver Q. Then for any $1 \leq k \leq n$, the **mutation of B in the direction** k is the matrix $\mu_k(B) = [b_{ij}]$ given by

$$b_{ij} = \begin{cases} -b_{ij} & i = k \text{ or } j = k \\ b_{ij} + \max(b_{ik}, 0)\max(b_{kj}, 0) - \min(b_{ik}, 0)\min(b_{kj}, 0) & \text{otherwise} \end{cases}$$

Notice that $\mu_k(B)$ is the exchange matrix of $\mu_k(Q)$, hence is skew-symmetric. We can perform mutations of the exchange matrix in two steps as in the following example.

Example 6.2. Recall the exchange matrix from Example 2.2. Then we can mutate at 2 using the following two step process. Since we are mutating at two, we highlight both the second row and column.
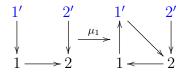
$$\begin{bmatrix} 0 & 1 & -2 \\ -1 & 0 & 1 \\ 2 & -1 & 0 \end{bmatrix} \xrightarrow{\quad\text{Step 1}\quad} \begin{bmatrix} 0 & 1 & -1 \\ -1 & 0 & 1 \\ 1 & -1 & 0 \end{bmatrix}$$

Compute the entries outside the ovals

Step 2 Negate the entries inside the ovals

$$\begin{bmatrix} 0 & -1 & -1 \\ 1 & 0 & -1 \\ 1 & 1 & 0 \end{bmatrix}$$

We will now extend the exchange matrix of Q by adding the identity matrix to the bottom of B. This new extended exchange matrix of B will be denoted $\tilde{B} = \frac{B}{C}$ where C is the identity matrix. We can provide a purely combinatorial description of how mutation for this new extended exchange matrix works; however, it may be useful to introduce the notion of frozen vertices which can also be found in [6].

Definition 6.2. To any quiver $Q = (\{1, 2, \ldots, n\}, \{\alpha_1, \alpha_2, \ldots \alpha_k\})$, we denote by $\check{Q}$ the quiver with $\check{Q}_0 = Q_0 \cup \{1', 2', \ldots, n'\}$ and $\check{Q}_1 = Q_1 \cup \{\alpha_i' : i' \to i : i \in \{1, 2, \ldots, n\}\}$. The vertices not in Q_0 are called **frozen vertices** since we can't mutate $\check{Q}$ at these vertices.

Remark 6.1. Sometimes the notation $\hat{Q}$ is used. The quiver $\hat{Q} = (\check{Q}_0, Q_1 \cup \{\alpha_i' : i' \leftarrow i : i \in \{1, 2, \ldots, n\}\})$.

We can use this extended quiver to define mutation of the extended exchange matrix. In particular the mutated extended exchange matrix is just the exchange matrix of the mutated extended quiver.

Example 6.3. Let $Q = 1 \to 2$. Then the extended quiver along with a mutation at vertex 1 is depicted below. Note that the frozen vertices are blue.

The extended exchange matrix along with its mutation at one is depicted below. For $i, j \le |Q_0|$, the ijth entry denotes the number of arrows from i to j minus the number of arrows from j to i. For $i > |Q_0|$, the ijth entry denotes the number of arrows from $(i - |Q_0|)'$ to j minus the number of arrows from j to $(i - |Q_0|)'$. For example in the mutated matrix, entry 31 is -1 since in the mutated extended quiver there are no arrows from $1'$ to 1 and there is one arrow from 1 to $1'$.

$$\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ \hline 1 & 0 \\ 0 & 1 \end{bmatrix} \xrightarrow{\mu_1} \begin{bmatrix} 0 & -1 \\ 1 & 0 \\ \hline -1 & 1 \\ 0 & 1 \end{bmatrix}$$

Definition 6.3. Let $\tilde{B} = \frac{B}{C}$ be the extended exchange matrix associated to the cluster algebra with initial seed (Q, x_*). Then the set of c-**vectors** is the set consisting of the columns of C along with the columns of any C' that arises from a mutation of $\tilde{B}$. The matrix C along with any mutation of it is called a **C-matrix**.

6.3 Maximal Green Sequences

We are now ready to define maximal green sequences. As a remark, these sequences are not named after a person, but the color green. Keller is credited with coming up with the green/red traffic light coloring system.

Definition 6.4. A **maximal green sequence** (MGS) is a sequence of green mutations starting with $\tilde{B} = \frac{B}{I}$ and ending with all negative c-vectors. A mutation μ_k is said to be **green** if the c-vector c_k is positive. If c_k is negative, μ_k is said to be a **red** mutation.

Remark 6.2. The definition of a MGS is dependent on the fact that c-vectors can't be both red and green at the same time; that is, they are sign-coherent. This was proven first by Derksen, Weymen, and Zelevinski for quivers with potential in [15] then later by Gross, Hacking, Keel, and Kontsevich for general cluster algebras in [22]. In [19], Fu defined the c-vectors in terms of g-vectors then proved the statement for all finite dimensional algebras. Fu used a fact that we will see later; that is, the sign coherence of c-vectors follows from the fact that they are the dimension vectors of certain indecomposable modules. In [42], Treffinger classifies precisely the modules whose dimension vectors give positive c-vectors.

Conjecture 6.1. For Q a quiver with no oriented cycles, there are only finitely many MGS.
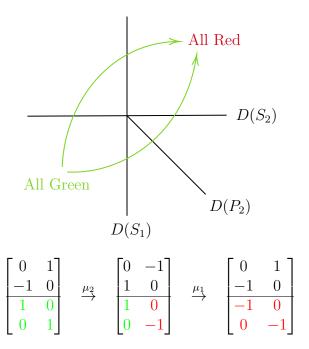
This is known to be true for tame, affine, and wild quivers with at most three vertices and was proven by Brüstle, Dupont, and Perotin in [6], but unknown in general.

Example 6.4. Let Q be the quiver $1 \to 2$. Then we have a maximal green sequence given by

$$\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ \hline 1 & 0 \\ 0 & 1 \end{bmatrix} \xrightarrow{\mu_1} \begin{bmatrix} 0 & -1 \\ 1 & 0 \\ \hline -1 & 1 \\ 0 & 1 \end{bmatrix} \xrightarrow{\mu_2} \begin{bmatrix} 0 & 1 \\ -1 & 0 \\ \hline 0 & -1 \\ 1 & -1 \end{bmatrix} \xrightarrow{\mu_1} \begin{bmatrix} 0 & -1 \\ 1 & 0 \\ \hline 0 & -1 \\ -1 & 0 \end{bmatrix}$$

Notice that the c-vectors are the dimension vectors of S_1, P_1, and S_2 or their negatives. We can also visualize this same maximal green sequence using frozen vertices as follows.

A third way to visualize a MGS is through so-called green paths in the stability picture, which were introduced by Igusa and Todorov in [31] and further explored by Igusa in [27]. The walls indicate which c-vectors to mutate. For instance in the lower path, which corresponds to the MGS shown earlier in this example, we mutate the c-vector that gives the dimension vectors of S_1, P_2, and S_2 in that order. This is precisely $\mu_1 \circ \mu_2 \circ \mu_1$ as seen above. Moreover, the below picture shows that there are only two paths from the all green region to the all red region. Therefore, there are only two maximal green sequences in this example; the lower path corresponds to the MGS shown earlier in this example and the MGS corresponding to the upper path is shown below the stability picture.

$$\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ \hline 1 & 0 \\ 0 & 1 \end{bmatrix} \quad \overset{\mu_2}{\longrightarrow} \quad \begin{bmatrix} 0 & -1 \\ 1 & 0 \\ \hline 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \overset{\mu_1}{\longrightarrow} \quad \begin{bmatrix} 0 & 1 \\ -1 & 0 \\ \hline -1 & 0 \\ 0 & -1 \end{bmatrix}$$

6.4 Relationship Between c-vectors and g-vectors

As we have seen in the previous examples, the c-vectors are dimension vectors of certain indecomposable modules. In particular, this means they correspond to the walls in the stability picture and the g-vectors are vectors that lie in these walls. The following theorem formalizes these two ideas. The first part of the following theorem was done by Nakanishi and Zelevinski in [37] where the latter part was done by Fu in [19].

Theorem 6.4. *Let $T = \oplus T_i$ be a silting module. Then the following hold.*

1. *The g-vectors $g(T_i)$ and c-vectors c_j are related by the Nakanishi-Zelevinsky formula:*

$$g(T_i) \cdot c_j = -\delta_{ij}.$$

2. *$c_j = \pm dim M_j$ where $g(T_i) \in D(M_j)$ for all $i \neq j$.*

Sketch of Part of Proof. We will take $j = 1$ and assume the Nakanishi-Zelevinsky formula. By Lemma 6.1, $(T_2, \ldots, T_n)$ forms an exceptional sequence. By properties of exceptional sequences, there exists a unique module M_1 such that $(M_1, T_2, \ldots, T_n)$ is exceptional. We

conclude that $\text{Hom}(T_j, M_1) = 0 = \text{Ext}(T_j, M_1)$ for all j. Therefore, $g(T_j) \in D(M_1) \subset (\dim M_1)^{\perp}$. This implies that $g(T_j)$ is orthogonal to the dimension vector of M_1. By the Nakanishi-Zelevinsky formula, $c_1 = \pm \dim M_1$. $\qquad\qquad\square$

It is not the common notation to negate the Kronecker delta in the Nakanishi-Zelevinsky formula. We will finish this section with a brief explanation of why the negation of the Kronecker delta makes sense from a representation-theoretic perspective.

The initial cluster in a cluster algebra is $(x_1, x_2, \ldots, x_n)$ and this corresponds to the silting pair $(0, P_1[1] \oplus P_2[1] \oplus \cdots \oplus P_n[1])$ where $P_i[1]$ has g-vector $-e_i$. By definition of a MGS, the initial c-vectors are $c_i = e_i$. Therefore, the initial g-vectors dotted with the initial c-vectors satisfy the formula $g(T_i) \cdot c_j = -\delta_{ij}$. This relation is preserved under mutations since clusters are defined to be those rational functions attained from the initial cluster by a finite sequence of mutations, hence the negation of the Kronecker delta.

7 References

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