

## Definition

Let  $M$  be a  $2m$ -dimensional topological manifold. A coordinate atlas  $\{(U, \phi_U : U \rightarrow \mathbb{C}^m)\}$  is called *holomorphic* if the transition functions  $\phi_U \circ \phi_V^{-1}$  are holomorphic functions between subsets of  $\mathbb{C}^m$ ; in this case the coordinate charts  $\phi_U$  are called *local holomorphic coordinates*. The manifold  $M$  is called *complex* if it admits a holomorphic atlas.

Two holomorphic atlases are called *equivalent* if their union is a holomorphic atlas. An equivalence class of holomorphic atlases on  $M$  is called a *complex structure*.

**Remark:** Obviously, a complex manifold of dimension  $m$  is a smooth (real) manifold of dimension  $2m$ . We will denote the underlying real manifold by  $M_{\mathbb{R}}$ .

## Example

*Complex projective space*  $\mathbb{P}^m = \mathbb{C}P^m$ , the set of (complex) lines in  $\mathbb{C}^{m+1}$ , i.e. the set of equivalence classes of the relation

$$(z_0, \dots, z_m) \sim (\alpha z_0, \dots, \alpha z_m), \quad \forall \alpha \in \mathbb{C}^* (= \mathbb{C} \setminus \{0\})$$

on  $\mathbb{C}^{m+1} \setminus \{0\}$ . In other words  $\mathbb{C}P^m = (\mathbb{C}^{m+1} \setminus \{0\}) / \sim$ .

We denote the equivalence class of  $(z_0, \dots, z_m)$  by  $[z_0, \dots, z_m]$  and call  $(z_0, \dots, z_m)$  *homogeneous coordinates*.

The complex charts are defined as for real projective space  $\mathbb{R}P^m$ :

$$U_j = \{[z_0, \dots, z_m] : z_j \neq 0\}, \quad j = 0, \dots, m$$

$$\phi_j : U_j \rightarrow \mathbb{C}^m, \quad \phi_j([z_0, \dots, z_m]) = \left( \frac{z_0}{z_j}, \dots, \frac{z_{j-1}}{z_j}, \frac{z_{j+1}}{z_j}, \dots, \frac{z_m}{z_j} \right).$$

### Example

1. *Complex Grassmanian*  $\text{Gr}_p(\mathbb{C}^m)$ ; this is the set of all  $p$ -dimensional vector subspaces of  $\mathbb{C}^m$ . Note  $\text{Gr}_1(\mathbb{C}^{m+1}) = \mathbb{C}P^m$ .
2. The *torus*  $T^2 := \mathbb{R}^2/\mathbb{Z}^2$  is a complex manifold of dimension 1.
3. *Level sets of submersions*  $f : \mathbb{C}^{m+1} \rightarrow \mathbb{C}$ . If  $f$  is holomorphic and its differential  $df$  does not vanish at any point of  $f^{-1}(c)$ , then  $f^{-1}(c)$  is a complex submanifold. For example *Fermat hypersurfaces*:

$$\left\{ (z_0, \dots, z_m) \in \mathbb{C}^{m+1} : \sum_{j=0}^m z_j^{d_j} = 1 \right\}, \quad d_0, \dots, d_m \in \mathbb{N}.$$

4. Similarly, *homogeneous* polynomials  $f$  on  $\mathbb{C}^{m+1}$  give complex submanifolds of  $\mathbb{C}P^m$ .
5. *Complex Lie groups*:  $GL(n, \mathbb{C})$ ,  $O(n, \mathbb{C})$ , etc.; all open subsets of the space of all  $n \times n$  matrices:  $M_n(\mathbb{C}) \cong \mathbb{C}^{n^2}$ .

## Some linear algebra

### Definition

Let  $V_{\mathbb{R}}$  be a real vector space. A *complex structure* on  $V_{\mathbb{R}}$  is a linear map  $J : V_{\mathbb{R}} \rightarrow V_{\mathbb{R}}$  satisfying  $J^2 = -\text{Id}$

Let  $V$  be any  $m$ -dimensional complex vector space and let  $V_{\mathbb{R}}$  be the underlying  $2m$ -dimensional vector space. Then the  $\mathbb{R}$ -linear map defined by  $Jv = iv$  is an almost complex structure.

Conversely, given any  $2m$ -dimensional real vector space  $V_{\mathbb{R}}$  with a complex structure  $J$ , let  $V_{\mathbb{C}} := \mathbb{C} \otimes V_{\mathbb{R}}$  be the associated  $2m$ -dimensional complex vector space. The complex structure extends to a  $\mathbb{C}$ -linear map  $J : V_{\mathbb{C}} \rightarrow V_{\mathbb{C}}$ . Let  $V^{1,0}$  and  $V^{0,1}$  denote the eigenspaces of  $J$  with eigenvalues  $i$  and  $-i$ . There is a canonical isomorphism  $V_{\mathbb{R}} \rightarrow V^{1,0} \oplus V^{0,1}$ ,  $X \mapsto X - iJX$  with inverse  $Z \mapsto (Z + \bar{Z})/2$ . This endows  $V_{\mathbb{R}}$  with the structure of a complex vector space.

**Remark:** vector spaces that carry almost complex structures are necessarily even-dimensional!

# Almost complex manifolds

## Definition

An *almost complex structure* on a smooth real manifold  $M$  is an endomorphism  $J$  of the tangent bundle such that  $J^2 = -1$  (i.e.  $J$  is a family of complex structures  $J_x$  on  $T_x M$  that depend smoothly on  $x$ ). The pair  $(M, J)$  is called an *almost complex manifold* in this case.

**Remark:** Obviously, an almost complex manifold has even dimension, but not every even-dimensional smooth manifold admits an almost complex structure, e.g.  $S^4$  does not [Borel & Serre, 1951] showed that the only spheres admitting an almost complex structure are  $S^2$  ( $=\mathbb{C}P^1$ ) and  $S^6$ .

## Example

Any complex manifold carries a canonical almost complex structure, defined as follows. Let  $(z^1, \dots, z^m) : U \rightarrow \mathbb{C}^m$  be a holomorphic chart on a complex manifold and let  $(x^1, y^1, \dots, x^m, y^m)$  be real coordinates such that  $z^j = x^j + iy^j$  for  $j = 1, \dots, m$ . We define

$$J(\partial/\partial x^j) = \partial/\partial y^j, \quad J(\partial/\partial y^j) = -\partial/\partial x^j \quad \forall j = 1, \dots, m.$$

We will show later that this definition is independent of the choice of holomorphic coordinates.

**Remark:** It is not true however that every almost complex structure is obtained from a complex structure.  $S^6$  admits an almost complex structure, but it is still an open problem whether it can also be made into a complex manifold.

# The holomorphic tangent bundle

## Definition

Let  $(M, J)$  be an almost complex manifold. The *complexified tangent bundle* is  $T_{\mathbb{C}}M := \mathbb{C} \otimes_{\mathbb{R}} TM$ . The *holomorphic* (*antiholomorphic*) tangent bundle of  $M$  is the eigenbundle  $T^{1,0}M$  ( $T^{0,1}M$ ) of  $J$  in  $T_{\mathbb{C}}M$  with eigenvalue  $i$  ( $-i$ ).

The *holomorphic cotangent bundle* (*anti-holomorphic cotangent bundle*) is the subbundle  $\Lambda^{1,0}M$  ( $\Lambda^{0,1}M$ ) of  $\Lambda^1_{\mathbb{C}}M$  consisting of all cotangent vectors  $u$  such that  $u(X) = 0 \ \forall X \in T^{0,1}M$  ( $\forall X \in T^{1,0}M$ ). Finally,  $\Lambda^{p,q}M := \Lambda^p(\Lambda^{1,0}M) \wedge \Lambda^q(\Lambda^{0,1}M)$ . Sections of  $\Lambda^{p,q}M$  are called  $(p, q)$ -forms, and the space of all such forms is denoted by  $\Omega^{p,q}M$ .

There are canonical splittings:

$$T_{\mathbb{C}}M = T^{1,0}M \oplus T^{0,1}M, \quad \Lambda^r_{\mathbb{C}}M = \bigoplus_{p+q=r} \Lambda^{p,q}M.$$

## Example

On a complex manifold, in terms of local holomorphic coordinates  $z^j$  near a point  $p \in M$ ,

$$T^{1,0}_p M = \text{span} \left\{ \frac{\partial}{\partial z_j} \right\}, \quad T^{0,1}_p M = \text{span} \left\{ \frac{\partial}{\partial \bar{z}_j} \right\}$$

If  $u^j$  are another set of holomorphic coordinates then

$$\frac{\partial}{\partial u^j} = \frac{\partial z^k}{\partial u^j} \frac{\partial}{\partial z^k},$$

because  $\partial \bar{z}^k / \partial u^j = 0$ . A similar statement holds for  $\partial / \partial \bar{u}^j$ . It follows that  $J$  is independent of the choice of complex coordinates, since the splitting  $T_{\mathbb{C}}M = T^{1,0}M \oplus T^{0,1}M$  determines  $J$ .

A section of  $T^{1,0}M$  can be written  $X = X^j \partial / \partial z^j$ . It follows that if both  $X$  and  $Y$  are sections of  $T^{1,0}M$ , then so is their Lie bracket  $[X, Y]$ .

# The Newlander-Nirenberg Theorem

## Theorem (Newlander-Nirenberg)

Let  $(M, J)$  be an almost complex manifold. The almost complex structure  $J$  comes from a complex structure if and only if

$$X, Y \in \Gamma(T^{1,0}M) \implies [X, Y] \in \Gamma(T^{1,0}M).$$

**Remark:** An equivalent condition is the vanishing of the *Nijenhuis tensor*:

$$N(X, Y) = [JX, JY] - [X, Y] - J[X, JY] - J[JX, Y].$$

As for the proof, we have just have seen the "only if" part.

The "if" part is very hard. See Kobayashi & Nomizu for a proof under an additional assumption that  $M$  and  $J$  are real-analytic, and Hörmander's "Introduction to Complex Analysis in Several Variables" for a proof in full generality.

## The dual picture

### Example

In local holomorphic coordinates  $z^j$  on a complex manifold  $M$ ,  $(p, q)$ -forms  $u$  may be written as follows:

$$u = \frac{1}{p!q!} u_{j_1 \dots j_p \bar{k}_1 \dots \bar{k}_q}(z, \bar{z}) dz^{j_1} \wedge \dots \wedge dz^{j_p} \wedge d\bar{z}^{k_1} \wedge \dots \wedge d\bar{z}^{k_q}$$

(The coefficients  $u_{j_1 \dots j_p \bar{k}_1 \dots \bar{k}_q}$  can be chosen anti-symmetric in the  $j$ 's and  $k$ 's.) A direct calculation shows that

$$u \in \Omega^{p,q}M \implies du \in \Omega^{p+1,q}M \oplus \Omega^{p,q+1}M.$$

This property characterises complex manifolds. . .

### Theorem

Let  $(M, J)$  be an almost complex manifold of dimension  $2n$ . The following conditions are equivalent:

- (i)  $J$  is a complex structure.
- (ii)  $d\Omega^{1,0}M \subset \Omega^{2,0}M \oplus \Omega^{1,1}M$ .
- (iii)  $d\Omega^{p,q}M \subset \Omega^{p+1,q}M \oplus \Omega^{p,q+1}M$  for all  $0 \leq p, q \leq m$ .

### Proof.

The only non-trivial bit is (ii)  $\implies$  (i). Use the following elementary formula for the exterior derivative of a 1-form:

$$2d\omega(Z, W) = Z(\omega(W)) - W(\omega(Z)) - \omega([Z, W]).$$

□

## Holomorphic maps

### Definition

A smooth map  $f : M_1 \rightarrow M_2$  between two complex manifolds is called *holomorphic* if  $\psi_V \circ f \circ \phi_U^{-1}$  is a holomorphic map between open subsets in  $\mathbb{C}^n$ , for any charts  $(U, \phi_U)$  in  $M_1$  and  $(V, \psi_V)$  in  $M_2$ . A holomorphic bijection  $f : M_1 \rightarrow M_2$  with holomorphic inverse is called a *biholomorphism*.

### Definition

A smooth map  $f : (M_1, J_1) \rightarrow (M_2, J_2)$  between two almost complex manifolds is called *J-holomorphic* if the differential of  $f$  commutes with the almost complex structures, i.e.  $f_* \circ J_1 = J_2 \circ f_*$  as maps from  $T_p M_1$  to  $T_{f(p)} M_2$ .

It is left as an exercise to show that every holomorphic map is *J-holomorphic*.

# Summary 1

- An *almost complex manifold* is a pair  $(M, J)$ , where  $M$  is a smooth real manifold and  $J : TM \rightarrow TM$  has  $J^2 = -Id$ .  $T^{1,0}M$ ,  $T^{0,1}M$  denote the  $\pm i$ -eigenspaces of  $J$  in  $T^{\mathbb{C}}M$ .  $T^{1,0}M = \{X - iJX : X \in TM\}$ .
- If  $M$  is a *complex manifold* with local coordinates  $(z_1, \dots, z_n)$ , then  $T^{1,0}M$  is spanned by  $\partial/\partial z_1, \dots, \partial/\partial z_n$ ;  $T^{1,0}M$  is called the  $(1, 0)$  or *holomorphic tangent bundle*.
- A complex manifold is always an almost complex manifold in a natural way. Conversely, an almost complex manifold  $(M, J)$  is a complex manifold (i.e. complex coordinates exist) iff  $[\Gamma(T^{0,1}M), \Gamma(T^{0,1}M)] \subset \Gamma(T^{0,1}M)$  (Newlander–Nirenberg Theorem).
- We can similarly decompose  $T^*M \otimes \mathbb{C}$  into  $T^{1,0}M$  and  $T^{0,1}M$ , and the whole exterior algebra into a direct sum:  $\Lambda M \otimes \mathbb{C} = \bigoplus \Lambda^{p,q}M$ .
- On a complex manifold,  $\Lambda^{p,q}M$  is spanned by
 
$$dz_{i_1} \wedge \dots \wedge dz_{i_p} \wedge d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q}.$$
- Sections of  $\Lambda^{p,q}M$  are called  $(p, q)$ -forms.  $(M, J)$  is a complex manifold iff  $d\Omega^{1,0}M \subset \Omega^{2,0}M \oplus \Omega^{1,1}M$  equivalently,  $d\Omega^{p,q}M \subset \Omega^{p+1,q}M \oplus \Omega^{p,q+1}M$  for all  $0 \leq p, q \leq m$ .

## The Dolbeault operator

Recall that, on a complex manifold,  $d(\Omega^{p,q}M) \subset \Omega^{p+1,q}M \oplus \Omega^{p,q+1}M$ . We may therefore write  $d = \partial + \bar{\partial}$ , where  $\partial : \Omega^{p,q}M \rightarrow \Omega^{p+1,q}M$  and  $\bar{\partial} : \Omega^{p,q}M \rightarrow \Omega^{p,q+1}M$ .

### Lemma

$$\partial^2 = 0, \quad \bar{\partial}^2 = 0, \quad \partial\bar{\partial} + \bar{\partial}\partial = 0.$$

### Proof.

$0 = d^2 = (\partial + \bar{\partial})^2 = \partial^2 + \bar{\partial}^2 + (\partial\bar{\partial} + \bar{\partial}\partial)$  and the three operators in the last term take values in different subbundles of  $\Lambda^*M \otimes \mathbb{C}$ .  $\square$

### Definition

The operator  $\bar{\partial} : \Omega^{p,q}M \rightarrow \Omega^{p,q+1}M$  is called the *Dolbeault operator*. A  $p$ -form  $\omega$  of type  $(p, 0)$  is called *holomorphic* if  $\bar{\partial}\omega = 0$ .

# Dolbeault cohomology

$\bar{\partial}$  defines *Dolbeault cohomology groups* of a complex manifold, analogous to de Rham cohomology:

$$Z_{\bar{\partial}}^{p,q}(M) := \{\omega \in \Omega^{p,q}(M) : \bar{\partial}\omega = 0\} \quad \text{--- the } \bar{\partial}\text{-closed forms.}$$

$$H_{\bar{\partial}}^{p,q}(M) := Z_{\bar{\partial}}^{p,q}(M) / \bar{\partial}\Omega^{p,q-1}(M).$$

**Warning:** Dolbeault cohomology is not a topological invariant: it depends on the complex structure.

A holomorphic map  $f : M \rightarrow N$  between complex manifolds induces a map  $f^* : H_{\bar{\partial}}^{p,q}(N) \rightarrow H_{\bar{\partial}}^{p,q}(M)$ .

## Lemma (Dolbeault Lemma)

For  $B$  a ball in  $\mathbb{C}^n$ ,  $H_{\bar{\partial}}^{p,q}(B) = 0$  for  $q > 0$ .

For a proof, see Griffiths and Harris, p. 25.

This lemma implies that  $H_{\bar{\partial}}^{p,q}(\mathbb{C}^m) = 0$  for  $q > 1$ . Note however that  $H_{\bar{\partial}}^{p,0}(\mathbb{C}^m)$  has infinite dimension!

## Example ( $\mathbb{CP}^1$ )

We claim that  $H_{\bar{\partial}}^{1,0}(\mathbb{CP}^1) \cong 0$  and that  $H_{\bar{\partial}}^{0,1}(\mathbb{CP}^1) \cong 0$ .

Consider first  $H_{\bar{\partial}}^{1,0}$ . Let  $w_0$  and  $w_1$  be the coordinates on the two patches  $U_0, U_1$ , so that  $w_1 = 1/w_0$ . Any  $(1,0)$ -form  $\phi$  can be written  $\phi = \phi_0(w_0)dw_0$  on  $U_0$ , and  $\phi = \phi_1(w_1)dw_1$  on  $U_1$ . Since  $dw_1 = -dw_0/w_0^2$ ,  $\phi_0 = -\phi_1/w_0^2$  on  $U_0 \cap U_1$ . This implies that  $\phi_0 \rightarrow 0$  as  $w_0 \rightarrow \infty$ , and that  $\phi_0$  is a bounded function on  $\mathbb{C}$ .  $\bar{\partial}\phi = 0$  implies that  $\phi_0$  and  $\phi_1$  are holomorphic functions. Therefore by Liouville's theorem,  $\phi_0$  is constant. Since  $\phi_0 \rightarrow 0$  at  $\infty$ ,  $\phi_0 = 0$ . Hence  $\phi = 0$ . Now consider  $H_{\bar{\partial}}^{0,1}$ . Let  $\psi$  be any  $(0,1)$ -form. By the Dolbeault lemma, there are functions  $f_i : U_i \rightarrow \mathbb{C}$  such that  $\psi = \bar{\partial}f_i$  on  $U_i$ . On  $U_0 \cap U_1$ ,  $f_1 - f_0$  is an anti-holomorphic function, so write

$$f_1 - f_0 = \sum_{i=-\infty}^{\infty} a_i w_0^i.$$

Then  $g = f_0 + \sum_{i=1}^{\infty} a_i w_0^i = f_1 - \sum_{i=0}^{\infty} a_{-i} w_1^i$  is a function on  $\mathbb{CP}^m$  such that  $\bar{\partial}g = \psi$ .



# First example of a complex vector bundle

## Example

Let  $M$  be a smooth  $n$ -dimensional manifold and let  $V$  be a complex  $k$ -dimensional vector space. The *trivial vector bundle* over  $M$  of rank  $k$  is the pair  $(E, \pi)$ , where  $E = M \times V$  and  $\pi : E \rightarrow M$  is the projection.

Roughly speaking, a vector bundle is something that looks like this example locally. . .

# Complex vector bundles

## Definition

Let  $M$  be a smooth  $n$ -dimensional manifold. A smooth *complex vector bundle* of rank  $k$  on  $M$  consists of a  $2k + n$ -dimensional manifold  $E$  and a surjective submersion  $\pi : E \rightarrow M$  such that

- for each  $x \in M$ ,  $E_x := \pi^{-1}(x)$  has the structure of a complex  $k$ -dimensional vector space;
- for each  $x \in M$  there exists an open set  $U \ni x$  and a diffeomorphism  $\phi_U : \pi^{-1}(U) \rightarrow U \times \mathbb{C}^k$  such that

$$pr_U \circ \phi = \pi \quad (\text{where } pr_U : U \times \mathbb{C}^k \rightarrow U \text{ is the projection})$$

and  $\phi_U|_{E_y}$  is a vector space isomorphism for each  $y \in U$ .

The maps  $\phi_U$  are called *trivialisations* of  $E$  over  $U$ . The vector spaces  $E_x$  are called the *fibres* of  $E$ .

### Example (The tangent bundle)

If  $M$  is any smooth manifold, the complexified tangent bundle  $T_{\mathbb{C}}M$  is an example of a complex vector bundle. Given local coordinates  $U \subset M$ ,  $x : U \rightarrow \mathbb{R}^n$ , a local trivialisation is given by

$$\phi_U : (p, X) \rightarrow (p, (X^1, X^2, \dots, X^n)), \quad \text{where } p \in U, X = X^i \frac{\partial}{\partial x^i} \in T_p M.$$

If  $M$  is an almost complex manifold, the holomorphic and anti-holomorphic tangent bundles  $T^{1,0}M$  and  $T^{0,1}M$  are also examples of complex vector bundles. In fact, they are “sub-bundles” of  $T_{\mathbb{C}}M$ ...

### Definition

Let  $(E, \pi_E)$  be a complex vector bundle over a smooth Riemannian manifold  $M$ . A sub-bundle of  $E$  is a vector bundle of the form  $(F, \pi_F)$ , where  $F \subset E$  is a submanifold,  $\pi_F = \pi_E|_F$ , and for each  $p \in M$ ,  $F_p$  is a linear subspace of  $E_p$ .

**Remark:** We often omit  $\pi$  and simply write a vector bundle as  $E \rightarrow M$ .

## Transition functions

Given any pair  $\phi_U, \phi_V$  of trivialisations, their *transition function* is the smooth map  $g_{UV} : U \cap V \rightarrow GL(k, \mathbb{C})$  defined by

$$\phi_U \circ \phi_V^{-1}(x, v) = (x, g_{UV}(x)v) \quad \forall x \in U \cap V, v \in \mathbb{C}^k.$$

They satisfy

$$g_{UV}(x)g_{VU}(x) = I, \quad g_{UV}(x)g_{VW}(x)g_{WU}(x) = I \quad (x \in U \cap V).$$

Conversely, given an open cover  $\mathcal{U} = \{U_\alpha\}$  of  $M$  and  $C^\infty$ -maps  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow GL(k, \mathbb{C})$  satisfying these identities, there is a unique complex vector bundle  $E \rightarrow M$  with transition functions  $\{g_{\alpha\beta}\}$ .

## New vector bundles from old

If  $E, F$  are two vector bundles with transition functions  $g_{UV}, h_{UV}$ , one can form (using vector bundle operations):

- The *dual bundle*  $E^*$ , with transition functions  $g_{UV}(x)^T$ .
- The *direct sum*  $E \oplus F$ , with transition functions

$$\begin{pmatrix} g_{UV}(x) & 0 \\ 0 & h_{UV}(x) \end{pmatrix} \in GL(\mathbb{C}^k \oplus \mathbb{C}^l)$$

- The *tensor product*  $E \otimes F$ , with transition functions

$$g_{UV}(x) \otimes h_{UV}(x) \in GL(\mathbb{C}^k \otimes \mathbb{C}^l)$$

- The *determinant bundle*  $\Lambda^k E$  (where  $k$  is the rank of  $E$ ), with transition functions  $\det g_{UV} \in \mathbb{C}^*$ .
- The bundle  $\text{Hom}(E, F) := F \otimes E^*$ .
- If  $F$  is a sub-bundle of  $E$  there is a *quotient bundle*  $E/F$ . The transition functions for  $F$ ,  $E$  and  $E/F$  are of the form

$$h_{UV}, \quad g_{UV} = \begin{pmatrix} h_{UV} & k_{UV} \\ 0 & j_{UV} \end{pmatrix}, \quad \text{and } j_{UV}$$

## Vector bundles and maps

### Definition

A *homomorphism* between vector bundles  $E$  and  $F$  on  $M$  is given by a smooth map  $f : E \rightarrow F$ , such that for each  $p \in M$  the restrictions  $f_p := f|_{E_x} : E_x \rightarrow F_x$  are linear. We have the obvious notions of  $\ker(f)$  — a subbundle of  $E$ , and  $\text{Im}(f)$  — a subbundle of  $F$ . Also,  $f$  is called an *isomorphism* if each  $f_p$  is an isomorphism.

A vector bundle  $E$  on  $M$  is called *trivial* if  $E$  is isomorphic to the product bundle  $M \times \mathbb{C}^k$ .

### Definition

Given a  $C^\infty$ -map  $f : M \rightarrow N$  and a vector bundle  $E \xrightarrow{\pi} N$ , we define the *pullback bundle*  $f^*E$  on  $M$  by

$$f^*E = \{(x, e) \in M \times E : f(x) = \pi(e)\}; \text{ so } (f^*E)_x = E_{f(x)}.$$

## Sections

### Definition

A *section* of a vector bundle  $E \rightarrow M$  is a smooth map  $s : M \rightarrow E$  such that  $\pi \circ s = id_M$ . The space of sections is denoted by  $\Gamma(E)$ .

For example, a vector field is a section of the tangent bundle.

### Definition

A *local frame* for a vector bundle  $E \rightarrow M$  consists of an open subset  $U \subset M$  and a set  $\{e_1, e_2, \dots, e_k\}$  of sections of the vector bundle  $\pi^{-1}(U)$  such that for each  $p \in U$ ,  $\{e_1(p), e_2(p), \dots, e_k(p)\}$  are a basis for  $E_p$ .

Given a local frame, a section  $s$  can be represented by functions  $s^i : U \rightarrow \mathbb{C}$  with  $i = 1, \dots, k$ , such that  $s(p) = \sum_i s^i(p) e_i(p)$ .

**Exercise:** Show that a local frame determines a local trivialisation, and conversely.

## Holomorphic vector bundles

### Definition

Let  $M$  be a complex  $m$ -dimensional manifold. A *holomorphic vector bundle* of rank  $k$  on  $M$  consists of a  $k + m$ -dimensional complex manifold  $E$  and a surjective submersion  $\pi : E \rightarrow M$  such that

- for each  $x \in M$ ,  $E_x := \pi^{-1}(x)$  has the structure of a complex  $k$ -dimensional vector space;
- for each  $x \in M$  there exists an open set  $U \ni x$  and a biholomorphism  $\phi_U : \pi^{-1}(U) \rightarrow U \times \mathbb{C}^k$  such that

$$pr_U \circ \phi = \pi \quad (\text{where } pr_U : U \times \mathbb{C}^k \rightarrow U \text{ is the projection})$$

and  $\phi_U|_{E_y}$  is a vector space isomorphism for each  $y \in U$ .

A complex vector bundle is holomorphic if and only if its transition functions  $g_{UV} : U \cap V \rightarrow GL(k, \mathbb{C})$  are holomorphic functions.

### Example (Tangent and related bundles)

Examples include  $T^{1,0}M$  and  $\Lambda^{p,0}M$ . However,  $T^{0,1}M$  and  $\Lambda^{p,q}M$  with  $q > 0$  are NOT holomorphic vector bundles. The top exterior power  $\Lambda^{m,0}M$  is called the *canonical bundle* over  $M$ .

### Example (The tautological bundle on $\mathbb{C}P^n$ )

The tautological line bundle  $\pi : L \rightarrow \mathbb{C}P^n$  is defined by setting  $L_A = A$ , where  $A$  is a line in  $\mathbb{C}^{n+1}$  defining a point of  $\mathbb{P}^n = \mathbb{C}P^n$ .

To show that this bundle is holomorphic, it suffices to show that its transition functions are holomorphic.

Recall that we covered  $\mathbb{C}P^n$  with  $n+1$  open subsets

$U_j = \{[z_0, \dots, z_n] : z_j \neq 0\}$ . A trivialisation of  $L$  over  $U_j$  is given by

$$\phi_j : \pi^{-1}U \ni (\ell, (z_0, \dots, z_n)) \mapsto (\ell, z_j) \in U_j \times \mathbb{C},$$

hence  $\phi_i \phi_j^{-1}([z], \lambda) = ([z], z_i z_j^{-1} \lambda)$ , so the transition functions are  $g_{ij}([z]) = z_i z_j^{-1}$ . Since the transition functions are holomorphic,  $L$  is a holomorphic line bundle.

Everything that we defined for complex vector bundles carries over to the holomorphic setting, with “smooth” replaced by “holomorphic”.

Briefly:

- A *holomorphic subbundle*  $F$  of a holomorphic vector bundle  $E$  must be a complex submanifold of  $E$ .
- A *homomorphism* or *isomorphism*  $f$  between two holomorphic vector bundles  $F$  and  $E$  must be a holomorphic map.
- A *holomorphic section* of a holomorphic vector bundle  $E \rightarrow M$  is a holomorphic function  $s : M \rightarrow E$ .
- The pull-back of a holomorphic bundle  $E \rightarrow N$  by  $f : M \rightarrow N$  is holomorphic as long as  $f$  is holomorphic.
- One can define duals, direct sums, quotients, and tensor products of holomorphic bundles as for complex bundles.

**Remark:** a holomorphic bundle may be non-trivial as a holomorphic bundle and trivial as a complex vector bundle. Holomorphic triviality is a stronger condition than triviality!

## Summary 2

- A (complex) vector bundle over a smooth manifold  $M$  is a smooth map  $\pi : E \rightarrow M$ , from a smooth manifold  $E$  with fibres  $E_x = \pi^{-1}(x)$  ( $x \in M$ ) which are vector spaces of some finite dimension  $k$ , such that  $\pi$  is *locally trivial*, i.e., there exists an open cover  $\mathcal{U}$  of  $M$  such that, for every  $U \in \mathcal{U}$ , we have a diffeomorphism  $\phi_U : \pi^{-1}(U) \rightarrow U \times \mathbb{C}^k$  and these diffeomorphisms satisfy  $\phi_U \circ \phi_V^{-1}(x, v) = (x, g_{UV}(x)v)$ , where  $g_{UV} : U \cap V \rightarrow GL(k, \mathbb{C})$  is a smooth map.
- $E$  is a *holomorphic* vector bundle if  $M$  is a complex manifold and  $g_{UV}$  are holomorphic functions for all  $U, V \in \mathcal{U}$ ; this implies that  $E$  is a complex manifold.
- A *section* of a vector bundle  $E \rightarrow M$  is a smooth map  $s : M \rightarrow E$  such that  $s(x) \in E_x$  for all  $x \in M$  (like a vector field). The *space of sections* is denoted by  $\Gamma(E)$ .
- A *frame* for  $E$  over  $U$  is a choice of a vector space basis  $(s_1(x), \dots, s_k(x))$  for  $E_x$  which varies smoothly with  $x \in U$  (i.e., each  $s_i : U \rightarrow E$  is a smooth section).
- If  $E$  is a holomorphic vector bundle, we can speak of *holomorphic sections* and *frames*.

## Connections

Connections are a way to differentiate sections.

**Notation:** given a complex vector bundle  $E \rightarrow M$ ,  $\Lambda^r E := \Lambda^r M \otimes E$  and  $\Omega^r E := \Gamma(\Lambda^r E)$  (and similarly for  $\Lambda^{p,q} E$  and  $\Omega^{p,q} E$ ).

### Definition

Let  $E \rightarrow M$  be a complex vector bundle over a smooth manifold  $M$ . A *connection* on  $E$  is a  $\mathbb{C}$ -linear map  $D : \Gamma(E) \rightarrow \Omega^1 E$  satisfying the Leibniz rule:

$$D(fs) = (df) \otimes s + f(Ds) \quad \forall f \in C^\infty(M), s \in \Gamma(E).$$

Let  $D$  be a connection on  $E$  and let  $X$  be a vector field on  $M$ . The *covariant derivative with respect to  $X$*  is the operator  $D_X : \Gamma(E) \rightarrow \Gamma(E)$  defined by

$$D_X s = Ds(X) \quad \forall s \in \Gamma(E).$$

# Connection matrix

Let us choose a local frame  $e = (e_1, \dots, e_k)$  for  $E$  over  $U$ . Then there is a matrix of 1-forms  $\theta = (\theta_{ij})$  such that

$$De_i = \sum_j \theta_{ij} e_j \quad (i = 1, \dots, k)$$

$\theta$  is called the *connection matrix* (or *gauge potential*) with respect to the frame  $e$ .

For example  $\Gamma_{ij}^k dx^i$  is the connection matrix for the *Levi-Civita connection* in the frame  $\partial/\partial x^i$ .

Since  $D(fs) = df \otimes s + f \cdot Ds$ , the data  $e$  and  $\theta$  determine  $D$ .

The connection matrix depends on the choice of  $e$ : if  $(e'_1, \dots, e'_k)$  is another frame with  $e'(x) = g(x)e(x)$  ( $g(x) \in GL(k, \mathbb{C})$ ), then

$$De' = dg e + g De = dg e + g \theta e = dg g^{-1} e' + g \theta g^{-1} e'.$$

So the connection matrix w.r.t.  $e'$  is

$$\theta' = g \theta g^{-1} + dg g^{-1}.$$

The matrix-valued function  $g$  is sometimes called a *gauge transformation*.

## Example

Consider the trivial bundle  $\mathbb{C}^2 \times \mathbb{R}^3$  of rank 2 over  $\mathbb{R}^3$ .

Its standard (global) frame is  $e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $e_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ .

Set  $v = \partial/\partial x_1$  and

$$\theta = \begin{pmatrix} dx_1 & dx_2 \\ dx_2 & dx_3 \end{pmatrix}.$$

Then  $De_1 = \theta_{11} e_1 + \theta_{12} e_2 = \begin{pmatrix} dx_1 \\ dx_2 \end{pmatrix}$  and  $D_v e_1 = e_1$ . Similarly,

$D_v e_2 = 0$ . Therefore, for a general section  $s = \begin{pmatrix} s_1(x_1, x_2, x_3) \\ s_2(x_1, x_2, x_3) \end{pmatrix}$ ,

$$\begin{aligned} D_v(s) &= D_v(s_1 e_1 + s_2 e_2) \\ &= ds_1(v) e_1 + s_1 D_v e_1 + ds_2(v) e_2 + s_2 D_v e_2 \\ &= \frac{\partial s_1}{\partial x_1} e_1 + s_1 e_1 + \frac{\partial s_2}{\partial x_1} e_2 + 0 = \begin{pmatrix} \frac{\partial s_1}{\partial x_1} + s_1 \\ \frac{\partial s_2}{\partial x_1} \end{pmatrix}. \end{aligned}$$

# Curvature

Any connection  $D : \Gamma(E) \rightarrow \Omega^1 E$  can be extended to an operator  $D : \Omega^p E \rightarrow \Omega^{p+1} E$  by imposing the Leibniz rule:

$$D(\omega \otimes \sigma) = d\omega \otimes \sigma + (-1)^p \omega \wedge D\sigma, \quad \forall \omega \in \Omega^p M, \sigma \in \Gamma(E).$$

## Lemma

The operator  $D^2 : \Gamma(E) \rightarrow \Omega^2 E$  is tensorial, i.e.  $D^2(fs) = fD^2s$   $\forall f \in C^\infty(M), s \in \Gamma(E)$ .

## Proof.

$$D^2(fs) = D(df \otimes s + fDs) = d^2f - df \otimes Ds + df \otimes Ds + fD^2s = fD^2s. \quad \square$$

## Definition

The *curvature* of a connection  $D$  is the section  $R^D \in \Omega^2 \text{Hom}(E, E)$  such that  $R^D(s) = D^2s \quad \forall s \in \Gamma(E)$ .

# Curvature matrix

Given a local frame  $e = (e_1, \dots, e_k)$ , the curvature  $R^D$  is represented by a matrix-valued 2-form  $(\Theta_{ij})$  such that  $R^D(s_i e_j) = s_i \Theta_{ij} e_j$  for all local sections  $s = s_i e_i$ . One can calculate  $\Theta$  in terms of  $\theta$ :

$$\begin{aligned} D^2 s_i e_j &= D(ds_i e_j + s_i \theta_{ij} e_j) \\ &= -ds_i \wedge \theta_{ij} e_j + ds_i \wedge \theta_{ij} e_j + s_i d\theta_{ij} e_j - s_i \theta_{ij} \wedge \theta_{jk} e_k \\ &= s_i (d\theta_{ij} - \theta_{ik} \wedge \theta_{kj}) e_j. \end{aligned}$$

Hence  $\Theta_{ij} = d\theta_{ij} - \theta_{ik} \wedge \theta_{kj}$ , or, more succinctly,

$$\Theta = d\theta - \theta \wedge \theta.$$

**Exercise:** Show that if  $e'(x) = g(x)e(x)$  is another frame then  $\Theta' = g\Theta g^{-1}$ .

**Exercise:** Prove the *Bianchi identity*:  $d\Theta + \theta \wedge \Theta - \Theta \wedge \theta = 0$ .



# Holomorphic vector bundles

## Definition

Let  $M$  be a complex  $m$ -dimensional manifold. A *holomorphic vector bundle* of rank  $k$  on  $M$  consists of a  $k + m$ -dimensional complex manifold  $E$  and a **holomorphic** surjective submersion  $\pi : E \rightarrow M$  such that

- for each  $x \in M$ ,  $E_x := \pi^{-1}(x)$  has the structure of a complex  $k$ -dimensional vector space;
- for each  $x \in M$  there exists an open set  $U \ni x$  and a **biholomorphism**  $\phi_U : \pi^{-1}(U) \rightarrow U \times \mathbb{C}^k$  such that

$$pr_U \circ \phi = \pi \quad (\text{where } pr_U : U \times \mathbb{C}^k \rightarrow U \text{ is the projection})$$

and  $\phi_U|_{E_y}$  is a vector space isomorphism for each  $y \in U$ .

A complex vector bundle is holomorphic if and only if its transition functions  $g_{UV} : U \cap V \rightarrow GL(k, \mathbb{C})$  are holomorphic functions.

## Example (Tangent and related bundles)

Examples include  $T^{1,0}M$  and  $\Lambda^{p,0}M$ . However,  $T^{0,1}M$  and  $\Lambda^{p,q}M$  with  $q > 0$  are NOT holomorphic vector bundles (**Exercise:** why not?). The top exterior power  $K := \Lambda^{m,0}M$  is called the *canonical bundle* over  $M$ .

## Example (The tautological bundle on $\mathbb{C}P^n$ )

The tautological line bundle  $\pi : L \rightarrow \mathbb{C}P^n$  is defined by setting  $L_A = A$ , where  $A$  is a line in  $\mathbb{C}^{n+1}$  defining a point of  $\mathbb{P}^n = \mathbb{C}P^n$ .

To show that this bundle is holomorphic, it suffices to show that its transition functions are holomorphic.

Recall that we covered  $\mathbb{C}P^n$  with  $n+1$  open subsets

$U_j = \{[z_0, \dots, z_n] : z_j \neq 0\}$ . A trivialisation of  $L$  over  $U_j$  is given by

$$\phi_j : \pi^{-1}U \ni ([z_0, \dots, z_n], \lambda(z_0, \dots, z_n)) \mapsto ([z_0, \dots, z_n], \lambda z_j) \in U_j \times \mathbb{C}.$$

Hence  $\phi_i \phi_j^{-1}([z], p) = ([z], z_i z_j^{-1} p)$ , so the transition functions are  $g_{ij}([z]) = z_i z_j^{-1}$ . Since the transition functions are holomorphic,  $L$  is a holomorphic line bundle.

Everything that we defined for complex vector bundles carries over to the holomorphic setting, with “smooth” replaced by “holomorphic”.

Briefly:

- A *holomorphic subbundle*  $F$  of a holomorphic vector bundle  $E$  must be a complex submanifold of  $E$ .
- A *homomorphism* or *isomorphism*  $f$  between two holomorphic vector bundles  $F$  and  $E$  must be a holomorphic map.
- A *holomorphic section* of a holomorphic vector bundle  $E \rightarrow M$  is a holomorphic function  $s : M \rightarrow E$ .
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- One can define duals, direct sums, quotients, and tensor products of holomorphic bundles as for complex bundles.

**Remark:** a holomorphic bundle may be non-trivial as a holomorphic bundle and trivial as a complex vector bundle. Holomorphic triviality is a stronger condition than triviality!

## The $\bar{\partial}$ operator

### Lemma

Let  $E \rightarrow M$  be a **holomorphic** vector bundle over a complex manifold. There is a unique operator  $\bar{\partial} : \Omega^{p,q} E \rightarrow \Omega^{p,q+1} E$  such that  $\bar{\partial}s = 0$  for any holomorphic section  $s \in \Gamma(E)$  and which obeys the Leibniz rule:

$$\bar{\partial}(\omega \wedge s) = \bar{\partial}\omega \wedge s + (-1)^{p+q} \omega \wedge \bar{\partial}s \quad \forall \omega \in \Omega^{p,q} M, s \in \Omega^{r,s} E.$$

### Proof.

Let  $e_i$  be a local holomorphic frame for  $E$ , and write a section  $\omega$  as  $\omega = \omega_i e_i$  with  $\omega_i$  locally-defined  $(p, q)$ -forms. The properties of  $\bar{\partial}$  stated in the lemma imply that

$$\bar{\partial}\omega = \bar{\partial}\omega_i \wedge e_i.$$

It is straightforward to check that this is independent of the choice of holomorphic frame  $e$ . □

**Remark:** Note that  $\bar{\partial}^2 = 0$ .

## Summary 3

- A *connection* on a complex vector bundle  $E \rightarrow M$  is an operator  $D : \Gamma(E) \rightarrow \Omega^1(E)$  which satisfies the Leibniz rule  $D(fs) = (df) \otimes s + f \cdot Ds$  for  $f \in C^\infty(M)$ ,  $s \in \Gamma(E)$ .
- In a local frame  $e = (e_j)$  for  $E$ ,  $De_j = \sum \theta_{ij} e_j$ . The matrix  $\theta$  of 1-forms is called the *connection matrix* (w.r.t. the frame  $e$ ).
- The *curvature* of a connection is the section  $R^D \in \Omega^2 \text{Hom}(E, E)$  such that  $D^2 = R^D$ . In a local frame it has matrix  $\Theta = d\theta - \theta \wedge \theta$ .
- A *holomorphic vector bundle* is a vector bundle whose projection and trivialisation maps are holomorphic.

## Holomorphic and complex vector bundles

The next theorem answers the question: when can a complex vector bundle be made into a holomorphic vector bundle?

### Theorem

Let  $E \rightarrow M$  be a complex vector bundle over a complex manifold and let  $\bar{\partial} : \Omega^{p,q} E \rightarrow \Omega^{p,q+1} E$  be an operator that satisfies the Leibniz rule. Then  $\bar{\partial}$  is induced from the structure of a holomorphic vector bundle on  $E$  if and only if  $\bar{\partial}^2 = 0$ .

This theorem can be proved using the Newlander-Nirenberg theorem.

### Definition

A connection on a holomorphic vector bundle is said to be *compatible* with the holomorphic structure if, for every  $s \in \Omega^{p,q} E$ , the component of  $Ds$  in  $\Omega^{p,q+1} E$  equals  $\bar{\partial}s$ .

The theorem implies that a complex vector bundle with a connection  $D$  admits a compatible holomorphic structure iff the component of  $R^D$  in  $\Omega^{0,2} \text{Hom}(E, E)$  vanishes.

### Definition

Let  $E \rightarrow M$  be a complex vector bundle over a smooth manifold. A *Hermitian metric* on  $E$  is a pairing  $\langle \cdot, \cdot \rangle : \Gamma(E) \times \Gamma(E) \rightarrow \mathbb{C}$  such that, for all  $s, t, u \in \Gamma(E)$ , all  $f, g \in C^\infty(M)$ , and all  $x \in M$ :

- 1  $\langle t, s \rangle = \overline{\langle s, t \rangle}$
- 2  $\langle fs + tg, u \rangle = f\langle s, u \rangle + g\langle t, u \rangle$
- 3  $\langle s, s \rangle \geq 0$ , and  $\langle s, s \rangle(x) = 0 \Leftrightarrow s(x) = 0$

The pair  $(E, \langle \cdot, \cdot \rangle)$  is called a *Hermitian vector bundle* in this case.

**Remark:** It follows that each fibre  $E_x$  carries a Hermitian metric which depends smoothly on  $x$ .

### Definition

A connection  $D$  on a hermitian vector bundle  $(E, h)$  is called *hermitian* or *compatible* with the hermitian structure if

$$d\langle s, t \rangle = \langle Ds, t \rangle + \langle s, Dt \rangle \quad \forall s, t \in \Gamma(E).$$

**Exercise:** Show that in a frame  $e$  such that  $\langle e_i, e_j \rangle = \delta_{ij}$  the connection matrix for a hermitian connection is anti-hermitian.

### Theorem

If  $E \rightarrow M$  is a Hermitian holomorphic vector bundle over a complex manifold, then there is unique connection  $D$  (called the *Chern connection*) compatible with both the metric and the complex structure.

### Proof.

Let  $(e_1, \dots, e_k)$  be a holomorphic frame for  $E$  and put  $h_{ij} = \langle e_i, e_j \rangle$ . If  $D$  is compatible with the complex structure, then  $De_i$  is of type  $(1, 0)$ , and, as  $D$  is compatible with the metric:

$$dh_{ij} = \langle De_i, e_j \rangle + \langle e_i, De_j \rangle = \sum_p \theta_{ip} h_{pj} + \sum_p \bar{\theta}_{jp} h_{ip}.$$

The first term is of type  $(1, 0)$  and the second of type  $(0, 1)$ , so:

$$\partial h_{ij} = \sum_p \theta_{ip} h_{pj}, \quad \bar{\partial} h_{ij} = \sum_p \bar{\theta}_{jp} h_{ip}.$$

Therefore  $\partial h = \theta h$  and  $\bar{\partial} h = h \bar{\theta}^T$ . The unique solution to the first equation is  $\theta = \partial h h^{-1}$ ; this solves the second because  $\bar{h} = h^T$ . □

# Curvature of the Chern connection in a holomorphic frame

Recall that the curvature matrix of a connection  $D$  with respect to any frame  $e = (e_1, \dots, e_n)$  is given by

$$\Theta = d\theta - \theta \wedge \theta,$$

where  $\theta$  is the connection matrix w.r.t.  $e$ .

Recall that, for the Chern connection,  $\theta = \partial h h^{-1}$  in a holomorphic frame  $e$  (where  $h_{ij} = \langle e_i, e_j \rangle$ ). So...

$$d\theta = (\partial + \bar{\partial})\theta = \bar{\partial}\theta + \partial(\partial h h^{-1}) = \bar{\partial}\theta - \partial h \wedge \partial(h^{-1}) = \bar{\partial}\theta + \partial h h^{-1} \wedge \partial h h^{-1}.$$

Hence the curvature matrix of the Chern connection w.r.t. a holomorphic frame is given by

$$\Theta = \bar{\partial}\theta$$

. This calculation shows that the curvature is a  $(1, 1)$ -form.

In the case of a line bundle, if  $h = \langle e_1, e_1 \rangle$ , we have

$$\theta = \partial \log h, \quad \Theta = \bar{\partial} \partial \log h.$$

## Example (Curvature of the tautological bundle of $\mathbb{C}P^m$ )

The tautological bundle  $L$  is a subbundle of the trivial bundle  $\mathbb{P}^m \times \mathbb{C}^{m+1}$ :

$$L := \{(\ell, z) \in \mathbb{P}^m \times \mathbb{C}^{m+1} : z \in \ell \subset \mathbb{C}^{m+1}\}.$$

The standard Hermitian metric on  $\mathbb{C}^{m+1}$  defines a Hermitian metric on  $\mathbb{P}^m \times \mathbb{C}^{m+1}$  and hence on  $L$ . We will calculate the curvature of the associated Chern connection on  $L$ . A holomorphic frame over  $U_0$  is given by:

$$e : [z_0, \dots, z_m] \rightarrow ([z_0, \dots, z_m], (1, z_1/z_0, \dots, z_m/z_0)).$$

$h := \langle e, e \rangle = 1 + \sum_{j=1}^m |w_j|^2$ , where  $w_j = z_j/z_0$  are local coordinates. So

$$\Theta = \bar{\partial} \partial \log h = -\frac{\sum_{j=1}^n dw^j \wedge d\bar{w}^j}{1 + \sum_{j=1}^n |w_j|^2} + \frac{\sum_{i,j=1}^n \bar{w}_i dw_i \wedge w_j d\bar{w}_j}{(1 + \sum_{j=1}^n |w_j|^2)^2}.$$

# Hermitian manifolds

## Definition

A *Hermitian manifold*  $(M, g)$  consists of a complex manifold  $M$  and a Riemannian metric  $g$  on  $M$  for which the almost complex structure  $J$  is an isometry, i.e.:

$$g(JX, JY) = g(X, Y) \quad \forall X, Y \in \Gamma(TM).$$

Setting  $\omega(X, Y) = g(JX, Y)$  defines a  $(1, 1)$ -form  $\omega$  called the *fundamental form* of  $(M, g)$ .

The holomorphic tangent bundle of a Hermitian manifold carries a canonical positive definite Hermitian inner product (or *Hermitian metric*), defined by

$$\langle X, Y \rangle = 2g(\bar{X}, Y) \quad \forall X, Y \in \Gamma(T^{1,0}M).$$

Conversely, given a complex manifold  $M$  and a positive definite Hermitian inner product  $\langle \cdot, \cdot \rangle$  on  $T^{1,0}M$ , setting

$$g(X, Y) = \langle X - iJX, Y - iJY \rangle \quad \forall X, Y \in \Gamma(TM)$$

makes  $(M, g)$  a Hermitian manifold.

## Hermitian manifolds in local coordinates

Given local holomorphic coordinates  $z^i$ , let  $h_{ij} = h(\partial/\partial z^i, \partial/\partial z^j)$ . Then

$$g = \frac{1}{2} h_{ij} (dz^i d\bar{z}^j + d\bar{z}^j dz^i), \quad \omega = \frac{i}{2} h_{ij} dz^i \wedge d\bar{z}^j.$$

In particular,  $h = g \pm i\omega$ , where  $h = h_{ij} d\bar{z}^i \wedge dz^j$  is the section of  $\Lambda^{0,1}M \otimes \Lambda^{1,0}M$  that defines  $\langle \cdot, \cdot \rangle$ .

### Example (Connection and curvature in dimension one)

Let  $M$  be a *Riemann surface*, i.e., a 1-dimensional complex manifold. Let  $z = x + iy$  be a local coordinate and  $\partial/\partial z$  a local holomorphic frame, then a Hermitian metric on  $T^{1,0}M$  is written as  $h dz \otimes d\bar{z}$ , for a local function  $h > 0$ . The connection matrix of the Chern connection is  $\partial h h^{-1} = \frac{\partial \log h}{\partial z} dz$ , and the curvature matrix is

$$\Theta = \bar{\partial} \partial \log h = \frac{\partial^2 \log h}{\partial \bar{z} \partial z} d\bar{z} \wedge dz = \left(-\frac{1}{4} \Delta \log h\right) dz \wedge d\bar{z}.$$

Now, the fundamental form on  $M$  is  $\omega = \frac{i}{2} h dz \wedge d\bar{z}$  and hence

$$\Theta = -iK\omega,$$

where  $K = (-\Delta \log h)/2h$  is the usual Gauss curvature of a surface.

## Sign of the curvature

### Definition

The curvature  $R_E \in \Gamma(\Lambda^{1,1}M \otimes \text{Hom}(E, E))$  of the Chern connection of a Hermitian holomorphic vector bundle  $E \rightarrow M$  is called *positive at*  $x \in M$  if the Hermitian matrix  $R_E(x)(v, \bar{v}) \in \text{Hom}(E_x, E_x)$  is positive definite  $\forall 0 \neq v \in T_x^{1,0}M$ . We write  $R_E(x) > 0$  if this is the case. If  $R_E(x) > 0$  for every  $x \in M$  the curvature is said to be *positive* and we write  $R_E > 0$ . *Negative*, *non-negative*, and *positive* curvature are defined similarly and written  $R_E < 0$ ,  $R_E \geq 0$ ,  $R_E \leq 0$ .

In a local frame,  $R_E$  is positive at  $x$  if the matrix  $\Theta(x)(v, \bar{v})$  is positive  $\forall 0 \neq v \in T^{1,0}M$ .

### Example

- ① The curvature of the tautological bundle  $J_{\mathbb{P}^n}$  is negative.
- ② The curvature the holomorphic tangent bundle of a Riemann surface is positive if and only if the Gauss curvature is positive.

## Sub-bundles

Let us compute the curvature  $R_F$  of the Chern connection of a holomorphic subbundle  $F \subset E$  (with the induced Hermitian metric). Let  $N = F^\perp$ . This is a  $C^\infty$  complex subbundle of  $E$ .

Choose a local frame for  $E$  consisting of a **holomorphic** frame for  $F$  and **any** frame for  $N$ . Let  $\theta_E, \theta_F$  be corresponding the matrices for the Chern connections on  $E$  and  $F$ .

Write

$$\theta_E = \left( \begin{array}{c|c} A & B \\ \hline C & D \end{array} \right).$$

Since  $\theta_E$  is compatible with the metric,  $B = -C^\dagger$ .

Since  $F \subset E$  is holomorphic and  $\theta$  is compatible with the holomorphic structure,  $A$  and  $C$  are a matrices of  $(1,0)$ -forms.

$A$  defines a holomorphic Hermitian connection on  $A$ , so  $A = \theta_F$ . So

$$\Theta_E = d\theta_E - \theta_E \wedge \theta_E = \begin{pmatrix} d\theta_F - \theta_F \wedge \theta_F + B \wedge B^\dagger & * \\ * & * \end{pmatrix}.$$

Therefore  $R_F - R_E|_F \leq 0$ : *curvature decreases in holomorphic subbundles.*

### Example

If  $M$  is a complex submanifold of  $\mathbb{C}^n$  and  $F = T^{1,0}M \subset T^{1,0}\mathbb{C}^n|_M$  the curvature of  $T^{1,0}M$  is non-positive. In particular, if  $M$  is a Riemann surface then its *Gauss* curvature  $K \leq 0$ .

A similar calculation for the quotient bundle  $E/F$  shows that

$$R_{E/F} - R_E|_F \geq 0,$$

i.e. the curvature *increases* in holomorphic *quotient* bundles.

### Example

Consider a holomorphic vector bundle  $E \rightarrow M$  which is “spanned by its sections”, i.e. there exist holomorphic sections  $s_1, \dots, s_k \in \Gamma(E)$  (with  $k \geq \text{rank}(E)$ ) such that  $s_1(x), \dots, s_k(x)$  span  $E_x \forall x \in M$ . Then

$$M \times \mathbb{C}^k \rightarrow E, \quad (x, \lambda) \mapsto \sum_{j=1}^k \lambda_j s_j(x)$$

is surjective. Thus  $E$  is a quotient bundle of a trivial bundle and  $R_Q \geq 0$  with respect to the obvious metric.



## Summary 4

- A complex vector bundle is holomorphic iff it admits an operator  $\bar{\partial} : \Omega^{p,q}(E) \rightarrow \Omega^{p,q+1}(E)$  which obeys the Leibniz rule with  $\bar{\partial}^2 = 0$ .
- A *Hermitian metric*  $\langle \cdot, \cdot \rangle$  on a complex vector bundle  $E$  is a smoothly varying Hermitian inner product on each fibre  $E_x$  ( $x \in M$ ).
- The *Chern connection* on a Hermitian holomorphic bundle is the unique connection compatible with both structures. In a local *holomorphic* frame its connection and curvature matrices are given by  $\theta = \partial h h^{-1}$  and  $\Theta = \bar{\partial}\theta$ .
- A Hermitian manifold is a complex Riemannian manifold such that  $g(JX, JY) = g(X, Y)$ .
- The curvature of the Chern connection can be positive *positive* or *negative* and
  - 1 curvature decreases in holomorphic subbundles;
  - 2 curvature increases in holomorphic quotient bundles.

## The Ricci form

### Definition

Let  $E \rightarrow M$  be a complex vector bundle over a smooth manifold, let  $D$  be a connection on  $E$  and let  $R^D \in \Omega^2 \text{Hom}(E, E)$  be its curvature. The *Ricci form of  $D$*  is the 2-form  $\text{tr } R^D \in \Lambda^2 M$ .

### Lemma

The Ricci form defines a cohomology class  $[\text{tr } R^D] \in H_{\text{DR}}^2(M)$  that does not depend on  $D$ .

### Proof.

Choose a local frame and let  $\Theta = d\theta - \theta \wedge \theta$  be the matrix of 2-forms representing  $R^D$ . Since  $\theta \wedge \theta$  is traceless the Ricci form is equal  $\text{tr } \Theta = \text{tr } d\theta = d \text{tr } \theta$ . Therefore the Ricci form is locally exact, hence closed.

Now let  $D'$  be another connection. Then  $A = D - D'$  is a well-defined section of  $\Lambda^1 M \otimes \text{Hom}(E, E)$ . So  $\text{tr } R^D - \text{tr } R^{D'} = d \text{tr } A$  is exact.  $\square$

# The first Chern class

## Definition

The cohomology class  $c_1(E) = \frac{i}{2\pi} [\text{tr } R^D] \in H_{\text{DR}}^2(M)$  is called *the first Chern class* of  $E$ .

The first Chern class is a topological invariant.

It is also integral, i.e.  $\int_{\Sigma} c_1(E) \in \mathbb{Z}$  for any real 2-dimensional submanifold  $\Sigma \subset M$ . **Note added:** The first Chern number of a trivial

vector bundle is zero, because a trivial vector bundle admits a connection with zero curvature. The first Chern number of a line bundle (i.e. a vector bundle of rank 1) is zero if and **only if** it is trivial.

## Example (Tautological bundle on $\mathbb{P}^1$ )

. Recall that, for the Chern connection induced from  $\mathbb{P}^1 \times \mathbb{C}^2$ , we computed the curvature matrix in the chart  $U_0$  with holomorphic coordinate  $w$  as

$$\Theta = \frac{1}{(1 + |w|^2)^2} d\bar{w} \wedge dw.$$

Now,  $H_{\text{DR}}^2(\mathbb{P}^1)$  is identified with  $\mathbb{C}$  via integration:  $\omega \mapsto \int_{\mathbb{P}^1} \omega$ . Thus

$$c_1(L) = \frac{i}{2\pi} \int_{\mathbb{C}} \frac{1}{(1 + |w|^2)^2} d\bar{w} \wedge dw = \frac{-1}{\pi} \int_{[0, \infty) \times [0, 2\pi]} \frac{r}{(1 + r^2)^2} dr \wedge d\theta,$$

where  $w = re^{i\theta}$ . Hence

$$c_1(L) = \frac{-1}{\pi} \int_0^{+\infty} dr \int_0^{2\pi} \frac{r}{(1 + r^2)^2} d\theta = -2 \int_0^{+\infty} \frac{r}{(1 + r^2)^2} dr = -1.$$

In fact, over any  $\mathbb{P}^n$ ,  $c_1(L) = -1$ . (This follows from the above because the restriction of the canonical bundle on  $\mathbb{P}^n$  to  $\mathbb{P}^1$  equals the canonical bundle on  $\mathbb{P}^1$ ).

## First Chern numbers of tensor products etc

**Exercise:** Let  $M$  be a compact complex manifold. Let  $E$  and  $F$  be complex vector bundles over  $M$  of ranks  $m$  and  $n$ , respectively. Then:

- (i)  $c_1(\Lambda^m E) = c_1(E)$ ,
- (ii)  $c_1(E \oplus F) = c_1(E) + c_1(F)$ ,
- (iii)  $c_1(E \otimes F) = n c_1(E) + m c_1(F)$ , note order of  $n, m$ ,
- (iv)  $c_1(E^*) = -c_1(E)$ ,
- (v)  $c_1(f^* E) = f^* c_1(E)$ .

## Chern number of a complex manifold

### Definition

Let  $M$  be a complex manifold of dimension  $n$ . The *first Chern class* of  $M$  is  $c_1(M) := c_1(T^{1,0}M) = c_1(\Lambda^n T^{1,0}M) = -c_1(K_M)$ .

### Example

$c_1(\mathbb{P}^n) = c_1(K^*) = c_1((L^*)^{\otimes (n+1)}) = (n+1)c_1(L^*) = n+1$ . In particular,  $c_1(\mathbb{P}^1) = 2$ .

For  $\mathbb{P}^1$ , this is just the Gauss–Bonnet theorem; in general, for any Hermitian metric on a compact surface  $S$ ,

$$c_1(S) = \frac{1}{2\pi} \int_S K \omega = \chi(S), \text{ the Euler characteristic of } S.$$

## Examples of manifolds with $c_1(M) = 0$

Observe that  $c_1(M) = 0$  if the canonical bundle  $K_M$  is trivial, i.e. there exists a non-vanishing holomorphic  $(n, 0)$ -form on  $M$  where  $n = \dim_{\mathbb{C}} M$ .

- $\mathbb{C}^n$ . Other boring examples include any  $M$  with  $H^2(M) = 0$ .
- Quotients of  $\mathbb{C}^n$  by finite groups of biholomorphisms, e.g. by lattices: abelian varieties (complex tori).
- The quadric  $Q = \{(z_1, z_2, z_3) \in \mathbb{C}^3 : z_1^2 + z_2^2 + z_3^2 = 1\}$ . This is a complexification of  $S^2$  and so  $H^2(Q) \neq 0$ . The following holomorphic 2-form is non-vanishing on  $Q$  and trivialises  $K_Q$ :

$$z_1 dz_2 \wedge dz_3 + z_2 dz_3 \wedge dz_1 + z_3 dz_1 \wedge dz_2.$$

- The famous K3-surface (one of them, anyway):  
 $S = \{[z_0, z_1, z_2, z_3] \in \mathbb{P}^3 : z_0^4 + z_1^4 + z_2^4 + z_3^4 = 0\}.$

## First Chern class of a hypersurface

Let  $\iota : V \hookrightarrow M$  be a complex hypersurface in a complex  $n$ -manifold  $M$ .

Let  $\Lambda^{1,0}M|_V = \iota^* \Lambda^{1,0}M$  denote the *restriction* of  $\Lambda^{1,0}M$  to  $V$ .

Let  $N^* \subset \Lambda^{1,0}M|_V$  denote the bundle of forms  $\phi$  such that  $\phi(X) = 0$   $\forall X \in T^{1,0}V$ . Then

$$\Lambda^{1,0}V \cong \Lambda^{1,0}M|_V / N^*.$$

It follows that

$$\Lambda^{n,0}M|_V \cong \Lambda^{n-1,0}V \otimes N^*,$$

and hence that

$$-c_1(M) = -c_1(V) + c_1(N^*).$$

Let  $f, f'$  be local holomorphic defining functions for  $V$  over open sets  $U, U' \subset M$ .

Then  $\iota^* df, \iota^* df'$  are local holomorphic frames for  $V$ . On  $U \cap U'$ :

$$\iota^* df = \iota^* d\left(\frac{f}{f'} f'\right) = \iota^* \left(\frac{f}{f'} df' + f' d\frac{f}{f'}\right) = \left(\frac{f}{f'} \circ \iota\right) \iota^* df'.$$

Hence  $N^*$  has transition function  $f/f'$ .

## Hypersurfaces in $\mathbb{P}^n$

A homogeneous polynomial  $P(z_0, \dots, z_n)$  of degree  $d$  defines a hypersurface  $V \subset \mathbb{P}^n$ .

The local defining equations for  $V$  on  $U_j = \{z_j \neq 0\}$  are  $P_j := P/z_j^d$ .

So  $N^*$  has transition functions  $(z_i/z_j)^d$ .

The bundle with transition function  $(z_i/z_j)$  is the tautological bundle  $L$ , so  $N^* \cong (L^*)^d$  and  $c_1(N^*) = -d$ .

Recall that  $c_1(\mathbb{P}^n) = n + 1$ . Hence

$$c_1(V) = c_1(\mathbb{P}^n) + c_1(N^*) = n + 1 - d.$$

Thus the 'K3 surface'  $\{[z_0, z_1, z_2, z_3] \in \mathbb{P}^3 : z_0^4 + z_1^4 + z_2^4 + z_3^4 = 0\}$  has  $c_1 = 0$ .

## Motivating Kähler manifolds

Let  $M$  be a Hermitian manifold (i.e. a complex manifold with a compatible Riemannian metric  $g$ ).

The real and holomorphic tangent bundles are isomorphic:

$$TM \cong T^{1,0}M, \quad X \mapsto X - iJX.$$

Moreover,  $T^{1,0}M$  is a hermitian holomorphic vector bundle, with hermitian metric

$$\langle X - iJX, Y - iJY \rangle = g(X, Y) \quad \forall X, Y \in \Gamma(TM).$$

There are thus two natural connections on  $TM$ :

- ① The Levi-Civita connection ( $g$ -compatible and torsion-free)
- ② The Chern connection (compatible with Hermitian and complex structures).

When are these connections the same?

## Lemma

Let  $\nabla$  be a connection on the tangent bundle of a Hermitian manifold  $M$ . Then  $\nabla$  can be identified with a connection  $D$  on  $T^{1,0}M$  if and only if  $\nabla J = 0$ . Moreover,

- ①  $D$  is compatible with the holomorphic structure if and only if the torsion of  $\nabla$  is a section of  $\Lambda^{2,0} \otimes T^{1,0}M \oplus \Lambda^{0,2} \otimes T^{0,1}M$ .
- ②  $D$  is compatible with the Hermitian structure if and only if  $\nabla$  is compatible with  $g$ .

Note that

$$\begin{aligned} (\nabla J)(X) &:= \nabla(JX) - J\nabla X = 0 \quad \forall X \in \Gamma(TM) \\ (\nabla g)(X, Y) &:= d(g(X, Y)) - g(\nabla X, Y) - g(X, \nabla Y) \quad \forall X, Y \in \Gamma(TM) \\ D\langle W, Z \rangle &:= d\langle W, Z \rangle - \langle DW, Z \rangle - \langle W, DZ \rangle \quad \forall W, Z \in \Gamma(T^{1,0}M). \end{aligned}$$

Thus the second condition may be restated  $\nabla g = 0 \Leftrightarrow D\langle \cdot, \cdot \rangle = 0$ .

The lemma implies that equality of the Chern and Levi-Civita connections is equivalent to  $J$  being parallel w.r.t. the Levi-Civita connection, and to the Chern connection being torsion free.

## Proof.

Choose local holomorphic coordinates  $z^j$  and work with the frame  $\partial/\partial z^j, \partial/\partial \bar{z}^j$  for  $T_{\mathbb{C}}M$ . A connection on  $T^{1,0}M$  with connection matrix  $\theta_j^k$  yields a real connection on  $TM$  with matrix:

$$\begin{pmatrix} \theta & 0 \\ 0 & \bar{\theta} \end{pmatrix}.$$

This clearly makes  $J = \text{diag}(i, \dots, i, -i, \dots, -i)$  parallel. Conversely, any connection that makes  $J$  parallel must be of this form.

The torsion of this connection is given by

$$T = (\theta_{jk}^l dz^j \wedge dz^k + \theta_{jk}^l d\bar{z}^j \wedge dz^k) \otimes \frac{\partial}{\partial z^l} + \text{c.c.},$$

where  $\theta_k^l = \theta_{jk}^l dz^j + \theta_{jk}^l d\bar{z}^j$ . The connection on  $T^{1,0}M$  is holomorphic if and only if  $\theta_j^k$  are  $(1,0)$ -forms, and this is clearly equivalent to the condition stated for the torsion.

The last part follows from the fact that  $(\langle \cdot, \cdot \rangle, J)$  determine  $g$  and  $(g, J)$  determine  $\langle \cdot, \cdot \rangle$ . □

## Theorem

Let  $(M, g)$  be a Hermitian manifold and let  $\omega(\cdot, \cdot) = g(J\cdot, \cdot)$  be its fundamental form. The following conditions are equivalent:

- (i)  $J$  is parallel for the Levi–Civita connection  $\nabla$ .
- (ii) The Chern connection  $D$  has zero torsion.
- (iii) The Levi–Civita and the Chern connections coincide.
- (iv) The fundamental form  $\omega$  of  $g$  is closed,  $d\omega = 0$ .
- (v) For each point  $p \in M$ , there exists a smooth real-valued function  $f$  in a neighbourhood of  $p$ , such that  $\omega = i\partial\bar{\partial}f$ .
- (vi) For each point  $p \in M$ , there exist complex coordinates  $w$  centred at  $p$  (called holomorphic normal coordinates), such that  $g(w) = 1 + O(|w|^2)$ .

Proof strategy: We have show  $(i) \Leftrightarrow (iii) \Leftrightarrow (ii)$ ; now show  $(i) \Rightarrow (iv) \Rightarrow (v) \Rightarrow (vi) \Rightarrow (ii)$ .

## $(i) \Rightarrow (iv)$

If  $J$  and  $g$  are both parallel w.r.t. the Levi–Civita connection, then so is  $\omega$  (since  $\omega(\cdot, \cdot) = g(J\cdot, \cdot)$ ).

To show that  $d\omega = 0$  we use the following lemma:

## Lemma

Let  $M$  be a manifold, let  $\phi \in \Omega^p M$  and let  $\nabla$  be a torsion-free connection on  $TM$ . Then

$$d\phi = \nabla \wedge \phi.$$

The notation “ $\nabla \wedge \phi$ ” instructs you to first evaluate  $\nabla \phi$ , which is a section of  $T^*M \otimes \Lambda^p M$ , and then replace  $\otimes$  with  $\wedge$ . Thus for  $\omega \in \Lambda^2 M$ , the lemma says

$$d\omega(X, Y, Z) = \nabla_X \omega(Y, Z) - \nabla_Y \omega(X, Z) + \nabla_Z \omega(X, Y).$$

Clearly,  $\nabla \omega = 0$  implies  $d\omega = 0$ .

The lemma can be proved by writing the left and right hand sides in a coordinate frame  $dx^j$ , and recalling that vanishing torsion means that the connection matrix  $\Gamma_j^k = \Gamma_{ij}^k dx^i$  satisfies  $\Gamma_{ij}^k = \Gamma_{ji}^k$ .

$$(iv) \implies (v)$$

### Lemma ( $\partial\bar{\partial}$ lemma)

Let  $M$  be a complex manifold such that  $H^{0,1}(M) = 0$  and let  $\phi$  be an exact real  $(1,1)$ -form on  $M$ . Then there exists a real function  $f : M \rightarrow \mathbb{R}$  such that  $\phi = i\partial\bar{\partial}f$ .

Thus if  $U \subset M$  is diffeomorphic to a ball then  $\omega$  is exact over  $U$ , and  $\omega = i\partial\bar{\partial}f$  because  $H^{0,1}(U) = 0$  (by the Dolbeault lemma).

### Proof.

Write  $\phi = d\psi$  for an exact 1-form  $\psi$ . Write  $\psi = \chi + \chi'$ , with  $\chi \in \Omega^{1,0}M$  and  $\chi' \in \Omega^{0,1}M$ . Since  $\psi$  is real,  $\chi' = \bar{\chi}$ .

Decomposing to types gives  $\phi = d\psi = \partial\chi + (\bar{\partial}\chi + \partial\bar{\chi}) + \bar{\partial}\bar{\chi}$ .

Since  $\phi \in \Omega^{1,1}M$ ,  $\partial\chi = 0 = \bar{\partial}\bar{\chi}$ .

Since  $H^{0,1}(M) = 0 \exists u : M \rightarrow \mathbb{C}$  such that  $\bar{\chi} = \bar{\partial}u$ .

Since  $\partial\bar{\partial} + \bar{\partial}\partial = 0$ ,  $\phi = \bar{\partial}\partial\bar{u} + \partial\bar{\partial}u = \partial\bar{\partial}(u - \bar{u}) = i\partial\bar{\partial}\text{Im}(u)$ . □

$$(v) \implies (vi)$$

Write  $g = \frac{1}{2}h_{jk}(dz^j d\bar{z}^k + d\bar{z}^k dz^j)$  and  $\omega = \frac{i}{2}h_{jk} dz^j \wedge d\bar{z}^k$  in local coordinates near a point  $z = 0$ , with  $h_{kj} = \bar{h}_{jk}$ . By linear change of coordinates we can arrange that  $h_{jk}(0) = \delta_{jk}$ . Then

$$h_{jk} = \delta_{jk} + z^l a_{ljk} + \bar{z}^l \bar{a}_{lkj} + O(|z|^2).$$

Since  $\omega = i\partial\bar{\partial}f$  for some function  $f$ ,  $a_{ljk} = 2\partial^3 f / \partial z^l \partial z^j \partial \bar{z}^k$ , and hence  $a_{ljk} = a_{jlk}$ . Let

$$w^j = z^j + \frac{1}{2}a_{lkj}z^k z^l, \quad \text{so that} \quad dw^j = dz^j + a_{klj}z^k dz^l.$$

Then

$$\begin{aligned} \frac{i}{2}dw^j \wedge d\bar{w}^j &= \frac{i}{2}(dz^j \wedge d\bar{z}^j + a_{klj}z^k dz^l \wedge d\bar{z}^j + dz^j \wedge \bar{a}_{klj}\bar{z}^k d\bar{z}^l) + O(|z|^2) \\ &= \omega + O(|z|^2) \\ &= \omega + O(|w|^2). \end{aligned}$$



(vi)  $\implies$  (ii)

Let  $p \in M$ , and choose coordinates  $z$  near, such that  $g = \frac{1}{2} h_{jk} (dz^j d\bar{z}^k + d\bar{z}^k dz^j)$  with

$$h_{jk} = \delta_{jk} + O(|z|^2).$$

Since the derivatives of  $h_{jk}$  vanish at  $z = 0$ , the connection matrix  $\theta = \partial h h^{-1}$  of the Chern connection vanishes at  $z = 0$ .

Therefore the Chern connection has vanishing torsion at  $p$ .

Since the choice of  $p$  was arbitrary, the Chern connection is torsion-free.

This completes the proof.

## Kähler manifolds

### Definition

A Hermitian manifold satisfying any one of the six equivalent conditions in the preceding theorem is called a *Kähler manifold*, its metric is called a *Kähler metric*, and its fundamental form  $\omega$  is called the *Kähler form*. A local function  $f$  such that  $\omega = i\partial\bar{\partial}f$  is called a *Kähler potential*.

### Example ( $\mathbb{C}^m$ )

$$g = \frac{1}{2} \sum_j dz^j d\bar{z}^j + d\bar{z}^j dz^j, \quad \omega = \frac{i}{2} \sum_j dz^j \wedge d\bar{z}^j.$$

This is Kähler, by (vi). A Kähler potential is given by

$$f = \frac{1}{2} |z|^2.$$

### Example (Fubini–Study metric on $\mathbb{P}^m$ )

Choose standard coordinates  $w^j = z^j / z^0$  over  $U_0$ , with  $j = 1, \dots, m$ .

Let

$$f_0 = \ln \left( 1 + \sum_{j=1}^m |w^j|^2 \right), \quad \omega = i\partial\bar{\partial}f_0.$$

We claim that this  $\omega$  extends to the whole of  $\mathbb{P}^m$ . To show this, define  $f_j$  analogously on  $U_j$ . Then on  $U_j \cap U_0$ ,

$$f_j - f_0 = \ln \left( \sum_{l=0}^m \frac{|z^l|^2}{|z^j|^2} \right) - \ln \left( \sum_{l=0}^m \frac{|z^l|^2}{|z^0|^2} \right) = \ln \frac{z^0}{z^j} + \ln \frac{\bar{z}^0}{\bar{z}^j}.$$

Therefore  $i\partial\bar{\partial}f_j - i\partial\bar{\partial}f_0 = 0$ , and we may write  $\omega = i\partial\bar{\partial}f_j$  on  $U_j$ .

By direct calculation,  $i\partial\bar{\partial}f_0 = \frac{i}{2} h_{jk} dw^j \wedge d\bar{w}^k$ , where  $h$  is the matrix

$$h = \frac{1}{1 + |w|^2} \left( Id_k - \frac{ww^\dagger}{1 + |w|^2} \right) > \frac{1}{1 + |w|^2} \left( Id_k - \frac{ww^\dagger}{|w|^2} \right) \geq 0$$

Therefore  $g = \frac{1}{2} h_{jk} (dw^j d\bar{w}^j + d\bar{w}^j dw^j)$  is positive definite, so  $(\mathbb{P}^m, g)$  is Kähler.

## New Kähler metrics from old

**Remark:** It can be shown that the Fubini–Study metric on  $\mathbb{P}^m$  is invariant under the natural action of  $U(m+1)$ .

### Proposition

*A complex submanifold of a Kähler manifold, equipped with the induced metric, is Kähler.*

### Proof.

Let  $\iota : N \rightarrow M$  be the inclusion map. Since  $N$  is a complex submanifold,  $\iota_* \circ J_N = J_M \circ \iota_*$ . Therefore the fundamental form  $\omega_N = g_N(J_N \cdot, \cdot)$  equals the pull-back  $\iota^* \omega_M$  of the fundamental form of  $M$ . Since  $\omega_M$  is closed, so too is  $\omega_N$ . □

### Proposition

*The product of two Kähler manifolds is Kähler.*

The proof is an **exercise**!

# The Ricci form

## Proposition

Let  $(M, g)$  be a Kähler manifold, let  $J$  be its almost complex structure and let

$$\text{Ric}(X, Y) := \text{tr}(V \mapsto R(V, X)Y)$$

be its Ricci tensor. Then

$$\text{Ric}(JX, JY) = \text{Ric}(X, Y) \quad \forall X, Y \in TM$$

## Definition

The *Ricci form* of a Kähler manifold is the  $(1,1)$ -form

$$\rho(X, Y) = \text{Ric}(JX, Y) \quad \forall X, Y \in TM.$$

$\rho$  is a 2-form because

$$\rho(Y, X) = \text{Ric}(JY, X) = \text{Ric}(X, JY) = \text{Ric}(JX, J^2 Y) = -\rho(X, Y).$$

It is of type  $(1,1)$  because

$$\rho(JX, JY) = \text{Ric}(J^2 X, JY) = \text{Ric}(J^3 X, J^2 Y) = \rho(X, Y).$$

## Proof.

Since the Chern connection makes  $J$  parallel ( $\nabla J = 0$ ),

$$R(X, Y)JZ = JR(X, Y)Z \quad \forall X, Y, Z \in TM.$$

Since the Chern connection has curvature of type  $(1,1)$ ,

$$R(JX, JY)Z = R(X, Y)Z \quad \forall X, Y, Z \in TM.$$

Therefore

$$\begin{aligned} \text{Ric}(JX, JY) &= \text{tr}(V \mapsto R(V, JX)JY) \\ &= \text{tr}(V \mapsto JR(JV, J^2 X)Y) \quad \text{identities above} \\ &= \text{tr}(V \mapsto J^2 R(V, J^2 X)Y) \quad \text{cyclicity of trace} \\ &= \text{Ric}(X, Y) \end{aligned}$$



# Properties of the Ricci form

## Theorem

Let  $\rho$  be the Ricci form of a Kähler manifold  $(M, g)$ . Then

- ①  $i\rho$  is equal to the curvature of the Chern connection of the canonical bundle.
- ②  $\rho$  is closed:  $d\rho = 0$ .
- ③ In local coordinates where  $g = \frac{1}{2}h_{j\bar{k}}(dz^j d\bar{z}^k + d\bar{z}^k dz^j)$ ,  
 $\rho = -i\partial\bar{\partial}\ln\det h$ .
- ④  $[\rho/2\pi] = c_1(M)$  in  $H^2(M)$ .

## $i\rho = \text{curvature of } K$

By  $J$ -compatibility of  $\nabla$  and Bianchi I:

$$JR(X, Y)V = -JR(Y, V)X - JR(V, X)Y = R(V, Y)JX - R(V, X)JY.$$

Therefore

$$\text{tr}(V \mapsto JR(X, Y)V) = \text{Ric}(Y, JX) - \text{Ric}(X, JY) = 2\rho(X, Y).$$

We evaluate this trace over  $TM$  as a trace over  $T_{\mathbb{C}}M$ .

With respect to the splitting  $T_{\mathbb{C}}M = T^{1,0}M \oplus T^{0,1}M$ ,

$$J = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \text{ and } R = \begin{pmatrix} \Theta & 0 \\ 0 & \bar{\Theta} \end{pmatrix},$$

where  $\Theta$  is the curvature of the Chern connection on  $T^{1,0}M$ . Hence

$$\rho(X, Y) = \frac{i}{2} \text{tr}(\Theta(X, Y)) - \frac{i}{2} \text{tr}(\bar{\Theta}(X, Y)).$$

The Chern connection  $\theta$  on  $T^{1,0}M$  induces a holomorphic Hermitian connection  $-\text{tr}\theta$  on  $K = \det(\Lambda^{1,0}M)$ . By uniqueness, this is the Chern connection of  $K$ . Its curvature is  $\Theta_K = -\text{tr}\Theta$ . Since the connection is Hermitian,  $\bar{\Theta}_K = -\Theta_K$ . So  $i\rho = \Theta_K$ .

## Everything else follows

The remaining parts of the theorem follow from the identity  $i\rho = \Theta_K$ :

- $\rho$  is closed by the (second) Bianchi identity:  $d\Theta_K = 0$ .
- If  $g = \frac{1}{2}h_{j\bar{k}}(dz^j d\bar{z}^k + d\bar{z}^k dz^j)$  then

$$\langle dz^1 \wedge \dots \wedge dz^k, dz^1 \wedge \dots \wedge dz^k \rangle = \det(h)^{-1}.$$

Therefore  $\rho = -i\Theta_K = -i\bar{\partial}\partial \ln(\det(h)^{-1}) = -i\bar{\partial}\partial \ln \det h$ .

- $c_1(M) = -c_1(K) = -[\frac{i}{2\pi}\Theta_K] = [\frac{1}{2\pi}\rho]$ .

## Hodge star and Laplacian

### Definition

Let  $(M, g)$  be a compact oriented  $n$ -dimensional **Riemannian** manifold and let  $\text{Vol}_g$  be a volume form. The *Hodge star operator* is the unique operator  $*$  :  $\Omega^p M \rightarrow \Omega^{n-p} M$  such that

$$u \wedge *v = g(u, v) \text{Vol}_g \quad \forall u, v \in \Omega^p M.$$

The  $L^2$  inner product on  $\Omega^p M$  is

$$\langle u, v \rangle_{L^2} = \int_M g(\bar{u}, v) \text{Vol}_g = \int_M \bar{u} \wedge *v.$$

**Remark:** if  $E_i$  is an orthonormal frame for  $TM$  and  $e^i$  is the dual frame for  $T^*M$  then the metric  $g$  on  $\Omega^p M$  is defined such that the forms  $e^{i_1} \wedge \dots \wedge e^{i_p}$ ,  $i_1 < \dots < i_p$  are orthonormal.

**Exercise:** show that  $*^2 = (-1)^{p(n-p)}$ .

### Definition

Let  $(M, g)$  be as above. The codifferential is the map

$$d^* : \Omega^p M \rightarrow \Omega^{p-1} M, \quad d^* = (-1)^{pn+1} * d *.$$

The *Hodge Laplacian* (or Laplace-Beltrami operator) is

$$\Delta_g : \Omega^p M \rightarrow \Omega^p M, \quad \Delta_g := dd^* + d^* d.$$

### Lemma

*The codifferential is the  $L^2$  adjoint of the exterior derivative, and the Hodge-Laplacian is self-adjoint.*

### Proof.

$$\begin{aligned} \langle du, v \rangle_{L^2} &= \int_M d\bar{u} \wedge *v = (-1)^{p+1} \int_M \bar{u} \wedge d*v \\ &= (-1)^{pn+p(1-p)+1} \int_M \bar{u} \wedge *( *d*v) = \langle u, d^*v \rangle_{L^2}. \end{aligned}$$

Furthermore  $(d^* d)^* = d^* (d^*)^* = d^* d$  and similarly  $(dd^*)^* = dd^*$ . □

### Example ( $\mathbb{R}^3$ with $g = \sum_i dx^i dx^i$ )

If  $f : \mathbb{R}^3 \rightarrow \mathbb{R}$  is a 0-form then

$$\begin{aligned} df &= \frac{\partial f}{\partial x^1} dx^1 + \frac{\partial f}{\partial x^2} dx^2 + \frac{\partial f}{\partial x^3} dx^3 \\ *df &= \frac{\partial f}{\partial x^1} dx^2 \wedge dx^3 - \frac{\partial f}{\partial x^2} dx^1 \wedge dx^3 + \frac{\partial f}{\partial x^3} dx^1 \wedge dx^2 \\ d*df &= \frac{\partial^2 f}{(\partial x^1)^2} dx^1 \wedge dx^2 \wedge dx^3 + \frac{\partial^2 f}{(\partial x^2)^2} dx^1 \wedge dx^2 \wedge dx^3 \\ &\quad + \frac{\partial^2 f}{(\partial x^3)^2} dx^1 \wedge dx^2 \wedge dx^3 \\ *d*df &= \frac{\partial^2 f}{(\partial x^1)^2} + \frac{\partial^2 f}{(\partial x^2)^2} + \frac{\partial^2 f}{(\partial x^3)^2} \end{aligned}$$

and  $d^*f = 0$ , so

$$\Delta_g f = d^* df = - *d*df = - \sum_i \frac{\partial^2 f}{(\partial x^i)^2}.$$

# Hodge Laplacian as a gradient

## Lemma

The Hodge Laplacian is the gradient of the energy functional

$$E_g : \Omega^p M \rightarrow \mathbb{R}, E_g : \phi \mapsto \frac{1}{2} (\langle d\phi, d\phi \rangle_{L^2} + \langle d^*\phi, d^*\phi \rangle_{L^2}),$$

i.e. if  $\phi_t$  is a family of  $p$ -forms smoothly parametrised by  $t \in \mathbb{R}$ ,

$$\left. \frac{d}{dt} E_g[\phi_t] \right|_{t=0} = \operatorname{Re} \langle \dot{\phi}_0, \Delta_g \phi_0 \rangle_{L^2}.$$

## Proof.

$$\begin{aligned} \frac{d}{dt} E_g[\phi_t] &= \frac{1}{2} (\langle d\dot{\phi}_t, d\phi_t \rangle_{L^2} + \langle d\phi_t, d\dot{\phi}_t \rangle_{L^2} \\ &\quad + \langle d^*\dot{\phi}_t, d^*\phi_t \rangle_{L^2} + \langle d^*\phi_t, d^*\dot{\phi}_t \rangle_{L^2}) \\ &= \operatorname{Re} (\langle d\dot{\phi}_t, d\phi_t \rangle_{L^2} + \langle d^*\dot{\phi}_t, d^*\phi_t \rangle_{L^2}) \\ &= \operatorname{Re} (\langle \dot{\phi}_t, d^* d\phi_t \rangle_{L^2} + \langle \dot{\phi}_t, dd^*\phi_t \rangle_{L^2}) \\ &= \operatorname{Re} \langle \dot{\phi}_t, \Delta_g \phi_t \rangle_{L^2}. \end{aligned}$$

□

# Dolbeault Laplacians

Let  $M$  be a compact  $m$ -complex-dimensional Hermitian manifold.

**Exercise:** Show that  $*(\Omega^{p,q} M) = \Omega^{m-q, m-p} M$ .

**Exercise:** Show that the operators

$$\begin{aligned} \partial^* : \Omega^{p,q} M &\rightarrow \Omega^{p-1,q} M, & \partial^* &= - * \bar{\partial} * \\ \bar{\partial}^* : \Omega^{p,q} M &\rightarrow \Omega^{p,q-1} M, & \bar{\partial}^* &= - * \partial * \end{aligned}$$

are the  $L^2$ -adjoints of  $\partial$  and  $\bar{\partial}$ .

## Definition

The *Hodge Laplacians* are the operators  $\Delta_{\partial}, \Delta_{\bar{\partial}} : \Omega^{p,q} M \rightarrow \Omega^{p,q} M$ ,  
 $\Delta_{\partial} = \partial\partial^* + \partial^*\partial$ ,  $\Delta_{\bar{\partial}} = \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}$ .

**Exercise:** Show that the Dolbeault Laplacians are the gradients of

$$E_{\partial}[\phi] = \frac{1}{2} (\langle \partial\phi, \partial\phi \rangle + \langle \partial^*\phi, \partial^*\phi \rangle), \quad E_{\bar{\partial}}[\phi] = \frac{1}{2} (\langle \bar{\partial}\phi, \bar{\partial}\phi \rangle + \langle \bar{\partial}^*\phi, \bar{\partial}^*\phi \rangle).$$

# Laplacians on Kähler manifolds

## Theorem

Let  $(M, g)$  be a Kähler manifold. Then  $\Delta_g = 2\Delta_{\partial} = 2\Delta_{\bar{\partial}}$ .

## Proof.

Let  $p \in M$  and choose normal coordinates  $z^j = x^j + iy^j$  near  $p$  such that  $g_{jk} = \delta_{jk} + O(|z|^2)$ . Let  $\phi$  be any form.

Then  $\Delta_g \phi = \Delta_{\delta} \phi + O(|z|)$ .

In particular,  $\Delta_g \phi(0) = \Delta_{\delta} \phi(0)$ .

Similarly, the Dolbeault Laplacians agree with their Euclidean versions at  $z = 0$ .

By direct calculation,  $\Delta_g = 2\Delta_{\partial} = 2\Delta_{\bar{\partial}}$  holds for the Euclidean metric.

Therefore  $\Delta_g \phi = 2\Delta_{\partial} \phi = 2\Delta_{\bar{\partial}} \phi$  at  $p$ .

Since  $p$  was arbitrary,  $\Delta_g \phi = 2\Delta_{\partial} \phi = 2\Delta_{\bar{\partial}} \phi$  everywhere.  $\square$

# Cohomology of compact Kähler manifolds I

## Proposition

If  $(M, g)$  is an  $m$ -complex-dimensional compact Kähler manifold and  $0 \leq q \leq m$  then  $H_{DR}^{2q}(M) \neq 0$ .

Hence the complex manifold  $S^1 \times S^{2m-1}$  admits no Kähler metric!

## Proof.

Let  $\omega$  be the Kähler form. Then  $\omega$  is closed, and hence so is  $\omega^q$ .

Suppose that  $\omega^q = d\psi$  for some  $\psi \in \Omega^{q-1} M$ . By Stokes' theorem:

$$\int_M \omega^m = \int_M d\psi \wedge \omega^{m-q} = \int_M d(\psi \wedge \omega^{m-q}) = 0.$$

By direct calculation in an orthonormal basis,  $\omega^m = m! \text{Vol}_g$ , so

$$\int_M \omega^m = m! \text{Vol}(M) \neq 0,$$

a contradiction. Thus  $\omega^q$  is not exact, and  $0 \neq [\omega^q] \in H_{DR}^{2q}(M)$ .  $\square$



# Cohomology of compact Kähler manifolds II

## Proposition

Let  $(M, g)$  be an  $m$ -complex-dimensional compact Kähler manifold and  $0 \leq q \leq m$ . The inclusion  $\Omega^{q,0}M \hookrightarrow \Omega^q M$  induces an injective map  $H_{\bar{\partial}}^{q,0}(M) \hookrightarrow H_{DR}^q(M)$ .

## Proof.

If  $\eta \in \Omega^{q,0}M$  and  $\bar{\partial}\eta = 0$  we call  $\eta$  a *holomorphic  $(q,0)$ -form*. Assume:

## Lemma

A non-zero holomorphic  $(q,0)$ -form is never exact.

Choose any non-zero element of  $H_{\bar{\partial}}^{q,0}(M)$ ; this is represented by a unique holomorphic  $(q,0)$ -form  $\eta$ . Then  $d\eta = (\partial + \bar{\partial})\eta = \partial\eta$  is an exact holomorphic  $(q+1,0)$ -form, so  $d\eta = 0$  by the lemma. Therefore  $\eta$  defines a class  $[\eta] \in H_{DR}^{2q}(M)$ . This class is not trivial, because  $\eta$  is not exact, by the lemma. □

## Proof of lemma.

Let  $\eta$  be a non-zero holomorphic  $(q,0)$ -form, and suppose for contradiction that  $\eta = d\psi$  for some  $\psi \in \Omega^{q-1}M$ . Then since  $d\bar{\eta} = 0$  and  $d\omega = 0$ ,

$$\int_M \eta \wedge \bar{\eta} \wedge \omega^{m-q} = \int_M d(\psi \wedge \bar{\eta} \wedge \omega^{m-q}) = 0$$

by Stokes' theorem. On the other hand,

$$\int_M \eta \wedge \bar{\eta} \wedge \omega^{m-q} = C_{m,q} \int_M \eta \wedge * \eta = C_{m,q} \langle \eta, \eta \rangle_{L^2} \neq 0,$$

(where  $C_{m,q} = (-i)^{q^2} 2^q (m-q)!$ ), a contradiction. Therefore  $\eta$  is not exact. □

The proposition that we just proved is a special case of the Hodge theorem, which will be proved in a few slides' time. . .

# Harmonic forms

## Definition

A  $p$ -form  $\phi$  on a Riemannian manifold is called *co-closed* if  $d^*\phi = 0$  and *harmonic* if  $\Delta_g\phi = 0$ . The space of all harmonic  $p$ -forms is denoted  $\mathcal{H}^p(M)$ .

## Lemma

*A differential form on a compact Riemannian manifold is harmonic if and only if it is both closed and co-closed.*

## Proof.

The “if” direction is obvious, so suppose that  $\phi$  is a harmonic  $p$ -form. Then

$$0 = \langle \Delta_g\phi, \phi \rangle_{L^2} = \langle (dd^* + d^*d)\phi, \phi \rangle_{L^2} = \langle d\phi, d\phi \rangle_{L^2} + \langle d^*\phi, d^*\phi \rangle_{L^2}.$$

The right hand side is zero if and only if  $d\phi = 0$  and  $d^*\phi = 0$ . □

# The Hodge–de Rham theorem

## Theorem (Hodge–de Rham)

*Let  $(M, g)$  be a compact Riemannian manifold. Then there are  $L^2$ -orthogonal decompositions,*

$$\Omega^p M = \mathcal{H}^p(M) \oplus d(\Omega^{p-1} M) \oplus d^*(\Omega^{p+1} M).$$

## Corollary (Hodge isomorphism)

*On a compact Riemannian manifold  $(M, g)$  the natural map  $\mathcal{H}^p(M) \rightarrow H_{DR}^p(M)$ ,  $\phi \mapsto [\phi]$  is an isomorphism.*

## Proof of corollary.

Let  $\phi \in \Omega^p(M)$  and write  $\phi = \phi_H + d\psi + d^*\chi$  with  $\phi_H \in \mathcal{H}^p(M)$ . If  $d^*\chi = 0$  then  $\phi$  is closed. Conversely, if  $\phi$  is closed then

$$0 = \langle d\phi, \chi \rangle_{L^2} = \langle dd^*\chi, \chi \rangle = \langle d^*\chi, d^*\chi \rangle$$

and  $d^*\chi = 0$ . So  $\ker d = \mathcal{H}^p(M) \oplus d(\Omega^{p-1} M)$ . Therefore  $H_{DR}^p(M) = \ker d / \operatorname{im} d \cong \mathcal{H}^p(M)$ . □

### Corollary (Poincaré duality)

Let  $M$  be a compact  $n$ -dimensional manifold. Then  
 $H_{DR}^k(M) \cong H_{DR}^{n-k}(M)$ .

### Proof.

Choose any Riemannian metric on  $M$ . It suffices to show that the Hodge star induces a linear map  $\mathcal{H}_{DR}^k(M) \rightarrow \mathcal{H}_{DR}^{n-k}(M)$ , i.e. to show that if  $\phi$  is harmonic then  $*\phi$  is harmonic. This is left as an **exercise**. The linear map must then be an isomorphism, because  $*^2 = (-1)^{k(n-k)}$ . □

### Sketch proof of Hodge–de Rham theorem.

Want to show:  $\Omega^p M = \mathcal{H}^p(M) \oplus d(\Omega^{p-1} M) \oplus d^*(\Omega^{p+1} M)$ .

Easy to show that the three factors are orthogonal:

$\langle d\psi, d^*\phi \rangle_{L^2} = \langle d^2\psi, \phi \rangle_{L^2} = 0$  shows that  $d(\Omega^{p-1} M) \perp d^*(\Omega^{p+1} M)$ ;  
if  $\omega \in \mathcal{H}^p(M)$  then  $d\omega = 0$ , so  $\langle \omega, d^*\psi \rangle = \langle d\omega, \psi \rangle = 0$  and  
 $\mathcal{H}^p(M) \perp d^*(\Omega^{p+1} M)$ ; ...

Next show the three factors span  $\Omega^p M$  – this is hard! Things would be easy if  $\Omega^p(M)$  were finite-dimensional: in that case, since  $\Delta_g$  is self-adjoint, it would restrict to an invertible operator

$A: \mathcal{H}^p(M)^\perp \rightarrow \mathcal{H}^p(M)^\perp$ . For any  $\psi \in \mathcal{H}^p(M)^\perp$  we could write

$$\psi = AA^{-1}\psi = \Delta_g(A^{-1}\psi) = d(d^*A^{-1}\psi) + d^*(dA^{-1}\psi),$$

and conclude that  $\mathcal{H}^p(M)^\perp = d(\Omega^{p-1} M) \oplus d^*(\Omega^{p+1} M)$ .

Since  $\Omega^p(M)$  is NOT finite-dimensional life is harder. You need to work with a completion of  $\Omega^p(M)$  with respect to a Lebesgue or Sobolev norm and appeal to linear analysis to show that  $A$  is invertible (usually by a circuitous route). You also need to show that things in the kernel of  $\Delta_g$  really are smooth solutions, rather than weak solutions. □

# Dolbeault decomposition theorem

## Theorem (Dolbeault decomposition theorem)

Let  $(M, g)$  be a compact Hermitian manifold, and let  $\mathcal{H}^{p,q} = \ker(\Delta_{\bar{\partial}}) \subset \Omega^{p,q}M$ . Then there are  $L^2$ -orthogonal decompositions,

$$\Omega^{p,q}M = \mathcal{H}^{p,q}(M) \oplus \bar{\partial}(\Omega^{p,q-1}M) \oplus \bar{\partial}^*(\Omega^{p,q+1}M).$$

## Corollary

*Dolbeault isomorphism theorem* On a compact Hermitian manifold  $(M, g)$  the natural map  $\mathcal{H}^{p,q}(M) \rightarrow H_{\bar{\partial}}^{p,q}(M)$ ,  $\phi \mapsto [\phi]$  is an isomorphism.

Both proofs are similar to their Riemannian counterparts.

## Corollary (Serre duality)

On a compact complex  $m$ -dimensional manifold  $M$ ,  
 $H^{p,q}(M) \cong H^{m-p, m-q}(M)$ .

**Proof:** Choose a Hermitian metric and show that  $\psi \mapsto *\bar{\psi}$  is an isomorphism  $\mathcal{H}^{p,q}(M) \rightarrow \mathcal{H}^{m-p, m-q}(M)$ .

## Theorem (Hodge)

Let  $(M, g)$  be a compact Kähler manifold. Then

$$H_{DR}^k(M) \cong \bigoplus_{p+q=k} H_{\bar{\partial}}^{p,q}(M), \quad \text{and} \quad H_{\bar{\partial}}^{q,p}(M) \cong \overline{H_{\bar{\partial}}^{p,q}(M)}.$$

## Proof.

Since  $\Delta_g = 2\Delta_{\bar{\partial}}$ ,  $\mathcal{H}^k(M) = \bigoplus_{p+q=k} \mathcal{H}^{p,q}(M)$ .

Since  $\Delta_{\bar{\partial}} = \Delta_{\partial}$ ,  $\psi \mapsto \bar{\psi}$  is an isomorphism  $\mathcal{H}^{p,q}(M) \rightarrow \mathcal{H}^{q,p}(M)$ .

The results then follow by the Hodge and Dolbeault isomorphism theorems. □

### Theorem

Let  $\phi_t$  be a continuous family of isometries of a compact Kähler manifold parametrised by  $t \in \mathbb{R}$  such that  $\phi_{s+t} = \phi_s \circ \phi_t$ . Then  $\phi_t$  is holomorphic for all  $t \in \mathbb{R}$ .

The theorem is **false** on non-compact Kähler manifolds. E.g.  $SO(4)$  is a path-connected group of isometries of  $\mathbb{C}^2$ , but only its subgroup  $U(2)$  acts holomorphically.

The theorem is also false for actions of discrete groups. E.g. the antipodal map  $S^2 \rightarrow S^2$ ,  $\mathbf{x} \mapsto -\mathbf{x}$  (where  $\mathbf{x} \in \mathbb{R}^3$  satisfies  $\mathbf{x} \cdot \mathbf{x} = 1$ ) is not holomorphic with respect to the complex structure on  $\mathbb{P}^1 \simeq S^2$  (**Exercise**).

**Remark:** By setting  $X = \dot{\phi}_t|_{t=0}$ , we learn that Killing vectors  $X$  on compact Kähler manifolds commute with  $J$ :  $[X, JY] = J[X, Y]$  for all vector fields  $Y$ .

### Proof.

We show that  $\phi_t^* \omega = \omega$ . The result follows, since  $g$  and  $\omega$  determine  $J$ . Since  $*\omega = \frac{1}{(m-1)!} \omega^{m-1}$  and  $d\omega = 0$ ,  $d^* \omega = - * d * \omega = 0$ . Hence  $\omega$  is harmonic.

Since  $\phi_t$  is homotopic to the identity map,  $\phi_t^* \omega$  and  $\omega$  define the same cohomology class in  $H_{DR}^2(M)$ .

Since  $\phi_t$  is an isometry,  $\Delta_g \phi_t^* \omega = \phi_t^* \Delta_g \omega = 0$  and  $\phi_t^* \omega$  is also harmonic.

Therefore  $\phi_t^* \omega - \omega$  is a harmonic form homologous to zero.

By the Hodge–de Rham theorem, it must vanish.

So  $\phi_t^* \omega = \omega$ . □

## Sectional curvature

Let  $(M, g)$  be a Riemannian manifold and let  $\pi \subset T_x M$  be a plane. Recall that the *sectional curvature* of  $\pi$  is the Gauss curvature of a surface passing through  $x$  tangent to  $\pi$ .

Given  $X, Y \in \pi$ , the sectional curvature of  $\pi$  is equal to

$$K_x(X, Y) = \frac{R(X, Y, X, Y)}{g(X, X)g(Y, Y) - g(X, Y)^2},$$

where  $R(U, V, X, Y) = g(U, R(X, Y)V)$ .

### Definition

Let  $(M, g)$  be a *Hermitian* manifold. The *holomorphic sectional curvatures* are the sectional curvatures of tangent planes to one-complex-dimensional submanifolds.

A plane  $\pi$  is tangent to a complex submanifold if and only if it is fixed by  $J$ . So the holomorphic sectional curvatures are the quantities

$$K_x(X, JX) = \frac{R(X, JX, X, JX)}{g(X, X)^2}, \quad X \in T_x M.$$

## Holomorphic sectional curvature determines curvature

Recall that

### Theorem

*Let  $(M, g)$  be a Riemannian manifold. Then the sectional curvatures of  $M$  determine the curvature tensor.*

Kähler manifolds are even better:

### Theorem

*Let  $(M, g)$  be a Kähler manifold. Then the holomorphic sectional curvatures of  $M$  determine the curvature tensor.*

Both proofs are similar to the proof that a quadratic form  $B$  on a vector space  $V$  is determined by the quantities  $B(v, v)$ ,  $v \in V$ . In that case, one extracts  $B(u, v)$  as the coefficient of  $2t$  in

$$B(u + tv, u + tv) = B(u, u) + 2tB(u, v) + t^2B(v, v).$$

We will prove only the second of these two theorems.

### Proof: Holomorphic sectional curvature determines curvature.

We show that the holomorphic sectional curvatures determine the sectional curvatures, and appeal to the previous theorem.

The coefficient of  $t^2$  in  $R(X + tY, JX + tJY, X + tY, JX + tJY)$  is

$$R(X, JY, X, JY) + R(X, JX, Y, JY) + R(X, JY, Y, JX) + \\ R(Y, JX, Y, JX) + R(Y, JY, X, JX) + R(Y, JX, X, JY).$$

Using symmetry properties of  $R$  and the fact that

$R(U, V, JX, JY) = R(U, V, X, Y) = R(JU, JV, X, Y)$ , this equals

$$2R(X, JY, X, JY) + 4R(X, JX, Y, JY).$$

The first term here looks like a sectional curvature. We make the second look like a sectional curvature by using the Bianchi identity:

$$\begin{aligned} R(X, JX, Y, JY) &= -R(X, Y, JY, JX) - R(X, JY, JX, Y) \\ &= R(X, Y, X, Y) + R(X, JY, X, JY). \end{aligned}$$

□

### Proof (cont'd).

So the coefficient of  $t^2$  in  $R(X + tY, JX + tJY, X + tY, JX + tJY)$  is

$$6R(X, JY, X, JY) + 4R(X, Y, X, Y).$$

Similarly, the coefficient of  $t^2$  in  $R(X + tJY, JX - tY, X + tJY, JX - tY)$  is

$$6R(X, Y, X, Y) + 4R(X, JY, X, JY).$$

$R(X, Y, X, Y)$  is equal to a linear combination of these two quantities.

□

If you haven't seen the proof that sectional curvatures determine the curvature tensor, it is similar in spirit to this one.

# Constant holomorphic sectional curvature

## Definition

A Kähler manifold  $(M, g)$  is said to have constant holomorphic sectional curvature if  $K_x(X, JX)$  does not depend on the point  $x \in M$  or on the plane  $\pi \subset T_x M$  spanned by  $X, JX$ .

## Example

$\mathbb{C}^m$  has constant sectional curvature equal to zero.

## Example ( $\mathbb{P}^m$ has positive constant sectional curvature)

Rather than a direct calculation, we give a conceptual proof. Let

$$F_{1,2} = \{\text{linear subspaces } \ell, m \subset \mathbb{C}^{m+1} : \dim \ell = 1, \dim m = 2, \ell \subset m\}.$$

Pairs  $(\ell, m) \in F_{1,2}$  are called *flags*.

There is a bijection

$$\begin{aligned} F_{1,2} &\rightarrow \{(x, \pi) : x \in \mathbb{P}^m, \pi \subset T_x M \text{ is a } \mathbb{C}\text{-linear subspace}\} \\ (\ell, m) &\mapsto \left( [z_0 : \dots : z_m], \frac{d}{dt} [z_0 + tv_0 : \dots : z_m + tv_m] \Big|_{t=0} \right), \end{aligned}$$

where  $z, v \in \mathbb{C}^{m+1}$  are such that  $\ell = \text{span}\{z\}$ ,  $m = \text{span}\{z, v\}$ .

$U(m+1)$  acts transitively on  $\mathbb{P}^m$  and on  $F_{1,2}$ , i.e. any flag can be mapped to any other by an element of  $U(m+1)$ .

The metric and complex structure on  $\mathbb{P}^m$  are invariant under this action (see second exercise sheet).

Therefore the holomorphic section curvature is a function on  $F_{1,2}$  which is invariant under a transitive group action, hence constant.



### Example (Complex hyperbolic space)

$$\begin{aligned}\mathbb{C}H^m &= \{w \in \mathbb{C}^m : |w|^2 < 1\} \\ \omega &= i\partial\bar{\partial}\ln(1 - |w|^2).\end{aligned}$$

This is a Kähler manifold with constant *negative* sectional curvature.

### Theorem

*The only complete simply connected Kähler manifolds with constant sectional curvature are  $\mathbb{P}^m$ ,  $\mathbb{C}^m$  and  $\mathbb{C}H^m$ .*

## The Calabi conjecture

Let  $(M, g)$  be a compact Kähler manifold.

Recall that  $c_1(M) := c_1(T^{1,0}M) = \frac{i}{2\pi} [\text{tr } R^D] \in H_{DR}^2(M)$ , where  $R^D$  is the curvature of any connection  $D$  on  $T^{1,0}M$ .

If  $D$  is the Chern connection  $R^D$  is type  $(1,1)$ , so

$$c_1(M) \in H_{DR}^2(M) \cap H_{\bar{\partial}}^{1,1}(M).$$

Recall that  $[\rho] = 2\pi c_1(M)$ .

Can every form  $\phi$  such that  $[\phi] = c_1(M)$  be realised as the Ricci form of some Kähler metric?

### Theorem (Calabi-Yau)

*Let  $(M, g)$  be a compact Kähler manifold with Kähler form  $\omega$  and let  $\phi$  be a real  $(1,1)$ -form representing  $c_1(M)$ . Then there exists a unique Kähler metric on  $M$  whose Kähler form  $\tilde{\omega}$  satisfies  $[\tilde{\omega}] = [\omega]$  and whose Ricci form  $\tilde{\rho}$  satisfies  $\tilde{\rho} = \phi$ .*

Conjectured by Calabi, proved by Yau in 1977.

# Kähler-Einstein manifolds

Recall that a Riemannian manifold is called *Einstein* if  $Ric = \lambda g$  for some constant  $\lambda \in \mathbb{R}$ .

A complex manifold is called *Kähler-Einstein* if it admits a metric which is both Kähler and Einstein.

Does a given Kähler manifold admit a Kähler-Einstein metric?

Note that  $\rho = \lambda \omega$  on a Kähler-Einstein manifold, so  $\lambda[\omega] = 2\pi c_1(M)$ . Since  $\omega > 0$ , one requires  $\lambda = 0, > 0$  or  $< 0$  according to whether  $c_1(M)$  is positive, negative, or zero. W.l.o.g.,  $\lambda = 0, \pm 1$ .

## Existence of Kähler-Einstein metrics

If  $c_1(M) = 0$ , there is a Kähler-Einstein metric with  $\rho = 0$ , by the Calabi-Yau theorem.

### Theorem (Aubin–Yau, 1978)

*Let  $M$  be a compact Kähler manifold with  $c_1(M) < 0$ . Then there exists a unique Kähler metric whose fundamental form  $\tilde{\omega}$  and Ricci form  $\tilde{\rho}$  satisfy  $\tilde{\rho} = -\tilde{\omega}$ .*

It is not true that every Kähler manifold with  $c_1(M) > 0$  admits a Kähler-Einstein metric.

Berman shows in arXiv:1205.6214 that a manifold with  $c_1(M) > 0$  must be “ $K$ -(poly)stable” (a similar result was obtained by Tian in 1997 with more restrictive assumptions).

Chen, Donaldson Sun, and, independently, Tian, showed in late 2012 that any  $K$ -(poly)stable Kähler manifold with  $c_1(M) > 0$  admits a Kähler-Einstein metric.

## Constant scalar curvature Kähler metrics

A *constant scalar curvature Kähler metric* is a Kähler metric whose scalar curvature  $S := \text{tr Ric}$  is constant.

Kähler-Einstein metrics obviously have constant scalar curvature. In fact, more is true on compact Kähler manifolds:

$$S = \text{constant and } [\rho] = \lambda[\omega] \quad \Leftrightarrow \quad g \text{ is Kähler-Einstein.}$$

Stoppa has shown (2009) that constant scalar curvature Kähler manifolds are necessarily  $K$ -stable. The proof of the converse (i.e. existence of constant scalar curvature Kähler metrics on  $K$ -stable manifolds) remains an important open problem.