

# End-to-End License Plate Detection and Recognition Using Region Proposal Networks

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# Outline

- 1 Introduction
- 2 Background Information
  - Artificial Neural Networks
  - Convolutional Neural Networks
  - Region Proposal Network
  - Ground-Truth
  - Intersection over Union
- 3 Method
  - Plate Proposal Generation
  - Region of Interest (RoI) Pooling
  - License Plate Detection Network
  - License Plate Recognition Network
- 4 Testing Results
  - Detection Performance
  - Detection and Recognition Speed
- 5 Conclusion

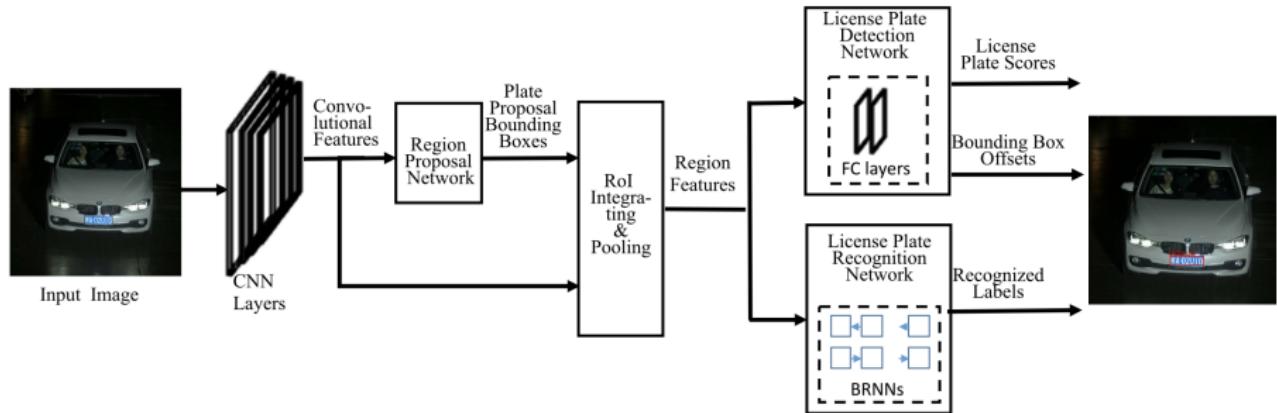
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# Li *et al.* license plate detection and recognition method

- Hui Li , Peng Wang, and Chunhua Shen proposed a license plate detection and recognition method [6]
- Detection: Find license plates in an input image
- Recognition: Read license plate characters/labels
- Takes an image as an input
- Simultaneously (Jointly-connected)
- End-to-end computation

# The Model Structure by Li *et al.*



# Outline

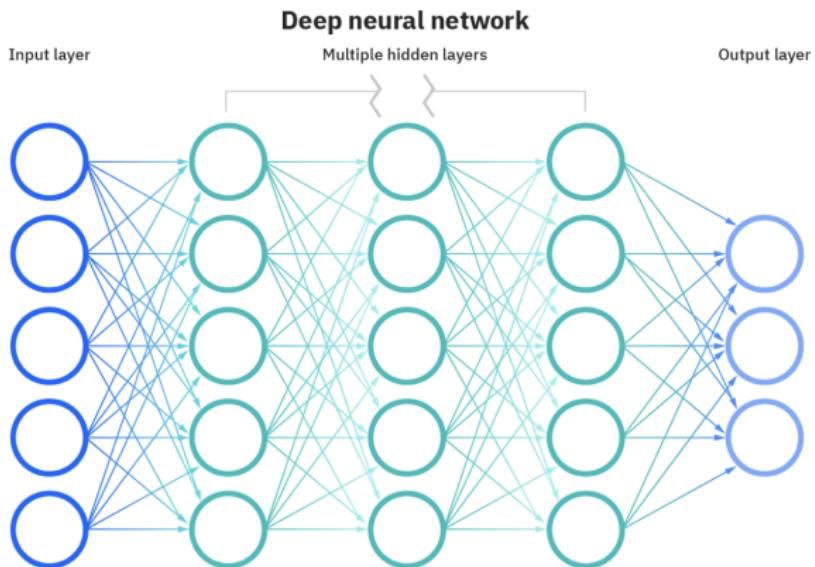
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# Neural Networks

What are neural networks?

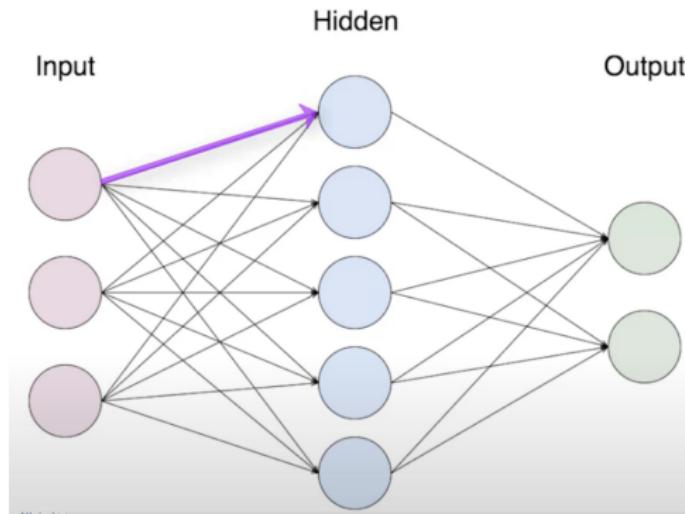
- Artificial neural networks (ANNs)
- Combination of nodes, or artificial neurons that connect together, forming node layers
- They allow computer programs to recognize patterns and solve common problems in different fields such as AI, machine learning, and deep learning.
- Each node contains an input, at least one “hidden” layer, and one output layer
- Deep neural networks (DNNs): ANNs with many hidden layers

# Neural Networks - Visual



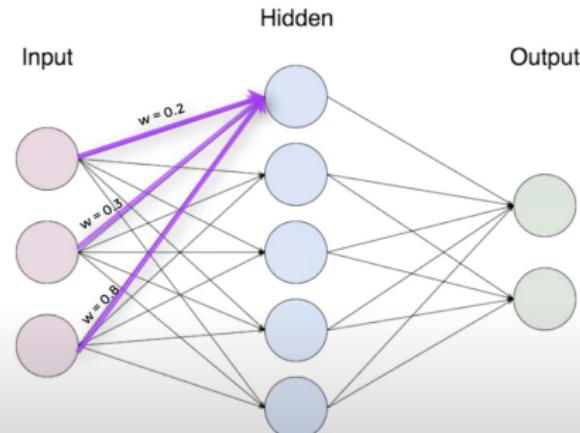
# Passing Data Through Neural Networks

- Every node in a layer is connected to all the nodes in the next layer
- Every connection (arrow) transfers the output of the previous unit as input to the receiving unit



# Passing Data Through Neural Networks - cont.

- Each connection would have its own “weight” (a value between 0 and 1)
- Weights represent the strength of the connections between the units
- The weight value would be multiplied by the value from the previous (output) unit, sending the multiplication result to the next unit as its input.



# ANN Training

- Neural networks need to be trained in order to perform better
- Training is done by using specific algorithms that find the sets of weights that would map inputs to outputs at most efficiency possible.

# Convolutional Neural Networks (CNNs)

- Derived from ANNs
- Superior performance with image inputs
- Convert images into numeric values (matrices)

# CNN Layer Types

CNNs have 3 layer types:

- Convolutional Layer(s)
- Pooling Layer(s)
- Fully-connected (FC) Layer(s)

# Convolutional Layer(s) - CNN Layer Type

- Convolutional layers tend to be at the front of a network
- Allowing network to look for specific patterns

# Convolutional Layer(s) - Looking for Specific Patterns

3 components required:

- Input data (e.g. an image)
- Filter/kernel (extracts specific features, such as edges from the input data)
- Feature map (the output from using a filter)

# Convolutional Layer(s) - Filter

- 2-dimensional array
- Scan for features in an input (convolution)
- $3 \times 3$  (matrix) size is most common
- Filter is applied to an area of image
- Matrix values of filter  $\times$  matrix values of area covered by filter (dot product)
- Dot product sent to output array
- Filter shifts
- Process repeated over entire image
- Output: feature map

# Filter - CNN Layer Type - Visual

1 $\times 1$	1 $\times 0$	1 $\times 1$	0	0
0 $\times 0$	1 $\times 1$	1 $\times 0$	1	0
0 $\times 1$	0 $\times 0$	1 $\times 1$	1	1
0	0	1	1	0
0	1	1	0	0

Image

4		

Convolved Feature

# Filter - CNN Layer Type - Visual

1	1 <sub>x1</sub>	1 <sub>x0</sub>	0 <sub>x1</sub>	0
0	1 <sub>x0</sub>	1 <sub>x1</sub>	1 <sub>x0</sub>	0
0	0 <sub>x1</sub>	1 <sub>x0</sub>	1 <sub>x1</sub>	1
0	0	1	1	0
0	1	1	0	0

Image

4	3	

Convolved  
Feature

# Filter - CNN Layer Type - Visual

1	1	1	0	0
0	1	1	1	0
0	0	1	1	1
0	0	1	1	0
0	1	1	0	0

Image

4	3	4

Convolved  
Feature

# Filter - CNN Layer Type - Visual

1	1	1	0	0
0 <small><math>\times 1</math></small>	1 <small><math>\times 0</math></small>	1 <small><math>\times 1</math></small>	1	0
0 <small><math>\times 0</math></small>	0 <small><math>\times 1</math></small>	1 <small><math>\times 0</math></small>	1	1
0 <small><math>\times 1</math></small>	0 <small><math>\times 0</math></small>	1 <small><math>\times 1</math></small>	1	0
0	1	1	0	0

Image

4	3	4
2		

Convolved Feature

# Filter - CNN Layer Type - Visual

1	1	1	0	0
0	1 <small><math>\times 1</math></small>	1 <small><math>\times 0</math></small>	1 <small><math>\times 1</math></small>	0
0	0 <small><math>\times 0</math></small>	1 <small><math>\times 1</math></small>	1 <small><math>\times 0</math></small>	1
0	0 <small><math>\times 1</math></small>	1 <small><math>\times 0</math></small>	1 <small><math>\times 1</math></small>	0
0	1	1	0	0

Image

4	3	4
2	4	

Convolved Feature

# Filter - CNN Layer Type - Visual

1	1	1	0	0
0	1	1 <sub>x1</sub>	1 <sub>x0</sub>	0 <sub>x1</sub>
0	0	1 <sub>x0</sub>	1 <sub>x1</sub>	1 <sub>x0</sub>
0	0	1 <sub>x1</sub>	1 <sub>x0</sub>	0 <sub>x1</sub>
0	1	1	0	0

Image

4	3	4
2	4	3

Convolved  
Feature

# Filter - CNN Layer Type - Visual

1	1	1	0	0
0	1	1	1	0
0 <sub>x1</sub>	0 <sub>x0</sub>	1 <sub>x1</sub>	1	1
0 <sub>x0</sub>	0 <sub>x1</sub>	1 <sub>x0</sub>	1	0
0 <sub>x1</sub>	1 <sub>x0</sub>	1 <sub>x1</sub>	0	0

Image

4	3	4
2	4	3
2		

Convolved Feature

# Filter - CNN Layer Type - Visual

1	1	1	0	0
0	1	1	1	0
0	0 <sub>x1</sub>	1 <sub>x0</sub>	1 <sub>x1</sub>	1
0	0 <sub>x0</sub>	1 <sub>x1</sub>	1 <sub>x0</sub>	0
0	1 <sub>x1</sub>	1 <sub>x0</sub>	0 <sub>x1</sub>	0

Image

4	3	4
2	4	3
2	3	

Convolved  
Feature

# Filter - CNN Layer Type - Visual

1	1	1	0	0
0	1	1	1	0
0	0	1 <sub>×1</sub>	1 <sub>×0</sub>	1 <sub>×1</sub>
0	0	1 <sub>×0</sub>	1 <sub>×1</sub>	0 <sub>×0</sub>
0	1	1 <sub>×1</sub>	0 <sub>×0</sub>	0 <sub>×1</sub>

Image

4	3	4
2	4	3
2	3	4

Convolved  
Feature

# Pooling Layer(s) - CNN Layer Type

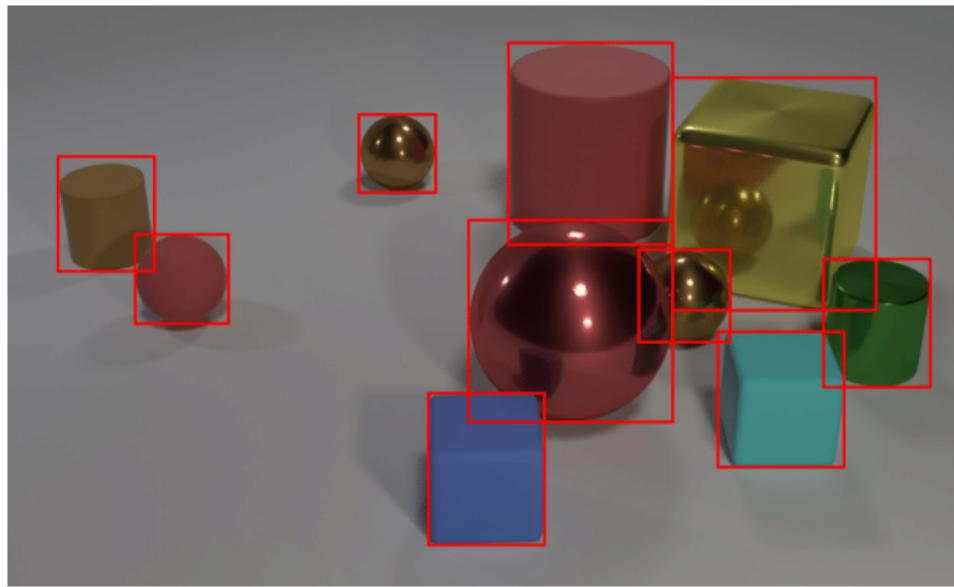
- Perform dimensionality reduction
- Scan filter across an input image
- Clusters values using aggregation
- Giving an output array
- Pooling reduces CNN complexity and improves efficiency

# Region Proposal Network (RPN)

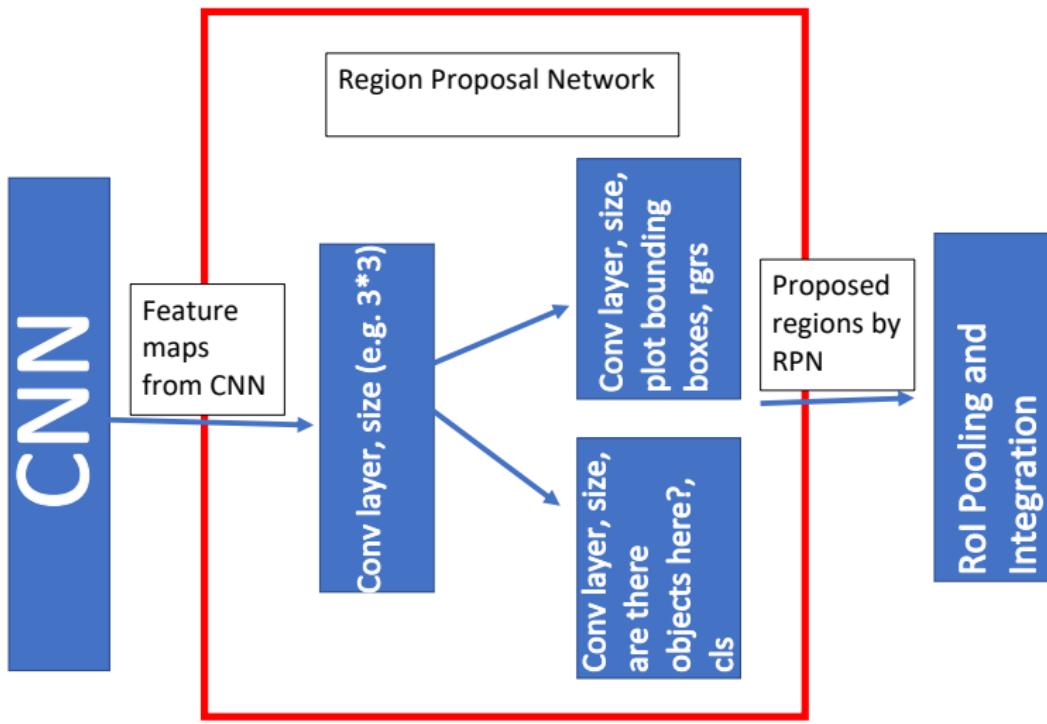
- RPN is an algorithm that identifies certain objects in an image, and places bounding boxes around them.
- Those objects are then “proposed” to the next layer connected to the RPN
- Developed by Shaoqing Ren, Kaiming He, Ross Girshick, and Jian Sun [8].
- The detection and recognition of license plates model by Li *et al.* is based on RPN

# Bounding Boxes

Bounding boxes are outline boxes placed around detected objects.



# RPNs - How They Work



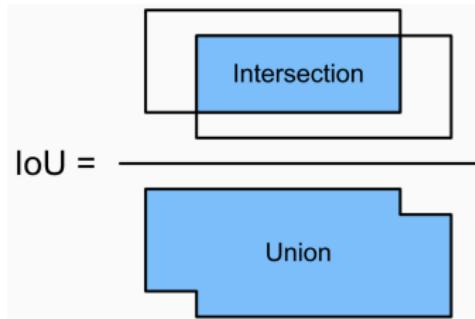
# Ground-Truth

In the context of machine learning, ground-truth refers to checking the results of machine learning algorithms, against what is known in real life.

# Intersection over Union (IoU)

- A statistic used for measuring the accuracy of object detectors.
- Calculated by dividing the area of intersection between the ground-truth bounding box ( $R_{gt}$ ) and the detected bounding box ( $R_{det}$ ) by the area of their union.

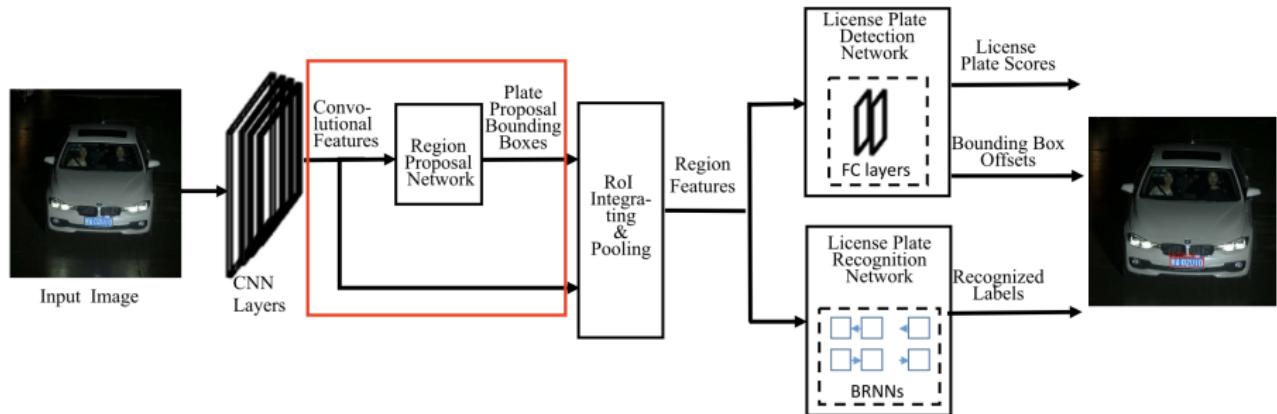
$$\text{IoU} = \frac{\text{area}(R_{det} \cap R_{gt})}{\text{area}(R_{det} \cup R_{gt})}$$



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# Plate Proposal Generation - Overview



# Plate Proposal Generation

- Li *et al.* modified the RPN by Ren *et al.*
- They designed 6 different scales for different license plate sizes
- With  $k = 6$  anchors at each position of the input feature maps.

# What Are Anchors?

- They are the center points of bounding boxes
- Anchors can be positive or negative
- Determined by IoU scores
- Anchors with IoU scores less than 0.5 are (usually) considered negative
- Anchors with IoU scores greater than 0.5 are (usually) considered positive

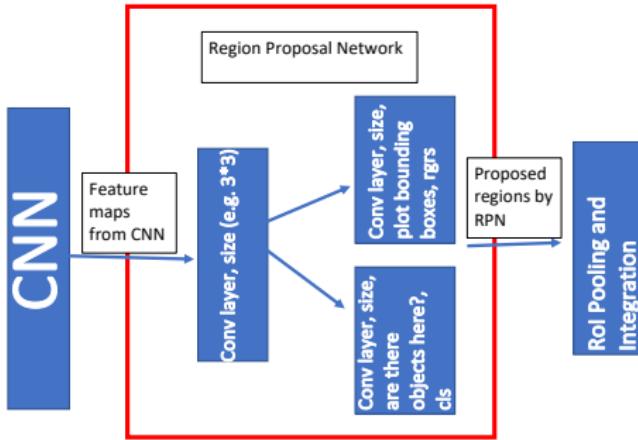
Li *et al.* decided:

- The IoU score used to determine positive anchors is 0.7 or more
- The IoU score used to determine negative anchors is 0.3 or less

Note: More details can be found in the paper.

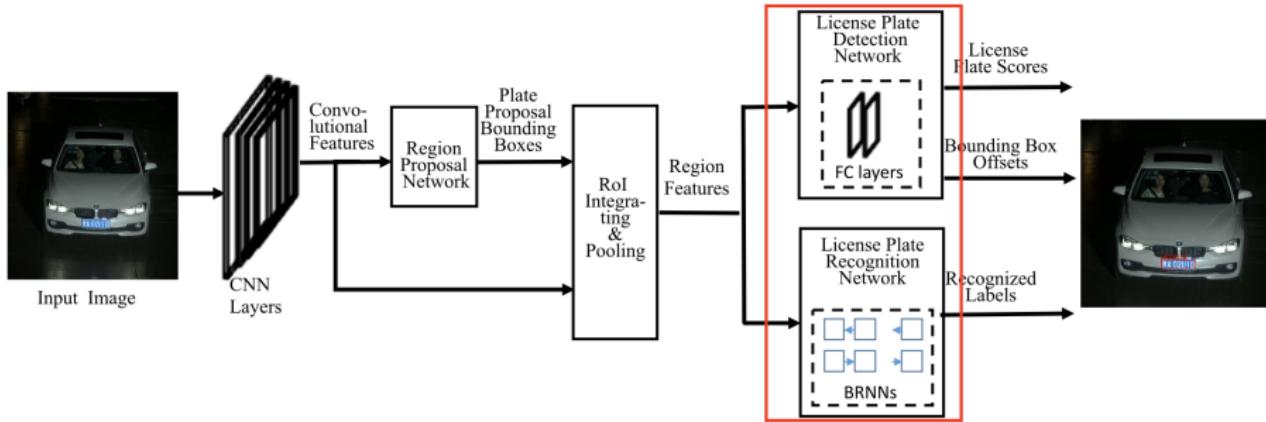
# Plate Proposal Generation - cont.

- Two 256-dimensional filters (sizes  $5 \times 3$  and  $3 \times 1$ )
- Placed simultaneously onto the feature map
- Classification scores that indicate probabilities of the anchors as license plates or not.
- Regression values refer to the offsets of anchor boxes to a nearby ground-truth.



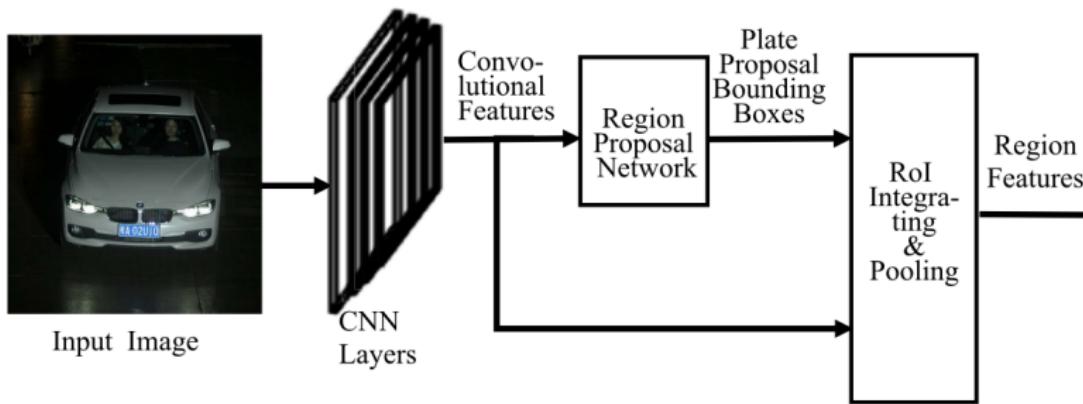
# The Problem of Different Proposal Box Sizes

- Proposal boxes output from the RPN come in different sizes
- The license detection and recognition networks (in red box) can only process one box size.

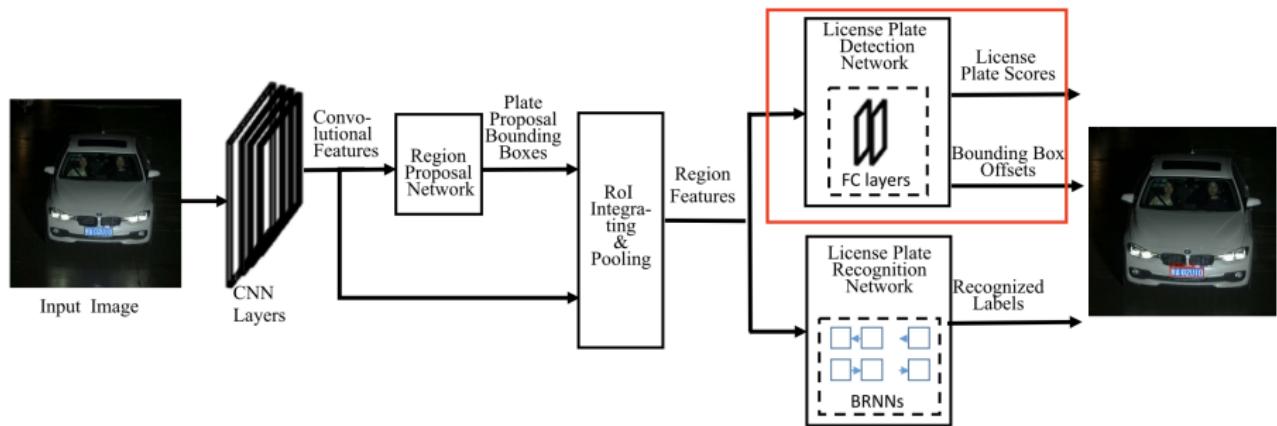


# The Solution: RoI Pooling

- RoI pooling is performed to set one size ( $28 \times 4$ ) for all anchor boxes.
- RoI pooling requires two inputs; the proposals from RPN and the feature map from the initial CNN layers.
- The output of RoI is known as **region features**, which will be used in the next parts of the method by Li *et al.*.



# License Plate Detection Network - Overview



# License Plate Detection Network

- The region feature map from ROI pooling is flattened (transformed) into a 1-dimensional vector
- Then 2 fully connected (FC) layers with 2048 nodes are used to extract distinct features from the 1-dimensional vector.

1	1	0
4	2	1
0	2	1

Pooled Feature Map

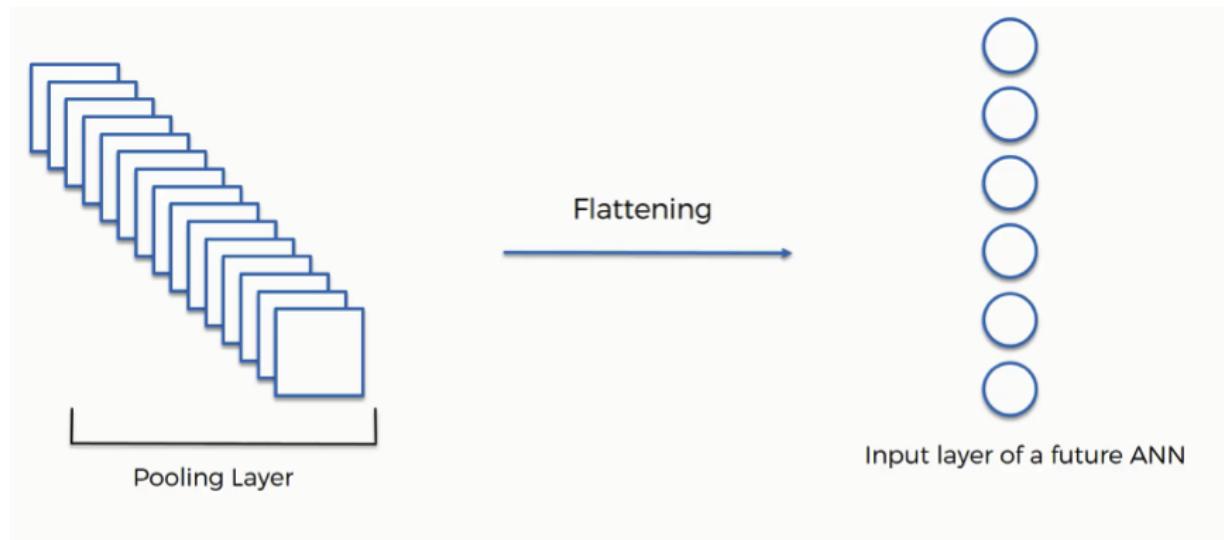
Flattening



1
1
0
4
2
1
0
2
1

# Why is Flattening Done?

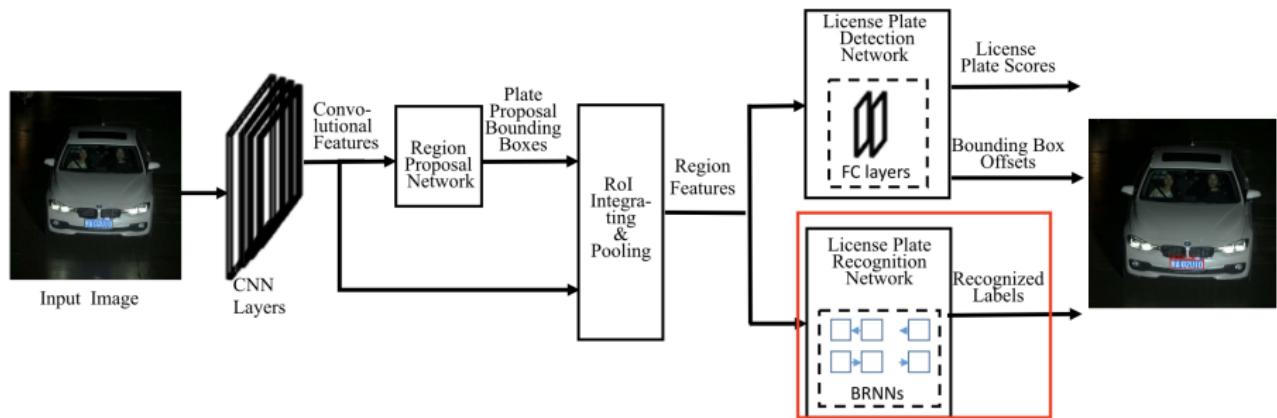
To be able to insert this data into an ANN.



# License Plate Detection Network - cont.

- The features are then input into two other neural network layers (one classification and one regression layer)
- Giving two outputs
- License plate bounding box offsets for each proposal
- License plate scores (the probability of each RoI as a plate or non-plate)

# License Plate Recognition Network - Overview



# License Plate Recognition Network

- Take feature maps from ROI pooling and integration layer
- Inputs them into RNNs
- Connectionist temporal classification (CTC) neural networks are used to decode license plate characters
- Outputting recognized labels (plate numbers)

# Output - Visual



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# Test Results from PKUData Dataset

Li *et al.* tested their model using 4 datasets:

- Car license plates from China, known as CarFlag-Large.
- Application-Oriented License Plate (AOLP).
- Caltech-cars (Real) 1999.
- **PKUData by Yuan *et al.* [11]**

# Detection Performance Average

Method	Detection Performance Average (%)	Detection Speed Per Image (ms)	End-to-end Speed Per Image (ms)
Zhou <i>et al.</i> [12]	90.22	475	-
Li <i>et al.</i> (2013) [5]	91.52	672	-
Yuan <i>et al.</i> [11]	97.69	42	-
Li <i>et al.</i> (2019) [Detection Only] [6]	99.51	283	-
Li (2019) <i>et al.</i> [Jointly-trained] [6]	<b>99.73</b>	279	310

- Li *et al.* test compare their method against 3 others
- Detection performance done on different images with different capturing conditions (such as how distant a plate is from the camera, or the angle at which the image was taken)
- Li *et al.*'s method achieves an average detection ratio of 99.73%
- Which is 2% higher than the previous best performance (by Yuan *et al.*)

# Detection Speed Per Image

Method	Detection Performance Average (%)	Detection Speed Per Image (ms)	End-to-end Speed Per Image (ms)
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- Detection speed per image is not the fastest (2nd place).
- Yuan *et al.* method is faster due to the use of simple support vector machines (SVMs) instead of CNNs and RNNs.
- Detection and recognition computational speed of Li *et al.* network is around 310ms.

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# Conclusion

Li *et al.* proposed a jointly-trained network for simultaneously recognizing car license plate detection and recognition. The model achieved good test results, and has high accuracy and efficiency.

# Acknowledgments

I would like to thank Nic McPhee and Elena Machkasova for their support, advice, feedback, and dedication to helping with my paper and this talk.

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