#### Planar Graphs 平面图

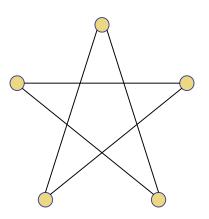
**Def:** *Planar graphs* are graphs that can be drawn in the plane without edges having to cross. 能不交叉地 画在一个平面上的图。否则就叫非平面图。

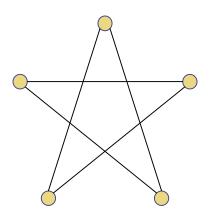
#### Understanding planar graph is important:

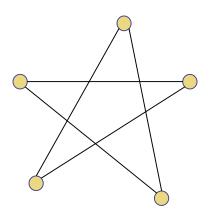
- Any graph representation of maps/ topographical information(地形图) is planar.
  - graph algorithms often specialized to planar graphs (e.g. traveling salesperson)
- Circuits usually represented by planar graphs

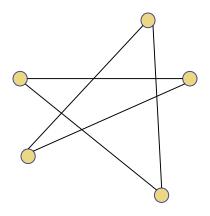
Just because a graph is drawn with edges crossing doesn't mean its not planar.

Q: Why can't we conclude that the following is non-planar?

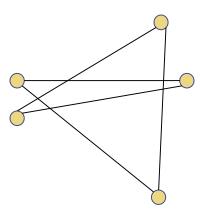




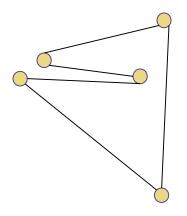


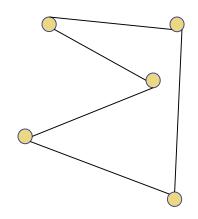


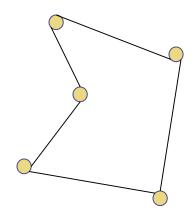
A: Because it is isomorphic to a graph which *is* planar:

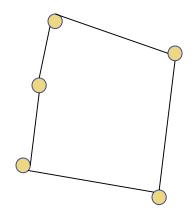


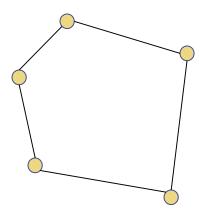
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#### Do Edges Intersect?

#### 下面图是平面图吗

- Planar graphs can sometimes be drawn as non-planar graphs. It is still a planar graph, because they are isomorphic.
- ◆ 以非平面图的方式画出来的图,仍然有可能是 平面图。

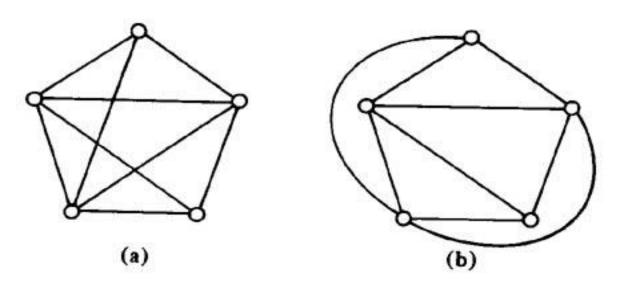
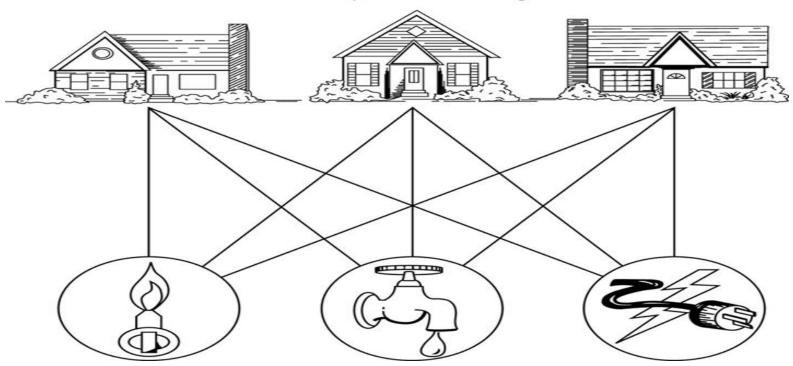


Figure 9.3

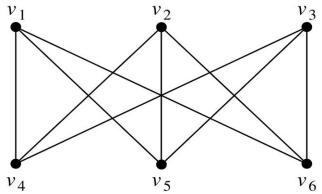
#### Three Houses / Three Utilities

- Q. Suppose we have three houses and three utilities. Is it possible to connect each utility to each of three houses without any lines crossing?
- Planar or Non-Planar ?
- This is also known as K(3,3) bipartite graph
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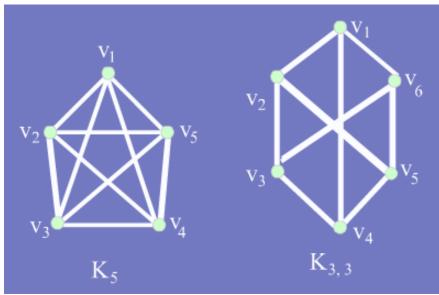


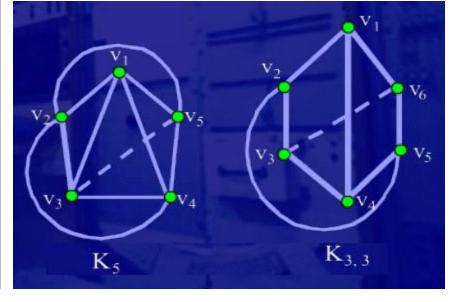
### Two Examples of Non-planar 两个典型的非平面图的例子

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The graph  $K_{3,3}$  above is actually same with the  $K_{3,3}$  below, it is just different way to draw on the plane.





 $K_{5}$ ,  $K_{3,3}$  are non-planar

#### 平面图判断 (难题)

思考问题:如果一个图有某个子图是非平面图,那么该图还可能是平面图吗?对于平面图又如何?

从上面六边形里有3条对角线K<sub>3,3</sub>是非平面图的判断方法中,总结出一种判断非平面图的方法:

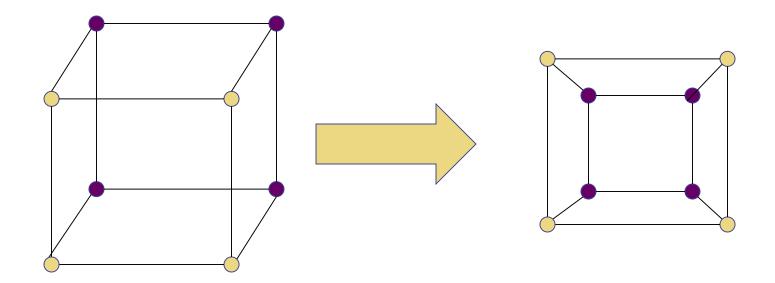
如果一个图里面有一个子图(圈)**C**<sub>n</sub>, **n>=6.** 而且对于这个子图,存在至少**3**条及以上的类似于**K**<sub>3,3</sub>交错的对角线,那么这个图一定是非平面图。大家思考,为什么?

### Proving Planarity 平面性

To prove that a graph is planar amounts to redrawing the edges in a way that no edges will cross. It may need to move vertices around and the edges may have to be drawn in a very indirect fashion. 要证明一个图是平面图,往往需要重画图的边;往往需要重新布局结点。

### Proving Planarity 3-Cube

#### E.G. show that the 3-cube is planar:



### Disproving Planarity

有一些方法用来判断一个图是或者不是平面图,可以根据具体情况选择任意一种。总体上,平面性的判断是一个难题

#### Disproving Planarity

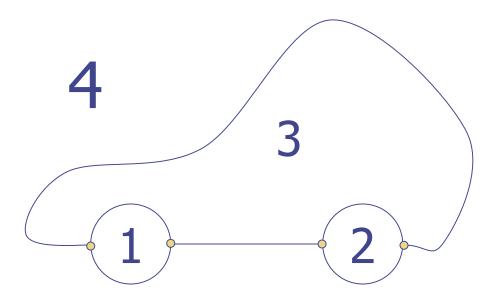
The idea tries to find some invariant quantities (不变量) possessed by graphs which are constrained to certain values, for planar graphs. Then to show that a graph is non-planar, compute the quantities and show that they do not satisfy the constraints on planar graphs.

一种想法是试图去寻找平面图的某些或某种内在的不变量(不变性),然后通过计算确定某些图不满足,从而否定一个图是平面图。

#### Regions 区域(面)

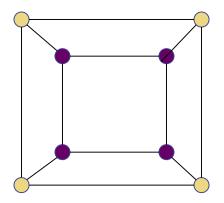
平面图的区域数: 当一个图能不交叉地画于一个平面时,由它的所有边将平面分割成互不相交重叠不同的部分(块、区域),由一些边围成的封闭的或者是一个无限的,跟其它部分不重叠的区域(或者称为面),这些区域的个数,对于一个给定的图,只要是不交叉地画出来,无论画法如何,这个数是确定的不变的。

EG: the car graph has 4 regions:



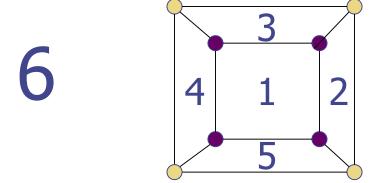
### Regions 区域

Q: How many regions does the 3-cube have?



### Regions

#### A: 6 regions

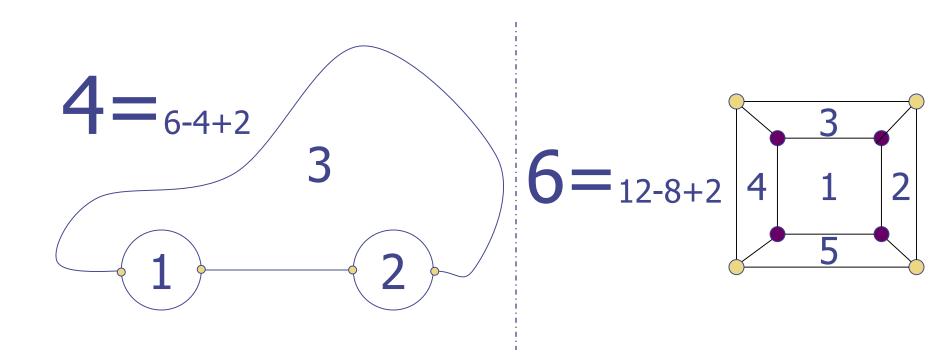


#### 欧拉公式

Theorem: 一个连通的平面图所围成的区域数是一个与画法无关的不变量,这个区域数与结点数、边数的关系满足下面的公式(欧拉公式)

$$r = |E| - |V| + 2$$
 (Euler Formula)

EG: Verify formula for car and 3-cube:



#### **Euler Characteristic**

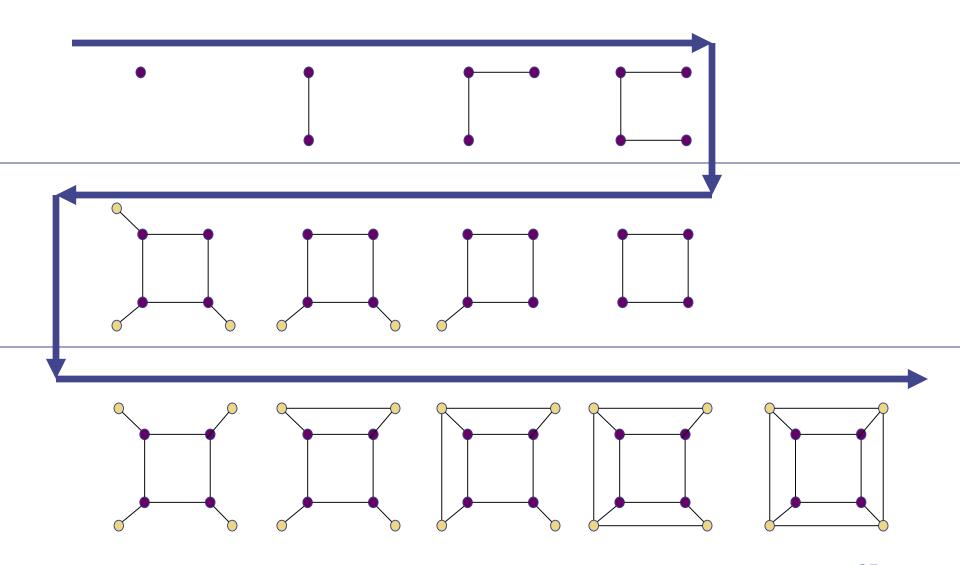
The formula is proved by showing that the quantity  $\chi = r - |E| + |V|$  must equal 2 for planar graphs.  $\chi$  is called the *Euler characteristic* 欧拉特征值.

注:这个特征值2就是一个所有连通平面图的不变特性(不变特征)

The idea is that any connected planar graph can be built up From a vertex through a sequence of vertex and edge additions. 一个连通的平面图可以从一个结点开始,再通过逐个加入点和不交叉地加入边的思路,画出来,然后总结分析其中边数、点数、面数的变化

For example, build 3- Cube as follows:

#### **Euler Characteristic**



#### **Euler Characteristic**

Thus to prove that  $\chi$  is always 2 for planar graphs, one calculate  $\chi$  for the trivial vertex graph:

$$\chi = 1-0+1 = 2$$

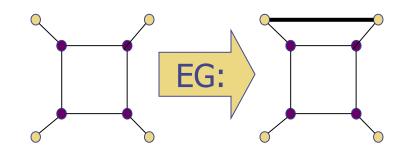
and then checks that each possible move does not change  $\boldsymbol{\chi}$  .

#### Euler Characteristic 的证明思路

Check that moves don't change  $\chi$ :



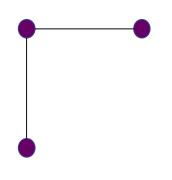
- 1) Adding a degree 1 vertex:
- *r* is unchanged. |E| increases by 1. |V| increases by 1.  $\chi = \chi + (0-1+1)$
- 2) Adding an edge between pre-existing vertices:



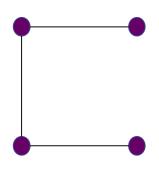
r increases by 1. |E| increases by 1. |V| unchanged.  $\chi$  += (1-1+0) (想象一下:如果这里增加单边弧,或者增加多重边会如何?)

V	<i>E</i>	r	$\chi = r -  E  +  V $
1	0	1	2

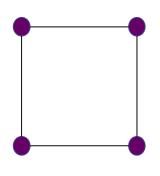
V	<i>E</i>	r	$\chi = r -  E  +  V $
2	1	1	2



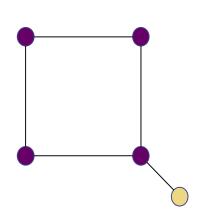
V	<i>E</i>	r	χ = r- E + V
3	2	1	2



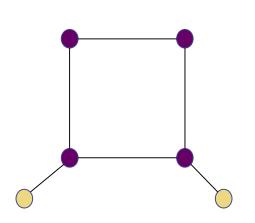
V	<i>E</i>	r	χ = r- E + V
4	3	1	2



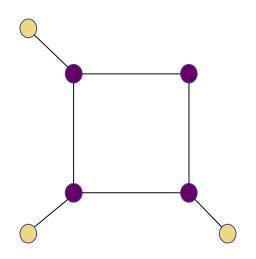
V	<i>E</i>	r	χ = r- E + V
4	4	2	2



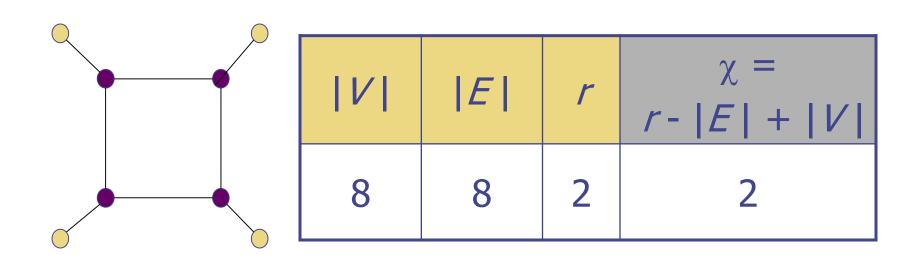
V	<i>E</i>	r	χ = r- E + V
5	5	2	2



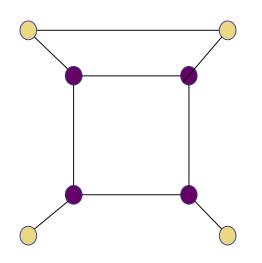
V	<i>E</i>	r	χ = r- E + V
6	6	2	2



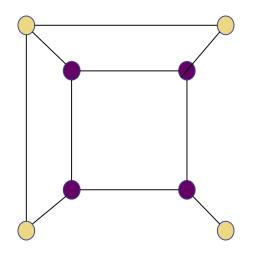
	<i>E</i>	r	χ = r- E + V
7	7	2	2



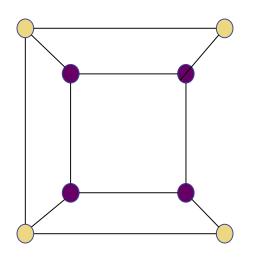
依次加入度为一的点,以及在现有点间加入边。 观察点数、边数、面数的变化



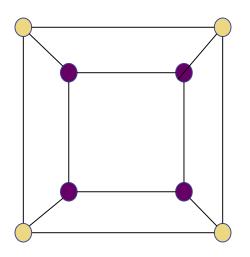
V	<i>E</i>	r	χ = r- E + V
8	9	3	2



V	<i>E</i>	r	χ = r- E + V
8	10	4	2



V	<i>E</i>	r	χ = r- E + V
8	11	5	2



V	<i>E</i>	r	χ = r- E + V
8	12	6	2

#### 平面图的必要条件

- **◆ 推论 1**: 如果图G是一个连通的简单平面图,那么当结点数 v≥3时,有不等式 **e≤3v-6**.
- ◈ 证明思路:
- Concept "the degree of a region": the number of edges on the boundary of this region. N: total degree of all regions.
- ◆ (0): 特殊情况,没有围成有限区域时,只有一个无限面。此时 e = v-1,  $e \le 3v-6$  是成立的。
- (2) because each edge occurs on the boundary of a region exactly twice. N ≤ 2e
- ♦ (3) Using Euler formula. r=e-v+2

### K<sub>5</sub> is non-planar 非平面图

- ♦ n=5
- $\bullet$  e= n \* (n 1) / 2 = 10
- Using necessary conditions of planar graphs:
- $\bullet$  e <= 3n 6
- $\bullet$  10 <= 3(5) 6
- **♦** 10 <= 9 ???
- By contradiction, K<sub>5</sub> must be non-planar

#### 平面图的必要条件

- **◆ 推论 2**: 一个**简单连通的**平面图,至少有一个结点的度不大于5 (deg( $v_i$ ) ≤5 ).
- ◆ 证明思路: v denotes the number of vertices.
  - When v=1,2 or  $\geq 3$
- If G has one or two vertices only, the result is true. If G has at least three vertices, by Corollary 1
- we know that  $e \le 3v 6$ , so  $2e \le 6v 12$ . If the degree of every vertex were at least six, then...
- **♦** because 2e = 总度数 (by the handshaking theorem), we would have  $2e \ge 6\nu$ . But this contradicts the inequality  $2e \le 6\nu 12$ . It follows that there must be a vertex with degree no greater than 5.

#### 平面图的必要条件

◆ 推论3: 若连通的简单平面图有e条边, v个结点, v>2, 并且没有长度为3的回路,则e<=2v-4

◆ 请同学们自己分析证明

#### K<sub>3,3</sub> is Non-Planar 非平面图

- ◆ Proof by contradiction of theorems 反证法思路
- Since graph is bipartite, no edge connects two edges within same subset of vertices.
- ◆ The total degrees of all regions N >= 4r must be true, since graph contains no simple triangle regions of 3 edges, where r is the number of distinct regions. 每个区域至少由4条边围成
- N <= 2e must be true, since no edge can be used more than twice in forming a region
- 没有哪条边会对N贡献2次以上,顶多贡献2.

### (con't) Proof of K<sub>3,3</sub>

- ◆ For K(3,3) v=6, e= 9, r= ??
- ♦ 4r <= N <= 2e
- 4r <= (2e = 2 \* 9 = 18)
- **♦** r <= 4.5
- ◆ Using 欧拉定理, v-e+r=2
- $\bullet$  6 9 + r = 2
- ♦ r = 5
- Proof by contradiction:
- r cannot be both equal to 5 and less than 4.5
- Therefore, K(3,3) is a non-planar graph

#### K<sub>3.3</sub>是非平面图

- ◆ 更简单的证明:
- ◆ 前面学习的必要条件中的最后一条:连通的简单 平面图,如果没有长度为3的回路,在v>2的情况 下,一定有e<2v-4.

在此: e=9, v=6.

K<sub>3.3</sub> 是二分图,没有奇数长的回路,所以满足上面必要条件的前提。

#### Complete Graphs

- Denoted by K<sub>n</sub>
- All vertices are connected to all vertices

$$e = n * (n - 1) / 2$$

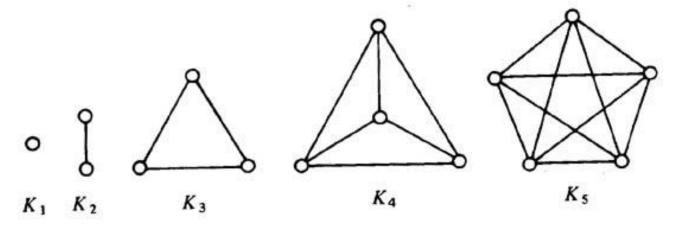


Figure 2.2

## Question about K<sub>n</sub>

◆For the complete graph K<sub>n</sub> with n>5, is it planar? Why?

For n>5, the  $K_5$  is a subgraph of  $K_n$ , so...

How about  $K_{n,n}$ ?

#### 思考问题

◆ 欧拉定理有一个前提条件---图是连通图。那么如果一个 图不是连通图,如何?

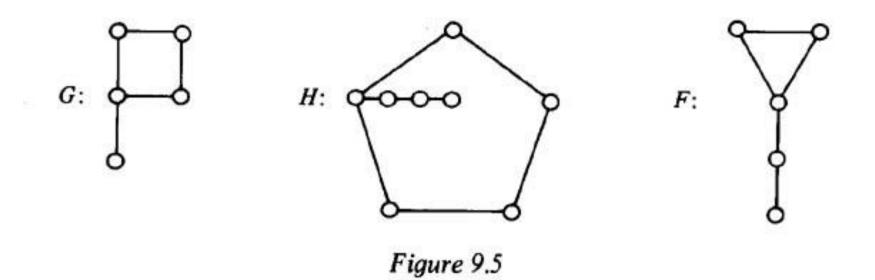
假定有t个连通分支,引导学生自己得出一个结论或者公式。

如果性质1中的连通条件去掉,能有什么类似结论吗?

- ◆ 如果一个图的有某个子图是非平面图,那么有什么结论?
- ◆ 如果一个图有一个子图为平面图,又如何?
- ◆ 如果一个图以边交叉的方式画出来,如何计数"区域数" ? 非平面图的区域数的问题怎么考虑?
- ◆ 注: 对于非平面图,本身不能以不交叉的方式画出来,所有不能以不满足欧拉公式为理由,判断不是平面图

#### Subdivisions of graph G

- ◆ Elementary Subdivision a graph obtained from a graph G, by inserting vertices of degree two into any edge
- (H is a valid subdivision of G, while F is not)
- ◈ 插入或者删除度为2的结点



#### **Kuratowski Reduction Theorem**

- ♦ Homeomorphic:  $G1=(V_1,E_1)$ ,  $G_2=(V_2,E_2)$  are called homeomorphic  $\sqcap \mathbb{M}$  if they can be obtained from the same graph by a sequence of elementary subdivisions.
- ◆ 中文翻译:增减度为2的结点变换意义下同构 (教材:同胚):
- ◆ 通过一系列的删除或者添加度为2的结点使得图发生变化,变到另一个图,但平面性不变。

总结利用"同胚"概念进行平面性判断的思维方法....

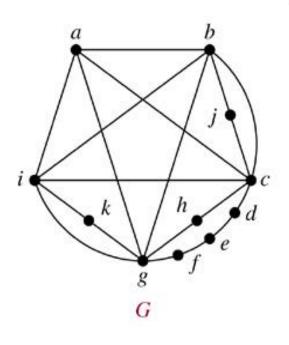
#### **Kuratowski Reduction Theorem**

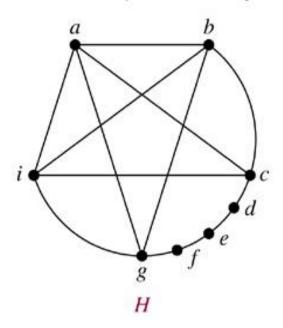
- ◆ Kuratowski定理: 一个图为非平面图的充分必要条件 是它包含有与K<sub>3,3</sub> or K<sub>5</sub>同胚(在增减度为2的结点变换 意义下同构的子图)。
- The proof of it is very complicated, will not shown here.
- \* Kuratowski's theorem— in principle always works, though in practice can be quite unwieldy.)
- ◆ 思考:一个图为平面图的充分必要条件又是什么?

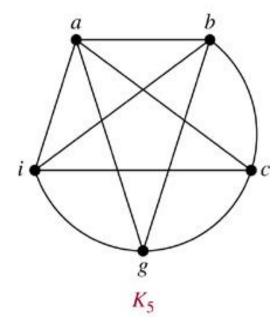
#### Examples using Kuratowski THM

#### Determine whether the graph G is planar

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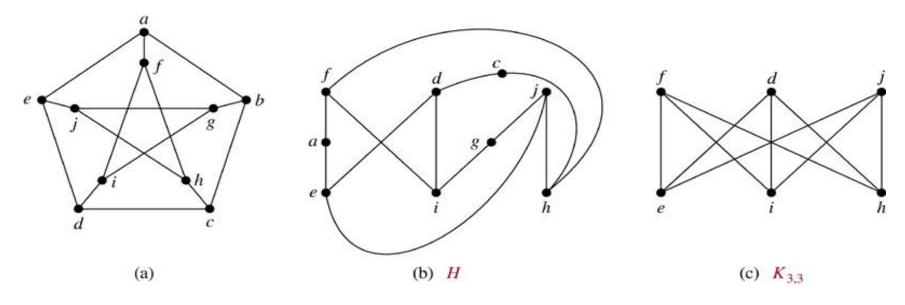




#### Examples using Kuratowski THM

- Determine whether the Petersen graph (a) is planar
- Solution: to obtain H by removing vertex b and the three edges have b as a endpoint

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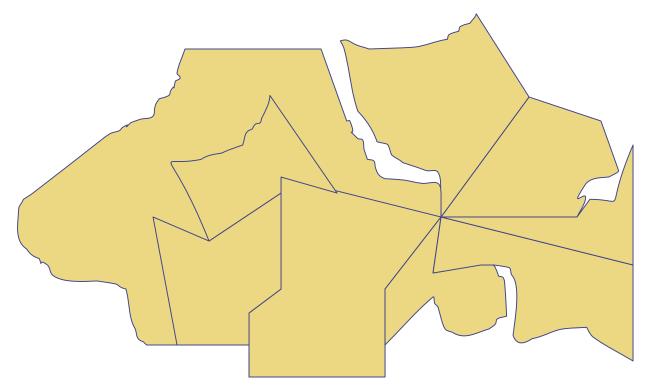


## Planar Graph Exercises

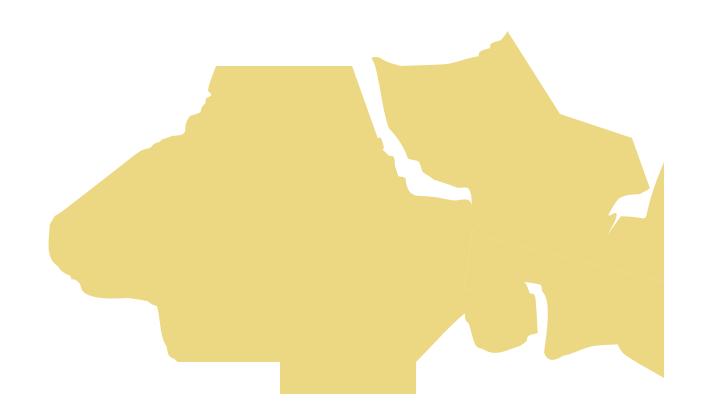
◆6.7节 T5, T7, T13, T17

#### 图着色

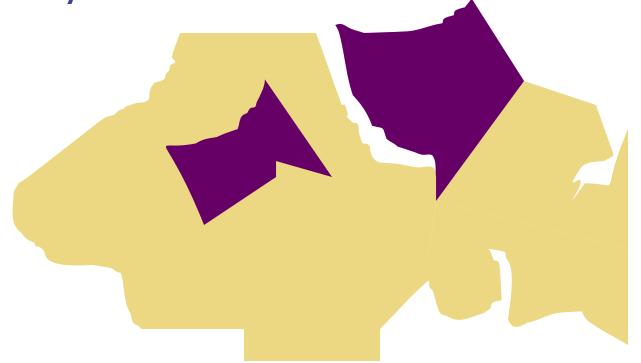
Consider a fictional continent分析下面这个虚构的陆地图:要把不同地区区分(分割开来)可以用分割线.

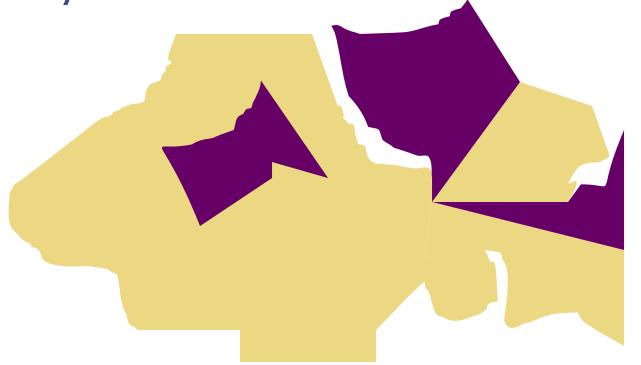


Suppose removed all borders but still wanted to see all the countries. 如果用颜色来区分的话, 1 color insufficient.



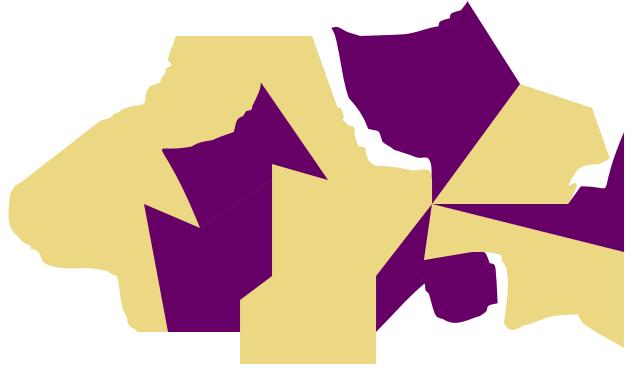




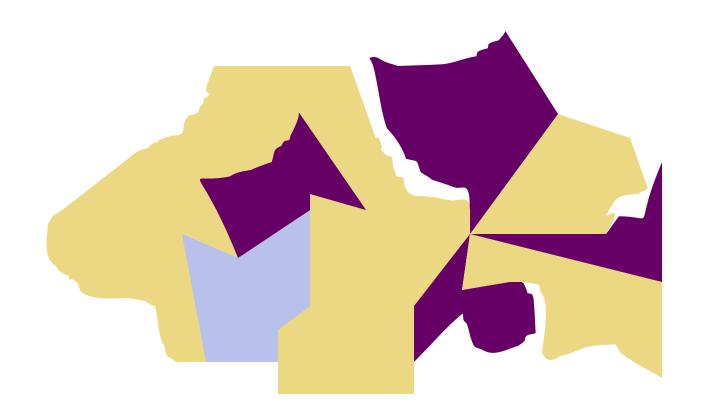




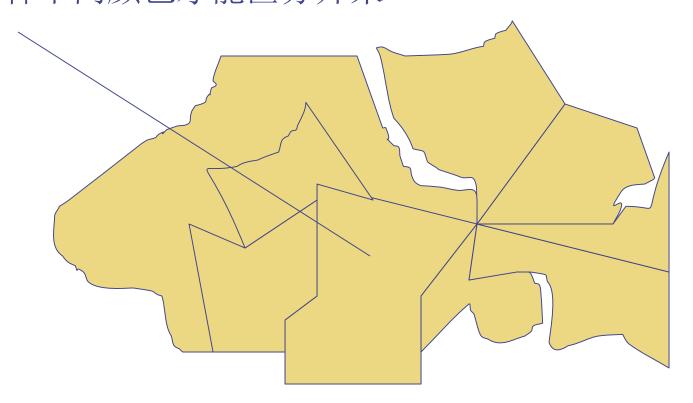
PROBLEM: Two adjacent countries forced to have same color. Border unseen.



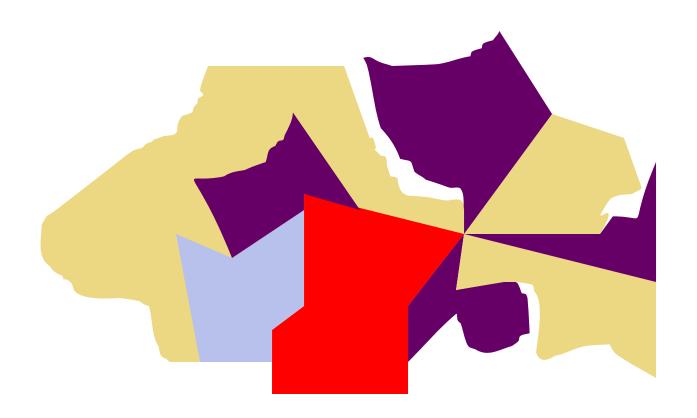
#### So add another color:



Insufficient. Need 4 colors because of this country. 4种不同颜色才能区分开来



With 4 colors, could do it.



#### 4-Color Theorem—四色定理

Theorem: 任何平面图的区域都可以用4种颜色 足够将所有区域分割开来,使得有共享边界的区域之间颜色不一样。

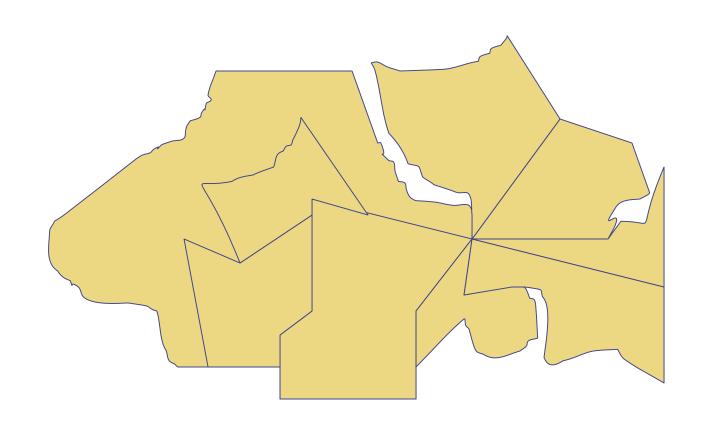
(也就是说最多是4色图)

Proof by Haaken and Appel used exhaustive computer search. (四色猜想)

It took more than 100 years to get the correct prove.

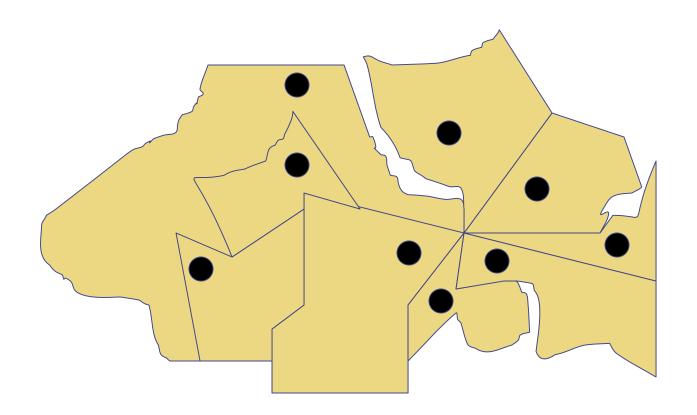
#### 从地图着色到图着色的问题建模

对地图着色的问题建模转化成图着色的问题



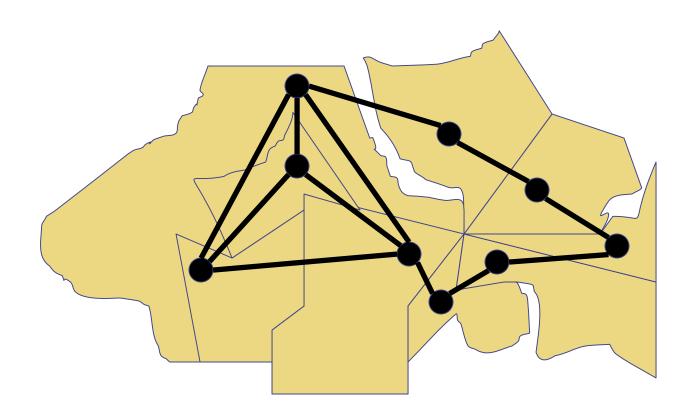
#### From Map Coloring to Graph Coloring

#### For each region introduce a vertex:



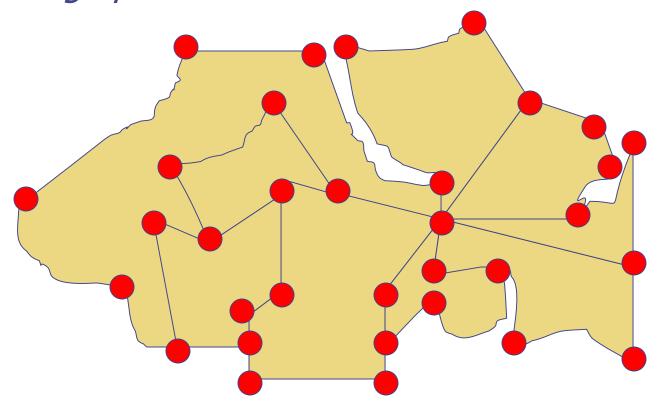
#### From Map Coloring to Graph Coloring

For each pair of regions with a positive-length common border introduce an edge:



# From Maps to Graphs to Dual Graphs (对偶图)

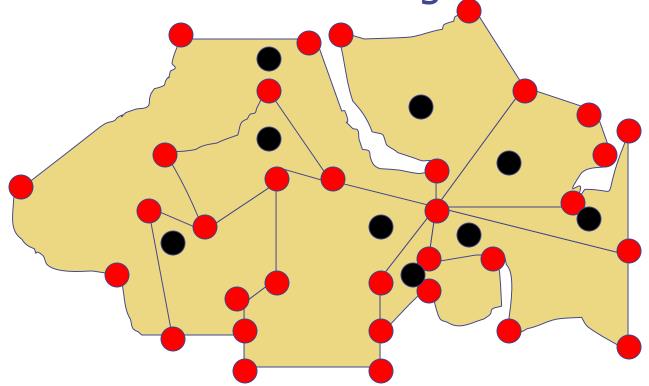
think of original map as a graph, and we are looking at *dual graph*:



# From Maps to Graphs to Dual Graphs (对偶图)

#### Dual Graphs:

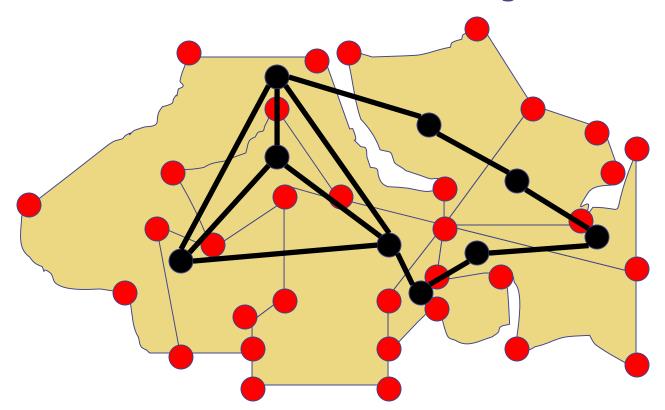
1) Put vertex inside each region:



# From Maps to Graphs to Dual Graphs (对偶图)

#### Dual Graphs:

2) Connect vertices across common edges:



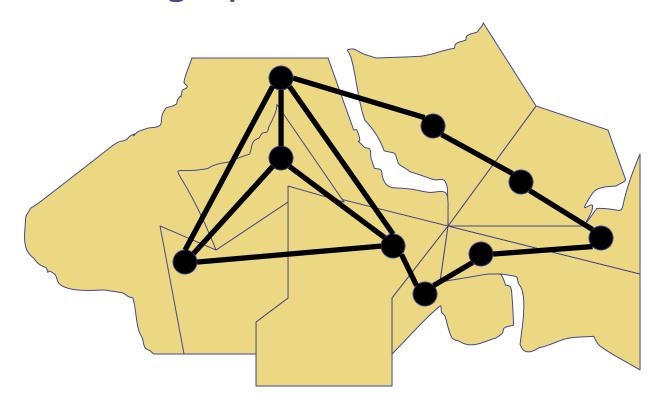
### Def. of Dual Graph对偶图定义

DEF:一个平面图 G = (V, E, R) [Vertices, Edges, Regions]的对偶图 G 个定义为如下的图:

- Vertices of  $G^{\cdot}$ :  $V(G^{\cdot}) = R$
- Edges of  $G^{:}$ :  $E(G^{:})$  = set of edges of the form  $\{F_1, F_2\}$  where  $\boxtimes \not \equiv F_1$  and  $\boxtimes \not \equiv F_2$  share a common edge.

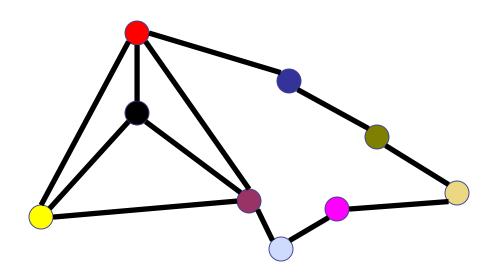
# From Maps to Graphs to Dual Graphs

#### So take dual graph:



## 地图着色到图着色

Coloring regions is equivalent to coloring vertices of dual graph.



#### **Definition of Colorable**

DEF: Let *n* be a positive number. 一个简单图称为**n**-色图或者说可**n**-色图,如果能用不超过**n**种颜色标记所有结点,使得任意邻接的结点都有不同的颜色。 *(n种颜色不一定要用完)* 

The *chromatic number* 颜色数 is smallest number *n* for which it is *n* -colorable.

EG: A graph is bipartite if and only if it is 2-colorable.

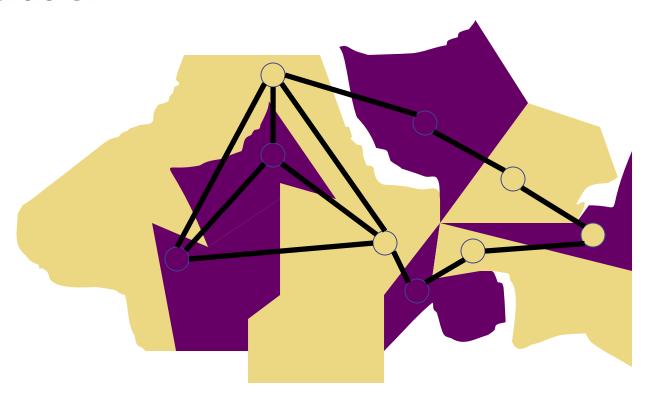
一个图为偶图当且仅当图是2-色图。

Think about why?

注: 图的颜色数的寻找是一个非常难的NP-COMPLETE问题

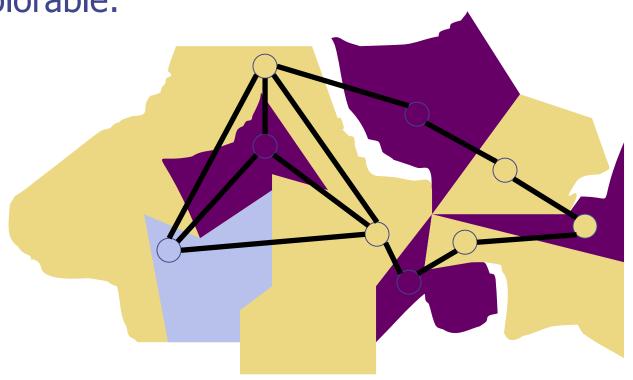
#### From Map Coloring to Graph Coloring

This map is not 2-colorable, so dual graph not 2-colorable:



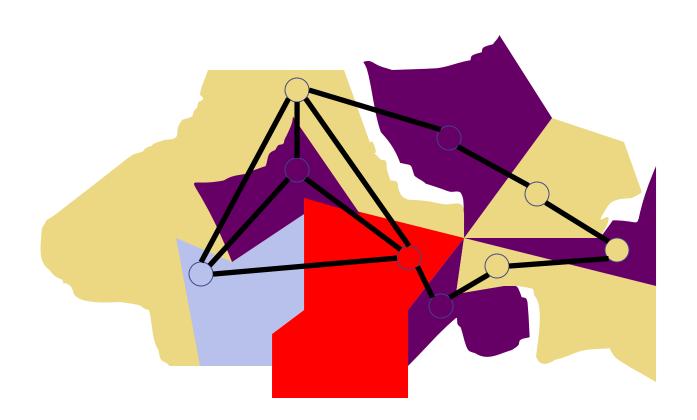
#### From Map Coloring to Graph Coloring

The following map is not 3-colorable, so graph not 3-colorable:



#### From Map Coloring to Graph Coloring

#### Graph is 4-colorable, so map is as well:



#### 4-Color Theorem

四色定理: 任何平面图的颜色数不大于 4。

Note: it had been more than 100 years before a correct proof was given.

注:目前有的四色定理的证明是依赖计算机的,脱离计算机的人工证明还只有**五色定理。** 

五色定理: 也就是任何平面图的颜色数≤5

思考: K<sub>n</sub>的颜色数是多少?

颜色数是n. 因为任何两个点都是邻接的,所以颜色数不可能小于n. 否则就会有两个点颜色相同,然而是邻接的。

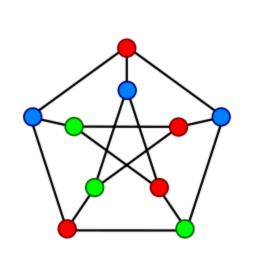
反过来,如果一个n个结点的简单图的颜色数是n,那么必然是完全图。

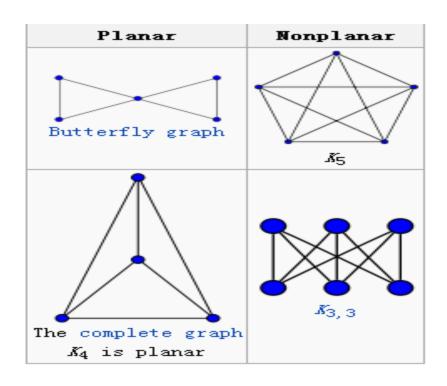
#### 颜色数

- ◆ 性质: 图G的颜色数不小于任何一个子图的颜色数。
- ◆ 求颜色数是一个难题,至今没有一个已知的好算法。
- ◆ 定理: 假设G是一个简单图,其所有结点的最大度数是 $D_{max}$ . 那么G的颜色数 $\leq D_{max}$ +1
- ◆ Brooks定理: 对一个图进行顶点着色,使颜色数 x (G)= 1+D<sub>max</sub>的图只有两类: 或者是奇回路,或者是完全图。

#### 计算一个图的色数

计算颜色数 x (G)是一个NP-Complete问题。 但是对于一些特定的图,有一些现成的结论可以使用。 例如对一个平面图进行顶点着色, x (G) $\leq$ 4。 考察下列图的颜色数:

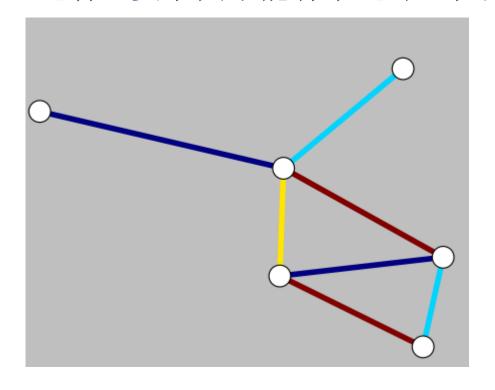




#### 边着色(EdgeColoring)

◆ 任意相邻的边的颜色不能相同,也就是有公共结点的边颜色不能相同。边着色要求图不能有单边环,但是可以是多

重图



◆ Vizing定理:设G是一个简单图,对它进行边着色,它的顶点最大度数是D<sub>max</sub>,则颜色数 x (G)=D<sub>max</sub>或者 x (G)=D<sub>max</sub>+1。

#### 星着色(Star Coloring)

- ◆ Star Coloring是一种特殊的Vertex Coloring,但是它的要求更严格。
- A star coloring of a graph G is a (proper) vertex coloring in which hevery path on four vertices uses at least three distinct colors. Equivalently, in a star coloring, the induced sub graphs formed by the vertices of any two colors has connected components that are star graphs. The star chromatic number of G is the least number of colors needed to star color G.
- ◆ 上述定义不太好理解:任意4个结点的路径,必须至少有3种不同的颜色。还可以定义成:任意3个结点的路径,3个结点颜色都不相同。
- ◈ 通俗的说,就是
- ◆ (1)一个结点与它的相邻结点有不同颜色
- ◆ (2)一个结点的相邻结点之间也不能同色

#### 星着色(Star Coloring)应用举例

- ◆ 应用: IP溯源
- ◆ 对网络进行星着色,如果我们知道一个IP包经过的路由器的颜色序列以及知道包走过的最后一个路由器,那么我们就能把整个路径找出来。

#### 图着色应用—规划问题

EG: Suppose we want to schedule some final exams for CS courses with following course numbers(课程代号):

1007, 3137, 3157, 3203, 3261, 4115, 4118, 4156

Suppose also that there are **no common students** in the following pairs of courses because of prerequisites:

1007-3137

1007-3157, 3137-3157

1007-3203

1007-3261, 3137-3261, 3203-3261

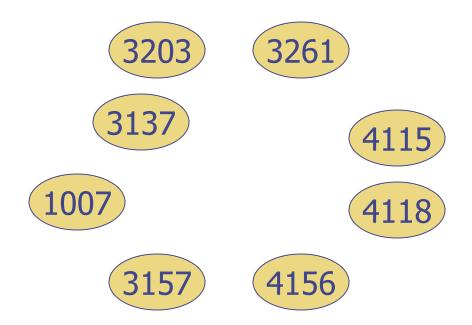
1007-4115, 3137-4115, 3203-4115, 3261-4115

1007-4118, 3137-4118

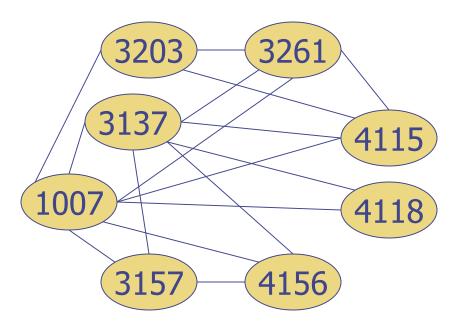
1007-4156, 3137-4156, 3157-4156

How many exam slots are necessary to schedule exams?

Turn this into a graph coloring problem. Vertices are courses, and edges are courses which *cannot* be scheduled simultaneously because of possible students in common:

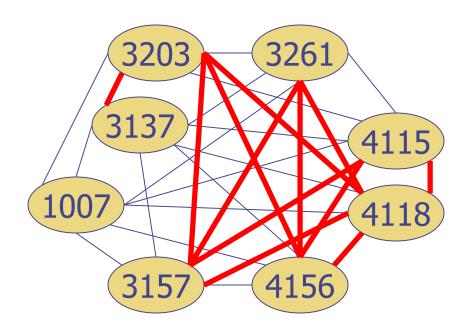


One way to do this is to put edges down where students mutually excluded (有冲突的)...

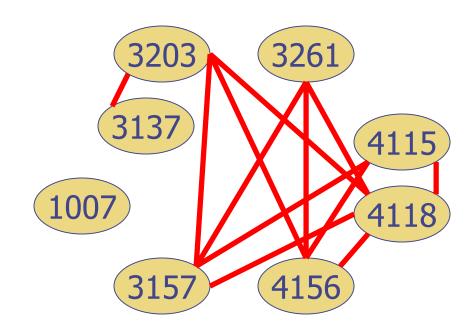


这个图中的边代表没有共同的学生,是可以安排在同一个时间段考试的

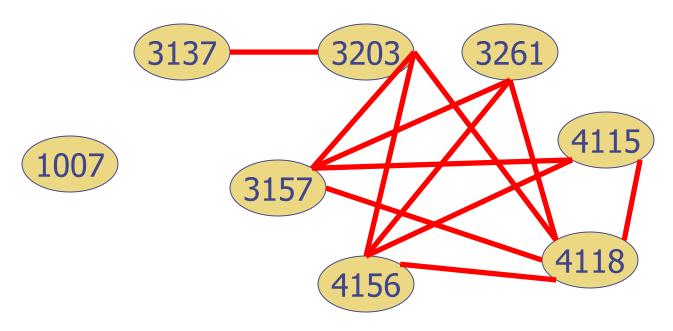
...and then compute the complementary graph (补图)



# Graph Coloring and Schedules ...and then compute the complementary graph (补图):



#### Redraw:



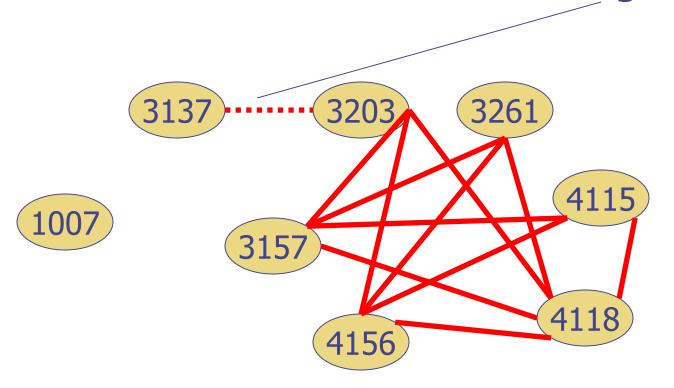
这个补图中的邻接的点对应的课程是不能同时间考试的,是有冲突的。

### 思考

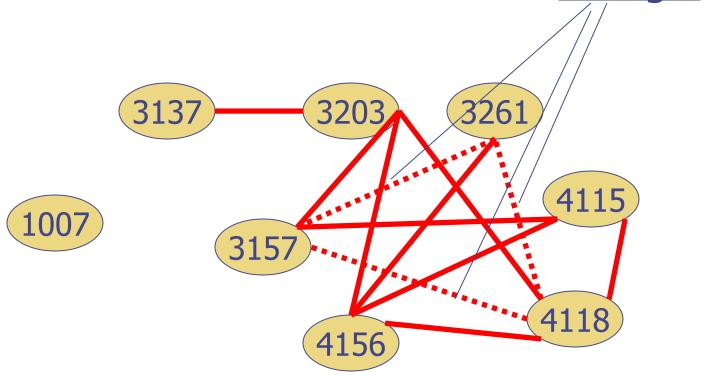
◆能否用图着色的方法,寻找颜色数的方法来解决这个问题?

L25 93

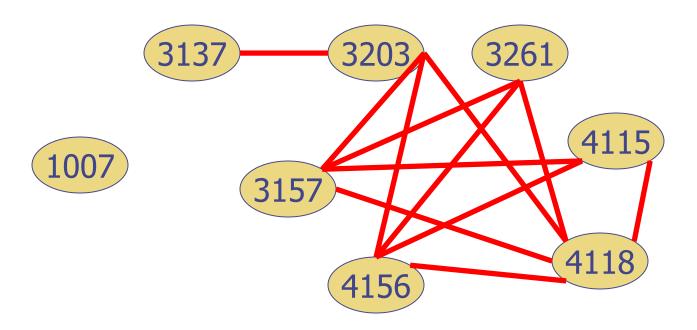
Not 1-colorable because of <u>edge</u>



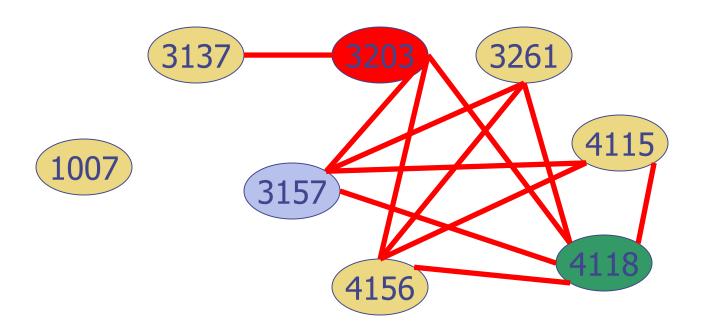
Not 2-colorable because of triangle



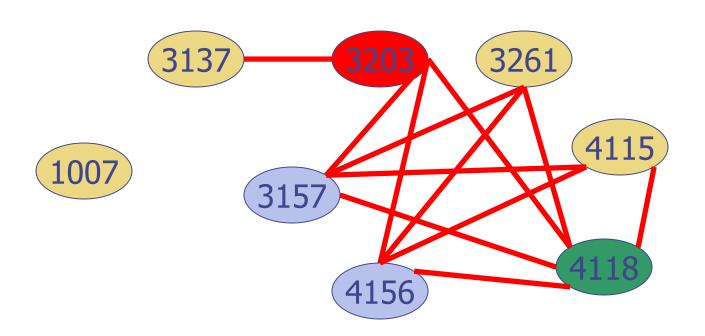
Is 3-colorable. Try to color by Red, Green, Blue.



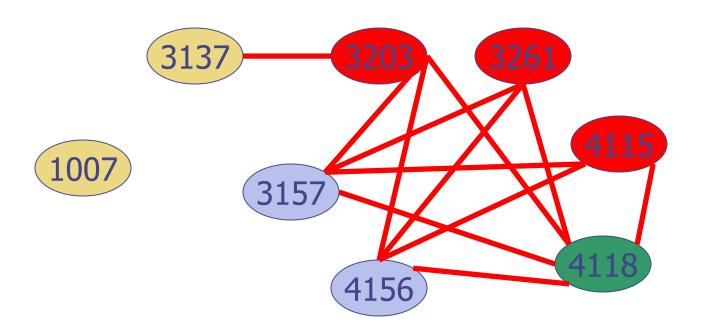
假设: 3203-Red, 3157-Blue, 4118-Green:



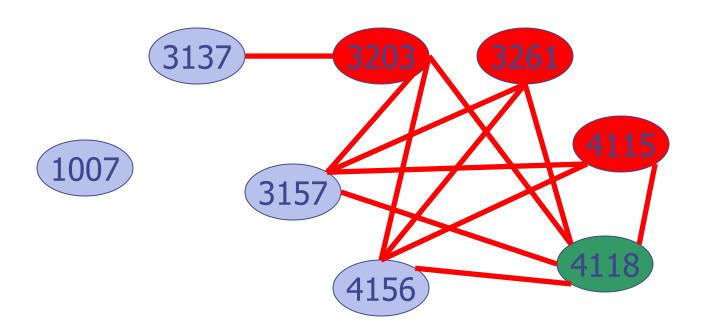
# Graph Coloring and Schedules So 4156 must be Blue:



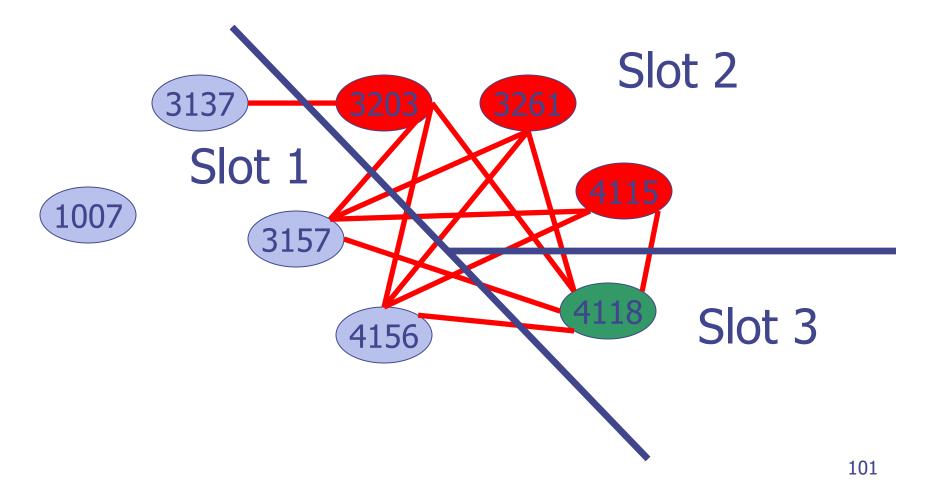
# Graph Coloring and Schedules So 3261 and 4115 must be Red.



# Graph Coloring and Schedules 3137 and 1007 easy to color.



So need 3 exam slots:



#### 图着色应用举例

◈ 例题2: 无线广播电台频率管制问题。

某些距离太近的点不能有相同的频率,要避免频率干扰,就需要合理规划频率。类似的如电视频道分配问题等。

**例题3**: 假定一个工厂需要生产n种化学品,某些化学品是不能在同一车间生产,否则会酿成事故。那么根据这些化学品的不相容情况,需要安排多少车间才能生产?

**例题4**: 变址寄存器的分配问题: 寄存器分配不仅仅是图着色的问题。当寄存器数目不足以分配某些变量时,就必须将这些变量溢出到内存中,该过程成为*spill*。最小化溢出代价的问题,也是一个NP-complete问题。如果简化该问题——假设所有溢出代价相等,那么最小化溢出代价的问题,等价于k着色问题,仍然是NP-complete问题。

类似的有货物装箱安全问题,有n个动物放到笼子里的冲突问题,或者有n个学生分班规划问题,还有如任务调度、集成电路布线问题等等。

#### 图着色应用

以上这些问题都可以通过图建模,然后将实际问题转化为vertex coloring问题来解决。

建模:假设n个物品为一个图的顶点,如果物品 $n_i$ 和 $n_j$ 不能在一起,那么就在 $n_i$ 和 $n_j$ 之间连一条边,构成一个图模型,再编程求解该图的点着色的色数。

# 总结

- ◈ 图着色非常有用,但也非常难。
- ◆ 在未来的工作和学习研究中,如果需要用到图着 色问题建模解决实际问题时,建议同学们跟踪、 查找和学习届时的图着色最新、最优的求解颜色 数的算法。

# **Coloring Exercises**

◆6.8节 T3, T19

# 习题选讲

- ◆习题选讲:
- ◆6.5节 T55
- ◆补充练习 T8 (思考简单图结论又如何?