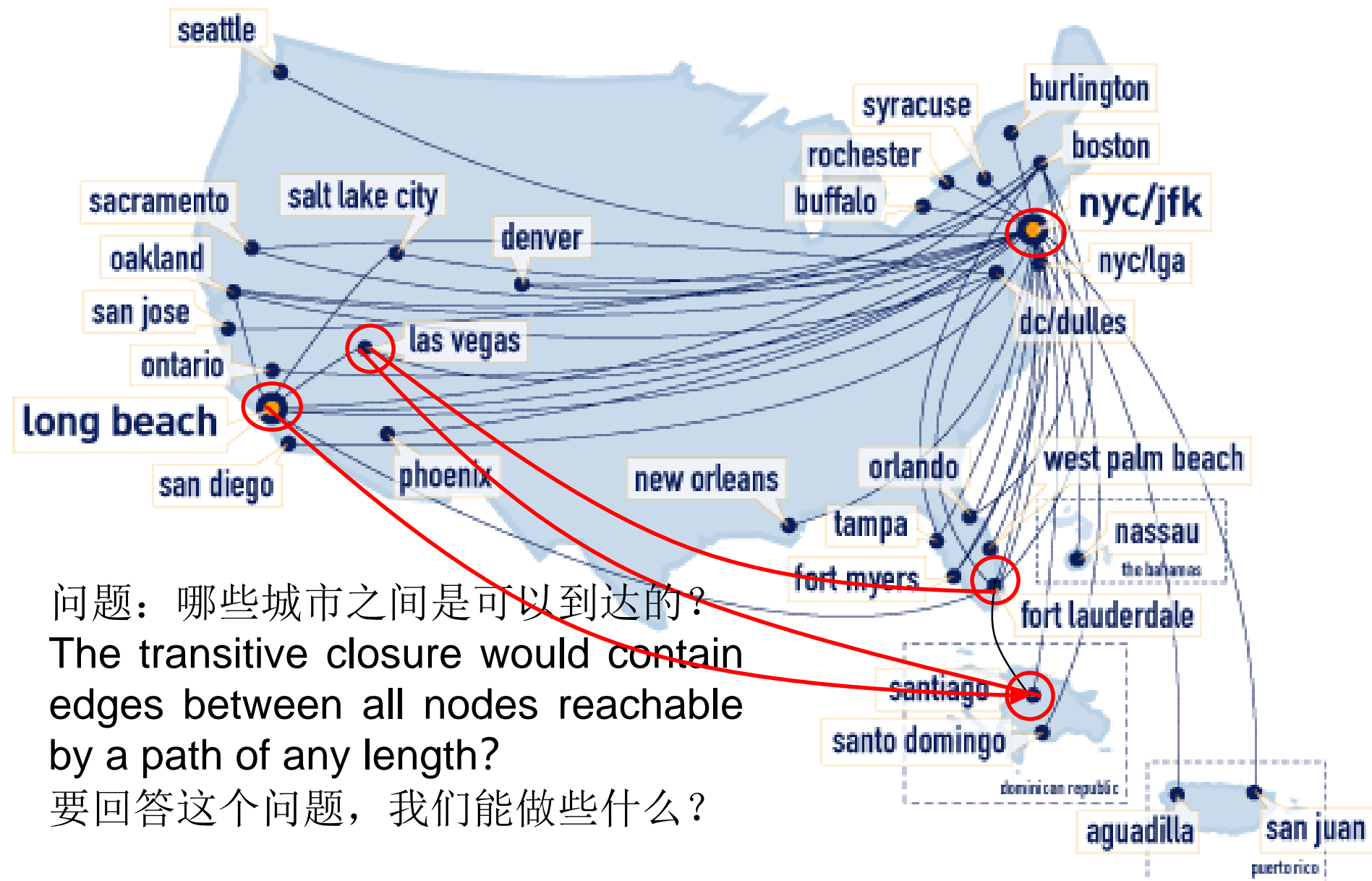


# Closures of Relations

关系闭包

# Transitive closure传递闭包



- 再思考：一个通讯网络，在已知哪些点之间有直接的连接的前提下， 哪些终端之间是可以通讯的？ 我们能否对于给定的网络图，构造一个新的结构，这个结构能明确告知我们那些点之间是可以通讯的？

# Relational closures 关系闭包

- We know that:
- a relation  $R$  on a non-empty set  $A$  may or may not have some special property such as “**Transitive**”.
- But for some purpose, we need a relation which is transitive and contains  $R$  as its subset.
- **Question**: how can we add some pairs to  $R$  to make  $R$  “a little bit bigger”, then it is transitive? What is that relation? Is it possible? Easy or hard?
- The same idea for other properties: **Reflexive** and **Symmetric**
- Introduction of the concept of relational closure...

# Relational closures

- Three types of Closures we will study
  - Reflexive 自反闭包
    - Easy
  - Symmetric 对称闭包
    - Easy
  - Transitive 传递闭包
    - Hard

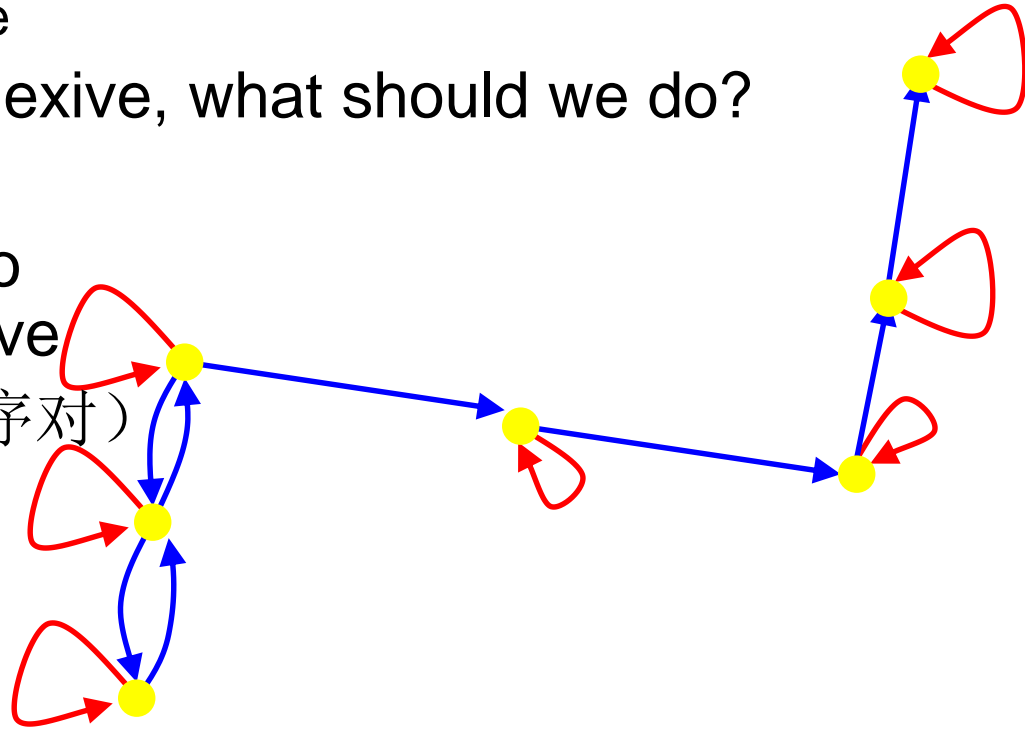
# Definition 闭包定义

- $R$  is a relation on a non-empty set  $A$ ,  $R$  可能不满足某种特性 “ $P$ ” such as reflexive/transitive/symmetric.
- 用  $C_P(R)$  表示关系  $R$  的关于特性  $P$  的闭包，则闭包定义如下：
- 定义：如果  $C_P(R)$  是  $A$  上的包含  $R$  的 ( $R \subseteq C_P(R)$ ) 满足特性 “ $P$ ” 关系，而且如果还有其它的二元关系  $S$  也满足包含  $R$  且具有特性 “ $P$ ” 的话，就有  $C_P(R) \subseteq S$ .
- $C_P(R)$  is called as the closure of  $R$  with respect to the property “ $P$ ”. 这样的  $C_P(R)$  称作  $R$  关于性质  $P$  的关系闭包
- Actually, the closure  $C_P(R)$  of  $R$  with respect to property “ $P$ ” is the minimum relation containing  $R$  as a subset and satisfy the property “ $P$ ”. 闭包也即最小的包含  $R$  且满足性质 “ $P$ ” 的关系，或者说  $R$  的满足性质 “ $P$ ” 最小的超集。
- 如何求闭包也就是如何寻找这个所谓的 “最小超集”

# Reflexive closure 自反闭包

- Consider a relation  $R$ :
  - Note that it is not reflexive
- Question: to make  $R$  reflexive, what should we do?

- We want to add edges to make the relation reflexive
- 添加边（实际上是添加序对）
- By adding those edges, we have made a non-reflexive relation  $R$  into a reflexive relation



- This new relation is called the **reflexive closure** of  $R$
- 注意在添加内容的过程中，所有添加的都是为了满足自反性所必须添加的。既不能少添加，也不能多添加。

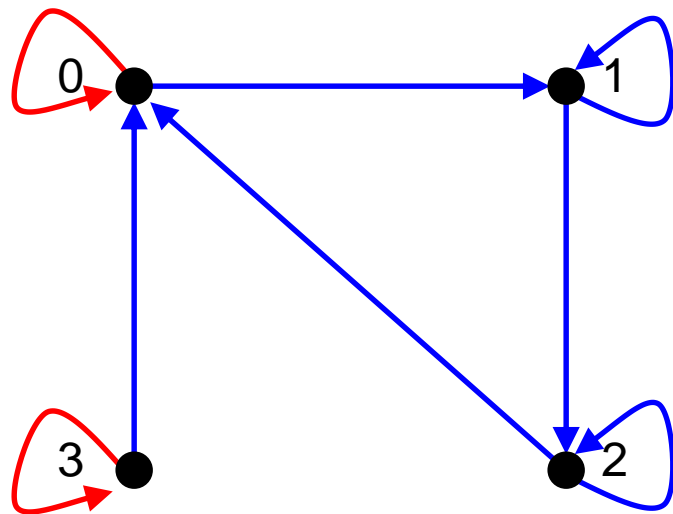
# Reflexive closure 自反闭包公式

- 给每一个没有loop的节点添加loop，构造自反闭包（添加必须加的）
- The reflexive closure 自反闭包 of  $R$  is  
 $R \cup I_A$  , Where  $I_A = \{ (a,a) \mid a \in A \}$ 
  - Called the “diagonal relation”
    - With matrices, we set the diagonal to all 1's



# Closure--Example

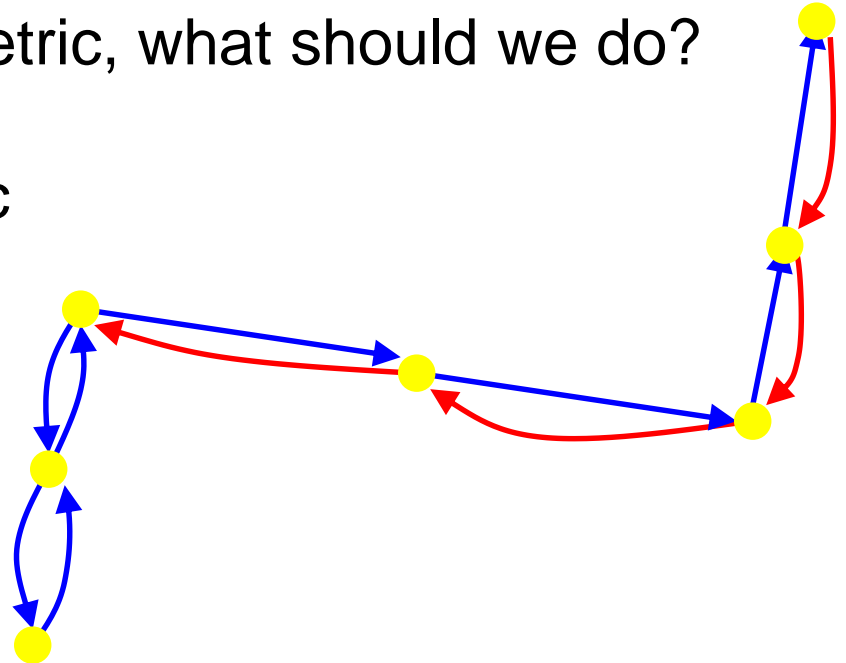
- Let  $R$  be a relation on the set  $\{0, 1, 2, 3\}$  containing the ordered pairs  $(0,1)$ ,  $(1,1)$ ,  $(1,2)$ ,  $(2,0)$ ,  $(2,2)$ , and  $(3,0)$
- What is the reflexive closure of  $R$ ?
- We add all pairs of edges  $(a,a)$  that do not already exist



We add edges:  
 $(0,0)$ ,  $(3,3)$

# Symmetric closure 对称闭包

- Consider a relation  $R$ :
  - Note that it is not symmetric (why?)
- Question: to make  $R$  symmetric, what should we do?
- We want to add edges to make the relation symmetric
- 添加 对称的边,
- By adding those edges, we have made a non-symmetric relation  $R$  into a symmetric relation



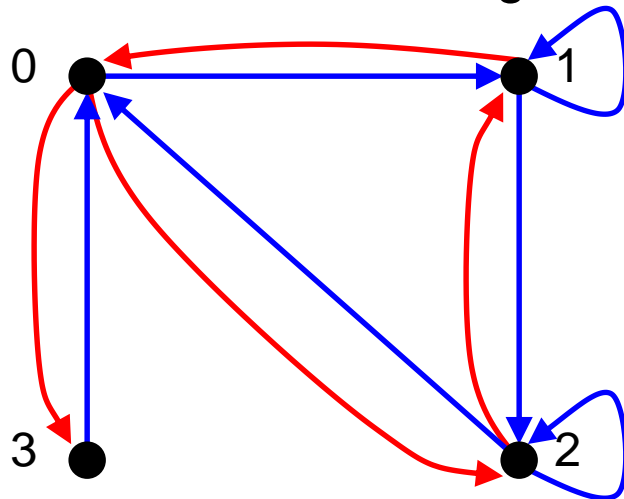
- This new relation is called the **symmetric closure** of  $R$

# Symmetric closure 对称闭包公式

- 添加双向边到所有存在单向边的地方
- The symmetric closure of  $R$  is  $R \cup R^{-1}$ 
  - If  $R = \{ (a,b) \mid \dots \}$
  - Then  $R^{-1} = \{ (b,a) \mid \dots \}$

# 对称Closure--Example

- Let  $R$  be a relation on the set  $\{0, 1, 2, 3\}$  containing the ordered pairs  $(0,1)$ ,  $(1,1)$ ,  $(1,2)$ ,  $(2,0)$ ,  $(2,2)$ , and  $(3,0)$
- What is the symmetric closure of  $R$ ?
- We add all pairs of edges  $(a,b)$  where  $(b,a)$  exists
  - We make all “single” edges into anti-parallel pairs



We add edges:

$(0,2)$ ,  $(0,3)$

$(1,0)$ ,  $(2,1)$

# 传递闭包问题

如何在给定的二元关系 $R$ 的基础上，“适当扩大”直到获得其传递闭包？

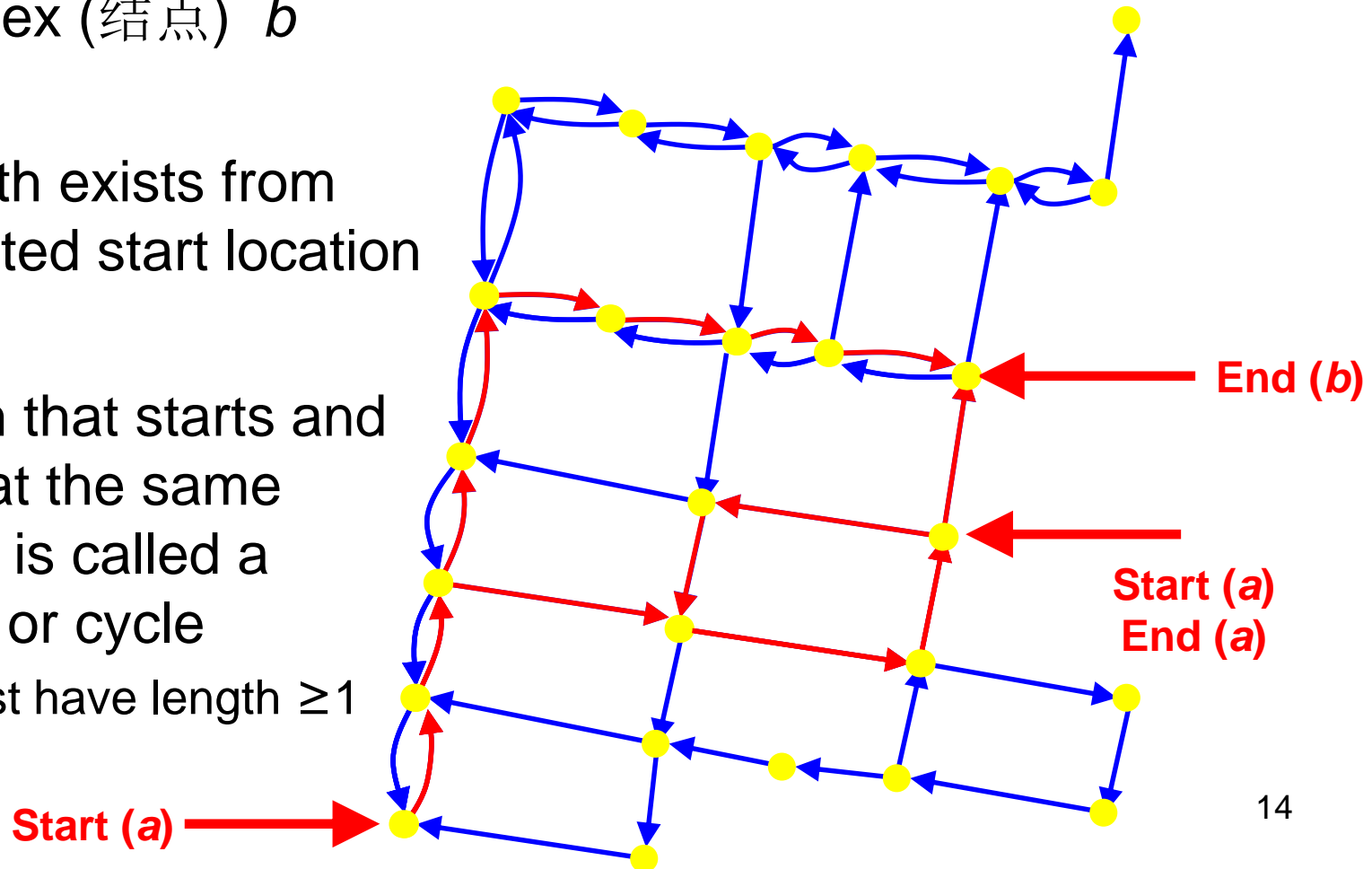
# Paths in directed graphs 有向图中的路

- A *path* is a sequences of connected edges from vertex  $a$  to vertex (结点)  $b$

- No path exists from the noted start location

- A path that starts and ends at the same vertex is called a circuit or cycle

- Must have length  $\geq 1$



# More on paths...

- The length of a path is the number of **edges** in the path, not the number of nodes （路长概念）
- *Note: “path” is a concept of graph theory, we will show much more detail in the chapter GRAPH*

# Transitive closure传递闭包

- **Formal definition:**  $R$  is a binary relation on a set  $A$ , the transitive closure of  $R$  is a new relation  $R^*$  which contains  $R$ , transitive, and for any transitive relation on  $A$  containing  $R$  is a superset of  $R^*$ .
- $R \subseteq R^*$ ,  $R^*$  is transitive; If  $S$  is a transitive relation such that  $R \subseteq S$ , then  $R^* \subseteq S$
- The transitive closure of  $R$  is the **smallest** transitive relation on  $A$  which contains  $R$  as its subset.

传递闭包是包含 $R$ 的可传递的最小的二元关系；是 $R$ 的满足可传递性的最小超集。



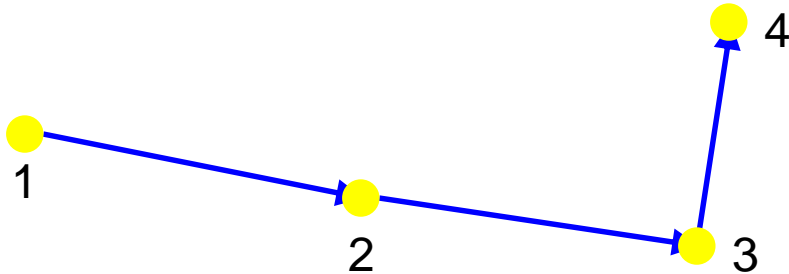
# Finding Transitive closure 寻找传递闭包

- Informal definition: If there is a path from  $a$  to  $b$ , then there should be an edge from  $a$  to  $b$  in the transitive closure

如果有一条从 $a$ 到 $b$ 的路，则传递闭包里应该有从 $a$ 到 $b$ 的边  
(思考为什么?)

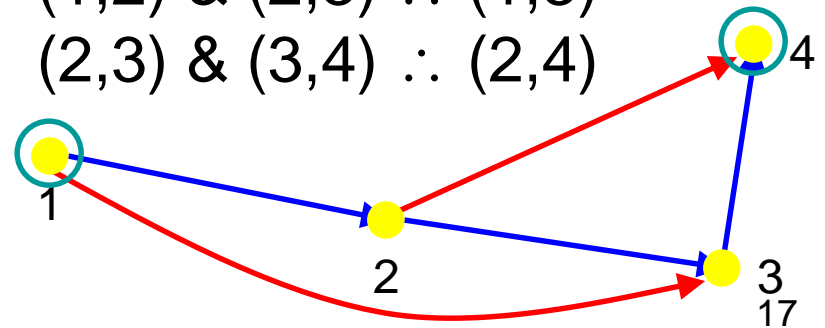
- First take of a definition:
  - In order to find the transitive closure of a relation  $R$ , we add an edge from  $a$  to  $c$ , whenever there are edges from  $a$  to  $b$  and  $b$  to  $c$
- But there is a path from 1 to 4 with no edge!

$$R = \{ (1,2), (2,3), (3,4) \}$$



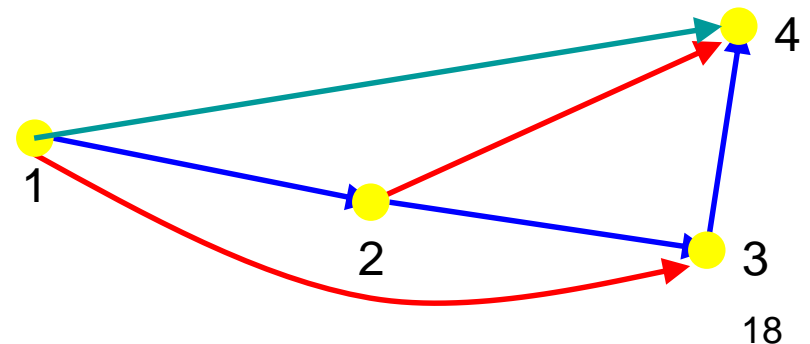
$$(1,2) \ \& \ (2,3) \therefore (1,3)$$

$$(2,3) \ \& \ (3,4) \therefore (2,4)$$

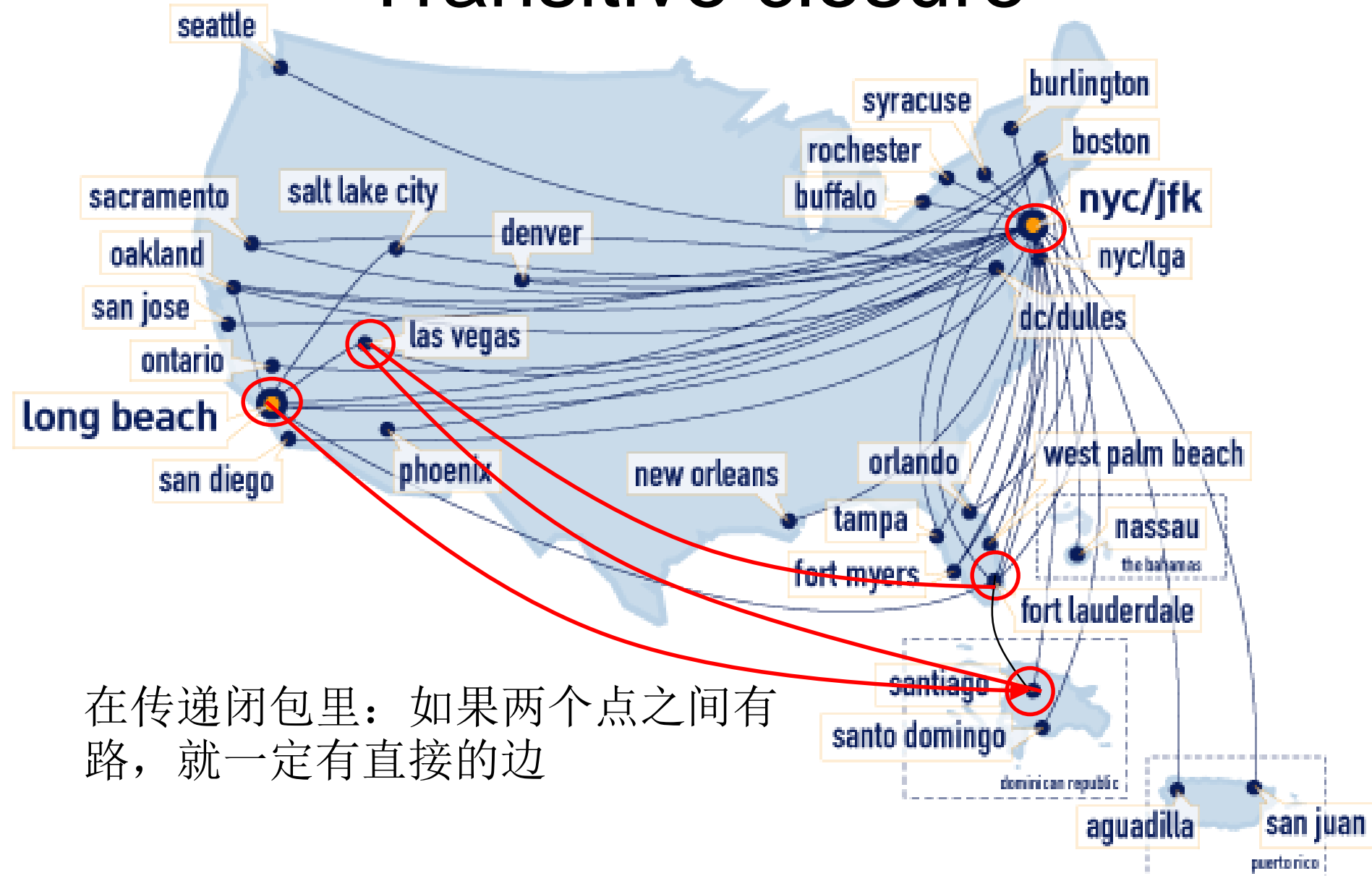


# Transitive closure传递闭包

- 在传递闭包里面，如果有从点a到b的路，那么就一定有a到b的边
- Second take of a definition:
  - In order to find the transitive closure of a relation  $R$ , we add an edge from  $a$  to  $c$ , when there are edges from  $a$  to  $b$  and  $b$  to  $c$
  - Repeat this step until no new edges are added to the relation
- red means added on the first repeat
- teal means added on the second repeat



# Transitive closure



## Question 传递闭包存在性问题

- For any binary relation  $R$  on set  $A$ , is there transitive relation containing  $R$ ?
- Is there transitive closure for binary relation on set  $A$ ? Unique?

# transitive closure传递闭包计算公式

- If  $R$  is a binary relation on non-empty set  $A$ , then the transitive closure of  $R$  is

$$R^* = \bigcup_{i=1}^{\infty} R^i$$

- If  $A$  is a finite set with  $n$  elements, then

$$R^* = \bigcup_{i=1}^n R^i$$

*Think about why? Can you prove it is the minimum transitive relation including  $R$  as subset?*

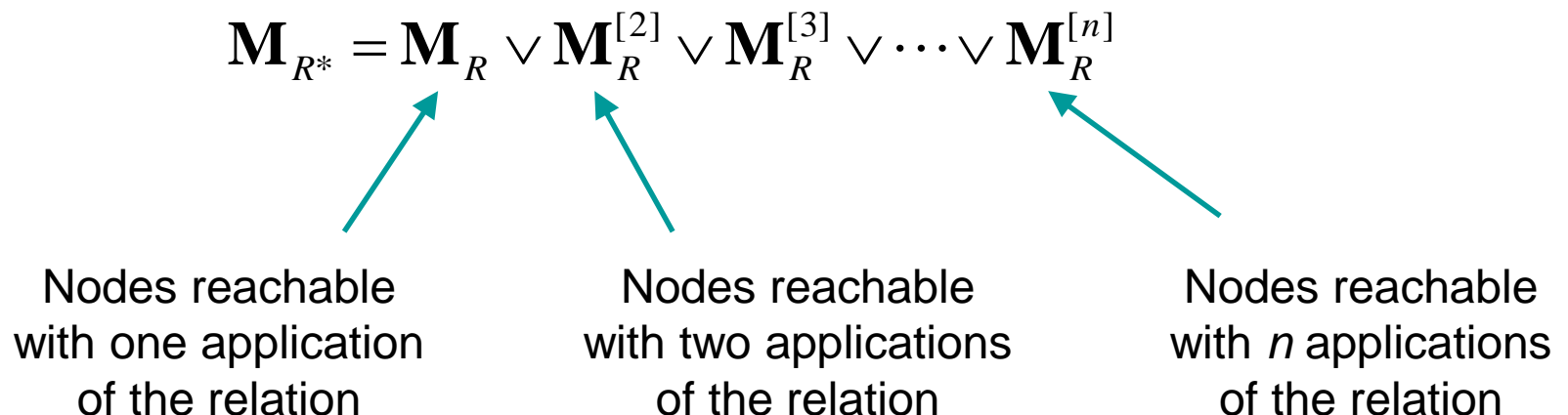
注意到:  $R^* = \bigcup_{i=1}^{\infty} R^i = \bigcup_{i=1}^n R^i \cup \bigcup_{i=n+1}^{\infty} R^i$

# Finding the transitive closure

## 利用关系矩阵计算传递闭包

- **Theorem:** Let  $\mathbf{M}_R$  be the zero-one matrix of the relation  $R$  on a set  $A$  with  $n$  elements. Then the zero-one matrix of the transitive closure  $R^*$  is:

$$\mathbf{M}_{R^*} = \mathbf{M}_R \vee \mathbf{M}_R^{[2]} \vee \mathbf{M}_R^{[3]} \vee \cdots \vee \mathbf{M}_R^{[n]}$$



Nodes reachable  
with one application  
of the relation

Nodes reachable  
with two applications  
of the relation

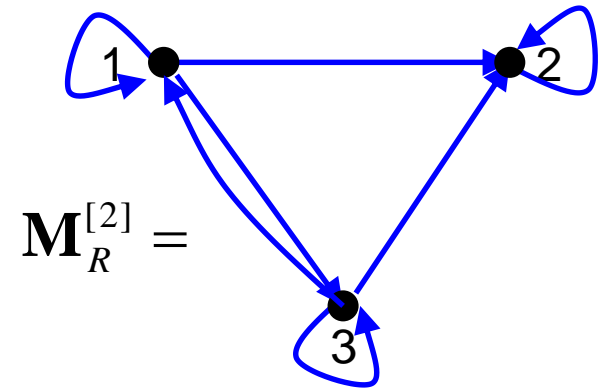
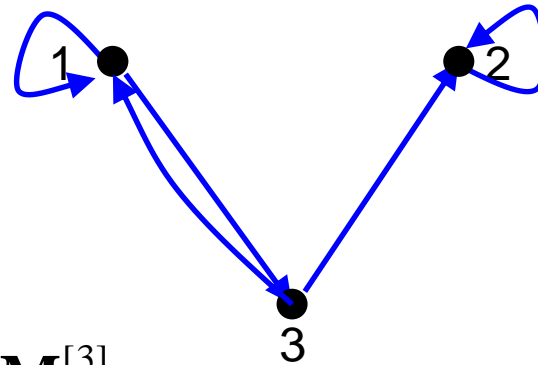
Nodes reachable  
with  $n$  applications  
of the relation

*Please think about the amount of computing of finding transitive closure..*

# Close--example

- Find the zero-one matrix of the transitive closure of the relation R given by:

$$\mathbf{M}_R = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$



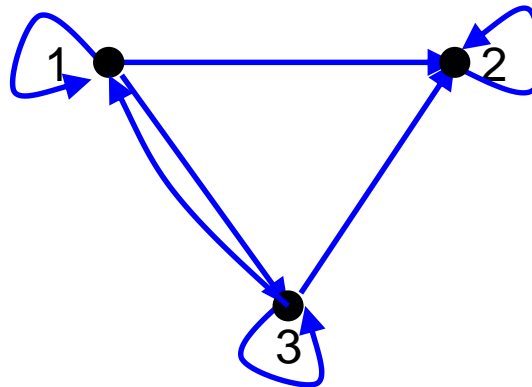
$$\mathbf{M}_R^{[2]} =$$

$$\mathbf{M}_{R^*} = \mathbf{M}_R \vee \mathbf{M}_R^{[2]} \vee \mathbf{M}_R^{[3]}$$

$$\mathbf{M}_R^{[2]} = \mathbf{M}_R \odot \mathbf{M}_R = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \odot \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

# Close--example

$$\mathbf{M}_R^{[3]} = \mathbf{M}_R^{[2]} \odot \mathbf{M}_R = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \odot \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$



$$\mathbf{M}_{R^*} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$



# Transitive closure algorithm 传递闭包算法

- What we did (or rather, could have done):
  - Compute the next matrix  $\mathbf{M}_R^{[i]}$ , where  $1 \leq i \leq n$
  - Do a Boolean join with the previously computed matrix
- For our example:
  - Compute  $\mathbf{M}_R^{[2]} = \mathbf{M}_R \circ \mathbf{M}_R$
  - Join that with  $\mathbf{M}_R$  to yield  $\mathbf{M}_R \vee \mathbf{M}_R^{[2]}$
  - Compute  $\mathbf{M}_R^{[3]} = \mathbf{M}_R^{[2]} \circ \mathbf{M}_R$
  - Join that with  $\mathbf{M}_R \vee \mathbf{M}_R^{[2]}$  from above

## 思考问题

- 计算有限集合上的关系 $R$ 的传递闭包时，基于上面的公式，以及可传递的一些性质，能否将上面的算法在编程实现时改进如下，思考是否正确，为什么？
- 记 $M_1=M$
- (1) 在计算 $(M_1)^2$ 时，只计算 $M$ 中为0的那些项的位置对应的 $M_1^2$ 的项的值，然后用 $M_1^2$ 与 $M_1$ 求并，得到 $M_2$ ；
- (2) 再求 $(M_2)^2$ ，类似于(1)，只计算 $M_2$ 中为0对应的位置的项的值；然后类似于(1)，求其于 $M_2$ 的并，得到 $M_3$ ；
- (3) 在上面的计算过程中，如果不再有新的位置的值的出现，则中止循环（why?），得到的就是传递闭包。
- (4) 当算到第 $k$ 步  $(M_k)^2$ 时，该矩阵对应的关系已经是 $R^1, R^2, R^3, \dots, R^{2^k}$ ，的超集了。所以，只要是 $2^k \geq n$ ，计算一定可以中止了。也即最多计算 $k=\lceil \log_2(n) \rceil$ 步。为什么？

## 思考问题续

- (5) 另外，假设关系R对应的最长的有向简单路的长度位  $t$  ( $t \leq n$ )，那么上面的计算最多只需要到第  $k = \lceil \log_2(t) \rceil$  步。当然不用去寻找这个  $t$ ，实际计算时，最多计算到  $\lceil \log_2(t) \rceil + 1$  步后，一定能判断出不会再有1的增加。
- 在上面的矩阵乘积的计算中，由于只计算那些0项对应位置的项的值，节省了很多的计算量；
- (6) 如果关系矩阵有全为0的行，则删除掉所有全为0的行和列，降低计算量。
- 
- 评估计算复杂度，对比分析沃舍尔算法的计算复杂度；
- 可以试着编写伪代码

# 无限集合上的有限二元关系的传递闭包

- 鼓励学生探索无限集合上的有限二元关系的传递闭包的计算。
- 假设 $S$ 为无限集， $R$ 为 $S$ 上的有限二元关系。求 $R$ 的传递闭包  
思路：记 $A$ 为关系 $R$ 涉及到的所有元素的集合，则 $A$ 必然是 $S$ 的有限子集。 $R$ 也就相当于 $A$ 上的二元关系，在求其传递闭包时，不会涉及到 $A$ 以外的元素。

# Transitive closure algorithm

## 传递闭包算法伪代码

**procedure** *transitive\_closure* ( $\mathbf{M}_R$ : zero-one  $n \times n$  matrix)

**A** :=  $\mathbf{M}_R$

**B** := **A**

**for**  $i := 2$  **to**  $n$

**begin**

**A** :=  $\mathbf{A} \odot \mathbf{M}_R$

**B** :=  $\mathbf{B} \vee \mathbf{A}$

**end** { **B** is the zero-one matrix for  $R^*$  }

# Warshall's algorithm经典的沃舍尔算法\*

- 也学习图论中的路的知识后再回头学习这个算法
- More efficient algorithms exist, such as Warshall's algorithm, which is famous
  - not going to teach it in this class
  - To learn it please see the textbook, and think about why Warshall's algorithm is good.
  - 实现时可以改进这个算法的计算：当计算第k个矩阵的项 $v_{ij}$ 时，如果第k-1个矩阵的这个对应项为1，则不用再算，就直接等于第k-1个矩阵这个项；否则，就只需要计算出第k-1个矩阵的 $v_{ik}$ 和 $v_{kj}$ 就可以了。

# 思考问题

- 假设 $R$ 是有限集合 $A$ 上的一个二元关系，如何寻找一个同时满足自反性、对称性与可传递性的闭包？或者说寻找一个包含 $A$ 的最小的同时满足自反性、对称性与可传递性的 $A$ 上的二元关系？（曾经的考题）

# 作业

- 5.4节
- T1
- T11 （在T5的基础上）
- T21, T23