

# Functions

every time everywhere  
函数将无处不在、无时不在

## 回忆所学的函数

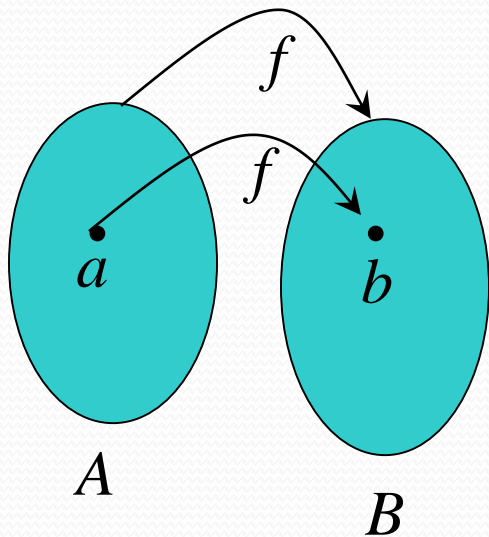
- 哪位同学能描述一下你所知道的函数是什么？
- 高中接触的函数基本上是初等函数。微积分里常用的也是初等函数，或者是初等函数的混合或复合而成的。
- **初等函数**是由幂函数（power function）、指数函数（exponential function）、对数函数（logarithmic function）、三角函数（trigonometric function）、反三角函数（inverse trigonometric function）与常数经过有限次的有理运算（加、减、乘、除、有理数次乘方、有理数次开方）及有限次函数复合所产生，并且能用一个解析式表示的函数。
- **非初等函数**是指不是初等函数的函数。非初等函数的研究与发展是近现代数学的重大成就之一，极大拓展了数学在各个领域的应用，在各个学科中都有十分广泛的应用。是函数论的一个重要的分支。

# Formal Definition(函数正式定义)

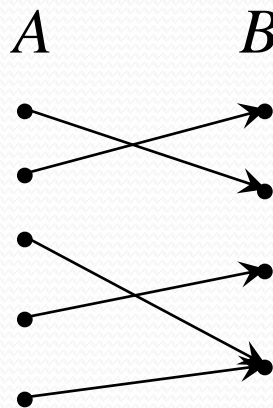
- 函数：For any sets  $A, B$ , *function*  $f$  from  $A$  to  $B$  ( $f: A \rightarrow B$ ) is a particular assignment of exactly one element  $f(x) \in B$  to each element  $x \in A$ .
  - Also called: **mapping** or **transformation** （映射或者变换）
  - 问题：线性代数中的线性变换是函数吗？
  - 说明：当我们强调是哪个点对于那个点的时候，通常用映射这个概念；当更多的是分析研究函数值的变化规律性时，更多的是用函数的概念。
  - **Some further generalizations of this idea:**
    - Functions of  $n$  arguments （多元函数）: where  $A$  is the Cartesian product of some sets.
- 注：函数不再局限于普通的实数到实数函数；将概念、思维推广应用到更广泛的空间和领域中…
- 例如：计算机语言中的函数的输入输出就有可能不是一般的数。

# Graphical Representations

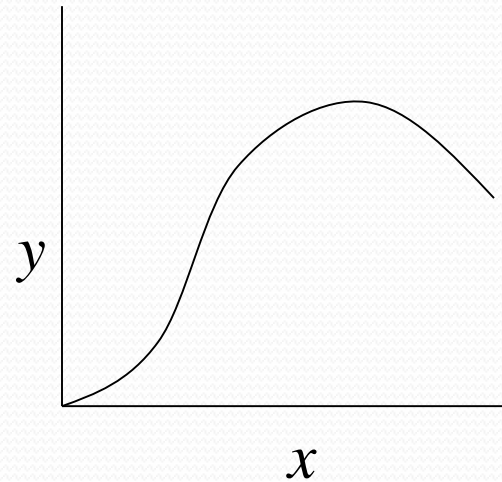
- Functions can be represented graphically in several ways:



Like Venn diagrams

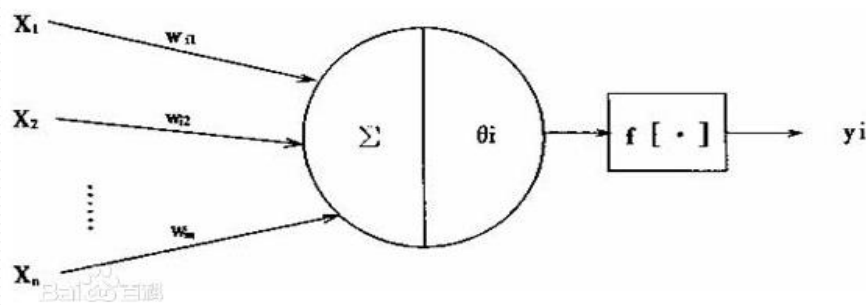


Bipartite Graph



Plot

# 神经网络—神经元函数及输入输出图模型



$$\begin{cases} U_i = \sum_{j=1}^n w_{ij} x_j - \theta_i \\ Y_i = f(U_i) \end{cases}$$

神经元处理输入输出函数模型

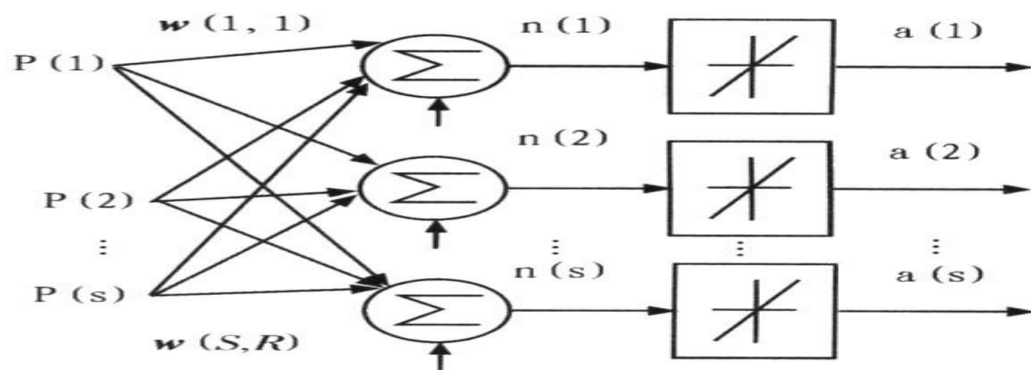
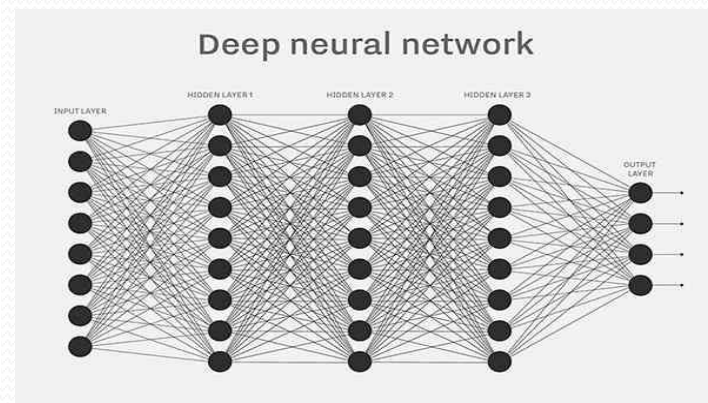
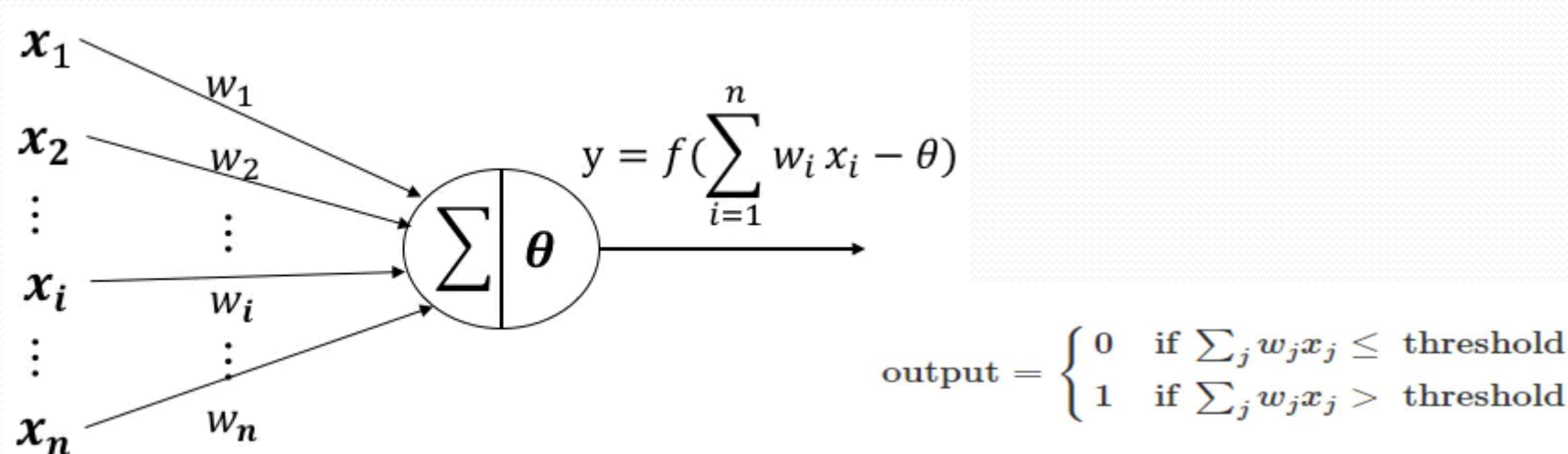


图 1 线性神经网络结构



高级模型（比如深度学习）输入输出

# 神经网络函数模型

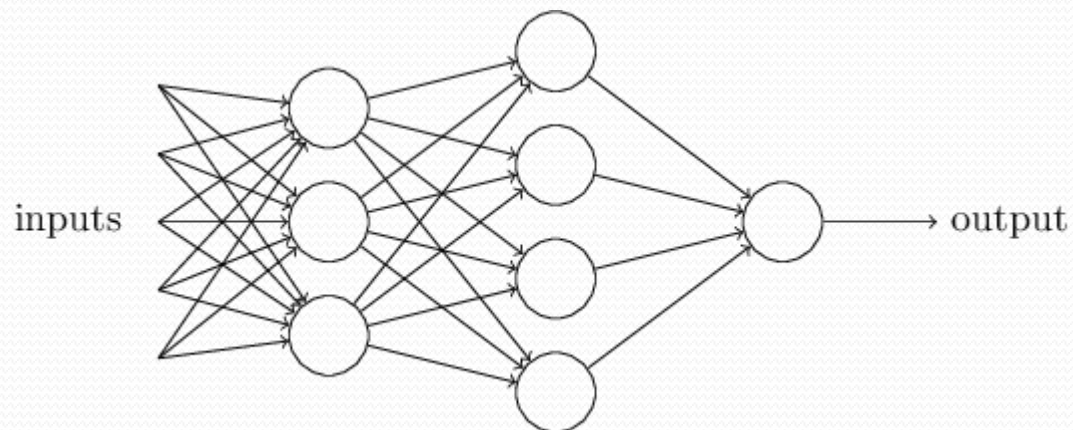


神经网络—神经元模型（权 $w$ -阈值 $\Theta$ ）—函数输入输出模型

一个神经元有 $n$ 个输入，每一个输入对应一个权值 $w$ ，神经元内会对输入与权重做乘法后求和，求和的结果与偏置做差，最终将结果放入激活函数中，由激活函数给出最后的输出，输出往往是二进制的， $0$  状态代表抑制， $1$  状态代表激活。



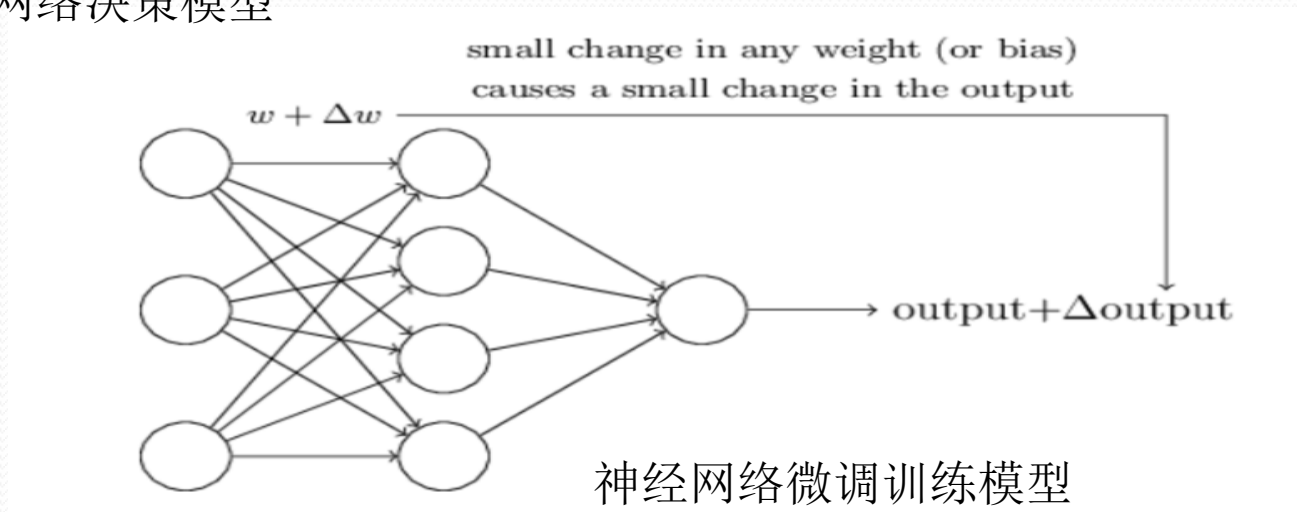
# 神经元处理输入输出函数模型



$$\begin{cases} U_i = \sum_{j=1}^n w_{ij} x_j - \theta_i \\ Y_i = f(U_i) \end{cases}$$

神经元处理输入输出函数模型

神经网络决策模型

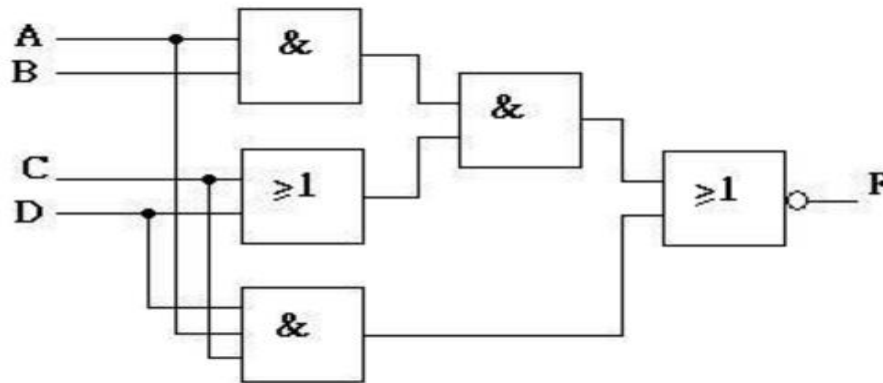


神经网络微调训练模型


- 逻辑电路：一组输入，得到输出（真值）输出，可以看成是一个闭盒，也实际上可以理解为一个函数的实现，一个真值函数的实现

$$\begin{aligned}\bar{F} &= \bar{A}\bar{B}CD + A\bar{B}\bar{C}D + AB\bar{C}\bar{D} + ABCD \\ &= ACD + ABD + ABC \\ &= AB(C+D) + ACD\end{aligned}$$

$$F = \overline{AB(C+D) + ACD}$$





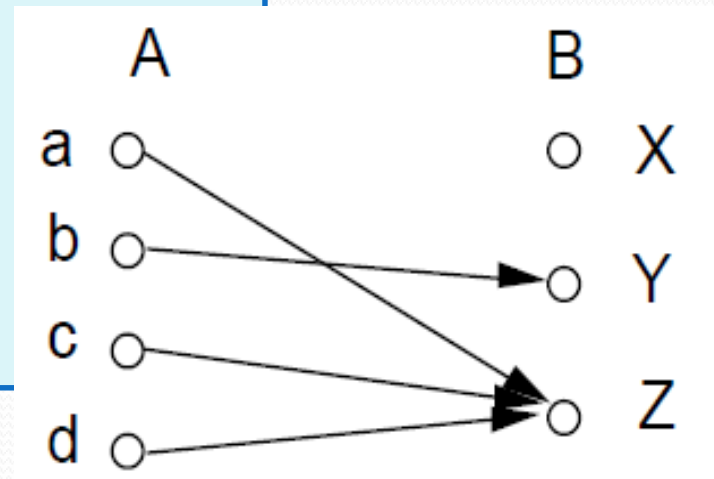
- 
- 再想象一下，校门口的人脸识别系统（车牌识别系统），是不是也是一个函数？
  - 输入是一图片，输出是对应的某个人的信息？

# Functions (函数)

- If  $S$  is a subset of  $A$  then  $f(S) = \{f(x) \mid x \text{ in } S\}$ .
- The *range* (值域) of  $f$  is the set of all images of points in  $A$  under  $f$ . We denote it by  $f(A)$ .

Example:

- $f(a) = Z$
- the image (像) of  $a, d$  is  $Z$
- the domain (定义域) of  $f$  is  $A = \{a, b, c, d\}$
- the codomain (伴域) is  $B = \{X, Y, Z\}$
- $f(A) = \{Y, Z\}$
- the preimage (原像) of  $Y$  is  $b$
- the preimages of  $Z$  are  $\{a, c, d\}$
- $f(\{c, d\}) = \{Z\}$



## Some Function Terminology 有关术语

•  $f:A \rightarrow B$ , and  $f(a)=b$  (where  $a \in A$  &  $b \in B$ ), then:

- $A$  is the *domain* of  $f$ . 定义域
- $B$  is the *codomain* of  $f$ . 伴域
- $b$  is the *image* of  $a$  under  $f$ . 像
- $a$  is a *pre-image* of  $b$  under  $f$ . 原像

In general,  $b$  may have more than 1 pre-image. 原像不一定唯一

- The *range*  $R \subseteq B$  of  $f$  is  $R = \{b \mid \exists a f(a)=b\}$ . 值域
- 回忆一下：高中数学里求函数定义域、值域的问题

## Range versus Codomain 值域与伴域

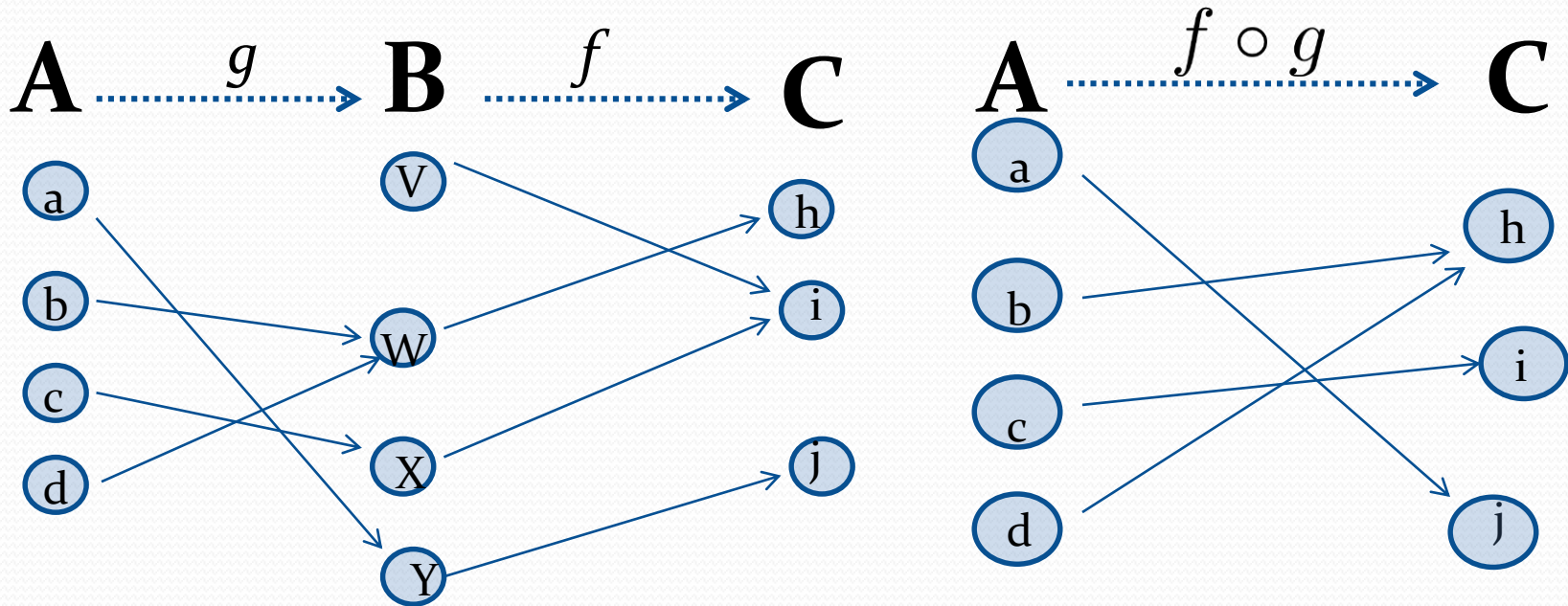
- The range of a function might *not* be its whole **codomain** (伴域) .
- The co-domain is the set that the function is *declared* to map all domain values into.
- The **range**值域 is the *particular* set of values in the codomain that the function *actually* maps elements of the domain onto.

## Range vs. Codomain - Example

- Suppose I declare to you that: “ $f$  is a function mapping students in this class to the set of grades  $\{A, B, C, D, E\}$ .”
- At this point, you know  $f$ 's codomain is:  $\{A, B, C, D, E\}$  and its range is unknown!
- Suppose the grades turn out all As and Bs, then the range of  $f$  is  $\{A, B\}$ , but its codomain is still  $\{A, B, C, D, E\}$ !

# Function Composition Operator

## 函数复合运算



不建议用教材中的“组合函数、函数组合、函数合成”这些个名词

## Function Composition Operator 函数复合运算

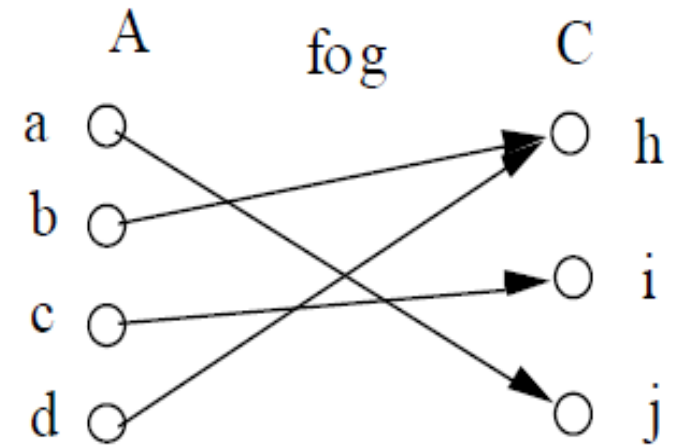
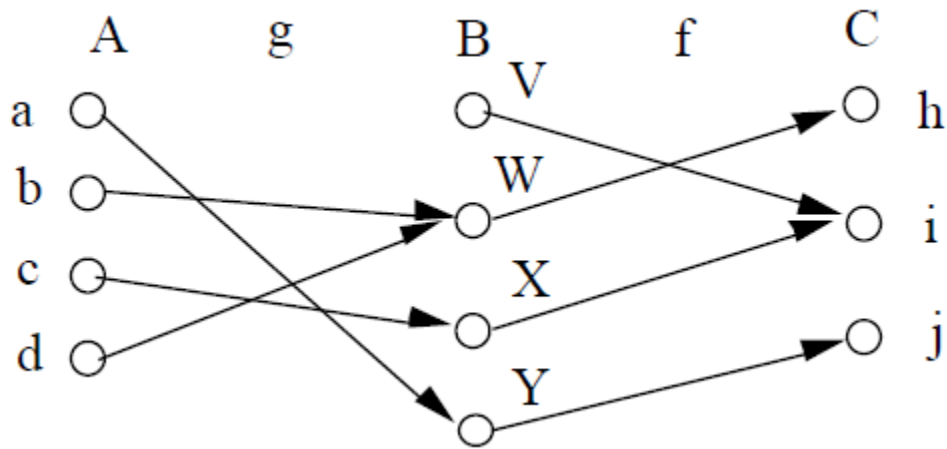
- For functions  $g:A \rightarrow B$  and  $f:B \rightarrow C$ , there is a special **operator** called *compose* (“o”).
  - It composes (creates) a new function out of  $f$  and  $g$  by applying  $f$  to the result of applying  $g$ .
  - We say  $(f \circ g):A \rightarrow C$ , where  $(f \circ g)(a) \equiv f(g(a))$ .
  - Note  $g(a) \in B$ , so  $f(g(a))$  is defined and  $\in C$ .
  - Note that “o” is non-commuting. (Generally,  $f \circ g \neq g \circ f$ . 不可交换的)



# Example of Composition Operator

## 复合运算

Example:



# Properties of function

## 函数性质

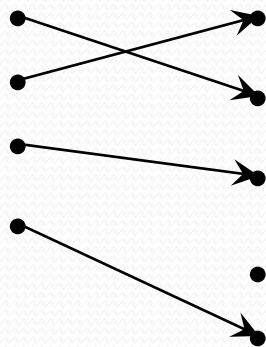
注意：这个部分是函数部分的重点

## One-to-One Functions

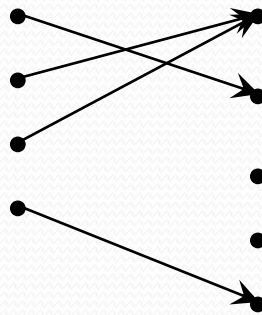
- A function is *one-to-one* (1-1), or *injective*, or an *injection*, iff for all  $x, y \in A$ ,  $x \neq y$  implies  $f(x) \neq f(y)$ .
- In other words: every element of its range has only **one** pre-image. (一对一函数或者单射)
  - Formally: given  $f: A \rightarrow B$ ,  
“ $x$  is injective”  $\equiv (\neg \exists x, y: x \neq y \text{ and } f(x) = f(y))$ .  
也即：定义域里不存在两个不同元素对应到同一函数值，或者说不同元素有不同的像
- 值域中的任何元素都有且只有唯一的源像.
- Domain & range have same cardinality. What about codomain? 定义域与值域有相同的基数

# One-to-One Examples

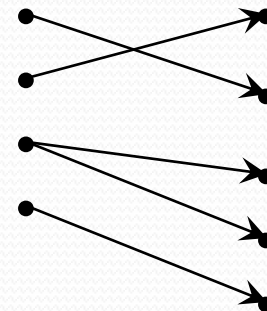
- Bipartite (2-part) graph representations of functions that are (or not) one-to-one:



One-to-one



Not one-to-one



Not even a  
function!

# Sufficient Conditions for 1-1ness

## 1-1ness的充分条件

- For functions  $f$  over numbers, we say:
  - $f$  is *strictly* (or *monotonically*) *increasing* iff  $x > y \rightarrow f(x) > f(y)$  for all  $x, y$  in domain;
  - $f$  is *strictly* (or *monotonically*) *decreasing* iff  $x > y \rightarrow f(x) < f(y)$  for all  $x, y$  in domain;
- If  $f$  is either strictly increasing or strictly decreasing, then  $f$  is one-to-one. *E.g.*  $x^3$ 
  - *Converse is not necessarily true. Why?*

## More Examples

- 1.  $A$  is the set of all Chinese citizens.  $f$  is a function from a person to her/his ID.
- 2. Any given encryption function from plain text set to cipher text set.
- 3. Records set in a table of database,  $f$  is a function from every record to its primary key.

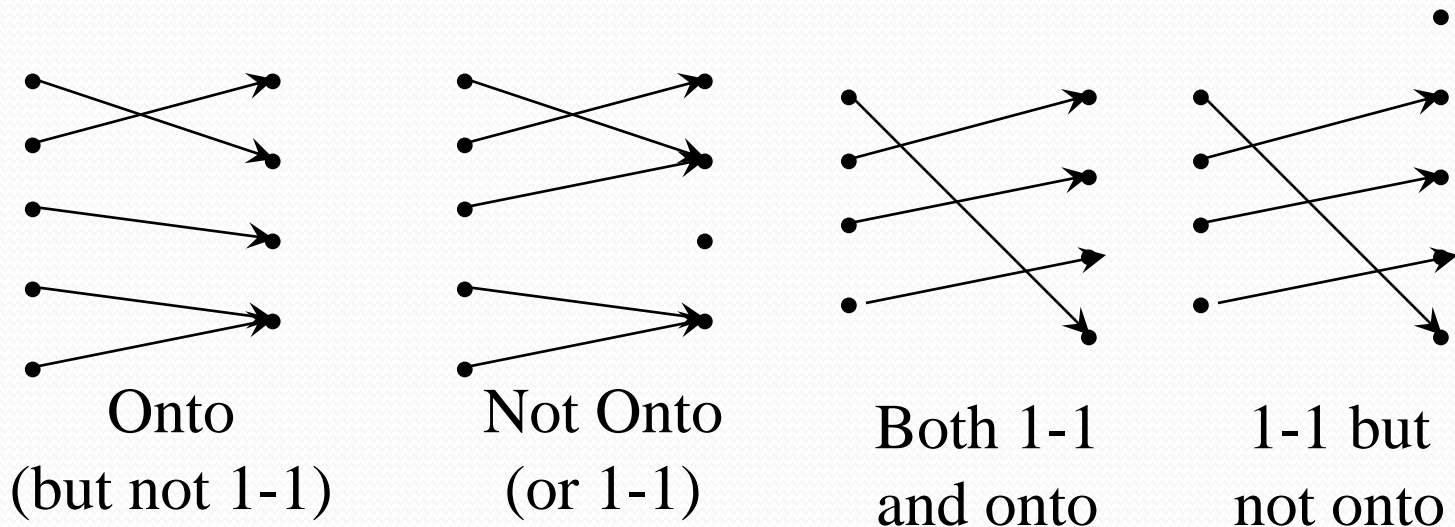
# Onto (Surjective 满射) Functions

- A function  $f:A \rightarrow B$  is **onto or surjective** or a *surjection* iff its range is equal to its codomain ( $\forall b \in B, \exists a \in A: f(a)=b$ ).
- $f(A) = B$ ? Why?
- 思考: An *onto* function maps the set  $A$  onto (over, covering) the *entirety* of the set  $B$ , not just over a piece of it. (体会这里的理解)
- E.g., for domain & codomain  $\mathbf{R}$ ,  $x^3$  is onto, whereas  $x^2$  isn't. (Why not?)
- 注：教材翻译成“映上的”



# Examples of Onto 满射

- Some functions that are, or are not, *onto* their codomains:



## More Examples

- 1.  $A$ : all people in China,  $B = \{0, 1, 2, 3, \dots, 100, \dots, 150\}$ ,  $f$  is a function from  $A$  to  $B$ ,  $f(x)$  is  $x$ 's age.
- 2. Assume  $g(x) = f^{-1}(x)$  for any  $x$  in  $f(A)$  above, is  $g$  a function from  $f(A)$  to  $P(A)$  (power set of  $A$ )? 1-1? Onto?

# Showing that $f$ is one-to-one or onto

## 单射和满射的证明

- Suppose that  $f:A \rightarrow B$ .
- To show that  $f$  is injective, we have to prove that if  $f(x)=f(y)$  for arbitrary  $x, y \in A$ , then  $x = y$  for sure.
- To show that  $f$  is not injective, just find particular elements  $x, y \in A$ , such that  $x \neq y$  but  $f(x) = f(y)$
- To show that  $f$  is surjective, consider an arbitrary element  $y \in B$ , and find an element  $s$  in  $A$  such that  $f(s) = y$ .
- To show that  $f$  is not surjective, to find a particular element  $y \in B$ , there is no pre-image in  $A$ , or for all  $x$  in  $A$ ,  $f(x) \neq y$

## Showing that $f$ is one-to-one or onto

**Example 1:** Let  $f$  be the function from  $\{a,b,c,d\}$  to  $\{1,2,3\}$  defined by  $f(a) = 3$ ,  $f(b) = 2$ ,  $f(c) = 1$ , and  $f(d) = 3$ . Is  $f$  an onto function?

**Solution:** Yes,  $f$  is onto since all three elements of the codomain are images of elements in the domain. If the codomain were changed to  $\{1,2,3,4\}$ ,  $f$  would not be onto.

**Example 2:** Is the function  $f(x) = x^2$  from the set of integers to  $\mathbb{Z}$  onto?

**Solution:** No,  $f$  is not onto because there is no integer  $x$  with  $x^2 = -1$ , for example.

## Bijections (双射)

- A function  $f$  is said to be a *one-to-one correspondence*, or a **bijection**, or **reversible**, or **invertible** (可逆的), iff it is both one-to-one and onto.  
(既是单射又是满射)
- For bijection  $f:A \rightarrow B$ , there exists an *inverse* (逆) of  $f$ , written  $f^{-1}:B \rightarrow A$ , which is the unique function such that
  - (where  $I_A$  is the identity function on  $A$  恒等函数)

$$f^{-1} \circ f = I_A$$

- 线性代数里的线性变换是双射吗？

## Examples and Questions

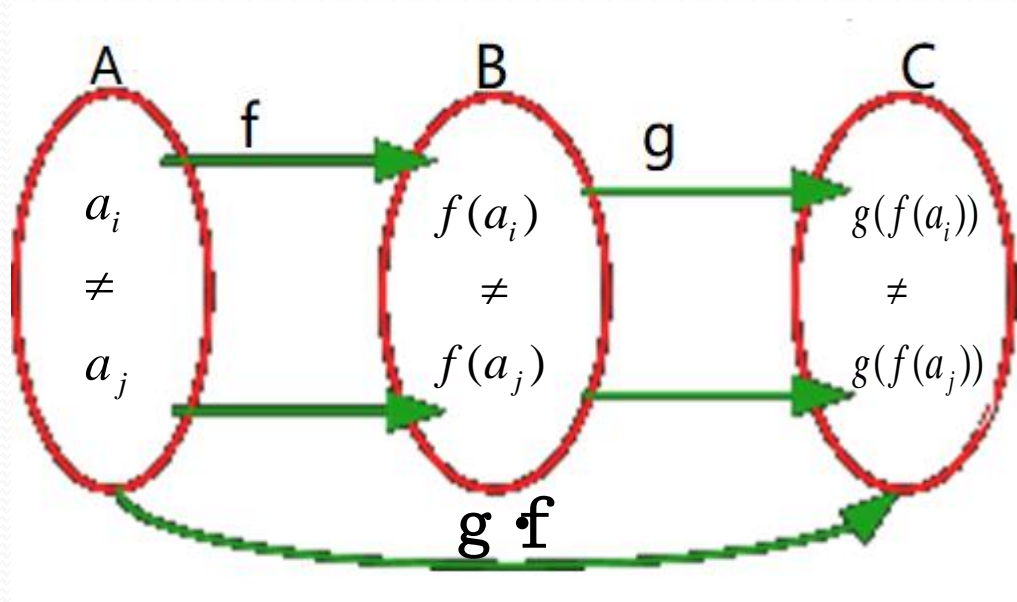
- More examples please...
- Encrypt functions and Decrypt functions
- What about the compressing functions?
- Some questions:
  1. If  $f, g$  are 1-1 or (onto) functions, how about the composition of  $f$  and  $g$ :  $f \circ g$ ? 反之如何?
  2. If  $f \circ g$  is 1-1 (onto) function, how about  $f$  and  $g$ ? 反之如何?

# 复合函数的性质

定理 设有函数  $f: A \rightarrow B$   $g: B \rightarrow C$

- (1) 如果  $f$  和  $g$  都是单射，则  $g \circ f$  也是单射；
- (2) 如果  $f$  和  $g$  都是满射，则  $g \circ f$  也是满射；
- (3) 如果  $f$  和  $g$  都是双射，则  $g \circ f$  也是双射。

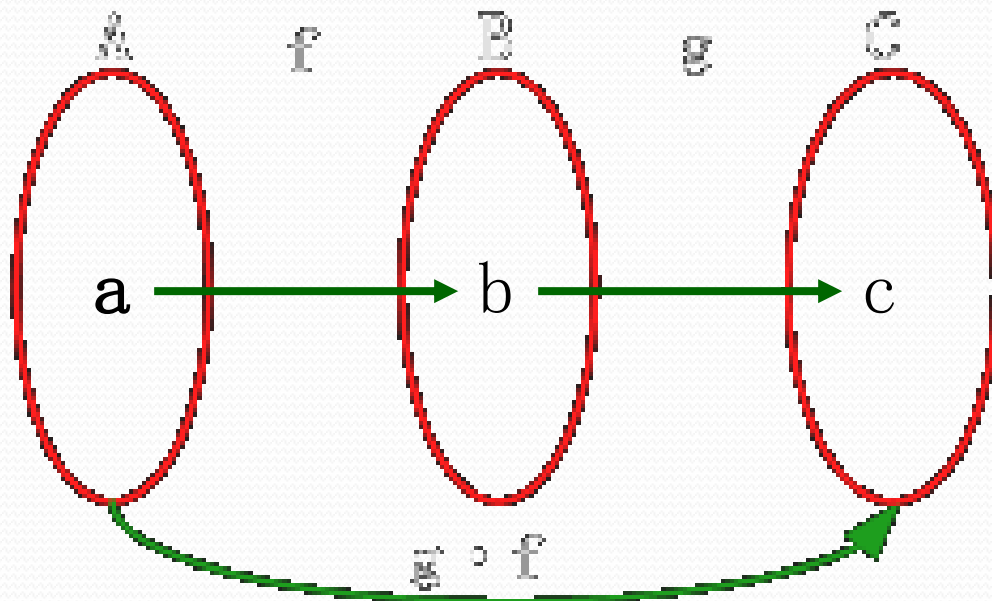
证明：(1)



此即  $g \circ f(a_i) \neq g \circ f(a_j)$ ，故  $g \circ f$  是单射



(2) 对于集合C中任一元素c, 必存在  $b \in B$  , 使得  $g(b)=c$ 。



对于b, 又必存在  $a \in A$  , 使得  $f(a)=b$  ,  
于是有  $g \circ f(a)=g(f(a))=g(b)=c$  ,  
由c的任意性得  $g \circ f$  是满射。

(3) 由(1)和(2)知  $g \circ f$  必是双射。

# 定理

设有函数  $f: A \rightarrow B$  和  $g: B \rightarrow C$

- (1) 如果  $g \circ f$  是单射, 则  $f$  是单射;
- (2) 如果  $g \circ f$  是满射, 则  $g$  是满射;
- (3) 如果  $g \circ f$  是双射, 则  $f$  是单射而  $g$  是满射。

## 证明

(1) 反证法 假设  $f$  不是单射,

则存在元素  $a_i, a_j \in A, a_i \neq a_j$ , 但  $f(a_i) = f(a_j)$ ,

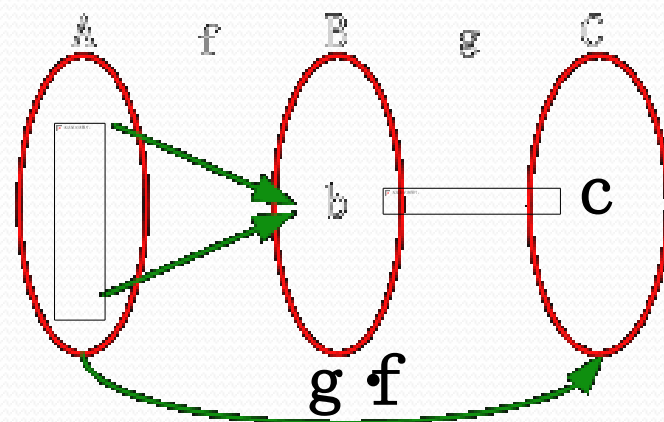
令  $f(a_i) = f(a_j) = b$ , 且令  $g(b) = c$ ,

则  $g \circ f(a_i) = g(f(a_i)) = g(b) = c$

$g \circ f(a_j) = g(f(a_j)) = g(b) = c$

$g \circ f(a_i) = g \circ f(a_j)$

这与  $g \circ f$  是单射相矛盾。



## Questions

- 思考：如果 $f$ 不是单射，那么 $g \circ f$ 如何？
  - 如果 $g$ 不是满射，那么 $g \circ f$ 如何？

# Inversion逆 (原像)

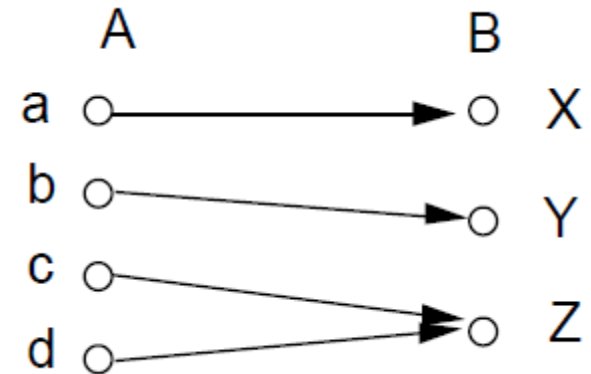
- **Definition:** Let  $S$  be a subset of  $B$ . Then
- $f^{-1}(S) = \{x \mid f(x) \in S\}$
- Note:  $f$  doesn't have to be a bi-jection for this definition to hold.

Example:

Let  $f$  be the following function:

$$f^{-1}(\{Z\}) = \{c, d\}$$

$$f^{-1}(\{X, Y\}) = \{a, b\}$$



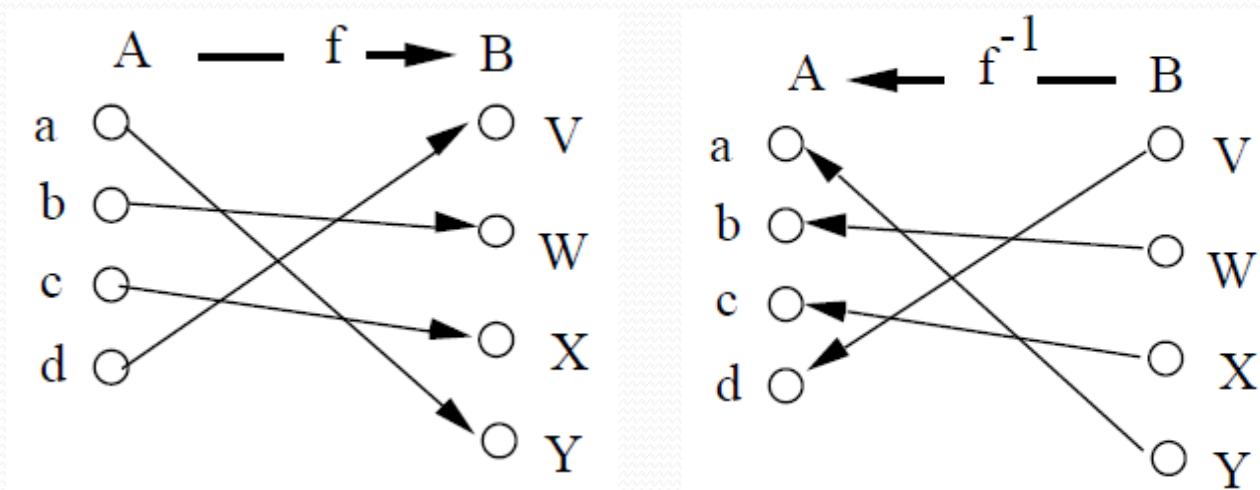
# Inverse Function 逆函数(反函数)

- **Definition:** Let  $f$  be a bijection from  $A$  to  $B$ . Then the *inverse* of  $f$ , denoted  $f^{-1}$ , is the function from  $B$  to  $A$  defined as

$$f^{-1}(y) = x \text{ iff } f(x) = y$$

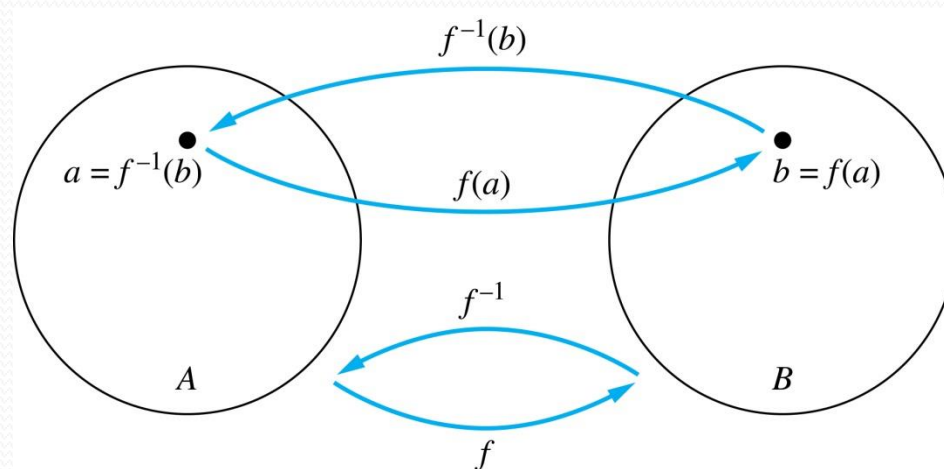
Note: no inverse function unless  $f$  is bijective!

Example:



思考：为什么定义逆函数时，需要有一个前提“双射”？

# Inverse Functions 逆函数



## 回忆反三角函数

- Think about why the inverse  $\arcsin(x)$  of  $\sin(x)$  defines on  $[-1, 1]$  to  $[-\pi/2, \pi/2]$ ? Why?



# 逆函数的意义

- 可逆的意义，逆函数的应用...
- 当我们用一个双射（或者一个变换），将一个方面的问题转到另一方面解决后，再通过逆函数（逆过程），回到原来的问题，得到所要的答案或解。
- 例如通讯领域的时域转频域等；线性代数中的线性变换求标准型，通过标准型求解一些问题，再用逆变换，得到原来的解，等等...

# A Couple of Key Functions

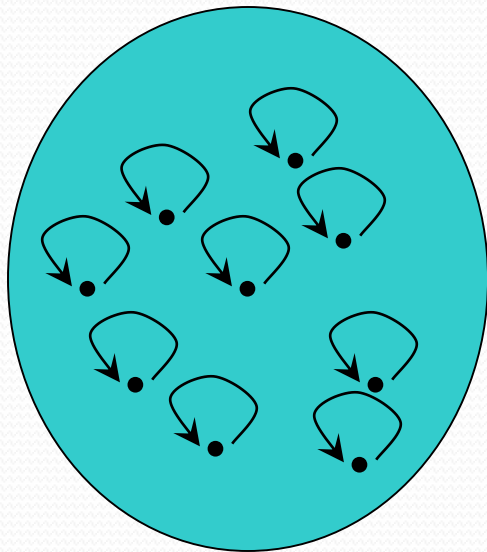
## 几个常用的函数介绍（这个部分自己看）

The Identity Function (恒等函数) :

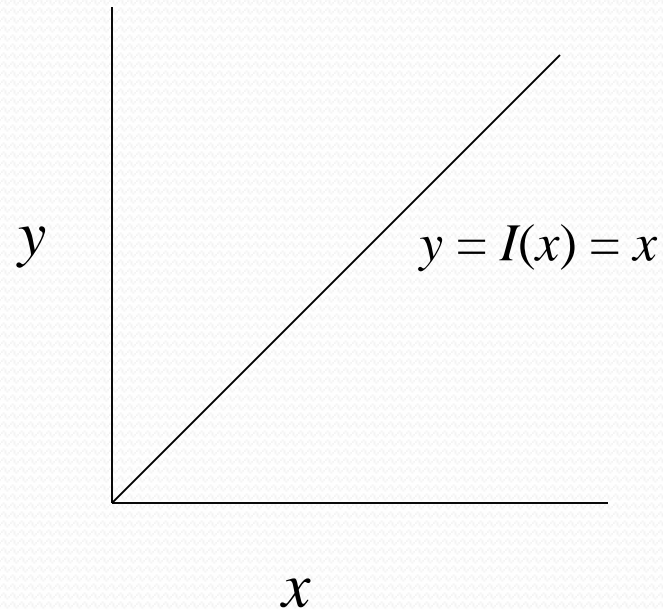
- For any domain  $A$  (任意定义域) , the *identity function*  $I:A\rightarrow A$  (variously written,  $I_A$ ,  $\mathbf{1}_A$ ) is the unique function such that  $\forall a \in A: I(a)=a$ .
- Note: the identity function is always both one-to-one and onto (bijective).

# Identity Function Illustrations

- The identity function:



Domain and range



# A Couple of Key Functions

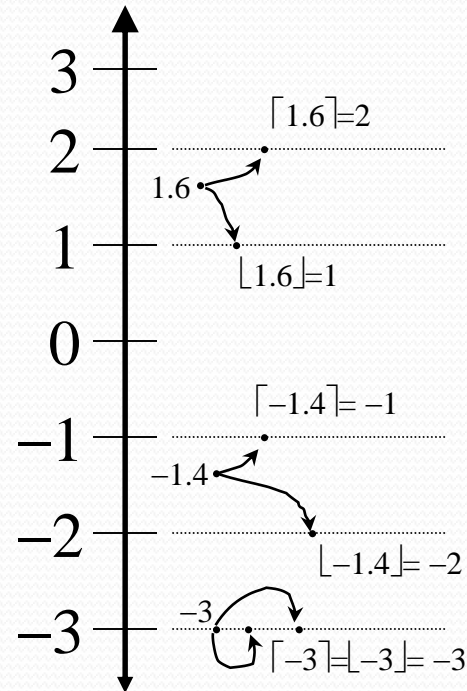
## 几个常用的函数

- In discrete math, we will frequently use the following two functions over real numbers:
  - The ***floor function***  $\lfloor \cdot \rfloor : \mathbf{R} \rightarrow \mathbf{Z}$ , where  $\lfloor x \rfloor$  (“floor of  $x$ ”) means the largest (most positive) integer  $\leq x$ . I.e.,  $\lfloor x \rfloor \equiv \max(\{i \in \mathbf{Z} \mid i \leq x\})$ . 底函数
  - The ***ceiling function***  $\lceil \cdot \rceil : \mathbf{R} \rightarrow \mathbf{Z}$ , where  $\lceil x \rceil$  (“ceiling of  $x$ ”) means the smallest (most negative) integer  $\geq x$ .  $\lceil x \rceil \equiv \min(\{i \in \mathbf{Z} \mid i \geq x\})$  顶函数

# Visualizing Floor & Ceiling

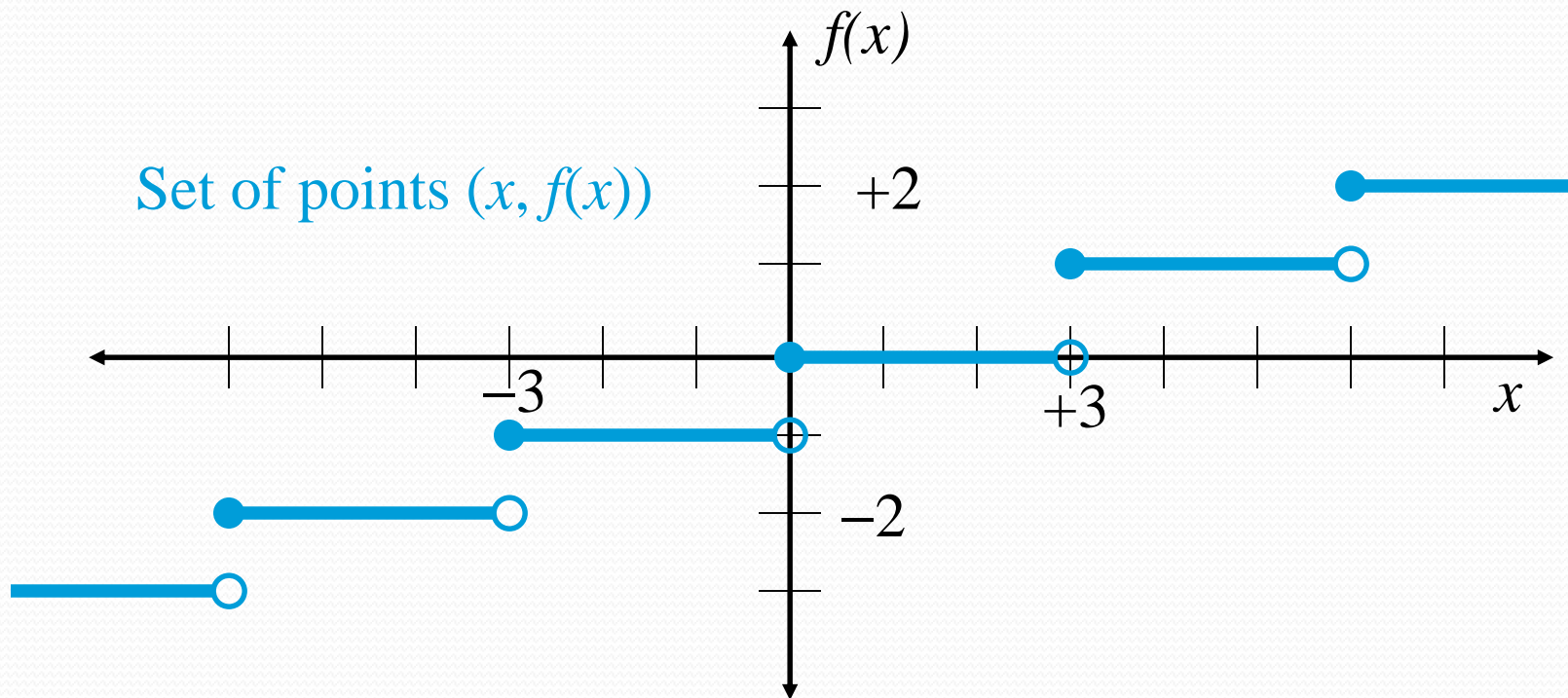
## 顶函数和底函数的可视化

- Real numbers “fall to their floor” or “rise to their ceiling.”
- Note that if  $x \notin \mathbf{Z}$ ,  
 $\lfloor -x \rfloor \neq -\lfloor x \rfloor$  &  
 $\lceil -x \rceil \neq -\lceil x \rceil$
- Note that if  $x \in \mathbf{Z}$ ,  
 $\lfloor x \rfloor = \lceil x \rceil = x$ .



## Plots with floor/ceiling: Example

- Plot of graph of function  $f(x) = \lfloor x/3 \rfloor$ :



## Partial Function 部分函数

- **Definition:** a *partial function*  $f$  from a set  $A$  to a set  $B$  is an assignment to each element  $a$  in a subset of  $A$ , called the *domain of definition* of  $f$ , of a unique element  $b$  in  $B$ .
- Remark: the sets  $A$  and  $B$  are called the *domain* and *codomain* of  $f$ , respectively. We say that  $f$  is *undefined* for elements in  $A$  that are not in the domain of definition of  $f$ .
- **全函数**（普通意义上的函数）： When the domain of definition of  $f$  equals  $A$ , we say that  $f$  is a ***total function***.
- *Example:*  $F(x) = 1/x$  on real number set  $R$ .

## 课外思考题 (曾经的考题)

- 1. 已知 $A=\{0, 1, 2, 3, 4, \dots, 100\}$ ,  $B$ 是参加离散数学考试的90个同学.  $f$ 是 $A$ 到 $P(B)$  ( $B$ 的幂集)的函数, 其中 $\forall k \in A, f(k) = \{x | x \text{ 的离散数学成绩为 } k, x \in B\}$ . 问:  $f$ 是否是单射? 为什么
- 2. 假设 $f$ 是 $A \rightarrow B$ 的函数,  $A, B$ 都是非空集合。  
 $\forall b \in B, f^{-1}(b) = \{x | f(x)=b, x \in A\}$ 说明 $f^{-1}$ 是 $B$ 到 $P(A)$ 的函数  
 $f^{-1}$ 是否是单射, 满射和双射? 为什么?  
如果 $f$ 是满射, 所有集合 $\{f^{-1}(b) | b \in B\}$ 是否构成 $A$ 的一个分划?



# 作业

- 2.3节

10. Determine whether each of these functions from  $\{a, b, c, d\}$  to itself is one-to-one.

a)  $f(a) = b, f(b) = a, f(c) = c, f(d) = d$

b)  $f(a) = b, f(b) = b, f(c) = d, f(d) = c$

c)  $f(a) = d, f(b) = b, f(c) = c, f(d) = d$

11. Which functions in Exercise 10 are onto?

12. Determine whether each of these functions from  $\mathbf{Z}$  to  $\mathbf{Z}$  is one-to-one.

a)  $f(n) = n - 1$

b)  $f(n) = n^2 + 1$

c)  $f(n) = n^3$

d)  $f(n) = \lceil n/2 \rceil$

13. Which functions in Exercise 12 are onto?

- T27, T35, T37, T45 (a)