

COMP 1201 Algorithmics

Greedy Strategy

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Introduction

- Greedy algorithms are algorithms that make **locally** optimal choices at each step.
- The hope is that such choices will lead to a **globally** optimal solution.
- That is not always the case but when it is, greedy algorithms are very efficient.
- We have already seen some examples of greedy algorithms: Dijkstra's, Prim's, Kruskal's.
- We will look at some more examples of greedy algorithms.

Job Scheduling

- Assume we have n jobs, each with weight w_i and length l_i , $1 \leq i \leq n$.
- The jobs share some resource (i.e. CPU) so they must be run sequentially.
- If we run the jobs in the order $1, 2, 3, \dots$ then job i has completion time $c_i = \sum_{k=1}^i l_k$.
- our goal is to minimize the quantity $f = \sum_{k=1}^n w_k \cdot c_k$
- In particular, we would like to have a greedy algorithm that minimizes f .
- To do that we start by looking at special cases

Special case 1

- In this special case we assume that all jobs have the same weight then

$$\begin{aligned} f &= w(l_1 + (l_1 + l_2) + (l_1 + l_2 + l_3) + \dots + (l_1 + \dots + l_n)) \\ &= w(n \cdot l_1 + (n-1) \cdot l_2 + (n-2) \cdot l_3 + \dots + 1 \cdot l_n) \end{aligned}$$

- Clearly f will be minimized if we choose $l_1 \leq l_2 \leq \dots \leq l_n$
- This situation occurs often enough to warrant its own name:
- Shortest job (task) first.

Special case 2

- The second special case is when all jobs have the same length l then

$$f = l(w_1 + 2 \cdot w_2 + 3 \cdot w_3 + \dots n \cdot w_n)$$

- Clearly, f will be minimized if $w_1 \geq w_2 \geq w_3 \geq \dots \geq w_n$
- it is clear that f decreases by choosing the **largest** w or the **smallest** l first.
- Can we infer the general case from these two special cases?

The general case

- It can be shown that choosing, among the remaining tasks, the one with **largest** ratio w_i/l_i first, leads to the optimal solution.

input: An array A of n pairs (w_i, l_i)

for $i \leftarrow 1$ **to** n **do**

$B[i] \leftarrow A(w_i/l_i, i);$

$B \leftarrow \text{sort}(B);$

foreach $(w/l, k) \in B$ **do**

$\text{run}(k);$

- The algorithm runs in $O(n \log n)$ time.

Interval Scheduling

- Consider a set of n intervals (s, e) where s and e are the starting and ending time respectively.
- We would like to choose a non-overlapping subset of those intervals such that the total number of selected intervals is maximum.
- For example, consider the intervals $\{(1, 5), (2, 7), (5, 8)\}$. The largest subset of non-overlapping intervals is $\{(1, 5), (5, 8)\}$.

We are looking for a greedy solution to this optimization problem.
What property of the intervals should the greedy method select?

There are many options:

- 1 shortest interval first
- 2 The interval with the smallest starting time
- 3 The interval with the smallest number of overlaps
- 4 etc...

Shortest interval first



Figure: Shortest interval counterexample

Earliest starting time first



Figure: counterexample for earliest starting time first

Smallest overlap first



Figure: Smallest overlap first

Greedy Solution

The greedy solution consists of choose the next compatible interval with the smallest finishing time. We build a min-heap based on finishing times. Let I be the set of intervals and T the desired solution

```
Q ← I
T ← ∅
last ← -1
while Q ≠ ∅ do
    (s, f) ← Delete-Min(Q)
    if s ≥ last then
        T ← T ∪ {(s, f)}
        last ← f
```

Fractional Knapsack

- Given n items having value v_1, \dots, v_n and weights w_1, \dots, w_n and a knapsack of size W
- We need to maximize

$$\sum_{i=1}^n x_i \cdot v_i$$

- Subject to the condition

$$\sum_{i=1}^n x_i \cdot w_i \leq W$$

- Where $0 \leq x_i \leq 1$ is a fraction of item i that is used.

Greedy Solution

- A greedy solution is obtained by adding repeatedly items with biggest ration v/w until the next item does not "fit" in knapsack so we add a fraction of it.

Symbol encoding

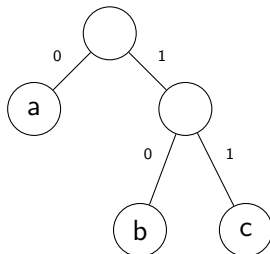
- Suppose we have an alphabet $S = \{s_1, \dots, s_k\}$ of size k and we want to encode a message M consisting of n symbols from S .
- A trivial encoding is to use $n \cdot \lceil \log_2 k \rceil$ bits to encode the message.
- For example, $S = \{a, b, c\}$ and $M = abaabc$ then we can assign $a = 000, b = 001$ and $c = 010$ and thus
- $M = 000\ 001\ 000\ 000\ 001\ 010$ (space added for clarity)
- For a total of 18 bits.

Can we do better?

- Is it possible to encode the message using less than 18 bits?
- The answer is yes. We can use a variable length encoding.
- The trick is to use shorter codes for the most frequent symbols and longer codes for the least frequent symbols.
- But then we lose the "boundary" between symbols and creates ambiguity.
- Solution is to use **prefix** codes.
- This means there is no code that is a prefix of another code.
- For example if $a = 0$ and $b = 01$ then a is a prefix of b and this is not allowed.

Example prefix code

- Consider the previous example $S = \{a, b, c\}$ and $M = abaabc$ with $a = 0$, $b = 10$, $c = 11$. $M = 0\ 10\ 0\ 0\ 10\ 11$ for a total of 9 bits instead of 18.
- Note that prefix code can be represented by a binary tree.



Huffman Coding

- Formally, given an alphabet $S = \{s_1, \dots, s_k\}$ with frequencies f_1, \dots, f_k we want to find a prefix code such that the average number of bits per symbol is minimized.
- Using the prefix tree representation, the average number of bits is given by

$$A = \sum_{i=1}^k f_i \cdot d(s_i)$$

where $d(s_i)$ is the depth of the leaf corresponding to s_i .

- Huffman coding is a greedy algorithm that constructs the prefix tree "bottom up".

- First a prefix code is equivalent to a binary tree so once we build the optimal binary tree then we "read off" the encoding.
- The basic idea of HC is to build the optimal tree recursively in a greedy manner
 - ① The optimal tree T for k symbols is obtained by constructing the optimal tree T' for $k - 1$ symbols where T' is the same as T except replacing the two nodes with the smallest frequencies in T , x and y by a single node having the sum of the frequencies: $f_w = f_x + f_y$

$$T' = T - \{x, y\} \cup \{w\}$$

The optimal tree has the following properties:

- It is full. Suppose that y is a single child of node w . By replacing w by y we obtain a "better" tree
- For any two leaves x, y if $f_x > f_y$ then $d_x < d_y$. This can be shown by an exchange argument.

Note that there could be many optimal trees. Let x, y be the symbols with the least frequencies then there exists an optimal tree in which x, y are siblings.

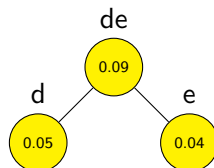
Example

$(0.5, 'a')$, $(0.3, 'b')$, $(0.11, 'c')$, $(0.05, 'd')$, $(0.04, 'e')$



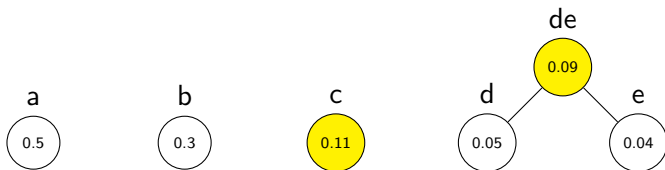
Example

$(0.5, 'a')$, $(0.3, 'b')$, $(0.11, 'c')$, $(0.09, 'de')$



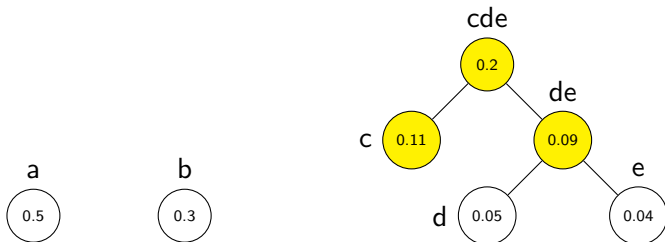
Example

$(0.5, 'a')$, $(0.3, 'b')$, $(0.11, 'c')$, $(0.09, 'de')$



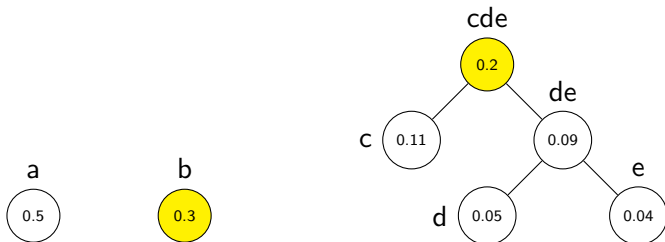
Example

$(0.5, 'a')$, $(0.3, 'b')$, $(0.2, 'cde')$



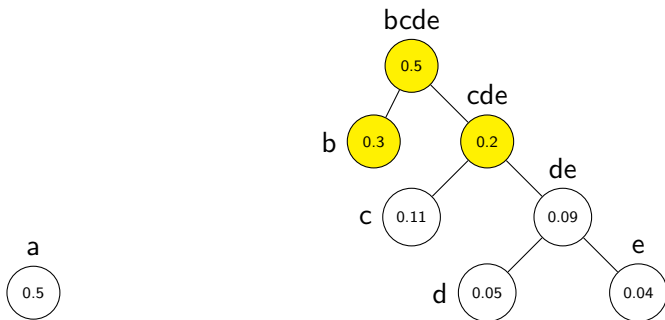
Example

$(0.5, 'a')$, $(0.3, 'b')$, $(0.2, 'cde')$



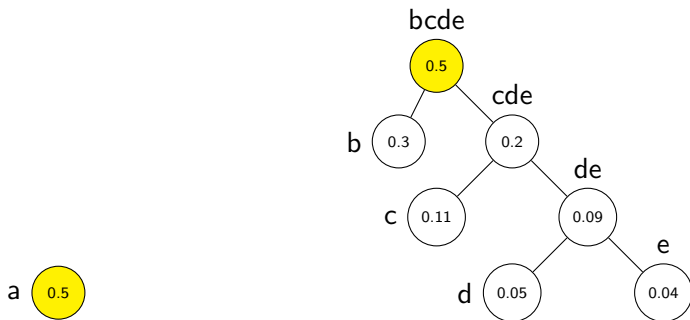
Example

$(0.5, 'a')$, $(0.5, 'bcde')$



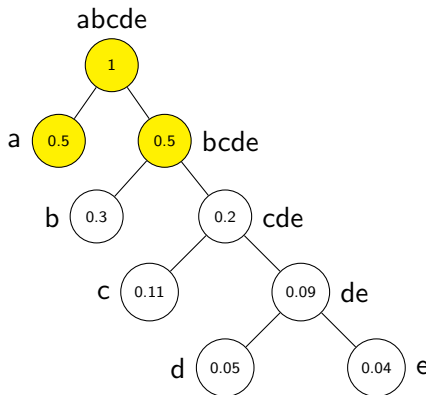
Example

$(0.5, 'a')$, $(0.5, 'bcde')$



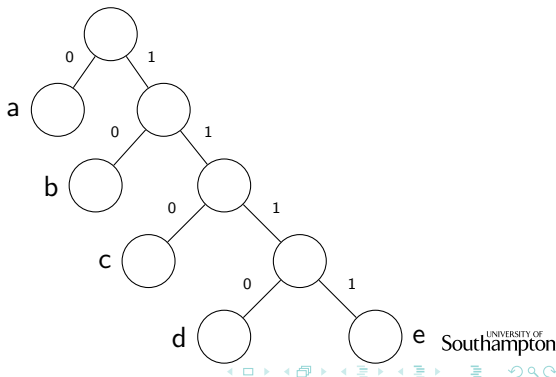
Example

(1, 'abcde')



Example

a	0
b	10
c	110
d	1110
e	1111



Huffman coding: Python Code

```
import queue
class Node(object):
    def __init__(self, left=None, right=None):
        self.left = left
        self.right = right
    def children(self):
        return((self.left, self.right))
freq = [
    (25, 'a'), (24, 'b'), (28, 'c'), (18, 'd'), (5, 'e')]

def create_tree(frequencies):
    p = queue.PriorityQueue()
    for value in frequencies:
        p.put(value)
    while p.qsize() > 1:
        l, r = p.get(), p.get()
        node = Node(l, r)
```

```
# Recursively walk the tree down to the leaves ,  
#   assigning a code value to each symbol
```

```
def walk_tree(node, prefix=""):  
  
    if isinstance(node[1], Node):  
        l, r = node[1].children()  
        walk_tree(l, prefix+"1")  
        walk_tree(r, prefix+"0")  
    else:  
        code[node[1]] = prefix
```

```
node = create_tree(freq)  
code = {}  
walk_tree(node)
```

$$\begin{aligned}
 A(T) &= \sum_{u \in S} f_u \cdot \text{depth}_T(u) \\
 &= \sum_{u \neq x, y} f_u \cdot \text{depth}_T(u) + f_x \cdot \text{depth}_T(x) + f_y \cdot \text{depth}_T(y) \\
 &= \sum_{u \neq x, y} f_u \cdot \text{depth}_T(u) + (f_x + f_y) \cdot (\text{depth}_{T'}(w) + 1) \\
 &= \sum_{u \neq x, y} f_u \cdot \text{depth}_T(u) + (f_w) \cdot (\text{depth}_{T'}(w) + 1) \\
 &= \sum_{u \in S'} f_u \cdot \text{depth}_{T'}(u) + f_w \\
 &= A(T') + f_w
 \end{aligned}$$