

Phase Jump Detector

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¹ 1 Introduction

2 The differential interferometric synthetic aperture radar (DInSAR) technique utilizes the phase
3 difference between two SAR scenes acquired at different times. The differential phase (known
4 as an interferogram) provides valuable information about the surface, enabling the detection
5 and measurement of ground motion. Accurately retrieving the magnitude of the movement
6 depends on several steps throughout the DInSAR processing chain. This description focuses
7 on scene alignment, the first and crucial step.

8 The purpose of scene alignment is to match common objects in an image pair while ensuring that
9 these objects are correctly positioned on the Earth's surface. Typically, alignment is performed
10 throughout coregistration and using precise knowledge of orbital parameters, together with
11 topographic information (Sansosti et al., 2006). However, the accuracy requirements for this
12 procedure vary depending on the SAR data acquisition mode, and finer alignment methods
13 may be needed. Thus, the accuracy demands for the Terrain Observation with Progressive
14 Scans (TOPS) mode are significantly higher, compared to the Stripmap mode (e.g., Prats-
15 Iraola et al., 2012; Yagüe-Martínez et al., 2016). The free data with global coverage provided
16 by the Sentinel-1 SAR mission, is mostly acquired in Interferometric Wide-Swath (TOPS) mode
17 (Torres et al., 2012).

18 In TOPS mode, the swath coverage is divided into subbands, which are captured in bursts
19 (De Zan and Monti Guarnieri, 2006). To generate a continuous DInSAR product, TOPS

20 bursts must be accurately matched and aligned in both the azimuth (along-track) and range
 21 (across-track) directions. Azimuth alignment must be better than 0.001 size of an azimuth
 22 cell size (Prats-Iraola et al., 2012; Wang et al., 2017; Fattahi et al., 2017). This accuracy
 23 requirement is significantly stricter than that for the range direction (i.e., 0.1 pixel of a range
 24 cell; Yagüe-Martínez et al., 2016).

25 Poor azimuth alignment introduces a ramp (i.e., a phase bias) within the TOPS burst inter-
 26 ferogram, as well as a linear ramp along the along-track direction over the entire interferogram
 27 (De Zan and Monti Guarnieri, 2006). Since these ramps are cyclic among consecutive bursts
 28 (i.e., they reset at each burst boundary), they are manifested as phase jumps along the over-
 29 lapping areas of consecutive bursts (cf. Figure1) (Fattahi et al., 2017).

A. Effects of Phase Jumps on Filtered and Unwrapped Interferograms

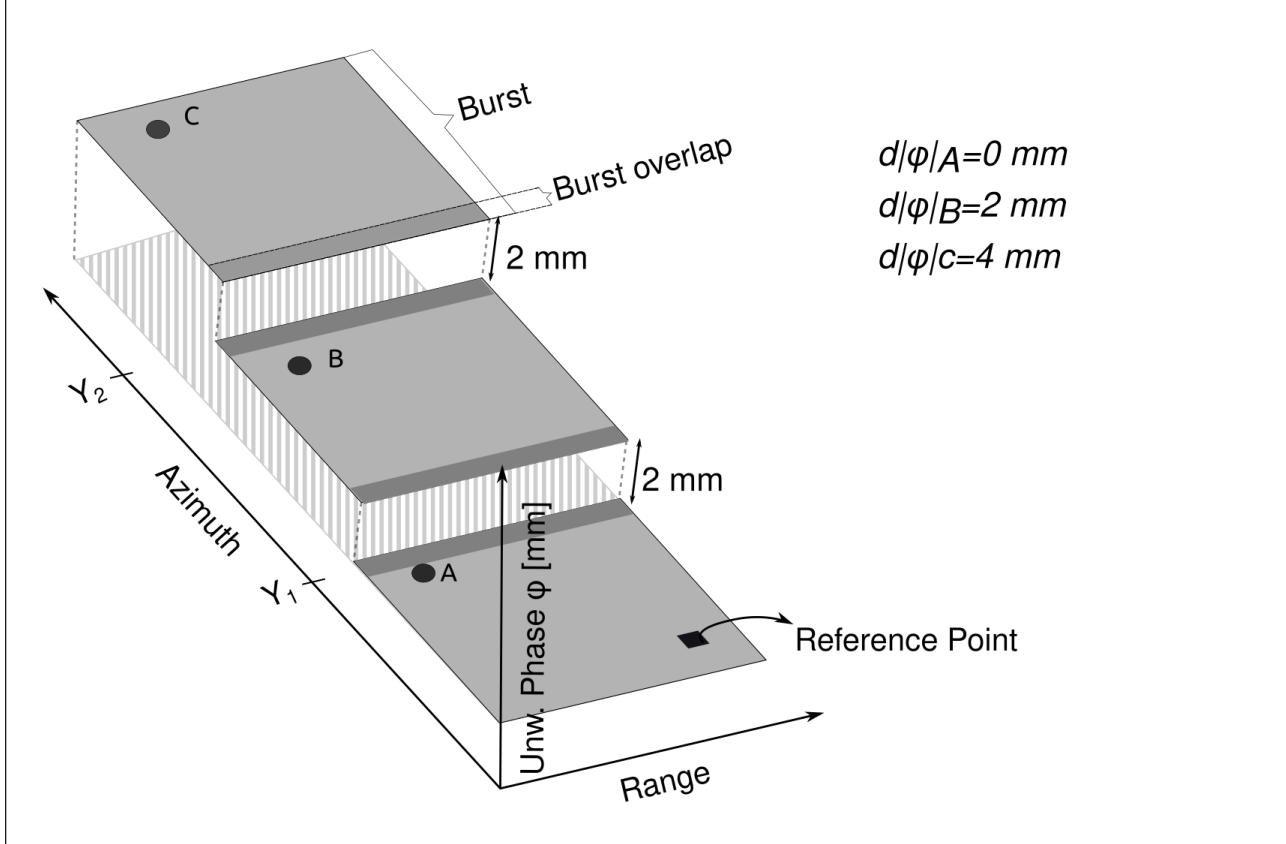


Figure 1: Conceptual drawing of the effects of phase jumps on filtered and unwrapped interferograms.

30 The azimuth misalignment is minimized through specific processing methods, such as Enhanced
 31 Spectral Diversity (ESD) (Prats-Iraola et al., 2012) and its variants (e.g., Fattahi et al., 2017;
 32 Wang et al., 2017). However, azimuth alignment may still be suboptimal, leading to small-

33 magnitude phase jumps that remain noticeable at burst boundaries. Subsequent steps, such as
34 multilooking and filtering of the wrapped phase, may obscure these jumps, but they will still
35 be visible in the unwrapped phase.

36 Identifying and quantifying phase jumps is a time-consuming process, particularly in datasets
37 containing thousands of interferograms. Furthermore, manual inspection does not allow mea-
38 surement of the magnitude of these jumps. To address this, we present an algorithmic approach
39 that helps users to 1) detect phase jumps at burst boundaries; 2) measure their magnitude; 3)
40 examine outliers; and 4) identify pairs or dates for exclusion from interferometric analysis. In
41 the following, we discuss its implementation.

42 2 Detection of Phase Jump at Burst Boundary

43 Building on the ideas of Zhong et al. (2014) and Wang et al. (2017), we developed an algo-
44 rithm to detect systematic phase jumps in unwrapped interferograms and quantify them using
45 statistical techniques. We focus on the residual effects of phase jumps at burst boundaries,
46 which are measured exclusively in the unwrapped phase. These phase jumps, when observed
47 in the wrapped phase and in the absence of filtering or multilooking, resemble a Heaviside step
48 function near the burst overlap. However, since filtering or multilooking is often applied before
49 phase unwrapping, these phase jumps in unwrapped interferograms are more likely to resemble
50 a sigmoid function.

51 The detection of phase jumps at burst boundaries is based on five key principles:

52 1. **Parsimony principle:** In a well-behaved unwrapped phase signal, the difference between
53 adjacent cells is expected to be small. In other words, the values of adjacent cells should
54 follow a smooth variation in the absence of significant deformation gradients. Phase jumps
55 fail to follow this principle, which constitutes the key point of the phase jump detection.

56 2. **Systematic phase jumps:** for a given pair, a phase jump in the burst overlap area is
57 systematic. This means that all cells along a specific azimuth coordinate (corresponding
58 to the burst overlap) exhibit a phase shift. One can think of this phase jump as an abrupt
59 disruption in phase continuity along azimuth.

60 3. **Consistency across interferograms:** phase jumps consistently appear at the same
61 coordinates across multiple interferograms. This is based on the fact that interferometry
62 with TOPS mode requires perfectly synchronized bursts (De Zan and Monti Guarnieri,
63 2006). Although this principle applies to Sentinel-1 (Torres et al., 2012) and TerraSAR-X
64 (Prats-Iraola et al., 2012) missions, it may not hold for non-synchronous TOPS acquisi-
65 tions such as the SAOCOM mission (e.g., Euillades et al., 2024).

66 4. **Significance of phase jumps:** If significant enough, phase jumps at burst boundaries
67 can produce residuals that remain detectable in the unwrapped phase. This is true even af-
68 ter the multilooking and filtering steps have been applied to the wrapped phase. However,
69 the detectability and prominence of phase jumps may vary depending on how consecu-
70 tive TOPS-interferogram bursts are stitched together (e.g., averaging across overlapping
71 areas, pasting the first burst onto the following one, etc.).

72 5. **Prevalence of phase jumps at burst boundaries in stacks of data:** Prevalence
73 implies that most of the data set have a certain percentage of pairs with notorious or
74 significant enough phase jumps at burst boundary coordinates.

75 To address the problem of detecting phase jumps at burst overlap, we divide it into two subprob-
76 lems. Firstly, we identify the coordinates where interferogram bursts were merged. Secondly,
77 we measure their magnitude, aiming to detect pairs with large phase jumps.

78 3 Identifying Burst Boundary Coordinates

79 3.1 Data Selection and Preparation

80 We perform a first-order selection of the pairs that can be evaluated. Pairs with extremely low
81 coherence (we define this as a median coherence below 0.4) cannot be effectively assessed, as
82 they lack sufficiently large areas to detect phase jumps.

83 The remaining pairs are masked based on their corresponding coherence. Cells with a coherence
84 value lower than a predefined value (typically 0.75) are masked out throughout the entire
85 assessment. The masking procedure aims to remove local phase jumps caused by processes

86 other than azimuth misalignment (e.g., speckle noise, deformation).
 87 Let us consider a data set of R pairs of interferograms with dimensions $(Y \times X)$ in radar
 88 coordinates, where Y is the total number of rows (azimuth direction) and X is the total number
 89 of columns (range direction). For a given pair r , the unwrapped phase (ϕ) at a cell location
 90 (i,j) is considered reliable if the coherence ($\gamma_{r,i,j}$) meets the following condition (Eq. (1)):

$$\phi_{r,i,j} = \begin{cases} \phi_{r,i,j}, & \text{if } \gamma_{r,i,j} > 0.75 \\ \text{No Data,} & \text{otherwise} \end{cases} \quad (1)$$

91 Note that i is a coordinate along the azimuth direction ($i \in Y$) and j along the range direction
 92 ($j \in X$).

93 3.2 Quantifying Phase Gradient

94 We calculate the absolute gradient of the unwrapped phase ($|\partial\phi/\partial Y|$) along the azimuth di-
 95 rection Y (hereafter referred to as phase gradient). The phase gradient is derived as follows:

$$\left| \frac{\partial\phi_{(r,i,j)}}{\partial Y} \right| = \begin{cases} |\phi_{(r,i,j)} - \phi_{(r,i+1,j)}|, & \text{if } i < Y \\ 0, & \text{if } i = Y \end{cases} \quad (2)$$

96 Note that when the row i equals the total number of rows Y , the phase gradient equals zero.
 97 In this way, the phase gradient maintains the same number of rows and columns $(Y \times X)$ as
 98 the unwrapped phase.

99 3.3 Classifying the Phase Gradient

100 To systematically distinguish cells with significant phase gradients, we classify the phase gra-
 101 dient. The classified gradient ($clas_{r,i,j}^{grad}$), is defined by the following rules:

$$clas_{r,i,j}^{grad} = \begin{cases} \text{No Data,} & \text{if } \left| \frac{\partial \phi_{(r,i,j)}}{\partial Y} \right| = \text{No Data} \\ 1, & \text{if } \left| \frac{\partial \phi_{(r,i,j)}}{\partial Y} \right| > M_r \\ 0, & \text{otherwise} \end{cases} \quad (3)$$

102 where M_r is the median phase gradient per pair.

103 Note that in Eq. (3), cells identified with 1 have a significant phase gradient, while cells with 0
 104 do not. According to Eq. (3), the gradient is considered significant if its value is greater than
 105 the median phase gradient of the pair.

106 3.4 Summarizing the Classified Gradient on a Row-Wise Basis

107 From the classified phase gradient, we derive a new property to identify phase jumps on a row-
 108 wise basis. The phase-gradient intensity is the proportion of cells along a row with significant
 109 phase gradient (i.e., cells marked with 1 in Eq. (3)). We only consider unmasked cells. We
 110 refer to the phase-gradient intensity as intensity, and it is expressed as:

$$intensity_{r,i}^* = \frac{\sum_{j=1}^{j=X} clas_{r,i,j}^{grad}}{C_{r,i}} \times 100 \quad (4)$$

111 With $intensity_{r,i}$ the intensity and $C_{r,i}$ the number of unmasked cells per row.

112 Note that, for a given pair, $intensity_{r,i}$ and $C_{r,i}$ variables have dimension (Y), which means
 113 that the X dimension has been compressed.

114 Eq. (4) provides an indicator of the systematic nature of the phase jump. The closer the
 115 value is to 100%, the greater the likelihood that the row corresponds to a phase jump at burst
 116 overlap. Conversely, local phase jumps will have lower intensity values. Although the intensity
 117 allows comparing different rows regardless of the number of unmasked cells, it also assigns the
 118 same relevance to rows with an extremely low cells. This issue is addressed in the next section.

119 3.5 Detecting the Coordinates of Burst Overlap

120 We rely on the intensity to detect the overlap of the bursts and their coordinates. It should
 121 be noted that we detect these coordinates rather than deduce them from the regularity of the
 122 burst.

123 Before detecting the coordinates, it is necessary to preprocess the intensity data. Preprocessing
 124 includes applying row-reliability masking followed by data detrending.

125 The reliability of a row is determined by its number of unmasked cells ($C_{r,i}$). In most cases, this
 126 number is smaller than the total number of cells per row (i.e., $C_{r,i} < X$). However, the fewer
 127 cells a row contains, the less reliable it is. To set an appropriate threshold to define reliable
 128 rows, we apply a dynamic selection approach.

129 Dynamic selection compares the percentile of the distribution of unmasked cells (C), with the
 130 percentage p_k of the total cells per row X . Both, percentile and percentage, are calculated for
 131 a predefined value k (typically k equals 25). At the end, the threshold t is set to be equal to
 132 the largest value ($t = P_k$ if $P_k > p_k$, otherwise $t = p_k$).

133 Once the threshold of row reliability is established, we mask the intensity as follows:

$$intensity_{r,i} = \begin{cases} \text{No Data,} & \text{if } C_{r,i} < t \\ intensity_{r,i}, & \text{otherwise} \end{cases} \quad (5)$$

134 In a posterior stage, the masked intensity is detrended. The trend arises from decreasing the
 135 coherence along the azimuth direction, which results in an increase of the intensity. We remove
 136 this trend by dividing each row by its median intensity among pairs.

137 We obtain the gradient along the Y dimension from the detrended intensity. This gradient
 138 allows us to obtain the coordinates of peaks in the intensity. The gradient is computed as Eq.
 139 (6).

$$\frac{\partial intensity_{r,i}}{\partial Y} = \begin{cases} intensity_{r,i}^d - intensity_{r,i+1}^d, & \text{if } i < Y \\ 0, & \text{if } i = Y \end{cases} \quad (6)$$

140 where $\text{intensity}^d r, i$ is the detrended intensity, and $\frac{\partial \text{intensity}_{r,i}}{\partial Y}$ represents its gradient along the
 141 Y dimension. To avoid confusion with the gradient defined in Eq. (2), we will refer to it as the
 142 gradient of intensity.

143 We find the i -coordinates of peaks in the gradient of intensity. A peak is detected if the
 144 gradient $\frac{\partial \text{intensity}_{r,i}}{\partial Y}$ is three times larger than the standard deviation, i.e., where $\frac{\partial \text{intensity}_{r,i}}{\partial Y} >$
 145 $3 \times \text{std}(\frac{\partial \text{intensity}_r}{\partial Y})$. This stage is known a *coarse phase-jump coordinates detection*.

146 We analyze the set of i -coordinates detected in the previous step. We denote this stage
 147 as *phase-jump coordinates refinement*. Ideally, the same coordinates should appear in all pairs
 148 if they align with the burst overlaps. However, this may not be the case if the masks fail to
 149 identify and remove unreliable rows.

150 To refine the coordinates, we separate them into b groups. The number of groups corresponds to
 151 the expected number of burst overlaps (i.e., $b = B - 1$). Each group is centered on a theoretical
 152 i -coordinate matching a burst overlap position. For each burst overlap number, the central
 153 coordinate is given by the size of the burst ($\sim (Y/B)$) multiplied by the burst overlap number.
 154 Thus, the central coordinate at a given burst overlap number n is:

$$i_n^c = \text{int}(Y/B) * n \quad (7)$$

155 Where i_n^c is the central coordinate, and int denotes integer division. The burst number n ranges
 156 from 1 to b .

157 We establish the limits of each group as half of the burst coordinates after and before its central
 158 coordinate (i.e., $i_n^c \pm (\text{int}(Y/B) * 0.5)$).

159 Within each group, we store the most frequent coordinate found. Ideally, this refinement
 160 ends with b coordinates. In practice, if the pairs have generally low coherence, the number of
 161 coordinates found may be less than b .

162 3.6 Estimating Ramp by Phase Jump

163 We measure the phase gradient (Eq. (2)) on a row-wise basis as the median phase gradient
 164 per row ($pj_{r,i}$). We examine these medians only at the i -coordinates identified as burst overlap

165 during the refinement stage.

166 To estimate the overall ramp introduced by the phase jumps at the burst overlap, pj_r , we
167 compute the average of the phase jumps measured and multiply it by the number of burst
168 overlaps. Thus:

$$pj_r \sim \frac{\sum pj_{r,i}}{n_f} \times b \quad (8)$$

169 With n_f is the number of measured phase jumps at burst overlap, and this number can be
170 less than the number of burst overlaps (i.e., $n_f < b$). Note that if both values are equal (i.e.,
171 $n_f = b$), the ramp of the phase jumps is the sum of the gradients per row at a given pair.

172 4 Detecting and Excluding Pairs

173 Finally, we detect dates or pairs that should be excluded from further processing. In this case,
174 we establish a threshold for the maximum ramp (Eq. (8)) that a user can reasonably accept
175 (typically around half a centimeter). In this way, we identify pairs that exhibit a phase-jump-
176 derived ramp exceeding the predefined threshold. All these pairs should be excluded from time
177 series processing.

178 5 Code Implementation

179 The algorithm is implemented in Python. It relies on the Miami InSAR Time-series software
180 in Python (MintPy) (Yunjun et al., 2019). In addition, we use the *xarray* open-source package
181 (Hoyer and Hamman, 2017) for labeling, organizing the data, and generating outputs. Other
182 essential tools include *NumPy* (Harris et al., 2020) and *Matplotlib* (Hunter, 2007).

183 We called the Python script *calculate_phasejumps_from_mintpystack.py* and it is available on
184 github https://github.com/UP-RS-ESP/PhaseJumps_InSAR.

185 **5.1 Input**

186 The program requires as input a stack of pairs in *HDF5* format, generated using MintPy. The
187 stack contains a wrapped phase, an unwrapped phase, coherence, and a connected component
188 mask for each pair.

189 The stacks must be provided in radar coordinates and should cover the full dimension along the
190 azimuth direction, meaning no subset should be applied along azimuth when loading the data
191 with MintPy. Otherwise, the phase-jump coordinate refinement stage will fail. Additionally, if
192 the stack results from the processing of two or three sub-swaths, an area of interest should be
193 specified. The current implementation is not optimized for analyzing interferograms derived
194 from the processing of multiple sub-swaths.

195 Input parameters to run the program are summarized in Table 1.

Table 1: Description of input parameters to run the program. Parameters indicated by **m** are mandatory, whereas parameters indicated with **o** are optional

Parameter	Description
<code>--inDir</code>	[m] Working directory containing <i>input/ifgramStack.h5</i> . Format: <i>string</i>
<code>--n-burst</code>	[m] Number of bursts processed to generate the interferograms. Format: <i>integer</i>
<code>--pct</code>	[o] Value <i>k</i> to define the row reliability masking threshold. Value in range 0.0-1.0. Format: <i>float</i> . Default: 0.25 (Eq. (5))
<code>--cmin</code>	[o] Minimum coherence of pixels to be assessed (in range 0 to 1). Format: <i>float</i> . Default: 0.75 (Eq. (1))
<code>--msk-avgCoh</code>	[o] Use an additional mask based on the average coherence of the stack. The option is automatically activated in case of poor coherence. Default: <i>Deactivated</i>
<code>--pj-thr</code>	[o] Maximum accumulated phase jump per pair in milliliter. Format: <i>float</i> . Default: 5

Parameter	Description (mandatory, optional)
<code>- -sub-x</code>	[o] Area of interest along the range direction ($x_0 \ x_1$) . Specify it in case of stacks generated after merging two or three subswaths. Format: <i>integer integer</i> .
<code>- -pair</code>	[o] Perform calculations only for a pair. Format: <i>YYYYMMDD_yyyymmdd</i>
<code>- -plot-ind</code>	[o] Plot every interferogram from the stack, altogether with the intensity mask. Default: <i>Deactivated</i>

¹⁹⁶ **5.2 Output**

Coherence-based Row Reliability

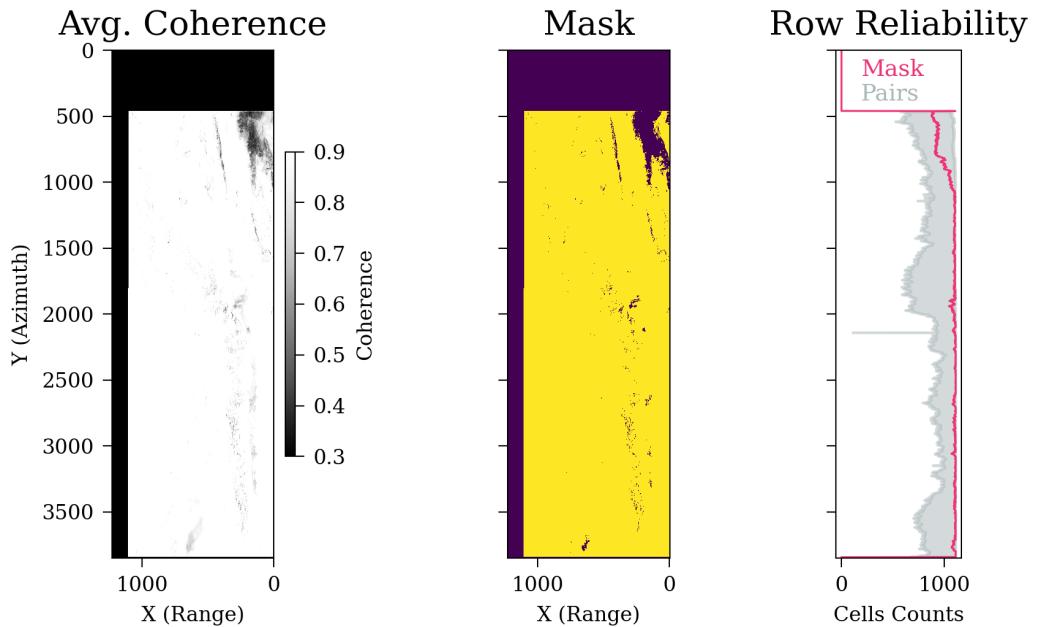


Figure 2: Example Output for the generation of the coherence mask.

¹⁹⁷ Output are saved in the working directory, within the subdirectory called *pj_evaluation*. Figures are stored in the subdirectory *pj_evaluation/figs*.

¹⁹⁹ Output arrays are saved in netCDF format. The files have dimensions (*pair* \times *Y*), with *pair* the pair name (*pair* = *R*) and *Y* the azimuth coordinate. Dimension *pair* contains the pair names stored in string format as *YYYYMMDD_yyyymmdd* (year, month, day). Dimension *Y* contains azimuth row label (in radar coordinates), with integer format.

Preprocessing of intensity

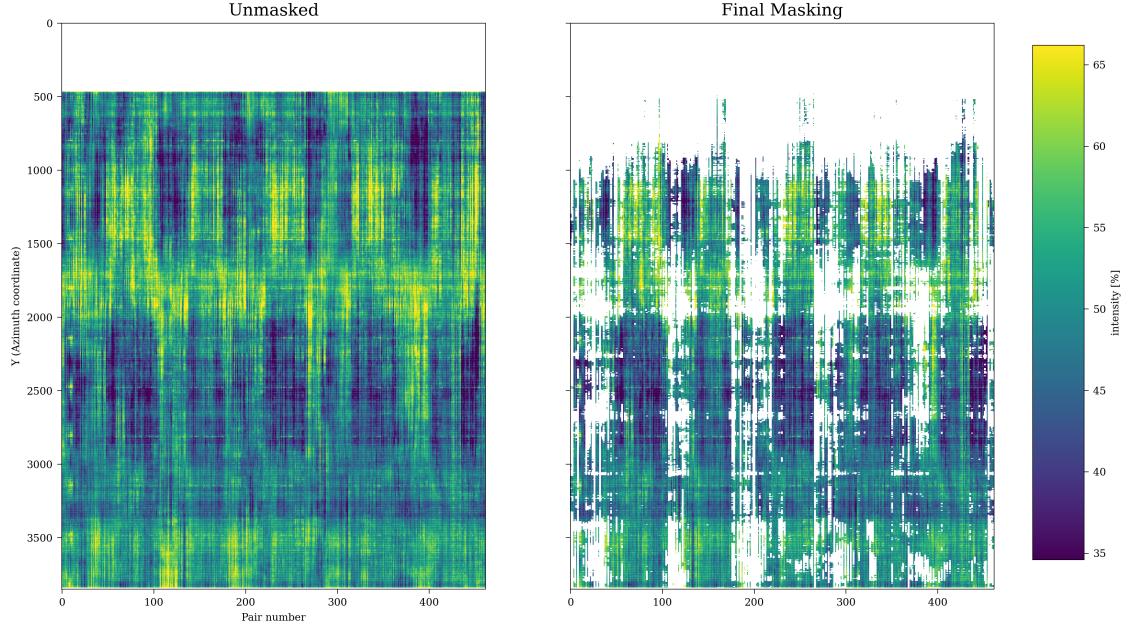


Figure 3: Example Output of the masked intensity

203 Output naming convention of arrays follows the rule "variable_unit" (Table 2). File name
 204 suffixes have the following meanings:

205 • *_pct*: percentage

206 • *_cts*: counts

207 • *_mm*: millimeter

208 In case a pair is selected (with *pair* parameter), then all output arrays end with the pair name
 209 in format YYYYMMDD_yyyymmdd.

Table 2: Description of nc output files generated by the algorithm. Output indicated with * are only generated in case of activating the corresponding function

File Name	Description
<i>intensity_pct.nc</i>	Intensity per row (Eq. (4)). Dimensions: (<i>pair</i> × <i>Y</i>). Format: <i>Int16</i> , No Data: -999

File Name	Description
<i>coherence_cts.nc</i>	Number of unmasked cells per row (i.e., number of pixels with coherence $> cmin$), after coherence masking on cell-wise basis (Eq. (1)). Dimensions: $(pair \times Y)$. Format file: <i>Int16</i> , No Data: -999
<i>median_az_grad_mm.nc</i>	Median absolute gradient per row (i.e. along azimuth direction) in millimeter unit. Dimensions: $(pair \times Y)$. Format: <i>float32</i>
<i>maskCoh_cts.nc</i>	[*] Number of unmasked cells per row (coherence $> cmin$), from the average coherence of the data set. Saved if the option <i>msk-avgCoh</i> is activated. Dimensions: $(pair \times Y)$. Format file: <i>Int16</i> , No Data: -999

210 Additional output files are provided in text format (*.txt*). They contain all relevant information
 211 for the evaluation, such as statistics as well as the results of the phase jump evaluation (Table
 212 3).

Table 3: Description of output text files generated by the algorithm

File Name	Description
<i>stats_absolute_gradient.txt</i>	File containing summarized statistics (average, median, standard deviation) of coherence and absolute phase gradient (Eq. (2)), as well as the temporal baseline per pair from the stack.
<i>magnitude_phase_jumps.txt</i>	File containing the overall magnitude of the phase jump, which is estimated with Eq. (8). Note that this magnitude is estimated only from pairs that are not excluded from the assessment (i.e., pairs with a median coherence > 0.4).

File Name	Description
<i>exclude_listdate12_interferogram s_by_phase_jump.txt</i>	File containing all pairs that should be excluded from the assessment. This file includes pairs that were excluded from the assessment (median coherence below 0.4). Pairs are presented in two ways: by pair name (format YYYYMMDD_yyyyymmdd) and by index that the pair has in the stack.
<i>exclude_dates_by_phase_jumps .txt</i>	File containing dates that should be excluded from the assessment if more than 50% of their pairs exhibit a significant phase jump

213 References

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Detection of Phase Jump at Burst Overlap

Detrend

Gradient

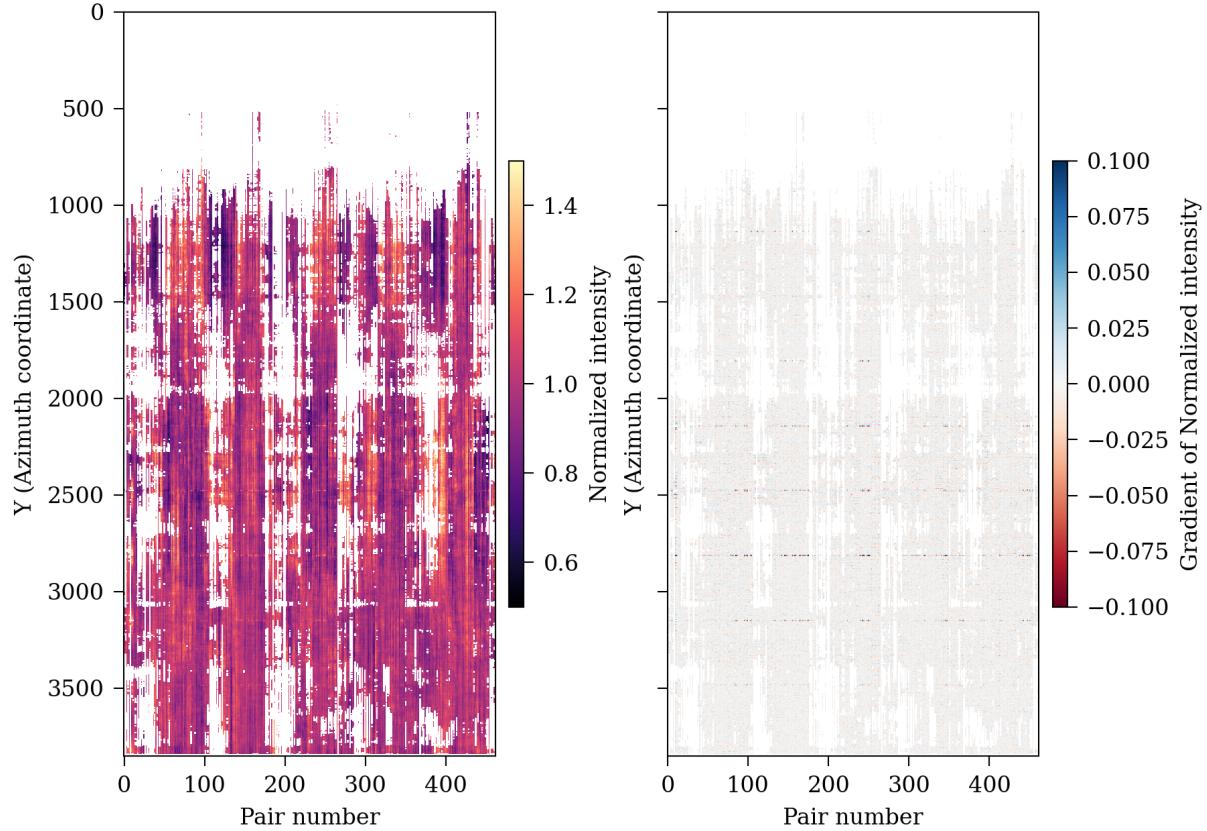


Figure 4: Example Output for the detrended intensity.

- 229 Hunter, J. D. (2007). Matplotlib: A 2d graphics environment. *Computing in Science & Engineering*, 9(3):90–95.
- 230
- 231 Prats-Iraola, P., Scheiber, R., Marotti, L., Wollstadt, S., and Reigber, A. (2012). Tops interfer-
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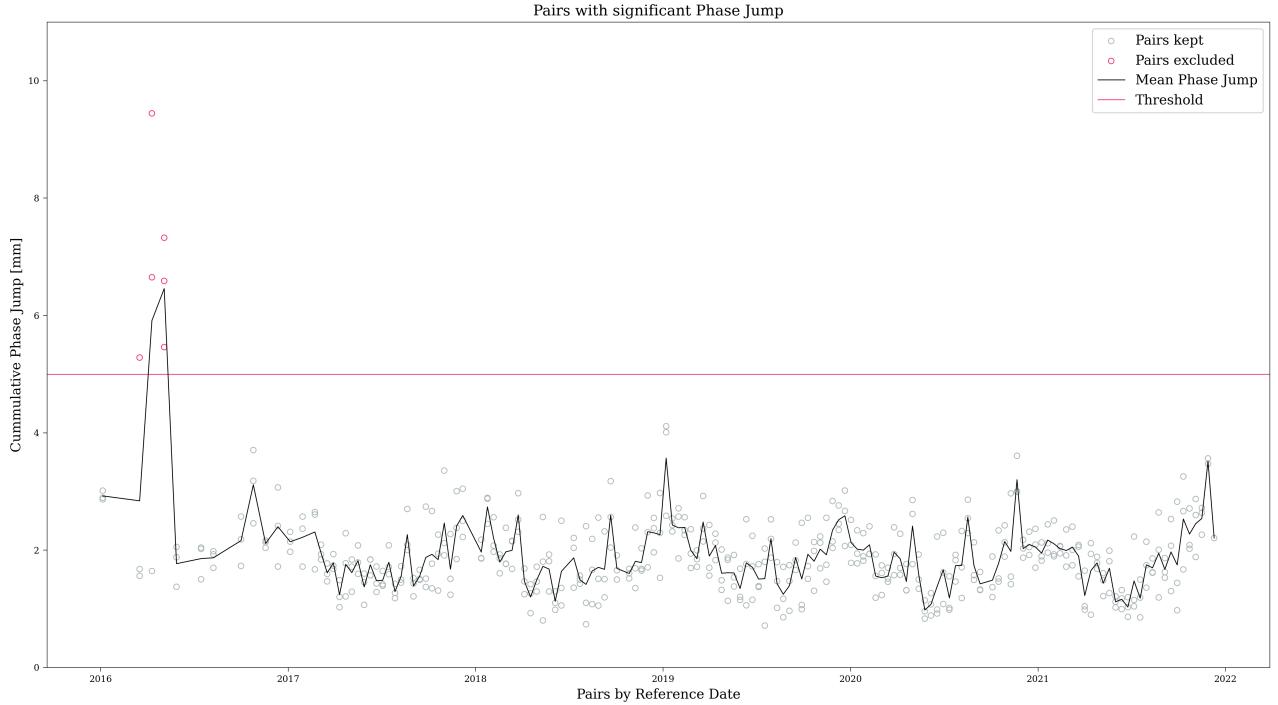


Figure 5: Example Output for a time series showing estimated phase jump magnitude for every date.

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