

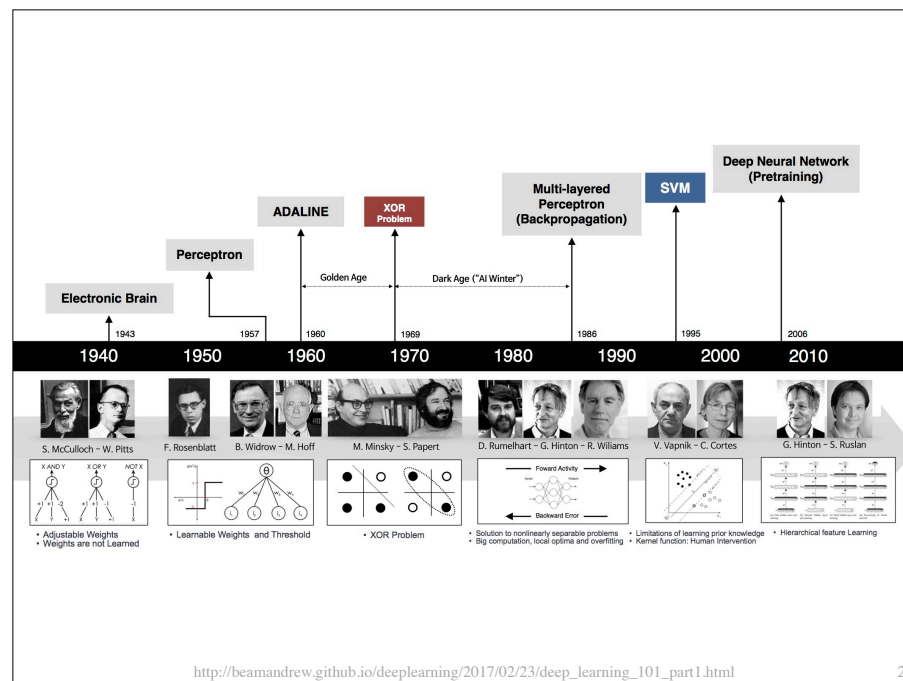
# CSC 561: Neural Networks and Deep Learning

## Perceptron

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## Rosenblatt (1958)

- Perceptron introduced by Frank Rosenblatt (psychologist, logician)
  - ✓ first trainable neural network
  - ✓ built upon McCulloch-Pitts artificial neurons
  - ✓ very powerful **learning** algorithm with high expectations

### NEW NAVY DEVICE LEARNS BY DOING; Psychologist Shows Embryo of Computer Designed to Read and Grow Wiser

WASHINGTON, July 7, 1958 (UPI) -- The Navy revealed the embryo of an electronic computer today that it expects will be able to walk, talk, see, write, reproduce itself and be conscious of its existence.



<https://news.cornell.edu/stories/2019/09/professors-perceptron-paved-way-ai-60-years-too-soon>

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### The perceptron: A probabilistic model for information storage and organization in the brain.

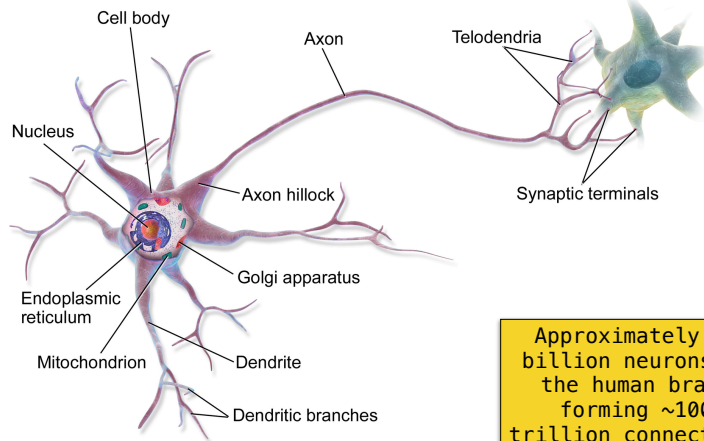
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Rosenblatt, F.  
Psychological Review, Vol 65(6), Nov 1958, 386-408

To answer the questions of how information about the physical world is sensed, in what form is information remembered, and how does information retained in memory influence recognition and behavior, a theory is developed for a hypothetical nervous system called a perceptron. The theory serves as a bridge between biophysics and psychology. It is possible to predict learning curves from neurological variables and vice versa. The quantitative statistical approach is fruitful in the understanding of the organization of cognitive systems. 18 references. (PsycINFO Database Record (c) 2016 APA, all rights reserved)

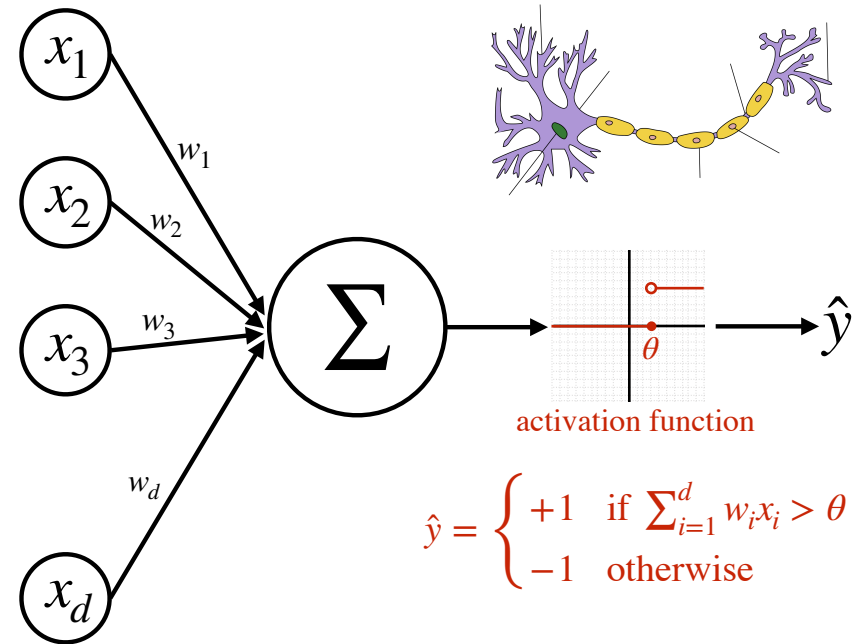
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# Neuron



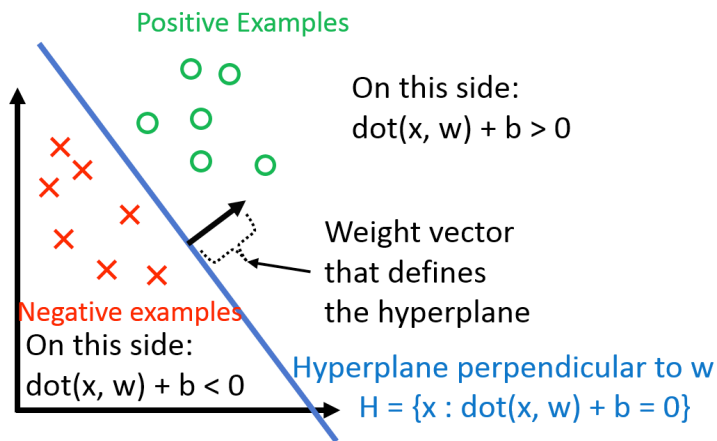
Approximately 86 billion neurons in the human brain forming ~100 trillion connections

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# Interpretation

b is the **bias** term ( $-\theta$ )



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# Absorbing the threshold/bias

$$\hat{y} = \begin{cases} +1 & \text{if } \sum_{i=1}^d w_i x_i > \theta \\ -1 & \text{otherwise} \end{cases}$$

$$\downarrow \quad x_0 = +1, \quad w_0 = -\theta$$

$$\hat{y} = \begin{cases} +1 & \text{if } \sum_{i=0}^d w_i x_i > 0 \\ -1 & \text{otherwise} \end{cases}$$

For convenience we can 'absorb' the threshold into the weight vector by adding an extra dimension

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## Summary

$$h_{\mathbf{w}}(\mathbf{x}) = \sigma \left( \sum_{i=0}^d w_i x_i \right) = \sigma(\mathbf{w}^T \mathbf{x})$$

$$\sigma(z) = \begin{cases} +1 & \text{if } z > 0 \\ -1 & \text{if } z \leq 0 \end{cases}$$

$$\mathcal{H} = \{h_{\mathbf{w}} : \mathbf{w} \in \mathbb{R}^{d+1}\} \quad \begin{array}{l} \text{set of all functions} \\ h_{\mathbf{w}} : \mathbb{R}^{d+1} \mapsto \{-1, +1\} \\ \text{defined by } \mathbf{w} \end{array}$$

## The algorithm

- Start with a null vector  $\mathbf{w}$
- Repeat for  $T$  epochs
  - shuffle the data instances
  - for all examples  $(\mathbf{x}_i, y_i)$  in training data
    - if  $\mathbf{x}_i$  misclassified
    - if  $y_i$  equals +1
      - update  $\mathbf{w}$  by adding  $\mathbf{x}_i$  to  $\mathbf{w}$
    - else
      - update  $\mathbf{w}$  by subtracting  $\mathbf{x}_i$  from  $\mathbf{w}$
- Return  $\mathbf{w}$

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## Practice

- Write the pseudocode or python for the perceptron algorithm?

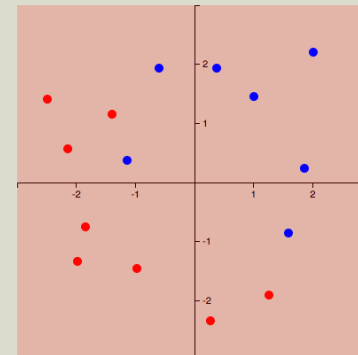
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## Demo

### Interactive Perceptron Training Toy

Monday September 7, 2015

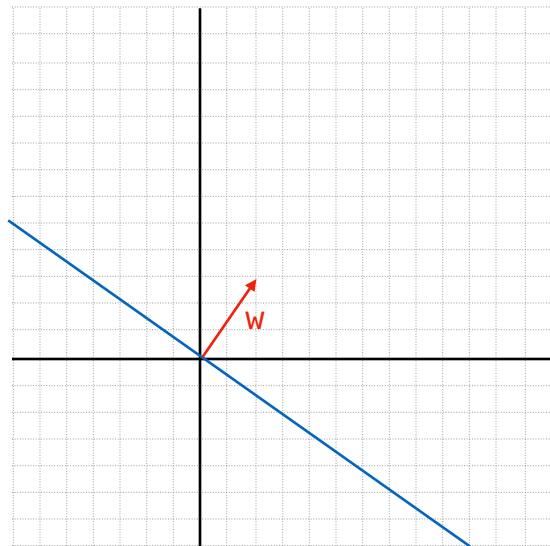
A little while ago I contributed a simple perceptron model for the Simple Statistics JavaScript library. This makes possible things like this interactive perceptron model training environment, in which you can get a “hands-on” feel for how the model works in two dimensions.



[https://planspace.org/20150907-interactive\\_perceptron\\_training\\_toy/](https://planspace.org/20150907-interactive_perceptron_training_toy/)

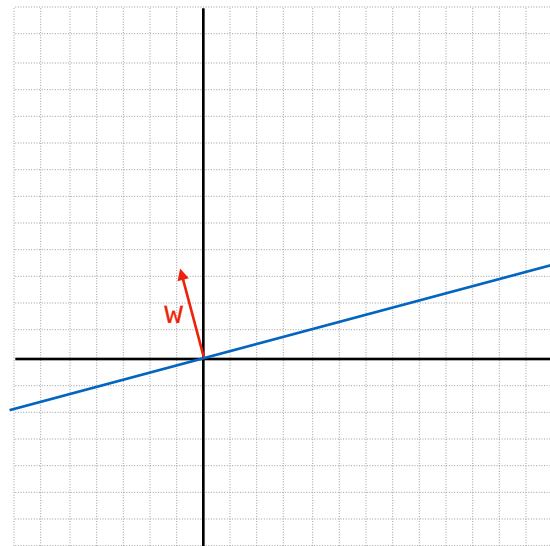
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## Mistake on a positive (update)



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## Mistake on a negative (update)



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## Intuition

- Suppose a mistake on the positive side:

$$y = +1 \quad \mathbf{w}^T \mathbf{x} \leq 0$$

- After 1 update the new weight vector will be:

$$\mathbf{w}_{t+1} = \mathbf{w}_t + \mathbf{x}$$

- Classifying the same datapoint with the new weight vector:

$$\mathbf{w}_{t+1}^T \mathbf{x} = (\mathbf{w}_t + \mathbf{x})^T \mathbf{x} = \mathbf{w}_t^T \mathbf{x} + \mathbf{x}^T \mathbf{x} \geq \mathbf{w}_t^T \mathbf{x}$$

can use the same idea for mistakes on the negative side

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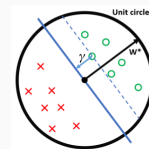
## Convergence theorem

The argument goes as follows: Suppose  $\exists \mathbf{w}^*$  such that  $y_i(\mathbf{x}_i^T \mathbf{w}^*) > 0$   $\forall (\mathbf{x}_i, y_i) \in D$ . Now, suppose that we rescale each data point and the  $\mathbf{w}^*$  such that

$$\|\mathbf{w}^*\| = 1 \quad \text{and} \quad \|\mathbf{x}_i\| \leq 1 \quad \forall \mathbf{x}_i \in D$$

Let us define the Margin  $\gamma$  of the hyperplane  $\mathbf{w}^*$  as  $\gamma = \min_{(\mathbf{x}_i, y_i) \in D} |\mathbf{x}_i^T \mathbf{w}^*|$ .

A little observation (which will come in very handy): For all  $\mathbf{x}$  we must have  $y(\mathbf{x}^T \mathbf{w}^*) = |\mathbf{x}^T \mathbf{w}^*| \geq \gamma$ . Why? Because  $\mathbf{w}^*$  is a perfect classifier, so all training data points  $(\mathbf{x}, y)$  lie on the "correct" side of the hyper-plane and therefore  $y = \text{sign}(\mathbf{x}^T \mathbf{w}^*)$ . The second inequality follows directly from the definition of the margin  $\gamma$ .



Guaranteed convergence for linearly separable data

- All inputs  $\mathbf{x}_i$  live within the unit sphere
- There exists a separating hyperplane defined by  $\mathbf{w}^*$ , with  $\|\mathbf{w}^*\| = 1$  (i.e.  $\mathbf{w}^*$  lies exactly on the unit sphere).
- $\gamma$  is the distance from this hyperplane (blue) to the closest data point.

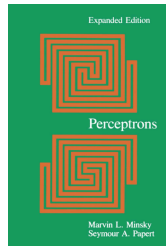
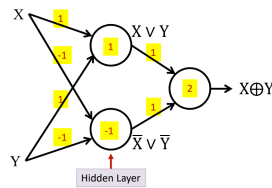
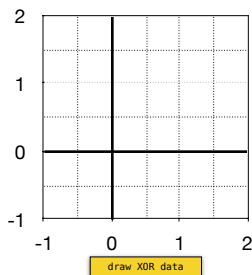
**Theorem:** If all of the above holds, then the Perceptron algorithm makes at most  $1/\gamma^2$  mistakes. **Proof:**

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# Minsky & Papert (1969)

## Limitations

- ✓ cannot learn XOR function
- ✓ limited to linear decision boundaries
- ✓ led to first AI winter — significant impact, shifting focus on symbolic approaches



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## Remarks

- Assumes data is **linearly separable**
  - ✓ does not converge if classes are not linearly separable
- Different **correct** solutions can be found
  - ✓ most are not optimal in terms of **generalization**
- Modern extensions
  - ✓ averaged perceptron — returns a **weighted average** of earlier hypotheses
  - ✓ kernel perceptron — overcome XOR limitation
  - ✓ multilayer perceptrons

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