# Week 06: Graph Data Structures and Search

# **Graph Definitions**

Graphs 2/83

Many applications require

- a collection of items (i.e. a set)
- relationships/connections between items

#### Examples:

- maps: items are cities, connections are roads
- web: items are pages, connections are hyperlinks

Collection types you're familiar with

- lists ... linear sequence of items (week 4; COMP9021)
- trees ... branched hierarchy of items (COMP9021)

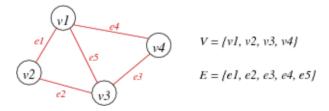
Graphs are more general ... allow arbitrary connections

... **Graphs** 3/83

A graph G = (V,E)

- *V* is a set of *vertices*
- E is a set of edges (subset of  $V \times V$ )

#### Example:



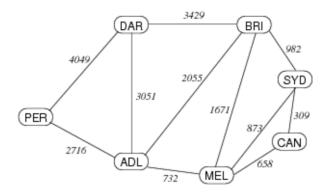
... **Graphs** 

A real example: Australian road distances

Distance	Adelaide	Brisbane	Canberra	ra Darwin Melbourne		Perth	Sydney
Adelaide	-	2055	1390	3051	732	2716	1605
Brisbane	2055	-	1291	3429	1671	4771	982
Canberra	1390	1291	-	4441	658	4106	309
Darwin	3051	3429	4441	-	3783	4049	4411
Melbourne	732	1671	658	3783	-	3448	873
Perth	2716	4771	4106	4049	3448	-	3972
Sydney	1605	982	309	4411	873	3972	-

... **Graphs** 5/83

Alternative representation of above:



... **Graphs** 

Questions we might ask about a graph:

- is there a way to get from item A to item B?
- what is the best way to get from A to B?
- which items are connected?

Graph algorithms are generally more complex than tree/list ones:

- no implicit order of items
- graphs may contain cycles
- concrete representation is less obvious
- algorithm complexity depends on connection complexity

# **Properties of Graphs**

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Terminology: |V| and |E| (cardinality) normally written just as V and E.

A graph with V vertices has at most V(V-1)/2 edges.

The ratio *E:V* can vary considerably.

- if E is closer to  $V^2$ , the graph is dense
- if E is closer to V, the graph is sparse
  - Example: web pages and hyperlinks

Knowing whether a graph is sparse or dense is important

- may affect choice of data structures to represent graph
- may affect choice of algorithms to process graph

#### **Exercise #1: Number of Edges**

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The edges in a graph represent pairs of connected vertices. A graph with V has  $V^2$  such pairs.

Consider  $V = \{1,2,3,4,5\}$  with all possible pairs:

$$E = \{ (1,1), (1,2), (1,3), (1,4), (1,5), (2,1), (2,2), ..., (4,5), (5,5) \}$$

#### ... because

- (v,w) and (w,v) denote the same edge (in an undirected graph)
- we do not consider loops (v,v)

# **Graph Terminology**

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For an edge e that connects vertices v and w

- *v* and *w* are *adjacent* (neighbours)
- e is incident on both v and w

Degree of a vertex v

• number of edges incident on e

Synonyms:

• vertex = node, edge = arc = link (Note: some people use arc for *directed* edges)

... Graph Terminology

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Path: a sequence of vertices where

• each vertex has an edge to its predecessor

Cycle: a path where

• last vertex in path is same as first vertex in path

Length of path or cycle:

• #edges



Path: 1-2, 2-3, 3-4



Cycle: 1-2, 2-3, 3-4, 4-1

#### ... Graph Terminology

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Connected graph

- there is a *path* from each vertex to every other vertex
- if a graph is not connected, it has ≥2 connected components

Complete graph K<sub>V</sub>

- there is an *edge* from each vertex to every other vertex
- in a complete graph, E = V(V-1)/2



# ... Graph Terminology

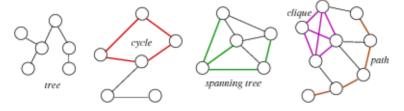
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Tree: connected (sub)graph with no cycles

Spanning tree: tree containing all vertices

Clique: complete subgraph

Consider the following single graph:



This graph has 26 vertices, 32 edges, and 4 connected components

Note: The entire graph has no spanning tree; what is shown in green is a spanning tree of the third connected component

### ... Graph Terminology

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A spanning tree of connected graph G = (V,E)

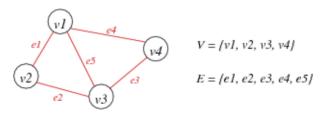
- is a subgraph of G containing all of V
- and is a single tree (connected, no cycles)

A spanning forest of non-connected graph G = (V,E)

- is a subgraph of G containing all of V
- and is a set of trees (not connected, no cycles),
  - with one tree for each connected component

## **Exercise #2: Graph Terminology**

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- 1. How many edges to remove to obtain a spanning tree?
- 2. How many different spanning trees?

1. 2
2. 
$$\frac{5 \cdot 4}{2} - 2 = 8$$
 spanning trees (no spanning tree if we remove  $\{e1, e2\}$  or  $\{e3, e4\}$ )

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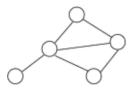
Undirected graph

• edge(u,v) = edge(v,u), no self-loops (i.e. no edge(v,v))

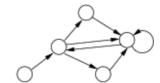
Directed graph

•  $edge(u,v) \neq edge(v,u)$ , can have self-loops (i.e. edge(v,v))

Examples:



Undirected graph



Directed graph

... Graph Terminology

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Other types of graphs ...

Weighted graph

- each edge has an associated value (weight)
- e.g. road map (weights on edges are distances between cities)

Multi-graph

- allow multiple edges between two vertices
- e.g. function call graph (f() calls g() in several places)

# **Graph Data Structures**

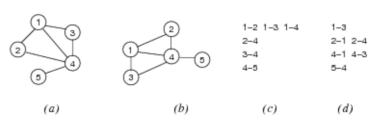
# **Graph Representations**

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Defining graphs:

- need some way of identifying vertices
- could give diagram showing edges and vertices
- could give a list of edges

E.g. four representations of the same graph:



## ... Graph Representations

- 1. Array of edges
- 2. Adjacency matrix
- 3. Adjacency list

# **Array-of-edges Representation**

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Edges are represented as an array of Edge values (= pairs of vertices)

- space efficient representation
- adding and deleting edges is slightly complex
- undirected: order of vertices in an Edge doesn't matter
- directed: order of vertices in an Edge encodes direction



For simplicity, we always assume vertices to be numbered 0..V-1

# ... Array-of-edges Representation

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Graph initialisation

How much is enough? ... No more than V(V-1)/2 ... Much less in practice (sparse graph)

#### ... Array-of-edges Representation

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Edge insertion

#### ... Array-of-edges Representation

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Edge removal

```
while (v,w)≠g.edges[i] do
    i=i+1
end while
g.edges[i]=g.edges[g.nE-1] // replace (v,w) by last edge in array
g.nE=g.nE-1
```

Cost Analysis

Storage cost: O(E)

Cost of operations:

- initialisation: O(1)
- insert edge: O(1) (assuming edge array has space)
- find/delete edge: O(E) (need to find edge in edge array)

If array is full on insert

• allocate space for a bigger array, copy edges across  $\Rightarrow O(E)$ 

If we maintain edges in order

• use binary search to insert/find edge  $\Rightarrow O(\log E)$ 

### Exercise #3: Array-of-edges Representation

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Assuming an array-of-edges representation ...

Write an algorithm to output all edges of the graph

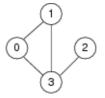
```
show(g):
    Input graph g
    for all i=0 to g.nE-1 do
        print g.edges[i]
    end for
```

Time complexity: O(E)

# **Adjacency Matrix Representation**

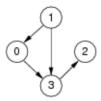
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Edges represented by a  $V \times V$  matrix



Undirected graph

A	0	1	2	3
0	0	1	0	1
1	1	0	0	1
2	0	0	0	1
3	1	1	1	0



Directed graph

A	0	1	2	3
0	0	0	0	1
1	1	0	0	1
2	0	0	0	0
3	0	0	1	0

# ... Adjacency Matrix Representation

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#### Advantages

- easily implemented as 2-dimensional array
- can represent graphs, digraphs and weighted graphs
  - graphs: symmetric boolean matrix
  - o digraphs: non-symmetric boolean matrix
  - weighted: non-symmetric matrix of weight values

#### Disadvantages:

• if few edges (sparse) ⇒ memory-inefficient

# ... Adjacency Matrix Representation

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#### Graph initialisation

## ... Adjacency Matrix Representation

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# Edge insertion

```
insertEdge(g,(v,w)):
    Input graph g, edge (v,w)

    if g.edges[v][w]=0 then // (v,w) not in graph
        g.edges[v][w]=1 // set to true
        g.edges[w][v]=1
        g.nE=g.nE+1
    end if
```

33/83

Edge removal

```
removeEdge(g,(v,w)):
    Input graph g, edge (v,w)

if g.edges[v][w]≠0 then // (v,w) in graph
    g.edges[v][w]=0 // set to false
    g.edges[w][v]=0
    g.nE=g.nE-1
end if
```

# **Exercise #4: Show Graph**

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Assuming an adjacency matrix representation ...

Write an algorithm to output all edges of the graph (no duplicates!)

## ... Adjacency Matrix Representation

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```
show(g):
    Input graph g

for all i=0 to g.nV-2 do
    for all j=i+1 to g.nV-1 do
    if g.edges[i][j] then
        print i"-"j
    end if
    end for
end for
```

Time complexity:  $O(V^2)$ 

Exercise #5: 36/83

Analyse storage cost and time complexity of adjacency matrix representation

Storage cost:  $O(V^2)$ 

If the graph is sparse, most storage is wasted.

Cost of operations:

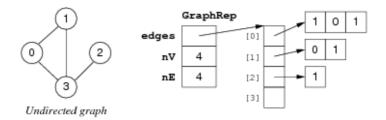
```
    initialisation: O(V²) (initialise V×V matrix)
    insert edge: O(1) (set two cells in matrix)
```

• delete edge: O(1) (unset two cells in matrix)

#### ... Adjacency Matrix Representation

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A storage optimisation: store only top-right part of matrix.



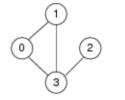
New storage cost: V-I int ptrs + V(V+I)/2 ints (but still  $O(V^2)$ )

Requires us to always use edges (v,w) such that v < w.

# **Adjacency List Representation**

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For each vertex, store linked list of adjacent vertices:

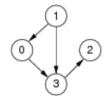


$$A[1] = <0, 3>$$

$$A[2] = <3>$$

$$A[3] = <0, 1, 2>$$

Undirected graph



Directed graph

A[0] = <3>

### ... Adjacency List Representation

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#### Advantages

- relatively easy to implement in languages like C
- can represent graphs and digraphs
- memory efficient if *E:V* relatively small

#### Disadvantages:

• one graph has many possible representations (unless lists are ordered by same criterion e.g. ascending)

### ... Adjacency List Representation

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#### Graph initialisation

```
newGraph(V):
```

```
end for
return q
```

# ... Adjacency List Representation

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Edge insertion:

```
insertEdge(g,(v,w)):
    Input graph g, edge (v,w)
    insertLL(g.edges[v],w)
    insertLL(g.edges[w],v)
    g.nE=g.nE+1
```

## ... Adjacency List Representation

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Edge removal:

```
removeEdge(g,(v,w)):
    Input graph g, edge (v,w)
    deleteLL(g.edges[v],w)
    deleteLL(g.edges[w],v)
    g.nE=g.nE-1
```

**Exercise #6:** 44/83

Analyse storage cost and time complexity of adjacency list representation

Storage cost: O(V+E) (V list pointers, total of  $2 \cdot E$  list elements)

Cost of operations:

- initialisation: O(V) (initialise V lists)
- insert edge: O(1) (insert one vertex into list)
   if you don't check for duplicates
- find/delete edge: O(V) (need to find vertex in list)

If vertex lists are sorted

- insert requires search of list  $\Rightarrow O(V)$
- · delete always requires a search, regardless of list order

# **Comparison of Graph Representations**

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	array of edges	adjacency matrix	adjacency list
space usage	E	$V^2$	V+E
initialise	1	$V^2$	V
insert edge	1	1	1
find/delete edge	E	1	V

#### Other operations:

	array of edges	adjacency matrix	adjacency list
disconnected(v)?	E	V	1
isPath(x,y)?	E·log V	$V^2$	V+E
copy graph	E	$V^2$	E
destroy graph	1	V	E

# **Graph Abstract Data Type**

Graph ADT

Data:

• set of edges, set of vertices

Operations:

- building: create graph, add edge
- deleting: remove edge, drop whole graph
- scanning: check if graph contains a given edge

Things to note:

- set of vertices is fixed when graph initialised
- we treat vertices as ints, but could be arbitrary Items

... Graph ADT 49/83

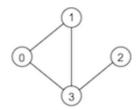
# Graph ADT interface graph.h

```
// graph representation is hidden
typedef struct GraphRep *Graph;
// vertices denoted by integers 0..N-1
typedef int Vertex;
// edges are pairs of vertices (end-points)
typedef struct Edge { Vertex v; Vertex w; } Edge;
// operations on graphs
Graph newGraph(int V);
                                       // new graph with V vertices
void insertEdge(Graph, Edge);
void removeEdge(Graph, Edge);
     adjacent(Graph, Vertex, Vertex); /* is there an edge
bool
                                          between two vertices */
void
    freeGraph(Graph);
```

# **Exercise #7: Graph ADT Client**

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• print all the nodes that are incident to vertex 1 in ascending order



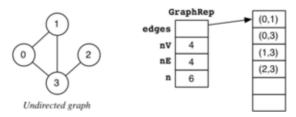
```
#include <stdio.h>
#include "Graph.h"
#define NODES 4
#define NODE OF INTEREST 1
int main(void) {
  Graph g = newGraph(NODES);
  Edge e;
  e.v = 0; e.w = 1; insertEdge(g,e);
  e.v = 0; e.w = 3; insertEdge(g,e);
  e.v = 1; e.w = 3; insertEdge(g,e);
  e.v = 3; e.w = 2; insertEdge(g,e);
   int v;
   for (v = 0; v < NODES; v++) {
      if (adjacent(g, v, NODE_OF_INTEREST))
         printf("%d\n", v);
   }
   freeGraph(g);
   return 0;
}
```

# **Graph ADT (Array of Edges)**

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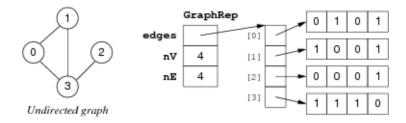
Implementation of GraphRep (array-of-edges representation)

```
typedef struct GraphRep {
   Edge *edges; // array of edges
   int nV; // #vertices (numbered 0..nV-1)
   int nE; // #edges
   int n; // size of edge array
} GraphRep;
```



# **Graph ADT (Adjacency Matrix)**

```
typedef struct GraphRep {
   int **edges; // adjacency matrix
   int nV; // #vertices
   int nE; // #edges
} GraphRep;
```



### ... Graph ADT (Adjacency Matrix)

54/83

Implementation of graph initialisation (adjacency-matrix representation)

```
Graph newGraph(int V) {
   assert(V >= 0);
   int i;

Graph g = malloc(sizeof(GraphRep));   assert(g != NULL);
   g->nV = V;   g->nE = 0;

// allocate memory for each row
   g->edges = malloc(V * sizeof(int *));   assert(g->edges != NULL);
   // allocate memory for each column and initialise with 0
   for (i = 0; i < V; i++) {
      g->edges[i] = calloc(V, sizeof(int));   assert(g->edges[i] != NULL);
   }
   return g;
}
```

standard library function calloc(size t nelems, size t nbytes)

- allocates a memory block of size nelems\*nbytes
- and sets all bytes in that block to zero

#### ... Graph ADT (Adjacency Matrix)

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Implementation of edge insertion/removal (adjacency-matrix representation)

```
// check if vertex is valid in a graph
bool validV(Graph g, Vertex v) {
   return (g != NULL && v >= 0 && v < g->nV);
}

void insertEdge(Graph g, Edge e) {
   assert(g != NULL && validV(g,e.v) && validV(g,e.w));

if (!g->edges[e.v][e.w]) { // edge e not in graph
      g->edges[e.v][e.w] = 1;
      g->edges[e.w][e.v] = 1;
      g->nE++;
   }
}

void removeEdge(Graph g, Edge e) {
   assert(g != NULL && validV(g,e.v) && validV(g,e.w));
```

## **Exercise #8: Checking Neighbours (i)**

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Assuming an adjacency-matrix representation ...

Implement a function to check whether two vertices are directly connected by an edge

```
bool adjacent(Graph g, Vertex x, Vertex y) { ... }
```

```
bool adjacent(Graph g, Vertex x, Vertex y) {
   assert(g != NULL && validV(g,x) && validV(g,y));
   return (g->edges[x][y] != 0);
}
```

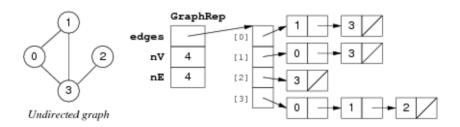
# **Graph ADT (Adjacency List)**

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Implementation of GraphRep (adjacency-list representation)

```
typedef struct GraphRep {
  Node **edges; // array of lists
  int nV; // #vertices
  int nE; // #edges
} GraphRep;

typedef struct Node {
  Vertex v;
  struct Node *next;
} Node;
```



### **Exercise #9: Checking Neighbours (ii)**

59/83

Assuming an adjacency list representation ...

Implement a function to check whether two vertices are directly connected by an edge

```
bool adjacent(Graph g, Vertex x, Vertex y) { ... }
```

```
bool adjacent(Graph g, Vertex x, Vertex y) {
   assert(g != NULL && validV(g,x));
```

```
return inLL(g->edges[x], y);
}
```

inLL() checks if linked list contains an element

# **Graph Traversal**

Finding a Path

Questions on paths:

- is there a path between two given vertices (src,dest)?
- what is the sequence of vertices from *src* to *dest*?

Approach to solving problem:

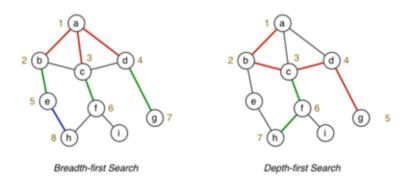
- examine vertices adjacent to src
- if any of them is *dest*, then done
- otherwise try vertices two edges from src
- repeat looking further and further from src

Two strategies for graph traversal/search: depth-first, breadth-first

- DFS follows one path to completion before considering others
- BFS "fans-out" from the starting vertex ("spreading" subgraph)

... Finding a Path

Comparison of BFS/DFS search for checking if there is a path from a to h ...



Both approaches ignore some edges by remembering previously visited vertices.

# **Depth-first Search**

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Depth-first search can be described recursively as

#### depthFirst(G,v):

- 1. mark v as visited
- 2. for each (v, w)∈edges(G) do if w has not been visited then depthFirst(w)

The recursion induces backtracking

... Depth-first Search 65/83

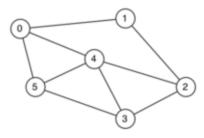
```
Recursive DFS path checking
```

```
hasPath(G, src, dest):
   Input graph G, vertices src, dest
   Output true if there is a path from src to dest in G,
          false otherwise
   return dfsPathCheck(G,src,dest)
dfsPathCheck(G,v,dest):
   mark v as visited
   if v=dest then
                        // found dest
      return true
   else
      for all (v,w)∈edges(G) do
         if w has not been visited then
            return dfsPathCheck(G,w,dest) // found path via w to dest
         end if
      end for
   end if
   return false
                        // no path from v to dest
```

### **Exercise #10: Depth-first Traversal (i)**

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Trace the execution of dfsPathCheck(G, 0, 5) on:



Consider neighbours in ascending order

Answer:

0 - 1 - 2 - 3 - 4 - 5

# ... Depth-first Search

Cost analysis:

- each vertex visited at most once  $\Rightarrow$  cost = O(V)
- visit all edges incident on visited vertices  $\Rightarrow$  cost = O(E)
  - assuming an adjacency list representation

Time complexity of DFS: O(V+E) (adjacency list representation)

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Note how different graph data structures affect cost:

```
• array-of-edges representation
        • visit all edges incident on visited vertices \Rightarrow cost = O(E^2)
        \circ cost of DFS: O(V+E^2)
   • adjacency-matrix representation
        • visit all edges incident on visited vertices \Rightarrow cost = O(V^2)
        \circ cost of DFS: O(V^2)
For dense graphs ... E \cong V^2 \Rightarrow O(V+E) = O(V^2)
For sparse graphs ... E \cong V \Rightarrow O(V+E) = O(E)
                                                                                               70/83
... Depth-first Search
Knowing whether a path exists can be useful
Knowing what the path is even more useful
⇒ record the previously visited node as we search through the graph (so that we can then trace path through graph)
Make use of global variable:
   • visited[] ... array to store previously visited node, for each node being visited
                                                                                               71/83
... Depth-first Search
visited[] // store previously visited node, for each vertex 0..nV-1
findPath(G,src,dest):
   Input graph G, vertices src, dest
   for all vertices v∈G do
       visited[v]=-1
   end for
   visited[src]=src
                                               // starting node of the path
   if dfsPathCheck(G,src,dest) then // show path in dest..src order
       v=dest
       while v≠src do
           print v"-"
           v=visited[v]
       end while
       print src
   end if
dfsPathCheck(G, v, dest):
                                         // found edge from v to dest
   if v=dest then
       return true
   else
       for all (v,w)∈edges(G) do
           if visited[w]=-1 then
               visited[w]=v
               if dfsPathCheck(G,w,dest) then
```

// no path from v to dest

end if

end if

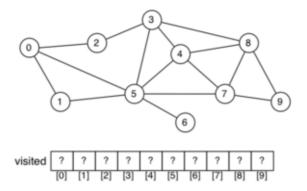
end for

return false

end if

#### Exercise #11: Depth-first Traversal (ii)

Show the DFS order in which we visit vertices in this graph when searching for a path from 0 to 6:



Consider neighbours in ascending order

0	0	3	5	3	1	5	4	7	8
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]

Path: 6-5-1-0

### ... Depth-first Search

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DFS can also be described non-recursively (via a *stack*):

```
hasPath(G, src, dest):
   Input graph G, vertices src,dest
   Output true if there is a path from src to dest in G,
          false otherwise
   push src onto new stack s
   found=false
   while not found and s is not empty do
      pop v from s
      mark v as visited
      if v=dest then
         found=true
      else
         for each (v,w)∈edges(G) such that w has not been visited
            push w onto s
         end for
      end if
   end while
   return found
```

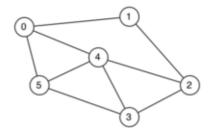
Uses standard stack operations (push, pop, check if empty)

Time complexity is the same: O(V+E) (each vertex added to stack once, each element in vertex's adjacency list visited once)

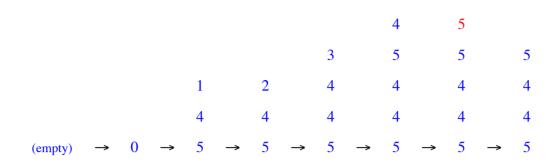
#### Exercise #12: Depth-first Traversal (iii)

75/83

Show how the stack evolves when executing findPathDFS(g, 0, 5) on:



Push neighbours in descending order ... so they get popped in ascending order



## **Breadth-first Search**

77/83

Basic approach to breadth-first search (BFS):

- visit and mark current vertex
- visit all neighbours of current vertex
- then consider neighbours of neighbours

#### Notes:

- tricky to describe recursively
- a minor variation on non-recursive DFS search works

  ⇒ switch the *stack* for a *queue*

#### ... Breadth-first Search

78/83

BFS algorithm (records visiting order, marks vertices as visited when put on queue):

```
visited[] // array of visiting orders, indexed by vertex 0..nV-1
```

```
findPathBFS(G,src,dest):
```

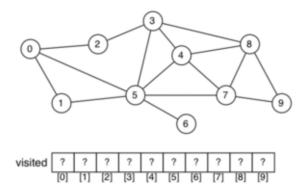
```
| visited[w]=v
| end for
| end if
end while
if found then
  display path in dest..src order
end if
```

Uses standard queue operations (enqueue, dequeue, check if empty)

#### **Exercise #13: Breadth-first Traversal**

79/83

Show the BFS order in which we visit vertices in this graph when searching for a path from 0 to 6:



Consider neighbours in ascending order

0	0	0	2	5	0	5	4	3	-1
[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]

Path: 6-5-0

#### ... Breadth-first Search

81/83

Time complexity of BFS: O(V+E) (adjacency list representation, same as DFS)

BFS finds a "shortest" path

- based on minimum # edges between src and dest.
- stops with first-found path, if there are multiple ones

In many applications, edges are weighted and we want path

• based on minimum sum-of-weights along path src .. dest

We discuss weighted/directed graphs later.

# **Tips for Week 6 Problem Set**

82/83

Main theme: Graphs

• Test your understanding of basic graph properties

• Exercise 2: Write a graph ADT client

- Compare the efficiency of different graph representations
- Exercise 5: Check your understanding of BFS and DFS
- Challenge exercise: find a solution, need not be efficient

Summary 83/83

- Graph terminology
  - o vertices, edges, vertex degree, connected graph, tree
  - o path, cycle, clique, spanning tree, spanning forest
- Graph representations
  - o array of edges
  - o adjacency matrix
  - o adjacency lists
- Graph traversal
  - depth-first search (DFS)
  - breadth-first search (BFS)
- Suggested reading (Sedgewick):
  - graph representations ... Ch.17.1-17.5
  - graph search ... Ch.18.1-18.3,18.7

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