

# Memristive Device Characteristics Engineering by Controlling the Crystallinity of Switching Layer Materials

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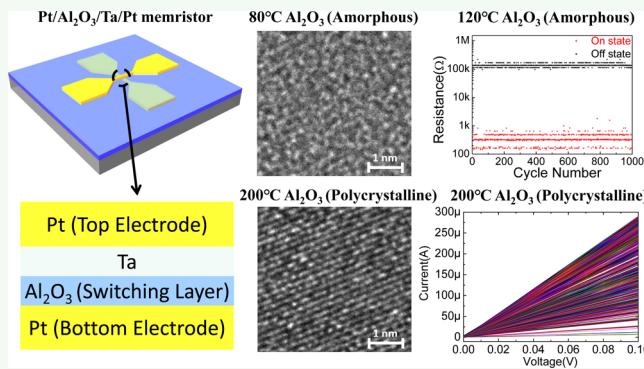
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**ABSTRACT:** Memristive devices (i.e., memristors) can be highly beneficial in many emerging applications that may play important roles in the future generations of electronic systems, such as bio-inspired neuromorphic computing, high density nonvolatile memory, and field programmable gate arrays. Therefore, the memristor characteristics (such as operation voltage, on/off ratio, and the number of conductance states) must be engineered carefully for different applications. Here, we demonstrate a method to modify the memristor characteristics specifically by controlling the crystallinity of the switching layer material. Through setting the temperature of atomic layer deposition, the crystallinity of deposited  $\text{Al}_2\text{O}_3$  can be controlled. Using different crystalline  $\text{Al}_2\text{O}_3$  as the memristor switching layer, the characteristics of the corresponding  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  cross-point memristors can be modified precisely. The high  $I$ - $V$  linearity, high on/off ratio (around  $10^8$ ), low pulse operation voltage (2.5 V), and multilevel conductance states (314 states) of the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  cross-point memristor are demonstrated. More importantly, the mechanism behind this phenomenon is studied. This work deepens our understanding of the working mechanism of memristors and paves the way for using memristors in a broad spectrum of applications.

**KEYWORDS:** memristive device, memristor, ReRAM, atomic layer deposition, crystallinity



## 1. INTRODUCTION

Recently, the development of memristive device (i.e. memristor)<sup>1–8</sup> has attracted great interest in the semiconductor industry. Significant progresses have been made in memristive device development,<sup>9,10</sup> including its integration with the Si CMOS circuit,<sup>11,12</sup> switching cycles up to  $10^{12}$ ,<sup>13</sup> switching speed down to 100 ps,<sup>14</sup> and retention time up to a few years.<sup>15</sup> So far, most efforts are targeting at bio-inspired neuromorphic computing applications.<sup>9,16,17</sup> There are also many emerging applications that can greatly benefit from memristors.<sup>10,18,19</sup> For example, the density of memristors can go beyond 100G bits/cm<sup>2</sup>, which is several times higher than currently progressive flash memory technologies.<sup>20,21</sup> Also, the switching power consumption for memristors can be 20 times smaller than that of flash.<sup>20</sup> Moreover, by using memristors as the reconfiguration bits in a field programmable gate array (FPGA), the FPGA is expected to achieve a 5.18× area saving, a 2.28× speedup, and a 1.63× power saving.<sup>22</sup>

However, there are still many critical challenges to be resolved before using memristors in those applications. Different applications have different requirements for the characteristics of memristors, including the operation voltage, the on/off ratio, and the number of conductance states.<sup>23–30</sup>

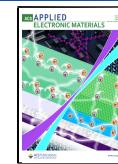
Therefore, significant effort is still needed to tailor the existing memristor technologies for specific applications. The traditional method to engineer memristor characteristics is by selecting appropriate material stack and film thicknesses for both the switching and contact layers,<sup>31</sup> which cannot satisfy all needed requirements.

In this article, we report a method to modify the memristor characteristics specifically by controlling the crystallinity of the switching layer material.  $\text{Al}_2\text{O}_3$  was used in our  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  cross-point memristor as the switching layer material. By controlling the atomic layer deposition (ALD) temperature, the crystallinity of  $\text{Al}_2\text{O}_3$  changed from amorphous to polycrystalline, which affected the memristor performance directly. Through choosing an appropriate  $\text{Al}_2\text{O}_3$  deposition temperature, the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  cross-point memristor can

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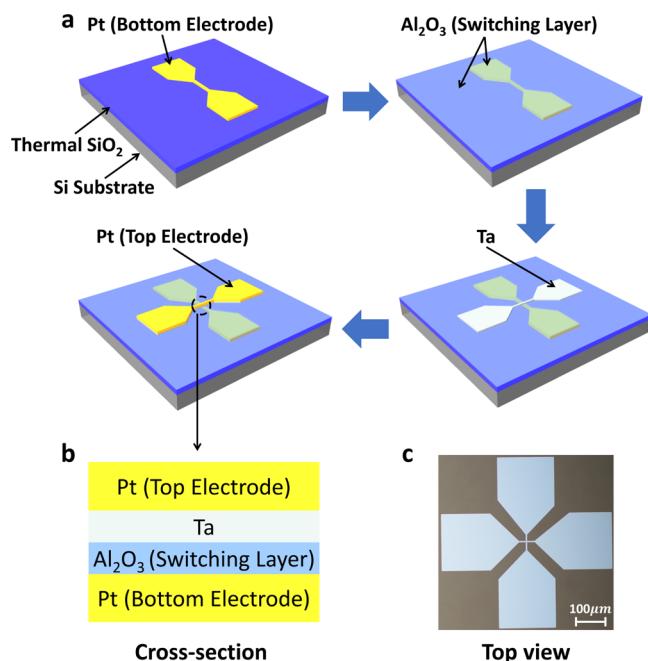


have great characteristics, such as good  $I-V$  linearity, high on/off ratio (around  $10^8$ ), low pulse operation voltage (2.5 V), and multilevel conductance states (314 states). The mechanism of modifying memristor characteristics by controlling switching layer crystallinity was also studied.

## 2. RESULTS AND DISCUSSION

### 2.1. Device Fabrication.

The fabrication process of the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristor is shown in Figure 1a.



**Figure 1.** Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristor. (a) Fabrication process. (b) Schematic of the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristor. (c) Optical microscope image of the fabricated Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristor.

A Si wafer that has 150 nm thermally grown SiO<sub>2</sub> on top was used as the substrate. First, the bottom electrodes were patterned using ultraviolet photolithography. Then, 2 nm Ti (adhesion layer) and 20 nm Pt (bottom electrode metal) were deposited using the electron-beam evaporator, followed by a lift-off process. Next, an 8 nm Al<sub>2</sub>O<sub>3</sub> blanket layer was deposited by ALD as the memristor switching layer. The reason that ALD was chosen to deposit the switching layer material is that the ALD temperature can be set precisely (80, 120, 160, or 200 °C) to control the crystallinity of deposited Al<sub>2</sub>O<sub>3</sub>.<sup>32,33</sup> The details are given in the next section. Finally, 8 nm thick Ta and a 20 nm thick Pt top electrode were defined by a second photolithography, evaporation and lift-off process to get the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristor. Figure 1b shows the schematic of the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristor, which has a four-layer structure. The optical microscope image of the fabricated Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristor is shown in Figure 1c.

**2.2. Controlling the Crystallinity of the Switching Layer Material.** ALD is a chemical vapor deposition technique based on successive, separated, and self-terminating gas–solid reactions of typically two gaseous reactants.<sup>32</sup> Similar to other thin film deposition techniques, the ALD temperature affects the crystallinity of the deposited material.<sup>32,33</sup> The deposited material undergoes transition from amorphous to

polycrystalline forms at a characteristic temperature. Al<sub>2</sub>O<sub>3</sub> can be deposited by ALD using water (H<sub>2</sub>O) and trimethylaluminum as precursors. To compare the crystallinity of Al<sub>2</sub>O<sub>3</sub> films at different deposition temperatures, Al<sub>2</sub>O<sub>3</sub> (around 16 nm) was deposited for 200 cycles on the Si substrate at four different temperatures (80, 120, 160, and 200 °C), separately.

The refractive indexes of these four Al<sub>2</sub>O<sub>3</sub> films were measured using an ellipsometer. With an increase in deposition temperature, the Al<sub>2</sub>O<sub>3</sub> refractive index is increased, as shown in Table 1. The data indicate that the Al<sub>2</sub>O<sub>3</sub> deposited at

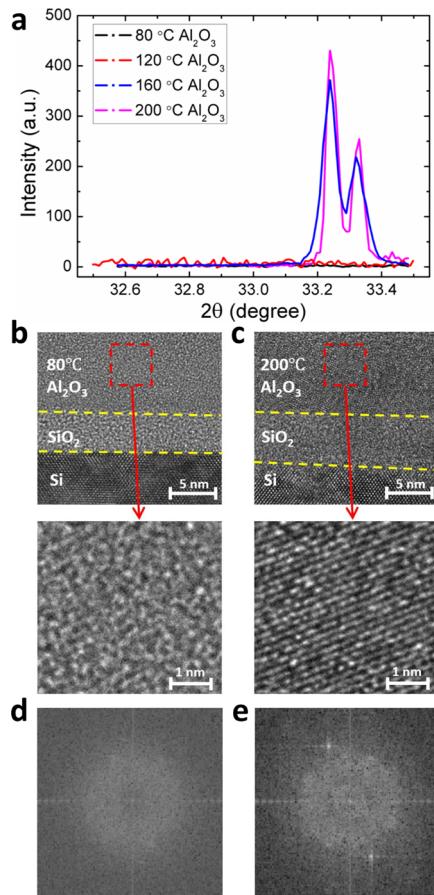
**Table 1. Refractive Index, Crystallinity, and Average Grain Size of Al<sub>2</sub>O<sub>3</sub> Deposited at Different Temperatures**

Al <sub>2</sub> O <sub>3</sub> deposition temperature (°C)	refractive index ( $\lambda = 532$ nm)	crystallinity	average grain size
80	1.630	amorphous	N/A
120	1.647	amorphous	N/A
160	1.652	polycrystalline	~150.5 nm
200	1.656	polycrystalline	~207.0 nm

higher temperature is denser. The corresponding X-ray diffraction (XRD) spectra of Al<sub>2</sub>O<sub>3</sub> films at these four different deposition temperatures are shown in Figure 2a. The Al<sub>2</sub>O<sub>3</sub> films deposited at 80 and 120 °C do not have characteristic peaks in the XRD spectra, while the Al<sub>2</sub>O<sub>3</sub> films deposited at 160 and 200 °C have clear characteristic peaks in the XRD spectra. These indicate that the Al<sub>2</sub>O<sub>3</sub> films deposited at 80 and 120 °C are amorphous, while the Al<sub>2</sub>O<sub>3</sub> films deposited at 160 and 200 °C are polycrystalline. According to the Scherrer equation  $\tau = \frac{K\lambda}{\beta \cos \theta}$  (where  $\tau$  is the average grain size,  $K$  is a dimensionless shape factor,  $\lambda$  is the X-ray wavelength,  $\beta$  is the line broadening at half the maximum intensity, and  $\theta$  is the Bragg angle), the estimated average grain size of polycrystalline Al<sub>2</sub>O<sub>3</sub> can be calculated, as shown in Table 1. The average grain size of polycrystalline Al<sub>2</sub>O<sub>3</sub> is also increased with the increase in the deposition temperature (Table 1), which is consistent with the change in the refractive index.

Figure 2b–e shows the transmission electron microscopy (TEM) images of Al<sub>2</sub>O<sub>3</sub> films deposited at 80 and 200 °C, and the corresponding FFT patterns, respectively. The high-angle annular dark-field (HAADF) scanning transmission electron microscopy (STEM) and energy dispersive X-ray spectroscopy (EDS) images of the deposited Al<sub>2</sub>O<sub>3</sub> film can be found in the Supporting Information Figure S1. These clearly indicate that the Al<sub>2</sub>O<sub>3</sub> film deposited at 80 °C is amorphous, while the Al<sub>2</sub>O<sub>3</sub> film deposited at 200 °C is polycrystalline. This direct evidence demonstrates that the deposited Al<sub>2</sub>O<sub>3</sub> film has transition from the amorphous to polycrystalline form with increasing deposition temperature. Above all, the crystallinity of the deposited Al<sub>2</sub>O<sub>3</sub> can be controlled through the ALD temperature.

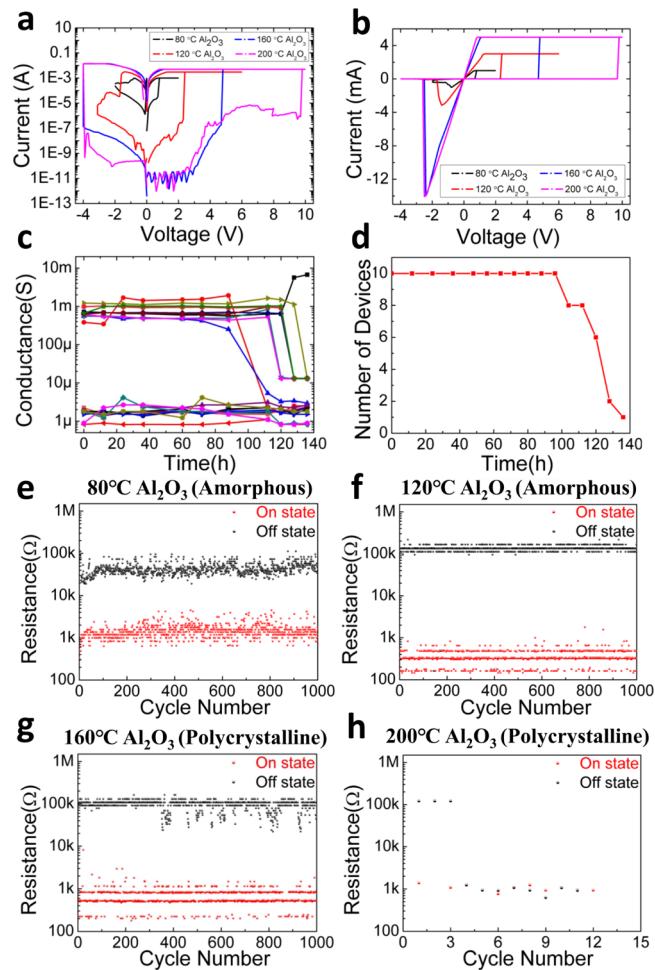
**2.3. Electrical Performance Characterization.** Figure 3a shows the typical bipolar resistive switching  $I-V$  curves of the 5 by 5  $\mu\text{m}^2$  Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt cross-point memristors, which use Al<sub>2</sub>O<sub>3</sub> films deposited at different temperatures (80, 120, 160, and 200 °C) as the switching layer. The memristors can be switched from the off state (high resistance state) to the on state (low resistance state) after positive voltages ( $V_{\text{set}}$ ) are applied.<sup>1,34,35</sup> By sweeping the negative bias ( $V_{\text{reset}}$ ), the memristors can be switched from the on state to the off state, which is defined as the reset process. As shown in Figure 3a, the set and reset voltage of the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristor is



**Figure 2.** Controlling the crystallinity of  $\text{Al}_2\text{O}_3$  by changing the ALD temperature. (a) XRD spectra of  $\text{Al}_2\text{O}_3$  films at different deposition temperatures (80, 120, 160, and 200 °C). (b) TEM image of the 80 °C-deposited  $\text{Al}_2\text{O}_3$  film, which is amorphous. The  $\text{SiO}_2$  layer is the native oxide layer on top of the Si substrate. (c) TEM image of the 200 °C-deposited  $\text{Al}_2\text{O}_3$  film, which is polycrystalline. The  $\text{SiO}_2$  layer is the native oxide layer on top of the Si substrate. (d) Corresponding FFT pattern of the TEM image in (b) (frequency range dc  $\approx$  1/0.020 [1/nm]). (e) Corresponding FFT pattern of the TEM image in (c) (frequency range dc  $\approx$  1/0.020 [1/nm]).

increased with the increase in the  $\text{Al}_2\text{O}_3$  deposition temperature, which is discussed in the next section. Figure 3b shows the linear plot of resistive switching  $I$ – $V$  curves of the Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristors using  $\text{Al}_2\text{O}_3$  deposited at different temperatures, which demonstrates that our memristors have good  $I$ – $V$  linearity.<sup>16</sup>  $I$ – $V$  curves of multiple switching cycles of the Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristor can be found in the Supporting Information Figure S2. Using the Pt/80 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt cross-point memristor as the example, the retention characteristic of our memristors was investigated (Figure 3c,d). The result indicates that the memristors are highly stable in each state without degradation over 90 h at the elevated temperature (85 °C). After 90 h, the memristors in the on state started to change to the off state.

The pulse switching measurements of the Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristors that use  $\text{Al}_2\text{O}_3$  at different deposition temperatures (80, 120, 160, and 200 °C) are shown in Figure 3e–h, respectively. The length of the pulse is 3  $\mu\text{s}$ . More pulse switching data of the Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristor from different devices can be found in the Supporting Information Figures S3 and S4. To compare the difference of Pt/ $\text{Al}_2\text{O}_3$ /



**Figure 3.** Electrical characteristics of the Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt cross-point memristor. (a)  $I$ – $V$  curves (plot in log scale) from 5 by 5  $\mu\text{m}^2$  Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt cross-point memristors, which use  $\text{Al}_2\text{O}_3$  films deposited at different temperatures (80, 120, 160, and 200 °C) as the switching layer. The memristors can be set with a positive voltage sweep and then reset with a negative voltage sweep. In the positive voltage sweep, the applied compliance currents for 80, 120, 160, and 200 °C deposited  $\text{Al}_2\text{O}_3$  memristors of 3, 3, 5, and 5 mA, respectively. (b)  $I$ – $V$  curves (plot in the linear scale, same data as Figure 3a) from 5 by 5  $\mu\text{m}^2$  Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt cross-point memristors. The memristors have good  $I$ – $V$  linearity. (c) Retention test of Pt/80 °C deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt cross-point memristors. A total of 20 memristors were used to test the retention. Before the test, 10 memristors were set to on state, and the other 10 memristors were set to off state. (d) Number of the unchanged (did not change from on state to off state) Pt/80 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor in the 85 °C retention test with respect to the test time. (e) Pt/80 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor can be repeatedly switched between on and off states with 3  $\mu\text{s}$  pulses (positive pulse voltage: 2.5 V; negative pulse voltage: -2.5 V). Here, the 1000 switching cycle result is shown. (f) 1000 switching cycles of the Pt/120 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor with 3  $\mu\text{s}$  pulses (positive pulse voltage: 2.5 V; negative pulse voltage: -2.5 V). (g) 1000 switching cycles of the Pt/160 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor with 3  $\mu\text{s}$  pulses (Positive pulse voltage: 3.4 V; Negative pulse voltage: -3.0 V). (h) Pulse switching of the Pt/200 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor with 3  $\mu\text{s}$  pulses (positive pulse voltage: 6.0 V; Negative pulse voltage: -6.0 V). This device is hard to switch with pulses (switching cycles <10).

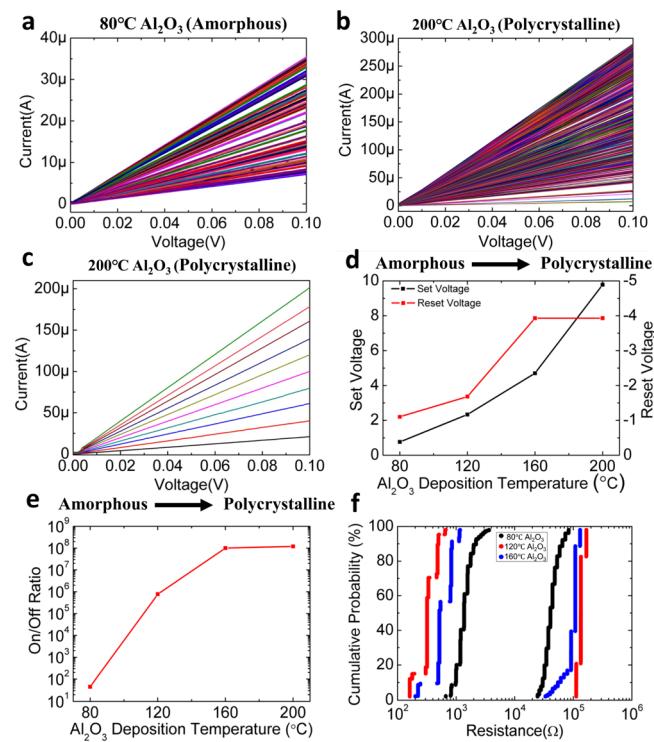
Ta/Pt memristors using  $\text{Al}_2\text{O}_3$  deposited at different temperatures, 1000 switching cycles were done for Pt/80 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristors, Pt/120 °C-deposited

$\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristors, and  $\text{Pt}/160\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$ . It is noticeable that the used pulse voltage was not very high ( $\pm 2.5$  V for  $80\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3$  and the  $120\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3$  memristor,  $+3.4$  and  $-3.0$  V for the  $120\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3$  memristor, and  $\pm 6.0$  V for the  $200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3$  memristor). This is because the memristors were not switched off totally in the pulse switching measurements. This can be demonstrated by observing the on/off ratio ( $< 10^4$ ) in the pulse switching measurements. The on/off ratio in the pulse switching measurements is much smaller than the on/off ratio in the  $I-V$  curves (Figure 3a). In addition, for  $\text{Pt}/200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristors, though  $\pm 6$  V pulses were used, the memristor was still hard to switch. As a result, the results of only several switching cycles ( $< 10$ ) are shown in Figure 3h. It is noteworthy that the pulse switching results of different memristors are very different, like resistance distribution (variation). The details and the reason behind the differences in pulse switching results are also introduced in the next section.

The number of conductance states is critical to memristors, which is an important feature for bio-inspired neuromorphic computing.<sup>16,36,37</sup> Figure 4a and 4b show the  $I-V$  curves for the  $\text{Pt}/80\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor and the  $\text{Pt}/200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor with different conductance states, respectively. The  $\text{Pt}/80\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor can provide conductance ranging from 70 to  $350\text{ }\mu\text{S}$ , and the  $\text{Pt}/200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor can provide conductance ranging from 70 to  $2900\text{ }\mu\text{S}$ . Moreover, Figure 4a,b also indicate that our memristors have good  $I-V$  linearity. Through controlling the compliance currents, the memristor can be set to the target state precisely, as shown in Figure 4c. The standard deviation of setting the memristor to an exact conductance state is  $9\text{ }\mu\text{S}$ . As a result, the  $\text{Pt}/80\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor can provide 31 states (around  $2^5$ ). The  $\text{Pt}/200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor can provide 314 states (more than  $2^8$ ), which is much better than the  $\text{Pt}/80\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor. The reason behind this is explained in the next section. Also, the feedback of the memristor programming can help set each memristor to the target state, which ensures the repeatability of conductance state programing. Moreover, the multilevel conductance states of our  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristors are stable (more information can be found in the Supporting Information Figure S5).

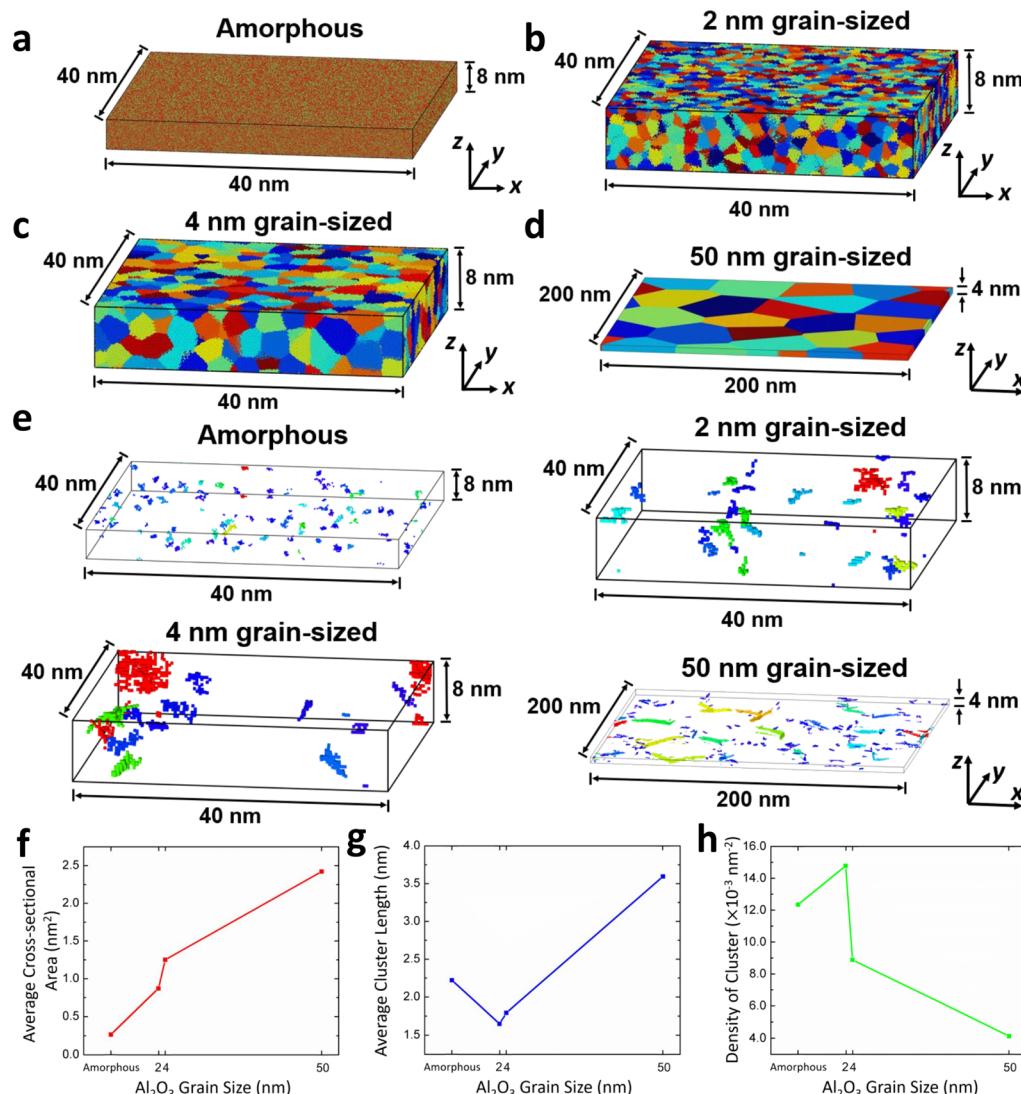
**2.4. Working Mechanism Study.** As mentioned above, the  $\text{Pt}/200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor can provide many more conductance states than the  $\text{Pt}/80\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor can. Additionally, as shown in Figure 4d,e, the set/reset voltage and on/off ratio of  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristors are increased with the increase in  $\text{Al}_2\text{O}_3$  deposition temperature. Figure 4f shows the resistance distribution of the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristors that have different  $\text{Al}_2\text{O}_3$  deposition temperatures at both on and off states in the pulse switching measurements. It is noticeable that the variation of different memristors is different, and the  $\text{Pt}/120\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor has the lowest variation. All of these demonstrate that the  $\text{Al}_2\text{O}_3$  deposition temperature directly affects the characteristics of the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor. In other words, the characteristics of the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor can be modified specifically by controlling the crystallinity of the switching layer material ( $\text{Al}_2\text{O}_3$ ).

Different crystalline  $\text{Al}_2\text{O}_3$  has different grain sizes. In order to understand the effect of the switching layer material ( $\text{Al}_2\text{O}_3$ )



**Figure 4.** Modifying  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor characteristics through controlling the switching layer material ( $\text{Al}_2\text{O}_3$ ) crystallinity. (a) Our memristor can be set to different conductance states using different compliance currents. Here, the  $I-V$  curves for the  $\text{Pt}/80\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor with different conductances are shown, which has 31 states (around  $2^5$ ). (b)  $I-V$  curves for the  $\text{Pt}/200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor with different conductances, which has 314 states (more than  $2^8$ ). (c) Through controlling the compliance currents, our memristor can be set to the target conductance state precisely. Here, the ten set conductance states (200, 400, 600, 800, 1000, 1200, 1400, 1600, 1800, and 2000  $\mu\text{S}$ ) of the  $\text{Pt}/200\text{ }^\circ\text{C}$ -deposited  $\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor are shown. (d) Change of the set/reset voltage of the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor with respect to the  $\text{Al}_2\text{O}_3$  deposition temperature. (e) Change of the on/off ratio of the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristor with respect to the  $\text{Al}_2\text{O}_3$  deposition temperature. The on/off ratios are calculated at read voltage 0.3 V according to Figure 3a. (f) Resistance distribution (2 to 98%) of the  $\text{Pt}/\text{Al}_2\text{O}_3/\text{Ta}/\text{Pt}$  memristors that have different  $\text{Al}_2\text{O}_3$  deposition temperatures at both on and off states in the pulse switching measurements (Figure 3e–g).

crystallinity on the memristor characteristics at the atomic level, multimillion-atom molecular dynamic simulations of the active  $\text{Al}_2\text{O}_3$  layer with different grain sizes were performed. As mentioned in Table 1, the estimated average grain size of the polycrystalline  $\text{Al}_2\text{O}_3$  deposited at 160 and  $200\text{ }^\circ\text{C}$  is  $\sim 150.5$  and  $\sim 207.0$  nm, respectively. Because of the simulation resource limitation, it is really hard to do MD simulation for polycrystalline  $\text{Al}_2\text{O}_3$  films that have these grain sizes. Because we just want to study the effect of crystallinity qualitatively, we change the simulation cell to  $\text{Al}_2\text{O}_3$  layers that are amorphous and with grain sizes at 2, 4, and 50 nm and were studied numerically. They were chosen to present  $\text{Al}_2\text{O}_3$  films that are amorphous, in transition between amorphous and polycrystalline and polycrystalline. Figure 5a–d shows the constructed amorphous  $\text{Al}_2\text{O}_3$  layer using a melt-quench scheme<sup>38</sup> and the constructed microstructures of  $\text{Al}_2\text{O}_3$  layers at grain sizes of 2, 4, and 50 nm using a Voronoi-annealing scheme,<sup>39–41</sup>



**Figure 5.** Working mechanism study. (a) Microstructure of the amorphous Al<sub>2</sub>O<sub>3</sub> layer generated by a melt-quench scheme. (b) Microstructures of 2 nm grain-sized Al<sub>2</sub>O<sub>3</sub> layer generated using the Voronoi-annealing scheme. (c) Microstructures of the 4 nm grain-sized Al<sub>2</sub>O<sub>3</sub> layer generated using the Voronoi-annealing scheme. (d) Microstructures of the 50 nm grain-sized Al<sub>2</sub>O<sub>3</sub> layer generated using the Voronoi-annealing scheme. (e) Connected clusters of low-density voxels in different Al<sub>2</sub>O<sub>3</sub> layers identified using a depth first search (DFS) method. Connected clusters in each layer are denoted by different colors for clarity. (f) Average cross-sectional area (in the *x*-*y* plane) for each cluster of low-density voxels with respect to the Al<sub>2</sub>O<sub>3</sub> layer type. (g) Average length of low-density voxels cluster with respect to the Al<sub>2</sub>O<sub>3</sub> layer type. 50 nm grain-sized Al<sub>2</sub>O<sub>3</sub> structures with larger grain sizes containing low-density clusters of the longest length (along the *z* direction). (h) Density of low-density voxel clusters with respect to the Al<sub>2</sub>O<sub>3</sub> layer type. Amorphous and small grained structures have a significantly larger number density of connected voxel clusters than larger grain-sized structures.

respectively (details can be found in the [Molecular Dynamics Simulations of Methods](#) section).

The characteristics of Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristors are determined by the energetics of formation and dissolution of conductive filaments.<sup>15,42,43</sup> In addition, the grain boundaries of the Al<sub>2</sub>O<sub>3</sub> layer and regions of a low local atomic density in the Al<sub>2</sub>O<sub>3</sub> layer constitute potential pathways for the formation of conductive filaments in the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristors.<sup>44–49</sup> As a result, the characteristics of Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristors can be estimated by quantifying the dimension and connectivity of grain boundaries and regions of a low local atomic density in the Al<sub>2</sub>O<sub>3</sub> layer. To quantify this, a connected component analysis (using DFS) of low-density voxels was performed<sup>50</sup> (details can be found in the [Molecular Dynamics Simulations of Methods](#) section). Here, the low-density voxels are the 3 Å × 3 Å × 3 Å regions containing no Al or O atoms.

Connected component analysis identifies all possible pathways for the conductive filaments. Figure 5e shows the results of the connected component analysis on different (amorphous, 2 nm grain-sized, 4 nm grain-sized, and 50 nm grain-sized) Al<sub>2</sub>O<sub>3</sub> layers highlighting the structure and connectivity of local low-density voxels. The connected component analysis results indicate that the amorphous Al<sub>2</sub>O<sub>3</sub> layer has a uniform distribution of small clusters of connected low-density voxels. In contrast, clusters of connected low-density voxels in other (2 nm grain-sized, 4 nm grain-sized, and 50 nm grain-sized) Al<sub>2</sub>O<sub>3</sub> layers are more heterogeneously distributed and exist exclusively at the grain boundaries. Figure 5e also shows that nonamorphous Al<sub>2</sub>O<sub>3</sub> layers can only form filaments through those low-density clusters on the grain boundary. This indicates that the characteristics of Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristors using nonamorphous Al<sub>2</sub>O<sub>3</sub> layers are mainly

determined by the grain boundaries of the  $\text{Al}_2\text{O}_3$  layer while not the regions of the low local atomic density in the  $\text{Al}_2\text{O}_3$  layer. For Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristor nonamorphous  $\text{Al}_2\text{O}_3$  layers, their characteristics are mainly determined by the regions of the low local atomic density in the  $\text{Al}_2\text{O}_3$  layer. This is because that amorphous  $\text{Al}_2\text{O}_3$  layers do not have grain boundaries.

It is reasonable to expect that the number of conductance states will be proportional to the cross-sectional area (in the  $x-y$  plane) of the connected clusters of low-density voxels. This is because the larger cross-sectional area of the connected clusters of low-density voxels means more possible diameters of conductive filaments in memristors. Different conduction filament diameters correspond to different conductance states. **Figure 5f** shows the change in the cross-sectional area (in the  $x-y$  plane) of the connected clusters of low-density voxels with respect to the type of  $\text{Al}_2\text{O}_3$  layer. The cross-sectional area of the connected clusters increases from an amorphous to a polycrystalline  $\text{Al}_2\text{O}_3$  layer, and further monotonically increases with the grain size. This behavior is consistent with the experimental observation of the number of resistance states of the Pt/80 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor and the Pt/200 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor (**Figure 4a,b**).

**Figure 5g,h** indicates that a large grain-sized  $\text{Al}_2\text{O}_3$  layer has fewer but longer low-density clusters, while amorphous and small-grained  $\text{Al}_2\text{O}_3$  layers contain a larger number of nonpercolating clusters of smaller lengths. As mentioned above, the characteristics of Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristors are determined by the energetics of formation and dissolution of conductive filaments. Both grain boundaries and regions of the low local atomic density in the  $\text{Al}_2\text{O}_3$  layer constitute potential pathways for the formation of conductive filaments in the Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristors. As mentioned above, only amorphous  $\text{Al}_2\text{O}_3$  layers have uniform distributions of small clusters of connected low-density voxels for filament formation, while nonamorphous  $\text{Al}_2\text{O}_3$  layers can only form filaments through those low-density clusters on the grain boundary. That is why amorphous  $\text{Al}_2\text{O}_3$  layers have the lowest programming voltage. **Figure 5g,h** also indicates that the larger grain-sized  $\text{Al}_2\text{O}_3$  layer has fewer but longer low-density clusters for filament formation. The longer the cluster, the larger the programming voltage is needed. These results are consistent with the experimental results (**Figure 3a–e**). Moreover, as shown in **Figure 3h**, it is hard to switch the Pt/200 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor using pulse. This is because the Pt/200 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor needs the highest programming voltage. The larger the energy dumped on the memristor when it is switched on, the more the stress on the memristor. Therefore, the Pt/200 °C-deposited  $\text{Al}_2\text{O}_3$ /Ta/Pt memristor has the worst endurance. To be emphasized, these memristors are not damaged, they only need to be either reformed or to be used at different pulse parameters. In addition, the increase of conductive pathways (proportional to the density of low-density clusters) in the  $\text{Al}_2\text{O}_3$  layer will reduce the variation in the electrical measurements of memristors. This is because that larger number of conductive pathways can decrease the effects of abnormal switching. If the density of low-density clusters in the  $\text{Al}_2\text{O}_3$  layer is higher, the corresponding Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristor will have lower variation. This also fits the experimental results (**Figures 3e–h** and **Figure 4f**).

### 3. CONCLUSIONS

In summary, this work proposes and demonstrates a method to modify the memristor characteristics specifically by controlling the crystallinity of the switching layer material. By setting the ALD temperature, the crystallinity of the deposited  $\text{Al}_2\text{O}_3$  can be controlled. Using different crystalline  $\text{Al}_2\text{O}_3$  as the memristor switching layer, the characteristics of the corresponding Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt cross-point memristors can be engineered. When memristors are used as switches, the switching layer deposited at the highest “amorphous” temperature is preferred. When memristors are used to implement synapse-form neuromorphic computing, the switching layer deposited at higher temperature and polycrystalline film with a larger grain size is preferred. Good characteristics such as high  $I-V$  linearity, high on/off ratio (around 10<sup>8</sup>), low pulse operation voltage (2.5 V), and multilevel conductance states (314 states) were obtained from our modified Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt memristors. More importantly, molecular dynamic simulations were performed to qualitatively study how the switching layer crystallinity affects the characteristics of memristors. We anticipate that through modifying the characteristics of memristors previously, memristors can be used for a broad spectrum of applications in the near future.

### 4. METHODS

**4.1. Device Fabrication.** As shown in **Figure 1a**, first, a layer of 150 nm  $\text{SiO}_2$  film was grown onto a 3-inch Si wafer ( $\langle 100 \rangle$ ) by thermal oxidation in a furnace (Thermco Products Corporation, MB71). Next, a lift-off layer (Shipley Microposit LOL 2000, 3000 rpm spin coating for 60 s and baking at 170 °C for 10 min) and a photoresist layer (AZ MiR 701, 3000 rpm spin coating for 40 s and baking at 90 °C for 1 min) was deposited onto the substrate. UV light exposure was performed at 54.3 mJ/cm<sup>2</sup> with a custom-designed photomask. Afterward, postbaking was performed at 110 °C for 1 min, and the substrate was immersed into the developer solution (AZ 300 MIF Developer) for 1 min. Then, 2 nm Ti (adhesion layer) and 20 nm Pt (bottom electrode metal) were vertically deposited onto the substrate by e-beam evaporation (Temescal BJD 1800 E-Beam Evaporator) with a deposition rate of 0.3 Å/s. Next, a lift-off process was performed by immersing the substrate into an acetone solution with ultrasound vibration and spraying the substrate with the acetone solution. The substrate was then immersed into the developer solution (AZ 300 MIF Developer) for 90 s to remove the residual lift-off layer. The patterns after the lift-off process consist of bottom electrodes. The samples were then covered with an 8 nm  $\text{Al}_2\text{O}_3$  blanket layer using a plasma-enhanced ALD process (Oxford PlasmaPro 100). The ALD temperature can be set precisely to control the crystallinity of the deposited  $\text{Al}_2\text{O}_3$ . Finally, 8 nm thick Ta and a 20 nm thick Pt top electrode were defined using a second photolithography, evaporation, and lift-off process using the same recipes to get the Pt/ $\text{Al}_2\text{O}_3$ /Ta/Pt cross-point memristor.

**4.2. Measurement and Characterization.** The refractive index of  $\text{Al}_2\text{O}_3$  deposited at different temperatures was measured by ellipsometry (Spectroscopic Ellipsometers, J.A. Woollam Co., Inc.). The high-resolution TEM images were acquired in a transmission electron microscope (Model: FEI Titan Themis G2 with spherical aberration and 4 detectors) operated at 200 keV. The cross-section samples were prepared with dual beam FIB (Model: FEI Helios 450S). The optical microscope image was taken with a Nikon Eclipse LV150N microscope. DC electrical characterizations, retention tests, pulse measurements, and multilevel conductance state measurements were all carried out with the Keithley 4200 semiconductor characterization system. The DC sweep rate is approximately 1 V/s. For the pulse measurements, the devices were programmed to on or off states, and the resistance was read at 1 V, 2  $\mu$ s pulse between switching events. The retention tests at 85 °C were performed on a hot plate in the nitrogen environment.

**4.3. Molecular Dynamics Simulation.** An amorphous Al<sub>2</sub>O<sub>3</sub> layer was prepared by the melt-quench scheme,<sup>38</sup> that is, by cooling the melt. Starting from a crystalline  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, the system was gradually heated until a very well-thermalized, high temperature liquid at 3000 K was obtained. From this liquid, the system was gradually cooled to 300 K in a total of 50 ps and was thermalized for 700 ps.

The nanocrystalline  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> layers were generated using the Voronoi tessellation method.<sup>39–41</sup> Three layers were generated with 2, 4, and 50 nm average grain size. The 2 and 4 nm grain size layers are three-dimensional nanostructures with grain distributed in a 40 × 40 × 8 nm periodic cell. The 50 nm grain size layer is a two-dimensional nanostructure with columnar grains distributed in a 200 × 200 × 4 nm cell. The centers for the tessellations are randomly distributed points within the sample with points closer than 0.4 d removed to avoid producing grains with very large aspect ratios. Once the grain microstructure was obtained, the atomic positions were chosen by randomly assigning a crystallographic orientation to each grain and then placing atoms on sites of the appropriately oriented  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> lattice. Periodic boundary conditions were applied in all three directions. The  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> nanocrystals were annealed to eliminate low- or high-density regions near the GB and triple junctions. Annealing was also used to relax the GB structure and residual stresses. The annealing process was done by increasing the temperature of the sample to 2000 K for 20 ps. Samples were further relaxed at 100 K and P = 0 GPa for a further 20 ps.

DFS is an algorithm to traverse the tree or graph.<sup>50</sup> DFS was employed to identify all possible Ta diffusion pathways in the Al<sub>2</sub>O<sub>3</sub> layer. Because diffusion of Ta in alumina can occur only through the low-density regions, a graph of the low-density region was created to be used in DFS search, using the following steps. After thermalization, the molecular dynamics system was divided into a voxel of size 27 Å<sup>3</sup>. Each voxel with no aluminum or oxygen atoms is called empty voxel and treated as a node on the graph. Further, if the nearest voxel of each is empty, an edge between both nodes will be created. DFS was performed on the graph to identify all the connected paths in the graphs. Each path found by DFS empty voxels will allow the transfer of Ta in the Al<sub>2</sub>O<sub>3</sub> layer.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsaem.0c00148>.

HAADF STEM and EDS images of the deposited Al<sub>2</sub>O<sub>3</sub> film; I–V curves of multiple switching cycles of the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristor; more pulse switching data of the Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristor from different devices; and repeatability and stability of multilevel conductance states of Pt/Al<sub>2</sub>O<sub>3</sub>/Ta/Pt memristors ([PDF](#))

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H.Y. and B.C. contributed equally to this work. H.Y., B.C., B.S., and W.W. designed the experiments. H.Y., B.C., B.S., D.M., and W.W. performed the fabrication and material characterizations. S.T., A.K., P.B., R.K., A.N., and P.V. performed the simulation. H.Y., B.C., B.S., Z.L., Y.W., P.H., T.O., and W.W. performed the analyses. B.C., Y.X., and H.W. performed the

electrical characterizations. F.L. was responsible for taking TEM images. W.W. supervised the work. H.Y., B.C., B.S., S.T., A.K., A.N., and W.W. composed the manuscript. All of the authors reviewed and commented on the manuscript.

## Notes

The authors declare no competing financial interest.

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