

# Causal Thinking

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LAsBEST LECTURE JUNE 27, 2023

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# Objectives

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At the conclusion of this class meeting, students will be able to:

- Define a causal effect
- Explain exchangeability and why it is important for causality
- Define confounding and describe its relevance to the concept of exchangeability
- Use Directed Acyclic Graphs to assess for confounding

# Roadmap

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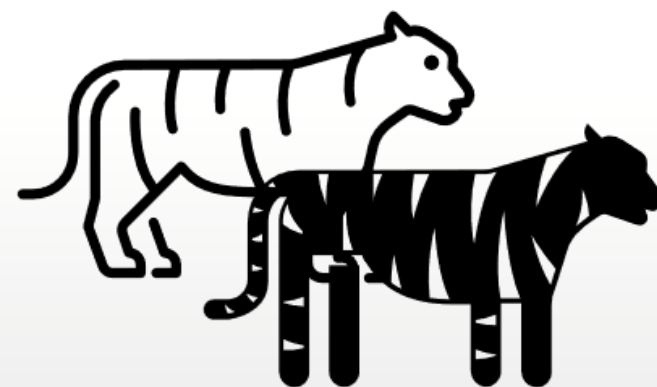
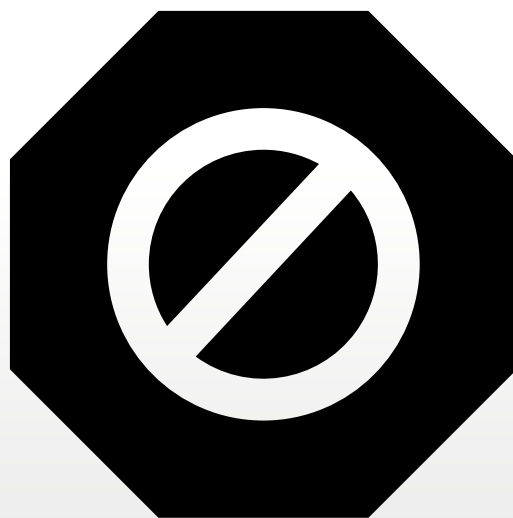
## Causality

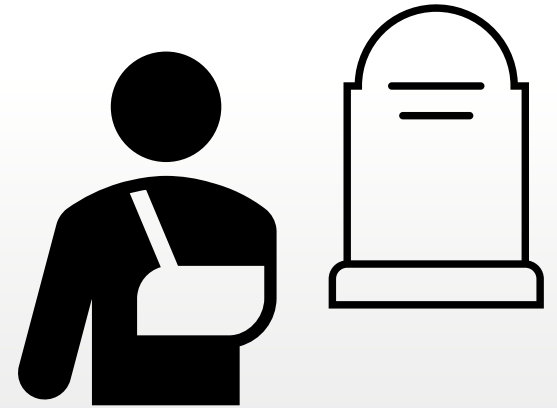
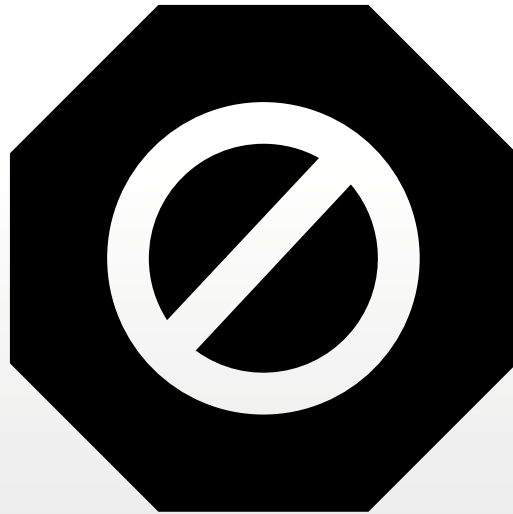
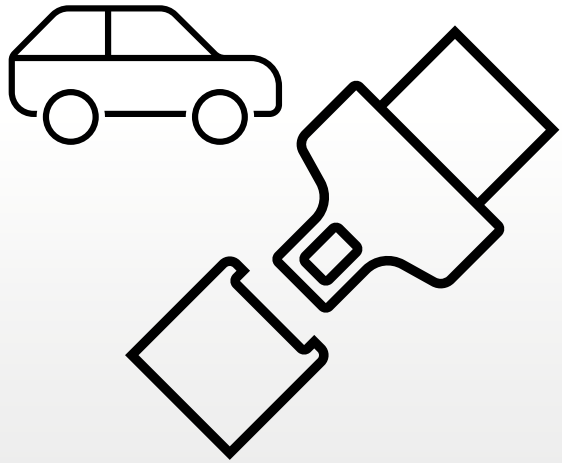
- Causal thinking
- Exchangeability
- Confounding

## Directed Acyclic Graphs (DAGs)

# Causality

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# An intuitive definition of cause

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- Sam took the red pill on March 1
  - Five days later, she died



- Had Sam not taken the red pill (all other things being equal)
  - Five days later, she would have been alive



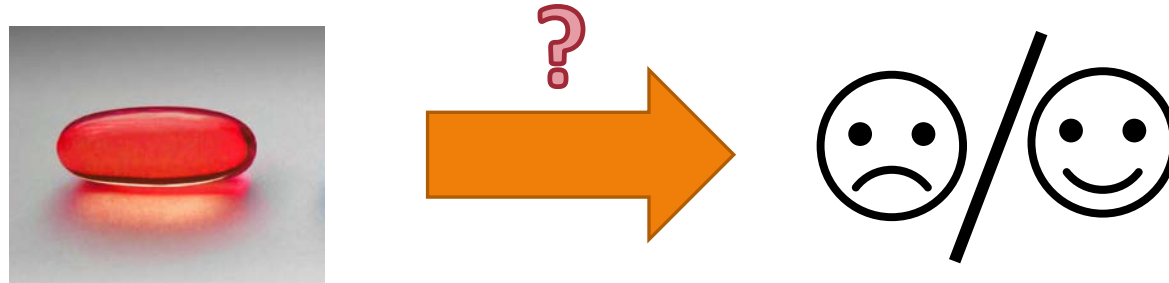
- ❖ Did the red pill have a causal effect on Sam's death?



# Did the red pill have a causal effect on Sam's death?

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1. Yes
2. No
3. Don't know





# An intuitive definition of cause

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- June didn't take the red pill on March 1
  - Five days later, she was alive



- Had June taken the red pill (all other things being equal)
  - Five days later, she would have been alive



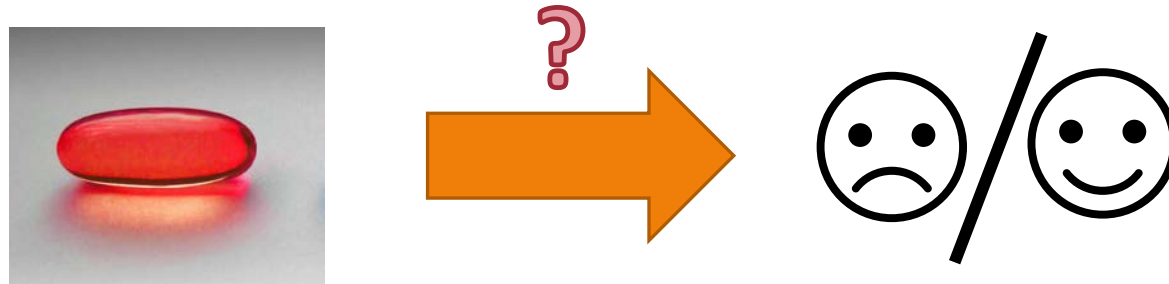
- ❖ Did the red pill have a causal effect on June's survival



# Did the red pill have a causal effect on June's survival?

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1. Yes
2. No
3. Don't know



# Human reasoning for causal inference

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- We compare (often only mentally)

- The outcome when action  $A$  is present with
- The outcome when action  $A$  is absent
- All other things being equal



- If the two outcomes differ, we say that the action  $A$  has a causal effect

- Causative or preventive

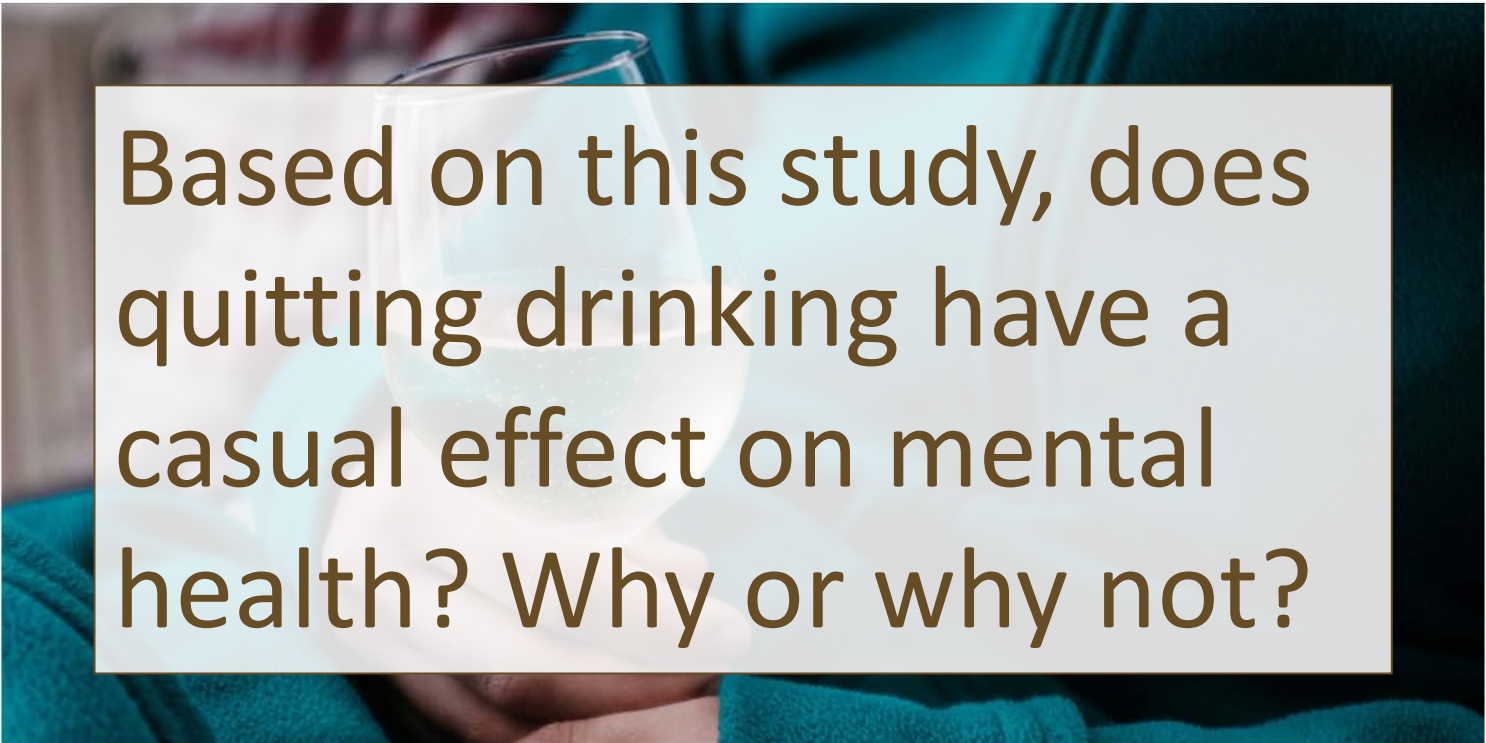
- In clinical and public health research,  $A$  is commonly referred to as **exposure** or **treatment**

<https://www.today.com/health/women-who-stop-drinking-alcohol-improve-mental-health-study-finds-t157931>

MIND & BODY

## Women who stop drinking alcohol improve mental health, study finds

The growing use of alcohol among women has become a public health concern in recent years.



Based on this study, does quitting drinking have a casual effect on mental health? Why or why not?

*Women who quit alcohol improved their mental well-being, researchers reported this week in CMAJ (Canadian Medical Association Journal).*

*For the study, researchers analyzed the drinking habits and self-reported levels of mental health of more than 41,000 people.*

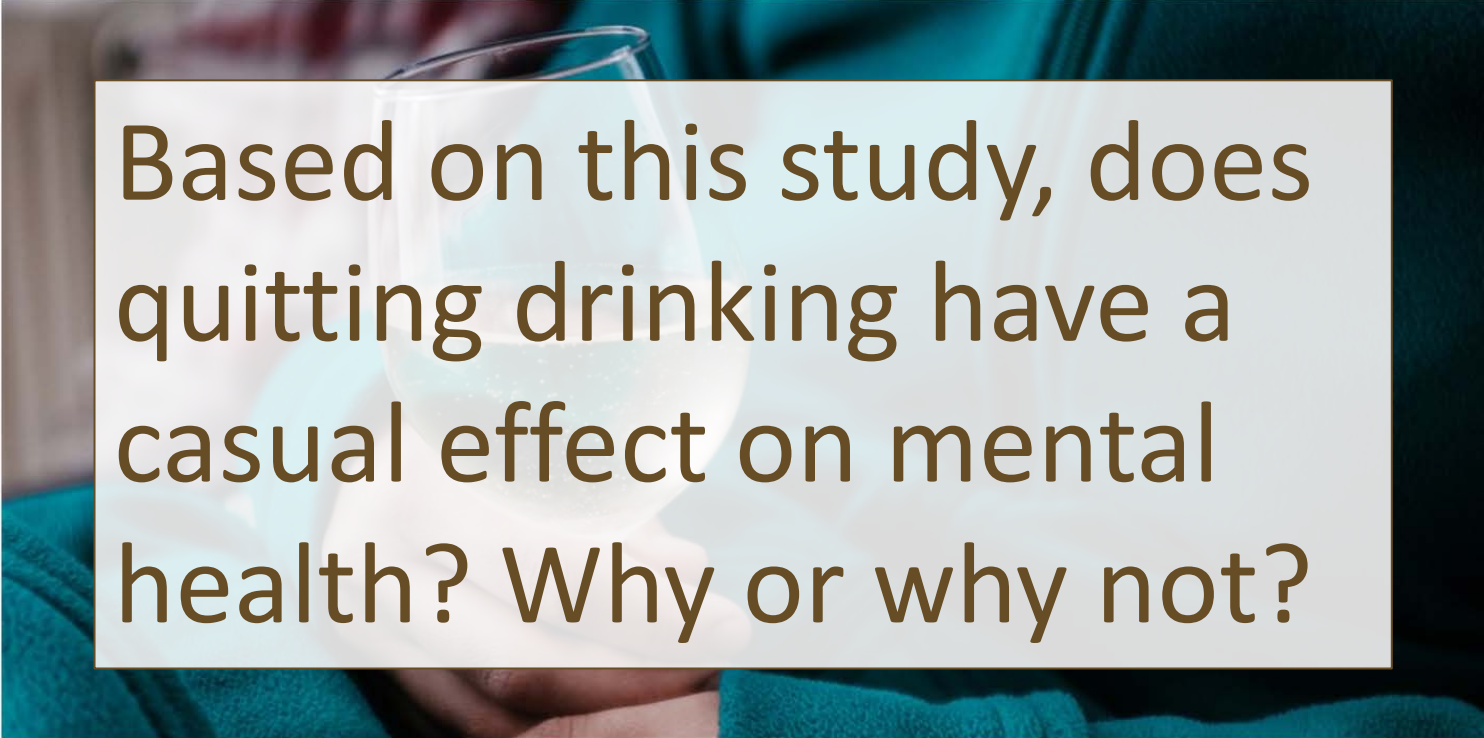
*Men and women who were lifetime abstainers — those who didn't drink any alcohol at all at any point in their lives — reported the highest levels of mental well-being.*

*When the drinkers were followed over time, quitting alcohol was linked with a more favorable change in mental well-being among women. Women who stopped drinking approached the highest levels of mental health reported by lifetime abstainers within four years.*

MIND & BODY

# Women who stop drinking alcohol improve mental health, study finds

The growing use of alcohol among women has become a public health concern in recent years.



Based on this study, does quitting drinking have a casual effect on mental health? Why or why not?

## *What if instead...*

*For the study, researchers recruited 41,000 people. Mental health was assessed at enrolment into the study.*

*Drinkers were randomized to either continue current drinking habits or to quit drinking. There was 100% adherence to the protocol.*

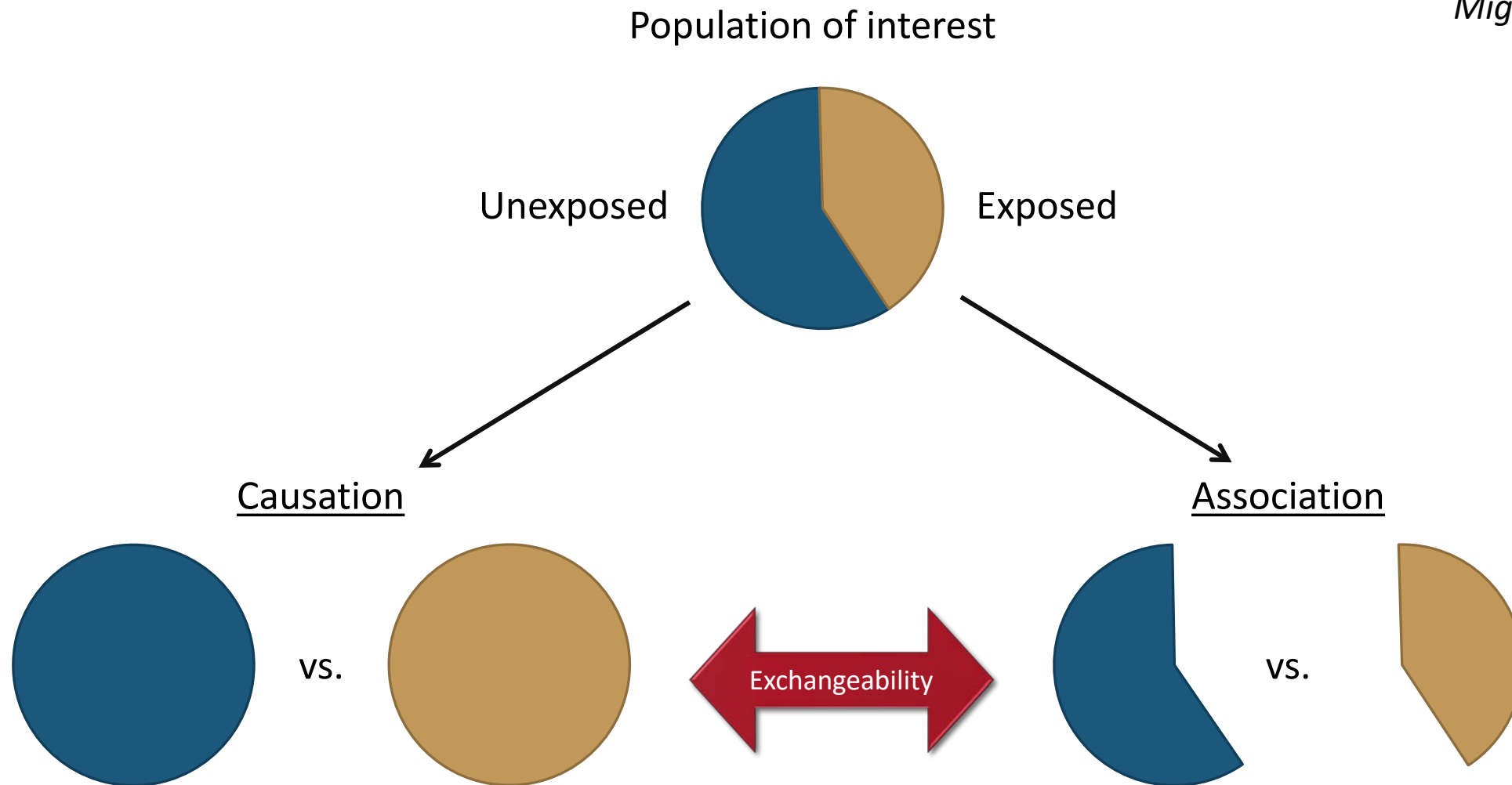
*Women in the “quit alcohol group” had a more favorable change in mental well-being compared with women in the “drinking as usual” group.*

# Association is not causation

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**Association:** risk difference in two subsets of the population distinguished by individual's actual treatment/exposure value

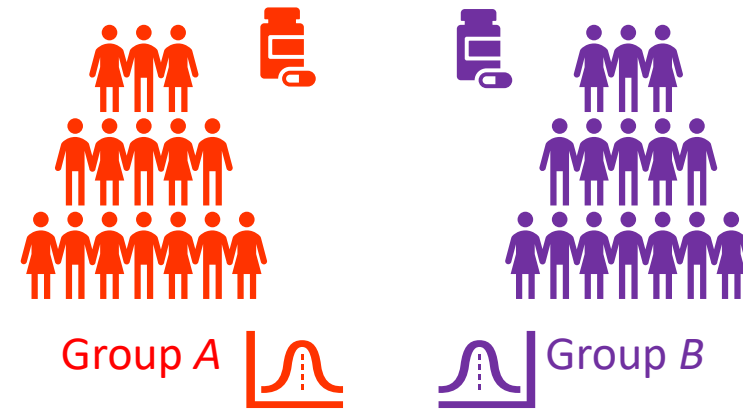
**Causation:** risk difference in the entire population under two different treatment values



# Exchangeability

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Subjects in **group A** would have experienced the same effect as those in **group B** had they (**group A**) received the treatment/exposure of those in **group B**



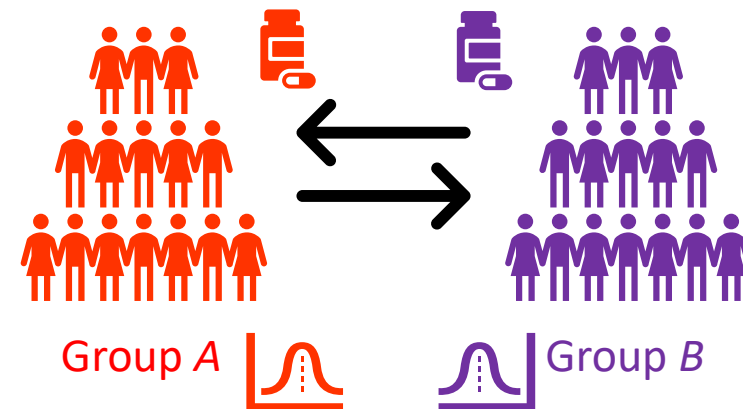


# Exchangeability

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Subjects in **group A** would have experienced the same effect as those in **group B** had they (**group A**) received the treatment/exposure of those in **group B**

Implies lack of confounding



Randomization is so highly valued because it is expected to produce exchangeability

# Confounding

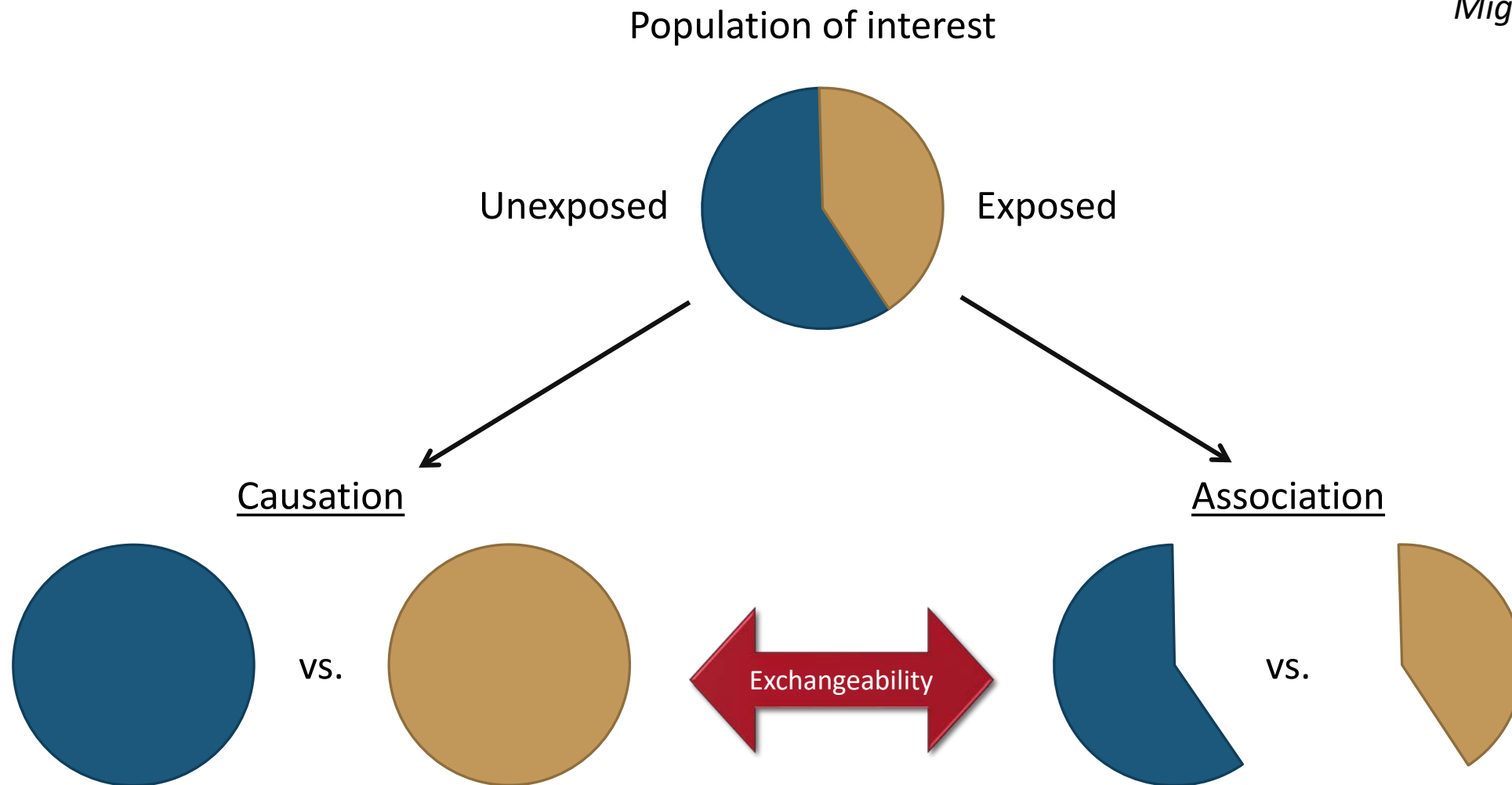
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From Latin *confundere*, to mix together

The distortion of a measure of the effect of an exposure on an outcome due to the association of the exposure with other factors that influence the occurrence of the outcome (*A Dictionary of Epidemiology, 5<sup>th</sup> Ed.*)

Can bias effect estimates—a major concern in observational studies

If confounding is present, you do not have exchangeability



Causation  $\neq$  Association then there is confounding

# The research assistants made a mistake

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Study participants were randomized into either group **A** or **B**

Original treatment assignments:

- **Group A** were assigned to continue current alcohol drinking habits
- **Group B** were assigned to quit drinking alcohol

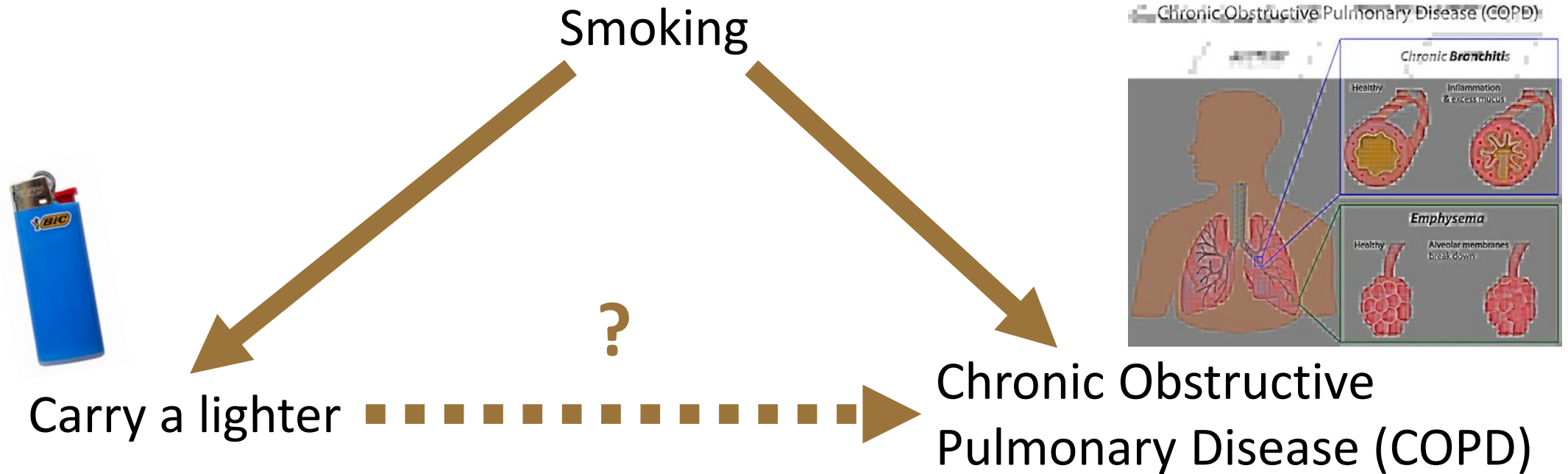
But, the instructions were misinterpreted and instead:

- **Group A** were assigned to quit drinking alcohol
- **Group B** were assigned to continue current alcohol drinking habits

❖ How would this reversal of treatment assignment impact the study's results?

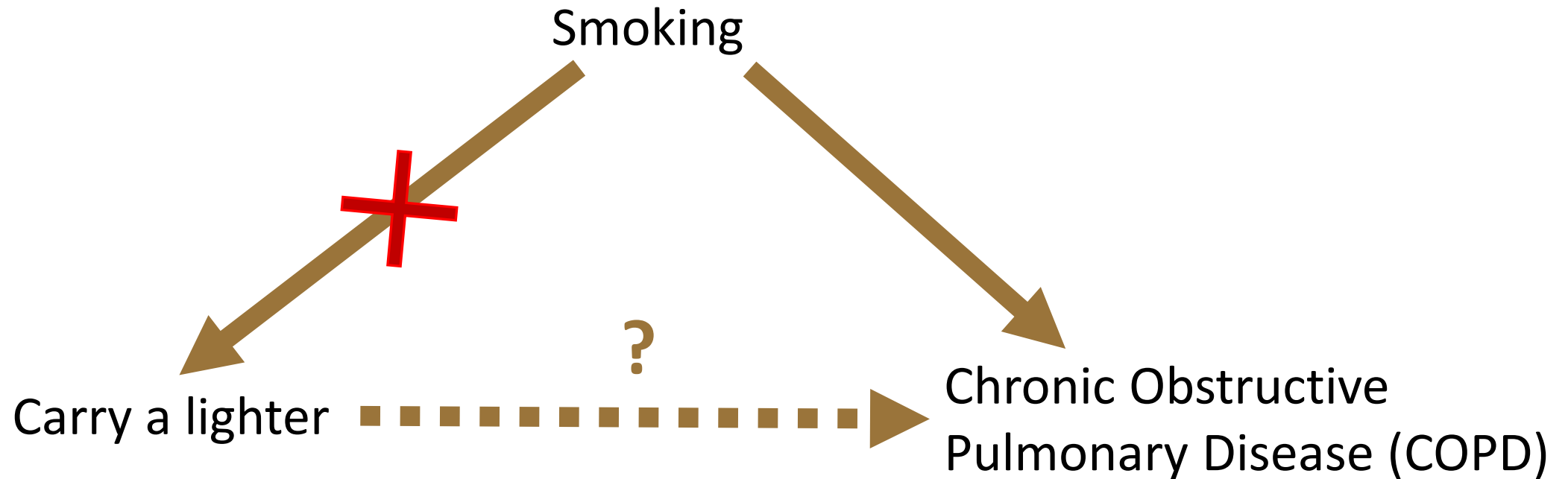


In an observational study (asking if they carry a lighter), how might those participants who carry a lighter differ from those who do not carry a lighter?



Smoking may confound the relation between carrying a lighter and risk of COPD

In an experimental study with randomized treatment assignment (randomly assign whether person carries a lighter; 100% adherence), how might those participants who carry a lighter differ from those who do not carry a lighter?



Smoking not likely to confound the relation between carrying a lighter and risk of COPD

# Review

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1. Define a causal effect
2. Explain exchangeability and why it is important for causality
3. Define confounding and describe its relevance to the concept of exchangeability

# Directed Acyclic Graphs

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AKA DAGS



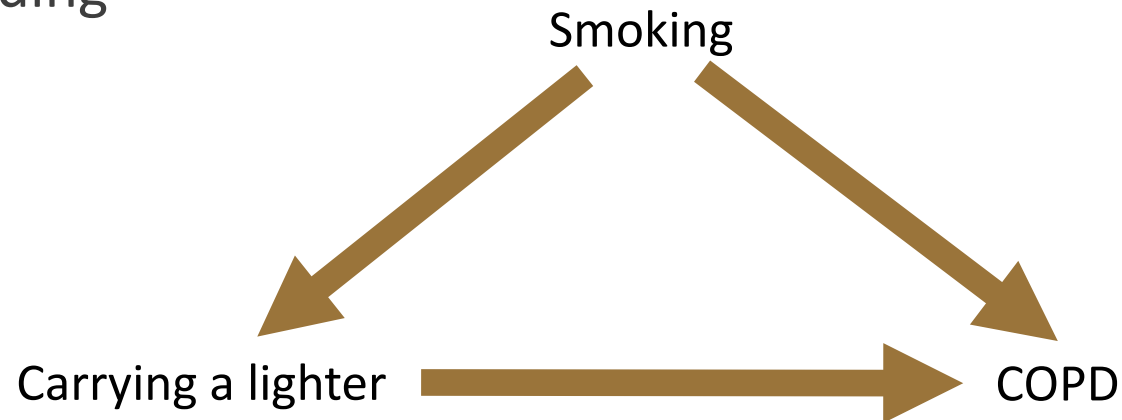
# Causal Diagrams as a Tool in Epi Research

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Summarization of causal links using graphs/diagrams has long been used as a tool in causal analysis

Encode our assumptions—they display assumptions about the web of causation

Help researchers in the selection of factors to control for in a study in order to reduce confounding

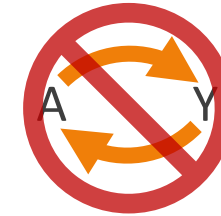


# Directed Acyclic Graphs (DAGs)

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**Directed:** A  Y

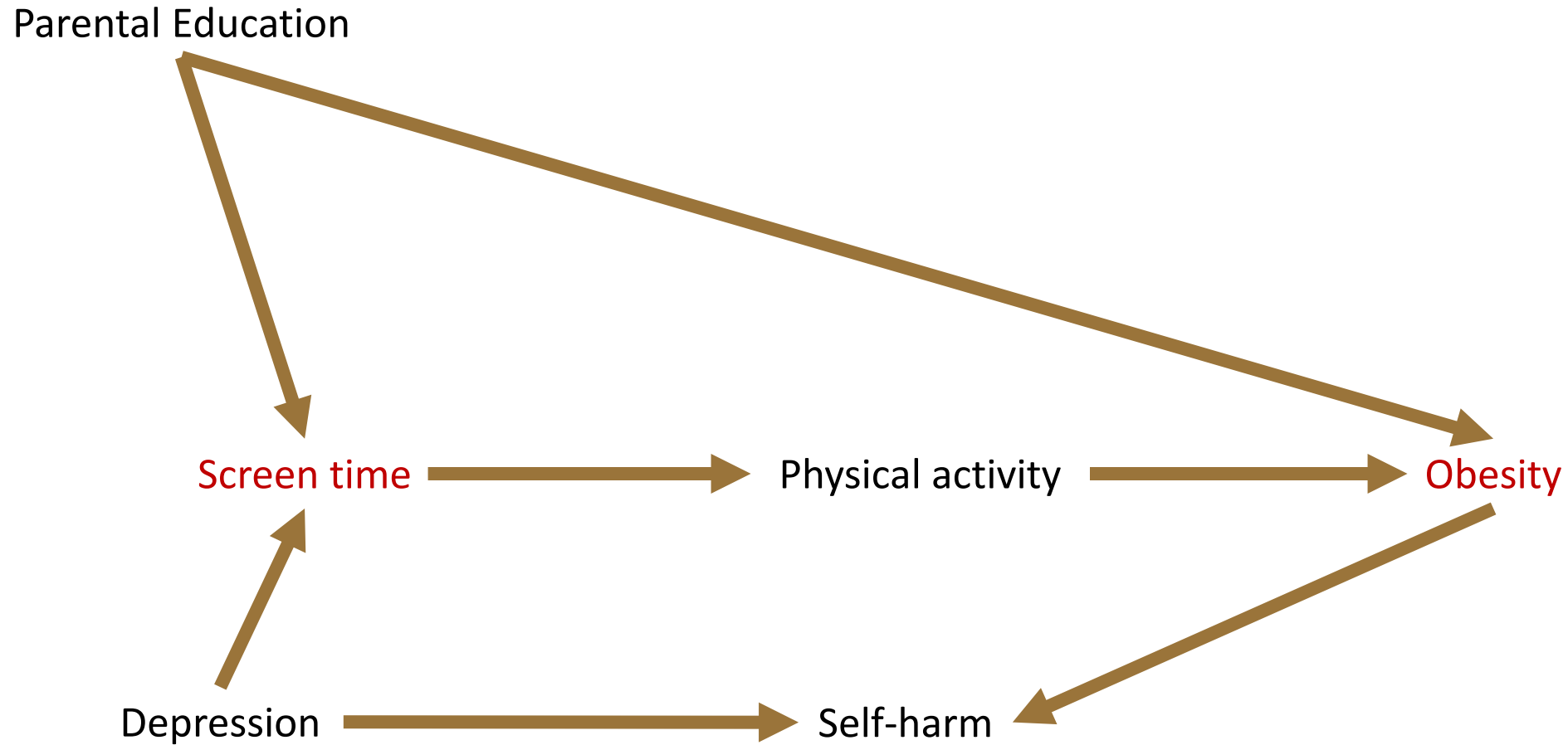
**Acyclic:** Cannot contain a feedback loop where a variable causes itself



**Graph**

In a DAG, causal relationships are represented by arrows between variables, pointing from cause to effect

- No arrow between two variables implies no causal relation between those two factors (also an assumption)



# Directed Acyclic Graphs (DAGs)

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2 variables can be connected by a “path” between them

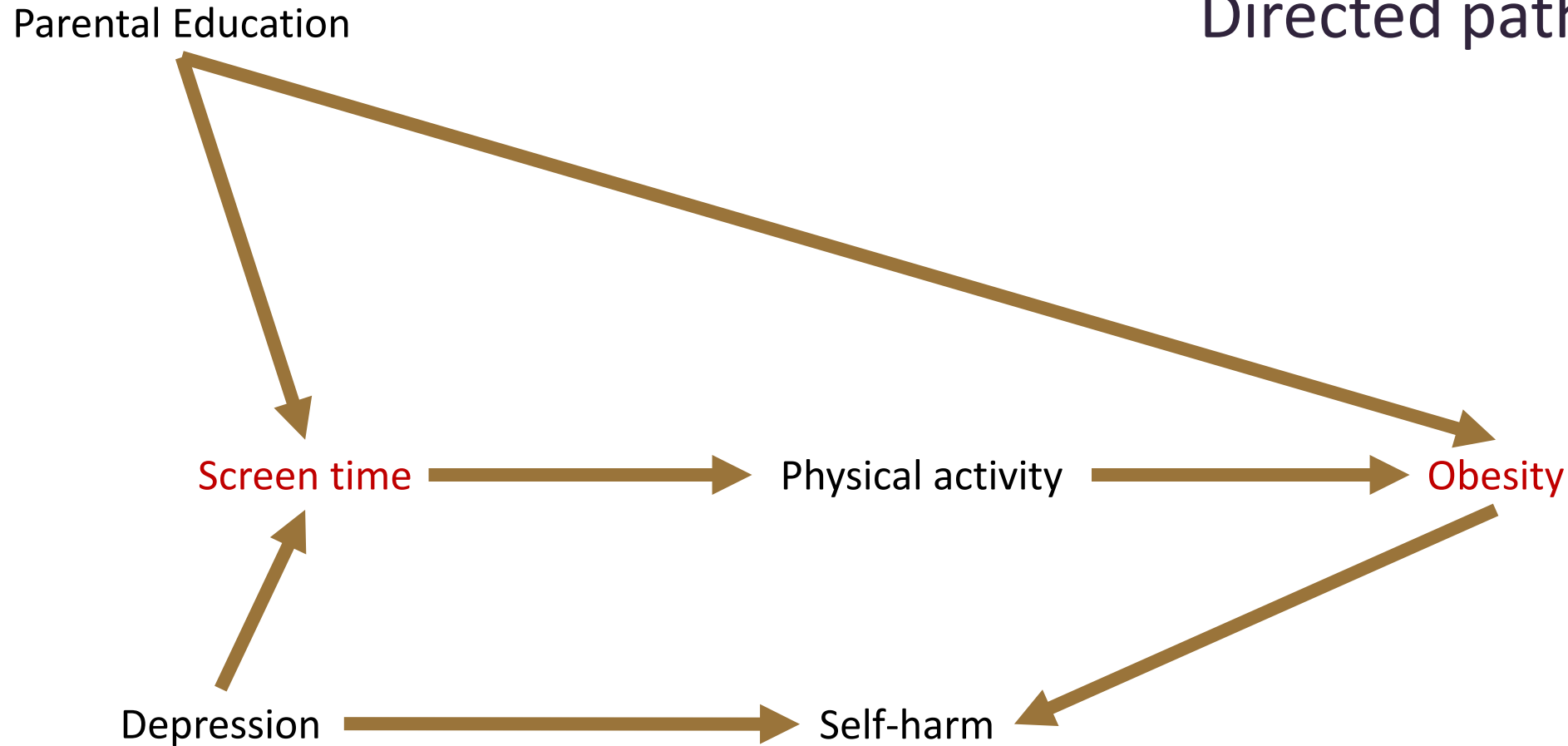
- Path: unbroken route traced along arrows connect two variables

Variables and arrows can be combined into 3 main types of paths:

➤ **Directed paths**: Traced through a sequence of arrows, always entering an arrow through the tail and leaving through the head. Arrow points out of X and leads to Y. Reflects a causal relationship.

**Reminder:** sequence of arrow from X to Y all pointing in “forward” direction

Directed path?



# Directed Acyclic Graphs (DAGs)

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2 variables can be connected by a “path” between them

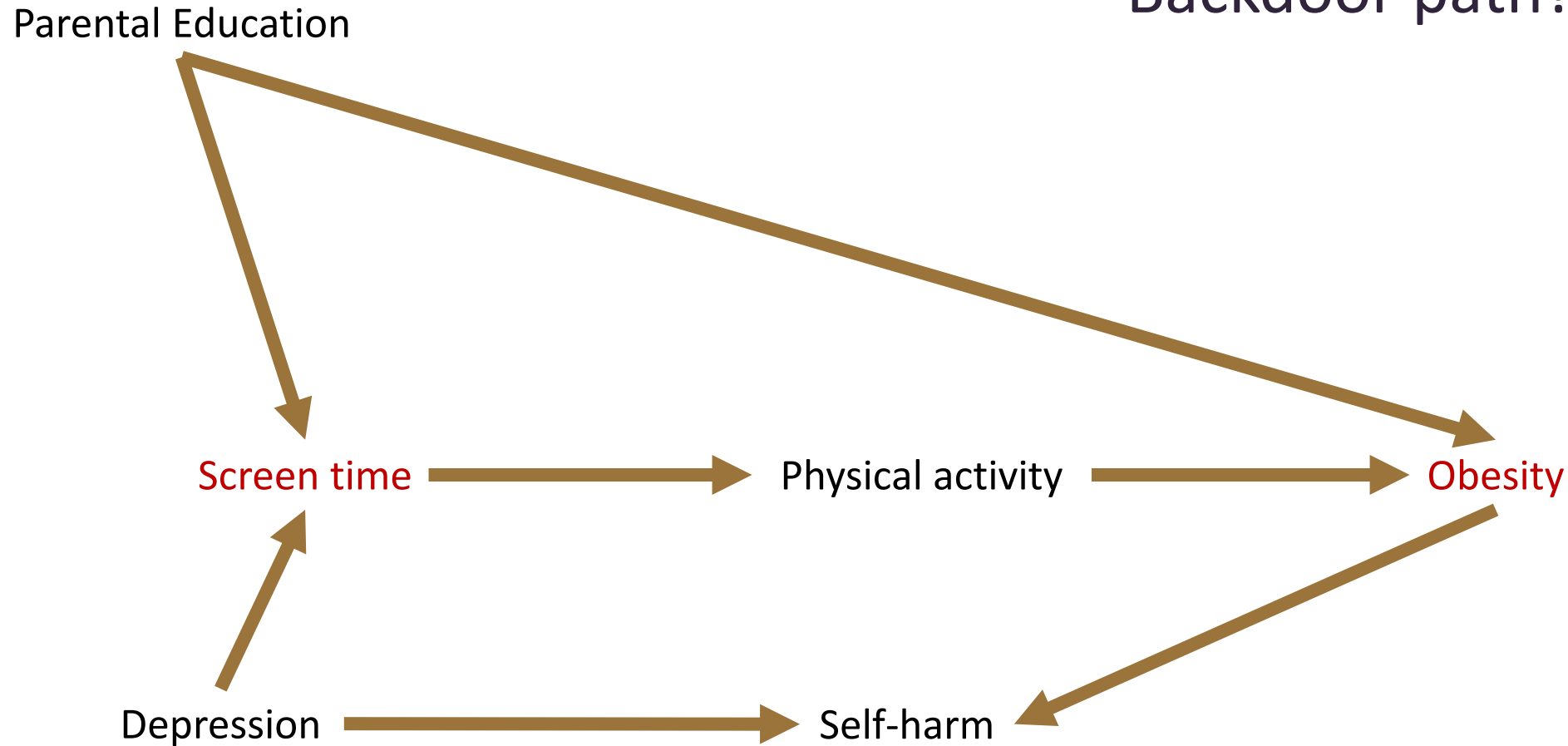
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Variables and arrows can be combined into 3 main types of paths:

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- **Backdoor paths**: A path that connects X to Y if it has an arrowhead pointing to X

**Reminder:** path that connects X to Y  
with an arrowhead pointing to X

## Backdoor path?



# Directed Acyclic Graphs (DAGs)

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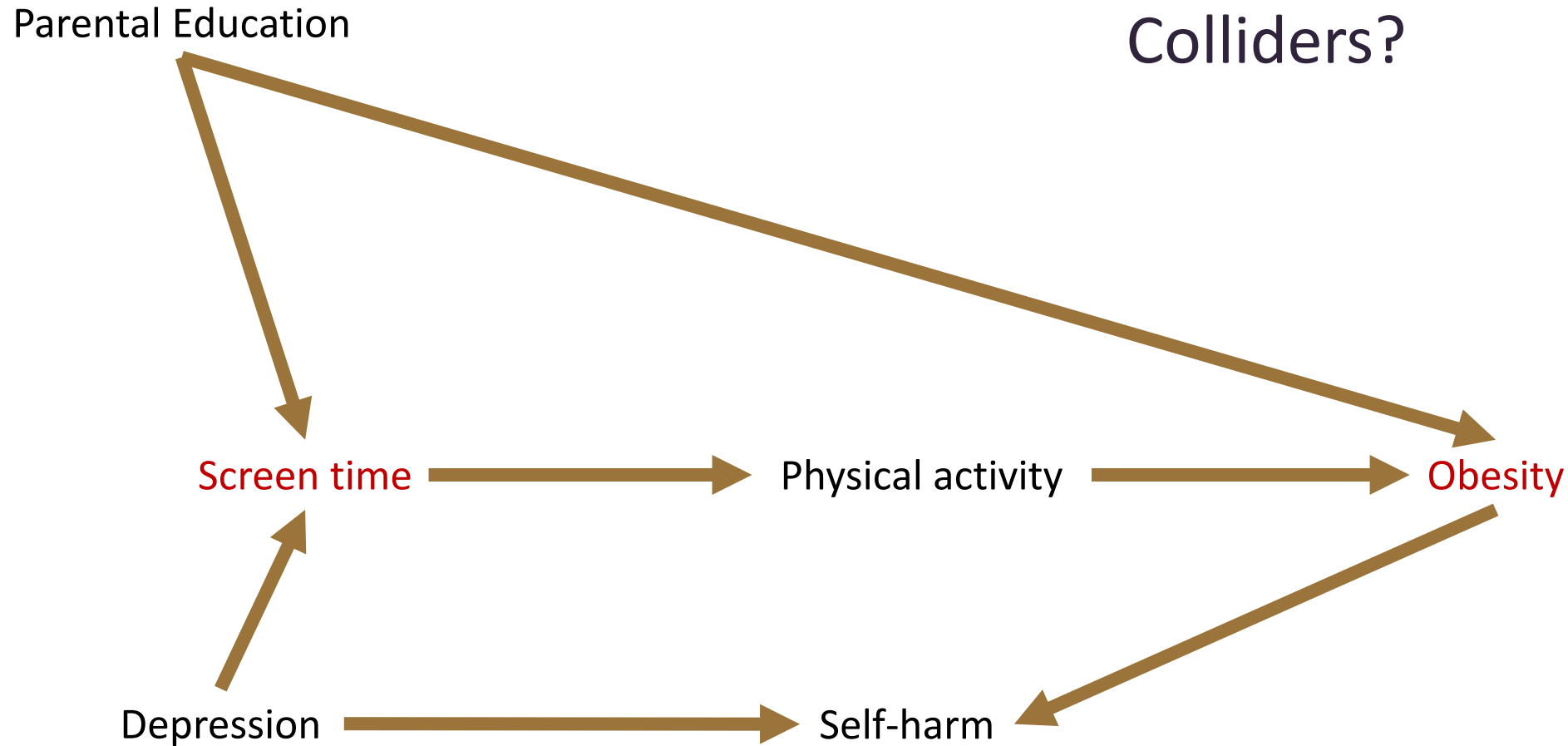
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- **Backdoor paths**: A path that connects X to Y if it has an arrowhead pointing to X
  - **Closed/Blocked**: The path has one or more colliders (a variable that you enter and exit through arrowheads). There is no association between X and Y transmitted through the collider.



**Reminder:** a variable that you enter and exit through arrowheads (i.e., both arrowheads point into variable)

## Colliders?



# Directed Acyclic Graphs (DAGs)

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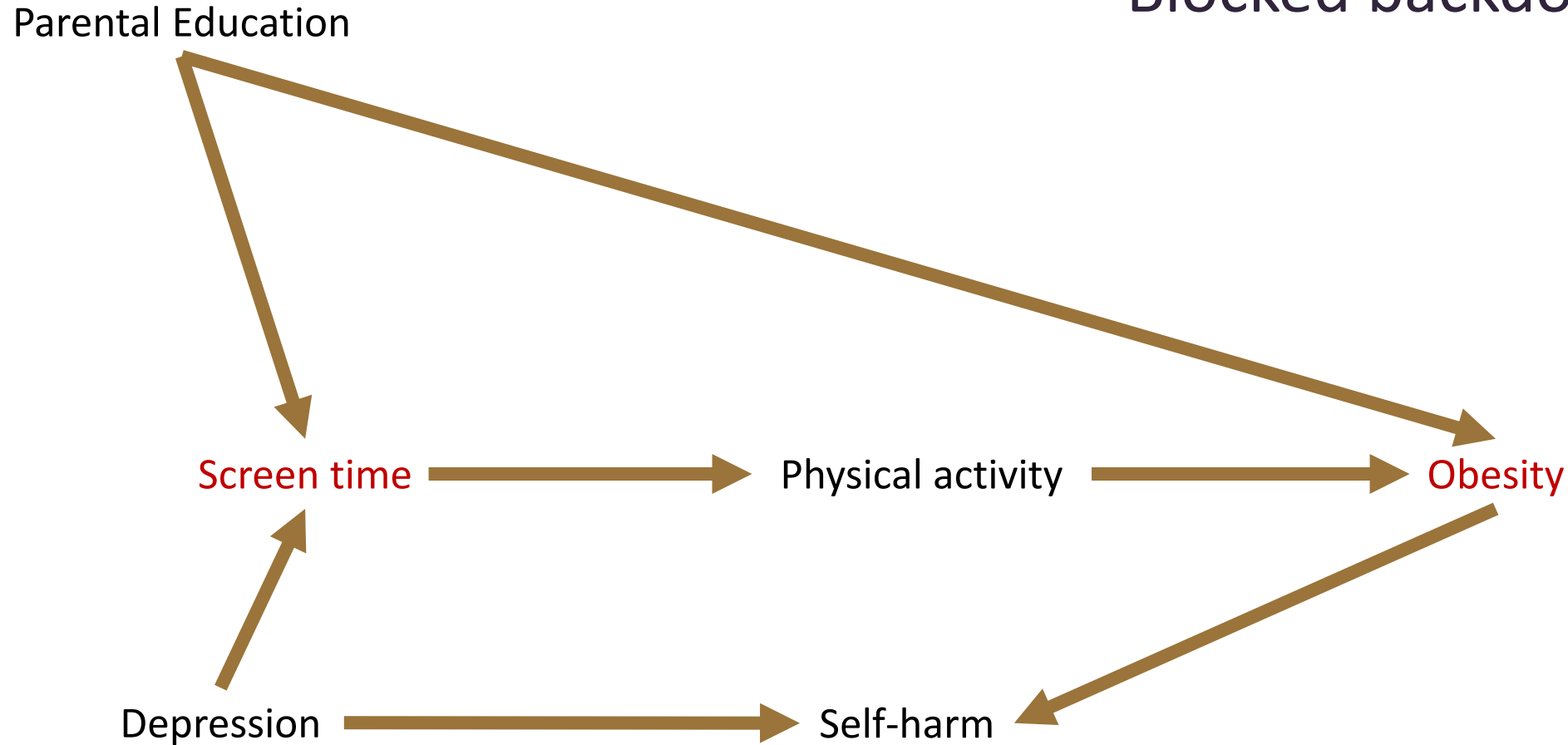
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**Reminder:** backdoor path that has one or more colliders

## Blocked backdoor path?



# Directed Acyclic Graphs (DAGs)

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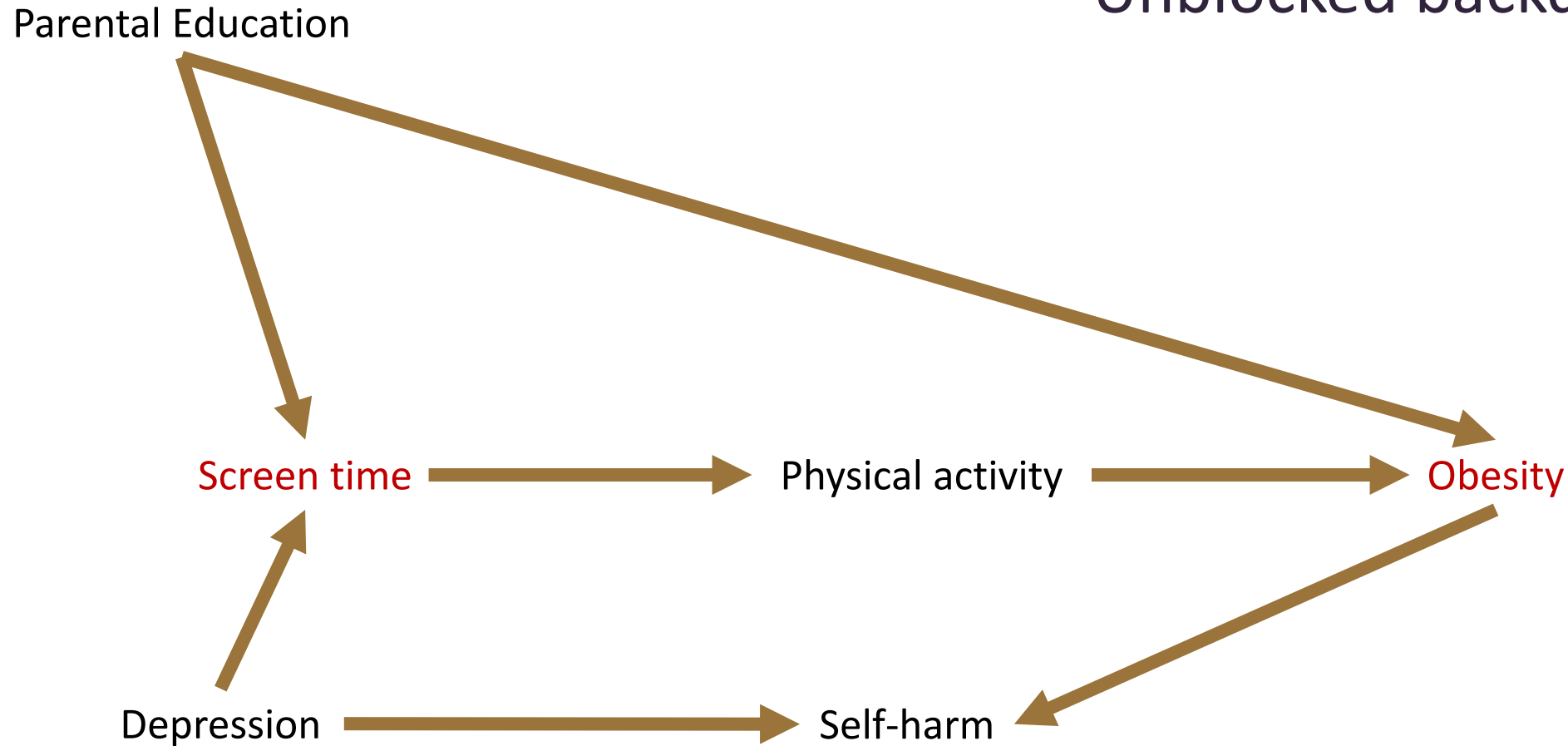
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  - **Open/Unblocked**: There are no colliders on the path. The two variables share the same cause. This path is open, but the association is non-causal. Represents the basic structure of confounding.

**Reminder:** backdoor path with no  
colliders

Unblocked backdoor path?



# Directed Acyclic Graphs (DAGs)

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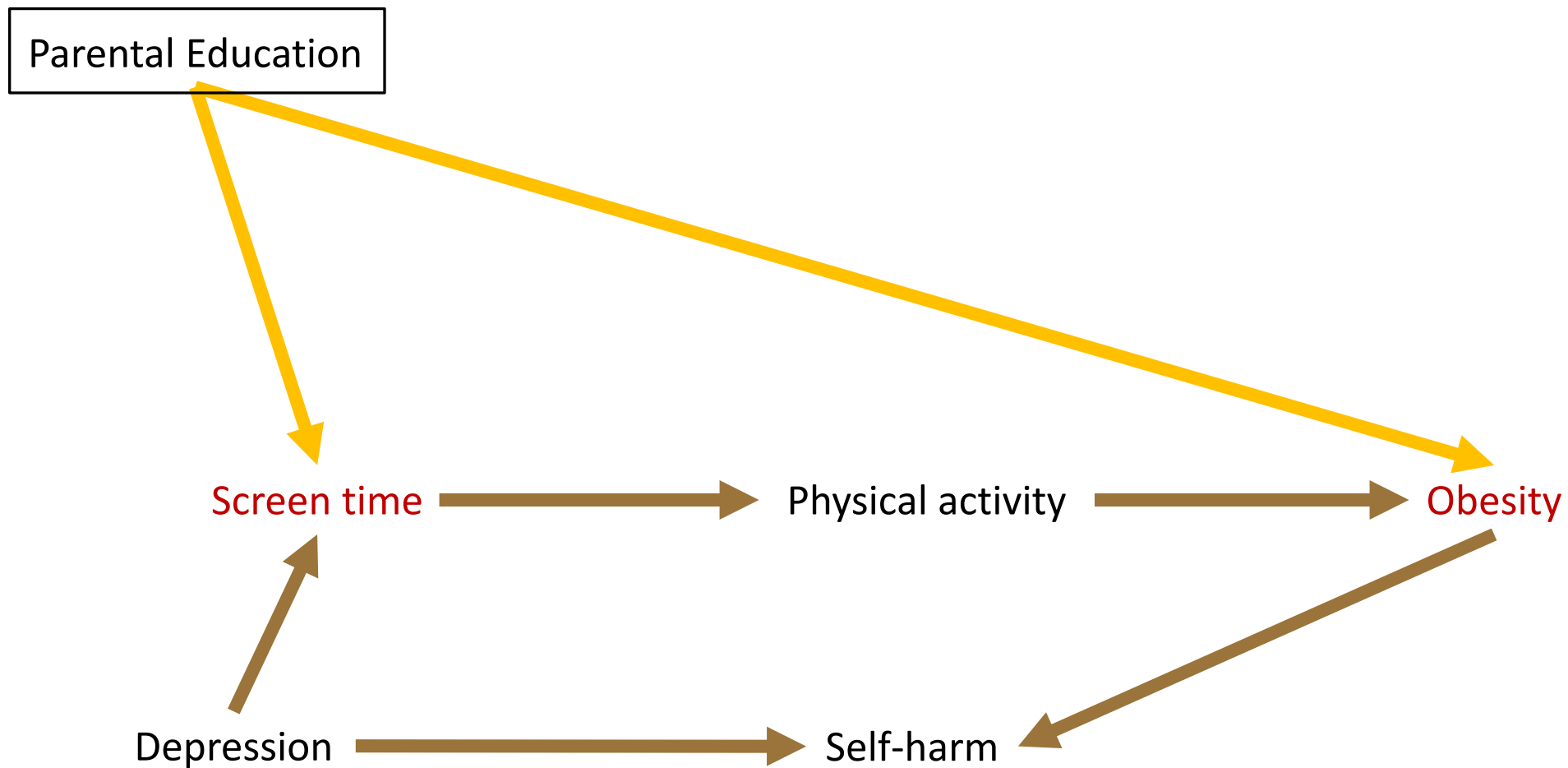
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# Directed Acyclic Graphs (DAGs)

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Researchers can change the status of a backdoor path from open to closed, or vice versa, by acting on (conditioning on, or controlling for) a variable (a confounder)

- Through study design or statistical adjustments such as restriction, stratification, matching, standardization, or multivariable regression





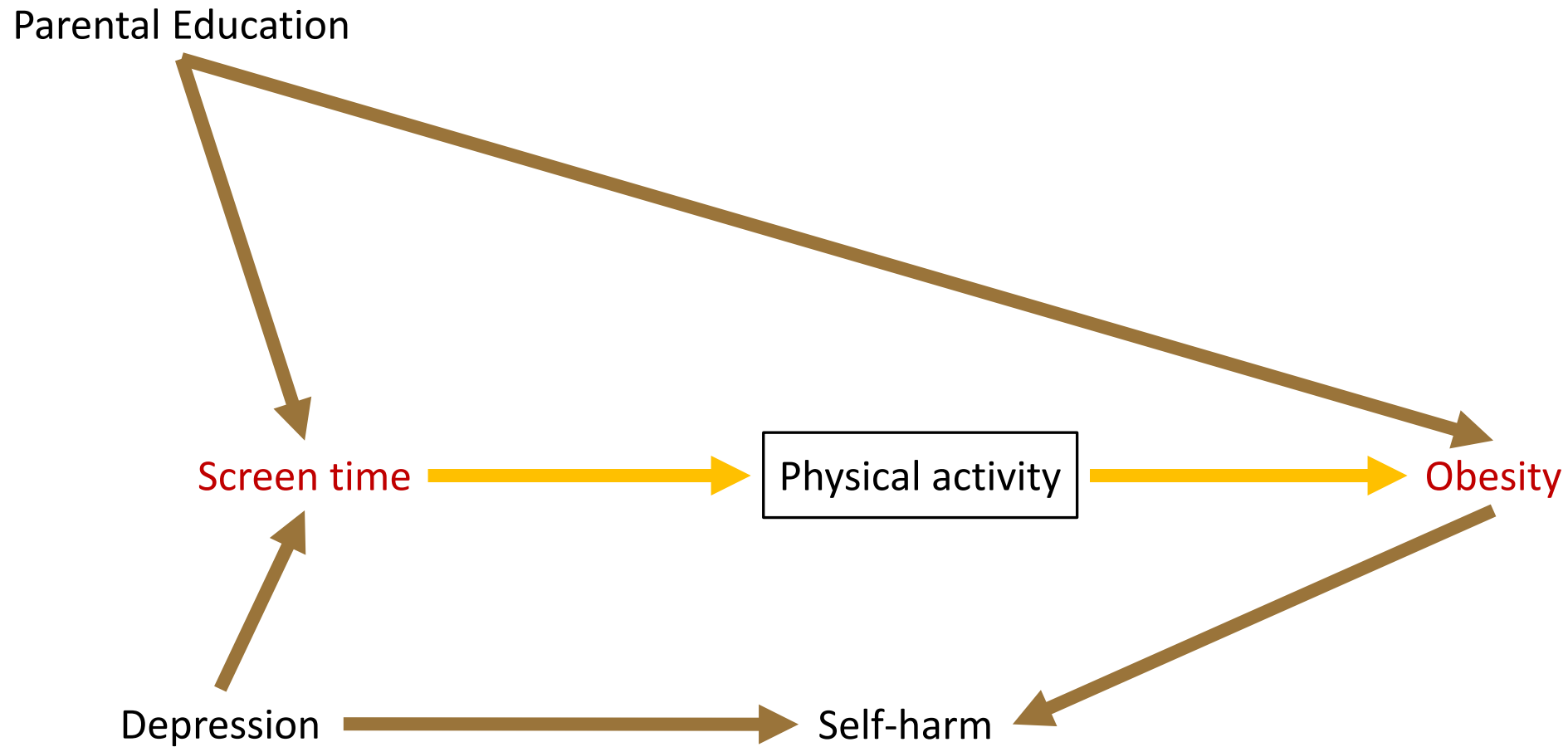
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Conditioning on a variable in a directed path between two variables (a mediator/intermediate) closes this path, and could lead to an incorrect estimate of the true overall association between the variables



# Directed Acyclic Graphs (DAGs)

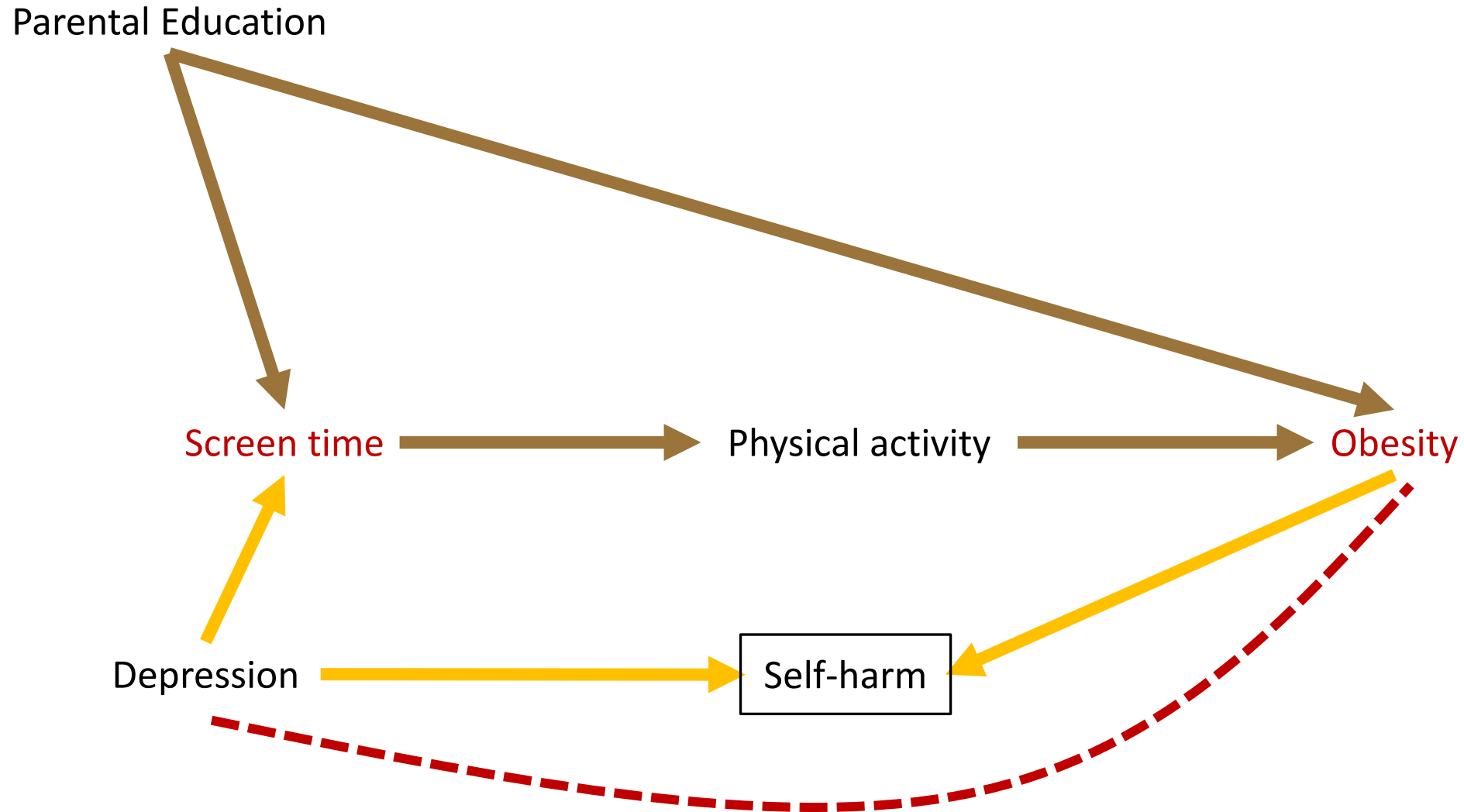
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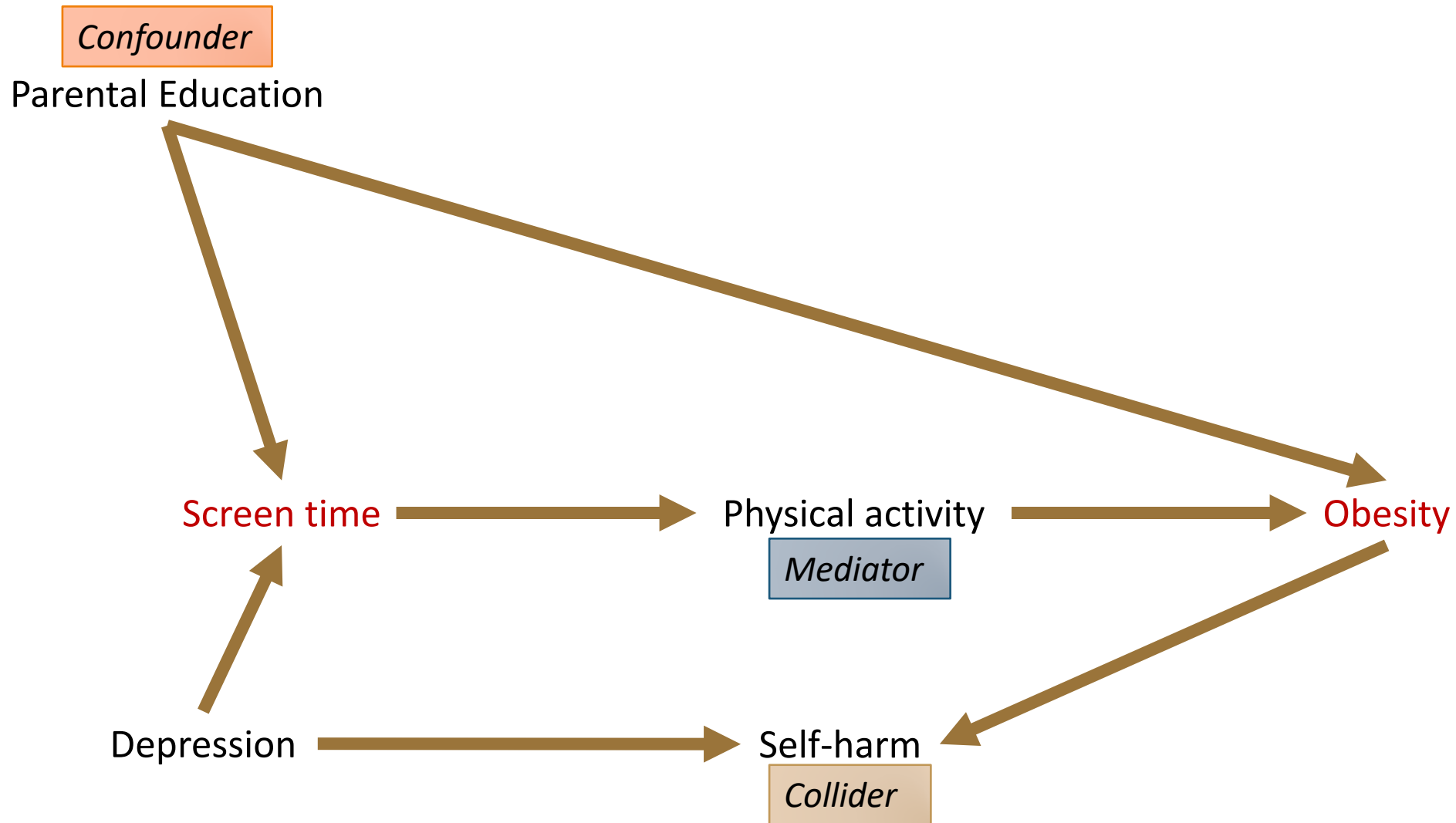
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Conditioning on a variable in a directed path between two variables (a mediator) closes this path, and could lead to an incorrect estimate of the true overall association between the variables

Conditioning on a variable in a closed backdoor path (a collider) opens this path and leads to transmission of a non-causal association



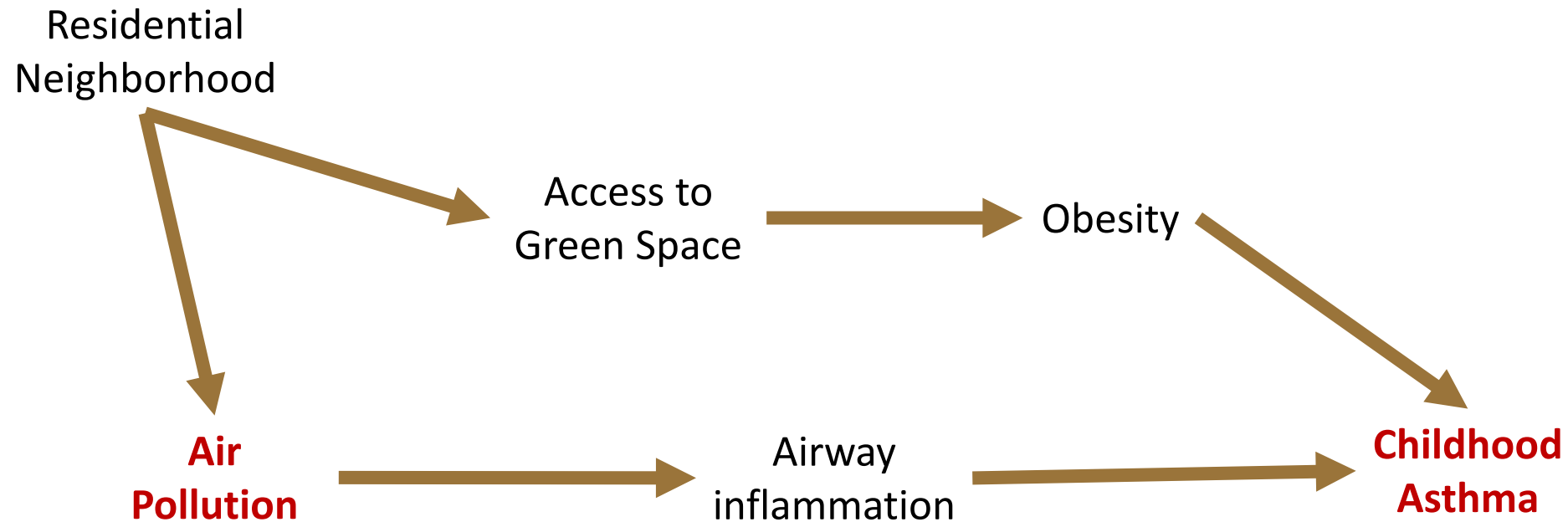


# What to do...a cheat sheet

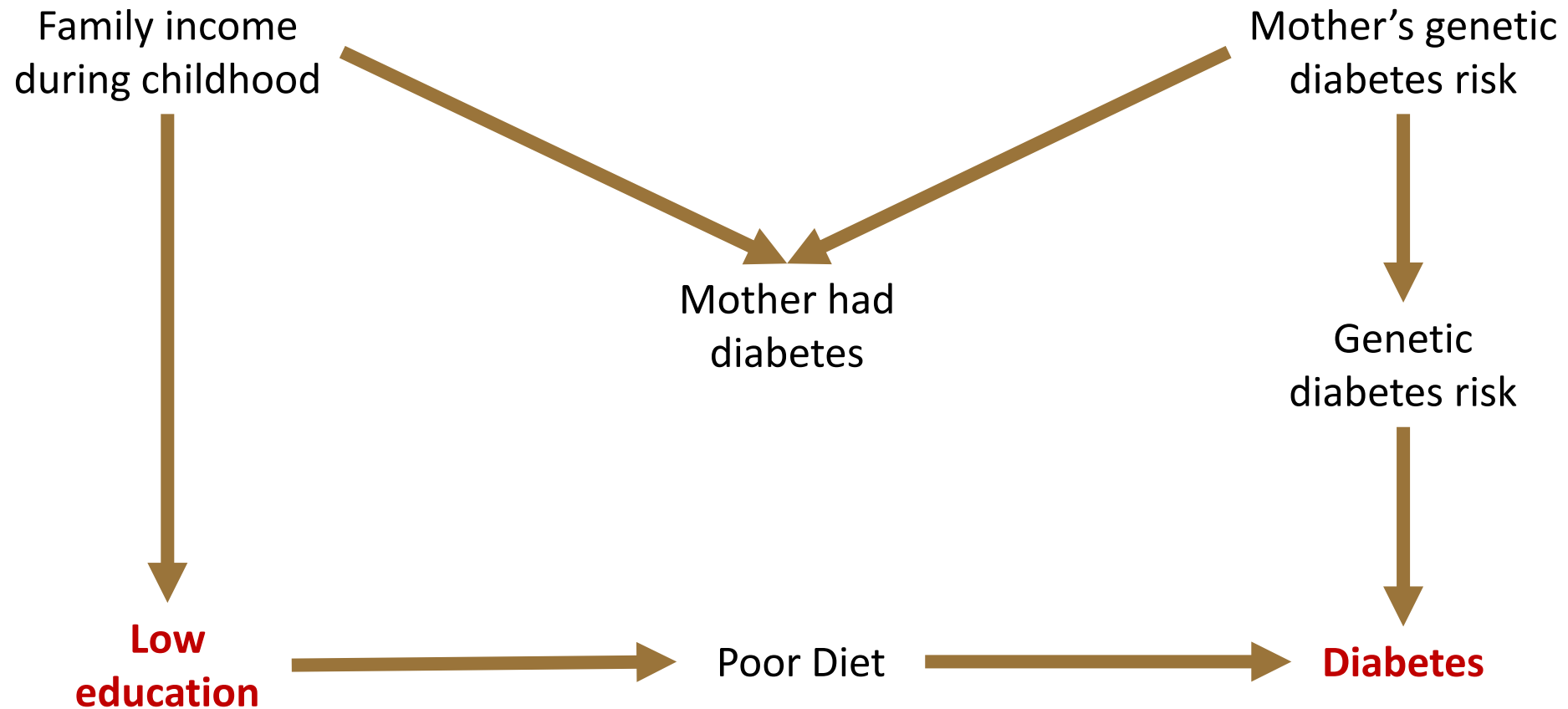
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What is it?	What do to?	Why?
Directed Path (mediators/ intermediates)	Nothing	It is part of the causal pathway. If you do control for it, then you will block part of the true overall effect and will get an incorrect estimate of the overall exposure-outcome relation.
Unblocked Backdoor Path (confounders)	Control/adjust for it	To reduce confounding in your estimation of the exposure-outcome relation.
Blocked Backdoor Path (collider)	Nothing	It is not transmitting any association. If you do control/adjust for it, then you will induce an association and might bias your estimation of the exposure-outcome relation.

- Identify paths from **Air Pollution** to **Childhood Asthma**
- Are these directed or backdoor?
  - If backdoor, are they blocked or unblocked?
- What would you control for in a causal analysis?



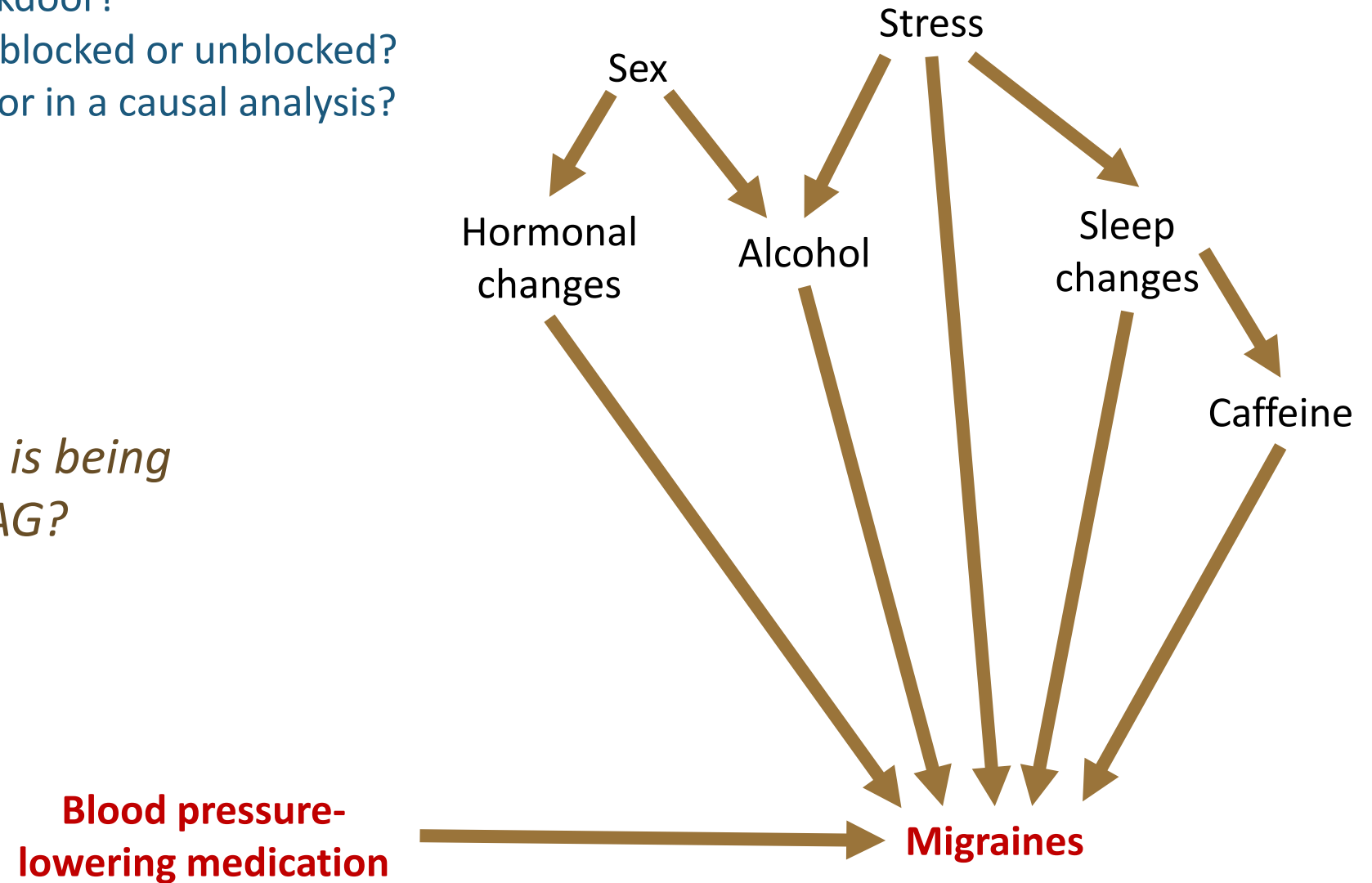
- Identify paths from **Low Education** to **Diabetes**
- Are these directed or backdoor?
  - If backdoor, are they blocked or unblocked?
- What would you control for in a causal analysis?





- Identify paths from **Medication** to **Migraines**
- Are these directed or backdoor?
  - If backdoor, are they blocked or unblocked?
- What would you control for in a causal analysis?

*Q: What type of study is being represented by this DAG?*



# What to do...a cheat sheet

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# Final Remarks

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Association v. causation

Utility of DAGs in epidemiologic analyses

- Help researchers think through their assumptions and evaluate potential confounding pathways
  - What are predictors of the exposure and of the outcome? Direction is important!
- Keep in mind that it is based on input information and assumptions when constructing the DAG; it does not give you the True “answer” about all potential confounding
- More questions? Email me: [garc991@usc.edu](mailto:garc991@usc.edu)