

# USGSHydroOpt : Tools for Optical Analysis of Water

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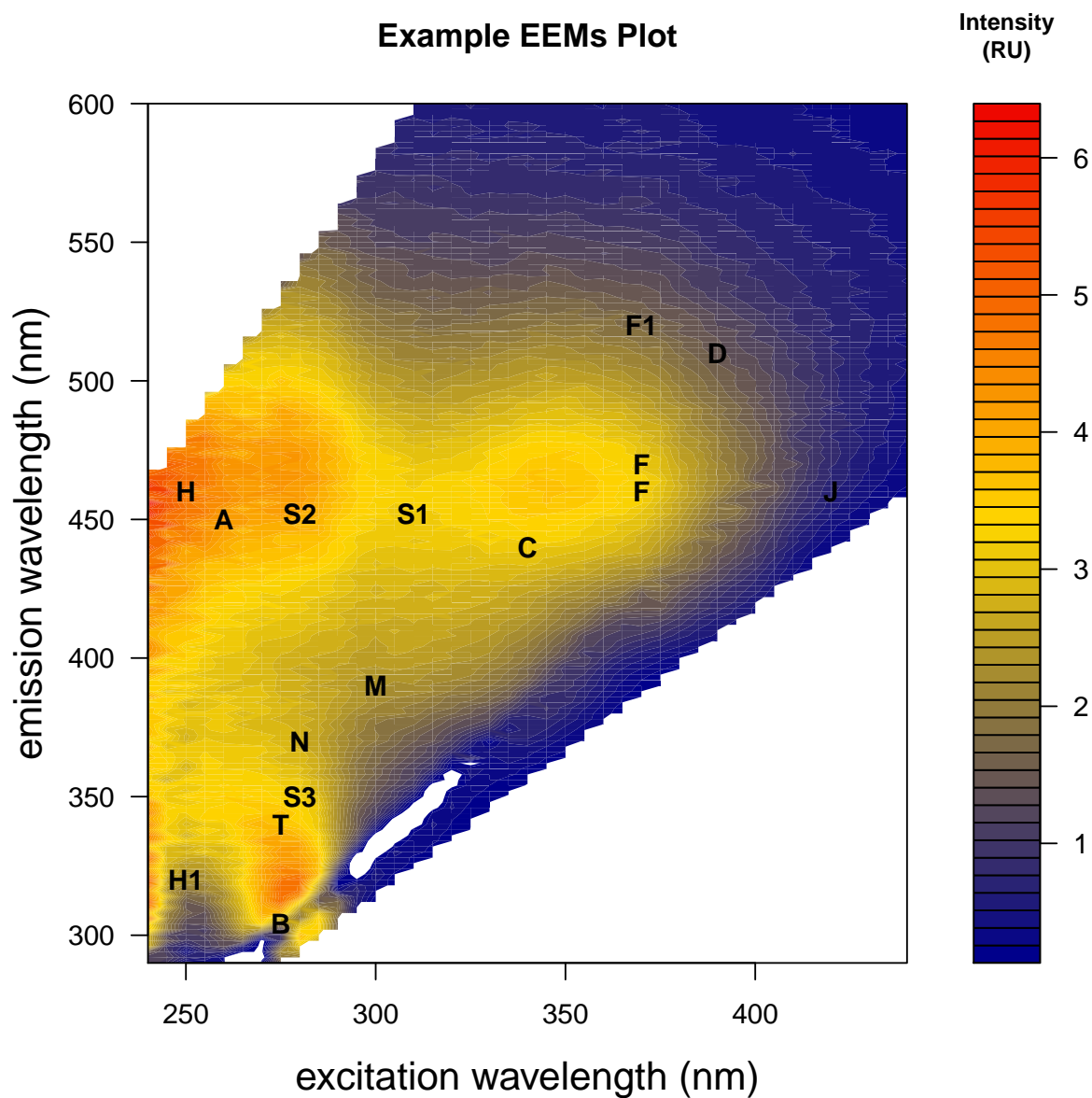
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## 1 Introduction to USGSHydroOpt

The USGSHydroOpt package was created to streamline the process of creating optical summary variables and excitation-emission (EEMs) plots for absorbance and fluorescence data collected from various freshwater sources. Examples of optical summary variables that can be produced with this package include various absorbance peaks. The functions in this package were designed to operate on dataframes with a standard data structures. This package is not amenable to dataframes or arrays that do not fit the prescribed formats. The example dataframes and array in this package illustrate exactly how data structures should be formatted, and the examples illustrate how the functions operate on the data structures. Depicted below is an example of an EEMs plot produced with this package. The user is encouraged to step through each piece of R code as it is introduced in this document. In many instances a sample of the function output is provided (plots, tables), but not in all cases.



## 2 Required Data Formats

The functions contained in USGSHydroOpt operate on dataframes with defined structures. Users interested in using USGSHydroOpt should format dataframes according to the structures defined in this section.

### 2.1 Absorbance Data

Absorbance data used by functions in USGSHydroOpt should be formatted such that each sample occupies a column, and one column contains the wavelength (nm) for which the absorbance measurement was measured (*See example Table 2.1 below*). The column with the wavelengths in Table 2.1 does not need to be

called "wavelengths," as it is named in the example dataframe below. Since this package was developed primarily for USGS activities, the default for naming samples is "gr" then the sample number. This convention was started by the USGS California Water Sciences Center (CA WSC) and the USGS Wisconsin Water Science Center (WI WSC) follows the same naming convention to ensure standardization.

	gr13307	gr13351	gr13353	gr13357	wavelengths
1	0.0001296	-0.0003505	-0.0003480	-0.0002695	750
2	-0.0002367	0.0000305	-0.0000915	-0.0001407	749
3	-0.0001582	-0.0004900	-0.0006325	-0.0001534	748
4	-0.0004642	-0.0000105	-0.0000932	-0.0000245	747
5	-0.0002551	-0.0000653	0.0000841	-0.0001615	746
6	-0.0001842	-0.0002135	0.0002082	0.0001429	745

## 2.2 Fluorescence Data

Fluorescence data used by functions in USGSHydroOpt should also be formatted such that each sample occupies a column, and one column contains the excitation emission wavelength pairs (nm) for which the fluorescence measurement was measured (*See example Table 2.2 below*). The column with the excitation emission pairs in Table 2.2 does not need to be called "Wavelength.Pairs," as it is in the example dataframe below. Again since this package was developed for USGS activities, the default sample naming convention is "gr" followed by the sample number.

	Wavelength.Pairs	gr13307	gr13308	gr13351	gr13352
1	240/290	0.09457	0.05358	-0.07268	-0.19368
2	240/292	0.07386	0.09194	0.45433	0.39722
3	240/294	0.08116	0.09930	0.49135	0.37943
4	240/296	0.12013	0.09138	-0.12248	0.09239
5	240/298	0.15264	0.15428	0.54694	0.14980
6	240/300	0.16467	0.13010	0.43319	0.33064

## 2.3 Spectral Slopes Data

Information on the upper and lower wavelength (nm) for which a spectral slope should be calculated needs to be stored in a dataframe if USGSHydroOpt is used. The dataframe should contain exactly three columns. The first column should contain the upper wavelength, the second column should contain the lower wavelength, and the third column should contain the name of the spectral slope being calculated (*See example Table 2.3 below*). The columns in Table 2.3 need to be in this exact order, although the names of the columns may be different. The data types for each column are integer, integer, and character, respectively. More spectral slopes can be added to the table than specified in the example dataframe below.

	wvlngh1	wvlngh2	Name
1	275	290	Sag275_295
2	290	350	Sag290_350
3	350	400	Sag350_400
4	412	676	Sag412_676

## 2.4 Optical Summary Data

This is the dataframe that contains many of the summary optical variables that can be produced using functions in USGSHydroOpt (*See example Table 2.4a below*). The functions in USGSHydroOpt calculate summary optical variables and add to a dataframe formatted according to Table 2.4a below. The example dataframe below is how the WI WSC stores optical summary variables. Note that this dataframe can contain other columns with metadata, for example, the sample data and time, the sample ID, or whether or not the sample went through QA/QC.

	GRnumber	B	T	A	J	FI_2005	A254
1	gr13307	0.050997	0.1826	0.4553	0.03705	1.639	0.05228
2	gr13351	-0.245602	0.4085	3.0783	0.19110	1.456	0.43531
3	gr13353	-0.175220	0.7794	6.8624	0.56309	1.523	0.68274
4	gr13357	0.111561	0.2691	0.9451	0.06969	1.572	0.08698
5	gr13360	-0.001569	0.4593	2.8254	0.37231	1.563	0.28605
6	gr13363	0.052137	0.4892	1.9518	0.19098	1.583	0.19283

However, also note that summary optical variable names in the dataframe must be identical to those specified in Table 2.4b below.

[1]	"OB1"	"OB2"	"OB3"	"S1.50"
[5]	"S2.50"	"S3.50"	"S1.25"	"S2.25"
[9]	"S3.25"	"Mrange.25"	"Mrange.50"	"B"
[13]	"T"	"M"	"A"	"C"
[17]	"N"	"D"	"F"	"J"
[21]	"S1"	"S2"	"S3"	"H1"
[25]	"H2"	"F1"	"F2"	"W"
[29]	"LT1"	"LT2"	"LT3"	"LA"
[33]	"HIX_2002"	"FI_2005"	"FI_2001"	"FreshI"
[37]	"A254"	"A275"	"A280"	"A290"
[41]	"A295"	"A350"	"A370"	"A400"
[45]	"A412"	"A440"	"A488"	"A510"
[49]	"A532"	"A555"	"A650"	"A676"
[53]	"A715"	"Sag275_290"	"Sag290_350"	"Sag350_400"
[57]	"Sag412_676"	"Aresids"		

## 2.5 Excitation-Emission (EEMs) Peak Data

The EEMs peak data contains three columns listing the name of a characterized EEM peak along with the corresponding wavelengths (nm) (*See example Table 2.5 below*). The first column, in Table 2.5, "Peak," contains the name of the characterized EEM peak. The next two columns in Table 2.5 contain the excitation and emission wavelengths (nm) at which a given peak occurs. The column names must be identical to those displayed in the example below, although the order of the columns can be different.

	Peak	ExCA	EmCA
1	B	275	304
2	T	275	340
3	M	300	390
4	A	260	450

5	C	340	440
6	N	280	370
7	D	390	510
8	F	370	460
9	J	420	460
10	S1	310	452
11	S2	280	452
12	S3	280	350
13	H	250	460
14	H1	250	320
15	F	370	470
16	F1	370	520

## 2.6 Optical Ratio and Signals Data

This dataframe contains one column called "ratioSignals" that contains all of the summary optical variables currently identified by the WI WSC (*See example Table 2.6 below*). Note that these are the same variables as those listed in Table 2.4b. The first column must contain the various "ratioSignals" that the user desires, although the column name need not be "ratioSignals"

	ratioSignals	keep
1	OB1	NA
2	OB2	NA
3	OB3	NA
4	S1.50	NA
5	S2.50	NA
6	S3.50	NA
7	S1.25	NA
8	S2.25	NA
9	S3.25	NA
10	Mrange.25	NA
11	Mrange.50	NA
12	B	NA
13	T	NA
14	M	NA
15	A	NA
16	C	NA
17	N	NA
18	D	NA
19	F	NA
20	J	NA
21	S1	NA
22	S2	NA
23	S3	NA
24	H1	NA
25	H2	NA
26	F1	NA
27	F2	NA

28	W	NA
29	LT1	NA
30	LT2	NA
31	LT3	NA
32	LA	NA
33	HIX_2002	NA
34	FI_2005	NA
35	FI_2001	NA
36	FreshI	NA
37	A254	1
38	A275	1
39	A280	1
40	A290	1
41	A295	1
42	A350	1
43	A370	1
44	A400	1
45	A412	NA
46	A440	NA
47	A488	NA
48	A510	NA
49	A532	NA
50	A555	NA
51	A650	NA
52	A676	NA
53	A715	NA
54	Sag275_290	1
55	Sag290_350	1
56	Sag350_400	1
57	Sag412_676	1
58	Aresids	NA

## 2.7 Optical Signals Data

This dataframe is similar to "ratioSignals" except it provides more metadata about peaks characterized for EEMs plots. The dataframe should contain six columns (*See example Table 2.7 below*). The first column in Table 2.7, "Peak," contains the name of the characterized EEM peak. The next two columns, "Ex1" and "Ex2," contain the excitation wavelength range (nm) for a given peak. "Ex1" is the lower wavelength and "Ex2" is the upper wavelength for the excitation wavelength range (nm) for a given peak. Similarly, "Em1" and "Em2" contain the emission wavelength range (nm) for a given peak. The final column, "Source," lists the source that characterized the peak. The last column, "Source," is not required. The user must exactly replicate the column names in Table 2.7 in order for the code in USGSHydroOpt to run.

	Peak	Ex1	Ex2	Em1	Em2	Source
1	OB1	360	NA	410	598	Hartel Turner
2	OB2	360	NA	436	436	Hartel Turner
3	OB3	365	NA	400	550	Hagedorn Turner
4	S1.50	310	NA	402	502	Sniffer

5	S2.50	280	NA	402	502	Sniffer
6	S3.50	280	NA	310	390	Sniffer
7	S1.25	310	NA	427	477	Sniffer
8	S2.25	280	NA	427	477	Sniffer
9	S3.25	280	NA	330	370	Sniffer
10	Mrange.25	300	NA	365	415	test
11	Mrange.50	300	NA	340	440	test
12	B	275	NA	304	NA	CA
13	T	275	NA	340	NA	CA
14	M	300	NA	390	NA	CA
15	A	260	NA	450	NA	CA
16	C	340	NA	440	NA	CA
17	N	280	NA	370	NA	CA
18	D	390	NA	510	NA	CA
19	F	370	NA	460	NA	CA
20	J	420	NA	460	NA	CA
21	S1	310	NA	452	NA	CA
22	S2	280	NA	452	NA	CA
23	S3	280	NA	350	NA	CA
24	H1	250	NA	460	NA	Ohno2002
25	H2	250	NA	320	NA	Ohno2002
26	F1	370	NA	470	NA	Cory and McKnight, 2005
27	F2	370	NA	520	NA	Cory and McKnight, 2005
28	W	255	290	302	350	
29	LT1	250	NA	340	NA	
30	LT2	260	NA	340	NA	
31	LT3	240	NA	340	NA	
32	LA	240	NA	440	NA	

## 2.8 3-Dimensional Excitation-Emission Array

A 3-D array with fluorescence data is used by many of the functions in USGSHydroOpt. The first dimension contains the excitation wavelengths (nm) as data type character at which a given fluorescence measurement was made. The second dimension contains the emission wavelengths (nm) as data type character at which a given fluorescence measurement was made. The third dimension contains the sample numbers as data type character for a given observation. The user must ensure that the third dimension of the array are sample numbers. Again, in this example the default "gr" followed by the sample number is used as a naming convention for samples.

To view the headers for each dimension using the following commands in R consider the example 3-D EEM array included with the USGSHydroOpt Package:

```
# this command shows the excitation wavelengths (nm)
colnames(a)

[1] "290" "292" "294" "296" "298" "300" "302" "304" "306"
[10] "308" "310" "312" "314" "316" "318" "320" "322" "324"
[19] "326" "328" "330" "332" "334" "336" "338" "340" "342"
```

```

[28] "344" "346" "348" "350" "352" "354" "356" "358" "360"
[37] "362" "364" "366" "368" "370" "372" "374" "376" "378"
[46] "380" "382" "384" "386" "388" "390" "392" "394" "396"
[55] "398" "400" "402" "404" "406" "408" "410" "412" "414"
[64] "416" "418" "420" "422" "424" "426" "428" "430" "432"
[73] "434" "436" "438" "440" "442" "444" "446" "448" "450"
[82] "452" "454" "456" "458" "460" "462" "464" "466" "468"
[91] "470" "472" "474" "476" "478" "480" "482" "484" "486"
[100] "488" "490" "492" "494" "496" "498" "500" "502" "504"
[109] "506" "508" "510" "512" "514" "516" "518" "520" "522"
[118] "524" "526" "528" "530" "532" "534" "536" "538" "540"
[127] "542" "544" "546" "548" "550" "552" "554" "556" "558"
[136] "560" "562" "564" "566" "568" "570" "572" "574" "576"
[145] "578" "580" "582" "584" "586" "588" "590" "592" "594"
[154] "596" "598" "600"

# this command shows the emission wavelengths (nm)
rownames(a)

[1] "240" "245" "250" "255" "260" "265" "270" "275" "280"
[10] "285" "290" "295" "300" "305" "310" "315" "320" "325"
[19] "330" "335" "340" "345" "350" "355" "360" "365" "370"
[28] "375" "380" "385" "390" "395" "400" "405" "410" "415"
[37] "420" "425" "430" "435" "440"

# this command shows the emission wavelengths (nm), only the
# first 20 shown for simplicity
names(a[1, 1, ])[1:20]

[1] "gr13307" "gr13308" "gr13351" "gr13352" "gr13353"
[6] "gr13354" "gr13357" "gr13358" "gr13360" "gr13361"
[11] "gr13362" "gr13363" "gr13364" "gr13365" "gr13374"
[16] "gr13375" "gr13433" "gr13434" "gr13435" "gr13439"

```

The user should be aware of **two important caveats**: (1) There should rarely be emission wavelengths below the excitation wavelength for a given fluorescence reading. Where this occurs an NA will be found in the 3-D EEMs array. (2) Intensities at an emission wavelength that is two times the excitation wavelength will be influenced by second order Rayleigh scatter.

## 2.9 Creating a 3-Dimensional Excitation-Emission Array

In Section 2.8 the format of 3-D arrays of fluorescence data that can be used with USGSHydroOpt was discussed. USGSHydroOpt can also be used to produce such arrays given the appropriate input fluorescence dataframe. Below is an example of how USGSHydroOpt is used to accomplish this task:

```

# set an arbitrary data frame (df) as dfFluor (the example
# fluorescence dataframe in USGSHydroOpt)
df <- dfFluor

```



```

# define the column in dfFluor
ExEm <- "Wavelength.Pairs"

# run the VectorizedTo3DArray function from USGSHydroOpt that
# creates a 3-D EEMs array given a vectorized fluorescence
# dataframe
aTest <- VectorizedTo3DArray(df, ExEm)

```

In the example above, dfFluor is formatted according to the fluorescence dataframe discussed in Section 2.2.

## 3 Creating Summary Optical Variables

Most of the functions included in USGSHydroOpt are for creating variables that summarize optical data. Such variables can then be used in statistical modeling efforts aimed at describing observed phenomena in aquatic ecosystems. This section steps through the six functions that USGSHydroOpt offers for creating such summary optical variables.

### 3.1 Creating Absorbance Coefficients

The function getAbs operates on a dataframe formatted according to Section 2.1. Specifically, it picks out absorbance coefficients for a defined set of wavelengths (nm) and adds those coefficients to an optical summary data frame formatted according to Section 2.4. Shown below is an example of how the function can be used.

```

# assign a variable dataAbs to the absorbance dataframe
# included in USGSHydroOpt
dataAbs <- dfabs

# define the column with the wavelengths in dataAbs
waveCol <- "wavelengths"

# define the wavelengths for which absorbance coefficients
# should be defined
wavs <- c(430, 530, 630, 730)

# define which columns contain the absorbance data, by
# default per WI WSC and CA WSC samples start with 'gr'
colSubsetString <- "gr"

# assign a variable dataSummary to the dfsummary dataframe
# included in USGSHydroOpt
dataSummary <- dfsummary

# assign a variable grnum to the column in dataSummary with
# sample numbers
grnum <- "GRnumber"

```

```

# use getAbs to produce absorbance coefficients
testAbs <- getAbs(dataAbs, waveCol, wavs, colSubsetString, dataSummary,
  grnum)

# note that the absorbance coefficients as defined by wavs
# have been added to dataSummary
colnames(testAbs) [69:72]

[1] "A430" "A530" "A630" "A730"

```

## 3.2 Spectral Slopes by Linear Regression

The function `getExpResid` computes spectral slopes by a first order decay function determined by linear regression (Helms et al. 2008). The residual at a specified wavelength (nm) is then calculated based on the spectral slope. The residual at a given wavelength and spectral slope is then added to a summary dataframe formatted according to Section 2.4. Displayed below is an example of how the function can be used. The function produces a plot with the absorbance data for each sample. On the plot the red indicates the spectral slope model, the blue is the absorbance data in `rangeGap`, and the black is the data in `rangeReg` but not in `rangeGap`. The dataframe `dataAbs` is formatted according to Section 2.1, but is shortened. If the user calls `"pdf(genericName.pdf),"` See `?pdf`, then runs the function plots will be printed to the pdf in the working directory.

```

# absorbance wavelength (nm) for which residual is calculated
wavelength <- 267

# the absorbance wavelength range (nm) to be considered as a
# numeric string
rangeReg <- c(240, 340)

# the absorbance wavelength range (nm) to be considered as a
# numeric string
rangeGap <- c(255, 300)

# assign a variable dataAbs to a shortened version of the
# absorbance dataframe included in USGSHydroOpt
dataAbs <- dfabs

# define the column with the wavelengths in dataAbs
waveCol <- "wavelengths"

# assign a variable grnum to the column in dataSummary with
# sample numbers
colSubsetString <- "gr"

# assign a variable dataSummary to the dfsummary dataframe
# included in USGSHydroOpt, column 68 or 'Aresids' is removed
# because we are computing this summary optical variable with

```

```

# this function and then adding it to dataSummary
dataSummary <- dfsummary[, -c(68)]

# assign a variable grnum to the column in dataSummary with
# sample numbers
grnum <- "GRnumber"

# use getExpResid to calculate the residual at a given
# wavelength given a spectral slope calculated per Helms et
# al. 2008.
testdfOpt <- getExpResid(wavelength, rangeReg, rangeGap, dataAbs,
  waveCol, colSubsetString, dataSummary, grnum)

# notice that the variable 'Aresids' has been added to
# dataSummary
colnames(testdfOpt)

```

### 3.3 Humification and Fluorescence Indices

Four humification and fluorescence index summary variables can be computed using the function `getIndexes`. The the humification index summary variable called "HIX\_2002" is calculated according to Ohno (2002). The first fluorescence index summary variable "FI\_2005" is computed according to Cory and McKnight (2005). The second fluorescence index summary variable, "FI\_2001" is computed according to McKnight et al. (2001). The final summary variable produced by this function is the freshness index, "FreshI," is computed according to Parlanti et al. (2000). Each of these four summary variables are computed and added to the optical summary dataframe, formatted according to Section 2.4. In the example below, `getIndexes` is used to compute the four summary variables, which are subsequently added to an optical summary dataframe. A 3-dimensional excitation-emission dataframe with fluorescence data formatted according to Sections 2.8-2.9 is used in computing these summary variables.

```

# set a variable a as the example 3-D excitation emission
# array included with the package
a <- a

# assign a variable dataSummary to the dfsummary dataframe
# included in USGSHydroOpt,
dataSummary <- dfsummary

# remove those columns with the fluorescence and humic indices
# that we are computing here
dataSummary <- dataSummary[, -c(43:46)]

# assign a variable grnum to the column in dataSummary with
# sample numbers
grnum <- "GRnumber"

# use getIndexes to compute the four humification and

```

```
# fluorescence indices
testIndexes <- getIndexes(a, dataSummary, grnum)

# note that the four indices have been added to dataSummary
colnames(testIndexes)[65:68]

[1] "HIX_2002" "FI_2005"  "FI_2001"  "FreshI"
```