# USGSHydroOpt: Tools for Optical Analysis of Water

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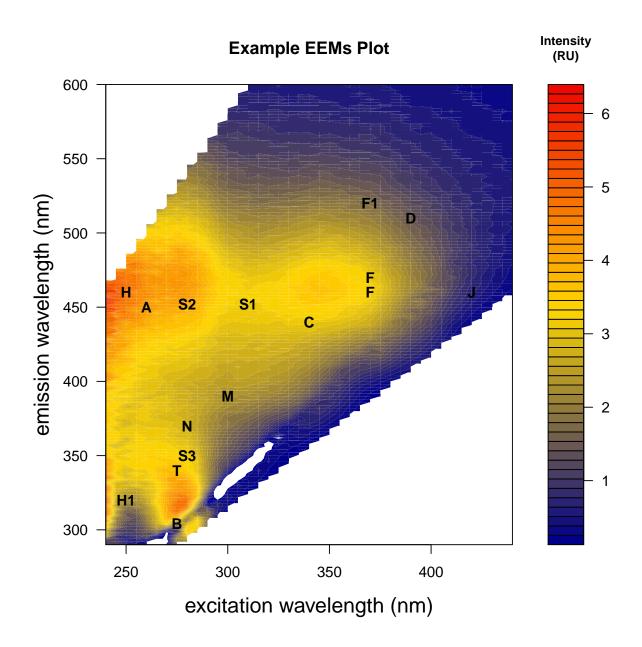
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## 1 Introduction to USGSHydroOpt

The USGSHydroOpt package was created to streamline the process of creating optical summary variables and excitation-emission (EEMs) plots for absorbance and fluoresence data collected from various freshwater sources. Examples of optical summary variables that can be produced with this package include various absorbance peaks, The functions in this package were designed to operate on dataframes with a standard data structures. This package is not amenable to dataframes or arrays that do not fit the prescribed formats. The example dataframes and array in this package illustrate exactly how data structures should be formatted, and the examples illustrate how the functions operate on the data structures. Depicted below is an example of an EEMs plot produced with this package.



## 2 Required Data Formats

The functions contained in USGSHydroOpt operate on dataframes with defined structures. Users interested in using USGSHydroOpt should format dataframes according to the structures defined in this section.

### 2.1 Absorbance Data

Absorbance data used by functions in USGSHydroOpt should be formatted such that each sample occupies a column, and one column contains the wavelength (nm) for which the absorbance measurement was measured (*See example Table 2.1 below*). The column with the wavelengths in Table 2.1 does not need to be

called "wavelengths," as it is named in the example dataframe below. Since this package was developed primarily for USGS activities, the default for naming samples is "gr" then the sample number. This convention was started by the USGS California Water Sciences Center (CA WSC) and the USGS Wisconsin Water Science Center (WI WSC) follows the same naming convention to ensure standardization.

```
gr13351
                            gr13353
                                       gr13357 wavelengths
     gr13307
   0.0001296 - 0.0003505 - 0.0003480 - 0.0002695
                                                        750
2 -0.0002367
              0.0000305 - 0.0000915 - 0.0001407
                                                        749
3 -0.0001582 -0.0004900 -0.0006325 -0.0001534
                                                        748
4 -0.0004642 -0.0000105 -0.0000932 -0.0000245
                                                        747
5 -0.0002551 -0.0000653 0.0000841 -0.0001615
                                                        746
6 -0.0001842 -0.0002135 0.0002082 0.0001429
                                                        745
```

#### 2.2 Fluoresence Data

Fluoresence data used by functions in USGSHydroOpt should also be formatted such that each sample occupies a column, and one column contains the excitation emission wavelength pairs (nm) for which the fluoresence measurment was measured (*See example Table 2.2 below*). The column with the excitation emission pairs in Table 2.2 does not need to be called "Wavelength.Pairs," as it is in the example dataframe below. Again since this package was developed for USGS activities, the default sample naming convention is "gr" followed by the sample number.

```
Wavelength.Pairs gr13307 gr13308
                                     gr13351
                                               gr13352
1
           240/290 0.09457 0.05358 -0.07268 -0.19368
2
           240/292 0.07386 0.09194
                                     0.45433
                                               0.39722
3
           240/294 0.08116 0.09930
                                     0.49135
                                               0.37943
4
           240/296 0.12013 0.09138 -0.12248
                                               0.09239
5
           240/298 0.15264 0.15428
                                     0.54694
                                               0.14980
6
           240/300 0.16467 0.13010 0.43319
                                             0.33064
```

#### 2.3 Spectral Slopes Data

Information on the upper and lower wavelength (nm) for which a spectral slope should be calculated needs to be stored in a dataframe if USGSHydroOpt is used. The dataframe should contain exactly three columns. The first column should contain the upper wavelength, the second column should contain the lower wavelength, and the third column should contain the name of the spectral slope being calculated (*See example Table 2.3 below*). The columns in Table 2.3 need to be in this exact order, although the names of the columns may be different. The data types for each column are integer, integer, and character, respectively. More spectral slopes can be added to the table than specified in the example dataframe below.

```
      wvlngth1
      wvlngth2
      Name

      1
      275
      290
      Sag275_295

      2
      290
      350
      Sag290_350

      3
      350
      400
      Sag350_400

      4
      412
      676
      Sag412_676
```

#### 2.4 Optical Summary Data

This is the dataframe that contains many of the summary optical variables that can be produced using functions in USGSHydroOpt (*See example Table 2.4a below*). The functions in USGSHydroOpt calculate summary optical variables and add to a dataframe formatted according to Table 2.4a below. The example dataframe below is how the WI WSC stores optical summary variables. Note that this dataframe can contain other columns with metadata, for example, the sample data and time, the sample ID, or whether or not the sample went through QA/QC.

```
GRnumber
                       Τ
                           A
                                         J FI 2005
1
  gr13307
            0.050997 0.1826 0.4553 0.03705
                                             1.639 0.05228
2
  gr13351 -0.245602 0.4085 3.0783 0.19110
                                             1.456 0.43531
  gr13353 -0.175220 0.7794 6.8624 0.56309
                                             1.523 0.68274
  gr13357
           0.111561 0.2691 0.9451 0.06969
                                             1.572 0.08698
  gr13360 -0.001569 0.4593 2.8254 0.37231
                                             1.563 0.28605
  gr13363 0.052137 0.4892 1.9518 0.19098
                                             1.583 0.19283
```

However, also note that summary optical variable names in the dataframe must be identical to those specified in Table 2.4b below.

```
[1] "OB1"
                     "OB2"
                                    "OB3"
                                                   "S1.50"
                     "S3.50"
                                    "S1.25"
                                                   "S2.25"
 [5] "S2.50"
 [9]
     "S3.25"
                     "Mrange.25"
                                    "Mrange.50"
                                                   "B"
                                                   " C "
     "T"
                     " M "
                                    " A "
     "N"
                     "D"
                                    пЕп
                                                   "J"
[17]
                                                   "H1"
     "S1"
                     "S2"
                                    "S3"
[21]
                                                   11 M 11
[25]
     "H2"
                     "F1"
                                    "F2"
                                    "LT3"
[29]
     "LT1"
                     "LT2"
                                                   "LA"
[33]
     "HIX_2002"
                     "FI_2005"
                                    "FI_2001"
                                                   "FreshI"
                     "A275"
                                    "A280"
[37]
     "A254"
                                                   "A290"
[41] "A295"
                     "A350"
                                    "A370"
                                                   "A400"
                                    "A488"
     "A412"
                     "A440"
                                                   "A510"
[45]
[49] "A532"
                     "A555"
                                    "A650"
                                                   "A676"
[53] "A715"
                     "Sag275_290"
                                   "Sag290_350"
                                                   "Sag350_400"
[57] "Sag412_676" "Aresids"
```

#### 2.5 Excitation-Emission (EEMs) Peak Data

The EEMs peak data contains three columns listing the name of a characterized EEM peak along with the corresponding wavelengths (nm) (*See example Table 2.5 below*). The first column, in Table 2.5, "Peak," contains the name of the characterized EEM peak. The next two columns in Table 2.5 contain the excitation and emission wavelengths (nm) at which a given peak occurs. The column names must be identical to those displayed in the example below, although the order of the columns can be different.

```
Peak ExCA EmCA

1 B 275 304

2 T 275 340

3 M 300 390

4 A 260 450
```

```
5
           340
                 440
       С
6
       Ν
           280
                 370
7
           390
                 510
       \mathbb{D}
8
       F
           370
                 460
9
           420
                 460
       J
10
      S1
           310
                 452
      S2
           280
11
                 452
12
      S3
           280
                 350
13
           250
       Н
                 460
           250
14
      Н1
                 320
15
       F
           370
                 470
16
      F1
           370
                520
```

## 2.6 Optical Ratio and Signals Data

This dataframe contains one column called "ratioSignals" that contains all of the summary optical variables currently identified by the WI WSC (*See example Table 2.6 below*). Note that these are the same variables as those listed in Table 2.4b. The first column must contain the various "ratioSignals" that the user desires, although the column name need not be "ratioSignals"

```
ratioSignals keep
1
              OB1
                     NA
2
              ОВ2
                     NA
3
              ов3
                     NA
            S1.50
4
                     NA
5
            S2.50
                     NA
6
            S3.50
                     NA
7
            S1.25
                     NA
8
            S2.25
                     NA
9
            S3.25
                     NA
10
       Mrange.25
                     NA
11
       Mrange.50
                     NA
12
                 В
                     NA
13
                 Τ
                     NA
14
                M
                     NA
15
                 Α
                     NA
16
                 С
                     NA
17
                N
                     NA
18
                 D
                     NA
19
                 F
                     NA
20
                 J
                     NA
21
                S1
                     NA
22
                S2
                     NA
23
                S3
                     NA
24
               Н1
                     NA
25
               Н2
                     NA
26
               F1
                     NA
27
               F2
                     NA
```

```
28
                  \overline{W}
                       NA
29
               LT1
                       NA
30
               LT2
                       NA
31
               LT3
                       NA
32
                LA
                       NA
33
         HIX_2002
                       NA
34
          FI_2005
                       NA
          FI_2001
35
                       NA
36
           FreshI
                       NA
              A254
37
                        1
38
              A275
                        1
39
                        1
              A280
40
              A290
                        1
41
              A295
                        1
42
              A350
                        1
              A370
                        1
43
44
              A400
                        1
              A412
45
                       NA
46
              A440
                       NA
47
              A488
                       NA
48
              A510
                       NA
49
              A532
                       NA
50
              A555
                       NA
              A650
51
                       NA
52
              A676
                       NA
53
              A715
                       NA
54
      Sag275_290
                        1
55
      Sag290_350
                        1
56
      Sag350_400
                        1
57
      Sag412_676
                        1
58
          Aresids
                       NA
```

#### 2.7 Optical Signals Data

This dataframe is similar to "ratioSignals" except it provides more metadata about peaks characterized for EEMs plots. The dataframe should contain six columns (*See example Table 2.7 below*). The first column in Table 2.7, "Peak," contains the name of the characterized EEM peak. The next two columns, "Ex1" and "Ex2," contain the excitation wavelength range (nm) for a given peak. "Ex1" is the lower wavelength and "Ex2" is the upper wavelength for the excitation wavelength range (nm) for a given peak. Similarily, "Em1" and "Em2" contain the emission wavelength range (nm) for a given peak. The final column, "Source," lists the source that characterized the peak. The last column, "Source," is not required. The user must exactly replicate the column names in Table 2.7 in order for the code in USGSHydroOpt to run.

```
Peak Ex1 Ex2 Em1 Em2
                                                  Source
1
         OB1 360
                   NA 410 598
                                          Hartel Turner
2
         OB2 360
                   NA 436 436
                                          Hartel Turner
3
         OB3 365
                   NA 400 550
                                        Hagedorn Turner
                   NA 402 502
       S1.50 310
                                                Sniffer
```

```
5
        S2.50 280 NA 402 502
                                                  Sniffer
6
        S3.50 280
                   NA 310 390
                                                  Sniffer
7
        S1.25 310
                   NA 427 477
                                                  Sniffer
8
        S2.25 280
                   NA 427
                                                  Sniffer
9
       S3.25 280
                   NA 330 370
                                                  Sniffer
10 Mrange.25 300
                   NA 365 415
                                                     test
11 Mrange.50 300
                   NA 340 440
                                                     test
12
            B 275
                   NA 304
                            NA
                                                       CA
13
            T 275
                   NA 340
                                                       CA
14
            M 300
                   NA 390
                                                       CA
15
            A 260
                   NA 450
                            NA
                                                       CA
16
            C 340
                   NA 440
                            NA
                                                       CA
17
            N 280
                   NA 370
                            NA
                                                       CA
18
            D 390
                   NA 510
                            NA
                                                       CA
19
            F 370
                   NA 460
                            NA
                                                       CA
20
            J 420
                   NA 460
                            NA
                                                       CA
                   NA 452
21
           S1 310
                            NA
                                                       CA
22
           S2 280
                   NA 452
                            NA
                                                       CA
23
           S3 280
                   NA 350
                            NA
                                                       CA
24
           H1 250
                   NA 460
                            NA
                                                Ohno2002
25
           H2 250
                   NA 320
                                                Ohno2002
26
           F1 370
                   NA 470
                            NA Cory and McKnight, 2005
27
           F2 370
                   NA 520
                            NA Cory and McKnight, 2005
            W 255 290 302 350
28
29
         LT1 250
                   NA 340
                            NA
          LT2 260
                   NA 340
30
                            NA
31
          LT3 240
                   NA 340
                            NA
32
           LA 240
                   NA 440
                            NA
```

### 2.8 3-Dimensional Excitation-Emission Array

A 3-D array with fluoresence data is used by many of the functions in USGSHydroOpt. The first dimension contains the excitation wavelengths (nm) as data type character at which a given fluoresence measurement was made. The second dimension contains the emission wavelengths (nm) as data type character at which a given fluoresence measurement was made. The third dimension contains the sample numbers as data type character for a given observation. The user must ensure that the third dimension of the array are sample numbers. Again, in this example the default "gr" followed by the sample number is used as a naming convention for samples.

To view the headers for each dimension using the following commands in R consider the example 3-D EEM array included with the USGSHydroOpt Package:

```
# this command shows the excitation wavelengths (nm)

colnames(a)

[1] "290" "292" "294" "296" "298" "300" "302" "304" "306"

[10] "308" "310" "312" "314" "316" "318" "320" "322" "324"

[19] "326" "328" "330" "332" "334" "336" "338" "340" "342"
```

```
[28] "344" "346" "348" "350" "352" "354" "356" "358" "360"
 [37] "362" "364" "366" "368" "370" "372" "374" "376" "378"
 [46] "380" "382" "384" "386" "388" "390" "392" "394" "396"
 [55] "398" "400" "402" "404" "406" "408" "410" "412" "414"
 [64] "416" "418" "420" "422" "424" "426" "428" "430" "432"
 [73] "434" "436" "438" "440" "442" "444" "446" "448" "450"
 [82] "452" "454" "456" "458" "460" "462" "464" "466" "468"
 [91] "470" "472" "474" "476" "478" "480" "482" "484" "486"
[100] "488" "490" "492" "494" "496" "498" "500" "502" "504"
[109] "506" "508" "510" "512" "514" "516" "518" "520" "522"
[118] "524" "526" "528" "530" "532" "534" "536" "538" "540"
[127] "542" "544" "546" "548" "550" "552" "554" "556" "558"
[136] "560" "562" "564" "566" "568" "570" "572" "574" "576"
[145] "578" "580" "582" "584" "586" "588" "590" "592" "594"
[154] "596" "598" "600"
# this command shows the emission wavelengths (nm)
rownames (a)
[1] "240" "245" "250" "255" "260" "265" "270" "275" "280"
[10] "285" "290" "295" "300" "305" "310" "315" "320" "325"
[19] "330" "335" "340" "345" "350" "355" "360" "365" "370"
[28] "375" "380" "385" "390" "395" "400" "405" "410" "415"
[37] "420" "425" "430" "435" "440"
# this command shows the emission wavelengths (nm), only the
# first 20 shown for simplicity
names (a[1, 1, ])[1:20]
 [1] "gr13307" "gr13308" "gr13351" "gr13352" "gr13353"
 [6] "gr13354" "gr13357" "gr13358" "gr13360" "gr13361"
[11] "gr13362" "gr13363" "gr13364" "gr13365" "gr13374"
[16] "gr13375" "gr13433" "gr13434" "gr13435" "gr13439"
```

The user should be aware of **two important caveats**: (1) There should rarely be emission wavelengths below the excitation wavelength for a given fluoresence reading. Where this occurs an NA will be found in the 3-D EEMs array. (2) Intensities at an emission wavelength that is two times the excitation wavelength will be influence by second order Rayleigh scatter.

## 2.9 Creating a 3-Dimensional Excitation-Emission Array

In Section 2.8 the format of 3-D arrays of fluoresence data that can be used with USGSHydroOpt was discussed. USGSHydroOpt can also be used to produce such arrays given the appropriate input fluoresence dataframe. Below is an example of how USGSHydroOpt is used to accomplish this task:

```
# set an arbitrary data frame (df) as dfFluor (the example
# fluoresence dataframe in USGSHydroOpt)
df <- dfFluor</pre>
```

```
# define the column in dfFluor
ExEm <- "Wavelength.Pairs"

# run the VectorizedTo3DArray function from USGSHydroOpt that
# creates a 3-D EEMs array given a vectorized fluoresence
# dataframe
aTest <- VectorizedTo3DArray(df, ExEm)</pre>
```

In the example above, dfFluor is formatted according to the fluoresence dataframe discussed in Section 2.2.

## 3 Creating Summary Optical Variables

Most of the functions included in USGSHydroOpt are for creating variables that summarize optical data. Such variables can then be used in statistical modeling efforts aimed at describing observed phenomena in aquatic ecosystems. This sections steps through the six functions that USGSHydroOpt offers for creating such summary optical variables.

#### 3.1 Creating Absorbance Coefficients

The function getAbs opperates on a dataframe formatted according to Section 2.1. Specifically, it picks out absorbance coefficients for a defined set of wavelengths (nm) and adds those coefficients to an optical summary data frame formatted according to Section 2.4. Shown below is an example of how the function can be used.

```
# assign a variable dataAbs to the absorbance dataframe
# included in USGSHydroOpt
dataAbs <- dfabs
# define the column with the wavelengths in dataAbs
waveCol <- "wavelengths"</pre>
# define the wavelengths for which absorbance coefficients
# should be defined
wavs \leftarrow c(430, 530, 630, 730)
# define which columns contain the absorbance data, by
# default per WI WSC and CA WSC samples start with 'qr'
colSubsetString <- "gr"</pre>
# assign a variable dataSummary to the dfsummary dataframe
# included in USGSHydroOpt
dataSummary <- dfsummary</pre>
# assign a bariable grnum to the column in dataSummary with
# sample numbers
grnum <- "GRnumber"
```