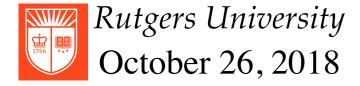
CS 314 Principles of Programming Languages

Lecture 16: Lambda Calculus

Prof. Zheng Zhang



Lambda Calculus: Historical Origin

- The **imperative** and **functional** models grew out of work undertaken by Alan Turing, Alonzo Church, Stephen Kleene, Emil Post, and etc in 1930s.
 - Different formalizations of the notion of an algorithm, or "effective procedure", based on *automata*, *symbolic manipulation*, *recursive function definitions*, and *combinatorics*.

Lambda Calculus: Historical Origin

• Turing's model of computing was the *Turing machine* a sort of pushdown automaton using an unbounded storage "tape"

The Turing machine computes in an imperative way, by changing the values in cells of its tape – like variables just as a high level imperative program computes by changing the values of variables.

Lambda Calculus: Historical Origin

• Church's model of computing is called the *lambda calculus*

It is based on the notion of parameterized expressions (with each parameter introduced by an occurrence of the letter λ — hence the notation's name). Lambda calculus was the inspiration for functional programming: one uses it to compute by *substituting* parameters into expressions, just as one computes in a high level functional program by passing arguments to functions.

Functional Programming

• Functional languages such as Lisp, Scheme, FP, ML, Miranda, and Haskell are an attempt to realize Church's lambda calculus in practical form as a programming language

• The key idea: do everything by composing functions

- No mutable state
- No side effects
- Function as first-class values

Lambda Calculus

 λ -terms are inductively defined.

A λ -term is:

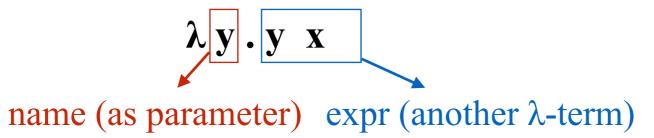
- a variable x
- $(\lambda x. M)$ \Rightarrow where x is a variable and λ is a λ -term (abstraction)
- (M N) \Rightarrow where M and N are both λ -terms (application)

λ-terms

The context-free grammar for λ -terms:

```
\begin{array}{lll} \lambda\text{-term} \to expr \\ expr & \to name \mid number \mid \lambda \ name \ . \ expr \mid func \ arg \\ func & \to name \mid (\lambda \ name \ . \ expr ) \mid func \ arg \\ arg & \to name \mid number \mid (\lambda \ name \ . \ expr ) \mid (\ func \ arg \ ) \end{array}
```

Example 1:

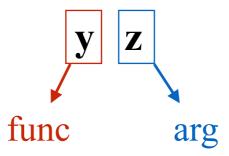


λ-terms

The context-free grammar for λ -terms:

```
\begin{array}{lll} \lambda\text{-term} & \to \text{expr} \\ \text{expr} & \to \text{name} \mid \text{number} \mid \lambda \text{ name . expr} \mid \text{func arg} \\ \text{func} & \to \text{name} \mid (\lambda \text{ name . expr}) \mid \text{func arg} \\ \text{arg} & \to \text{name} \mid \text{number} \mid (\lambda \text{ name . expr}) \mid (\text{ func arg}) \end{array}
```

Example 2:



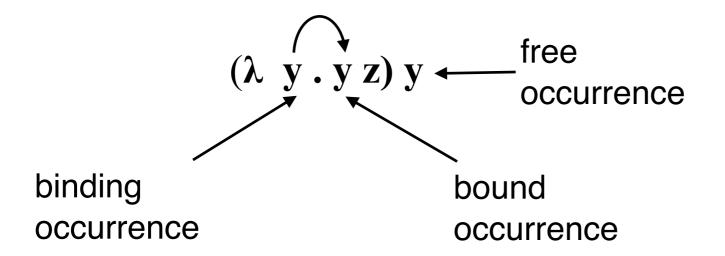
Lambda Calculus

Associativity and Precedence

- Function application is left associative: (f g z) is ((f g) z)
- Function application has precedence over function abstraction. "function body" extends as far to the right as possible: $(\lambda x.yz)$ is $(\lambda x.yz)$
- Multiple arguments: $(\lambda xy.z)$ is $(\lambda x(\lambda y.z))$

Free and Bound Variables

Abstraction (λx . M) "binds" variable x in "body" M. You can think of this as a declaration of variable x with scope M.

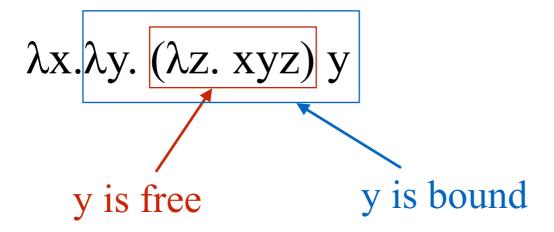


Free and Bound Variables

Note:

A variable can occur **free** and **bound** in a λ -term.

Example:



"free" is relative to a λ -sub-term.

Free and Bound Variables

Let M, N be λ -terms and x is a variable. The set of *free variable* of M, free(M), is defined inductively as follows:

- free(x) = $\{x\}$
- free(M N) = free(M) \cup free(N)
- free $(\lambda x.M)$ = free(M) free(x)

Computation in lambda calculus is based on the concept or reduction. Simplify an expression until it can no longer be simplified.

β–reduction:

$$(\lambda x.\mathbf{E})y \rightarrow_{\beta} \mathbf{E}[y/x]$$

- 1. Return function body E
- 2. Replace every free occurrence of x in E with y

Computation in lambda calculus is based on the concept or reduction. Simplify an expression until it can no longer be simplified.

β–reduction:

$$(\lambda x.\mathbf{E})y \rightarrow_{\beta} \mathbf{E}[y/x]$$

- 1. Return function body E
- 2. Replace every free occurrence of x in E with y

Example:

$$(\lambda a.\lambda b.a+b) 2 x \rightarrow_{\beta} (\lambda b.2+b) x$$

$$\rightarrow_{\beta} 2+x$$

Computation in lambda calculus is based on the concept or reduction. Simplify an expression until it can no longer be simplified.

α-reduction:

$$(\lambda x.E) \rightarrow_{\alpha} \lambda y.E[y/x]$$

Computation in lambda calculus is based on the concept or reduction. Simplify an expression until it can no longer be simplified.

α-reduction:

$$(\lambda x.E) \rightarrow_{\alpha} \lambda y.E[y/x]$$

Example:
$$(\lambda a.\lambda b.a+b) b 2 \rightarrow_{\beta} (\lambda b.b+b) 2 \rightarrow_{\beta} 2+2$$

This is incorrect.

Computation in lambda calculus is based on the concept or reduction. Simplify an expression until it can no longer be simplified.

α-reduction:

$$(\lambda x.\mathbf{E}) \rightarrow_{\alpha} \lambda y.\mathbf{E}[\mathbf{y}/\mathbf{x}]$$
Perform α -reduction first

$$\lambda a.\underline{\lambda b.a+b} \ b \ 2 \rightarrow_{\alpha} \lambda a.\underline{\lambda x.a+x} \ b \ 2$$

$$\rightarrow_{\beta} \lambda x.b+x \ 2$$

$$\rightarrow_{\beta} b+2$$

Computation in the lambda calculus is based on the concept or reduction (rewriting rules). The goal is to "simplify" an expression until it can no longer be further simplified.

```
(\lambda x.M)N \Rightarrow_{\beta} [N/x]M (\beta-reduction)

(\lambda x.M) \Rightarrow_{\alpha} \lambda y.[y/x]M (\alpha-reduction), if y \notin free(M)
```

Note:

- An equivalence relation can be defined based on \cong -convertible λ terms. "Reduction" rules really work both ways, but we are
 interested in reducing the complexity of λ -term (forward direction)
- α -reduction does not reduce the complexity of λ -term
- β -reduction: corresponds to application, models computation

Reduction

- A subterm of the form $(\lambda x.M)N$ is called a <u>redex</u> (reduction expression)
- A reduction is any sequence of α -reductions and β -reductions
- A term that cannot be β -reduced are said to be in β normal form
- A subterm that is an abstraction or a variable is said to be in head normal form.

Question: Does a β normal form always exist?

Example:

 $((\lambda x.xx))(\lambda x.xx)))$

Remember: Computation in the lambda calculus is a sequence of applications of reduction rules (mostly β –reductions).

Logical constants and operations (incomplete list):

 \equiv abbreviated as

true
$$\equiv \lambda a$$
. λb . a false $\equiv \lambda a$. λb . b

select-first select-second

if
$$\equiv \lambda p. \lambda m. \lambda n. (p m n)$$

Remember: Computation in the lambda calculus is a sequence of applications of reduction rules (mostly β –reductions).

Logical constants and operations (incomplete list):

true
$$\equiv \lambda a$$
. λb . a

false $\equiv \lambda a. \lambda b. b$

select-first

select-second

cond
$$\equiv \lambda x$$
. λy . λz . $(x y z)$

if p is **true** return **m**

cond p m n

 $\cong p m n$

 $\approx \lambda a.\lambda b.a m n$

≅ m

if p is **false** return **n**

cond p m n

 $\approx p m n$

 $\approx \lambda a.\lambda b.b m n$

 $\approx n$

Remember: Computation in the lambda calculus is a sequence of applications of reduction rules (mostly β –reductions).

Logical constants and operations (incomplete list):

true
$$\equiv \lambda a$$
. λb . a

false $\equiv \lambda a. \lambda b. b$

select-first

select-second

 $not \equiv \lambda x$. (x false true)

if y is **true** return **false** not y

 $\approx \lambda x$. (x false true) y

≅ y false true

 $\approx \lambda a.\lambda b.a$ false true

≅ false

if y is **false** return **true** not y

 $\approx \lambda x$. (x false true) y

≅ y false true

 $\approx \lambda a.\lambda b.b$ false true

≅ true

Remember: Computation in the lambda calculus is a sequence of applications of reduction rules (mostly β –reductions).

Logical constants and operations (incomplete list):

true
$$\equiv \lambda a$$
. λb . a

false $\equiv \lambda a. \lambda b. b$

select-first

select-second

and $\equiv \lambda x. \lambda y. (x y false)$

if m is **true** return **n**

and m n

 \approx m n false

 $\approx \lambda a.\lambda b.a$ n false

 $\approx n$

if m is **false** return **false**

and m n

 \approx m n false

 $\approx \lambda a.\lambda b.b$ n false

≅ false

Remember: Computation in the lambda calculus is a sequence of applications of reduction rules (mostly β –reductions).

Logical constants and operations (incomplete list):

true
$$\equiv \lambda a$$
. λb . a select-first select-second

cond
$$\equiv \lambda p$$
. λm . λn .(p m n)
not $\equiv \lambda x$. (x false true)
and $\equiv \lambda x$. λy . (x y false)

$$\mathbf{or} \equiv |\mathbf{homework}|$$

What about data structures?

Data structures:

$$[M.N] \equiv \lambda z. (z M N)$$

What about data structures?

Data structures:

$$[M.N] \equiv \lambda z. (z M N)$$

$$\mathbf{first} \equiv \lambda x. (x \text{ true}) \qquad (car)$$

$$\mathbf{first} [M.N] \equiv \lambda x. (x \text{ true}) \lambda z. (z M N)$$

$$\rightarrow_{\beta} \lambda z. (z M N) \text{ true}$$

$$\rightarrow_{\beta} \text{ true } M N$$

$$\rightarrow_{\beta} M$$

What about data structures?

Data structures:

$$[M.N] \equiv \lambda z. (z M N)$$

$$\mathbf{second} \equiv \lambda x. (x \text{ false}) \qquad (cdr)$$

$$\mathbf{second} [M.N] \equiv \lambda x. (x \text{ false}) \lambda z. (z M N)$$

$$\rightarrow_{\beta} \lambda z. (z M N) \text{ fase}$$

$$\rightarrow_{\beta} \text{ false M N}$$

$$\rightarrow_{\beta} N$$

What about data structures?

Data structures:

$$[M.N] \equiv \lambda z. (z M N)$$

first
$$\equiv \lambda x.$$
 (x true)(car)second $\equiv \lambda x.$ (x false)(cdr)build $\equiv \lambda x.\lambda y.\lambda z.$ (z x y)(cons)

What about the encoding of arithmetic constants?

Church Numerals:

```
0 \equiv \lambda f x. x
1 \equiv \lambda f x. (f x)
2 \equiv \lambda f x. (f (f x))
...
n \equiv \lambda f x. (f (f (... (f x) ...)) \equiv \lambda f x. (f ^n x)
```

The natural number n is represented as a function that applies a function f n-times to x.

```
succ \equiv \lambda m. (\lambda fx.(f(m f x)))
add \equiv \lambda mn. (\lambda fx.((m f) (n f x)))
mult \equiv \lambda mn. (\lambda fx.((m (n f)) x))
isZero? \equiv \lambda m. (m \lambda x.false true)
```

```
Example:

(mult 2 3)

((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
m = 0
```

```
Example:

(mult 2 3)

\equiv ((\lambda mn.(\lambda fx.((m (n f)) x) )) 2 3)

\rightarrow_{\beta}, \lambda f_0 x_0.((2 (3 f_0)) x_0)
```

$$m = 2$$
 $n = 3$

```
Example:

(mult 2 3)

\equiv ((\lambda mn.( \lambda fx.((m (n f)) x) )) 2 3)

\rightarrow_{\beta}, \lambda f_0 x_0.((2 (3 f_0)) x_0)

\equiv \lambda f_0 x_0.((2 (\lambda fx.(f^3x) f_0)) x_0)
```

```
Example:

(mult 2 3)

\equiv ((\lambda mn.( \lambda fx.((m (n f)) x) )) 2 3)

\rightarrow_{\beta}, \lambda f_0 x_0.((2 (3 f_0)) x_0)

\equiv \lambda f_0 x_0.((2 (\lambda fx.(f^3x) f_0)) x_0)

\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3x))) x_0)
```

Example:
(mult 2 3)

$$\equiv$$
 ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
 \rightarrow_{β} , $\lambda f_0 x_0.((2 (3 f_0)) x_0)$
 \equiv $\lambda f_0 x_0.((2 (\lambda fx.(f^3 x) f_0)) x_0)$
 $\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)$
 $\rightarrow_{\alpha} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)$

$$2 \equiv \lambda f x. (f (f x))$$

```
Example: (mult 2 3)
\equiv ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_{0}x_{0}.((2 (3 f_{0})) x_{0})
\equiv \lambda f_{0}x_{0}.((2 (\lambda fx.(f^{3}x) f_{0})) x_{0})
\rightarrow_{\beta} \lambda f_{0}x_{0}.((2 (\lambda x.(f_{0}^{3}x))) x_{0})
\rightarrow_{\alpha} \lambda f_{0}x_{0}.((2 (\lambda x.(f_{0}^{3}x)))) x_{0})
```

```
Example: (mult 2 3)
\equiv ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_{0}x_{0}.((2 (3 f_{0})) x_{0})
\equiv \lambda f_{0}x_{0}.((2 (\lambda fx.(f^{3}x) f_{0})) x_{0})
\rightarrow_{\beta} \lambda f_{0}x_{0}.((2 (\lambda x.(f_{0}^{3}x))) x_{0})
\rightarrow_{\alpha} \lambda f_{0}x_{0}.((2 (\lambda x.(f_{0}^{3}x))) x_{0})
\rightarrow_{\beta} \lambda f_{0}x_{0}.((\lambda x.((\lambda x.(f_{0}^{3}x_{1}))) ((\lambda x.(f_{0}^{3}x_{1})) x)) x_{0})
```

```
Example: (mult 2 3)
\equiv ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_{0}x_{0}.((2 (3 f_{0})) x_{0})
\equiv \lambda f_{0}x_{0}.((2 (\lambda fx.(f^{3}x) f_{0})) x_{0})
\rightarrow_{\beta} \lambda f_{0}x_{0}.((2 (\lambda x.(f_{0}^{3}x))) x_{0})
\rightarrow_{\alpha} \lambda f_{0}x_{0}.((2 (\lambda x.(f_{0}^{3}x))) x_{0})
\rightarrow_{\beta} \lambda f_{0}x_{0}.((\lambda x.((\lambda x_{1}.(f_{0}^{3}x_{1}))((\lambda x.(f_{0}^{3}x_{1}))x))) x_{0})
```

```
Example:
         (mult 2 3)
        ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_0 x_0 \cdot ((2 (3 f_0)) x_0)
       \lambda f_0 x_0 \cdot ((2 (\lambda f x \cdot (f^3 x) f_0)) x_0)
\rightarrow_{\beta} \lambda f_0 x_0.((2(\lambda x.(f_0^3 x))) x_0)
\rightarrow_{\alpha} \lambda f_0 x_0.((2(\lambda x_1.(f_0^3 x_1))) x_0)
\rightarrow \beta \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))((\lambda x_1.(f_0^3 x_1))x)))x_0) =
\rightarrow_{\beta} \lambda f_0 x_0.((\lambda x. ((\lambda x_1.(f_0^3 x_1)) (f_0^3 x))) x_0)
```

```
Example:
         (mult 2 3)
        ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_0 x_0 . ((2 (3 f_0)) x_0)
       \lambda f_0 x_0 \cdot ((2 (\lambda f x \cdot (f^3 x) f_0)) x_0)
\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)
\rightarrow_{\alpha} \lambda f_0 x_0.((2(\lambda x_1.(f_0^3 x_1))) x_0)
\rightarrow \beta \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))((\lambda x_1.(f_0^3 x_1))x)))x_0) =
\rightarrow_{\beta} \lambda f_0 x_0.((\lambda x. ((\lambda x_1.(f_0^3 x_1)) (f_0^3 x))) x_0)
```

```
Example:
         (mult 2 3)
        ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_0 x_0 . ((2 (3 f_0)) x_0)
       \lambda f_0 x_0 \cdot ((2 (\lambda f x \cdot (f^3 x) f_0)) x_0)
\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)
\rightarrow_{\alpha} \lambda f_0 x_0.((2(\lambda x_1.(f_0^3 x_1))) x_0)
\rightarrow \beta \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))((\lambda x_1.(f_0^3 x_1))x)))x_0) =
\rightarrow_{\beta} \lambda f_0 x_0.((\lambda x. ((\lambda x_1.(f_0^3 x_1)) (f_0^3 x))) x_0)
\rightarrow_{\beta} \lambda f_0 x_0. (( \lambda x.( f_0^3 (f_0^3 x) ) ) x_0)
```

```
Example:
         (mult 2 3)
        ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_0 x_0 . ((2 (3 f_0)) x_0)
       \lambda f_0 x_0 .((2 (\lambda f x .(f^3 x) f_0)) x_0)
\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)
\rightarrow_{\alpha} \lambda f_0 x_0.((2(\lambda x_1.(f_0^3 x_1))) x_0)
\rightarrow \beta \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))((\lambda x_1.(f_0^3 x_1))x)))x_0) =
\rightarrow_{\beta} \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))(f_0^3 x))) x_0)
\rightarrow_{\beta} \lambda f_0 x_0. ((\lambda x.(f_0^3(f_0^3x)))x_0)
```

```
Example:
         (mult 2 3)
        ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_0 x_0 . ((2 (3 f_0)) x_0)
       \lambda f_0 x_0 .((2 (\lambda f x .(f^3 x) f_0)) x_0)
\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)
\rightarrow_{\alpha} \lambda f_0 x_0.((2(\lambda x_1.(f_0^3 x_1))) x_0)
\rightarrow \beta \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))((\lambda x_1.(f_0^3 x_1))x)))x_0) =
\rightarrow_{\beta} \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))(f_0^3 x))) x_0)
\rightarrow_{\beta} \lambda f_0 x_0. ((\lambda x.(f_0^3(f_0^3x)))x_0)
\rightarrow_{\beta} \lambda f_0 x_0 \cdot (f_0^3 (f_0^3 x_0))
```

```
Example:
         (mult 2 3)
        ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_0 x_0 . ((2 (3 f_0)) x_0)
        \lambda f_0 x_0 .((2 (\lambda f x .(f^3 x) f_0)) x_0)
\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)
\rightarrow_{\alpha} \lambda f_0 x_0.((2(\lambda x_1.(f_0^3 x_1))) x_0)
\rightarrow \beta \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))((\lambda x_1.(f_0^3 x_1))x)))x_0) =
\rightarrow_{\beta} \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))(f_0^3 x))) x_0)
\rightarrow_{\beta} \lambda f_0 x_0. (( \lambda x.(f_0^3(f_0^3x))) x_0)
\rightarrow_{\beta} \lambda f_0 x_0 . (f_0^3 (f_0^3 x_0))
```

```
Example:
         (mult 2 3)
         ((\lambda mn.(\lambda fx.((m (n f)) x))) 2 3)
\rightarrow_{\beta}, \lambda f_0 x_0 . ((2 (3 f_0)) x_0)
        \lambda f_0 x_0 .((2 (\lambda f x .(f^3 x) f_0)) x_0)
\rightarrow_{\beta} \lambda f_0 x_0.((2 (\lambda x.(f_0^3 x))) x_0)
\rightarrow_{\alpha} \lambda f_0 x_0.((2(\lambda x_1.(f_0^3 x_1))) x_0)
\rightarrow \beta \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))((\lambda x_1.(f_0^3 x_1))x)))x_0) =
\rightarrow_{\beta} \lambda f_0 x_0.((\lambda x.((\lambda x_1.(f_0^3 x_1))(f_0^3 x))) x_0)
\rightarrow_{\beta} \lambda f_0 x_0. (( \lambda x.(f_0^3(f_0^3x))) x_0)
\rightarrow_{\beta} \lambda f_0 x_0 . (f_0^3 (f_0^3 x_0))
\rightarrow_{\alpha} \lambda fx.(f^6 x) = 6
```

Examples:

$$=(((\lambda fx.x) (\lambda y.false)) true) =$$

=
$$((\lambda x.x) \text{ true})$$
 = true

(isZero? n) when
$$n > 0$$
?

false
$$\equiv \lambda a$$
. λb . b
not $\equiv \lambda x$. (x false true)

isZero?
$$\equiv \lambda m$$
. (m λy .false true)

Next Lecture

Reading:

- Scott, Chapter 11.1 11.3
- Scott, Chapter 11.7