

# CS 314 Principles of Programming Languages

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## Lecture 7: LL(1) Parsing

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*Rutgers University*

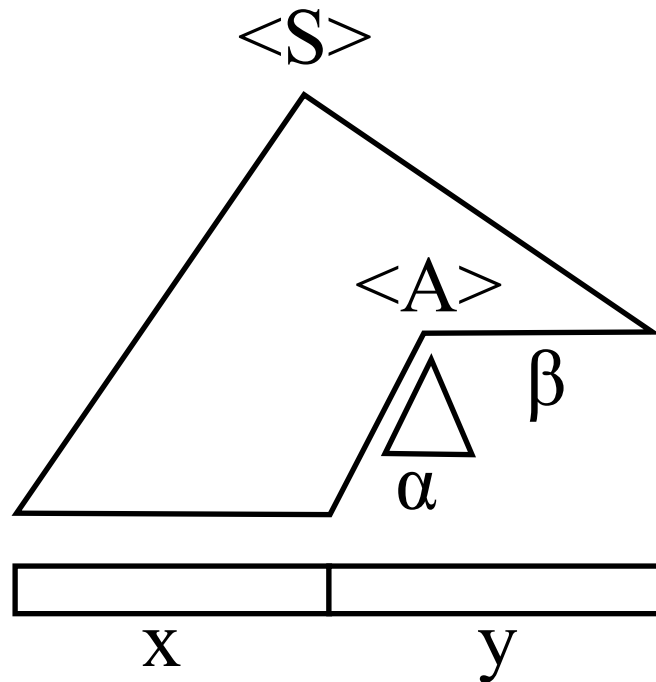
September 26, 2018

# Class Information

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- Homework 1 and 2 are being graded.
- Homework 3 will be posted by the end of today.

# Review: Top-Down Parsing - LL(1)



## Basic Idea:

- The parse tree is constructed from the root, expanding non-terminal nodes on the tree's frontier following a **leftmost** derivation.
- The input program is read from **left** to right, and input tokens are read (consumed) as the program is parsed.
- The next non-terminal symbol is replaced using one of its rules. The particular choice has to be unique and uses parts of the input (partially parsed program), for instance the first token of the remaining input.

# Review: Predictive Parsing

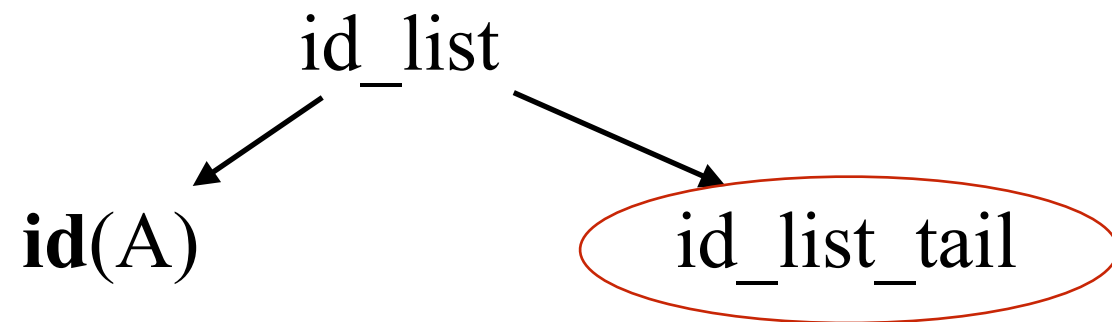
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Basic idea:

For any two productions  $A ::= \alpha$  and  $A ::= \beta$ , we would like  
*a distinct way of choosing the correct production to expand.*

# Revisiting the id\_list Example

```
id_list ::= id id_list_tail  
id_list_tail ::= , id id_list_tail  
id_list_tail ::= ;
```

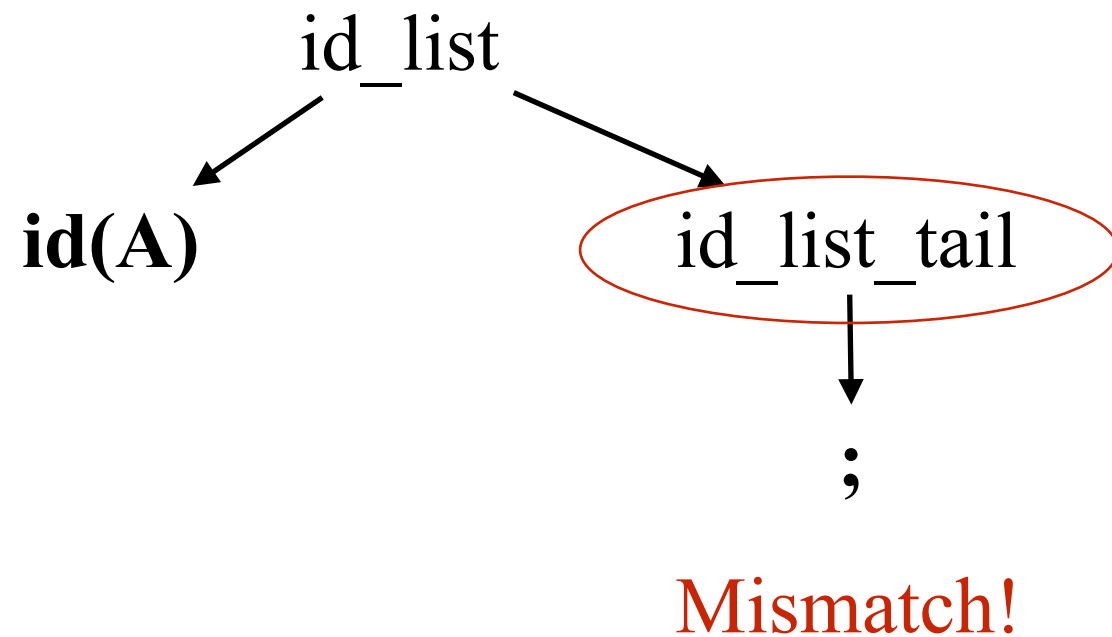


Remaining Input:  
    , B , C ;

Applied Production:

# Revisiting the id\_list Example

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Remaining Input:

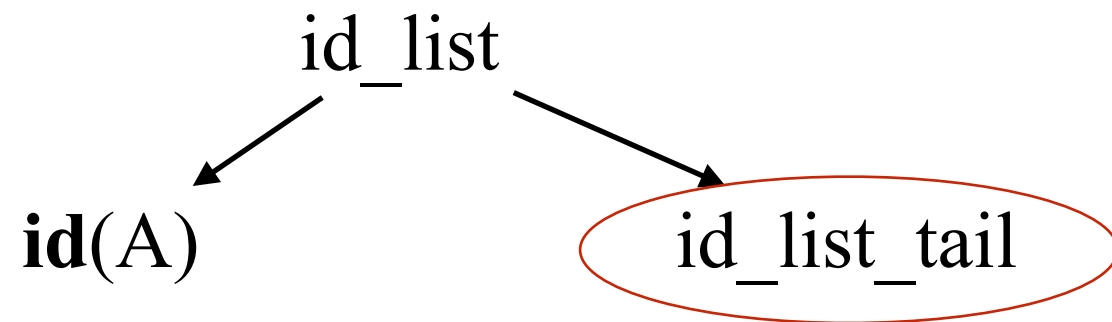
,B , C ;

Applied Production:

~~id\_list\_tail ::= ;~~

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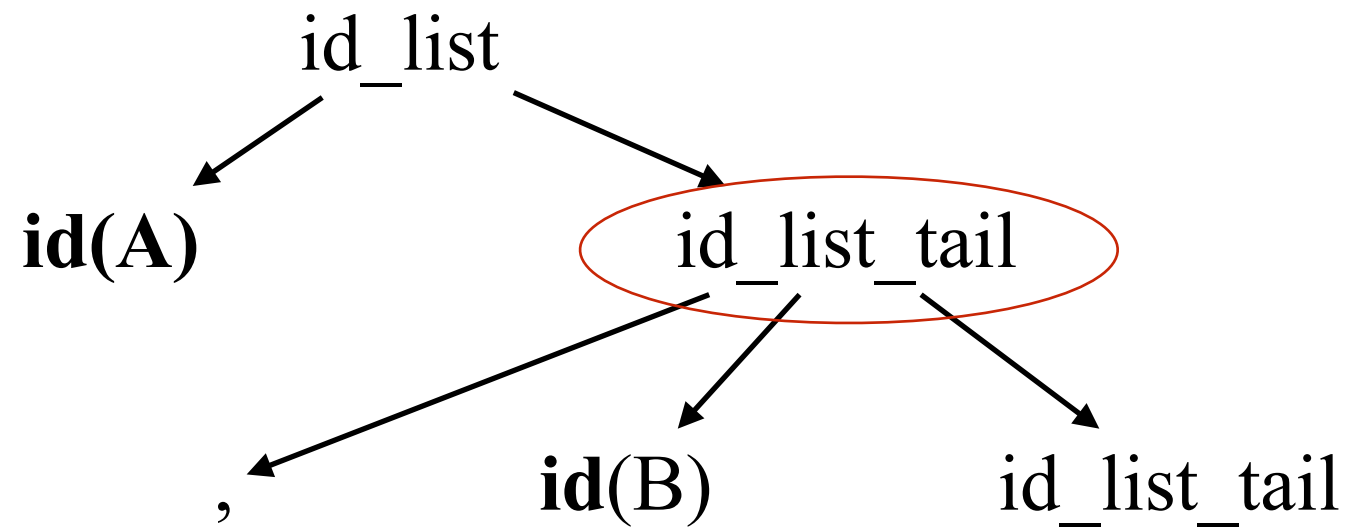
Remaining Input:  
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Applied Production:

# Revisiting the id\_list Example

```
id_list ::= id id_list_tail  
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```

Remaining Input:  
    **,** **B** **,** **C** **;**



Applied Production:  
**id\_list\_tail ::= , id id\_list\_tail**

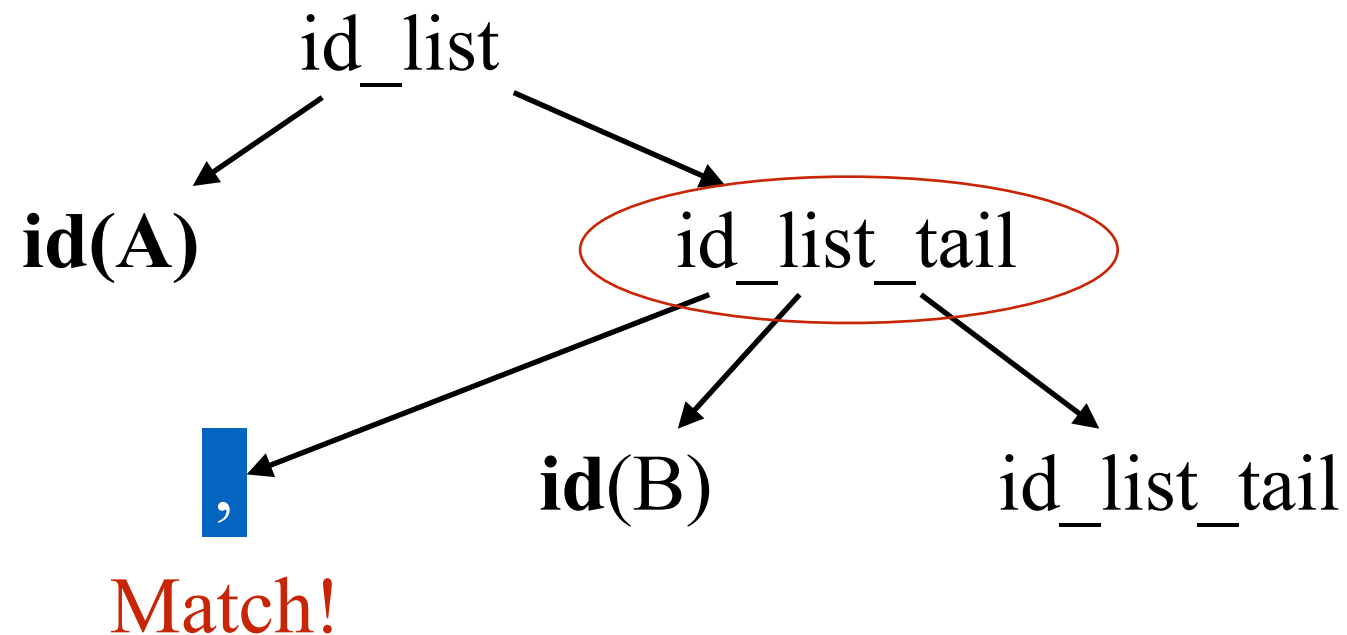


# Revisiting the id\_list Example

```
id_list ::= id id_list_tail
id_list_tail ::= , id id_list_tail
id_list_tail ::= ;
```

Remaining Input:

,B , C ;



Applied Production:  
 $\text{id\_list\_tail} ::= \text{, id id\_list\_tail}$

# Review: First Set

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For some string  $\alpha$ , define **FIRST**( $\alpha$ ) as the set of tokens that appear as the first symbol in some string derived from  $\alpha$ .

That is

$x \in \text{FIRST}(\alpha)$  iff  $\alpha \Rightarrow^* \mathbf{x}\gamma$  for some string  $\gamma$

# Review: Predictive Parsing

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## Key Property:

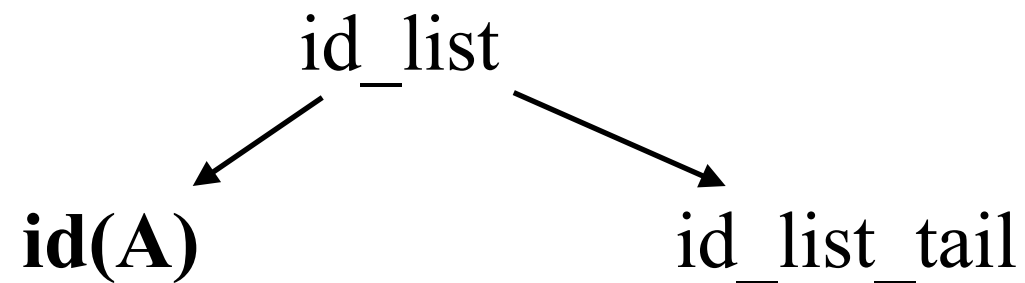
Whenever two productions  $A ::= \alpha$  and  $A ::= \beta$  both appear in the grammar, we would like

- $FIRST(\alpha) \cap FIRST(\beta) = \emptyset$

# Revisiting the id\_list Example

$$\begin{aligned}\text{id\_list} &::= \mathbf{id} \text{id\_list\_tail} \\ \text{id\_list\_tail} &::= , \mathbf{id} \text{id\_list\_tail} \\ \text{id\_list\_tail} &::= ;\end{aligned}$$

Remaining Input:  
    , B , C ;



$FIRST( , \mathbf{id} \text{id\_list\_tail} ) = \{ , \}$

$FIRST( ; ) = \{ ; \}$

$FIRST( , \mathbf{id} \text{id\_list\_tail} \cap FIRST( ; ) = \emptyset$

Given `id_list_tail` as the first **non-terminal** to expand in the tree:

If the first token of remaining input is `,` we choose the rule

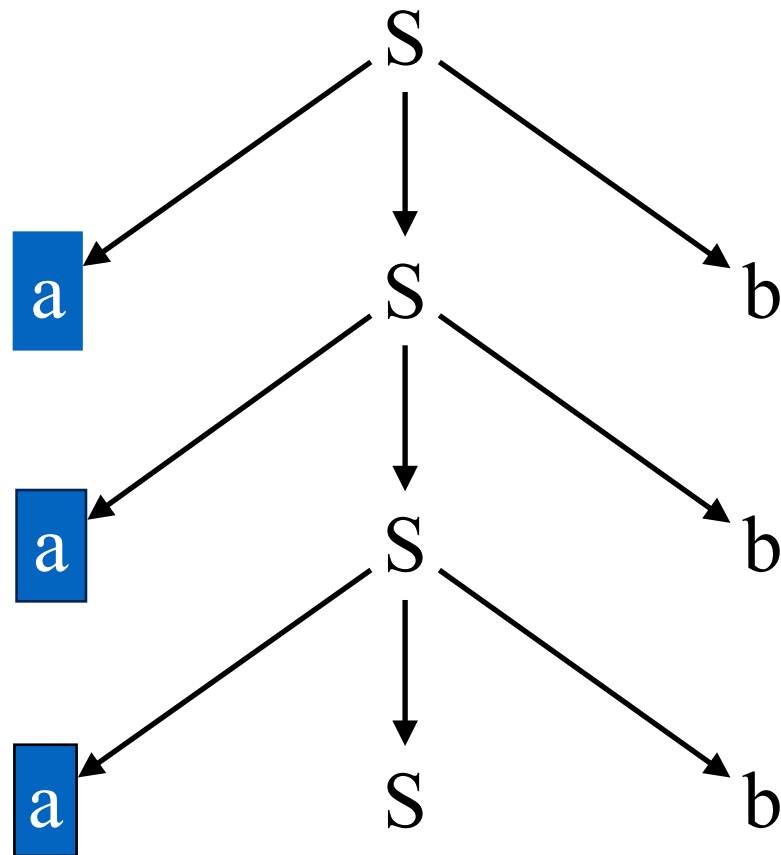
$$\text{id\_list\_tail} ::= , \mathbf{id} \text{id\_list\_tail}$$

If the first token of remaining input is `;` we choose the rule

$$\text{id\_list\_tail} ::= ;$$

# Revisiting the LL(1) Parsing Example

$S ::= a S b \mid \epsilon$



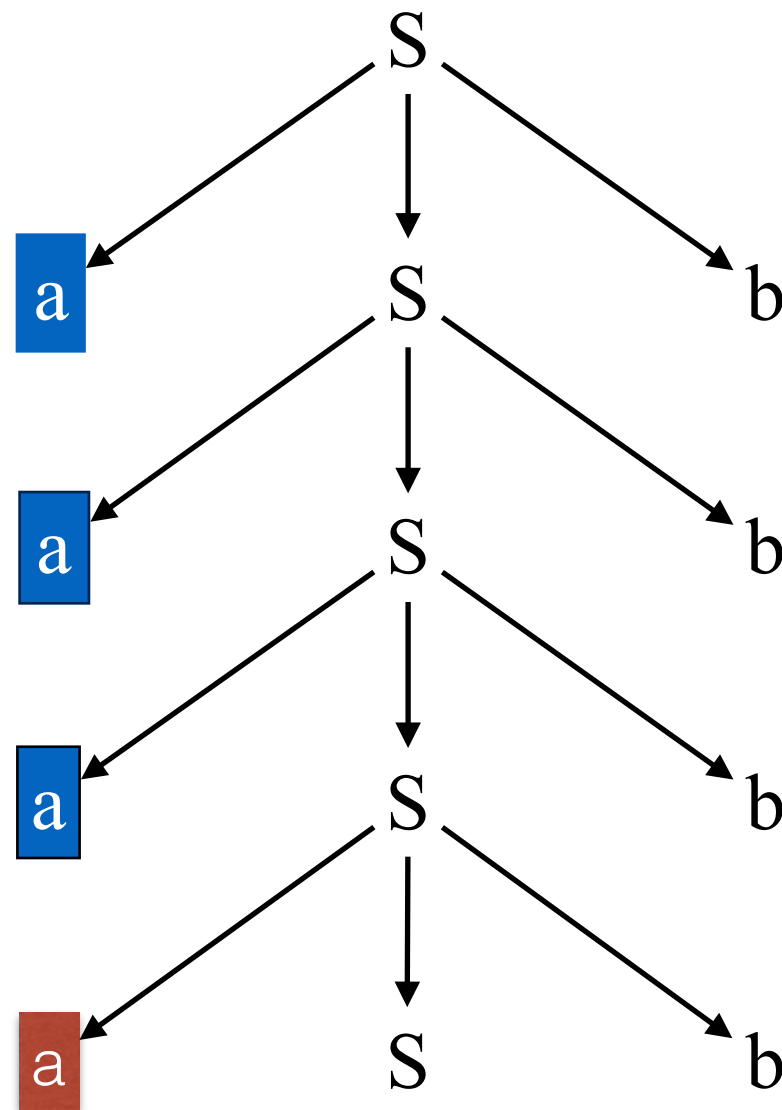
Remaining Input:

$\textcircled{b} b b$

Applied Production:

# Revisiting the LL(1) Parsing Example

$S ::= a S b \mid \epsilon$



Remaining Input:

**b** b b

Applied Production:

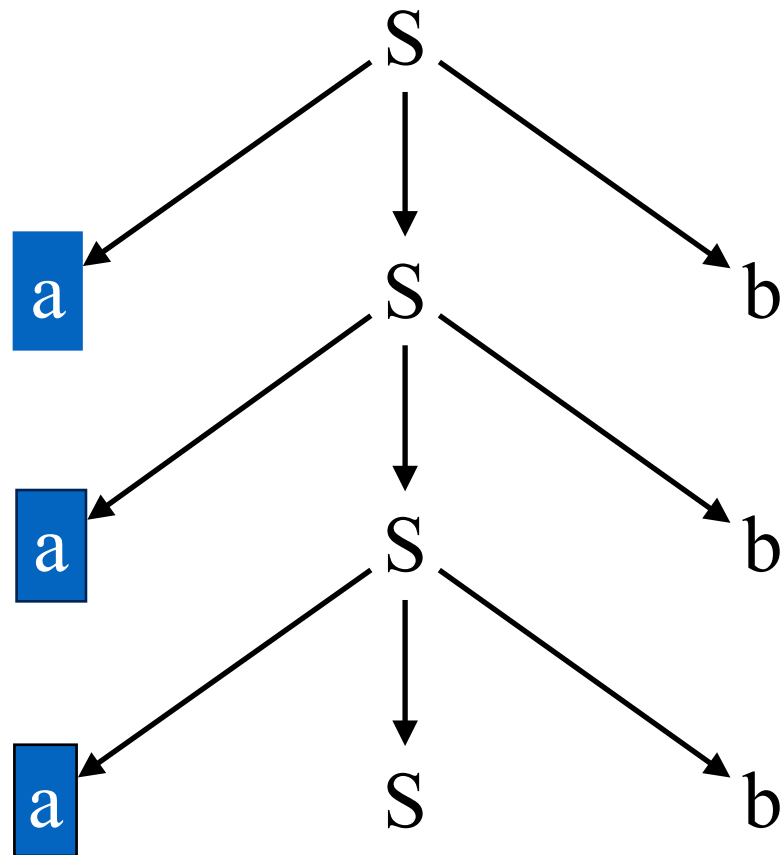
~~$S ::= a S b$~~

**Mismatch!**

It only means  $S ::= a S b$  is not the right production rule to use!

# Revisiting the LL(1) Parsing Example

$S ::= a S b \mid \epsilon$

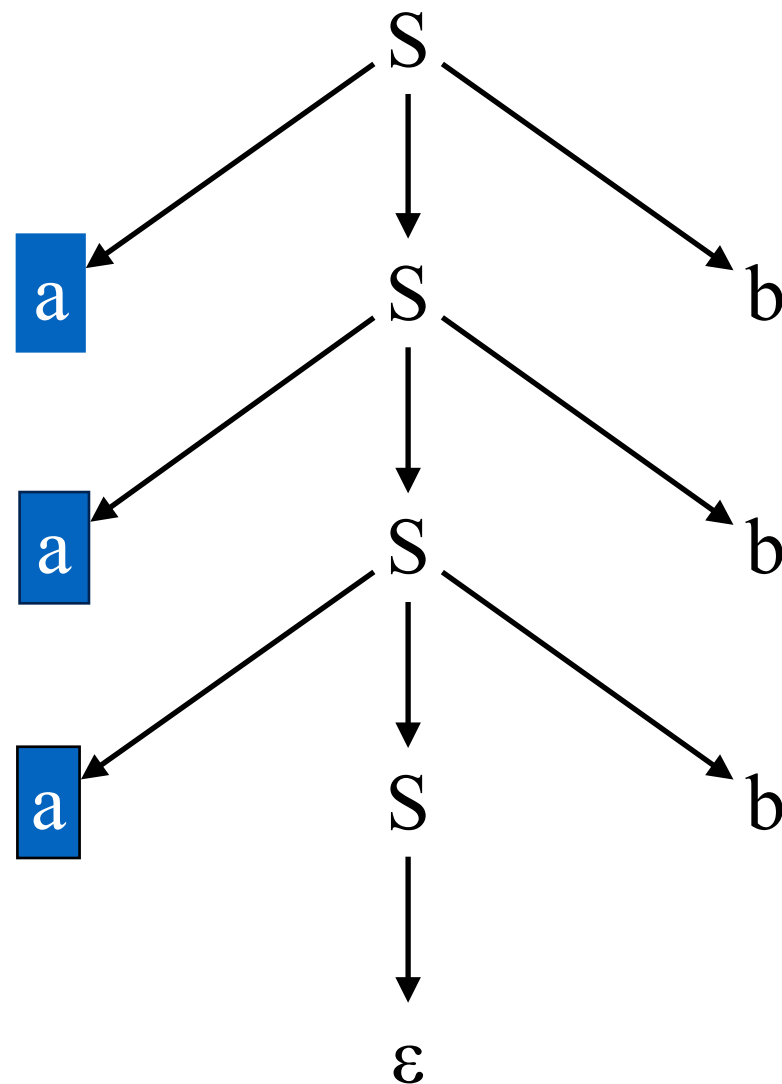


Remaining Input:  
b b b

Applied Production:

# Revisiting the LL(1) Parsing Example

$S ::= a S b \mid \varepsilon$



Remaining Input:  
b b b

Applied Production:  
 $S ::= \varepsilon$

$S ::= \varepsilon$  turns out to be the right rule later.

However, at this point,  $\varepsilon$  does not match “b” either !



# Review: Follow Set

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For a non-terminal  $A$ , define **FOLLOW**( $A$ ) as the set of terminals that can appear immediately to the right of  $A$  in some sentential form.

Thus, a non-terminal's **FOLLOW** set specifies the tokens that can legally appear after it. A terminal symbol has no **FOLLOW** set.

FIRST and FOLLOW sets can be constructed automatically

# Review: Predictive Parsing

## Key Property:

Whenever two productions  $A ::= \alpha$  and  $A ::= \beta$  both appear in the grammar, we would like

- $FIRST(\alpha) \cap FIRST(\beta) = \emptyset$ , and
- if  $\alpha \Rightarrow^* \varepsilon$ , then  $FIRST(\beta) \cap FOLLOW(A) = \emptyset$

Analogue case for  $\beta \Rightarrow^* \varepsilon$ .

Note: due to first condition, at most one of  $\alpha$  and  $\beta$  can derive  $\varepsilon$ .

This would allow the parser to make a correct choice with a lookahead of only one symbol!

# Review: LL(1) Grammar

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Define  $PREDICT(A ::= \delta)$  for rule  $A ::= \delta$

- $FIRST(\delta) - \{ \epsilon \} \cup Follow(A)$ , if  $\epsilon \in FIRST(\delta)$
- $FIRST(\delta)$  otherwise

---

**A Grammar is LL(1) iff**  
( $A ::= \alpha$  and  $A ::= \beta$ ) implies

$$PREDICT(A ::= \alpha) \cap PREDICT(A ::= \beta) = \emptyset$$

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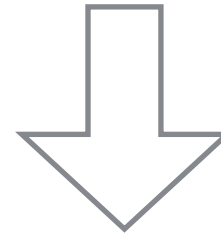
# Table Driven LL(1) Parsing

**Example:**

Predict Sets

$S ::= \mathbf{a} S \mathbf{b} \mid \varepsilon$

$PREDICT(S ::= aSb) = \{a\}$   
 $PREDICT(S ::= \varepsilon) = \{b, eof\}$



LL(1) parse table

	a	b	eof	other
S	$S ::= aSb$	$S ::= \varepsilon$	$S ::= \varepsilon$	error

# Table Driven LL(1) Parsing

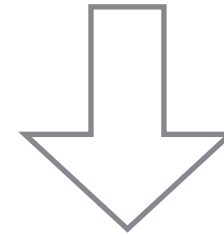
**Example:**

$S ::= \mathbf{a} S \mathbf{b} \mid \varepsilon$

Predict Sets

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LL(1) parse table

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S	$S ::= aSb$	$S ::= \varepsilon$	$S ::= \varepsilon$	error

# Review: Table Driven LL(1) Parsing

*Input: a string  $w$  and a parsing table  $M$  for  $G$*

push eof

push Start Symbol

token  $\leftarrow next\_token()$

$X \leftarrow$  top-of-stack

repeat

  if  $X$  is a terminal then

    if  $X == \text{token}$  then

      pop  $X$

      token  $\leftarrow next\_token()$

    else error()

  else /\*  $X$  is a non-terminal \*/

    if  $\mathbf{M[X, token]} == X \rightarrow Y_1 Y_2 \dots Y_k$  then

      pop  $X$

      push  $Y_k, Y_{k-1}, \dots, Y_1$

    else error()

$X \leftarrow$  top-of-stack

until  $X = \text{EOF}$

if token  $\neq \text{EOF}$  then error()

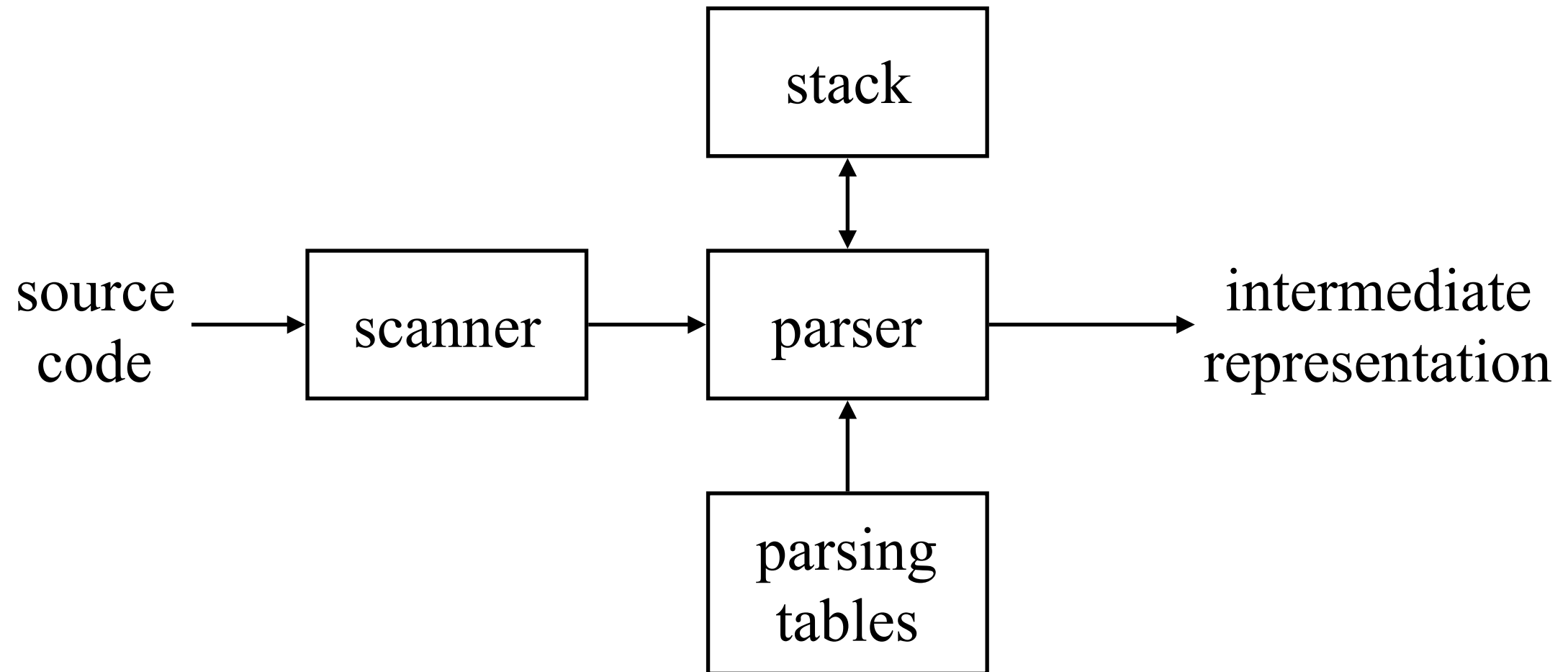
	a	b	eof	other
S	$S ::= aSb$	$S ::= \epsilon$	$S ::= \epsilon$	error

**M is the parse table**

# Predictive Parsing

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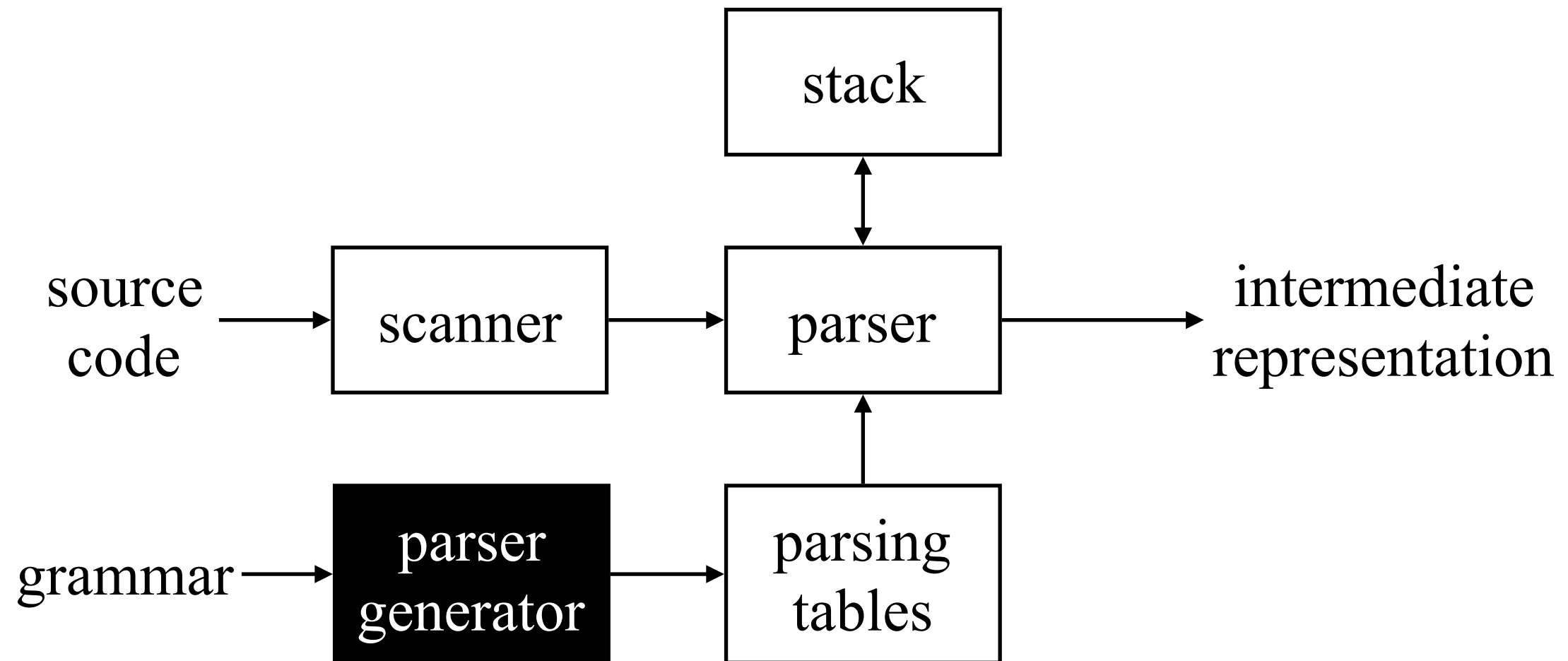
Now, a predictive parser looks like:



Rather than writing code, we build tables.

# Predictive Parsing

Now, a predictive parser looks like:



Rather than writing code, we build tables.  
Building tables can be automated!



# Predictive Parsing

So far:

- Introduced **FIRST**, **FOLLOW**, and **PREDICT** sets
- Introduced **LL(1)** condition:
  - A grammar  $G$  can be parsed predictively with one symbol of lookahead if for all pairs of productions  $A ::= \alpha$  and  $A ::= \beta$  that satisfy:  
$$\text{PREDICT}(A ::= \alpha) \cap \text{PREDICT}(A ::= \beta) = \emptyset$$
- Introduced a recursive descent parser for an **LL(1)** grammar

How to automatically construct ***FIRST*** and ***FOLLOW*** sets?

# FIRST and FOLLOW Sets

---

## **FIRST**( $\alpha$ ):

For some  $\alpha \in (T \cup NT \cup EOF \cup \varepsilon)^*$ , define **FIRST** ( $\alpha$ ) as the set of tokens that appear as the first symbol in some string that derives from  $\alpha$ .

That is,  $x \in \text{FIRST}(\alpha)$  iff  $\alpha \Rightarrow^* x\gamma$  for some  $\gamma$

**FIRST** set is defined over the strings of grammar symbols  
 $(T \cup NT \cup EOF \cup \varepsilon)^*$

T: terminals    NT: non-terminals

# Computing *FIRST* Sets

For a production  $A \rightarrow B_1 B_2 \dots B_k$  :

- $\text{FIRST}(A)$  includes  $\text{FIRST}(B_1) - \varepsilon$
- $\text{FIRST}(A)$  includes  $\text{FIRST}(B_2) - \varepsilon$  if  $B_1$  can be rewritten as  $\varepsilon$
- $\text{FIRST}(A)$  includes  $\text{FIRST}(B_3) - \varepsilon$  if both  $B_1$  and  $B_2$  can derive  $\varepsilon$
- ...
- $\text{FIRST}(A)$  includes  $\text{FIRST}(B_m) - \varepsilon$  if  $B_1 B_2 \dots B_{m-1}$  can derive  $\varepsilon$

$\text{FIRST}(A)$  includes  $\text{FIRST}(B_1) \dots \text{FIRST}(B_m)$  not including  $\varepsilon$  iff  
 $\varepsilon \in \text{FIRST}(B_1), \text{FIRST}(B_2), \text{FIRST}(B_3), \dots, \text{FIRST}(B_{m-1})$

$\text{FIRST}(A)$  includes  $\varepsilon$  iff  
 $\varepsilon \in \text{FIRST}(B_1), \text{FIRST}(B_2), \text{FIRST}(B_3), \dots, \text{FIRST}(B_k)$

# First Set Construction

Build FIRST(X) for all grammar symbols X:

- For each X as a terminal, then FIRST(X) is {X}
- If  $X ::= \varepsilon$ , then  $\varepsilon \in \text{FIRST}(X)$
- 1. For each X as a non-terminal, initialize FIRST(X) to  $\emptyset$
- 2. **Iterate until** no more terminals or  $\varepsilon$  can be added to any FIRST(X):

For each rule in the grammar of the form  $X ::= Y_1 Y_2 \dots Y_k$

add a to FIRST(X) if  $a \in \text{FIRST}(Y_1)$

add a to FIRST(X) if  $a \in \text{FIRST}(Y_i)$  and  $\varepsilon \in \text{FIRST}(Y_j)$

for all  $1 \leq j \leq i-1$  and  $i \geq 2$

add  $\varepsilon$  to FIRST(X) if  $\varepsilon \in \text{FIRST}(Y_i)$  for all  $1 \leq i \leq k$

**End iterate**

# Filling in the Details: Computing *FIRST* sets

for each  $x \in (T \cup \text{EOF} \cup \varepsilon)$

$\text{FIRST}(x) \leftarrow \{x\}$

for each  $A \in \text{NT}$ ,  $\text{FIRST}(A) \leftarrow \emptyset$

Initially, set *FIRST* for each terminal symbol, EOF and  $\varepsilon$

while (*FIRST* sets are still changing) do

for each  $p \in P$ , of the form  $X \rightarrow Y_1 Y_2 \dots Y_k$  do

temp  $\leftarrow \text{FIRST}(Y_1) - \{ \varepsilon \}$

$i \leftarrow 1$

while (  $i \leq k-1$  and  $\varepsilon \in \text{FIRST}(Y_i)$  )

temp  $\leftarrow \text{temp} \cup (\text{FIRST}(Y_{i+1}) - \{ \varepsilon \})$

$i \leftarrow i + 1$

end // while loop

if  $i == k$  and  $\varepsilon \in \text{FIRST}(Y_k)$

then temp  $\leftarrow \text{temp} \cup \{ \varepsilon \}$

$\text{FIRST}(X) \leftarrow \text{FIRST}(X) \cup \text{temp}$

end // if - then

end // for loop

end // while loop

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$\text{FIRST}(X) \leftarrow \text{FIRST}(X) \cup \text{temp}$

end // if - then

end // for loop

end // while loop

$\varepsilon$  complicates matters

If  $\text{FIRST}(Y_1)$  contains  $\varepsilon$ , then we need to add  $\text{FIRST}(Y_2)$  to rhs, and ...

# Filling in the Details: Computing *FIRST* sets

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if  $i == k$  and  $\varepsilon \in \text{FIRST}(Y_k)$

then temp  $\leftarrow \text{temp} \cup \{\varepsilon\}$

$\text{FIRST}(X) \leftarrow \text{FIRST}(X) \cup \text{temp}$

end // if - then

end // for loop

end // while loop

$\varepsilon$  complicates matters

If the entire rhs can go to  $\varepsilon$ ,  
then we add  $\varepsilon$  to *FIRST*(lhs)

# Computing *FIRST* sets

for each  $x \in (T \cup \text{EOF} \cup \varepsilon)$

$\text{FIRST}(x) \leftarrow \{x\}$

for each  $A \in \text{NT}$ ,  $\text{FIRST}(A) \leftarrow \emptyset$

while (*FIRST* sets are still changing) do

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temp  $\leftarrow \text{FIRST}(Y_1) - \{\varepsilon\}$

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i  $\leftarrow i + 1$

end // while loop

if  $i == k$  and  $\varepsilon \in \text{FIRST}(Y_k)$

then temp  $\leftarrow \text{temp} \cup \{\varepsilon\}$

$\text{FIRST}(X) \leftarrow \text{FIRST}(X) \cup \text{temp}$

end // if - then

end // for loop

end // while loop

Outer loop is monotone  
increasing for *FIRST* sets  
 $\Rightarrow |T \cup \text{NT} \cup \text{EOF} \cup \varepsilon|$  is  
bounded, so it terminates



# Example

Consider the SheepNoise grammar and its *FIRST* sets

Goal	::= SheepNoise
SheepNoise	::= SheepNoise <b>baa</b>   <b>baa</b>

**baa is a terminal symbol**

Clearly,  $FIRST(x) = \{baa\}$ ,  $\forall x \in (T \cup NT)$

Symbol	<i>FIRST</i> Set
Goal	<b>baa</b>
SheepNoise	<b>baa</b>
baa	<b>baa</b>

# Computing *FIRST* sets

```
for each  $x \in (T \cup \text{EOF} \cup \varepsilon)$   
     $\text{FIRST}(x) \leftarrow \{x\}$   
for each  $A \in \text{NT}$ ,  $\text{FIRST}(A) \leftarrow \emptyset$ 
```

Initialization assigns each *FIRST* set a value

```
while (FIRST sets are still changing) do  
    for each  $p \in P$ , of the form  $X \rightarrow Y_1 Y_2 \dots Y_k$  do  
         $\text{temp} \leftarrow \text{FIRST}(Y_1) - \{ \varepsilon \}$   
         $i \leftarrow 1$   
        while (  $i \leq k-1$  and  $\varepsilon \in \text{FIRST}(Y_i)$  )  
             $\text{temp} \leftarrow \text{temp} \cup (\text{FIRST}(Y_{i+1}) - \{ \varepsilon \})$   
             $i \leftarrow i + 1$   
        end // while loop  
        if  $i == k$  and  $\varepsilon \in \text{FIRST}(Y_k)$   
            then  $\text{temp} \leftarrow \text{temp} \cup \{ \varepsilon \}$   
             $\text{FIRST}(X) \leftarrow \text{FIRST}(X) \cup \text{temp}$   
        end // if - then  
    end // for loop  
end // while loop
```

Symbol	<i>FIRST</i> Set
Goal	
SheepNoise	
<b>baa</b>	

# Computing *FIRST* sets

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end // while loop

if  $i == k$  and  $\varepsilon \in \text{FIRST}(Y_k)$

then temp  $\leftarrow \text{temp} \cup \{\varepsilon\}$

$\text{FIRST}(X) \leftarrow \text{FIRST}(X) \cup \text{temp}$

end // if - then

end // for loop

end // while loop

- 1 Goal ::= SheepNoise
- 2 SheepNoise ::= SheepNoise **baa**
- 3 **SheepNoise ::= baa**

If we visit the rule  
in the order 3, 2, 1

Symbol	<i>FIRST</i> Set
Goal	$\emptyset$
SheepNoise	
baa	{baa}

# Computing *FIRST* sets

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end // if - then

end // for loop

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- 1 Goal ::= SheepNoise
- 2 SheepNoise ::= SheepNoise baa
- 3 SheepNoise ::= baa

If we visit the rule  
in the order 3, 2, 1

Symbol	<i>FIRST</i> Set
Goal	
SheepNoise	{baa}
baa	{baa}

# An Example

Consider the simplest parentheses grammar

1 Goal ::= List  
2 List ::= Pair List  
3       |  $\epsilon$   
4 Pair ::= LP List RP

Where LP is ( and RP is )

If we visit the rules  
in order 4, 3, 2, 1

⇒

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$		
List	$\emptyset$		
Pair	$\emptyset$		
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

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⇒

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$		
List	$\emptyset$		
Pair	$\emptyset$	<b><u>LP</u></b>	
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

# An Example

Consider the simplest parentheses grammar

1

2

3

4

Goal ::= List  
List ::= Pair List  
      |  $\epsilon$   
Pair ::= LP List RP

Where LP is ( and RP is )

If we visit the rules  
in order 4, 3, 2, 1

⇒

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$		
List	$\emptyset$		
Pair	$\emptyset$	<u>LP</u>	
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

# An Example

Consider the simplest parentheses grammar

1 Goal ::= List  
2 **List** ::= **Pair** List  
3       |  $\epsilon$   
4 Pair ::= LP List RP

Where LP is ( and RP is )

If we visit the rules  
in order 4, 3, 2, 1

⇒

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$		
List	$\emptyset$	<u>LP</u> , $\epsilon$	
Pair	$\emptyset$	<u>LP</u>	
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF



# An Example

Consider the simplest parentheses grammar

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LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

# An Example

Consider the simplest parentheses grammar

1

2

3

4

Goal ::= List

List ::= Pair List

|  $\epsilon$

Pair ::= LP List RP

Where LP is ( and RP is )

If we visit the rules  
in order 4, 3, 2, 1

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Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$	<u>LP</u> , $\epsilon$	
List	$\emptyset$	<u>LP</u> , $\epsilon$	
Pair	$\emptyset$	<u>LP</u>	
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

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Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$	<u>LP</u> , $\epsilon$	
List	$\emptyset$	<u>LP</u> , $\epsilon$	
Pair	$\emptyset$	<u>LP</u>	<u>RP</u>
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

# An Example

Consider the simplest parentheses grammar

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Where LP is ( and RP is )

If we visit the rules  
in order 4, 3, 2, 1

⇒

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$	<u>LP</u> , $\epsilon$	
List	$\emptyset$	<u>LP</u> , $\epsilon$	<b><u>LP</u>, <math>\epsilon</math></b>
Pair	$\emptyset$	<u>LP</u>	<u>LP</u>
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

# An Example

Consider the simplest parentheses grammar

1 **Goal ::= List**  
2 List ::= Pair List  
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4 Pair ::= LP List RP

Where LP is ( and RP is )

If we visit the rules  
in order 4, 3, 2, 1

$\Rightarrow$

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$	<u>LP</u> , $\epsilon$	<b><u>LP</u></b> , $\epsilon$
List	$\emptyset$	<u>LP</u> , $\epsilon$	<u>LP</u> , $\epsilon$
Pair	$\emptyset$	<u>LP</u>	<u>LP</u>
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

# An Example

Consider the simplest parentheses grammar

1 Goal ::= List  
2 List ::= Pair List  
3       |  $\varepsilon$   
4 Pair ::= LP List RP

- Iteration 1 adds LP to **FIRST**(Pair) and LP,  $\varepsilon$  to **FIRST**(List) and **FIRST**(Goal)  
 $\Rightarrow$  If we take them in rule order 4, 3, 2, 1
- Algorithm reaches fixed point at Iteration 2

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	$\emptyset$	<u>LP</u> , $\varepsilon$	<u>LP</u> , $\varepsilon$
List	$\emptyset$	<u>LP</u> , $\varepsilon$	<u>LP</u> , $\varepsilon$
Pair	$\emptyset$	<u>LP</u>	<u>LP</u>
LP	<u>LP</u>	<u>LP</u>	<u>LP</u>
RP	<u>RP</u>	<u>RP</u>	<u>RP</u>
EOF	EOF	EOF	EOF

# FOLLOW Sets

---

## **FOLLOW(A):**

For  $A \in \mathbf{NT}$  , define **FOLLOW(A)** as the set of tokens that can occur immediately after  $A$  in a valid sentential form.

**FOLLOW** set is defined over the set of non-terminal symbols, **NT**.

# Follow Set Construction

To Build FOLLOW(X) for non-terminal X:

- Place EOF in FOLLOW(<start>)
- 1. For each X as a non-terminal, initialize FOLLOW(X) to  $\emptyset$
- 2. *Iterate until* no more terminals can be added to any FOLLOW(X):

For each rule  $p$  in the grammar

If  $p$  is of the form  $A ::= \alpha B \beta$ , then

if  $\epsilon \in FIRST(\beta)$

Place  $\{FIRST(\beta) - \epsilon, FOLLOW(A)\}$  in FOLLOW(B)

else

Place  $\{FIRST(\beta)\}$  in FOLLOW(B)

If  $p$  is of the form  $A ::= \alpha B$ , then

Place FOLLOW(A) in FOLLOW(B)

End iterate



# Computing *FOLLOW* Sets

for each  $A \in \mathbf{NT}$

$\mathbf{FOLLOW}(A) \leftarrow \emptyset$

$\mathbf{FOLLOW}(S) \leftarrow \{ \mathbf{EOF} \}$

while (*FOLLOW* sets are still changing) do

for each  $p \in P$ , of the form  $A \rightarrow B_1 B_2 \dots B_k$  do

Don't add  $\epsilon$

TRAILER  $\leftarrow \mathbf{FOLLOW}(A)$

for  $i \leftarrow k$  down to 1

if  $B_i \in \mathbf{NT}$  then // domain checking

$\mathbf{FOLLOW}(B_i) \leftarrow \mathbf{FOLLOW}(B_i) \cup \text{TRAILER}$

if  $\epsilon \in \mathbf{FIRST}(B_i)$  // add right context

TRAILER  $\leftarrow \text{TRAILER} \cup (\mathbf{FIRST}(B_i) - \{ \epsilon \})$

else TRAILER  $\leftarrow \mathbf{FIRST}(B_i)$  // no  $\epsilon \Rightarrow$  truncate the right context

else TRAILER  $\leftarrow \{ B_i \}$  //  $B_i \in \mathbf{T} \Rightarrow$  only 1 symbol

To build *FOLLOW* sets, we need *FIRST* sets

# Computing *FOLLOW* Sets

---

For a production  $A \rightarrow B_1 B_2 \dots B_k$  :

- It works its way backward through the production:  
 $B_k, B_{k-1}, \dots B_1$
- It builds the *FOLLOW* sets for the rhs symbols,  
 $B_1, B_2, \dots B_k$ , not  $A$
- In the absence of  $\epsilon$ , *FOLLOW*( $B_i$ ) is just *FIRST*( $B_{i+1}$ )
  - As always,  $\epsilon$  makes the algorithm more complex

To handle  $\epsilon$ , the algorithm keeps track of the first word in the trailing right context as it works its way back through rhs:  $B_k, B_{k-1}, \dots B_1$

# Computing *FOLLOW* Sets

Consider the simplest parentheses grammar

1	Goal ::= List
2	List ::= Pair List
3	$\epsilon$
4	Pair ::= <u>LP</u> List <u>RP</u>

Symbol	<i>Initial</i>
Goal	<b>EOF</b>
List	$\emptyset$
Pair	$\emptyset$

Initial Values:

- Goal, List and Pair are set to  $\emptyset$
- Goal is then set to { **EOF** }

# An Example

Consider the simplest parentheses grammar

1

2

3

4

Goal ::= List  
List ::= Pair List  
      |  $\epsilon$   
Pair ::= LP List RP

Symbol	<i>Initial</i>	1 <sup>st</sup>
Goal	<b>EOF</b>	
List	$\emptyset$	
Pair	$\emptyset$	

Iteration 1:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

Assume FIRST Sets are  
obtained using the algorithm we  
discussed in previous slides. →

# An Example

Consider the simplest parentheses grammar

1

2

3

4

Goal ::= List

List ::= Pair List

|  $\epsilon$

Pair ::= LP List RP

Symbol	<i>Initial</i>	1 <sup>st</sup>
Goal	<b>EOF</b>	<b>EOF</b>
List	$\emptyset$	<b>EOF</b>
Pair	$\emptyset$	

Iteration 1:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

# An Example

Consider the simplest parentheses grammar

1

2

3

4

Goal ::= List  
List ::= Pair List  
      |  $\epsilon$   
Pair ::= LP List RP

Symbol	<i>Initial</i>	1 <sup>st</sup>
Goal	<b>EOF</b>	<b>EOF</b>
List	$\emptyset$	<b>EOF</b>
Pair	$\emptyset$	<b>EOF, LP</b>

Iteration 1:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

# An Example

Consider the simplest parentheses grammar

1	Goal ::= List
2	List ::= Pair List
3	$\epsilon$
4	Pair ::= <u>LP</u> List <u>RP</u>

Symbol	<i>Initial</i>	1 <sup>st</sup>
Goal	EOF	EOF
List	$\emptyset$	EOF, RP
Pair	$\emptyset$	EOF, LP

Iteration 1:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

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Consider the simplest parentheses grammar

1	Goal ::= List
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4	Pair ::= <u>LP</u> List <u>RP</u>

Symbol	<i>Initial</i>	1 <sup>st</sup>
Goal	<b>EOF</b>	<b>EOF</b>
List	$\emptyset$	<b>EOF</b> , RP
Pair	$\emptyset$	<b>EOF</b> , LP

Iteration 1:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF



# An Example

Consider the simplest parentheses grammar

1	Goal ::= List
2	List ::= Pair List
3	$\epsilon$
4	Pair ::= <u>LP</u> List <u>RP</u>

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	<b>EOF</b>	<b>EOF</b>	
List	$\emptyset$	<b>EOF, RP</b>	
Pair	$\emptyset$	<b>EOF, LP</b>	

Iteration 2:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

# An Example

Consider the simplest parentheses grammar

1

2

3

4

Goal ::= List

List ::= Pair List

|  $\epsilon$

Pair ::= LP List RP

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	<b>EOF</b>	<b>EOF</b>	<b>EOF</b>
List	$\emptyset$	<b>EOF, RP</b>	<b>EOF, RP</b>
Pair	$\emptyset$	<b>EOF, LP</b>	

Iteration 2:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

# An Example

Consider the simplest parentheses grammar

1	Goal ::= List
2	List ::= Pair List
3	$\epsilon$
4	Pair ::= <u>LP</u> List <u>RP</u>

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	EOF	EOF	EOF
List	$\emptyset$	EOF, RP	EOF, RP
Pair	$\emptyset$	EOF, LP	EOF, RP, LP

Iteration 2:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

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Consider the simplest parentheses grammar

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Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	EOF	EOF	EOF
List	$\emptyset$	EOF, RP	EOF, RP
Pair	$\emptyset$	EOF, LP	EOF, RP, LP

Iteration 2:

If we visit the rules  
in order 1, 2, 3, 4

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
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# An Example

Consider the simplest parentheses grammar

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4	Pair ::= <u>LP</u> List <u>RP</u>

Symbol	<i>Initial</i>	1 <sup>st</sup>	2 <sup>nd</sup>
Goal	EOF	EOF	EOF
List	$\emptyset$	EOF, RP	EOF, RP
Pair	$\emptyset$	EOF, LP	EOF, RP, LP

Iteration 2:

- Production 1 adds nothing new
- Production 2 adds RP to *FOLLOW*(Pair)  
from *FOLLOW*(List),  $\epsilon \in \text{FIRST}(\text{List})$
- Production 3 does nothing
- Production 4 adds nothing new

Symbol	<i>FIRST</i> Set
Goal	<u>LP</u> , $\epsilon$
List	<u>LP</u> , $\epsilon$
Pair	<u>LP</u>
LP	<u>LP</u>
RP	<u>RP</u>
EOF	EOF

Iteration 3 produces the same result  $\Rightarrow$  reached a fixed point (omitted in the table)

# Next Lecture

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Things to do:

- Read Scott, Chapter 2.1 - 2.3.3; ALSU 2.4