CS 314 Principles of Programming Languages

Lecture 8: LL(1) Parsing

Prof. Zheng Zhang



Class Information

- Homework 1 grades posted.
- Homework 3 posted, due Tuesday 10/02 11:55 pm EDT.

Review: FIRST and FOLLOW Sets

FIRST(α):

For some $\alpha \in (T \cup NT \cup EOF \cup \epsilon)^*$, define **FIRST** (α) as the set of tokens that appear as the first symbol in some string that derives from α .

That is, $\mathbf{x} \in \text{FIRST}(\alpha)$ iff $\alpha \Rightarrow^* \mathbf{x} \gamma$ for some γ

FIRST set is defined over the strings of grammar symbols (T \cup NT \cup EOF \cup ϵ)*

T: terminals NT: non-terminals

First Set Example

Start ::= S **eof**

 $S := a S b | \varepsilon$

 $FIRST(\varepsilon) = \{\varepsilon\}$

S can be rewritten as the following:

ab aaabbb aabb ε

 $FIRST(S) = \{a, \epsilon\}$

aSb can be rewritten as the following:

ab aabb

• • •

 $FIRST(aSb) = \{a\}$

Computing FIRST Sets

For a production $A \rightarrow B_1B_2 \dots B_k$:

- FIRST(A) includes FIRST(B_1) ε
- FIRST(A) includes FIRST(B_2) ε if B_1 can be rewritten as ε
- FIRST(A) includes FIRST(B_3) ε if both B_1 and B_2 can derive ε
- •
- FIRST(A) includes FIRST(B_m) ε if $B_1B_2...B_{m-1}$ can derive ε

FIRST(A) includes FIRST(B_1) ... FIRST(B_m) not including ε iff $\varepsilon \in FIRST(B_1)$, FIRST(B_2), FIRST(B_3), ..., FIRST(B_{m-1})

FIRST(A) includes ε iff $\varepsilon \in FIRST(B_1)$, $FIRST(B_2)$, $FIRST(B_3)$, ..., $FIRST(B_k)$

First Set Construction

Build FIRST(X) for all grammar symbols X:

- For each X as a terminal, then FIRST(X) is {X}
- If $X := \varepsilon$, then $\varepsilon \in FIRST(X)$
- For each X as a non-terminal, initialize FIRST(X) to \emptyset
- Iterate until no more terminals or ϵ can be added to any FIRST(X): For each rule in the grammar of the form $X := Y_1Y_2...Y_k$ add a to FIRST(X) if $a \in FIRST(Y_1)$ add a to FIRST(X) if $a \in FIRST(Y_i)$ and $\epsilon \in FIRST(Y_j)$ for all $1 \le j \le i-1$ and $i \ge 2$ add ϵ to FIRST(X) if $\epsilon \in FIRST(Y_i)$ for all $1 \le i \le k$ EndFor End iterate

```
for each x \in (T \cup EOF \cup \varepsilon)

FIRST(x) \leftarrow \{x\}

for each A \in NT, FIRST(A) \leftarrow \emptyset
```

Initially, set *FIRST* for each terminal symbol, EOF and ε

```
while (FIRST sets are still changing) do
     for each p \in P, of the form X \to Y_1 Y_2 ... Y_k do
            temp \leftarrow FIRST(Y_1) - \{ \epsilon \}
            i \leftarrow 1
            while ( i \le k-1 and \varepsilon \in FIRST(Y_i) )
                temp \leftarrow temp \cup (FIRST(Y<sub>i+1</sub>) - { \varepsilon })
               i \leftarrow i + 1
            end // while loop
            if i == k and \varepsilon \in FIRST(Y_k)
            then temp \leftarrow temp \cup { \varepsilon }
            FIRST(X) \leftarrow FIRST(X) \cup temp
         end // if - then
     end // for loop
end // while loop
```

```
for each x \in (T \cup EOF \cup \varepsilon)
    FIRST(x) \leftarrow \{x\}
                                                                Initialize FIRST of each non-
for each A \in NT, FIRST(A) \leftarrow \emptyset
                                                                terminal symbol as empty set
while (FIRST sets are still changing) do
     for each p \in P, of the form X \to Y_1 Y_2 ... Y_k do
            temp \leftarrow FIRST(Y_1) - \{ \epsilon \}
            i \leftarrow 1
            while ( i \le k-1 and \epsilon \in FIRST(Y_i) )
               temp \leftarrow temp \cup (FIRST(Y<sub>i+1</sub>) - { \varepsilon })
               i \leftarrow i + 1
            end // while loop
            if i == k and \varepsilon \in FIRST(Y_k)
            then temp \leftarrow temp \cup { \varepsilon }
            FIRST(X) \leftarrow FIRST(X) \cup temp
         end // if - then
     end // for loop
end // while loop
```

```
for each x \in (T \cup EOF \cup \varepsilon)
    FIRST(x) \leftarrow \{x\}
                                                               If any FIRST set changes, it might
for each A \in NT, FIRST(A) \leftarrow \emptyset
                                                               affect other FIRST set(s) due to
                                                               the inter-dependence relationship.
while (FIRST sets are still changing) do
     for each p \in P, of the form X \to Y_1Y_2...Y_k do
           temp \leftarrow FIRST(Y_1) - \{ \epsilon \}
           i \leftarrow 1
            while ( i \le k-1 and \varepsilon \in FIRST(Y_i) )
               temp \leftarrow temp \cup (FIRST(Y<sub>i+1</sub>) - { \varepsilon })
              i \leftarrow i + 1
            end // while loop
           if i == k and \varepsilon \in FIRST(Y_k)
           then temp \leftarrow temp \cup { \varepsilon }
           FIRST(X) \leftarrow FIRST(X) \cup temp
        end // if - then
     end // for loop
end // while loop
```

```
for each x \in (T \cup EOF \cup \varepsilon)

FIRST(x) \leftarrow \{x\}

for each A \in NT, FIRST(A) \leftarrow \emptyset
```

while (FIRST sets are still changing) do

```
for each p \in P, of the form X \to Y_1Y_2...Y_k do temp \leftarrow FIRST(Y_1) - \{ \epsilon \} i \leftarrow 1 while (i \le k-1 \text{ and } \epsilon \in FIRST(Y_i)) temp \leftarrow temp \cup (FIRST(Y_{i+1}) - \{ \epsilon \}) i \leftarrow i+1 end // while loop if i == k and \epsilon \in FIRST(Y_k) then temp \leftarrow temp \cup \{ \epsilon \} FIRST(X) \leftarrow FIRST(X) \cup temp end // if - then end // for loop
```

Check each rule in the grammar, see if any other *FIRST* set needs to be updated.

end // while loop

```
for each x \in (T \cup EOF \cup \varepsilon)
    FIRST(x) \leftarrow \{x\}
for each A \in NT, FIRST(A) \leftarrow \emptyset
while (FIRST sets are still changing) do
     for each p \in P, of the form X \to Y_1 Y_2 ... Y_k do
            temp \leftarrow FIRST(Y_1) - \{ \epsilon \}
            i \leftarrow 1
             while ( i \le k-1 and \varepsilon \in FIRST(Y_i) )
                temp \leftarrow temp \cup (FIRST(Y<sub>i+1</sub>) - { \varepsilon })
                i \leftarrow i + 1
             end // while loop
            if i == k and \varepsilon \in FIRST(Y_k)
                  then temp \leftarrow temp \cup \{ \epsilon \}
            FIRST(X) \leftarrow FIRST(X) \cup temp
         end // if - then
      end // for loop
end // while loop
```

ε complicates matters

If $FIRST(Y_1)$ contains ε , then we need to add $FIRST(Y_2)$ to rhs, and ...

```
ε complicates matters
for each x \in (T \cup EOF \cup \varepsilon)
    FIRST(x) \leftarrow \{x\}
for each A \in NT, FIRST(A) \leftarrow \emptyset
while (FIRST sets are still changing) do
     for each p \in P, of the form X \to Y_1 Y_2 ... Y_k do
            temp \leftarrow FIRST(Y_1) - \{ \epsilon \}
            i \leftarrow 1
             while ( i \le k-1 and \varepsilon \in FIRST(Y_i) )
                temp \leftarrow temp \cup (FIRST(Y<sub>i+1</sub>) - { \varepsilon })
                i \leftarrow i + 1
             end // while loop
            if i == k and \epsilon \in FIRST(Y_k)
                                                                              If all the rhs symbols can go to
                 then temp \leftarrow temp \cup { \epsilon }
                                                                              \epsilon, then we add \epsilon to FIRST(lhs)
             \overline{\textit{FIRST}(X)} \leftarrow \textit{FIRST}(X) \cup \text{temp}
         end // if - then
      end // for loop
end // while loop
```

Computing FIRST sets

```
for each x \in (T \cup EOF \cup \varepsilon)
    FIRST(x) \leftarrow \{x\}
for each A \in NT, FIRST(A) \leftarrow \emptyset
while (FIRST sets are still changing) do
     for each p \in P, of the form X \to Y_1 Y_2 ... Y_k do
            temp \leftarrow FIRST(Y_1) - \{ \epsilon \}
            i \leftarrow 1
            while ( i \le k-1 and \varepsilon \in FIRST(Y_i) )
                temp \leftarrow temp \cup (FIRST(Y<sub>i+1</sub>) - { \varepsilon })
               i \leftarrow i + 1
            end // while loop
            if i == k and \varepsilon \in FIRST(Y_k)
                                                                               Outer loop is monotone
                 then temp \leftarrow temp \cup { \varepsilon }
                                                                               increasing for FIRST sets
            FIRST(X) \leftarrow FIRST(X) \cup temp
                                                                               \Rightarrow | T \cup NT \cup EOF \cup \epsilon | is
         end // if - then
                                                                               bounded, so it terminates
     end // for loop
end // while loop
```

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

1st means first "while" iteration

Where \underline{LP} is (and \underline{RP} is)

| ••••• |
|---|
| while (FIRST sets are still changing) do |
| for each $p \in P$, of the form $X \to Y_1Y_2Y_k$ do |
| temp $\leftarrow FIRST(Y_1) - \{ \epsilon \}$ |
| i ← 1 |
| while ($i \le k-1$ and $\epsilon \in FIRST(Y_i)$) |
| $temp \leftarrow temp \cup (FIRST(Y_{i+1}) - \{ \epsilon \})$ |
| $i \leftarrow i + 1$ |
| end // while loop |
| if $i == k$ and $\epsilon \in FIRST(Y_k)$ |
| then temp \leftarrow temp \cup { ϵ } |
| $FIRST(X) \leftarrow FIRST(X) \cup temp$ |
| end // if - then |
| end // for loop |
| end // while loop |

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | | |
| List | Ø | | |
| Pair | Ø | | į |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

Consider the simplest parentheses grammar

```
Goal ::= List
List ::= Pair List
Pair := \underline{LP} List \underline{RP}
```

1st means first "while" iteration

Where <u>LP</u> is (and <u>RP</u> is)

If we visit the rules

in order 4, 3, 2, 1

Applying

Pair ::= \underline{LP} List \underline{RP}

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | | |
| List | Ø | | |
| Pair | Ø | <u>LP</u> | i |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

1st means first "while" iteration

Where <u>LP</u> is (and <u>RP</u> is)

| If we visit the rules in order 4, 3, 2, 1 | \Rightarrow |
|---|---------------|
| | |
| Applying List Dain List | |
| List ::= Pair List | |
| 3 | |

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | | |
| List | Ø | <u>LP</u> , ε | |
| Pair | Ø | <u>LP</u> | i |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List

2 List ::= Pair List

3 | ε

4 Pair ::= <u>LP</u> List <u>RP</u>
```

1st means first "while" iteration

Where <u>LP</u> is (and <u>RP</u> is)

| If we visit the rules in order 4, 3, 2, 1 | \Rightarrow |
|---|---------------|
| Applying Goal ::= List | |

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | <u>LP</u> , ε | |
| List | Ø | <u>LP</u> , ε | |
| Pair | Ø | <u>LP</u> | i |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

1st means first "while" iteration

Where \underline{LP} is (and \underline{RP} is)

If we visit the rules in order 4, 3, 2, 1 \Rightarrow

Applying

Pair ::= \underline{LP} List \underline{RP}

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | <u>LP</u> , ε | |
| List | Ø | <u>LP</u> , ε | |
| Pair | Ø | <u>LP</u> | <u>LP</u> |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

1st means first "while" iteration

Where <u>LP</u> is (and <u>RP</u> is)

| If we visit the rules in order 4, 3, 2, 1 | \Rightarrow |
|---|---------------|
| Applying | |
| List ::= Pair List | |
| 3 | |

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | <u>LP</u> , ε | |
| List | Ø | <u>LP</u> , ε | <u>LP</u> , ε |
| Pair | Ø | <u>LP</u> | <u>LP</u> |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List

2 List ::= Pair List

3 | ε

4 Pair ::= <u>LP</u> List <u>RP</u>
```

1st means first "while" iteration

Where <u>LP</u> is (and <u>RP</u> is)

| If we visit the rules | |
|-----------------------|---------------|
| in order 4, 3, 2, 1 | \Rightarrow |

Applying

Goal ::= List

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | <u>LP</u> , ε | <u>LP</u> , ε |
| List | Ø | <u>LP</u> , ε | <u>LP</u> , ε |
| Pair | Ø | <u>LP</u> | <u>LP</u> |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

1st means first "while" iteration

FIRST Sets

- Iteration 1 adds LP to
 FIRST(Pair) and {LP,
 ε} to *FIRST*(List) and
 FIRST(Goal)
 ⇒ If we take them in
 rule order 4, 3, 2, 1
- Algorithm reaches fixed point

| Symbol | Initial | 1 st | 2 nd |
|--------|-----------|-----------------|-----------------|
| Goal | Ø | <u>LP</u> , ε | <u>LP</u> , ε |
| List | Ø | <u>LP</u> , ε | <u>LP</u> , ε |
| Pair | Ø | <u>LP</u> | <u>LP</u> |
| LP | <u>LP</u> | <u>LP</u> | <u>LP</u> |
| RP | <u>RP</u> | <u>RP</u> | <u>RP</u> |
| EOF | EOF | EOF | EOF |

FOLLOW Sets

FOLLOW(A):

For $A \in NT$, define FOLLOW(A) as the set of *tokens* that can occur immediately after A in a valid sentential form.

FOLLOW set is defined over the set of non-terminal symbols, **NT**.

Start ::=
$$S eof$$

 $S ::= a S b$ |

$$Start \Rightarrow$$

$$FOLLOW(S) = \{$$

Start ::=
$$S = eof$$

$$S ::= a S b |$$
 ε

Start
$$\Rightarrow$$
 S **eof**

$$FOLLOW(S) = \{$$

Start ::=
$$S = cof$$

$$S ::= a S = b$$

Start
$$\Rightarrow$$
 S eof \Rightarrow a S b eof

$$FOLLOW(S) = \{$$

Start ::=
$$S = cof$$

$$S ::= a S = b$$

Start
$$\Rightarrow$$
 S eof \Rightarrow a S b eof \Rightarrow a b eof

$$FOLLOW(S) = \{$$

Start ::=
$$S = eof$$

$$S ::= a S = b$$

Start
$$\Rightarrow$$
 S eof \Rightarrow a S b eof \Rightarrow a b eof

$$FOLLOW(S) = \{ eof, b \}$$

For a production $A \rightarrow B_1B_2 \dots B_{k-1}B_k$:

- FOLLOW(B_k) includes FOLLOW(A)
- FOLLOW(B_{k-1}) includes $FIRST(B_k) \epsilon,$ and FOLLOW(A) if B_k can derive ϵ
- FOLLOW(B_{k-2}) includes $FIRST(B_{k-1}B_k) \epsilon,$ and FOLLOW(A) if $B_{k-1}B_k$ can derive ϵ

• . . .

Follow Set Construction

Given a rule *p* in the grammar:

$$A \rightarrow B_1B_2...B_iB_{i+1}...B_k$$

If B_i is a non-terminal, FOLLOW(B_i) includes

- FIRST($B_{i+1}...B_k$) { ϵ } U FOLLOW(A), if $\epsilon \in FIRST(B_{i+1}...B_k)$
- FIRST(B_{i+1}...B_k) otherwise

Follow Set Construction

To Build FOLLOW(X) for non-terminal X:

- Place EOF in FOLLOW(<start>)
- For each X as a non-terminal, initialize FOLLOW(X) to Ø

<u>Iterate until</u> no more terminals can be added to any FOLLOW(X):

```
For each rule p in the grammar

If p is of the form A := \alpha B\beta, then

if \epsilon \in FIRST(\beta)

Place \{FIRST(\beta) - \epsilon, FOLLOW(A)\} in FOLLOW(B)

else

Place \{FIRST(\beta)\} in FOLLOW(B)

If p is of the form A := \alpha B, then

Place FOLLOW(A) in FOLLOW(B)
```

End iterate

```
Initially, set FOLLOW for
for each A \in NT
    FOLLOW(A) \leftarrow \emptyset
                                                        each non-terminal symbol
FOLLOW(S) \leftarrow \{ EOF \}
while (FOLLOW sets are still changing) do
     for each p \in P, of the form A \to B_1B_2...B_k do
        TRAILER \leftarrow FOLLOW(A)
        for i \leftarrow k down to 1
           if B_i \in NT then
               FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER
               if \varepsilon \in FIRST(B_i)
                   TRAILER \leftarrow TRAILER \cup (FIRST(B<sub>i</sub>) - { \varepsilon })
               else TRAILER \leftarrow FIRST(B_i)
            else TRAILER \leftarrow \{B_i\}
```

```
for each A \in NT
FOLLOW(A) \leftarrow \emptyset
FOLLOW(S) \leftarrow \{ EOF \}
```

Set *FOLLOW* for start symbol S as {EOF}

```
while (FOLLOW sets are still changing) do for each p \in P, of the form A \to B_1B_2...B_k do TRAILER \leftarrow FOLLOW(A) for i \leftarrow k down to 1 if B_i \in NT then FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER if \epsilon \in FIRST(B_i) TRAILER \leftarrow TRAILER \cup (FIRST(B_i) - \{ \epsilon  \}) else TRAILER \leftarrow FIRST(B_i) else TRAILER \leftarrow \{ B_i  \}
```

```
for each A \in NT

FOLLOW(A) \leftarrow \emptyset

FOLLOW(S) \leftarrow \{ EOF \}
```

```
while (FOLLOW sets are still changing) do for each p \in P, of the form A \to B_1B_2...B_k do TRAILER \leftarrow FOLLOW(A) for i \leftarrow k down to 1 if B_i \in NT then FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER if \epsilon \in FIRST(B_i) TRAILER \leftarrow TRAILER \cup (FIRST(B_i) - \{\epsilon\}) else TRAILER \leftarrow FIRST(B_i) else TRAILER \leftarrow FIRST(B_i) else TRAILER \leftarrow \{B_i\}
```

As long as any *FOLLOW* set changes

for each $A \in NT$

```
FOLLOW(A) ← Ø
FOLLOW(S) ← { EOF }

while (FOLLOW sets are still changing) do

for each p ∈ P, of the form A → B<sub>1</sub>B<sub>2</sub>...B<sub>k</sub> do

TRAILER ← FOLLOW(A)

for i ← k down to 1

if B<sub>i</sub> ∈ NT then

FOLLOW(B<sub>i</sub>) ← FOLLOW(B<sub>i</sub>) ∪ TRAILER

if \varepsilon \in FIRST(B_i)

TRAILER ← TRAILER ∪ (FIRST(B<sub>i</sub>) - { \varepsilon })

else TRAILER ← FIRST(B<sub>i</sub>)

else TRAILER ← { B<sub>i</sub> }
```

As long as any *FOLLOW* set changes, check all the rules

```
for each A \in NT
    FOLLOW(A) \leftarrow \emptyset
FOLLOW(S) \leftarrow \{ EOF \}
while (FOLLOW sets are still changing) do
                                                                                   set trailing
     for each p \in P, of the form A \to B_1B_2...B_k do
        TRAILER \leftarrow FOLLOW(A)
                                                                                   context to
        for i \leftarrow k down to 1
                                                                                   FOLLOW(A)
            if B_i \in \mathbf{NT} then
               FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER
               if \varepsilon \in FIRST(B_i)
                   TRAILER \leftarrow TRAILER \cup (FIRST(B<sub>i</sub>) - { \varepsilon })
               else TRAILER \leftarrow FIRST(B_i)
            else TRAILER \leftarrow \{B_i\}
```

```
for each A \in NT
    FOLLOW(A) \leftarrow \emptyset
FOLLOW(S) \leftarrow \{ EOF \}
while (FOLLOW sets are still changing) do
     for each p \in P, of the form A \to B_1B_2...B_k do
        TRAILER \leftarrow \textit{FOLLOW}(A)
                                                                                     it goes
        for i \leftarrow k down to 1
                                                                                     backwards
            if B_i \in \mathbf{NT} then
                FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER
                if \varepsilon \in FIRST(B_i)
                    TRAILER \leftarrow TRAILER \cup (FIRST(B<sub>i</sub>) - { \varepsilon })
                else TRAILER \leftarrow FIRST(B_i)
             else TRAILER \leftarrow \{B_i\}
```

for each $A \in NT$

 $FOLLOW(A) \leftarrow \emptyset$

```
FOLLOW(S) ← { EOF }

while (FOLLOW sets are still changing) do

for each p ∈ P, of the form A → B<sub>1</sub>B<sub>2</sub>...B<sub>k</sub> do

TRAILER ← FOLLOW(A)

for i ← k down to 1

if B<sub>i</sub> ∈ NT then

FOLLOW(B<sub>i</sub>) ← FOLLOW(B<sub>i</sub>) ∪ TRAILER

if ε ∈ FIRST(B<sub>i</sub>)

TRAILER ← TRAILER ∪ (FIRST(B<sub>i</sub>) - { ε })

else TRAILER ← FIRST(B<sub>i</sub>)

else TRAILER ← { B<sub>i</sub> }
```

if the symbol is non-terminal, need to check if it derives ε

```
for each A \in NT
    FOLLOW(A) \leftarrow \emptyset
FOLLOW(S) \leftarrow \{ EOF \}
while (FOLLOW sets are still changing) do
     for each p \in P, of the form A \to B_1B_2...B_k do
        TRAILER \leftarrow FOLLOW(A)
        for i \leftarrow k down to 1
            if B_i \in \mathbf{NT} then
                                                                                 Consecutive non-
               FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER
               if \varepsilon \in FIRST(B_i)
                                                                                 terminals that derive
                   TRAILER \leftarrow TRAILER \cup (FIRST(B<sub>i</sub>) - { \varepsilon })
                                                                                 ε in trailing context
               else TRAILER \leftarrow FIRST(B_i)
            else TRAILER \leftarrow \{B_i\}
```

```
for each A \in NT
    FOLLOW(A) \leftarrow \emptyset
FOLLOW(S) \leftarrow \{ EOF \}
while (FOLLOW sets are still changing) do
     for each p \in P, of the form A \to B_1B_2...B_k do
        TRAILER \leftarrow FOLLOW(A)
        for i \leftarrow k down to 1
            if B_i \in \mathbf{NT} then
               FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER
               if \varepsilon \in FIRST(B_i)
                   TRAILER \leftarrow TRAILER \cup (FIRST(B<sub>i</sub>) - { \epsilon })
                                                                                 Trailing context
               else TRAILER \leftarrow FIRST(B_i)
                                                                                 needs to be reset
            else TRAILER \leftarrow \{B_i\}
```

```
for each A \in NT
    FOLLOW(A) \leftarrow \emptyset
FOLLOW(S) \leftarrow \{ EOF \}
while (FOLLOW sets are still changing) do
     for each p \in P, of the form A \to B_1B_2...B_k do
         TRAILER \leftarrow FOLLOW(A)
        for i \leftarrow k down to 1
            if B_i \in NT then
                FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER
                if \varepsilon \in FIRST(B_i)
                    TRAILER \leftarrow TRAILER \cup (FIRST(B<sub>i</sub>) - { \varepsilon })
                else TRAILER \leftarrow FIRST(B<sub>i</sub>)
                                                                                      when B<sub>i</sub> does not
             else TRAILER \leftarrow \{ B_i \}
                                                                                      derive \varepsilon
```

Consider the simplest parentheses grammar

| 1 | Goal ::= List List ::= Pair List |
|---|--|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | $Pair ::= \underline{LP} \text{ List } \underline{RP}$ |

| Symbol | Initial |
|--------|---------|
| Goal | EOF |
| List | Ø |
| Pair | Ø |

Initial Values:

- Goal, List and Pair are set to \varnothing
- Goal is then set to { **EOF** }

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

| Symbol | Initial | 1 st |
|--------|---------|-----------------|
| Goal | EOF | EOF |
| List | Ø | |
| Pair | Ø | |

Iteration 1 (of while loop):

| while (FOLLOW sets are still changing) do | Symbol | <i>FIRST</i> Set |
|---|--------|------------------|
| for each $p \in P$, of the form $A \to B_1B_2B_k$ do $TRAILER \leftarrow FOLLOW(A)$ | Goal | <u>LP</u> , ε |
| for $i \leftarrow k$ down to 1 if $B_i \in \mathbf{NT}$ then | List | <u>LP</u> , ε |
| $FOLLOW(B_i) \leftarrow FOLLOW(B_i) \cup TRAILER$ | Pair | <u>LP</u> |
| if $\varepsilon \in \textit{FIRST}(B_i)$ TRAILER \leftarrow TRAILER \cup ($\textit{FIRST}(B_i)$ - $\{\varepsilon\}$) | LP | <u>LP</u> |
| else TRAILER $\leftarrow FIRST(B_i)$ else TRAILER $\leftarrow \{ B_i \}$ | RP | <u>RP</u> |
| | EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

| Symbol | Initial | 1 st |
|--------|---------|-----------------|
| Goal | EOF | EOF |
| List | Ø | |
| Pair | Ø | |

Iteration 1:

If we visit the rules in order 1, 2, 3, 4

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List List ::= Pair List |
|---|--|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | $Pair := \underline{LP} List \underline{RP}$ |

| Symbol | Initial | 1 st |
|--------|---------|------|
| Goal | EOF | EOF |
| List | Ø | EOF |
| Pair | Ø | |

Iteration 1:

If we visit the rules in order 1, 2, 3, 4

Goal ::= List

Add FOLLOW(Goal) to FOLLOW(List)

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List |
|---|---|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | $Pair ::= \underline{LP} List \underline{RP}$ |

| Symbol | Initial | 1 st |
|--------|---------|-----------------|
| Goal | EOF | EOF |
| List | Ø | EOF |
| Pair | Ø | |

Iteration 1:

If we visit the rules in order 1, 2, 3, 4

List ::= Pair List

| Symbol | FIRST Set |
|--------|------------------------------|
| Goal | <u>LP</u> , ε |
| List | $(\underline{LP}, \epsilon)$ |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List List ::= Pair List |
|---|---|
| 2 | List ::= Pair List |
| 3 | |
| 4 | $Pair ::= \underline{LP} List \underline{RP}$ |

| Symbol | Initial | 1 st |
|--------|---------|-----------------|
| Goal | EOF | EOF |
| List | Ø | EOF |
| Pair | Ø | EOF, LP |

Iteration 1:

If we visit the rules in order 1, 2, 3, 4

List ::= Pair List

- Add FIRST(List) to FOLLOW(Pair)
- Add FOLLOW(List) to FOLLOW(Pair)

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

```
1 Goal ::= List
2 List ::= Pair List
3 | ε
4 Pair ::= <u>LP</u> List <u>RP</u>
```

| Symbol | Initial | 1 st |
|--------|---------|---------|
| Goal | EOF | EOF |
| List | Ø | EOF |
| Pair | Ø | EOF, LP |

Iteration 1:

If we visit the rules in order 1, 2, 3, 4

Pair ::= \underline{LP} List \underline{RP}

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List List ::= Pair List |
|---|---|
| 2 | List ::= Pair List |
| 3 | |
| 4 | $Pair ::= \underline{LP} List \underline{RP}$ |

| Symbol | Initial | 1 st |
|--------|---------|---------|
| Goal | EOF | EOF |
| List | Ø | EOF, RP |
| Pair | Ø | EOF, LP |

Iteration 1:

If we visit the rules in order 1, 2, 3, 4

Pair ::= \underline{LP} List \underline{RP}

• Add FIRST(<u>RP</u>) to FOLLOW(List)

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List List ::= Pair List ε Pair ::= <u>LP</u> List <u>RP</u> |
|---|---|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | $Pair := \underline{LP} List \underline{RP}$ |

| Symbol | Initial | 1 st |
|--------|---------|-----------------|
| Goal | EOF | EOF |
| List | Ø | EOF, RP |
| Pair | Ø | EOF, LP |

Iteration 1:

If we visit the rules in order 1, 2, 3, 4

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List List ::= Pair List |
|---|---|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | $Pair ::= \underline{LP} List \underline{RP}$ |

| Symbol | Initial | 1 st | 2 nd |
|--------|---------|-----------------|-----------------|
| Goal | EOF | EOF | EOF |
| List | Ø | EOF, RP | EOF, RP |
| Pair | Ø | EOF, LP | EOF, LP |

Iteration 2:

If we visit the rules in order 1, 2, 3, 4

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List |
|---|----------------------------------|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | Goal ::= List List ::= Pair List |

| Symbol | Initial | 1 st | 2 nd |
|--------|---------|-----------------|-----------------|
| Goal | EOF | EOF | EOF |
| List | Ø | EOF, RP | EOF, RP |
| Pair | Ø | EOF, LP | EOF, LP |

Iteration 2:

If we visit the rules in order 1, 2, 3, 4

Goal ::= List

Add FOLLOW(Goal) to FOLLOW(List)

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List |
|---|---|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | $Pair ::= \underline{LP} List \underline{RP}$ |

| Symbol | Initial | 1st | 2 nd |
|--------|---------|---------|-----------------|
| Goal | EOF | EOF | EOF |
| List | Ø | EOF, RP | EOF, RP |
| Pair | Ø | EOF, LP | EOF, LP, RP |

Iteration 2:

If we visit the rules in order 1, 2, 3, 4

List ::= Pair List

- Add FIRST(List) to FOLLOW(Pair)
- Add FOLLOW(List) to FOLLOW(Pair)

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 | Goal ::= List List ::= Pair List |
|---|---|
| 2 | List ::= Pair List |
| 3 | 3 |
| 4 | Pair ::= \underline{LP} List \underline{RP} |

| Symbol | Initial | 1 st | 2 nd |
|--------|---------|-----------------|------------------------|
| Goal | EOF | EOF | EOF |
| List | Ø | EOF, RP | EOF, RP |
| Pair | Ø | EOF, LP | EOF , RP, LP |

Iteration 2:

If we visit the rules in order 1, 2, 3, 4

Pair ::= \underline{LP} List \underline{RP}

Add FIRST(<u>RP</u>) toFOLLOW(List)

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Consider the simplest parentheses grammar

| 1 Goal ::= List | Symbol | Initial | 1 st | 2 nd |
|---|--------|---------|-----------------|-----------------|
| 2 List ::= Pair List ε | Goal | EOF | EOF | EOF |
| 4 Pair ::= \underline{LP} List \underline{RP} | List | Ø | EOF, RP | EOF, RP |
| Iteration 2: | Pair | Ø | EOF, LP | EOF, RP, |

| • | Production | 1 | adds | nothing | new |
|---|------------|---|------|---------|-----|
|---|------------|---|------|---------|-----|

 Production 2 adds RP to FOLLOW(Pair)

from FOLLOW(List), $\varepsilon \in FIRST(List)$.

- Production 3 does nothing
- Production 4 adds nothing new

| Symbol | FIRST Set |
|--------|---------------|
| Goal | <u>LP</u> , ε |
| List | <u>LP</u> , ε |
| Pair | <u>LP</u> |
| LP | <u>LP</u> |
| RP | <u>RP</u> |
| EOF | EOF |

Iteration 3 produces the same result \Rightarrow reached a fixed point

Review: LL(1) Predictive Parsing

Key Property:

Whenever two productions $A := \alpha$ and $A := \beta$ both appear in the grammar, we would like

- $FIRST(\alpha) \cap FIRST(\beta) = \emptyset$, and
- if $\alpha \Rightarrow * \epsilon$, then $FIRST(\beta) \cap FOLLOW(A) = \emptyset$

Analogue case for $\beta \Rightarrow * \epsilon$.

Note: due to first condition, at most one of α and β can derive ϵ .

This would allow the parser to make a correct choice with a lookahead of only one symbol!

Review: LL(1) Grammar

Define $PREDICT(A := \delta)$ for rule $A := \delta$

- $FIRST(\delta)$ { ε } U Follow (A), if $\varepsilon \in FIRST(\delta)$
- $FIRST(\delta)$ otherwise

A Grammar is LL(1) iff

 $(A := \alpha \text{ and } A := \beta) \text{ implies}$

PREDICT(A ::= α) \cap PREDICT(A ::= β) = \emptyset

Building the PREDICT set

• Need a *PREDICT set* for every rule

Define $PREDICT(A := \delta)$ for rule $A := \delta$

- $FIRST(\delta)$ { ε } U Follow (A), if $\varepsilon \in FIRST(\delta)$
- $FIRST(\delta)$ otherwise

| Symbol | FIRST | <i>FOLLOW</i> |
|--------|---------------|---------------|
| Goal | <u>LP</u> , ε | EOF |
| List | <u>LP</u> , ε | EOF, RP |
| Pair | <u>LP</u> | EOF, RP, LP |
| LP | <u>LP</u> | - |
| RP | <u>RP</u> | _ |
| EOF | EOF | _ |

| 1 | Goal ::= List |
|---|---------------|
| | |

2 | List ::= Pair List

 $3 \mid \text{List} ::= \epsilon$

4 | Pair ::= \underline{LP} List \underline{RP}



| Rule | PREDICT |
|------|-----------------|
| 1 | EOF, LP |
| 2 | LP |
| 3 | EOF , RP |
| 4 | LP |

Building the PREDICT set

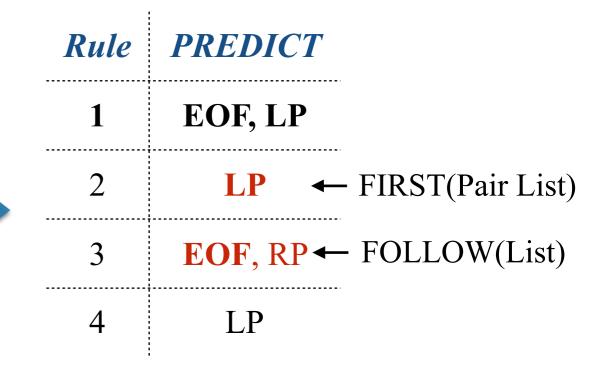
• Need a *PREDICT set* for every rule

Define $PREDICT(A := \delta)$ for rule $A := \delta$

- $FIRST(\delta)$ { ε } U Follow (A), if $\varepsilon \in FIRST(\delta)$
- $FIRST(\delta)$ otherwise

| Symbol | FIRST | FOLLOW |
|--------|---------------|-----------------|
| Goal | <u>LP</u> , ε | EOF |
| List | <u>LP</u> , ε | EOF , RP |
| Pair | <u>LP</u> | EOF, RP, LP |
| LP | <u>LP</u> | _ |
| RP | <u>RP</u> | _ |
| EOF | EOF | - |

| 1 | Goal ::= List |
|---|--|
| 2 | List ::= Pair List |
| 3 | List ::= ε |
| 4 | $Pair := \underline{LP} List \underline{RP}$ |



- Need a row for every NT and a column for every T
- Need an interpreter for the table (skeleton parser)

| | | Rule | PREDICT | | LP | R P | EOF |
|---|--|------|---------|------|-----------|------------|--------|
| 1 | Goal ::= List | 1 | EOF, LP | Goal | 1 | | 1 |
| 2 | List ::= Pair List | 2 | LP | List | | | ! ! |
| 3 | List ::= ε | 3 | EOF, RP | Pair | | | |
| 4 | $Pair := \underline{LP} List \underline{RP}$ | 4 | LP | rall | | | |

- Need a row for every NT and a column for every T
- Need an interpreter for the table (skeleton parser)

| 1 | Goal ::= List |
|---|--|
| 2 | List ::= Pair List |
| 3 | List ::= ϵ Pair ::= \underline{LP} List \underline{RP} |
| 4 | $Pair := \underline{LP} \text{ List } \underline{RP}$ |

| Rule | PREDICT | |
|------|----------------|--|
| 1 | EOF, RP | |
| 2 | LP | |
| 3 | EOF, RP | |
| 4 | LP | |

| | <i>LP</i> | R P | EOF |
|------|-----------|------------|-----|
| Goal | 1 | | 1 |
| List | 2 | 3 | 3 |
| Pair | | | |

- Need a row for every NT and a column for every T
- Need an interpreter for the table (skeleton parser)

| 1 | Goal ::= List |
|---|--|
| 2 | List ::= Pair List |
| 3 | ε |
| 4 | $Pair := \underline{LP} List \underline{RP}$ |

| Rule | PREDICT | |
|------|----------------|--|
| 1 | EOF, RP | |
| 2 | LP | |
| 3 | EOF, RP | |
| 4 | LP | |

| | <i>LP</i> | R P | EOF |
|------|-----------|------------|-----|
| Goal | 1 | | 1 |
| List | 2 | 3 | 3 |
| Pair | 4 | | |

- Need a row for every NT and a column for every T
- Need an interpreter for the table (skeleton parser)

| 1 | Goal ::= List |
|---|---|
| 2 | List ::= Pair List |
| | 3 |
| 4 | $Pair := \underline{LP} \text{ List } \underline{RP}$ |

| Rule | PREDICT | |
|------|-----------------|--|
| 1 | EOF, RP | |
| 2 | LP | |
| 3 | EOF , RP | |
| 4 | LP | |

| | LP | R P | EOF |
|------|----|------------|-----|
| Goal | 1 | | 1 |
| List | 2 | 3 | 3 |
| Pair | 4 | | |

Next Lecture

Things to do:

- Start programming in C.
- Read Scott, Chapter 3.1 3.3; ALSU 7.1
- Read Scott, Chapter 8.1 8.2; ALSU 7.1 7.3