

# CS 314 Principles of Programming Languages

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## Lecture 15: Functional Programming

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# Class Information

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- HW5 posted in Sakai.
- HW6 will be posted by the end of today.
- Next week's recitation is a review for midterm. Attendance is encouraged!
- Reminder: **Midterm exam** 11/7, in class, closed book, closed notes.  
Midterm covers lecture 1-12, hw 1-5, and corresponding book chapters.
- There will be extended office hours next week.  
Pay attention to Sakai announcements!

# Scheme - Functions as First Class Values (Higher-Order)

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Functions as arguments:

```
(define f (lambda (g x) (g x) ) )
```

- (f number? 0)  $\Rightarrow$  (number? 0)  $\Rightarrow$  #t
- (f length '(1 2))  $\Rightarrow$  (length '(1 2))  $\Rightarrow$  2
- (f (lambda (x) (\* 2 3)) 3)  $\Rightarrow$  ((lambda (x) (\* 2 3)) 3)  $\Rightarrow$  (\* 2 3)  $\Rightarrow$  6

# Scheme - Functions as First Class Values (Higher-Order)

**Computation**, i.e., **function application** is performed by reducing the initial S-expression (program) to an S-expression that represents a value.

**Reduction** is performed by **substitution**, i.e., replacing formal by actual parameters in the function body.

Examples for S-expressions that directly represent values, i.e., cannot be further reduced:

- function values (e.g.: **lambda** (x) e) )
- constants (e.g.: 3, #t)

Computation completes when S-expression cannot be further reduced

# Review: Higher-Order Functions (Cont.)

Functions as returned value:

```
(define plusn
```

```
  ( lambda (n)
```

```
    (lambda (x) (+ n x))
```

```
  )
```

```
)
```

*Return a function*

- **(plusn 5)** evaluates to a function that adds 5 to its argument:

*Question:* How would you write down the value of (plusn 5)?

```
(lambda (x) (+ 5 x))
```

- **((plusn 5) 6) = ?**

# Review: Higher-Order Functions (Cont.)

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In general, any  $n$ -ary function

**(lambda (x\_1 x\_2 ... x\_n) e)**

can be rewritten as a nest of  $n$  unary functions:

**(lambda (x\_1)**

**(lambda (x\_2)**

**(... (lambda(x\_n) e) ... )))**

# Review: Higher-Order Functions (Cont.)

In general, any  $n$ -ary function

**(lambda (x\_1 x\_2 ... x\_n) e)**

can be rewritten as a nest of  $n$  unary functions:

**(lambda (x\_1)**

**(lambda (x\_2)**

**(... (lambda(x\_n) e) ... )))**

This translation process is called currying. It means that having functions with multiple parameters do not add anything to the expressiveness of the language:

**( (lambda (x\_1 x\_2 ... x\_n) e) v\_1 v\_2 ... v\_n)**



**( ... (((lambda (x\_1)**

**(lambda (x\_2)**

**...**

**(lambda (x\_n) e )...)) v\_1) v\_2) ... v\_n)**

# Review: Higher-order Functions: map

```
(define map
  (lambda (f l)
    (if (null? l)
        '()
        (cons (f (car l)) (map f (cdr l)))
    )
  )
)
```

*function* (points to **f**)  
*list* (points to **l**)

*Apply f to the first element of l* (points to **f (car l)**)  
*Apply map and f to the rest of l* (points to **(map f (cdr l))**)

- **map** takes two arguments: a function **f** and a list **l**
- **map** builds a new list by applying the function to every element of the (old) list



# Review: Higher-Order Functions: map

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- Example:

$(\text{map } \mathbf{abs} \ '(-1 \ 2 \ -3 \ 4)) \Rightarrow (1 \ 2 \ 3 \ 4)$

$(\text{map } (\mathbf{lambda} \ (x) \ (+ \ 1 \ x)) \ '(-1 \ 2 \ 3)) \Rightarrow (0 \ 3 \ 4)$

- Actually, the built-in **map** can have more than two arguments:

$(\text{map } + \ '(1 \ 2 \ 3) \ '(4 \ 5 \ 6)) \Rightarrow (5 \ 7 \ 9)$

# Review: More on Higher-Order Functions

**reduce** Higher order function that takes a binary, associative operation and uses it to “roll up” a list

```
(define reduce
  (lambda (op l id)
    (if (null? l)
        id
        (op (car l) (reduce op (cdr l) id)))))
```

*Example:* (reduce + '(10 20 30) 0)  $\Rightarrow$   
 (+ 10 (reduce + '(20 30) 0))  $\Rightarrow$   
 (+ 10 (+ 20 (reduce + '(30) 0)))  $\Rightarrow$   
 (+ 10 (+ 20 (+ 30 (reduce + '() 0))))  $\Rightarrow$   
 (+ 10 (+ 20 (+ 30 0)))  $\Rightarrow$   
 60

# Review: Higher-Order Functions

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**Compose higher order functions to form compact powerful functions**

```
(define sum  
  (lambda (f l)  
    (reduce + ( map f l ) 0) ) )
```

```
(sum (lambda (x) (* 2 x)) '(1 2 3) )  $\Rightarrow$ 
```

```
(reduce (lambda (x y) (+ 1 y)) '(a b c) 0)  $\Rightarrow$ 
```

# Lexical Scoping and let, let\*, and letrec

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All are variable binding operations:

LET = let, let\*, letrec

```
(LET ( (v1 e1)
      (v2 e2)
      ...
      (vn en) )
  e
)
```

# Lexical Scoping and let, let\*, and letrec

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- **let:**
  - binds variables to values (no specific order), and evaluate body **e** using bindings
  - new bindings are not effective during evaluation of any  $e_i$
- **let\*:**
  - binds variables to values in textual order of write-up
  - new binding  $e_{i-1}$  is effective for next  $e_i$ .
- **letrec:**
  - bindings of variables to values in no specific order
  - independent **evaluations of all  $e_i$  to values** have to be possible
  - new bindings effective for all  $e_i$
  - mainly used for recursive function definitions

# let and let\* example

---

```
( let ( (a 5) (b 6) )  
  ( + a b ) )
```

# let and let\* example

---

```
( let ( (a 5) (b 6) )  
  ( + a b ) )    ;; => 11
```

# let and let\* example

---

```
( let ( (a 5) (b 6) )  
  ( + a b ) )      ;; => 11
```

```
(let ( (a 5) (b (+ a 6)) )  
  ( + a b ) )
```



# let and let\* example

---

```
( let ( (a 5) (b 6) )  
  ( + a b ) )    ;; => 11
```

```
(let ( (a 5) (b (+ a 6)))  
  ( + a b ) )
```

## let and let\* example

---

```
( let ( (a 5) (b 6) )  
  ( + a b ) )    ;; => 11
```

```
(let ( (a 5)(b (+ a 6)))  
  ( + a b ) )    ;; => ERROR: a: undefined
```

# let and let\* example

---

```
( let ( (a 5) (b 6) )  
  ( + a b ) )    ;; => 11
```

```
(let ( (a 5) (b (+ a 6)) )  
  ( + a b ) )    ;; => ERROR: a: undefined
```

```
(let* ( (a 5) (b (+ a 6)) )  
  ( + a b ) )
```

# let and let\* example

---

```
( let ( (a 5) (b 6) )  
  ( + a b ) )    ;; => 11
```

```
(let ( (a 5) (b (+ a 6)) )  
  ( + a b ) )    ;; => ERROR: a: undefined
```

```
(let* ( (a 5) (b (+ a 6)) )  
  ( + a b ) )    ;; => 16
```

# letrec examples

---

Typically used for local definitions for **recursive** functions

```
(letrec ( (a 5)
          (b (lambda() (+ a 6) ) )
          ( + a (b) ) )
  )      ;;  $\Rightarrow$  16
```

# letrec examples

---

Typically used for local definitions for **recursive** functions

```
(letrec ( (a 5)
          (b (lambda () (+ a 6) ) )
          ( + a (b) ) )
  )      ;;  $\Rightarrow$  16
```

```
(letrec ( (b (lambda () (+ a 6) ) )
          (a 5)
          ( + a (b) ) )
  )      ;;  $\Rightarrow$  16
```

# letrec examples

---

Typically used for local definitions for **recursive** functions

```
(letrec ( (even? (lambda (x)
                  ( or (= x 0) (odd? (- x 1)) ) ) )
          (odd? (lambda (x)
                  ( and (not (= x 0)) (even? (- x 1)) ))) )
  ( list (even? 3) (even? 20) (odd? 21) ) )    ;; => (#f #t #t)
```

# letrec examples

---

Typically used for local definitions for **recursive** functions

```
(letrec ( (even? (lambda (x)
                  ( or (= x 0) (odd? (- x 1)) ) ) )
          (odd? (lambda (x)
                  ( and (not (= x 0)) (even? (- x 1)) ))) )
  ( list (even? 3) (even? 20) (odd? 21) ) )    ;; => (#f #t #t)
```



# Lexical (Static) Scoping

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- The occurrence of a variable matches the lexically closed **binding** occurrence.
- An occurrence of a variable without a matching binding occurrence is called *free*.
- A variable can occur free and bound in an expression.

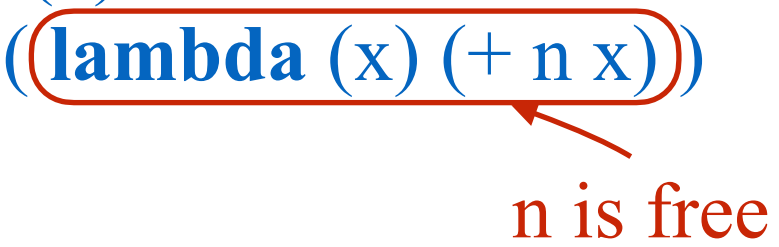
**We only substitute free occurrences of the formal arguments in the function body when making a function application!**

# Free and Bound

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Consider the following function definition:

```
(define plusn  
  (lambda (n)  
    (lambda (x) (+ n x))))
```


n is free

# Free and Bound

---

Consider the following function definition:

```
(define plusn  
  (lambda (n)  
    (lambda (x) (+ n x))  
  )  
)
```



A red rounded rectangle highlights the expression `(lambda (n) (lambda (x) (+ n x)))`. A red arrow points from the text `n is bound` to the `n` in the inner lambda expression `(+ n x)`.

n is bound

# Environment and Closure

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## Environment:

- Record the bindings for the variables. If we need the value that a variable denotes, we just look it up in the environment.

**An environment is a finite map from variables to values**

$$\rho \in \text{Env} = \text{Variables} \rightarrow \text{Values}$$

# Closures

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**Pair the environment with a function (lambda abstraction).** The environment must contain values for all free variables of the function. The function can only be evaluated in its attached environment.

Such a pairing is called a **Closure**.

A **closure** is a pair consisting of an environment and a function definition.

$$cl \in \text{Closure} = \{\langle \lambda, \rho \rangle \mid \text{FreeVar}(\lambda) \subseteq \text{DOM}(\rho)\}$$

**Closures can be used to implement lexical scoping.**

They represent lexically scoped **function values**.

# How to Apply a Closure?

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## How to apply a closure value to actual argument values?

- Let  $c_v$  be the closure value  $\langle (\text{lambda } (x) e), \rho \rangle$
- Apply  $c_v$  to a value  $a_v$  as follows:

Evaluate the body  $e$  of the function in the environment  $\rho$  of the closure extended by the mapping of the **formal parameter**  $x$  to the **actual parameter**  $a_v$  ( $\rho[x \longrightarrow a_v]$ ).

# How to Apply a Closure: Examples

$((\text{lambda}(\mathbf{x})$   
 $((\text{lambda}(z) ((\text{lambda}(x)(z\ x))\ 3)) (\text{lambda}(y)(+ x\ y)))) \mathbf{1})$

## closure interpreter

	$\{ \}$
$((\text{lambda}(\mathbf{z}) ((\text{lambda}(x)(z\ x))\ 3))$ $(\mathbf{lambda}(y)(+ x\ y)) )$	$\{ x \rightarrow 1 \}$
$((\text{lambda}(\mathbf{x}) (z\ x))$ $\mathbf{3} )$	$\{ x \rightarrow 1,$ $z \rightarrow \langle (\text{lambda}(y)(+ x\ y)), \{x \rightarrow 1\} \rangle \}$
$(z\ x)$	$\{ x \rightarrow 3,$ $z \rightarrow \langle (\text{lambda}(y)(+ x\ y)), \{x \rightarrow 1\} \rangle \}$
$(+ x\ y)$	$\{ x \rightarrow 1, y \rightarrow 3 \}$
4	

# Next Lecture

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## Reading:

- Scott, Chapter 11.1 - 11.3
- Scott, Chapter 11.7