

Midterm 2

- Functional dependency and normal forms
 - Keys and superkeys
 - Closure algorithm
 - 2NF, 3NF, BCNF
- Integrity constraints
 - Check
 - Assertion
 - Trigger
- SQL and Datalog

Keys & Superkeys

- A superkey is ***a set of attributes*** that determines all other attributes
 - The closure of a superkey contains all attributes
- A key is a ***minimal*** superkey
 - No proper subset of a key can be a superkey
 - Different keys can contain different number of attributes

How to find the keys?

- $R(A, B, C, D, E, F, G)$
- $A \rightarrow C, DE \rightarrow B, BCF \rightarrow E$
- Step 1: find attributes that do not appear on the right side of any FD
- They must be part of every key because they cannot be determined by the other attributes
- So $\{A, D, F, G\}$ must be in every key

How to find the keys?

- $R(A, B, C, D, E, F, G)$
- $A \rightarrow C, DE \rightarrow B, BCF \rightarrow E$
- Step 2: compute the closure of each superset of $\{A, D, F, G\}$ using the closure algorithm
- $\{A, D, F, G\}^+ = \{A, D, F, G\}$, trivial
- $\{A, D, F, G\}^+ = \{A, D, F, G, C\}$, using $A \rightarrow C$
- $\{A, D, F, G\}$ is not a superkey

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- Step 2: compute the closure of each superset of $\{A, D, F, G\}$ using the closure algorithm
- $\{A, D, F, G, B\}^+ = \{A, D, F, G, C, B\}$, using $\{A, D, F, G\}^+ = \{A, D, F, G, C\}$
- $\{A, D, F, G, B\}^+ = \{A, D, F, G, C, B, E\}$, using $BCF \rightarrow E$
- $\{A, D, F, G, B\}$ is a key
- All supersets of $\{A, D, F, G, B\}$ are superkeys

How to find the keys?

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- $\{A, D, F, G, E\}^+ = \{A, D, F, G, C, E\}$, using $\{A, D, F, G\}^+ = \{A, D, F, G, C\}$
- $\{A, D, F, G, E\}^+ = \{A, D, F, G, C, E, B\}$, using $DE \rightarrow B$
- $\{A, D, F, G, E\}$ is a key
- All supersets of $\{A, D, F, G, E\}$ are superkeys

How to find the keys?

- $R(A, B, C, D, E, F, G)$
- $A \rightarrow C, DE \rightarrow B, BCF \rightarrow E$
- Step 2: compute the closure of each superset of $\{A, D, F, G\}$ using the closure algorithm
- $\{A, D, F, G, C\}^+ = \{A, D, F, G, C\}$, trivial
- $\{A, D, F, G, C\}$ is not a superkey
- All proper supersets of $\{A, D, F, G, C\}$ contain B or E
 - They are superkeys, but not keys

How to find the keys?

- $R(A, B, C, D)$
- $A \rightarrow CD, BC \rightarrow A, D \rightarrow AB$
- All attributes appear at least once on the right side
 - Start with sets of single attribute
- $\{A\}^+ = \{A, C, D, B\}, \{B\}^+ = \{B\}, \{C\}^+ = \{C\}, \{D\}^+ = \{D, A, B, C\}$
- $\{A\}$ and $\{D\}$ are two keys
 - No need to compute closure for proper supersets $\{A\}$ or $\{D\}$
- $\{B, C\}^+ = \{B, C, A, D\}$
- $\{B, C\}$ is also a key

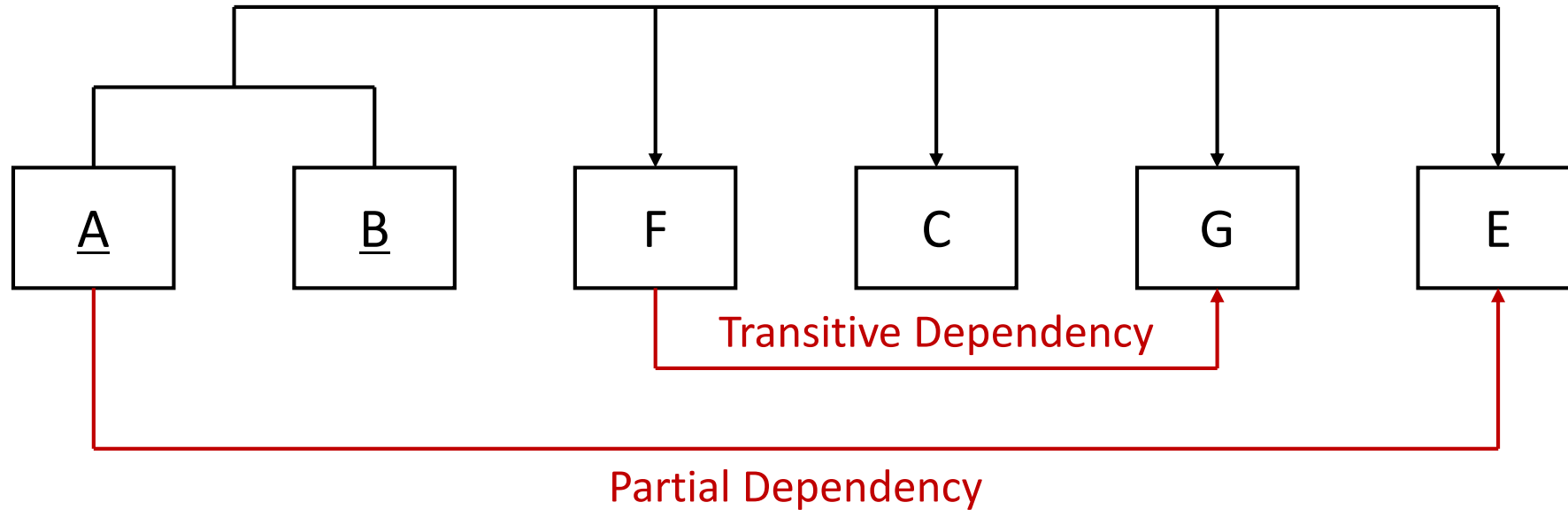
2NF & Partial Dependency

- A functional dependency $X \rightarrow Y$ is a ***partial dependency*** if for some $A \in X$, $(X - \{A\}) \rightarrow Y$
- A relation schema R is in ***second normal form (2NF)*** if there is ***no partial dependency*** from any (composite) key of R to any non-key attribute in R

3NF & Transitive Dependency

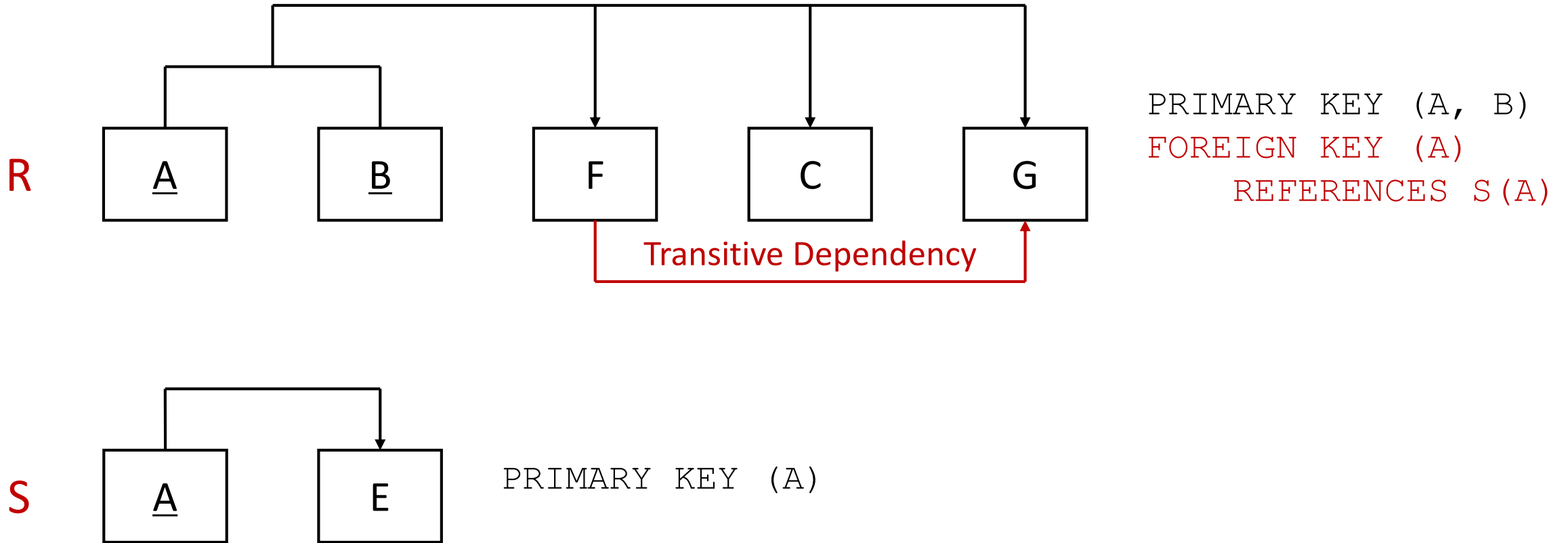
- A functional dependency $X \rightarrow Y$ in a relation schema R is a ***transitive dependency*** if there exists a set of attributes Z in R such that both $X \rightarrow Z$ and $Z \rightarrow Y$ hold and that Z is neither a superkey nor a subset of any key of R
- A relation schema R is in ***third normal form (3NF)*** if there is ***no partial dependency or transitive dependency*** from any key of R to any non-key attribute in R

Partial & Transitive Dependency

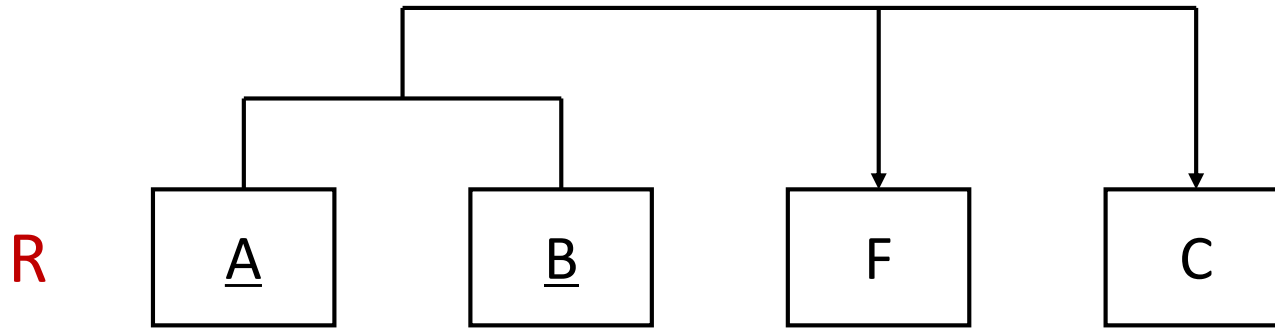


- {A, B} is the only key
- $AB \rightarrow E$ is a partial dependency
- $AB \rightarrow G$ is a transitive dependency

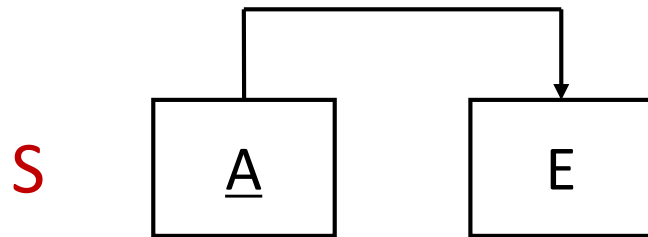
2NF Decomposition



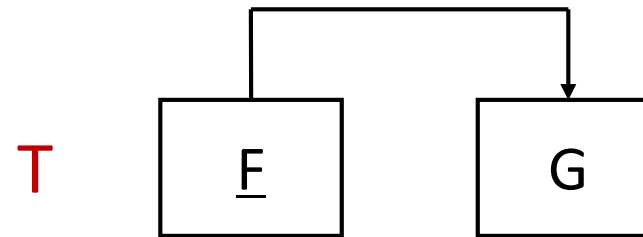
3NF Decomposition



PRIMARY KEY (A, B)
FOREIGN KEY (A)
REFERENCES S (A)
FOREIGN KEY (F)
REFERENCES T (F)



PRIMARY KEY (A)



PRIMARY KEY (F)

3NF & BCNF

- A relation schema R is in **3NF** if
for each *non-trivial* FD: $X \rightarrow Y$
either X is a superkey or Y is in some key
- A relation schema R is in **BCNF** if
for each *non-trivial* FD: $X \rightarrow Y$
X is a superkey
- *Non-trivial* means Y is not a subset of X

Integrity Constraints

- Check: single attribute/table, specified inside table definition

```
[CONSTRAINT [symbol]] CHECK (expr)
```

- Assertion: multiple tables

```
CREATE ASSERTION <name> CHECK (  
    NOT EXISTS (  
        <SELECT-FROM-WHERE>  
    )  
) ;
```

Integrity Constraints

- Trigger: event-condition-action

```
CREATE TRIGGER trigger-name
    {BEFORE | AFTER} {INSERT | DELETE | UPDATE [OF column]}
    ON table-name
    [REFERENCING [OLD AS oldTuple]
                [NEW AS newTuple]]
    [FOR EACH ROW]
    [WHEN (condition)]
    BEGIN
        statement list
    END;
```