

**2117071521180** UNIQUE FORM IDENTIFIER ☐ AMENDED ☐ AMENDMENT NO.

<b>1</b> Income code <b>19</b>	<b>2</b> Gross income <b>43548</b>	<b>3</b> Chapter indicator. Enter "3" or "4" <b>3</b>		<b>13e</b> Recipient's U.S. TIN, if any <b>XXX-XX-2977</b>		<b>13f</b> Ch. 3 status code <b>16</b>									
		<b>3a</b> Exemption code <b>04</b>	<b>4a</b> Exemption code <b>15</b>			<b>13g</b> Ch. 4 status code									
		<b>3b</b> Tax rate <b>00 . 00</b>	<b>4b</b> Tax rate <b>00 . 00</b>	<b>13h</b> Recipient's GIIN	<b>13i</b> Recipient's foreign tax identification number, if any		<b>13j</b> LOB code								
<b>5</b> Withholding allowance				<b>13k</b> Recipient's account number											
<b>6</b> Net income				<b>13l</b> Recipient's date of birth (YYYYMMDD) <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											
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<b>7b</b> Check if federal tax withheld was not deposited with the IRS because escrow procedures were applied (see instructions) <input type="checkbox"/>				<b>14b</b> Primary Withholding Agent's EIN											
<b>7c</b> Check if withholding occurred in subsequent year with respect to a partnership interest <input type="checkbox"/>				<b>15</b> Check if pro-rata basis reporting <input type="checkbox"/>											
<b>8</b> Tax withheld by other agents				<b>15a</b> Intermediary or flow-through entity's EIN, if any											
<b>9</b> Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) ( )				<b>15b</b> Ch. 3 status code		<b>15c</b> Ch. 4 status code									
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<b>11</b> Tax paid by withholding agent (amounts not withheld) (see instructions)				<b>15e</b> Intermediary or flow-through entity's GIIN											
<b>12a</b> Withholding agent's EIN <b>943067788</b>		<b>12b</b> Ch. 3 status code <b>20</b>		<b>12c</b> Ch. 4 status code <b>02</b>		<b>15f</b> Country code									
<b>12d</b> Withholding agent's name <b>University of California - UCPATH</b>				<b>15g</b> Foreign tax identification number, if any											
<b>12e</b> Withholding agent's Global Intermediary Identification Number (GIIN)				<b>15h</b> Address (number and street)											
<b>12f</b> Country code <b>US</b>		<b>12g</b> Foreign tax identification number, if any		<b>15i</b> City or town, state or province, country, ZIP or foreign postal code											
<b>12h</b> Address (number and street) <b>14350-1 Meridian Pkwy</b>				<b>16a</b> Payer's name		<b>16b</b> Payer's TIN									
<b>12i</b> City or town, state or province, country, ZIP or foreign postal code <b>Riverside, CA 92518</b>				<b>16c</b> Payer's GIIN		<b>16d</b> Ch. 3 status code	<b>16e</b> Ch. 4 status code								
<b>13a</b> Recipient's name <b>Xinhang Xu</b>		<b>13b</b> Recipient's country code <b>CH</b>		<b>17a</b> State income tax withheld		<b>17b</b> Payer's state tax no.	<b>17c</b> Name of state								
<b>13c</b> Address (number and street) <b>1514 Pole Line Rd</b>															
<b>13d</b> City or town, state or province, country, ZIP or foreign postal code <b>Davis, CA 95618</b>															

(keep for your records)

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Form **1042-S** (2024)

**U.S. Income Tax Filing Requirements**

Generally, every nonresident alien individual, nonresident alien fiduciary, and foreign corporation with U.S. income, including income that is effectively connected with the conduct of a trade or business in the United States, must file a U.S. income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, and foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of U.S. tax at the source. You may get the return forms and instructions at [IRS.gov](https://www.irs.gov) or by writing to: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

**Explanation of Codes**

**Box 1. Income Code.**

Code	Types of Income
<b>10</b>	Industrial royalties
<b>12</b>	Other Royalties (for example copyright, software, broadcasting, endorsement payments)
<b>16</b>	Scholarship or fellowship grants
<b>17</b>	Compensation for independent personal services
<b>18</b>	Compensation for dependent personal services
<b>19</b>	Compensation for teaching
<b>20</b>	Compensation during studying and training
<b>23</b>	Other income
<b>42</b>	Earnings as an artist or athlete – no central withholding agreement
<b>43</b>	Earnings as an artist or athlete – central withholding agreement

**Boxes 3a and 4a. Exemption Code** (applies if the tax rate entered in box 3b or 4b is 00.00).

Code	Authority for Exemption
<b>01</b>	Effectively connected income
<b>02</b>	Exempt under IRC
<b>03</b>	Income is not from U.S. sources
<b>04</b>	Exempt under tax treaty

**Chapter 4**

**15** Payee not subject to chapter 4 withholding

**Boxes 12b, 12c, and 13f. Withholding Agent, Recipient, Intermediary, and Payer Codes**

Code	Chapter 3	Code	Chapter 4
<b>08</b>	Partnership other than Withholding Foreign Partnership	<b>02</b>	U.S. Withholding Agent - Other
<b>10</b>	Trust other than Withholding Foreign Trust		
<b>15</b>	Corporation		
<b>16</b>	Individual		
<b>17</b>	Estate		
<b>18</b>	Private Foundation		
<b>19</b>	International Organization		
<b>20</b>	Tax Exempt Organization (Section 501(c) entities)		
<b>21</b>	Unknown Recipient		
<b>22</b>	Artist or Athlete		
<b>36</b>	Foreign Government –Integral Part		
<b>37</b>	Foreign Government – Controlled Entity		

**Box 13j. LOB Code** (enter the code that best describes the applicable limitation on benefits (LOB) category that qualifies the taxpayer for the requested treaty benefits).

LOB Code	LOB Treaty Category
<b>02</b>	Government – contracting state/political subdivision/local authority
<b>03</b>	Tax exempt pension trust/Pension fund
<b>04</b>	Tax exempt/Charitable organization
<b>05</b>	Publicly traded corporation
<b>06</b>	Subsidiary of publicly traded corporation
<b>07</b>	Company that meets the ownership and base erosion test
<b>08</b>	Company that meets the derivative benefits test
<b>09</b>	Company with an item of income that meets the active trade or business test
<b>10</b>	Discretionary determination
<b>11</b>	Other
<b>12</b>	No LOB Article in Treaty

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