

2117071521180 UNIQUE FORM IDENTIFIER ☐ AMENDED ☐ AMENDMENT NO.

1 Income code 19	2 Gross income 43548	3 Chapter indicator. Enter "3" or "4" 3		13e Recipient's U.S. TIN, if any XXX-XX-2977		13f Ch. 3 status code 16									
3a Exemption code 04 4a Exemption code 15				13g Ch. 4 status code											
3b Tax rate 00 . 00 4b Tax rate 00 . 00				13h Recipient's GIIN		13i Recipient's foreign tax identification number, if any									
5 Withholding allowance				13j LOB code											
6 Net income				13k Recipient's account number											
7a Federal tax withheld 0				13l Recipient's date of birth (YYYYMMDD) <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											
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7c Check if withholding occurred in subsequent year with respect to a partnership interest <input type="checkbox"/>				14b Primary Withholding Agent's EIN											
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9 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) ()				15a Intermediary or flow-through entity's EIN, if any											
10 Total withholding credit (combine boxes 7a, 8, and 9) 0				15b Ch. 3 status code		15c Ch. 4 status code									
11 Tax paid by withholding agent (amounts not withheld) (see instructions)				15d Intermediary or flow-through entity's name											
12a Withholding agent's EIN 943067788		12b Ch. 3 status code 20		15e Intermediary or flow-through entity's GIIN											
12c Ch. 4 status code 02				15f Country code		15g Foreign tax identification number, if any									
12d Withholding agent's name University of California - UCPATH				15h Address (number and street)											
12e Withholding agent's Global Intermediary Identification Number (GIIN)				15i City or town, state or province, country, ZIP or foreign postal code											
12f Country code US		12g Foreign tax identification number, if any		16a Payer's name											
12h Address (number and street) 14350-1 Meridian Pkwy				16b Payer's TIN											
12i City or town, state or province, country, ZIP or foreign postal code Riverside, CA 92518				16c Payer's GIIN		16d Ch. 3 status code									
13a Recipient's name Xinhang Xu		13b Recipient's country code CH		16e Ch. 4 status code											
13c Address (number and street) 1514 Pole Line Rd				17a State income tax withheld											
13d City or town, state or province, country, ZIP or foreign postal code Davis, CA 95618				17b Payer's state tax no.		17c Name of state									

(keep for your records)

Foreign Person's U.S. Source Income Subject to Withholding

Go to www.irs.gov/Form1042S for instructions and the latest information.

2024

OMB No. 1545-0096

Copy C for Recipient

Attach to any Federal tax return you file

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Form **1042-S** (2024)

U.S. Income Tax Filing Requirements

Generally, every nonresident alien individual, nonresident alien fiduciary, and foreign corporation with U.S. income, including income that is effectively connected with the conduct of a trade or business in the United States, must file a U.S. income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, and foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of U.S. tax at the source. You may get the return forms and instructions at IRS.gov or by writing to: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

Explanation of Codes

Box 1. Income Code.

Code	Types of Income
10	Industrial royalties
12	Other Royalties (for example copyright, software, broadcasting, endorsement payments)
16	Scholarship or fellowship grants
17	Compensation for independent personal services
18	Compensation for dependent personal services
19	Compensation for teaching
20	Compensation during studying and training
23	Other income
42	Earnings as an artist or athlete – no central withholding agreement
43	Earnings as an artist or athlete – central withholding agreement

Boxes 3a and 4a. Exemption Code (applies if the tax rate entered in box 3b or 4b is 00.00).

Code	Authority for Exemption
01	Effectively connected income
02	Exempt under IRC
03	Income is not from U.S. sources
04	Exempt under tax treaty

Chapter 4

15 Payee not subject to chapter 4 withholding

Boxes 12b, 12c, and 13f. Withholding Agent, Recipient, Intermediary, and Payer Codes

Code	Chapter 3	Code	Chapter 4
08	Partnership other than Withholding Foreign Partnership	02	U.S. Withholding Agent - Other
10	Trust other than Withholding Foreign Trust		
15	Corporation		
16	Individual		
17	Estate		
18	Private Foundation		
19	International Organization		
20	Tax Exempt Organization (Section 501(c) entities)		
21	Unknown Recipient		
22	Artist or Athlete		
36	Foreign Government –Integral Part		
37	Foreign Government – Controlled Entity		

Box 13j. LOB Code (enter the code that best describes the applicable limitation on benefits (LOB) category that qualifies the taxpayer for the requested treaty benefits).

LOB Code	LOB Treaty Category
02	Government – contracting state/political subdivision/local authority
03	Tax exempt pension trust/Pension fund
04	Tax exempt/Charitable organization
05	Publicly traded corporation
06	Subsidiary of publicly traded corporation
07	Company that meets the ownership and base erosion test
08	Company that meets the derivative benefits test
09	Company with an item of income that meets the active trade or business test
10	Discretionary determination
11	Other
12	No LOB Article in Treaty

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