

9 2 2 4 1 0 9 1 8 0

UNIQUE FORM IDENTIFIER AMENDED AMENDMENT NO.

1 Income code 19	2 Gross income 64187	3 Chapter indicator. Enter "3" or "4" 3	13d City or town, state or province, country, ZIP or foreign postal code Davis, CA 95616								
		3a Exemption code 04 4a Exemption code 15	13e Recipient's U.S. TIN, if any XXX-XX-2977								
		3b Tax rate 00 . 00 4b Tax rate 00 . 00	13f Ch. 3 status code 16								
5 Withholding allowance											
6 Net income											
7a Federal tax withheld 0											
7b Check if federal tax withheld was not deposited with the IRS because escrow procedures were applied (see instructions) <input type="checkbox"/>											
7c Check if withholding occurred in subsequent year with respect to a partnership interest <input type="checkbox"/>											
7d Check if you are a qualified intermediary, withholding foreign partnership, or withholding foreign trust revising its reporting on Form 1042-S to report to a specific recipient <input type="checkbox"/>											
8 Tax withheld by other agents											
9 Overwithheld tax repaid to recipient pursuant to adjustment procedures (see instructions) ()											
10 Total withholding credit (combine boxes 7a, 8, and 9) 0											
11 Tax paid by withholding agent (amounts not withheld) (see instructions)											
12a Withholding agent's EIN 943067788	12b Ch. 3 status code 41	12c Ch. 4 status code 02	13i Recipient's date of birth (YYYYMMDD) <table border="1" style="width: 100%;"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>								
12d Withholding agent's name Regents of the University of California											
12e Withholding agent's global intermediary identification number (GIIN)											
12f Country code US	12g Foreign tax identification number, if any										
12h Address (number and street) 1111 Franklin Street 7th Floor											
12i City or town, state or province, country, ZIP or foreign postal code Oakland, CA 94607											
13a Recipient's name Xinhang Xu	13b Recipient's country code CH										
13c Address (number and street) 1133 Olive Dr. Unit 302											
13d City or town, state or province, country, ZIP or foreign postal code Davis, CA 95616											
13e Recipient's U.S. TIN, if any XXX-XX-2977											
13f Ch. 3 status code 16		13g Ch. 4 status code									
13h Recipient's GIIN											
13i Recipient's foreign tax identification number, if any											
13j LOB code											
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14a Primary withholding agent's name (if applicable)											
14b Primary withholding agent's EIN		15 Check if pro-rata basis reporting <input type="checkbox"/>									
15a Intermediary or flow-through entity's EIN, if any		15b Ch. 3 status code	15c Ch. 4 status code								
15d Intermediary or flow-through entity's name											
15e Intermediary or flow-through entity's GIIN											
15f Country code	15g Foreign tax identification number, if any										
15h Address (number and street)											
15i City or town, state or province, country, ZIP or foreign postal code											
16a Payer's name		16b Payer's TIN									
16c Payer's GIIN		16d Ch. 3 status code	16e Ch. 4 status code								
17a State income tax withheld		17b Payer's state tax no.	17c Name of state								

(keep for your records)

Form **1042-S** (2025)

Form 1042-S

Department of the Treasury
Internal Revenue Service

Foreign Person's U.S. Source Income Subject to Withholding

2025

OMB No. 1545-0096

Go to www.irs.gov/Form1042S for instructions and the latest information.

9 2 2 4 1 0 9 1 8 0

UNIQUE FORM IDENTIFIER AMENDED AMENDMENT NO.**Copy C** for Recipient

Attach to any Federal tax return you file

1 Income code 19	2 Gross income 64187	3 Chapter indicator. Enter "3" or "4" 3	13d City or town, state or province, country, ZIP or foreign postal code Davis, CA 95616
		3a Exemption code 04	4a Exemption code 15
		3b Tax rate 00 . 00	4b Tax rate 00 . 00
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12d Withholding agent's name Regents of the University of California			
12e Withholding agent's global intermediary identification number (GIIN)			
12f Country code US	12g Foreign tax identification number, if any		
12h Address (number and street) 1111 Franklin Street 7th Floor			
12i City or town, state or province, country, ZIP or foreign postal code Oakland, CA 94607			
13a Recipient's name Xinhang Xu	13b Recipient's country code CH		
13c Address (number and street) 1133 Olive Dr. Unit 302			
13d City or town, state or province, country, ZIP or foreign postal code Davis, CA 95616			
13e Recipient's U.S. TIN, if any XXX-XX-2977			
13f Ch. 3 status code 16		13g Ch. 4 status code	
13h Recipient's GIIN		13i Recipient's foreign tax identification number, if any	13j LOB code
13k Recipient's account number			
13l Recipient's date of birth (YYYYMMDD)			
14a Primary withholding agent's name (if applicable)			
14b Primary withholding agent's EIN		15 Check if pro-rata basis reporting <input type="checkbox"/>	
15a Intermediary or flow-through entity's EIN, if any		15b Ch. 3 status code	15c Ch. 4 status code
15d Intermediary or flow-through entity's name			
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15f Country code	15g Foreign tax identification number, if any		
15h Address (number and street)			
15i City or town, state or province, country, ZIP or foreign postal code			
16a Payer's name		16b Payer's TIN	
16c Payer's GIIN		16d Ch. 3 status code	16e Ch. 4 status code
17a State income tax withheld		17b Payer's state tax no.	17c Name of state

Form 1042-S (2025)

U.S. Income Tax Filing Requirements

Generally, every nonresident alien individual, nonresident alien fiduciary, and foreign corporation with U.S. income, including income that is effectively connected with the conduct of a trade or business in the United States, must file a U.S. income tax return. However, no return is required to be filed by a nonresident alien individual, nonresident alien fiduciary, and foreign corporation if such person was not engaged in a trade or business in the United States at any time during the tax year and if the tax liability of such person was fully satisfied by the withholding of U.S. tax at the source. You may get the return forms and instructions at IRS.gov or by writing to: Internal Revenue Service, 1201 N. Mitsubishi Motorway, Bloomington, IL 61705-6613.

Explanation of Codes

Box 1. Income Code.

Code	Types of Income
10	Industrial royalties
12	Other Royalties (copyright, software, broadcasting, endorsement payments)
16	Scholarship or fellowship grants
17	Compensation for independent personal services
18	Compensation for dependent personal services
19	Compensation for teaching
20	Compensation during studying and training
23	Other income
42	Earnings as an artist or athlete – no central withholding agreement
43	Earnings as an artist or athlete – central withholding agreement

Boxes 12b, 12c, and 13f. Withholding Agent, Recipient, Intermediary, and Payer Codes

Code	Chapter 3	Code	Chapter 4
08	Partnership other than Withholding Foreign Partnership	02	U.S. Withholding Agent - Other
10	Trust other than Withholding Foreign Trust		
15	Corporation		
16	Individual		
17	Estate		
18	Private Foundation		
19	International Organization		
20	Tax Exempt Organization (Section 501(c) entities)		
21	Unknown Recipient		
22	Artist or Athlete		
36	Foreign Government – Integral Part		
37	Foreign Government – Controlled Entity		
41	U.S. government entity or tax exempt entity (other than section 501(c) entities)		

Boxes 3a and 4a. Exemption Code (applies if the tax rate entered in box 3b or 4b is 00.00).

Code

Chapter 3 Authority for Exemption

01	Effectively connected income
02	Exempt under IRC
03	Income is not from U.S. sources
04	Exempt under tax treaty

Chapter 4

15	Payee not subject to chapter 4 withholding
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LOB Code

LOB Code	LOB Treaty Category
02	Government – contracting state/political subdivision/local authority
03	Tax exempt pension trust/Pension fund
04	Tax exempt/Charitable organization
05	Publicly traded corporation
06	Subsidiary of publicly traded corporation
07	Company that meets the ownership and base erosion test
08	Company that meets the derivative benefits test
09	Company with an item of income that meets the active trade or business test
10	Discretionary determination
11	Other
12	No LOB Article in Treaty

Form 1042-SDepartment of the Treasury
Internal Revenue Service**Foreign Person's U.S. Source Income Subject to Withholding****2025**

OMB No. 1545-0096

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Xinhang Xu
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