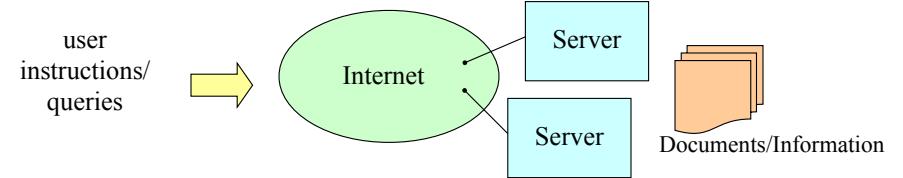


Text/Speech-based Information Retrieval

- **Text-based information retrieval extremely successful**



- information desired by the users can be obtained very efficiently
- all users like it
- producing very successful industry

- **All roles of texts can be accomplished by voice**

- spoken content or multimedia content with voice in audio part
- voice instructions/queries via handheld devices

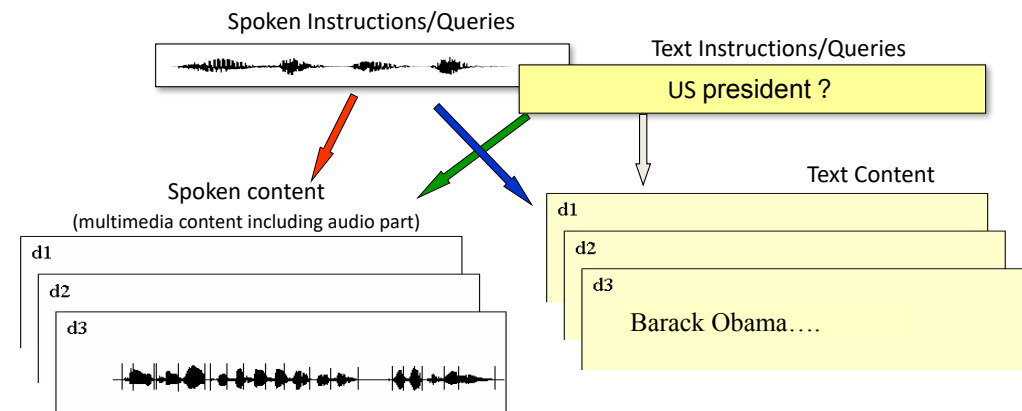
- **Speech-based information retrieval**

2

Wireless and Multimedia Technologies are Creating An Environment for Speech-based Information Retrieval

10.0 Speech-based Information Retrieval

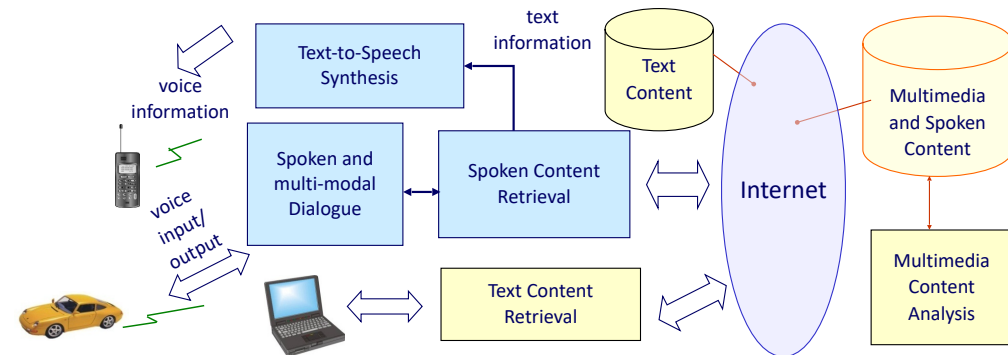
Speech-based Information Retrieval



- **User instructions and/or network content can be in form of voice**
 - text queries/spoken content : spoken document retrieval, spoken term detection
 - spoken queries/text content : voice search
 - spoken queries/spoken content : query by example

[spoken content retrieval]

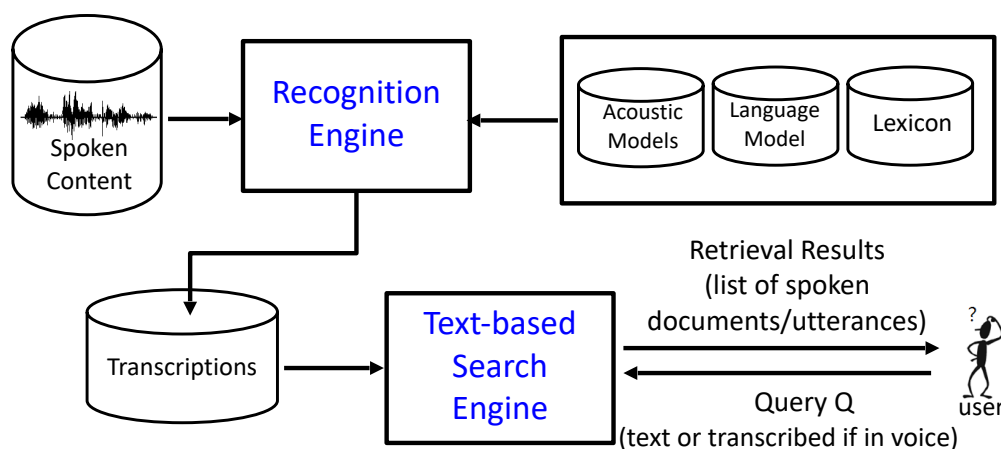
3



- **Many hand-held devices with multimedia functionalities available**
- **Unlimited quantities of multimedia content fast growing over the Internet**
- **User-content interaction necessary for retrieval can be accomplished by spoken and multi-modal dialogues**
- **Network access is primarily text-based today, but almost all roles of texts can be accomplished by voice**

4

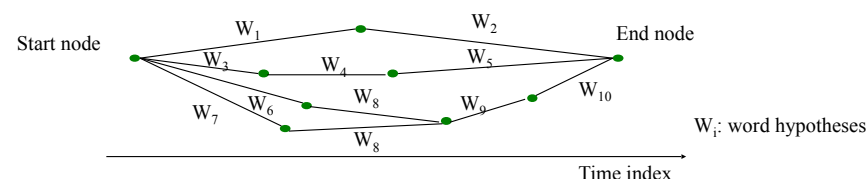
Basic Approach for Spoken Content Retrieval



- **Transcribe the spoken content**
- **Search over the transcriptions as they are texts**
- **Recognition errors cause serious performance degradation**

Lattices for Spoken Content Retrieval

- **Low recognition accuracies for spontaneous speech including Out-of-Vocabulary (OOV) words under adverse environment**
 - considering lattices with multiple alternatives rather than 1-best output



- higher probability of including correct words, but also including more noisy words
- correct words may still be excluded (OOV and others)
- huge memory and computation requirements

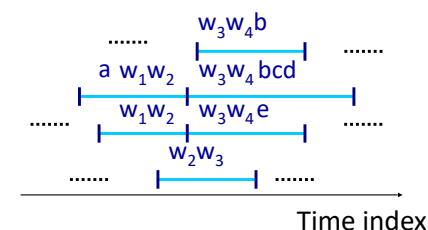
Other Approach Examples in addition to Lattices

- **Confusion Matrices**
 - use of confusion matrices to model recognition errors and expand the query/document, etc.
- **Pronunciation Modeling**
 - use of pronunciation models to expand the query, etc.
- **Fuzzy Matching**
 - query/content matching not necessarily exact

- **OOV Word $W=w_1w_2w_3w_4$ can't be recognized and never appears in lattice**

- w_i : subword units : phonemes, syllables...
- a, b, c, d, e : other subword units

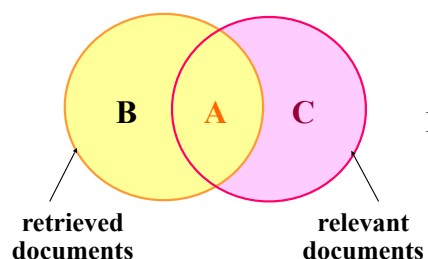
Lattice:



- $W=w_1w_2w_3w_4$ hidden at subword level
 - can be matched at subword level without being recognized
- **Frequently Used Subword Units**
 - Linguistically motivated units: phonemes, syllables/characters, morphemes, etc.
 - Data-driven units: particles, word fragments, phone multigrams, morphs, etc.

Performance Measures (1/2)

Recall and Precision Rates



$$\text{Precision rate} = \frac{A}{A+B}$$

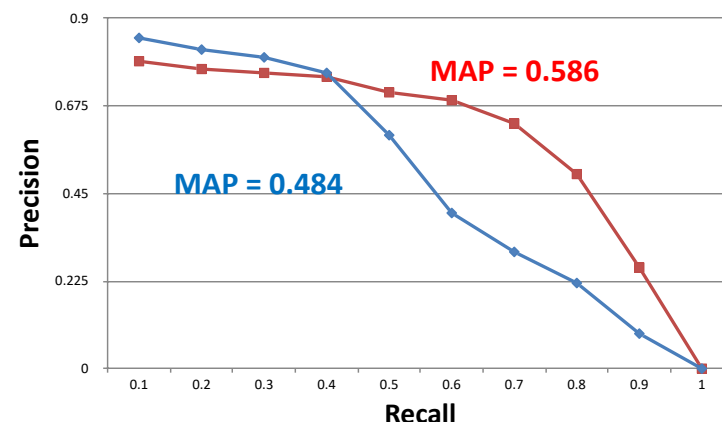
$$\text{Recall rate} = \frac{A}{A+C}$$

- recall rate may be difficult to evaluate, while precision rate is directly perceived by users
- recall-precision plot with varying thresholds

Performance Measures (2/2)

MAP (mean average precision)

- area under recall-precision curve
- a performance measure frequently used for information retrieval



References

General or basic Spoken Content Retrieval

- <http://www.superlectures.com/asru2011/lecture.php?lang=en&id=5>
Spoken Content Retrieval - Lattices and Beyond (Lin-shan Lee's talk at ASRU 2011)
- Chelba, C., Hazen, T.J., Saraclar, M., "Retrieval and browsing of spoken content," Signal Processing Magazine, IEEE, vol.25, no.3, pp.39-49, May 2008
- Martha Larson and Gareth J. F. Jones (2012) "Spoken Content Retrieval: A Survey of Techniques and Technologies", Foundations and Trends in Information Retrieval: Vol. 5: No 4-5, pp 235-422
- "An Introduction to Voice Search", Signal Processing Magazine, IEEE, Vol. 25, 2008

Text-based Information Retrieval

- <http://nlp.stanford.edu/IR-book/>
Christopher D. Manning, Prabhakar Raghavan, Hinrich Schütze, Introduction to Information Retrieval, Cambridge University Press. 2008.

Vector Space Model

Vector Representations of query Q and document d

- for each type j of indexing feature (e.g. syllable, word, etc.) a vector is generated
- each component in this vector is the weighted statistics z_{jt} of a specific indexing term t (e.g. syllable s_i)

$$z_{jt} = \underbrace{(1 + \ln[c_t])}_{\text{Term Frequency (TF)}} \cdot \underbrace{\ln(N/N_t)}_{\text{Inverse Document Frequency (IDF)}}$$

- c_t : frequency counts for the indexing term t present in the query q or document d (for text), or sum of normalized recognition scores or confidence measures for the indexing term t (for speech)
- N : total number of documents in the database
- N_t : total number of documents in the database which include the indexing term t
- IDF: the significance (or importance) or indexing power for the indexing term t

The Overall Relevance Score is the Weighted Sum of the Relevance Scores for all Types of Indexing Features

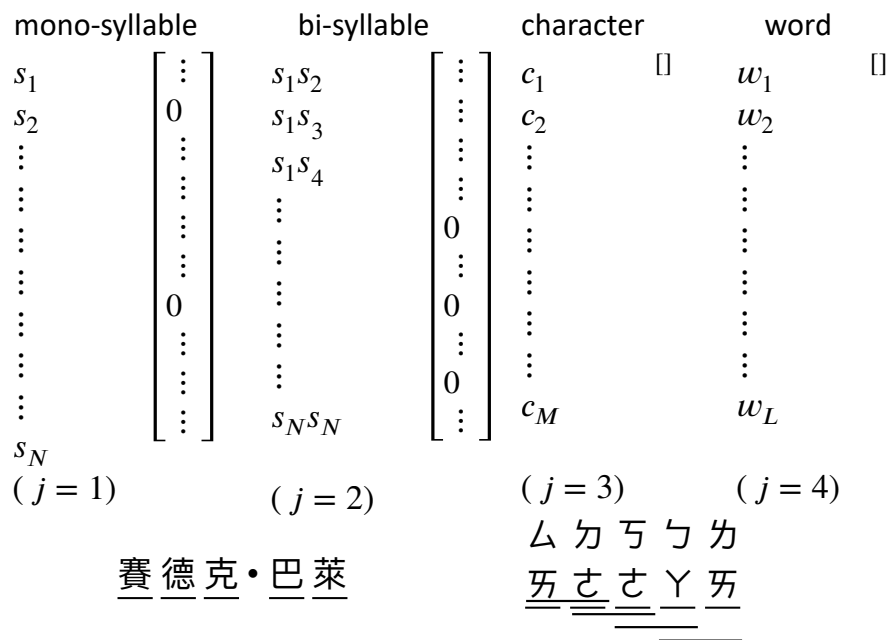
$$R_j(Q, d) = \frac{Q_j \cdot d_j}{\|Q_j\| \cdot \|d_j\|}$$

\vec{q}_j, \vec{d}_j : vector representations for query q and document d with type j of indexing feature

$$S(Q, d) = \sum_j w_j \cdot R_j(Q, d)$$

w_j : weighting coefficients

Vector Space Model



Difficulties in Speech-based Information Retrieval for Chinese Language

- **Even for Text-based Information Retrieval, Flexible Wording Structure Makes it Difficult to Search by Comparing the Character Strings Alone**
 - name/title 李登輝 → 李前總統登輝, 李前主席登輝 (President T.H Lee)
 - arbitrary abbreviation 北二高 → 北部第二高速公路 (Second Northern Freeway)
 - 華航 → 中華航空公司 (China Airline)
 - similar phrases 中華文化 → 中國文化 (Chinese culture)
 - translated terms 巴塞隆那 → 巴瑟隆納 (Barcelona)
- **Word Segmentation Ambiguity Even for Text-based Information Retrieval**
 - 腦科 (human brain studies) → 電腦科學 (computer science)
 - 土地公 (God of earth) → 土地公有政策 (policy of public sharing of the land)
- **Uncertainties in Speech Recognition**
 - errors (deletion, substitution, insertion)
 - out of vocabulary (OOV) words, etc.
 - very often the key phrases for retrieval are OOV

Syllable-Level Indexing Features for Chinese Language

- **A Whole Class of Syllable-Level Indexing Features for Better Discrimination**

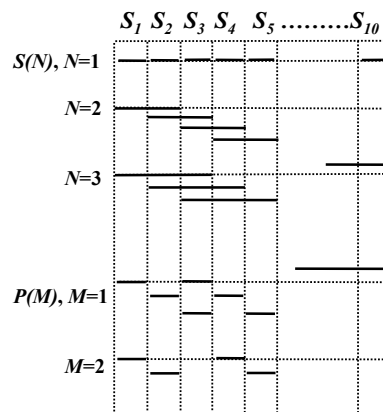
- Overlapping syllable segments with length N

Syllable Segments	Examples
$S(N), N=1$	$(s_1) (s_2) \dots (s_{10})$
$S(N), N=2$	$(s_1 s_2) (s_2 s_3) \dots (s_9 s_{10})$
$S(N), N=3$	$(s_1 s_2 s_3) (s_2 s_3 s_4) \dots (s_8 s_9 s_{10})$
$S(N), N=4$	$(s_1 s_2 s_3 s_4) (s_2 s_3 s_4 s_5) \dots (s_7 s_8 s_9 s_{10})$
$S(N), N=5$	$(s_1 s_2 s_3 s_4 s_5) (s_2 s_3 s_4 s_5 s_6) \dots (s_6 s_7 s_8 s_9 s_{10})$

- Syllable pairs separated by M syllables

Syllable Pair Separated by M syllables	Examples
$P(M), M=1$	$(s_1 s_3) (s_2 s_4) \dots (s_8 s_{10})$
$P(M), M=2$	$(s_1 s_4) (s_2 s_5) \dots (s_7 s_{10})$
$P(M), M=3$	$(s_1 s_5) (s_2 s_6) \dots (s_6 s_{10})$
$P(M), M=4$	$(s_1 s_6) (s_2 s_7) \dots (s_5 s_{10})$

- **Character or Word-Level Features can be Similarly Defined**



Syllable-Level Statistical Features

- **Single Syllables**

- all words are composed by syllables, thus partially handle the OOV problem
- very often relevant words have some syllables in common
- each syllable usually shared by more than one characters with different meanings, thus causing ambiguity

- **Overlapping Syllable Segments with Length N**

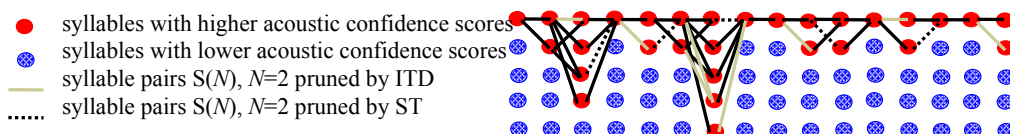
- capturing the information of polysyllabic words or phrases with flexible wording structures
- majority of Chinese words are bi-syllabic
- not too many polysyllabic words share the same pronunciation

- **Syllable Pairs Separated by M Syllables**

- tackling the problems arising from the flexible wording structure, abbreviations, and deletion, insertion, substitution errors in speech recognition

Improved Syllable-level Indexing Features

- Syllable-aligned Lattices and syllable-level utterance verification**
 - Including multiple syllable hypothesis to construct syllable-aligned lattices for both query and documents
 - Generating multiple syllable-level indexing features from syllable lattices
 - filtering out indexing terms with lower acoustic confidence scores
- Infrequent term deletion (ITD)**
 - Syllable-level statistics trained with text corpus used to prune infrequent indexing terms
- Stop terms (ST)**
 - Indexing terms with the lowest IDF scores are taken as the stop terms



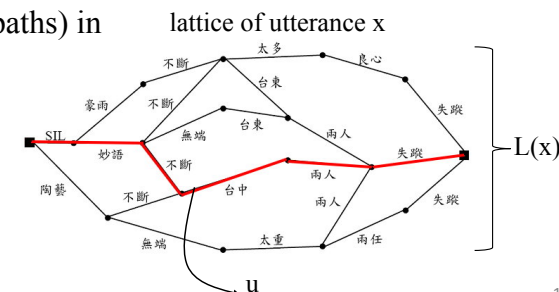
17

Expected Term Frequencies

- $E(t, x)$: expected term frequency for term t in the lattice of an utterance x**

$$E(t, x) = \sum_{u \in L(x)} N(t, u) P(u | x)$$

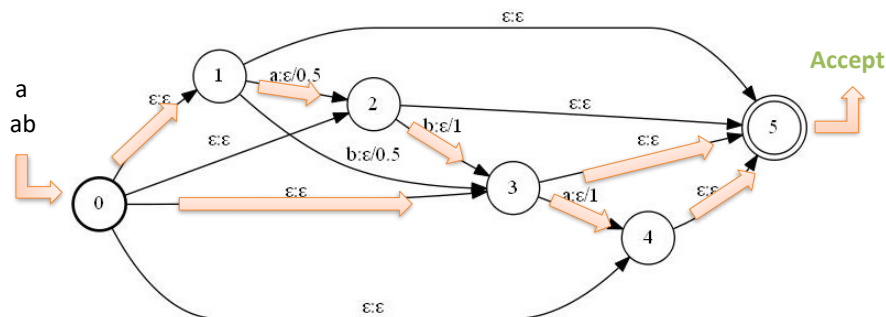
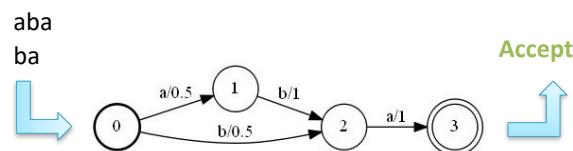
- u : a word sequence (path) in the lattice of an utterance x
- $P(u|x)$: posterior probability of the word sequence u given x
- $N(t, u)$: the occurrence count of term t in word sequence u
- $L(x)$: all the word sequences (paths) in the lattice of an utterance x



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WFST for Retrieval (1/4)

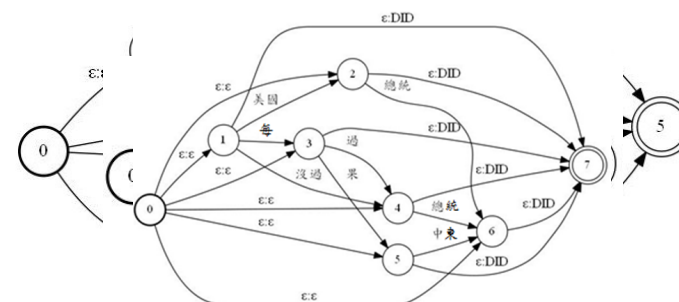
- Factor Automata**
 - The finite state machines accepting all substrings of the original machine
 - retrieval is to have all substrings considered



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WFST for Retrieval (2/4)

- The index transducer of text document**
 - Every substring of the document is transduced to the corresponding document ID (e.g., 3014)
- For spoken documents, the index transducers are generated from lattices directly**
- The index transducer of the whole corpus**
 - Union of all transducers of all utterances



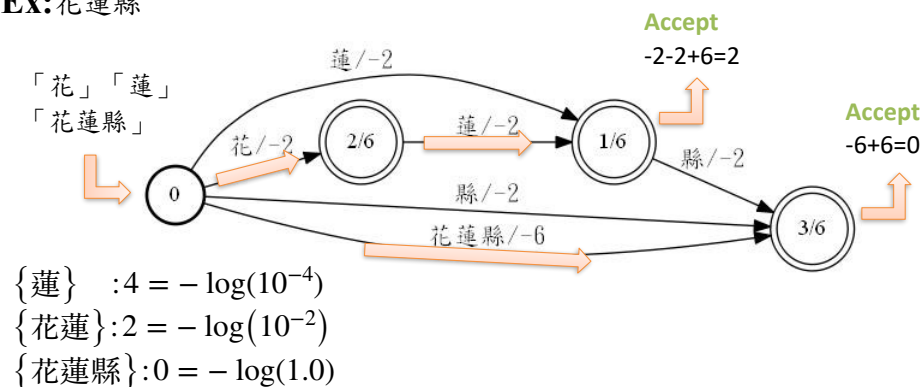
20

WFST for Retrieval (3/4)

Query Transducer

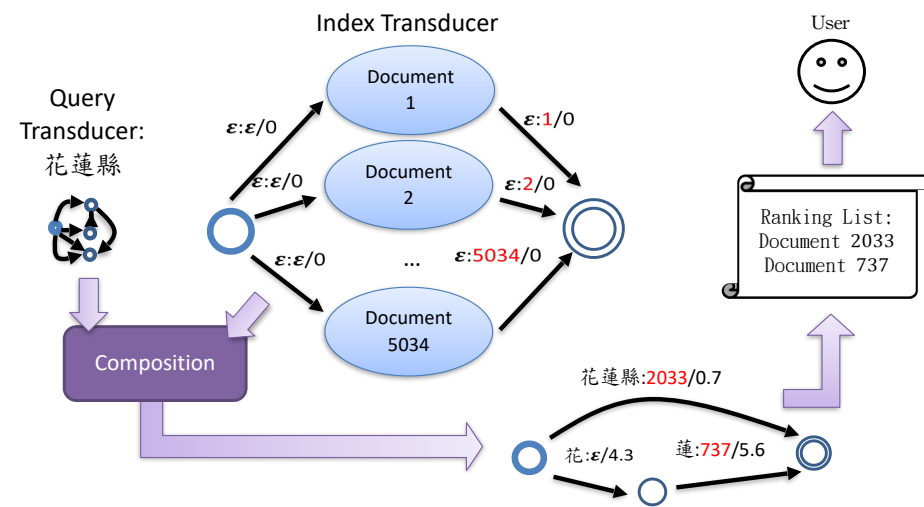
- Split the query string into words, characters, syllables, etc.
- Generate the query transducer
- Factorize the automaton
- Distribute weights over different transitions

Ex: 花蓮縣



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WFST for Retrieval (4/4)

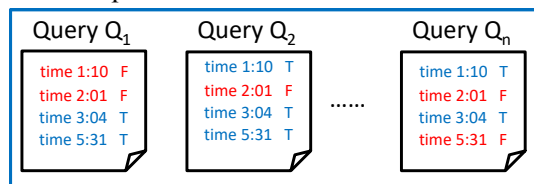


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Improved Retrieval by Training

Improve the retrieval with some training data

- Training data: a set of queries and associated relevant/irrelevant utterances



- Can be collected from user data
 - e.g. click-through data

Improve text-based search engine

- e.g. learn weights for different clues (such as different recognizers, different subword units ...)

Optimize the recognition models for retrieval performance

- Considering retrieval and recognition processes as a whole
- Re-estimate HMM parameters

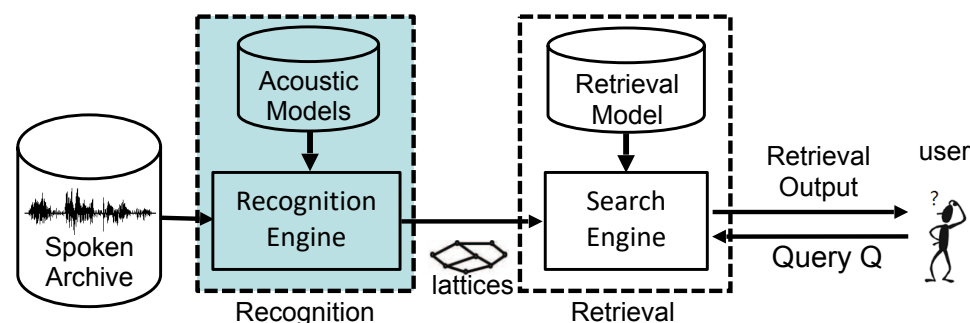
HMM Parameter Re-estimation

Retrieval considered on top of recognition output in the past

- recognition and retrieval as two cascaded stages
- retrieval performance relying on recognition accuracy

Considering retrieval and recognition processes as a whole

- acoustic models re-estimated by optimizing retrieval performance
- acoustic models better matched to each respective data set



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• Objective Function for re-estimating HMM


$$\hat{\lambda} = \arg \max_{\lambda} \sum_{Q \in Q_{train}} \sum_{x_t, x_f} [S(Q, x_t | \lambda) - S(Q, x_f | \lambda)]$$

λ : set of HMM parameters, $\hat{\lambda}$: re-estimated parameters for retrieval

Q_{train} : training query set

x_t, x_f : positive/negative examples for query Q

$S(Q, x | \lambda)$: relevance score of utterance x given query Q and model parameters set λ
(Since $S(Q, x)$ is obtained from lattice, it depends on HMM parameters λ .)

Find new HMM parameters for recognition
 such that the relevance scores of positive and negative examples are better separated.

25

• WFST for Retrieval

- Cyril Allauzen, Mehryar Mohri, and Murat Saraclar, “General indexation of weighted automata: application to spoken utterance retrieval,” in Proceedings of the Workshop on Interdisciplinary Approaches to Speech Indexing and Retrieval at HLT-NAACL, Stroudsburg, PA, USA, 2004, SpeechIR '04, pp. 33–40, Association for Computational Linguistics.
- D. Can and M. Saraclar, “Lattice indexing for spoken term detection,” IEEE Transactions on Audio, Speech, and Language Processing, vol. 19, no. 8, pp. 2338–2347, 2011.

26

• Spoken Content in Mandarin Chinese

- “Discriminating Capabilities of Syllable-based Features and Approaches of Utilizing Them for Voice Retrieval of Speech Information in Mandarin Chinese”, IEEE Transactions on Speech and Audio Processing, Vol.10, No.5, July 2002, pp.303-314.

• Training Retrieval Systems

- Click-through data
 - Thorsten Joachims. 2002. Optimizing search engines using clickthrough data. In Proceedings of the eighth ACM SIGKDD international conference on Knowledge discovery and data mining (KDD '02)
- Improve text-based search engine
 - “Improved Lattice-based Spoken Document Retrieval by Directly Learning from the evaluation Measures”, IEEE International Conference on Acoustics, Speech and Signal Processing, 2009
- Re-estimate HMM parameters
 - “Integrating Recognition and Retrieval With Relevance Feedback for Spoken Term Detection,” *Audio, Speech, and Language Processing, IEEE Transactions on*, vol.20, no.7, pp.2095-2110, Sept. 2012

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Pseudo-relevance Feedback (PRF) (1/3)

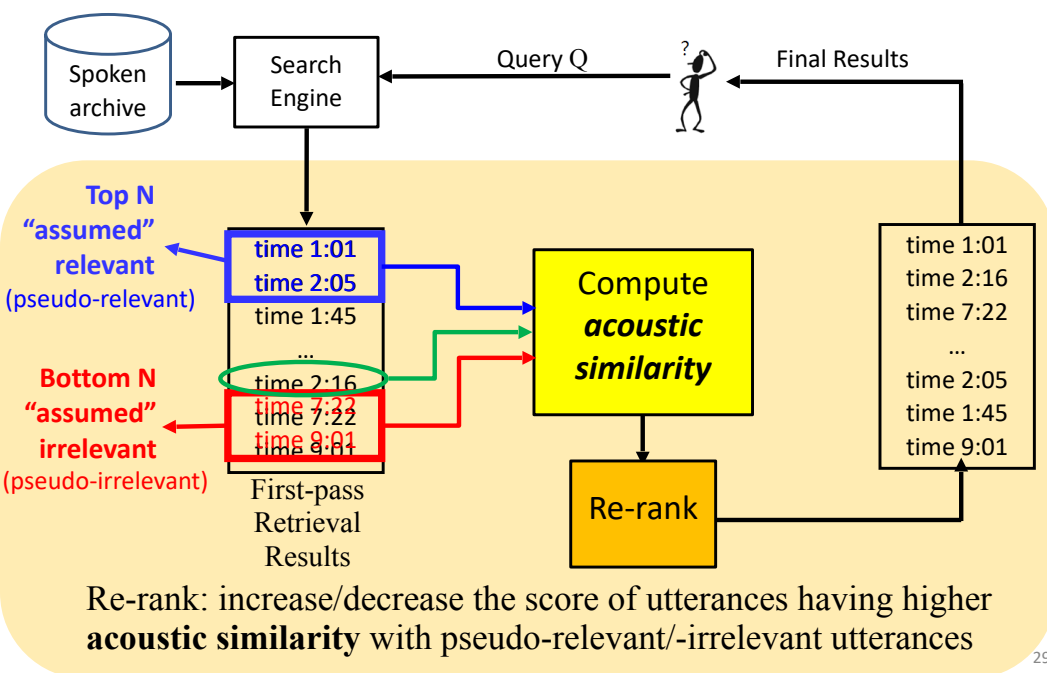
• Collecting training data can be expensive

• Pseudo-relevance feedback (PRF):

- Generate training data automatically
- Procedure:
 - Generate first-pass retrieval results
 - assume the top N objects on the first-pass retrieval results are relevant (pseudo relevant)
 - assume the bottom M objects on the first-pass retrieval results are irrelevant (pseudo irrelevant)
 - Re-ranking: scores of objects similar to the pseudo-relevant/irrelevant objects increased/decreased

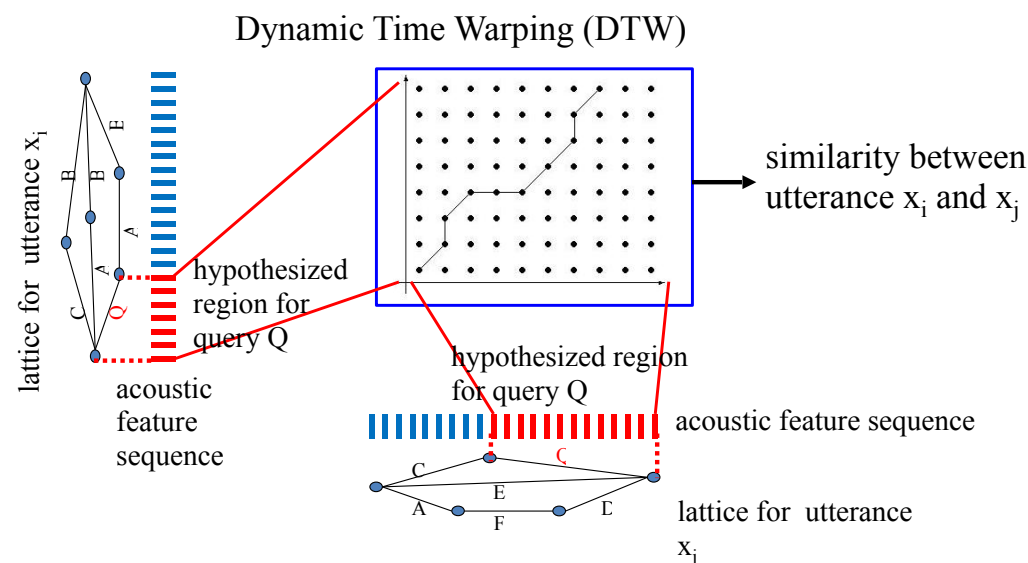
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Pseudo-relevance Feedback (PRF) (2/3)



Pseudo-relevance Feedback (PRF) (3/3)

- **Acoustic similarity** between two utterances x_i and x_j

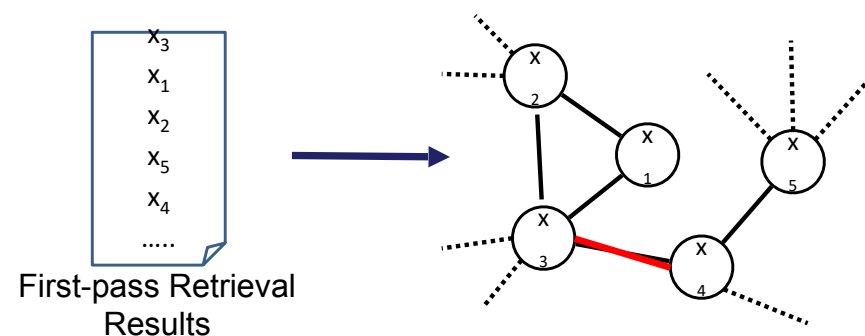


Improved PRF – Graph-based Approach (1/4)

- Graph-based approach
 - only the top N/bottom N utterances are taken as references in PRF
 - not necessarily reliable
 - considering the acoustic similarity structure of all utterances in the first-pass retrieval results globally using a graph

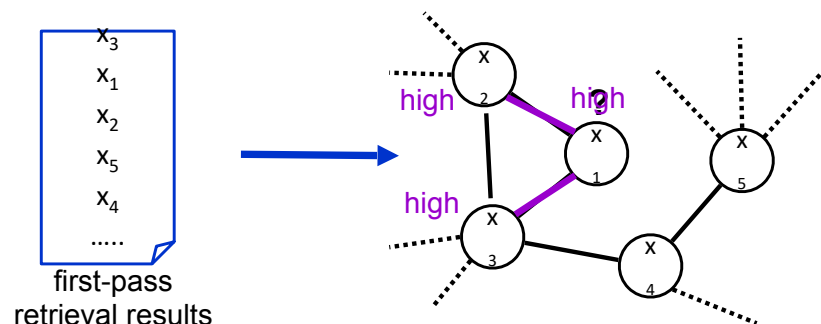
Improved PRF – Graph-based Approach (2/4)

- Construct a graph for all utterances in the first-pass retrieval results
 - nodes : utterances
 - **edge weights: acoustic similarities between utterances**



Improved PRF – Graph-based Approach (3/4)

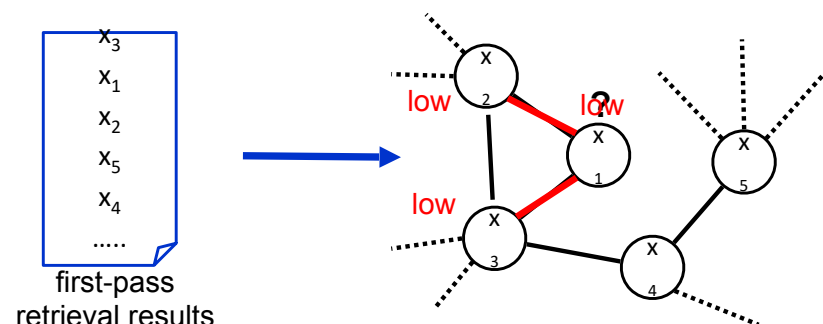
- Utterances strongly connected to (similar to) utterances with high relevance scores should have relevance scores **increased**



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Improved PRF – Graph-based Approach (3/4)

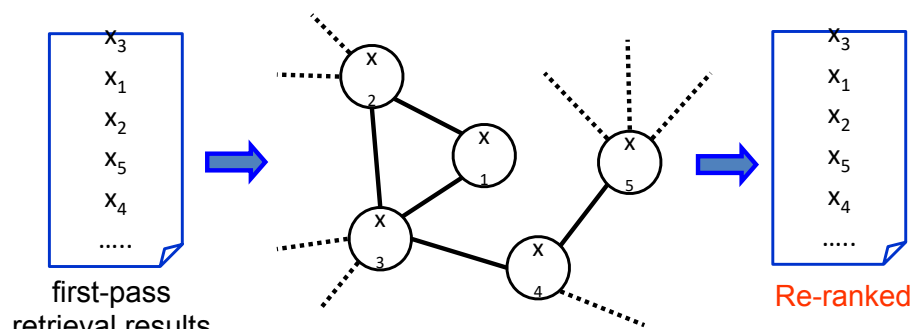
- Utterances strongly connected to (similar to) utterances with low relevance scores should have relevance scores **reduced**



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Improved PRF – Graph-based Approach (4/4)

- Relevance scores propagate on the graph**
 - relevance scores smoothed among strongly connected nodes



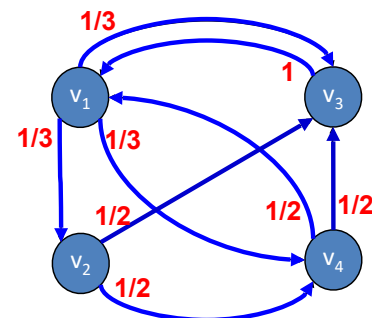
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PageRank and Random Walk (1/2)

- Object ranking by their relations**
 - Rank web pages for Google search
- Basic Idea**
 - Objects having high connectivity to other high-score objects are popular (given higher scores)

$$P = \begin{bmatrix} 0 & 0 & 1 & \frac{1}{2} \\ \frac{1}{3} & 0 & 0 & 0 \\ \frac{1}{3} & \frac{1}{2} & 0 & \frac{1}{2} \\ \frac{1}{3} & \frac{1}{2} & 0 & 0 \end{bmatrix} \sigma$$

Transition matrix



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PageRank and Random Walk (2/2)

- The score of each object is related to the score of its neighbors and its prior score
- Final steady state

$$s_i = \alpha \sum_j p_{ji} s_j + (1 - \alpha) v_i$$

final score s_i is equal to α times the sum of neighbor scores s_j weighted by p_{ji} (Score propagation) plus $(1 - \alpha)$ times the prior score v_i (Prior score).

- In matrix form

$$\begin{aligned} \vec{s} &= \alpha P \vec{s} + (1 - \alpha) \vec{v} = \alpha P \vec{s} + (1 - \alpha) \vec{v} \\ &= [\alpha P + (1 - \alpha) \vec{v} e^T] \vec{s} = P' \vec{s}, \\ &e^T = [1, 1, 1, \dots, 1], e^T \vec{s} = \sum_i s_i = 1 \end{aligned}$$

\vec{s} is the solution to the eigenvalue problem

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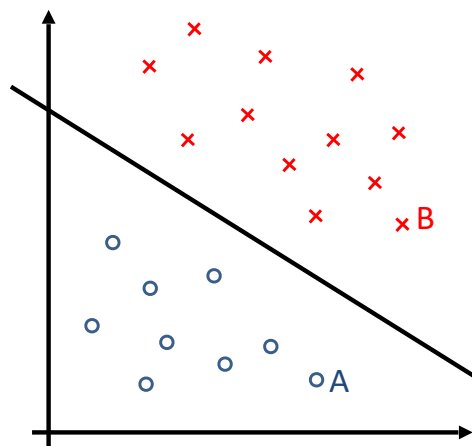
References

- For Graph and Random walk**
 - Kurt Bryan¹, Tanya Leise, “The \$25,000,000,000 eigenvector: the linear algebra behind google”
 - Amy. N. Langville, Carl.D. Meyer, “Deeper inside PageRank”, Internet Mathematics, Vol. 1
 - “Improved Spoken Term Detection with Graph-Based Re-Ranking in Feature Space”, in ICASSP 2011
 - “Open-Vocabulary Retrieval of Spoken Content with Shorter/ Longer Queries Considering Word/Subword-based Acoustic Feature Similarity”, Interspeech, 2012

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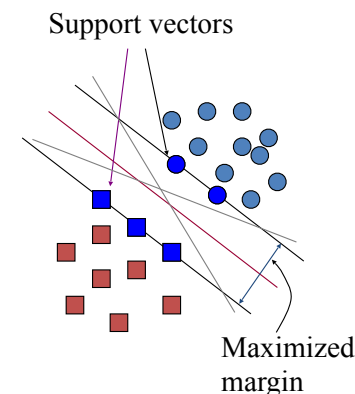
Support Vector Machine (SVM) (1/2)

- Problem definition**
 - suppose there are two classes of objects (positive and negative)
 - goal: classify new objects given training examples
- Represent each object as an N-dimensional feature vector**
 - o: positive example
 - x: negative example
- Find a hyperplane separating positive and negative examples**
- Classify new objects by this hyperplane**
 - point A is positive, point B is negative



Support Vector Machine (SVM) (2/2)

- Many hyperplanes can separate positive and negative examples**
- Choose the one maximizing the “margin”**
 - margin: the minimum distance between the examples and the hyperplane
- Some noise may change the feature vectors of the testing objects**
 - large margin may minimize the chance of misclassification

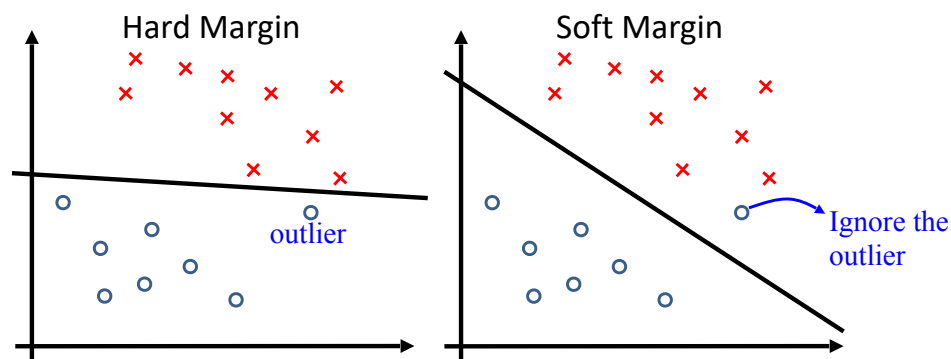


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SVM – Soft Margin

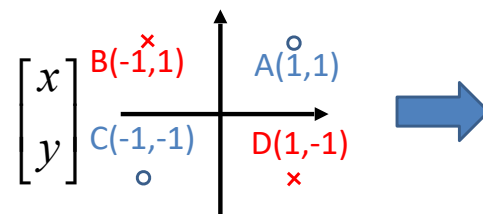
SVM – Feature Mapping



- **Hard Margin:**
 - If some training examples are outliers, separating all positive/negative examples may not be the best solution
- **Soft Margin:**
 - Tolerate some non-separable cases (outliers)

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- **Original feature vectors (Non-separable)**



- **Map original feature vectors onto a higher-dimensional space**

$$\begin{bmatrix} x^2 \\ y^2 \\ xy \end{bmatrix} \quad \begin{matrix} B(1,1,-1) & A(1,1,1) \\ C(1,1,1) & D(1,1,-1) \end{matrix}$$

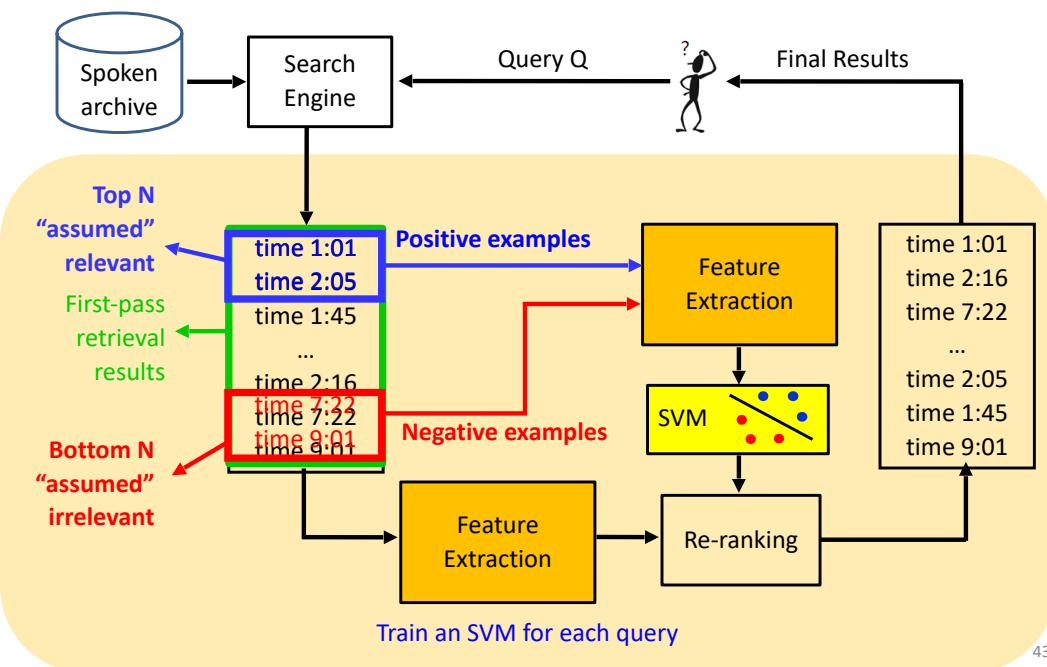
(Can be separated by hyperplane $z=xy=0$)

- **If positive and negative examples are not linearly separable in the original feature vector form, map their feature vectors onto a higher-dimensional space where they may become separable**

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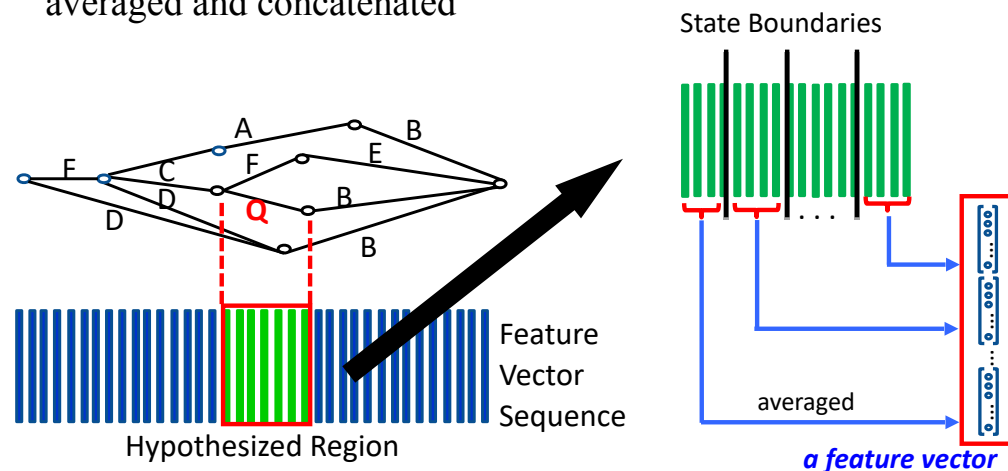
Improved PRF – SVM(1/3)

Improved PRF – SVM (2/3)



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- **Representing each utterance by its hypothesized region segmented by HMM states, with feature vectors in each state averaged and concatenated**



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Improved PRF – SVM (3/3)

- Context consistency
 - the same term usually have similar context; while quite different context usually implies the terms are different

- Feature Extraction

V - dimensional vector
(V : lexicon size)

A	B	C	D	...	Q
0.2	0.0	0.5	0.0	...	0.0

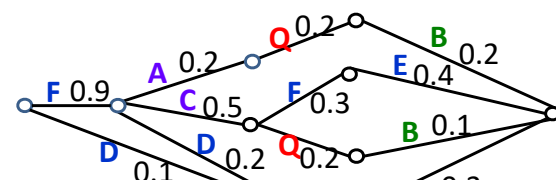
Immediate left
context

A	B	C	D	...	Q
0.0	0.3	0.0	0.0	...	0.0

Immediate
right context

A	B	C	D	...	Q
0.2	0.6	0.5	0.3	...	0.4

whole segment



Concatenated into a $3V$ - dimensional feature vector

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References

SVM

- <http://cs229.stanford.edu/materials.html>
(Lecture notes 3)
- "A Tutorial on Support Vector Machines for Pattern Recognition," Data Mining and Knowledge Discovery, vol. 2, no. 2, pp. 121-167, 1998.
- Bishop, C.M.
<<http://library.wur.nl/WebQuery/clc?achternaam=Bishop>>, "Pattern recognition and machine learning." Chapter 7.
- Nello Cristianini and John Shawe-Taylor. "An Introduction to Support Vector Machines: And Other Kernel-Based Learning Methods."

SVM Toolkit

- <http://www.csie.ntu.edu.tw/~cjlin/libsvm/>
LibSVM
- <http://svmlight.joachims.org/>
SVMlight

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References

Pseudo-relevance Feedback (PRF)

- "Improved Spoken Term Detection by Feature Space Pseudo-Relevance Feedback", Annual Conference of the International Speech Communication Association, 2010

SVM-based Reranking

- "Improved Spoken Term Detection Using Support Vector Machines Based on Lattice Context Consistency", International Conference on Acoustics, Speech and Signal Processing, Prague, Czech Republic, May 2011, pp. 5648-5651.
- "Improved Spoken Term Detection Using Support Vector Machines with Acoustic and Context Features From Pseudo-Relevance Feedback", IEEE Workshop on Automatic Speech Recognition and Understanding, Hawaii, Dec 2011, pp. 383-388.
- "Enhanced Spoken Term Detection Using Support Vector Machines and Weighted Pseudo Examples", IEEE Transactions on Audio, Speech and Language Processing , Vol. 21, No. 6, Jun 2013, pp. 1272-1284

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Language Modeling Retrieval Approach (Text or Speech)

- Both query Q and spoken document d are represented as language models θ_Q and θ_d (consider unigram only below, may be smoothed (or interpolated) by a background model θ_b)
- Given query Q , rank spoken documents d according to $S_{LM}(Q, d)$

$$S_{LM}(Q, d) = -KL(\theta_Q | \theta_d)$$

- Inverse of KL divergence (KL distance) between θ_Q and θ_d
- The documents with document models θ_d similar to query model θ_Q are more likely to be relevant

Query model $P(t | \theta_Q) = \frac{N(t, Q)}{\sum_t N(t', Q)}$ $N(t, Q)$: Occurrence count or expected term frequency for term t in query Q

Document model $P(t | \theta_d) = \frac{N(t, d)}{\sum_t N(t', d)}$ $N(t, d)$: Occurrence count or expected term frequency for term t in document d

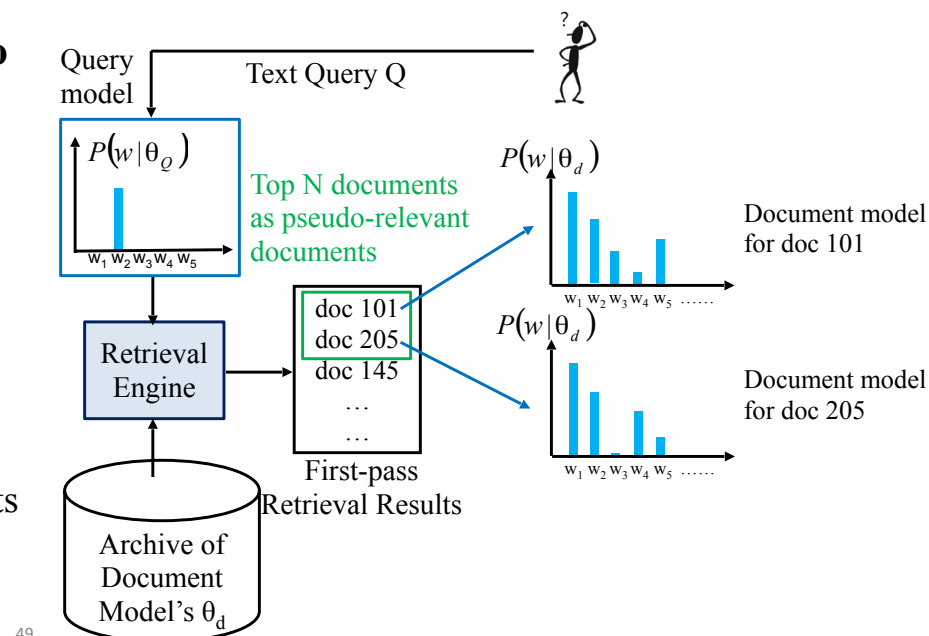
$$N(t, d) = \sum_{x \in d} E(t, x) \quad E(t, x): \text{Expected term frequency for term } t \text{ in the lattice of utterance } x \text{ (for speech)}$$

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Semantic Retrieval by Query Expansion

- **Concept matching rather than Literal matching**
- **Returning utterances/documents semantically related to the query (e.g. Obama)**
 - not necessarily containing the query (e.g. including US and White House, but not Obama)
- **Expand the query (Obama) with semantically related terms (US and White House)**
- **Query expansion with language modeling retrieval approach**
 - Realized by PRF
 - Find common term distribution in pseudo-relevant documents and use it to construct a new query for 2nd-phase retrieval

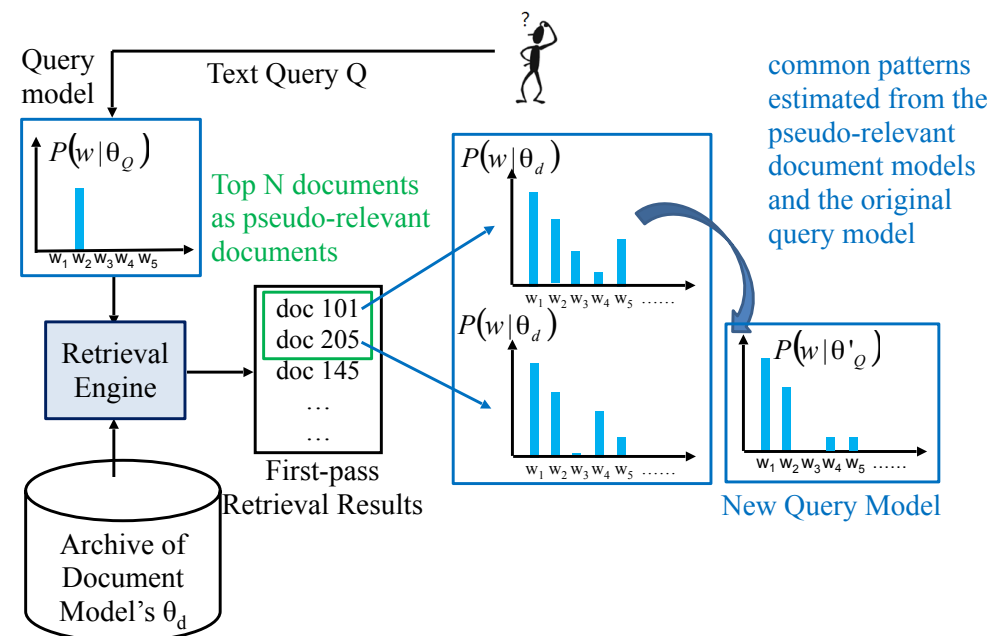
Semantic Retrieval by Query Expansion



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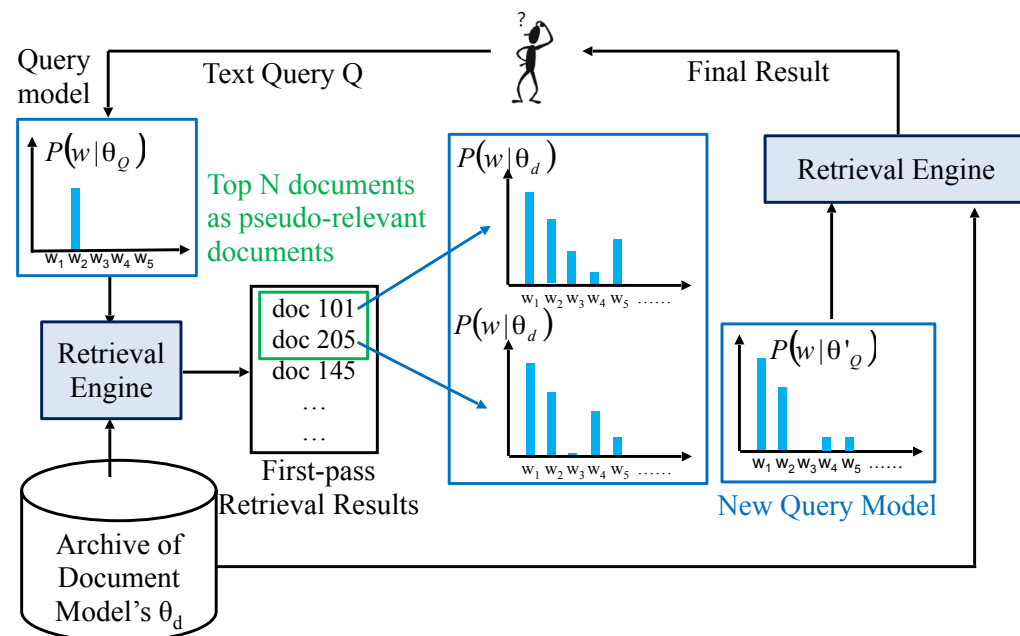
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Semantic Retrieval by Query Expansion



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Semantic Retrieval by Query Expansion



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Semantic Retrieval by Document Expansion

- **Document expansion**
 - Consider a document only has terms US and White House
 - Add some semantically related terms (Obama) into the document model
- **Document expansion for language modeling retrieval approach**

$$P(t | \theta_d') = \alpha P(t | \theta_d) + (1 - \alpha) \sum_{i=1}^K P(t | T_i) P(T_i | d)$$

$P(T_i | d)$: probability of observing topic T_i given document d

$P(t | T_i)$: probability of observing term t given topic T_i

– Obtained by latent topic analysis (e.g. PLSA)

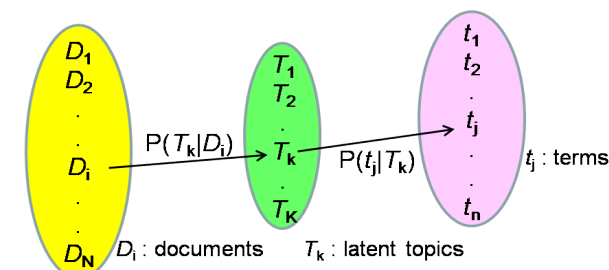
θ_d : original document model

α : interpolation weight

θ_d' : expanded document model

Latent Topic Analysis

- **An example: Probabilistic Latent Semantic Analysis (PLSA)**
- **Creating a set of latent topics between a set of terms and a set of documents**



– modeling the relationships by probabilistic models trained with EM algorithm

- **Other well-known approaches: Latent Semantic Analysis (LSA), Non-negative Matrix Factorization (NMF), Latent Dirichlet Allocation (LDA) ...**

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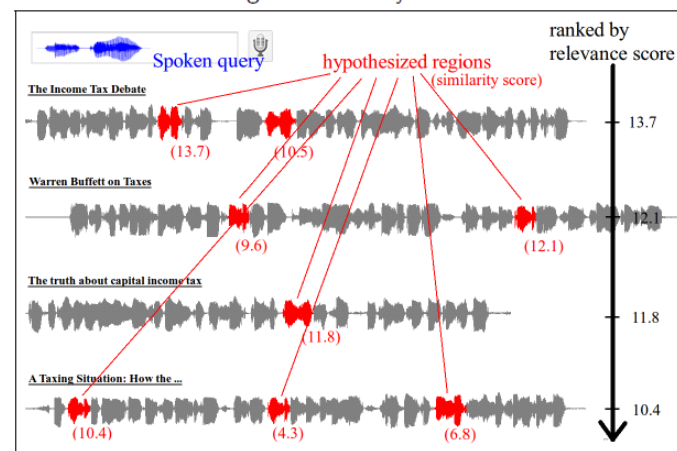
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References

- **Semantic Retrieval of Spoken Content**
 - “Improved Semantic Retrieval of Spoken Content by Language models Enhanced with Acoustic Similarity Graph”, IEEE Workshop on Spoken Language Technology, 2012
 - T. K. Chia, K. C. Sim, H. Li, and H. T. Ng, “Statistical lattice-based spoken document retrieval,” ACM Trans. Inf. Syst., vol. 28, pp. 2:1–2:30, 2010.

Unsupervised Spoken Term Detection (STD) with Spoken Queries

- Search speech by speech – no need to know which word is spoken
- No recognition, without annotated data, without knowledge about the language
- Bypass the difficulties of recognition : annotated data for the target domain, OOV words, recognition errors, noise conditions, etc.
 - relevance score \equiv highest similarity score within a document.



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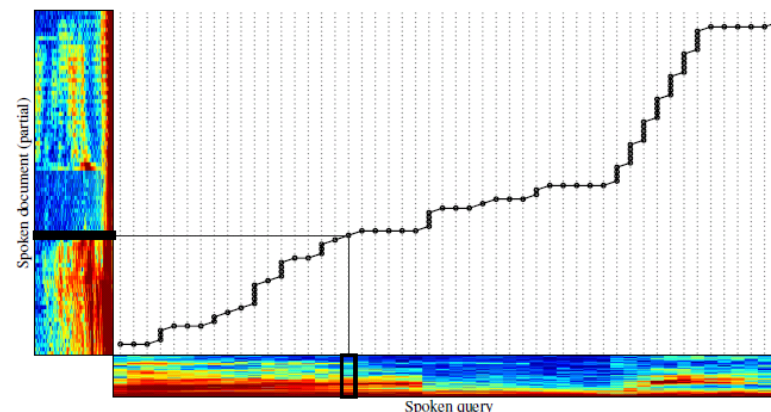
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Two major approaches for Unsupervised STD

- **Template matching (signal-to-signal matching)**
 - Dynamic Time Warping (DTW) based, matching the signals directly
 - Precise but less compatible to signal variations (by different speakers, different acoustic conditions, etc.) with higher computation requirements
- **Model-based approach with automatically discovered patterns**
 - Representing signals by models and matching with these models
 - Discovering acoustic patterns and training corresponding models without annotated data

Template Matching

- **Dynamic time warping (DTW)**
 - Find possible speech regions that are similar to the query

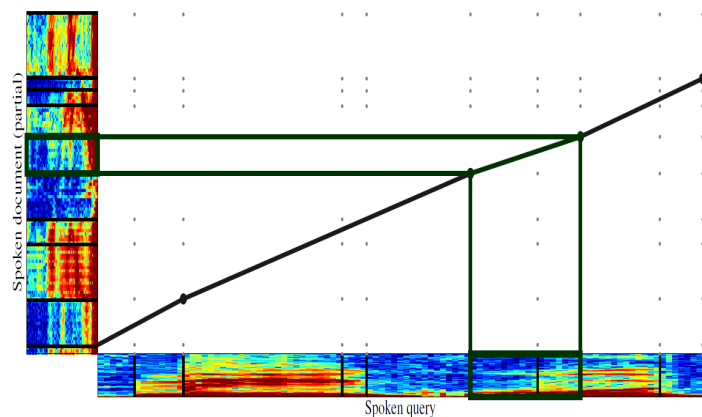


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Template Matching

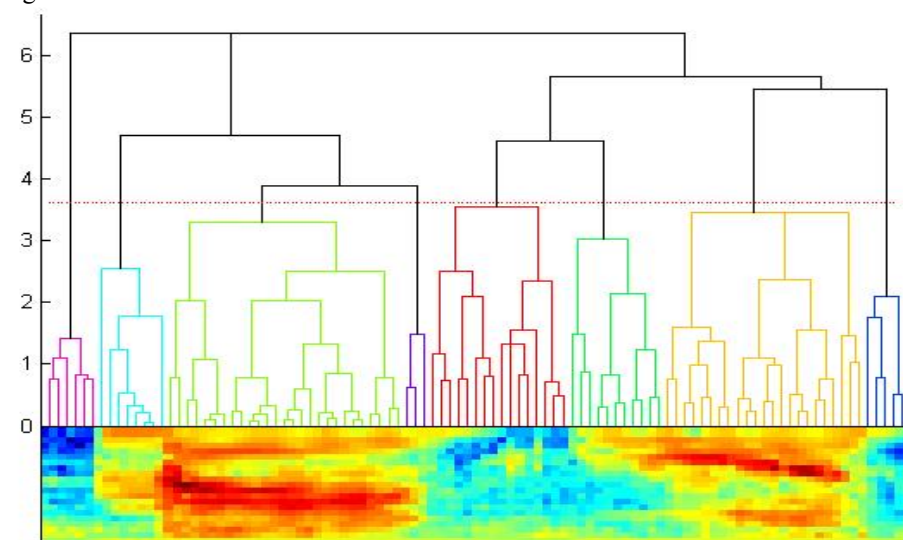
- **Segment-based DTW**
 - divide signals into segments of consecutive similar frames
 - segment-by-segment matching rather than frame-by-frame
 - Segment-based DTW (much faster but less precise) followed by frame-based DTW (slow but precise)



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Hierarchical Agglomerative Clustering (HAC)

Merge Loss L_i



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Hierarchical Agglomerative Clustering (HAC)

- **Initial Condition**

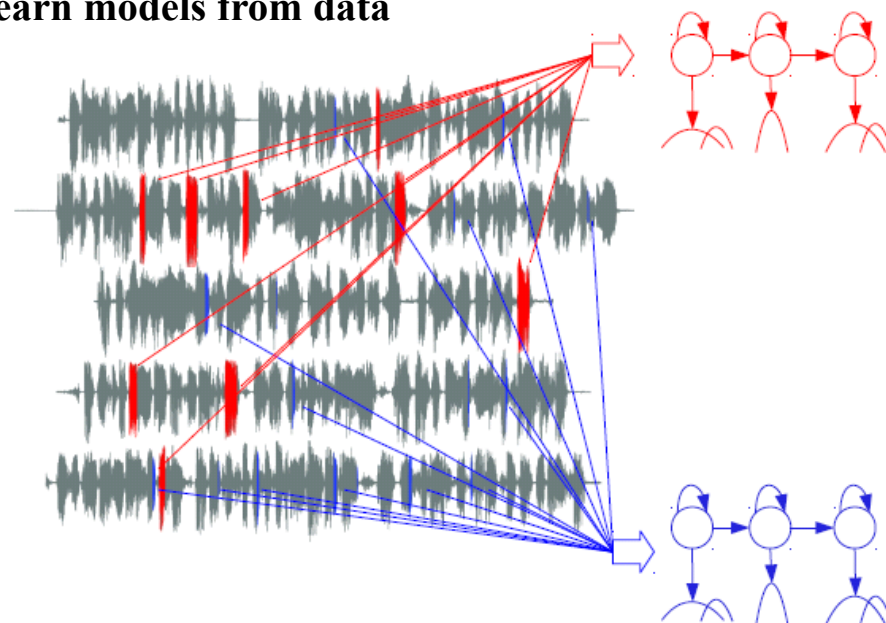
- Each frame of signal (i.e. a MFCC vector) is a segment

- **Merge**

- calculate the distance between each pair of adjacent segments
- merge the pair with minimum distance into a single segment
- represent the merged segment by a vector (e.g. the mean)
- repeat

Model-based approach

- **Learn models from data**



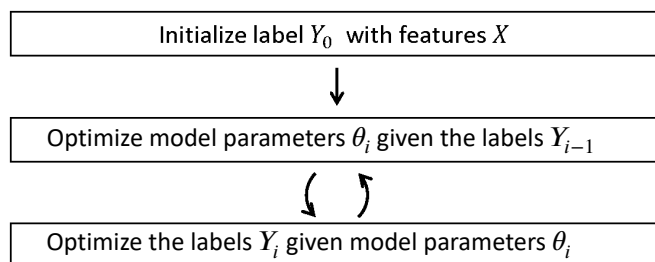
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Unsupervised Pattern Discovery

- **Unsupervised Discovery**

- without annotated data
- all patterns automatically learned from a set of corpora in unknown languages without linguistic knowledge



- **Initializing Y_0**

- signal segmentation (based on waveform-level features) followed by segment clustering

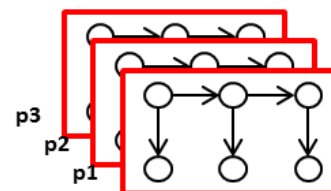
- **In each iteration i**

- train the best set of HMM models θ_i based on Y_{i-1} and then obtain a new set of labels Y_i based on θ_i

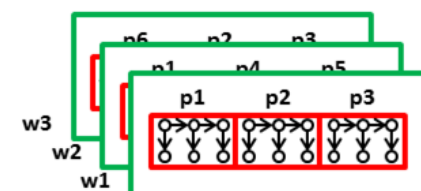
Unsupervised Automatic Discovery of Linguistic Structure

- **Hierarchical Linguistic Structure Automatically Discovered**

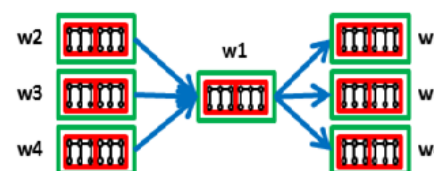
- Subword-like pattern HMMs



- Word-like pattern lexicon



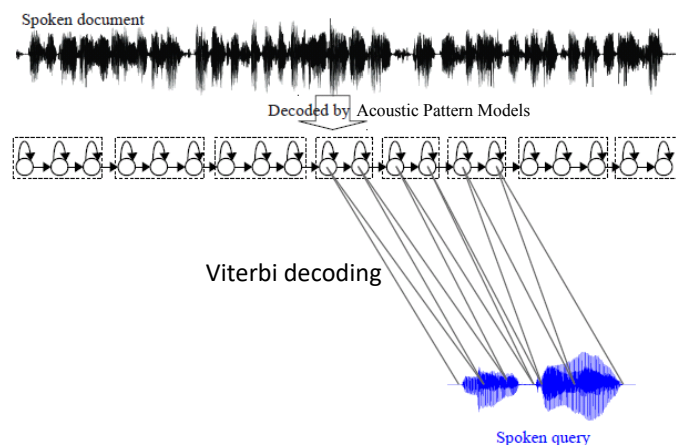
- Word-like pattern language model



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- **Apply recognition-like approach with discovered models**



- **Unsupervised Discovery of Acoustic Patterns**
 - “Unsupervised Discovery of Linguistic Structure Including Two-level Acoustic Patterns Using Three Cascaded Stages of Iterative Optimization,” International Conference on Acoustics, Speech and Signal Processing, Vancouver, Canada, May 2013.
- **Unsupervised Spoken Term Detection**
 - “Integrating Frame-Based and Segment-Based Dynamic Time Warping for Unsupervised Spoken Term Detection with Spoken Queries”, International Conference on Acoustics, Speech and Signal Processing, Prague, Czech Republic, May 2011, pp. 5652-5655.
 - “Toward Unsupervised Model-based Spoken Term Detection with Spoken Queries without Annotated Data,” International Conference on Acoustics, Speech and Signal Processing, May 2013
 - “Model-based Unsupervised Spoken Term Detection with Spoken Queries”, IEEE Transactions on Audio, Speech, and Language Processing, Vol. 21, No. 7, Jul 2013, pp. 1330-1342.
- **HAC**
 - Unsupervised Optimal Phoneme Segmentation: Objectives, Algorithm and Comparisons, Yu Qiao, Naoya Shimomura, and Nobuaki Minematsu, ICASSP 2008

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- **Mobile/Video Search**
 - “In-Car Media Search”, IEEE Signal Processing Magazine, July 2011
 - “Speech and Multimodal Interaction in Mobile Search”, IEEE Signal Processing Magazine, July 2011
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- **Overall**
 - “Spoken Content Retrieval – Beyond Cascading Speech Recognition with Text Retrieval”, IEEE/ACM Transactions on Audio, Speech and Language Processing, June 2015

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