



SCHOOL *of* DATA SCIENCE

# CAP Theorem



Big Data Systems  
School of Data Science  
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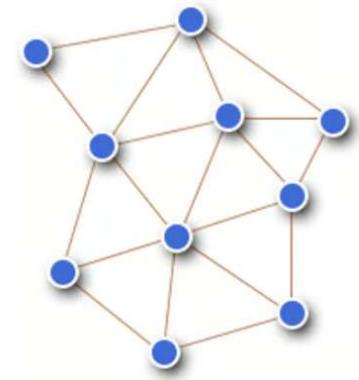
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# Scaling and its Challenges

When we scale from single machine to distributed system,

it makes partitions inevitable (breaks in network)

We are faced with tradeoff explained by CAP Theorem

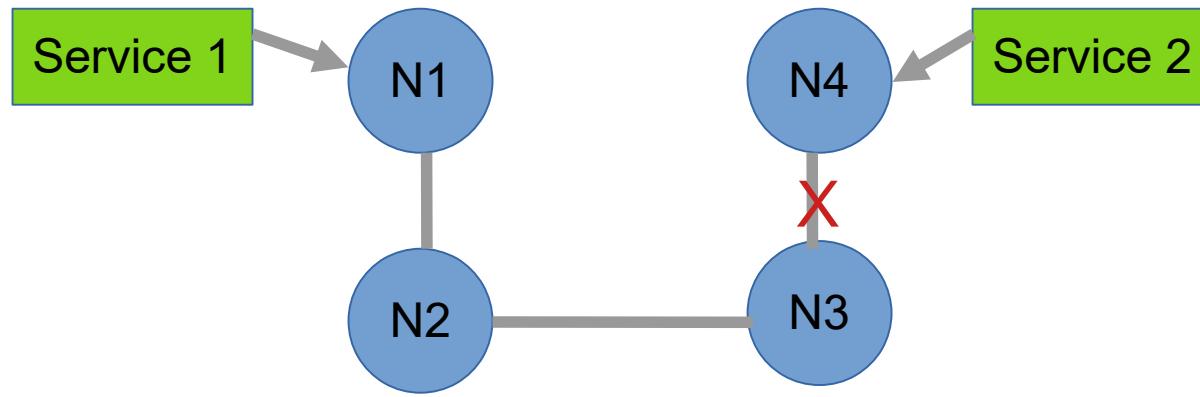


# Partition Tolerance

Data processing system must continue to work even if network partition causes communication errors between subsystems

# Partition Tolerance

Failure causes disconnect between N3 and N4



Request by Service 2 at N4 gets stale data

# Background on DB Transaction Models

# Database Transactions

**Transactions** are a change of state performed against db

Useful for preventing issues:

- System failure prevents some work from completing

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**Transactions** are a change of state performed against db

Useful for preventing issues:

- System failure prevents some work from completing
- Provide isolation between programs accessing db concurrently

# ACID

## **Atomicity**

All steps in db transaction fully completed or reverted to original state. Avoids partial completions.

## **Consistency**

Guarantees that data meets predefined integrity rules

# ACID

## **Isolation**

New transaction waits until previous transaction finishes before starting

## **Durability**

db maintains all committed records even if system fails

# BASE

## **Basically available**

Focus on concurrent accessibility by users at all times

## **Soft state**

Data can have transient states that may change over time  
e.g., several applications may update a record simultaneously

## **Eventually consistent**

Record will be consistent when all concurrent updates complete

# ACID vs. BASE

## **ACID databases**

Prioritize strict data consistency for critical transactions

Examples: Most SQL databases e.g., MySQL, PostgreSQL,  
Oracle, SQL Server

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## **BASE databases**

Prioritize scalability and availability

Suitable for large-scale, distributed systems

Examples: MongoDB, Cassandra, DynamoDB, Redis

# CAP Theorem

# CAP Theorem

A tradeoff faced by distributed data store

Can only guarantee two of these three:

**Consistency:** Each read receives most recent write or an error.  
All users see same data at same time.

**Availability:** Each request receives response.  
No guarantee it contains most recent write.

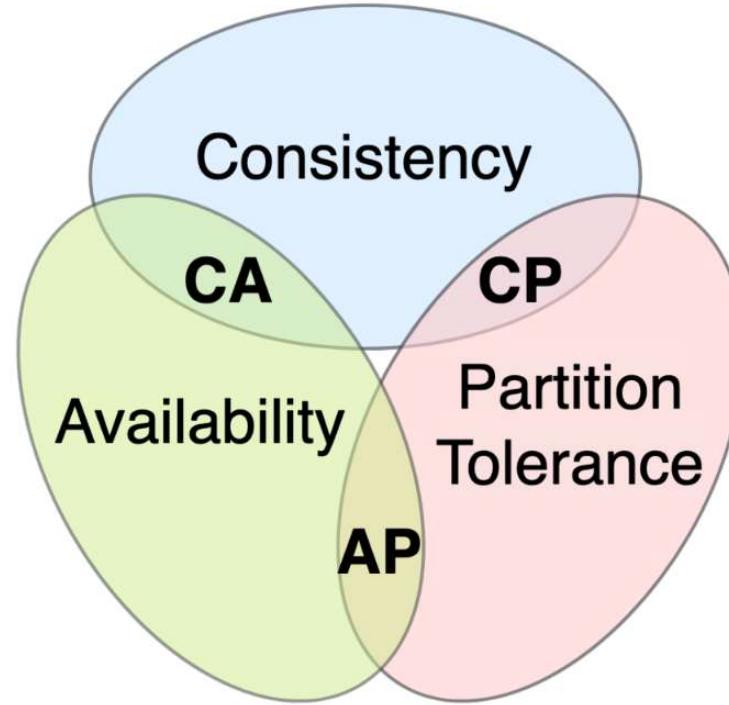
**Partition tolerance:** System operates despite dropped/delayed  
messages by network between nodes

# CAP Theorem, contd.

When network partition happens, must decide between:

- Cancel the operation (decrease availability, ensure consistency)
- Proceed with operation (ensure availability, risk inconsistency)

# Options



Option CA: Data is not partitioned

In practice, CA distributed database cannot exist.

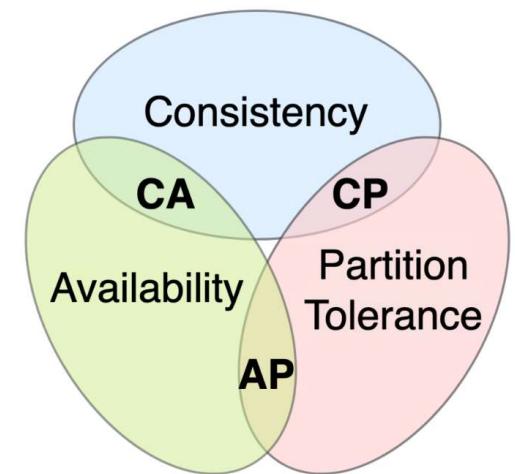
# CP and AP Databases

**CP:** Consistency over Availability.

Traditional ACID guarantees.

Guarantee validity using transactions.

This is RDBMS like Postgres, MySQL, etc.



**AP:** Availability over Consistency. BASE philosophy.

BASE centers on eventual consistency.

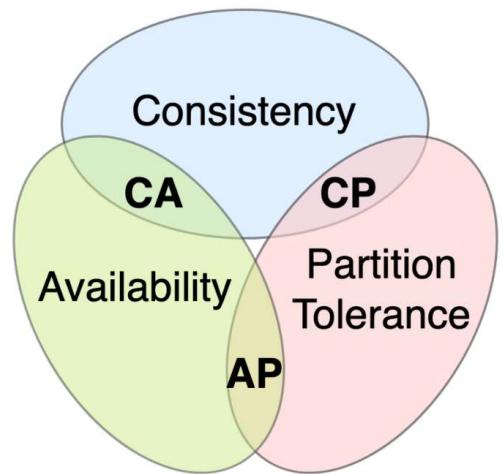
Common in NoSQL (non-relational) database systems.

# CP and AP Databases, contd.

What is required and what is the downside...

For CP?

For AP?



# References

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