

ESS 411/511 Geophysical Continuum Mechanics Class #16

Highlights from Class #15 – Andrew Gregovich
Today's highlights on Friday – Madie Mamer

Our text doesn't cover our next topics very thoroughly, so we will use a few other sources, which are posted on the class web site under READING & NOTES. <https://courses.washington.edu/ess511/NOTES/notes.shtml>

- Stein and Wyss session 5.7.2
- Stein and Wyss session 5.7.3/4
- Raymond notes on failure

Also see slides about upcoming topics

- Failure and Mohr's circles – slides

Your short CR/NC Pre-class prep writing assignment (1 point) in Canvas

- It will be due in Canvas at the start of class.
- I will send another message when it is posted in Canvas.

ESS 411/511 Geophysical Continuum Mechanics

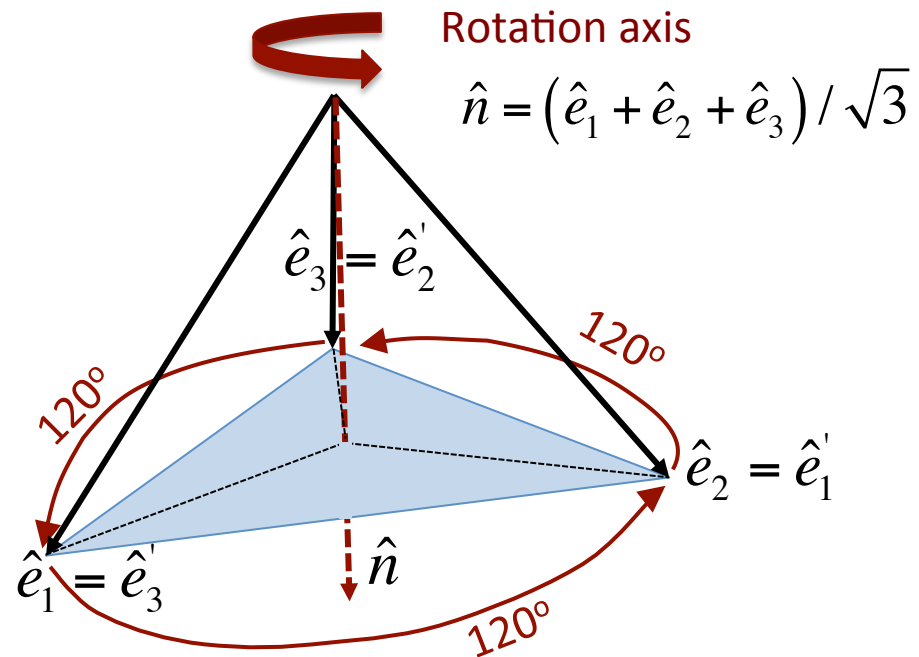
Broad Outline for the Quarter

- Continuum mechanics in 1-D
- 1-D models with springs, dashpots, sliding blocks
- Attenuation
- Mathematical tools – vectors, tensors, coordinate changes
- Stress – principal values, Mohr's circles for 3-D stress
- Coulomb failure, pore pressure, crustal strength
- Measuring stress in the Earth
- Strain – Finite strain; infinitesimal strains
- Moments – lithosphere bending; Earthquake moment magnitude
- Conservation laws
- Constitutive relations for elastic and viscous materials
- Elastic waves; kinematic waves

Homework #3 – Question #1

The coordinate axes look like a tripod,
and the rotation axis was vertical
Some of you drew a left-handed
coordinate system, so the unprimed
axes mapped onto the wrong primed
axes.

That screwed up your transformation
matrix a_{ij} matrix because $a_{ij} = \hat{e}'_i \cdot \hat{e}_j$



After transforming the axes

$T' = A T A^T$ should still have 9 entries which are just a re-arrangement of the original t_{ij} .

Because T is isotropic, you now have 9 relations equating 2 different elements t_{ij} .

The second rotation gives another 9 relations.

Then the only way to satisfy all those relations is by $T = \lambda I$

Good news

- even with a left-handed rotation, you should still get the same result 😊

Homework #3 – Question #2

Show that δ_{ij} and ε_{ijk} are unchanged in new coordinate systems

There was a lot of abuse of index notation. For example -

- More than 2 “i” or “j” indices in a term e.g. $a_{ij} a_{ij} a_{ij} \varepsilon_{ijk}$
- $\det(A) = a_{ij} a_{kl} a_{mn}$ LHS is a scalar, but RHS is a 6th order tensor
- A correct expression is $\varepsilon_{qmn} \det(A) = \varepsilon_{ijk} a_{iq} a_{jm} a_{kn}$

Homework #3 – Question #3

Determine the principal values of the matrix

$$[K_{ij}] = \begin{bmatrix} 4 & 0 & 0 \\ 0 & 11 & -\sqrt{3} \\ 0 & -\sqrt{3} & 9 \end{bmatrix}$$

and show that the principal axes $Ox_1^*x_2^*x_3^*$ are obtained from $Ox_1x_2x_3$ by a rotation of 60° about the x_1 axis.

- Most people got the 3 eigenvalues (4,8,12) .

There were two approaches to showing that a 60° rotation around x_1 put K_{ij} into its principal coordinates.

1. Find the eigenvectors and show that the x_2^* and x_3^* unit vectors have been rotated 60° from the initial coordinate axes.
2. Find the transformation matrix a_{ij} and then express K'_{ij} in the rotated coordinates : $K'_{ij} = a_{im}a_{jn}K_{mn}$
 - I saw a lot of incorrect transformation matrices, where the off-diagonal elements had the wrong signs, so the rotation went in the wrong direction
 - Remember that $a_{ij} = \hat{e}_i' \cdot \hat{e}_j$
 - If K'_{ij} is going to be in principal coordinates, it had better be in diagonal form, with diagonal elements 4,8,12 (!)

4 Conventions in Stress Polarity

Engineering/Mathematical convention:

Criterion 1: Positive σ_{ii} * signifies extension

Criterion 2: Order $\sigma_I > \sigma_{II} > \sigma_{III}$ (Mase & Mase)

or

$$\sigma_I < \sigma_{II} < \sigma_{III} \text{ (Stein \& Wyss)}$$

Geologic/Tectonic/Rock Mechanics convention:

Criterion 1: Positive σ_{ii} * signifies compression

(not a tensor!! Why not?)

Criterion 2: Order $\sigma_I > \sigma_{II} > \sigma_{III}$ (Twiss & Moores)

or

$$\sigma_I < \sigma_{II} < \sigma_{III} \text{ (?)}$$

* No sum implied

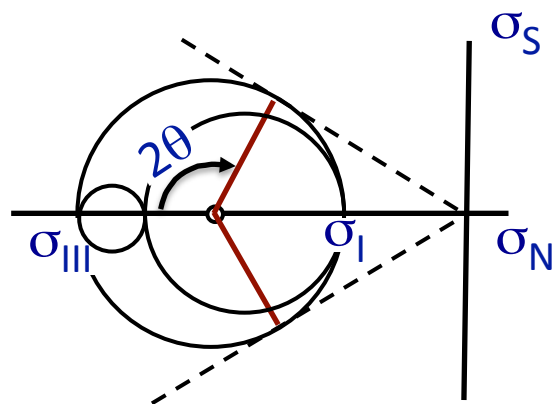
Class-prep questions for today (break-out rooms)

Greatest shear stress is on planes with normal vectors n_i at 45° to σ_{III} . But failure actually happens on planes – – – at an angle $\theta > 45^\circ$ between n_i and σ_{III}

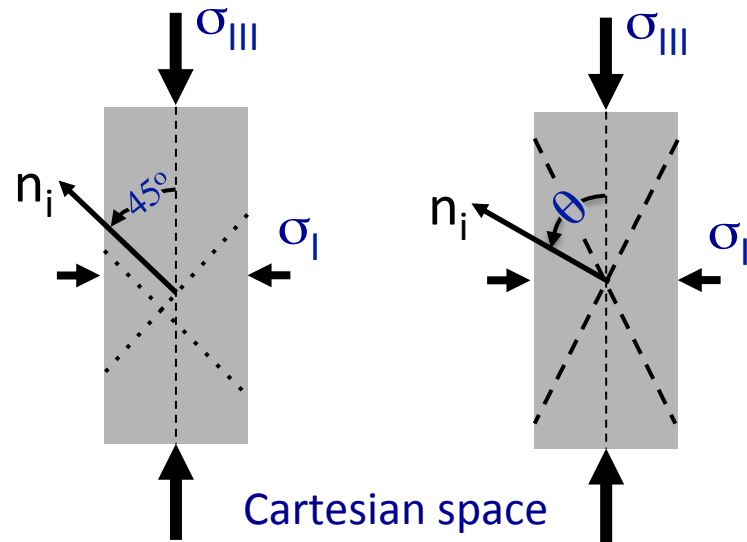
- Why is failure **not** on the plane with maximum shear stress?
Think of the role of σ_N in preventing slip.
Can you relate this to the tangent to the top of the Mohr's circle?
- All surfaces are roughs at some scale. Relate this failure angle to how one rough surface slides over another rough surface.

Failure planes – – – are defined by their normal vectors n_i .

- Why are there 2 conjugate failure planes?
- Relate this to the Mohr's circle.



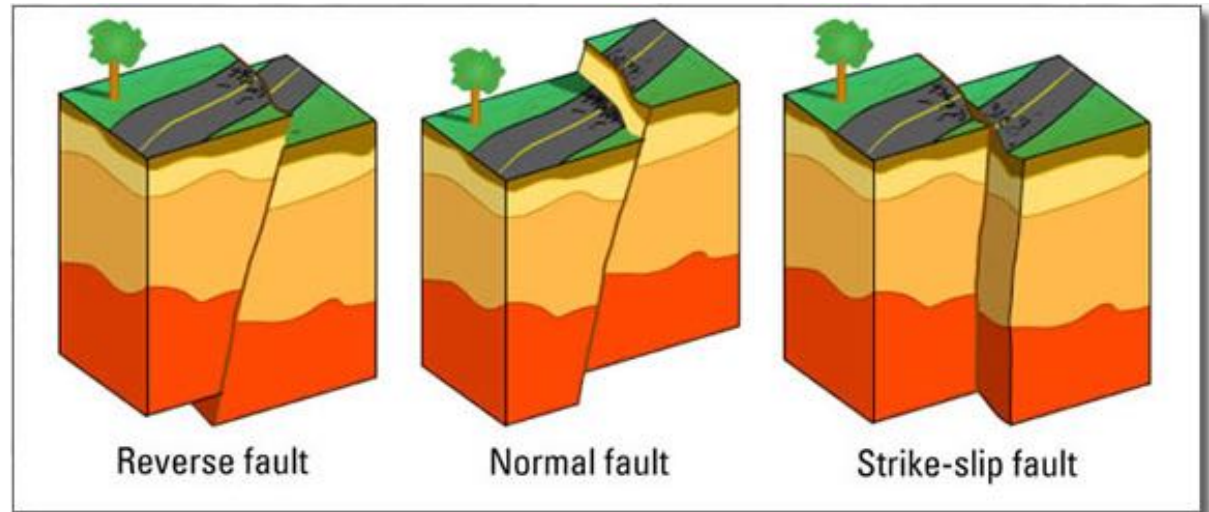
Stress space



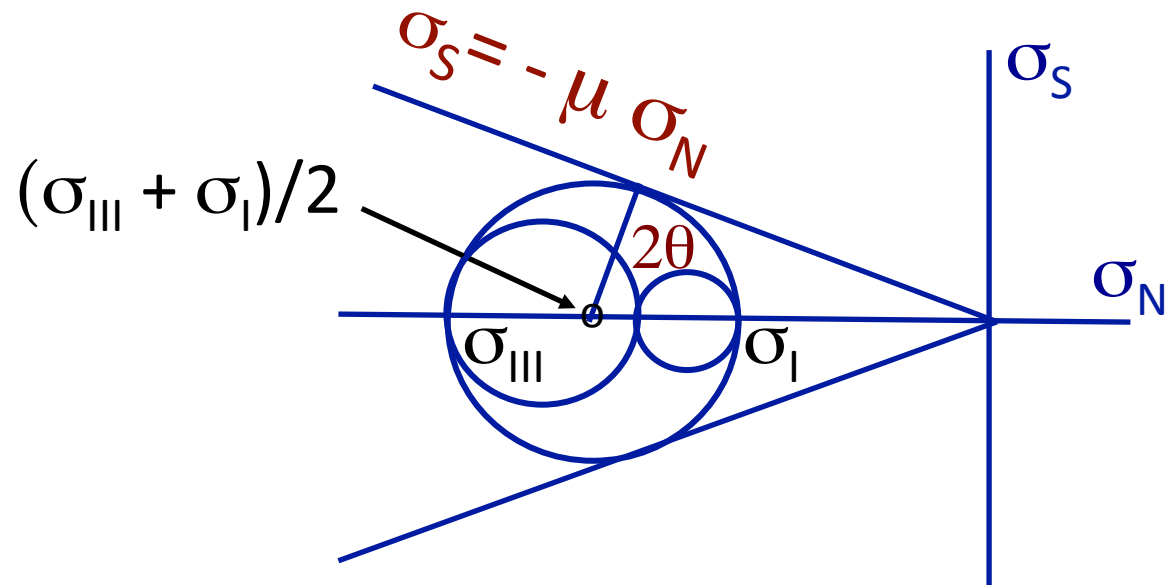
Cartesian space

Types of faults

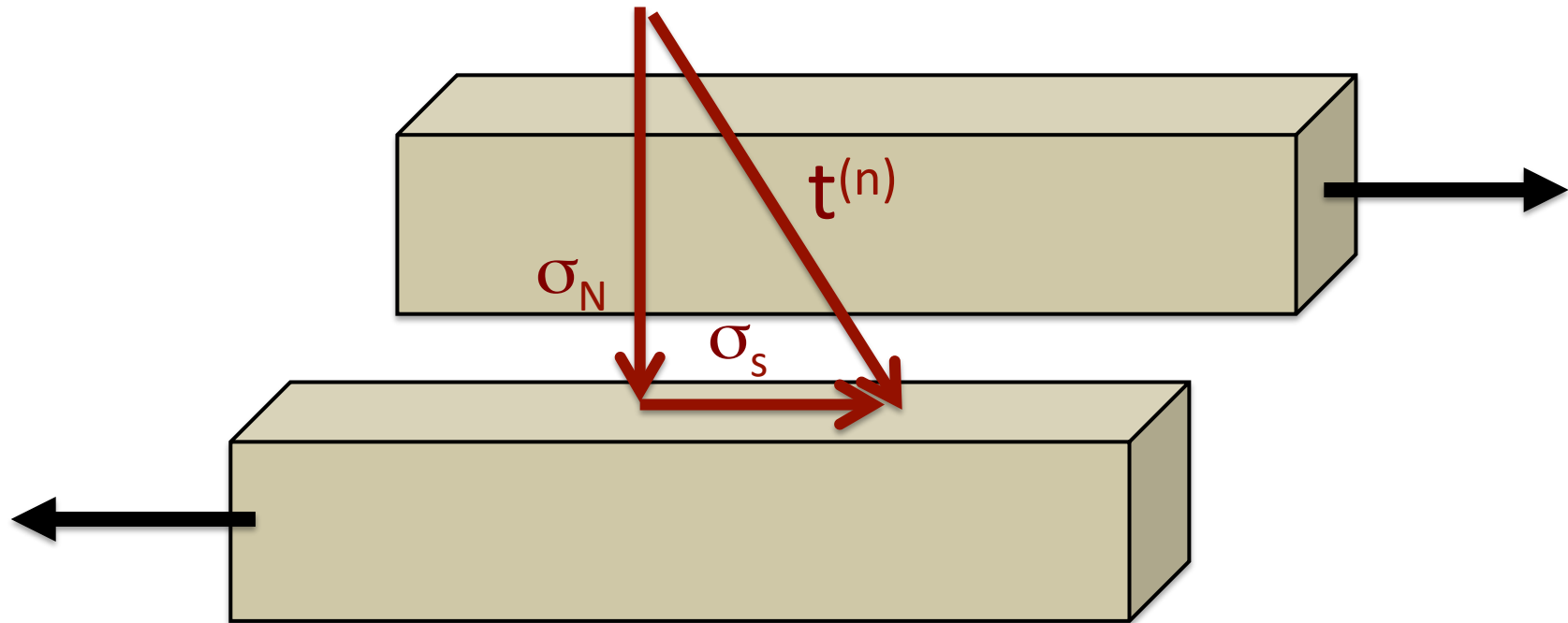
The Earth's surface is traction-free, so one of the principal directions is generally vertical



What are the orientations of the principal axes of stress \hat{e}_1^* , \hat{e}_2^* , \hat{e}_3^* in each case?

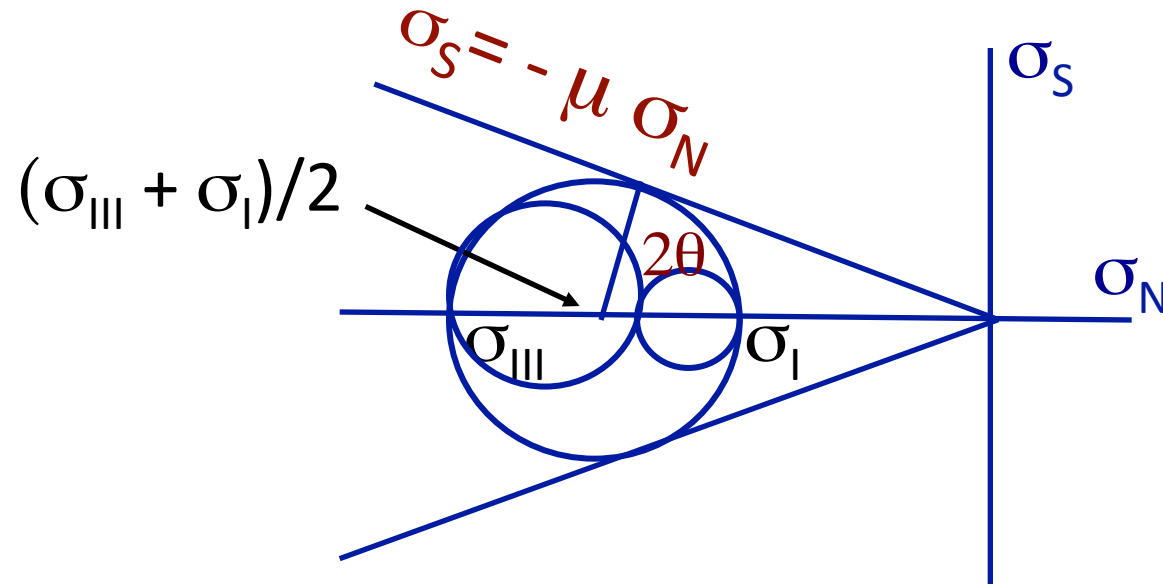


Sliding friction



$$\sigma_s = -\mu \sigma_N \quad \mu \text{ is } \textit{coefficient of friction} \text{ for sliding on a pre-existing break}$$

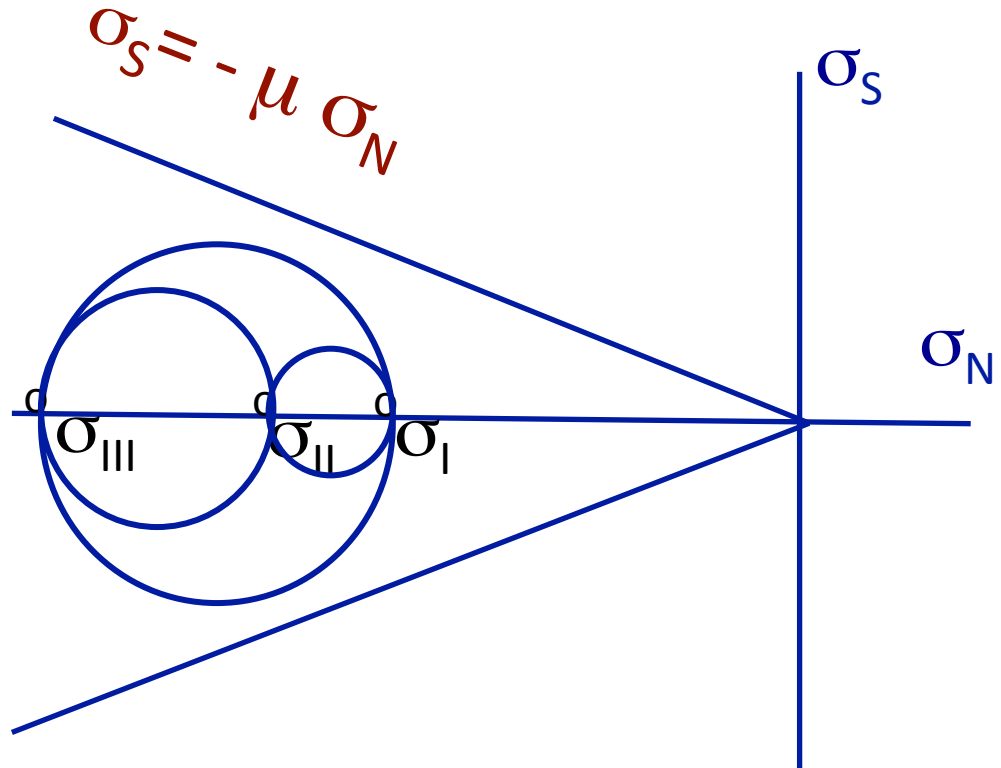
Frictional sliding



$\sigma_S = -\mu \sigma_N$ μ is **coefficient of friction** for sliding on a pre-existing break

Differential stress

$$\sigma_I - \sigma_{III}$$



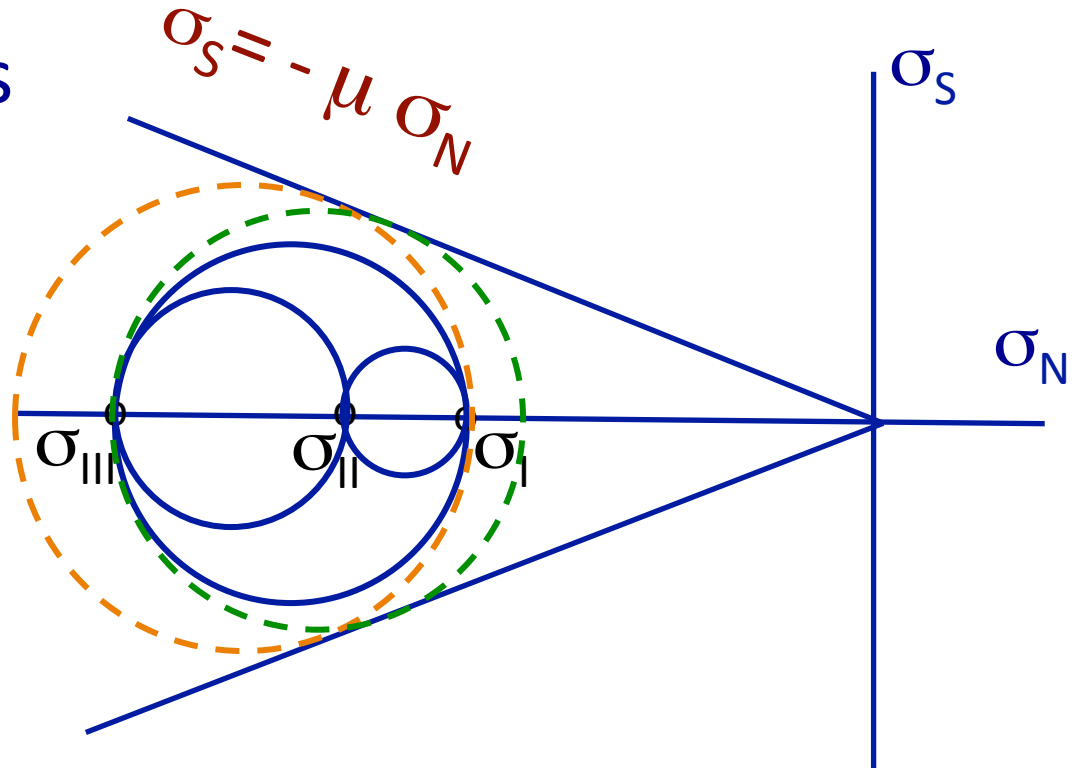
But, if $\sigma_{III} = \sigma_I$, all 3 principal stresses are equal

- What do the 3 Mohr's circle look like?
- Describe this state of stress inside the body.
- Is frictional failure possible, if differential stress is zero?

Differential stress

$$\sigma_I - \sigma_{III}$$

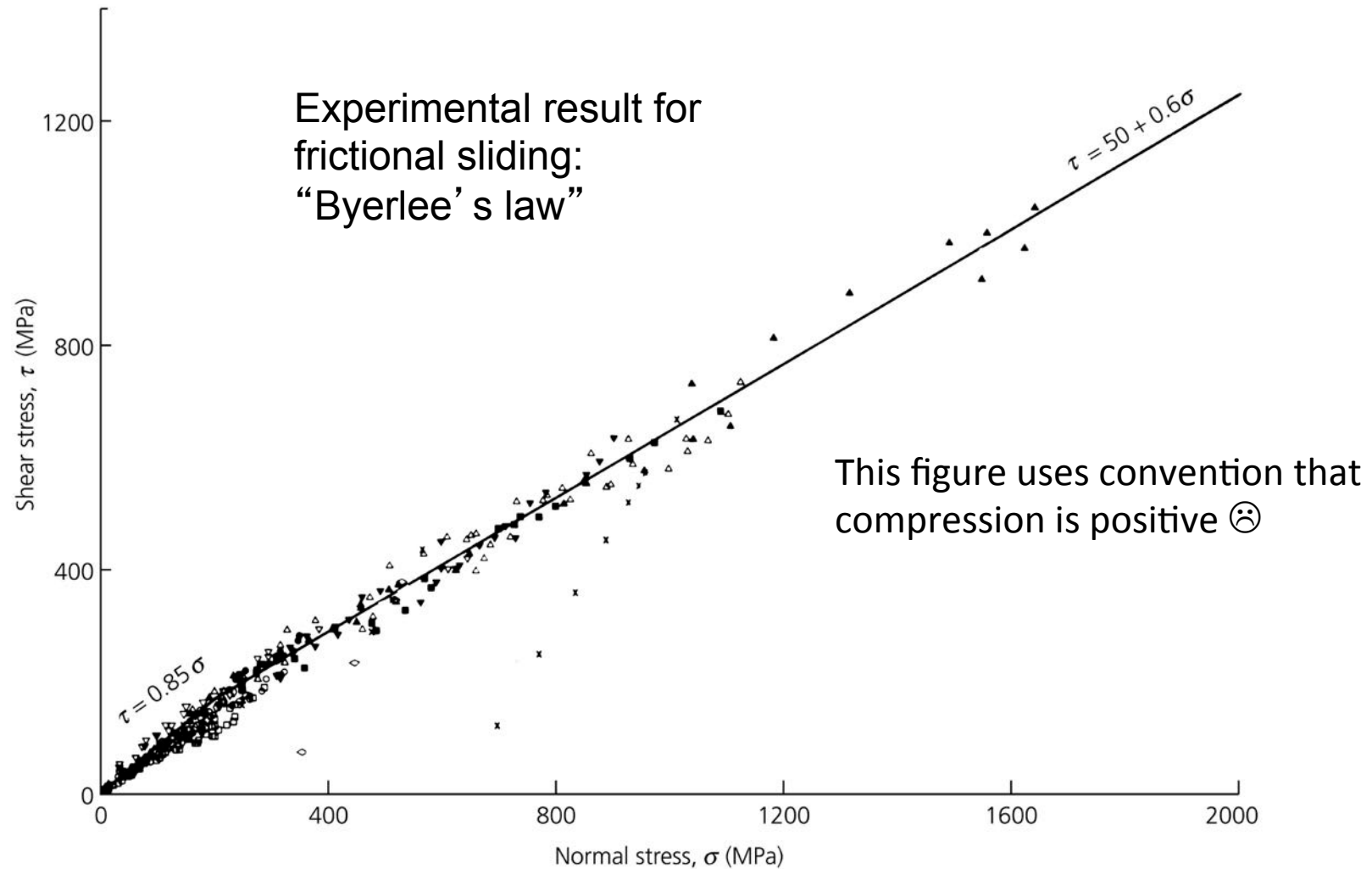
So differential stress
is essential in order
to have failure



How could we change the stress state in order to cause failure?

- Hold σ_I make σ_{III} more negative (squeeze harder in x_3)
- Hold σ_{III} , make σ_I less negative (don't squeeze as hard in x_1)

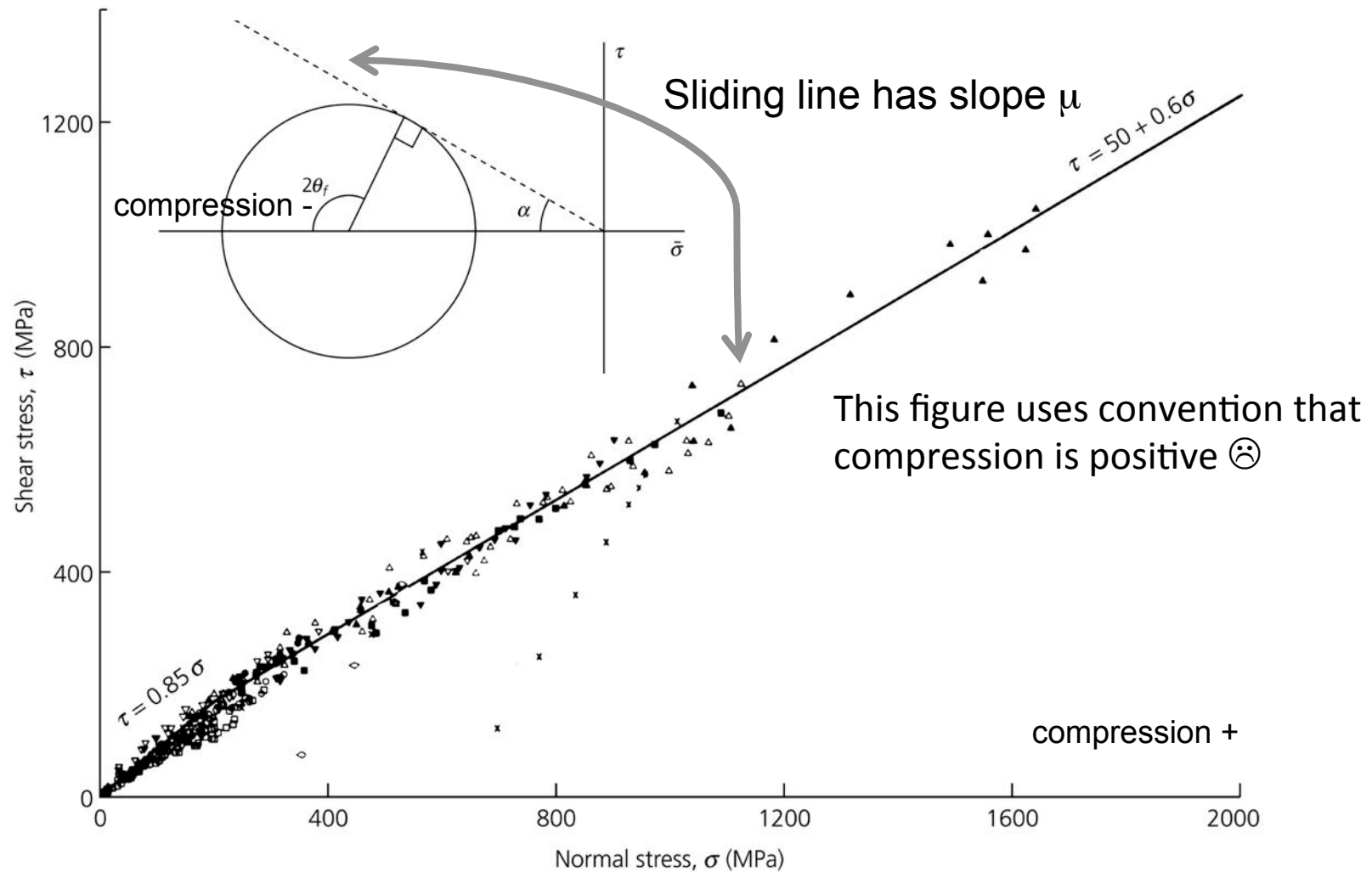
Figure 5.7-10: Relation between shear stress and normal stress for frictional sliding.



Lab experiments show a linear relation between the maximum shear stress that rocks can support at any given normal stress. This is called Byerlee's Law.

$$\begin{aligned}\tau &\approx -.85\bar{\sigma} & \bar{\sigma} < 200 \text{ MPa} \\ \tau &\approx 50 - .6\bar{\sigma} & \bar{\sigma} > 200 \text{ MPa.}\end{aligned}$$

Figure 5.7-10: Relation between shear stress and normal stress for frictional sliding.



Byerlee's law

$$\begin{aligned} \tau &\approx -.85\bar{\sigma} & \bar{\sigma} < 200 \text{ MPa} \\ \tau &\approx 50 - .6\bar{\sigma} & \bar{\sigma} > 200 \text{ MPa.} \end{aligned}$$

Two regions

Coulomb stress

- Notion of friction:
 - More shear stress τ needed to overcome increase in normal stress σ and cause fault to slip – Byerlee's law is an example
- Coulomb stress
 - $\sigma_s = \tau - \mu (\sigma_N - p)$
 - where μ is intrinsic coefficient of friction, p is pore pressure (**not** the mean stress $p = -\sigma_{ij}/3$, need to be careful of context)
- Basis is that real area of contact (much smaller than apparent area) is controlled by normal stress
 - deformation of asperities in response to normal stress
 - harder to over-ride asperities at higher normal stress

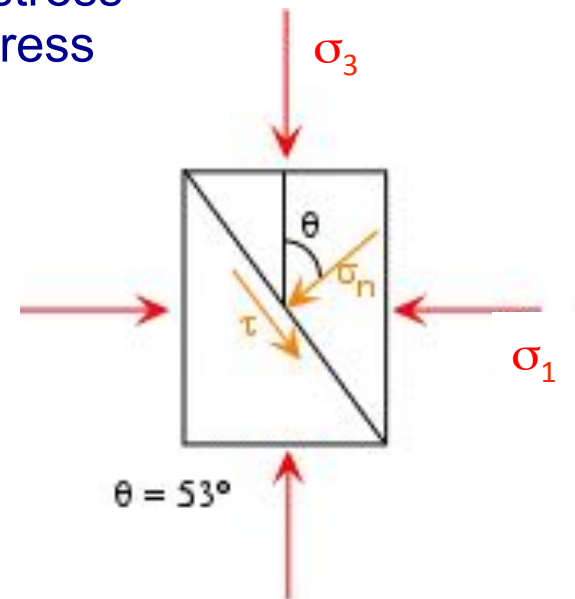
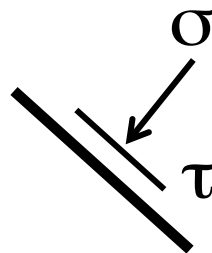
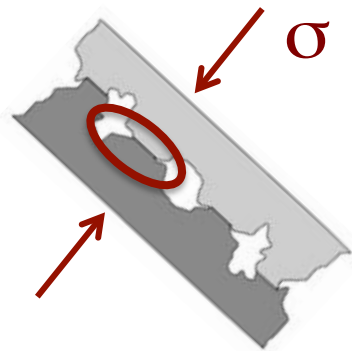
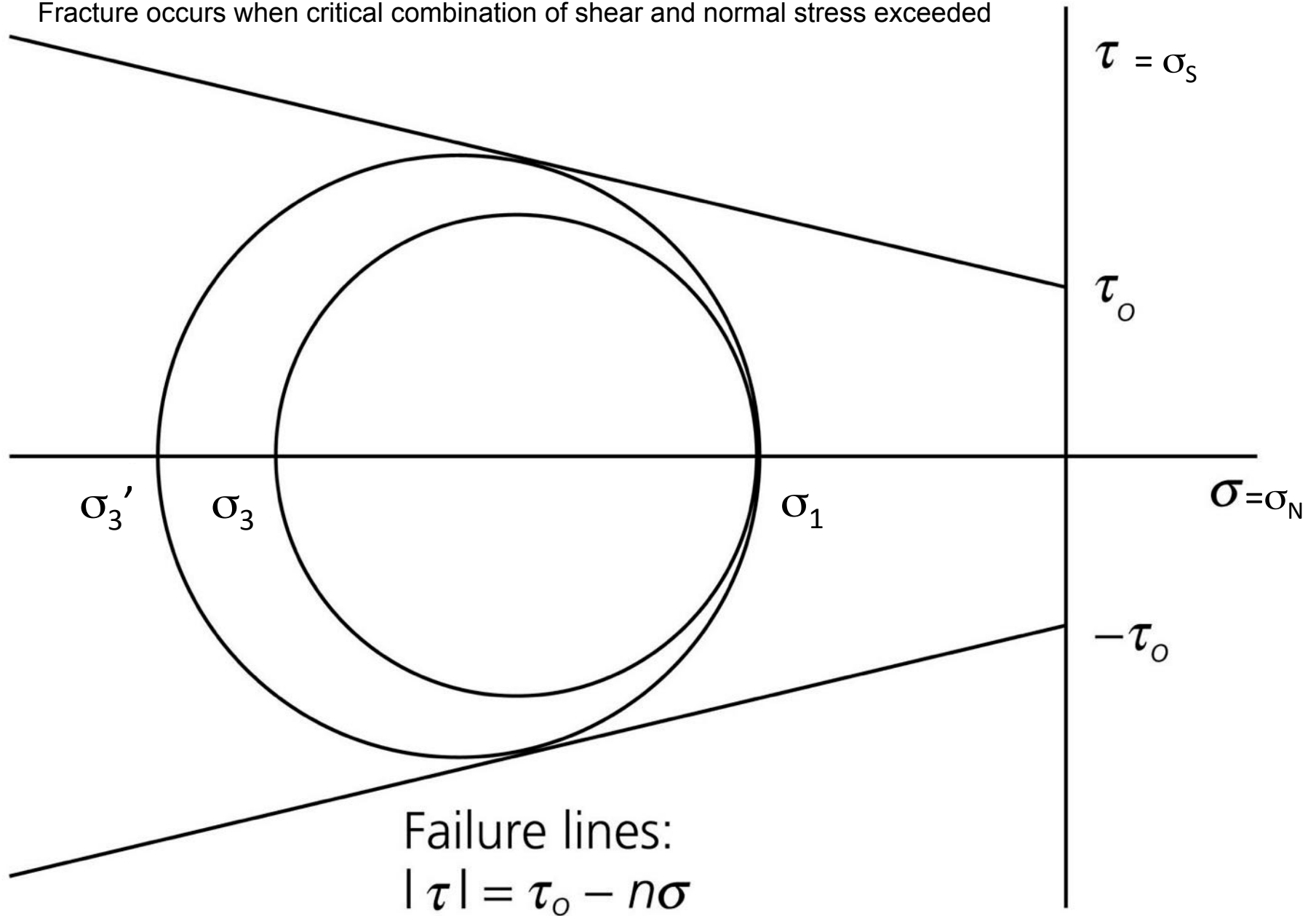
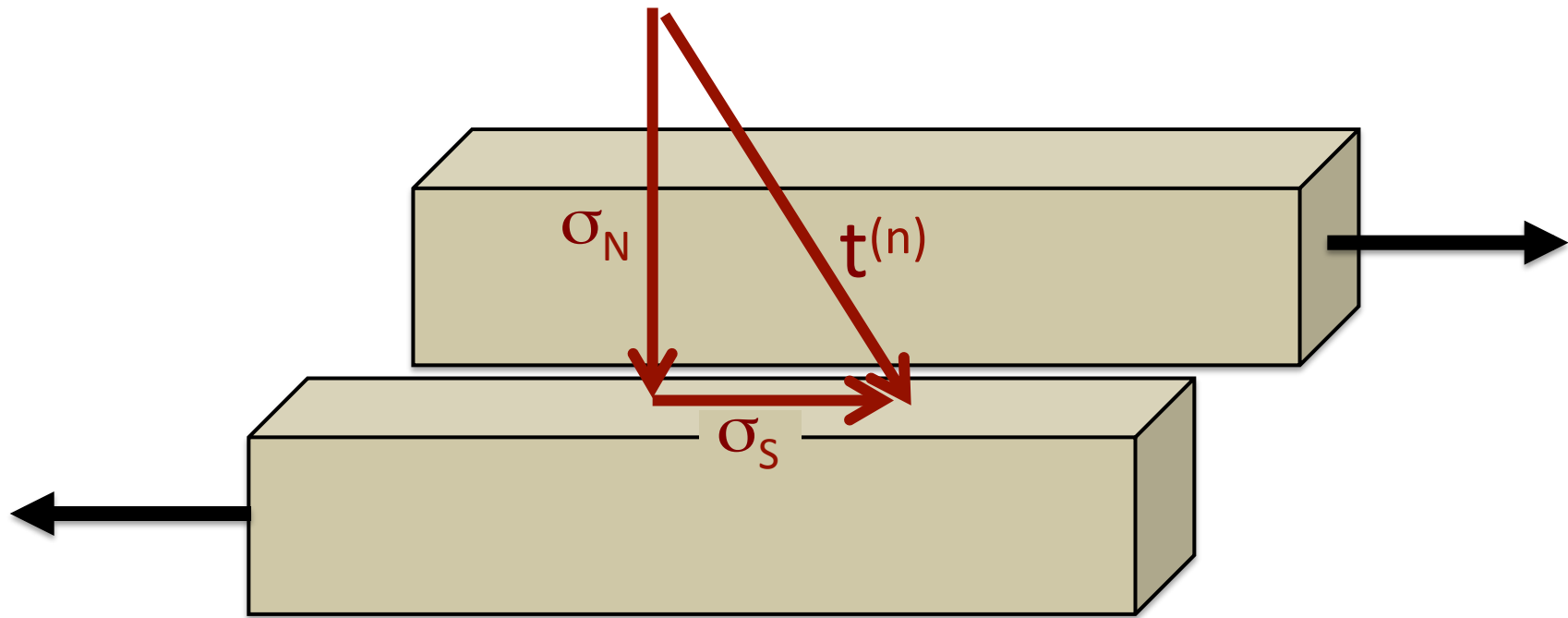


Figure 5.7-6: Definition of the Coulomb-Mohr failure criterion.

Fracture occurs when critical combination of shear and normal stress exceeded



Mohr-Coulomb Fracture

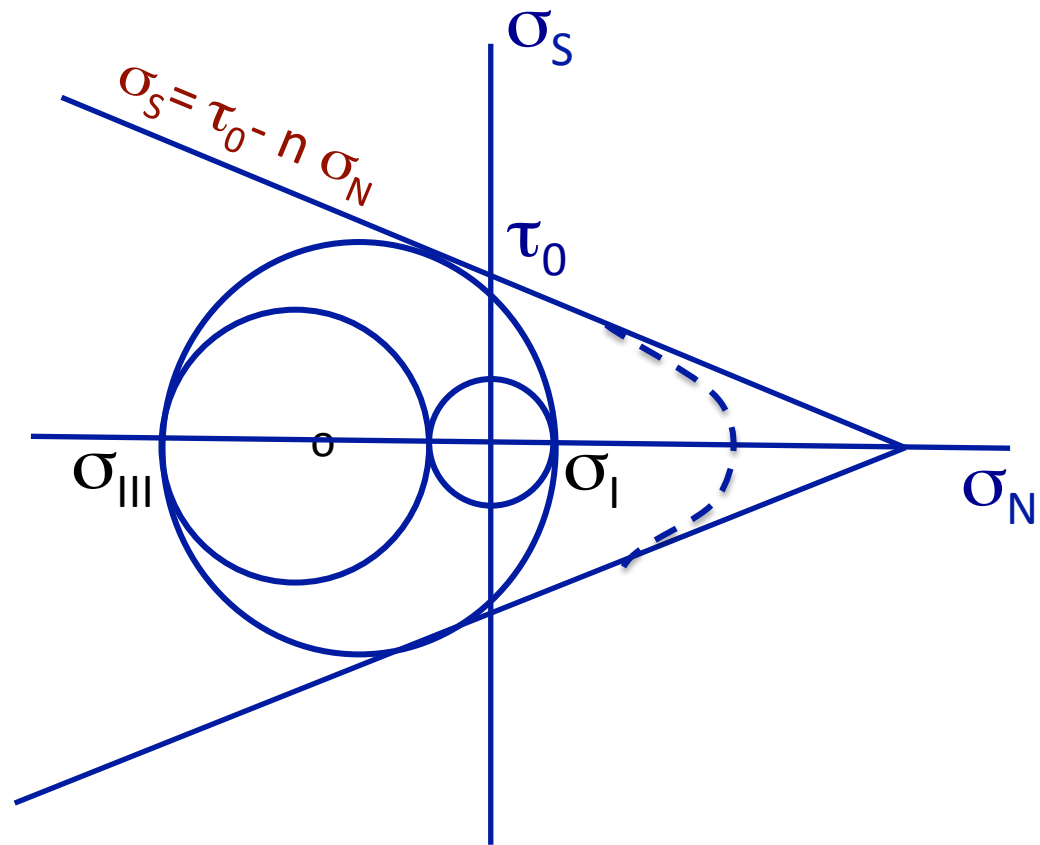


$\sigma_s = \tau_0 - \eta \sigma_N$ η is **coefficient of internal friction** for fracture on a new fault surface

τ_0 is cohesion of the material in absence of any confining stress σ_N

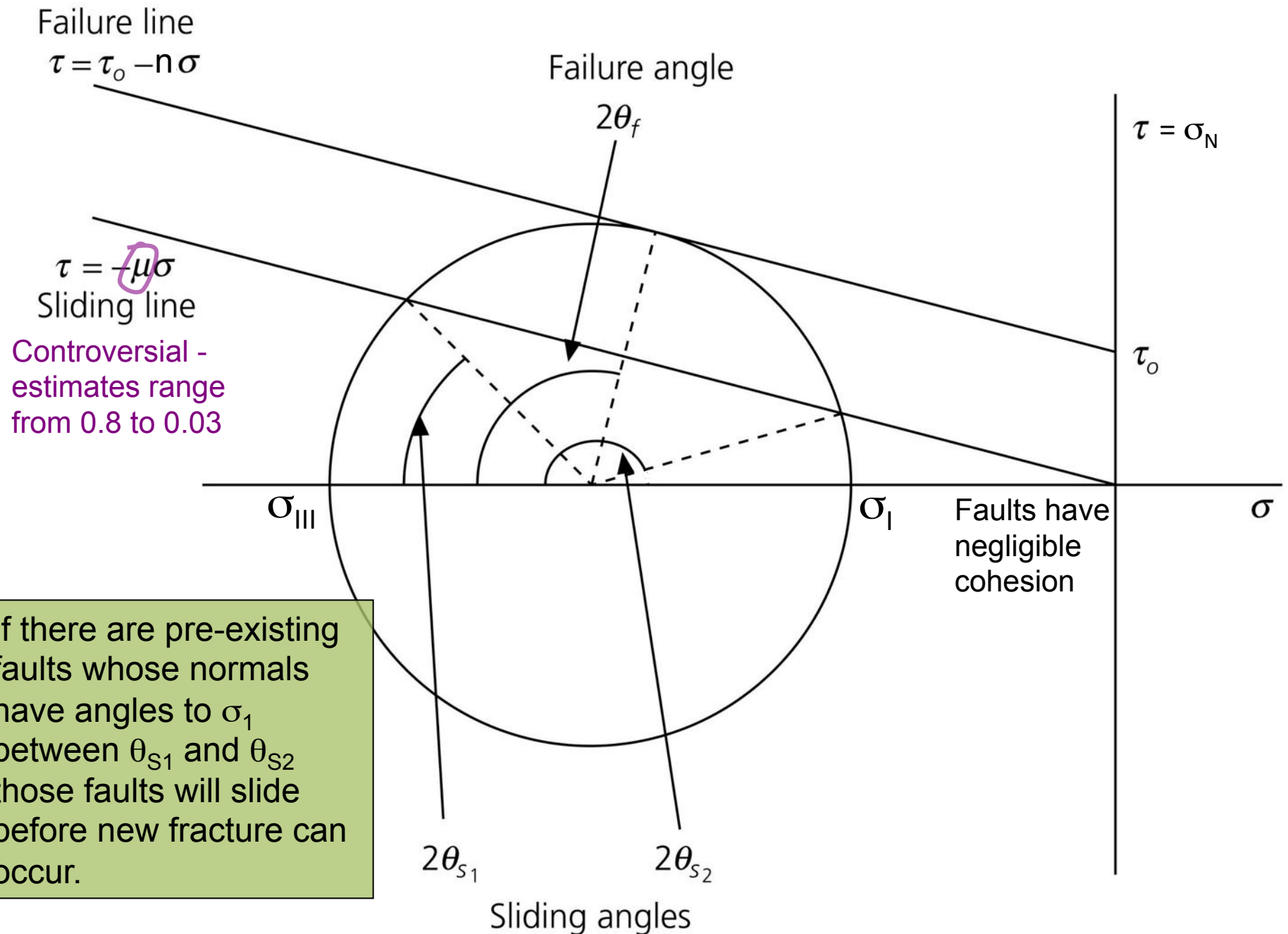
Mohr-Coulomb Fracture

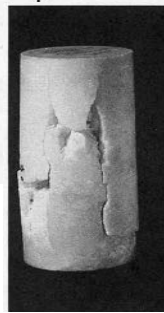
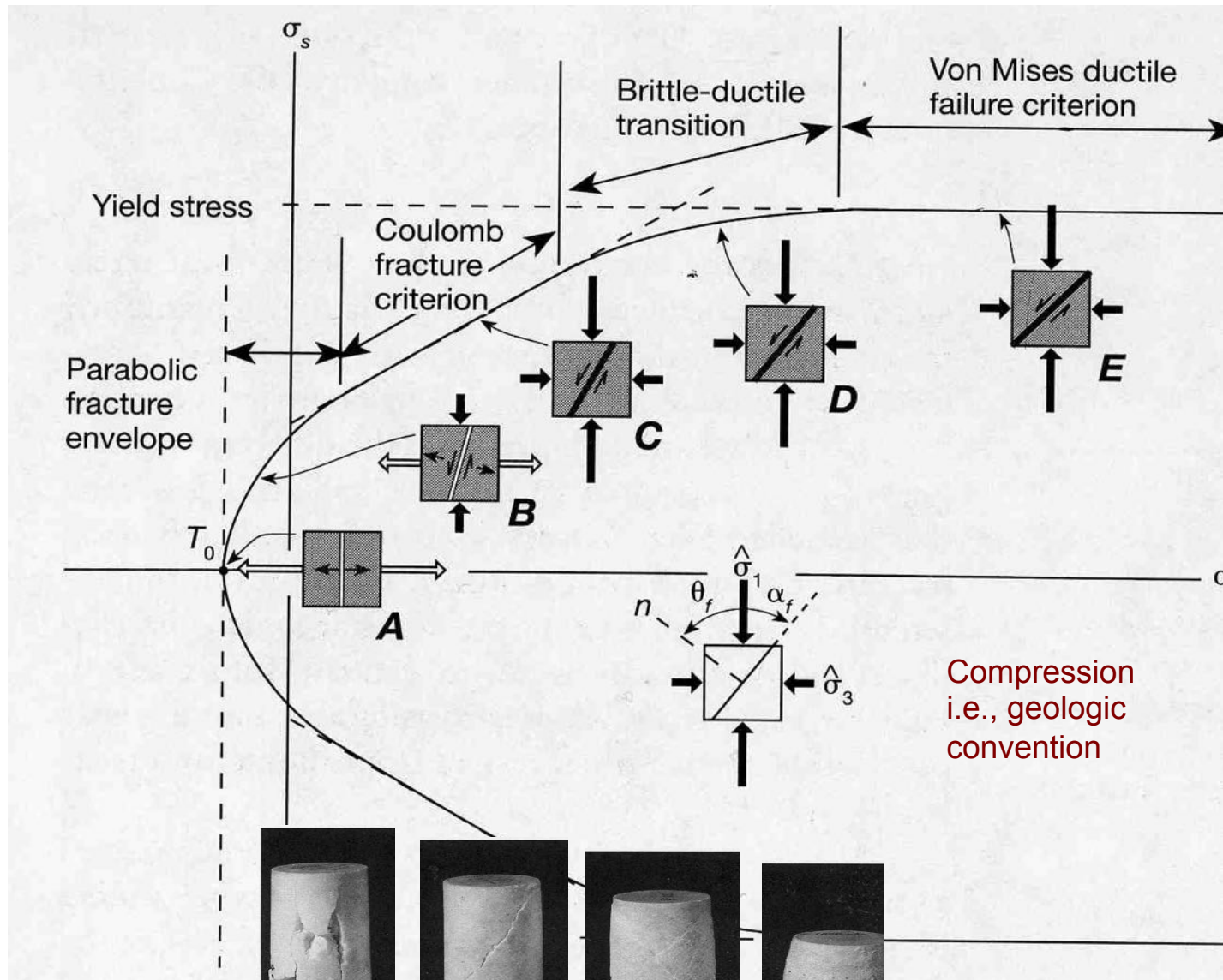
Now we are actually
breaking rock ...



$\sigma_S = \tau_0 - \eta \sigma_N$ η is **coefficient of internal friction** for fracture on a new fault surface
 τ_0 is cohesion of the material in absence of any confining stress σ_N

Figure 5.7-9: Mohr's circle for sliding on preexisting faults.

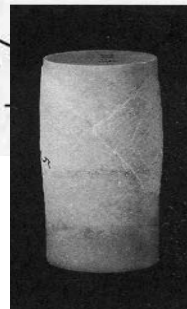




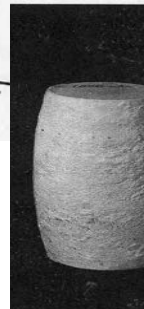
A



B



C



D