

## ESS 411/511 Geophysical Continuum Mechanics Class #12

Highlights from Class #11 – Jason Ott

Today's highlights on Wednesday – Yiyu Ni

For Wednesday class

- Please read Mase, Smelser, and Mase, Ch 3 through Section 3.11

## ESS 411/511 Geophysical Continuum Mechanics

### Broad Outline for the Quarter

- Continuum mechanics in 1-D
- 1-D models with springs, dashpots, sliding blocks
- Attenuation
- Mathematical tools – vectors, tensors, coordinate changes
- Stress – principal values, **Mohr's circles for 3-D stress**
- Coulomb failure, pore pressure, crustal strength
- Measuring stress in the Earth
- Strain – Finite strain; infinitesimal strains
- Moments – lithosphere bending; Earthquake moment magnitude
- Conservation laws
- Constitutive relations for elastic and viscous materials
- Elastic waves; kinematic waves

## Class-prep questions for today (break-outs)

### **Traction vectors on principal planes, Mohr's circles**

The traction vector  $t_j(n)$  expresses the force per unit area of the plane defined by  $n_i$ . Section 3.7 explains how to resolve the traction vector into its components  $\sigma_N$  and  $\sigma_S$  normal and parallel to the plane respectively, and shows how to find the maximum and minimum values of  $\sigma_N$  and  $\sigma_S$ .

With respect to faults at km scales, or layered rocks such as shales at the meter describe how you think this might be useful.

Section 3.8 explains how to represent the state of stress at a point with Mohr's circles.

How do you think the Mohr's circle representation of stress  $t_{ij}$  in terms of  $\sigma_N$  and  $\sigma_S$  might give potentially more insight than just the stress tensor  $t_{ij}$  itself?

## Class-prep questions for Wednesday (break-outs)

### Mohr's circles

Why are Mohr's circles actually *circles* in stress space, and not some other shape, such as general ellipses for example?

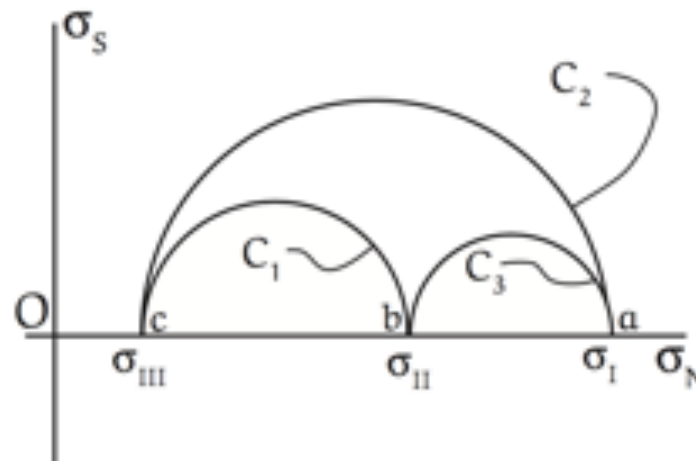
For a given stress tensor  $t_{ij}$  in stress space, with principal stresses

$$\sigma_I > \sigma_{II} > \sigma_{III}$$

the normal and shear components of  $t_{ij}$ ,  $\sigma_N$  and  $\sigma_S$  for all possible planes with normal vectors  $n_i$  plot in a restricted areas in stress space, and all other areas are “out of bounds”.

In which area do *all* the possible stress states  $(\sigma_N, \sigma_S)$  plot in the stress-space diagram?

Why do Mohr's circles always seem to stop at  $\sigma_S = 0$ ? Is a negative shear component impossible?



## Reminder - Definition of a tensor

In any rectangular coordinate system, a tensor is defined by 9 components that transform according to the rule

$$R'_{ij\dots k} = a_{iq}a_{jm}\cdots a_{kn}R_{qm\dots n}$$

and where the basis vectors are related by

$$\hat{e}'_i = a_{ij}\hat{e}_j \quad \text{or} \quad a_{ij} = \hat{e}'_i \cdot \hat{e}_j$$

In Section 3.3 Equations (3.19) -(3.22) MSM show that stress transforms into new coordinate systems following this rule, and so stress is a tensor.

# Notation

Principal stresses  $\sigma_I > \sigma_{II} > \sigma_{III}$

$$[t_{ij}^*] = \begin{bmatrix} \sigma_{(1)} & 0 & 0 \\ 0 & \sigma_{(2)} & 0 \\ 0 & 0 & \sigma_{(3)} \end{bmatrix} \quad \text{or} \quad [t_{ij}^*] = \begin{bmatrix} \sigma_I & 0 & 0 \\ 0 & \sigma_{II} & 0 \\ 0 & 0 & \sigma_{III} \end{bmatrix}$$

Conventions:

- Principal stresses are numbered from largest (most positive) to smallest.
- Compressive stresses are negative (If compression is treated as positive, then stress is not a tensor according to the definition on previous slide.)
- Other conventions are also used in other texts and in research literature, but this convention is most versatile and correct in all situations.

## Reminder – Symmetry of stress tensor

As discussed in Class 11 on Friday, the stress tensor is symmetric because the moment on an infinitesimal surface element  $dS$  must go to zero.

The text derives this more rigorously in Section 3.4.

The message to remember is that for stress -

$$t_{ij} = t_{ji}$$

The stress tensor can be reflected across its diagonal without changing.

# Notation

## Scalar Invariants of the stress tensor

These are the coefficients in the cubic characteristic equation when solving for the eigenvalues

$$|t_{ij} - \lambda \delta_{ij}| = 0$$

$$\lambda^3 - I_{\mathbf{T}}\lambda^2 + II_{\mathbf{T}}\lambda - III_{\mathbf{T}} = 0$$

Trace	$I_{\mathbf{T}} = t_{ii} = \text{tr } \mathbf{T} ,$
Second invariant	$II_{\mathbf{T}} = \frac{1}{2} [t_{ii}t_{jj} - t_{ij}t_{ij}] = \frac{1}{2} [(\text{tr } \mathbf{T})^2 - \text{tr } \mathbf{T}^2]$
Determinant	$III_{\mathbf{T}} = \varepsilon_{ijk}t_{1i}t_{2j}t_{3k} = \det \mathbf{T} .$



## Section 3.7 – Normal and shear traction on a plane

On any of the infinite number of plane elements  $\Delta S$  at P, the traction vector  $\mathbf{t}_i^{(\hat{n})}$  can be resolved into components  $\sigma_N$  normal to the plane, and  $\sigma_S$  in the plane.

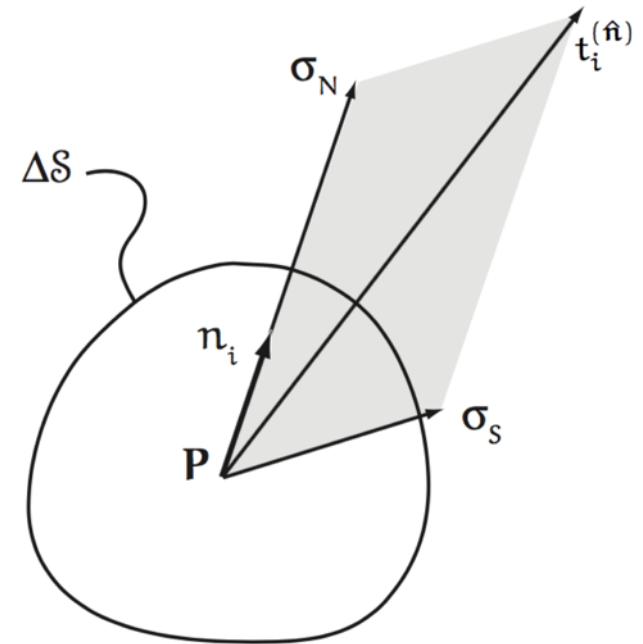
( $\sigma_N$  and  $\sigma_S$  are just scalar magnitudes.)

Take the dot product to find  $\sigma_N$  as the projection of  $\mathbf{t}_i^{(\hat{n})}$  onto  $\mathbf{n}_i$ .

$$\sigma_N = \mathbf{t}_i^{(\hat{n})} \cdot \mathbf{n}_i = t_{ij} n_j n_i$$

Then use the Pythagorean theorem to find  $\sigma_S$

$$\sigma_S^2 = \mathbf{t}_i^{(\hat{n})} \cdot \mathbf{t}_i^{(\hat{n})} - \sigma_N^2$$



## Section 3.7 – Minimum and maximum stress values

What are the largest and smallest values that  $\sigma_N$  and  $\sigma_s$  can take at P when considering all possible planes through P?

Take the dot product to find  $\sigma_N$

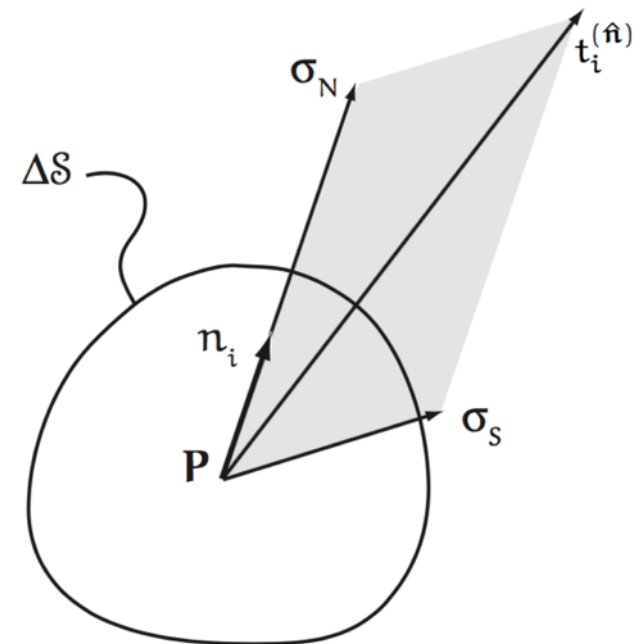
$$\sigma_N = t_i^{(\hat{n})} n_i = t_{ij} n_j n_i$$

Then use the Pythagorean theorem to find  $\sigma_s$

$$\sigma_s^2 = t_i^{(\hat{n})} t_i^{(\hat{n})} - \sigma_N^2$$

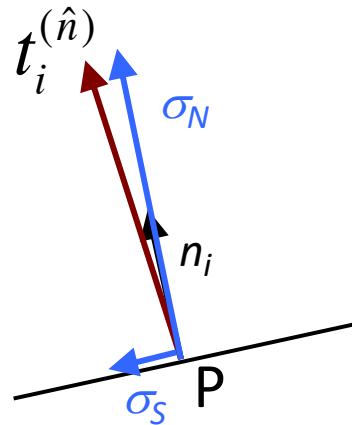
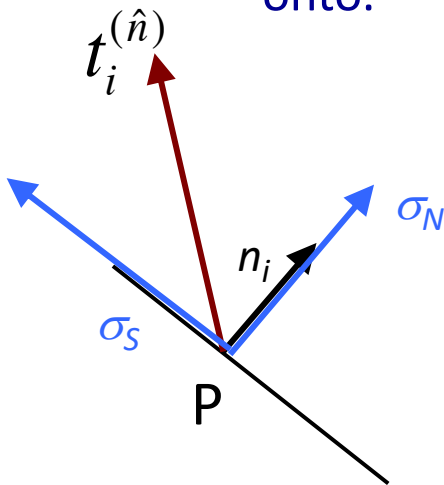
Then find the directions  $n_i$  where  $\sigma_N$  and  $\sigma_s$  have extrema

$$\frac{\partial \sigma_N}{\partial n_i} = 0 \quad \text{for } i=1,2,3 \qquad \frac{\partial \sigma_s}{\partial n_i} = 0 \quad \text{for } i=1,2,3$$



## Section 3.7 – Minimum and maximum stress values

$\sigma_N$  and  $\sigma_S$  can vary dramatically depending on which plane the traction vector  $t_i^{(\hat{n})}$  is resolved onto.



# Cartesian Space vs Stress Space

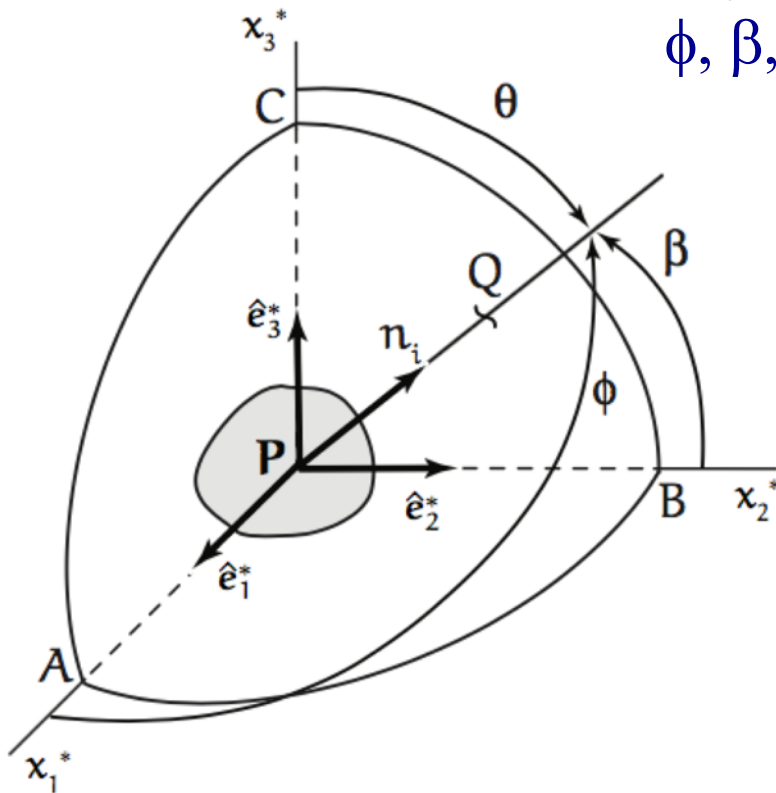
$\hat{e}_i^*$  are principal directions defining principal planes at **P**.

Lower-case letters in stress space correspond to upper-case letters in Cartesian space.

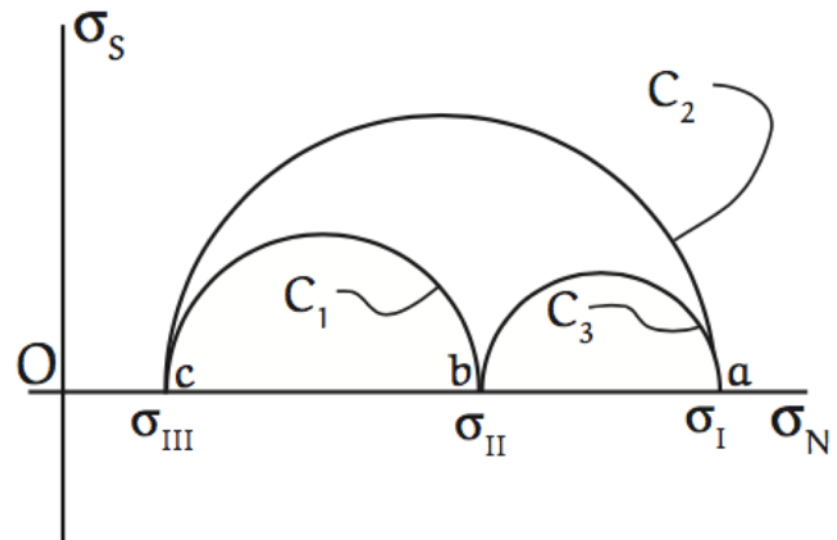
End point **Q** of unit vector  $n_i$  can fall anywhere on unit sphere centered at **P**.

$\phi, \beta, \theta$  relate **Q** to coordinate axes.

The 3 circles correspond to **Q** lying in a principal plane.



(a) Octant of small spherical portion of body together with plane at **P** with normal  $n_i$  referred to principal axes  $Ox_1^*x_2^*x_3^*$ .



(b) Mohr's stress semicircle for octant of Fig. 3.14(a).

# Cartesian Space vs Stress Space

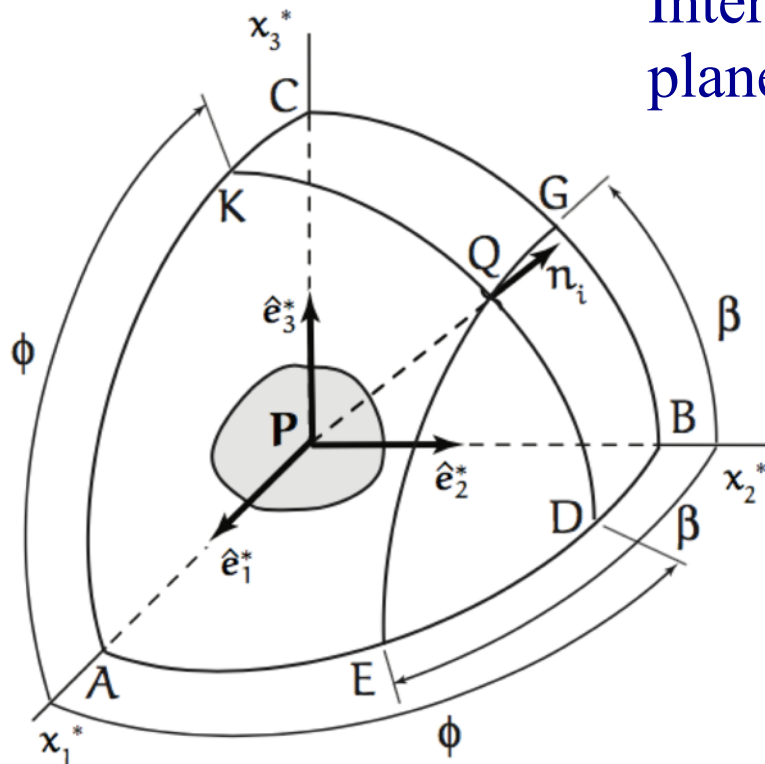
$\hat{e}_i^*$  are principal directions defining principal planes at **P**.

Small circles in Cartesian space (e.g. EQG) map onto circles (e.g. eqg) concentric with primary Mohr's circles (e.g. akc).

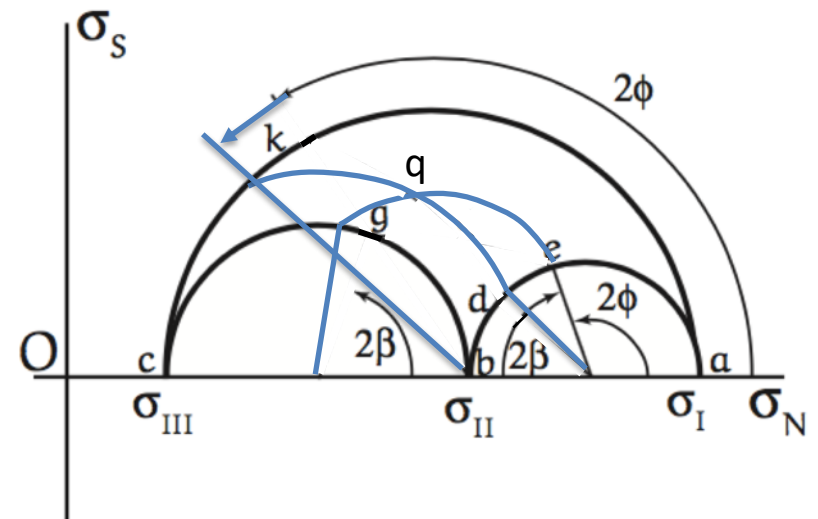
Similarly, KQD maps onto kqd.

Intersection at **q** shows  $\sigma_N$  and  $\sigma_s$  on plane defined by normal vector  $n_i$  at **Q**.

(I have attempted to (sort of) correct the stress-plane figure below. ☺)

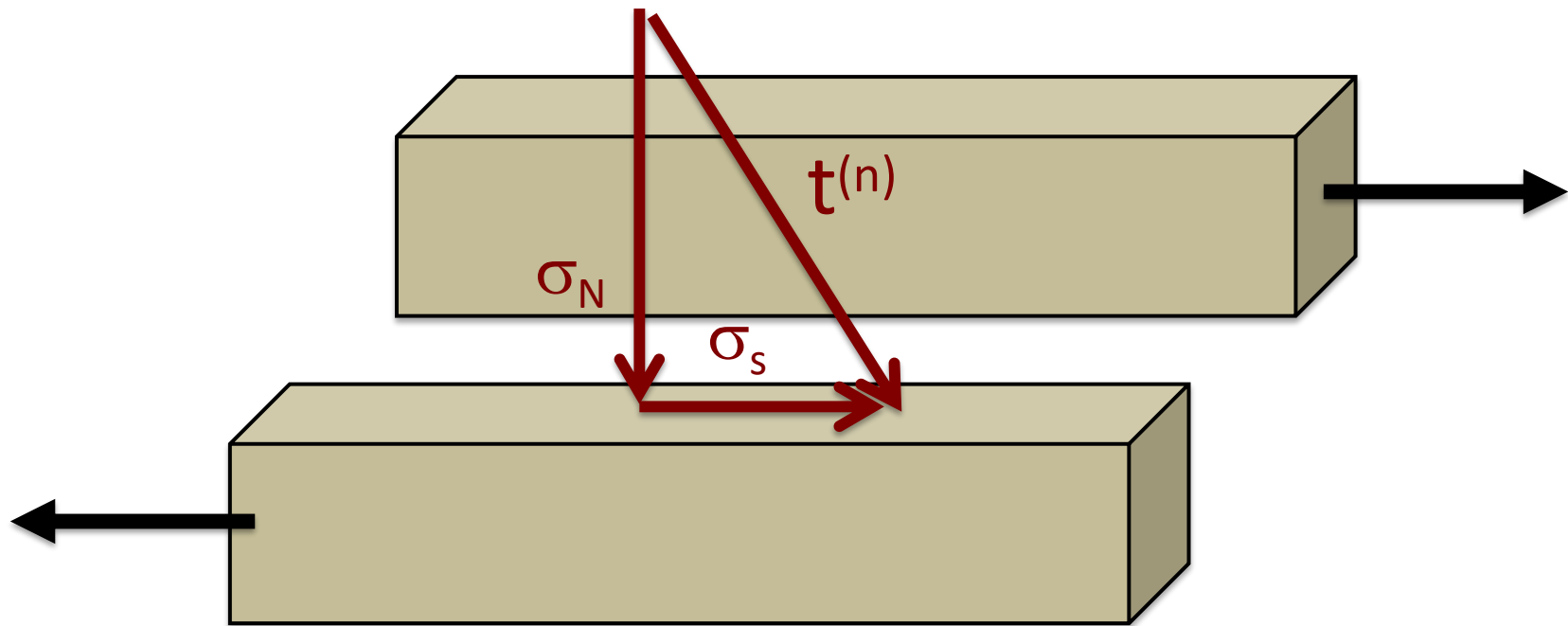


(a) Reference angles  $\phi$  and  $\beta$  for intersection point **Q** on surface of body octant.



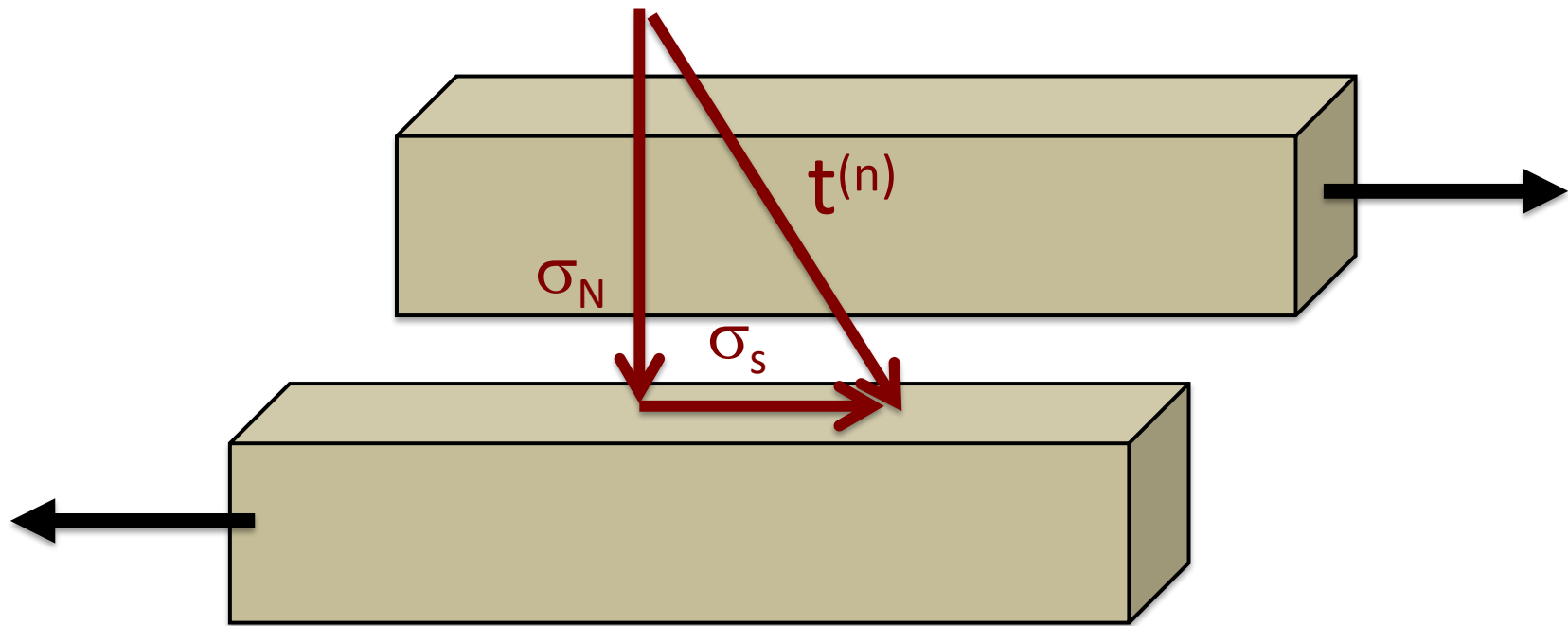
(b) Mohr's stress semicircle for octant of Fig. 3.15(a).

## Sliding friction



$$\sigma_s = -\mu \sigma_N \quad \mu \text{ is } \textit{coefficient of friction} \text{ for sliding on a pre-existing break}$$

## Mohr-Coulomb Fracture



$\sigma_s = -n \sigma_N$   $n$  is ***cohesion***, or internal friction  
opposing rupture in unbroken  
material