#### **DEEP LEARNING**

#### **CASE STUDY 1**

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# Design a Convolutional Neural Network to classify the digits in the MNIST digit dataset and evaluate its performance using different metrics.

A Convolutional Neural Network (CNN) stands as a pivotal model in the realm of deep learning, garnering significant acclaim for its effectiveness, especially in image-related tasks.

Its rise in popularity can be attributed to its capacity to leverage multilayer perceptrons for intricate computational tasks.

Unlike traditional image classification algorithms, CNNs require minimal pre-processing, a distinguishing feature that sets them apart.

Instead of relying on manually engineered features, CNNs learn directly from the data through filters.

This self-learning capability allows CNNs to automatically identify and extract hierarchical features, making them highly suited for image processing tasks.

In recent years, the prominence of CNNs has grown as they demonstrate superior performance in various applications.

Their ability to learn hierarchical representations directly from raw pixel data makes them well-suited for image classification, object detection, and other computer vision tasks.

This is particularly evident in scenarios where hand-engineering features might be challenging or impractical.

One of the notable applications of CNNs is on the MNIST dataset, a collection of 28×28 grayscale images depicting hand-written digits from 0 to 9.

With a training set of 60,000 examples and a test set of 10,000 examples, MNIST serves as a standard benchmark for demonstrating the capabilities of CNNs in image classification.

The network learns intricate patterns through filters, eliminating the need for manually engineered features, making CNNs a well-suited choice for image-related tasks.

## **Designing the Architecture for a Convolutional Neural Network (CNN):**

- Input Layer: The initial layer of the CNN is responsible for receiving grayscale images with dimensions of 28x28 pixels. In the context of MNIST, each pixel denotes the intensity of a digit.
- 2. Convolutional Layers: Serving as the fundamental components, convolutional layers play a pivotal role in a CNN. These layers specialize in learning local patterns and features within the input images. Each convolutional layer employs filters (or kernels) that systematically slide across the input image, conducting convolutions to generate feature maps. Activation functions, such as Rectified Linear Unit (ReLU), are commonly applied post-convolution to introduce non-linearities.
- 3. **Pooling Layers**: Typically, MaxPooling layers are implemented after convolutional layers to reduce the spatial dimensions of the generated feature maps. Pooling facilitates the retention of crucial information while concurrently diminishing computational complexity.
- 4. **Flatten Layer**: Following the convolutional and pooling layers, the Flatten layer serves to transform the 2D feature maps into a 1D vector. This transformation is imperative as it readies the data for subsequent fully connected layers.
- 5. **Fully Connected Layers**: Positioned after flattening, dense (fully connected) layers are employed to establish connections among all the features extracted from prior layers. These layers are adept at discerning global patterns and relationships present in the feature maps.
- 6. **Output Layer**: The concluding layer, the output layer, is composed of nodes equivalent to the number of classes—in the case of MNIST, 10 for digits ranging from 0 to 9. Typically leveraging the softmax activation function, the output layer converts raw scores into class probabilities, facilitating the classification of the input images.

# **Model Compilation and Training:**

- Loss Function Selection: The choice of a loss function holds significant importance, particularly
  in multi-class classification tasks such as MNIST.
  - Categorical cross entropy is a widely adopted loss function for its effectiveness in quantifying the disparity between predicted and actual class probabilities.
- 2. Optimizer Application: Optimizers, such as Adam or Stochastic Gradient Descent (SGD), play a crucial role in the training process. Their primary function is to iteratively adjust the weights of the neural network to minimize the chosen loss function. This iterative adjustment aids in the convergence of the model during training.
- 3. Metrics for Evaluation: Beyond accuracy, a comprehensive model evaluation involves the consideration of additional metrics such as precision, recall, and F1-score. These metrics provide a more nuanced understanding of the model's performance, going beyond a simple accuracy measure.
- 4. Training Procedure: The model undergoes training on the designated training set, and this involves the iterative adjustment of weights through techniques like back propagation and gradient descent. To prevent over fitting, common training practices include the implementation of dropout for regularization and data augmentation. Dropout helps in preventing the model from relying too heavily on specific neurons, while data augmentation introduces variability into the training data, enhancing the model's ability to generalize to unseen examples. This holistic training approach ensures that the model learns robust representations from the training data.

#### **Evaluation**:

1. **Testing Process**: The assessment of the model's performance occurs on an independent test set that was not part of the training data. This distinct dataset allows for an unbiased evaluation of the model's ability to generalize to new, unseen instances.

#### 2. Performance Metrics:

- <u>Accuracy</u>: This metric signifies the proportion of correctly predicted instances out of the total instances in the test set.
- <u>Precision</u>: Precision denotes the ratio of accurately predicted positive observations to the total instances predicted as positive. It emphasizes the model's ability to avoid false positives.
- <u>Recall</u>: Recall represents the ratio of correctly predicted positive observations to the total actual positive instances. It highlights the model's capacity to capture all relevant positives.
- <u>F1-Score</u>: The F1-Score is a weighted average of precision and recall, providing a balanced measure that considers both false positives and false negatives. This metric is particularly useful when seeking a trade-off between precision and recall.

### **Confusion Matrix:**

A confusion matrix provides a detailed breakdown of model performance by presenting the counts of True Positives, True Negatives, False Positives, and False Negatives. This matrix is instrumental in gaining insights into the accuracy and error rates of a classification model.

#### **Visualization:**

The visualization of sample predictions and misclassifications serves as a valuable tool for comprehending the model's performance on individual instances. By inspecting these visual representations, one can gain a nuanced understanding of how well the model distinguishes between different classes and identify areas where improvements or adjustments may be necessary.

# **Technologies Used:**

- TensorFlow: A widely-used open-source machine learning framework developed by the Google Brain team, utilized for constructing and training machine learning models.
- 2. **Keras**: A high-level neural networks API built on top of TensorFlow, simplifying the definition and training of deep learning models.
- MNIST Dataset: A dataset comprising 28x28 pixel grayscale images of handwritten digits (0
  through 9). This dataset serves as a common introduction to image classification tasks in
  machine learning.
- 4. Scikit-Learn Metrics: A machine learning library that provides efficient tools for data analysis and modeling. It includes functions for computing accuracy, generating classification reports, and constructing confusion matrices.
- Matplotlib: A Python plotting library employed for visualizing predictions, particularly useful for creating informative visual representations.
- NumPy: A Python library that supports large, multidimensional arrays and matrices. It also
  provides mathematical functions for operations on these arrays.
- 7. Loading and Preprocessing MNIST Dataset: The mnist.load\_data() function loads the MNIST dataset, and image pixel values are normalized to the range [0, 1] by dividing by 255 to expedite convergence during training.
- 8. **Building the CNN Model**: The code defines a CNN model using the Keras Sequential API, incorporating convolutional layers with max-pooling, a flatten layer, and dense (fully connected) layers. ReLU activation functions are applied to convolutional layers, while softmax is used for the output layer, suitable for multi-class classification.

- Compiling the Model: The model is compiled with the Adam optimizer, sparse categorical cross entropy loss (appropriate for integer-encoded class labels), and accuracy as the evaluation metric.
- 10. Reshaping the Data: Input data is reshaped to align with the model's expected input shape, including an additional dimension for the channel.
- 11. **Training the Model**: The model is trained on the training data (x\_train and y\_train) for 5 epochs.
- 12. **Evaluating the Model**: The trained model undergoes evaluation on the test data (x\_test and y\_test), and the resulting test accuracy is printed.
- 13. **Making Predictions**: The model is utilized to make predictions on the test data, with np.argmax employed to extract predicted class labels.
- 14. **Performance Metrics**: Performance metrics, including the confusion matrix, accuracy, precision, recall, and F1-score, are computed and displayed to assess the model's performance on the test set.
- 15. **Visualizing Predictions**: A subplot of images from the test set is generated to visually represent true labels, predicted labels, and the corresponding images, aiding in the interpretation of model predictions.

#### **Graphs:**

#### **Training and Validation Accuracy:**

This graph shows the training and validation accuracy over different epochs during the training process.

X-axis: Epochs (the number of times the model has seen the entire training dataset).

Y-axis: Accuracy.

Lines:

Blue line represents the training accuracy, showing how well the model performs on the training data during each epoch.

Orange line represents the validation accuracy, showing how well the model generalizes to unseen validation data during each epoch.

#### **Training and Validation Loss:**

This graph displays the training and validation loss over different epochs.

X-axis: Epochs.

Y-axis: Loss (a measure of how well the model is performing; lower is better).

Lines:

Blue line represents the training loss, showing how well the model fits the training data during each epoch.

Orange line represents the validation loss, showing how well the model generalizes to unseen validation data during each epoch.

# **Understanding Multi Class Classification Metrics**

In multi-class classification metrics, the evaluation goes beyond binary outcomes and involves distinguishing between more than two classes. Common metrics include accuracy, precision, recall, and F1-Score, calculated across all classes. Accuracy measures the overall correctness of predictions, while precision, recall, and F1-Score provide insights into the model's performance for individual classes, considering false positives and false negatives. Understanding multi-class metrics is essential for assessing the model's effectiveness across diverse categories in scenarios such as image classification or natural language processing tasks.

# Creating confusion matrix for multi class classification and calculating different metrics like precision, recall, Accuracy and F1 Score

To create a confusion matrix for multi-class classification, the matrix dimensions expand to cover interactions between all classes. Each row represents the actual class, and each column corresponds to the predicted class. Precision, recall, accuracy, and F1-Score are derived from the confusion matrix. Precision is calculated as the ratio of true positives to the sum of true positives and false positives for a specific class. Recall is the ratio of true positives to the sum of true positives and false negatives. Accuracy is the sum of diagonal elements divided by the total sum of the matrix. F1-Score is the harmonic mean of precision and recall. These metrics offer a comprehensive evaluation of the model's performance across multiple classes.

#### Difference between Binary classification and Multi class

The fundamental difference between binary and multi-class classification lies in the number of classes involved. Binary classification deals with distinguishing between two classes, typically denoted as positive and negative. In contrast, multi-class classification involves distinguishing between more than two classes. Binary classification often uses metrics like precision, recall, and accuracy, while multi-class classification extends these metrics to cover multiple classes simultaneously. Understanding this distinction is crucial for selecting appropriate evaluation metrics and interpreting the model's performance based on the task at hand.

# Create confusion matrix for binary classification and multi class classification

Creating a confusion matrix for binary classification involves a 2x2 table with entries for true positives, true negatives, false positives, and false negatives. For multi-class classification, the confusion matrix expands to accommodate the interactions between all classes. Each row represents the actual class, and each column represents the predicted class. In binary classification, the matrix has two rows and two columns, while in multi-class classification, the matrix dimensions correspond to the number of classes. The confusion matrix visually summarizes the model's performance, aiding in the calculation of various metrics.

# Calculate various metrics from the confusion matrix Various metrics can be calculated from the confusion matrix to assess the model's performance. Accuracy is computed as the sum of diagonal elements divided by the total sum of the matrix. Precision for a specific class is the ratio of true positives to the sum of true positives and false positives. Recall is the ratio of true positives to the sum of true positives and false negatives. F1-Score is the harmonic mean of precision and recall. These metrics provide a comprehensive understanding of the model's strengths and weaknesses across different classes, facilitating informed decision-making in a multi-class classification scenario.

Google Colab:

https://colab.research.google.com/drive/1m0OSjP3TuhvjAaV6Vty97O254g30wMJ9?usp=sharing

```
1 import tensorflow as tf
  2 from tensorflow.keras import layers, models
      from tensorflow.keras.datasets import mnist
   4 from sklearn.metrics import accuracy_score, classification_report, confusion_matrix, precision_recall_fscore_support
      import matplotlib.pyplot as plt
   6 import numpy as np
  8 # Load and preprocess the MNIST dataset
        (x_train, y_train), (x_test, y_test) = mnist.load_data()
      x_train, x_test = x_train / 255.0, x_test / 255.0 \# Normalize pixel values to between 0 and 1
 11
 12 # Reshape the data to fit the model
 13
       x_train = np.expand_dims(x_train, axis=-1)
 14
        x_test = np.expand_dims(x_test, axis=-1)
 15
      # One-hot encode the labels
       y_train = tf.keras.utils.to_categorical(y_train, 10)
 18
       y_test = tf.keras.utils.to_categorical(y_test, 10)
 19
      # Build the CNN model
 20
 21 model = models.Sequential()
        model.add(layers.Conv2D(32, (3, 3), activation='relu', input_shape=(28, 28, 1)))
 23 model.add(layers.MaxPooling2D((2, 2)))
      model.add(layers.Conv2D(64, (3, 3), activation='relu'))
 25 model.add(layers.MaxPooling2D((2, 2)))
 26 model.add(layers.Conv2D(64, (3, 3), activation='relu'))
 27
        model.add(layers.Flatten())
      model.add(layers.Dense(64, activation='relu'))
 29
        model.add(layers.Dense(10, activation='softmax'))
 30
 31
       # Compile the model
 32
        model.compile(optimizer='adam',
 33
                            loss='categorical_crossentropy',
                            metrics=['accuracy'])
 35
 36
        # Train the model
 37
        history = model.fit(x_train, y_train, epochs=5, batch_size=64, validation_split=0.2)
 38
 39
       model.save('mnist_cnn_model.h5')
 42
       # Plot accuracy and loss graphs
 43
       plt.figure(figsize=(12, 4))
 44
 45
       plt.subplot(1, 2, 1)
        plt.plot(history.history['accuracy'], label='Training Accuracy')
      plt.plot(history.history['val_accuracy'], label='Validation Accuracy')
        plt.title('Training and Validation Accuracy')
 48
 49
      plt.xlabel('Epochs')
 50
        plt.ylabel('Accuracy')
 51
        plt.legend()
       plt.subplot(1, 2, 2)
        plt.plot(history.history['loss'], label='Training Loss')
        plt.plot(history.history['val_loss'], label='Validation Loss')
        plt.title('Training and Validation Loss')
       plt.xlabel('Epochs')
       plt.ylabel('Loss')
 59
       plt.legend()
 60
 61
        plt.show()
 62
 63
        test_loss, test_acc = model.evaluate(x_test, y_test)
 65
        print(f"\nTest Accuracy: {test_acc * 100:.2f}%")
 66
 67
       # Select random images from the test set
 68
        num_images = 10
 69
       random_indices = np.random.choice(len(x_test), num_images)
 71
       # Make predictions
 72
        predictions = np.argmax(model.predict(x_test[random_indices]), axis=-1)
 73
 74
       # Plot the images along with their true and predicted labels
 75
        plt.figure(figsize=(12, 6))
        for i, idx in enumerate(random_indices):
 77
              plt.subplot(2, 5, i + 1)
 78
              plt.imshow(x_test[idx].reshape(28, 28), cmap='gray')
 79
              plt.title(f'True: {np.argmax(y_test[idx])}, Predicted: {predictions[i]}')
              plt.axis('off')
 80
 81
        plt.show()
        # Evaluate performance using different metrics
 83
 84
        print("\nConfusion Matrix:")
 85
        print(confusion\_matrix(np.argmax(y\_test, axis=1), np.argmax(model.predict(x\_test), axis=-1)))
        print("\nClassification Report:")
       print(classification_report(np.argmax(y_test, axis=1), np.argmax(model.predict(x_test), axis=-1)))
       # Calculate overall accuracy and class-wise accuracies
 90
 91
        overall_accuracy = accuracy_score(np.argmax(y_test, axis=1), np.argmax(model.predict(x_test), axis=-1))
        class\_accuracies = np.sum(np.argmax(y\_test, axis=1) == np.argmax(model.predict(x\_test), axis=-1)) \ / \ len(np.argmax(model.predict(x\_test), axis=-1)) \ / \ len(np.argmax(model.predict(x\_te
        print(f"\nOverall Accuracy: {overall_accuracy * 100:.2f}%")
       print(f"Class-wise Accuracy: {class_accuracies * 100:.2f}%")
 96
 97
      # Calculate precision, recall, and F1 Score for each class
 98 precision, recall, f1_score, _ = precision_recall_fscore_support(np.argmax(y_test, axis=1), np.argmax(model.predict(x_test), axis=-1), average=None)
 99
100
        print("\nPrecision for each class:")
        for i in range(10):
101
             print(f"Class {i}: {precision[i]:.4f}")
102
103
104
        print("\nRecall for each class:")
        for i in range(10):
105
106
             print(f"Class {i}: {recall[i]:.4f}")
107
      print("\nF1 Score for each class:")
108
109
        for i in range(10):
110
              print(f"Class {i}: {f1_score[i]:.4f}")
```

```
 \begin{tabular}{ll} \hline \textbf{$\rightarrow$} & \textbf{Downloading data from $\underline{\textbf{https://storage.googleapis.com/tensorflow/tf-keras-datasets/mnist.npz}} \\ \hline \end{tabular} 
   11490434/11490434 [========] - Os Ous/step
   Epoch 1/5
   750/750 [:
                Epoch 2/5
   750/750 [=:
                    ==========] - 39s 52ms/step - loss: 0.0605 - accuracy: 0.9812 - val_loss: 0.0632 - val_accuracy: 0.9821
   Epoch 3/5
   750/750 [==
                 Epoch 4/5
                         ========] - 41s 54ms/step - loss: 0.0335 - accuracy: 0.9891 - val_loss: 0.0450 - val_accuracy: 0.9872
   Epoch 5/5
                        =========] - 40s 54ms/step - loss: 0.0278 - accuracy: 0.9909 - val_loss: 0.0445 - val_accuracy: 0.9867
   750/750 [=
   /usr/local/lib/python3.10/dist-packages/keras/src/engine/training.py:3103: UserWarning: You are saving your model as an HDF5 file via `model.save()`. This file format is considered legacy. We reco
     saving_api.save_model(
                   Training and Validation Accuracy
                                                                             Training and Validation Loss
                                                             0.225
      0.99
                                                                                                    Training Loss
                                                                                                    Validation Loss
                                                             0.200
      0.98
                                                             0.175
       0.97
                                                             0.150
                                                             0.125
      0.96
                                                             0.100
       0.95
                                                             0.075
       0.94
                                                             0.050
                                         Training Accuracy
                                         Validation Accuracy
                                                             0.025
       0.93
                                      2.5
                                                3.5
                                                                                        2.0
                                                                                              2.5
                                                                                                   3.0
                                                                                                        3.5
                                                                                                              4.0
            0.0
                 0.5
                      1.0
                           1.5
                                 2.0
                                           3.0
                                                                    0.0
                                                                         0.5
                                                                              1.0
                                                                                   1.5
                                Epochs
                                                                                       Epochs
   Test Accuracy: 98.84%
   1/1 [======] - 0s 121ms/step
    True: 0, Predicted: 0 True: 3, Predicted: 3 True: 5, Predicted: 5 True: 1, Predicted: 1 True: 1, Predicted: 1
     True: 6, Predicted: 6
                        True: 2, Predicted: 2 True: 5, Predicted: 5 True: 3, Predicted: 3 True: 5, Predicted: 5
   Confusion Matrix:
   313/313 [=========== ] - 2s 8ms/step
   [[ 971
       0 1134
                0
                                     0
                                         0
       1
           4 1026
                    0
                        0
                             0
                                 0
                                         0
                                             0]
           0
                2 1006
                        0
                            2
                                0
                                     0
                                         0
                                             0]
        0
                       972
                             0
                                 0
                                             7]
0]
                0
                   10
                        0
                           879
                                     0
       1
           0
                                1
                    0
                            3
                               944
                                     0
                                             0]
       4
                0
       0
                        0
                                0
                                   990
                                         0
           9
               13
                            1
                                            12]
                                     0
                                        962
        0
   Classification Report:
                 ======] - 3s 9ms/step
               precision
                          recall f1-score
                                          support
            0
                    0.99
                            0.99
                                    0.99
                                             980
                                    0.99
                                             1135
                   0.98
                           1.00
            1
                   0.98
                            0.99
                                    0.99
                                             1032
                    0.98
                                    0.99
                                             1010
                            1.00
                    1.00
                            0.99
                                    0.99
                                             982
                    0.99
                            0.99
                                    0.99
                                             892
            6
                   0.99
                            0.99
                                    0.99
                                             958
                   1.00
                            0.96
                                    0.98
                                             1028
            8
                   0.99
                            0.99
                                    0.99
                                             974
                                             1009
                   0.98
                            0.99
                                    0.98
                                    0.99
                                            10000
       accuracy
                            0.99
      macro avg
                   0.99
                                    0.99
                                            10000
                   0.99
                           0.99
                                    0.99
                                            10000
   weighted avg
   313/313 [========] - 2s 8ms/step
   313/313 [========== ] - 3s 11ms/step
   Overall Accuracy: 98.84%
   Class-wise Accuracy: 98.84%
   313/313 [============] - 2s 8ms/step
   Precision for each class:
   Class 0: 0.9928
   Class 1: 0.9835
   Class 2: 0.9790
   Class 3: 0.9834
   Class 4: 0.9959
   Class 5: 0.9865
   Class 6: 0.9947
   Class 7: 0.9980
   Class 8: 0.9938
   Class 9: 0.9785
   Recall for each class:
   Class 0: 0.9908
   Class 1: 0.9991
   Class 2: 0.9942
   Class 3: 0.9960
   Class 4: 0.9898
```

Class 5: 0.9854 Class 6: 0.9854 Class 7: 0.9630 Class 8: 0.9877 CI922 A: A'AAII

F1 Score for each class: Class 0: 0.9918 Class 1: 0.9913 Class 2: 0.9865 Class 3: 0.9897

Class 3: 0.9897 Class 4: 0.9928 Class 5: 0.9860 Class 6: 0.9900 Class 7: 0.9802 Class 8: 0.9907 Class 9: 0.9847