

Unit V - Indexes

Chapter 10 -

Indexing Structures for Files Storage of Databases

- # Databases are stored physically as files of records.
- # Each record is collections of data values that can be interpreted as facts about entities, their attributes and their relationships
- # Records should be stored on disk in a manner that make it possible to locate them efficiently whenever they are needed
- # Records in a file can be organized in sorted or unsorted

Indexes

- ✚ An index is an auxiliary file which is usually defined on a single field of a data file for efficient access to records.
- ✚ Any field of the data file can be used to create an index
- ✚ Multiple indexes on different fields can be constructed on the same data file
- ✚ A field used for creating an index is called indexing field
- ✚ Index typically stores
 - each value of the indexing field along with
 - a list of the pointers that reference to the record or block of records in the data file
- ✚ Index file structure is generally of the form: **<field value, record/block pointer>** which is ordered by field value
- ✚ To search a record or records in a data file based on a certain selection condition on a indexing field, one has to access the index which points to the required records

- ✚ Thus, an index provides an alternative ways of accessing the records without affecting the physical storage of records on disk
- ✚ The index file usually occupies considerably less disk blocks than the data file because its entries are much smaller

Types of Indexes

- ✚ Different types of indexes can be created based on properties of indexing field
- ✚ Indexing field can be ordering key field, ordering non-key field or non-ordering field of the data file

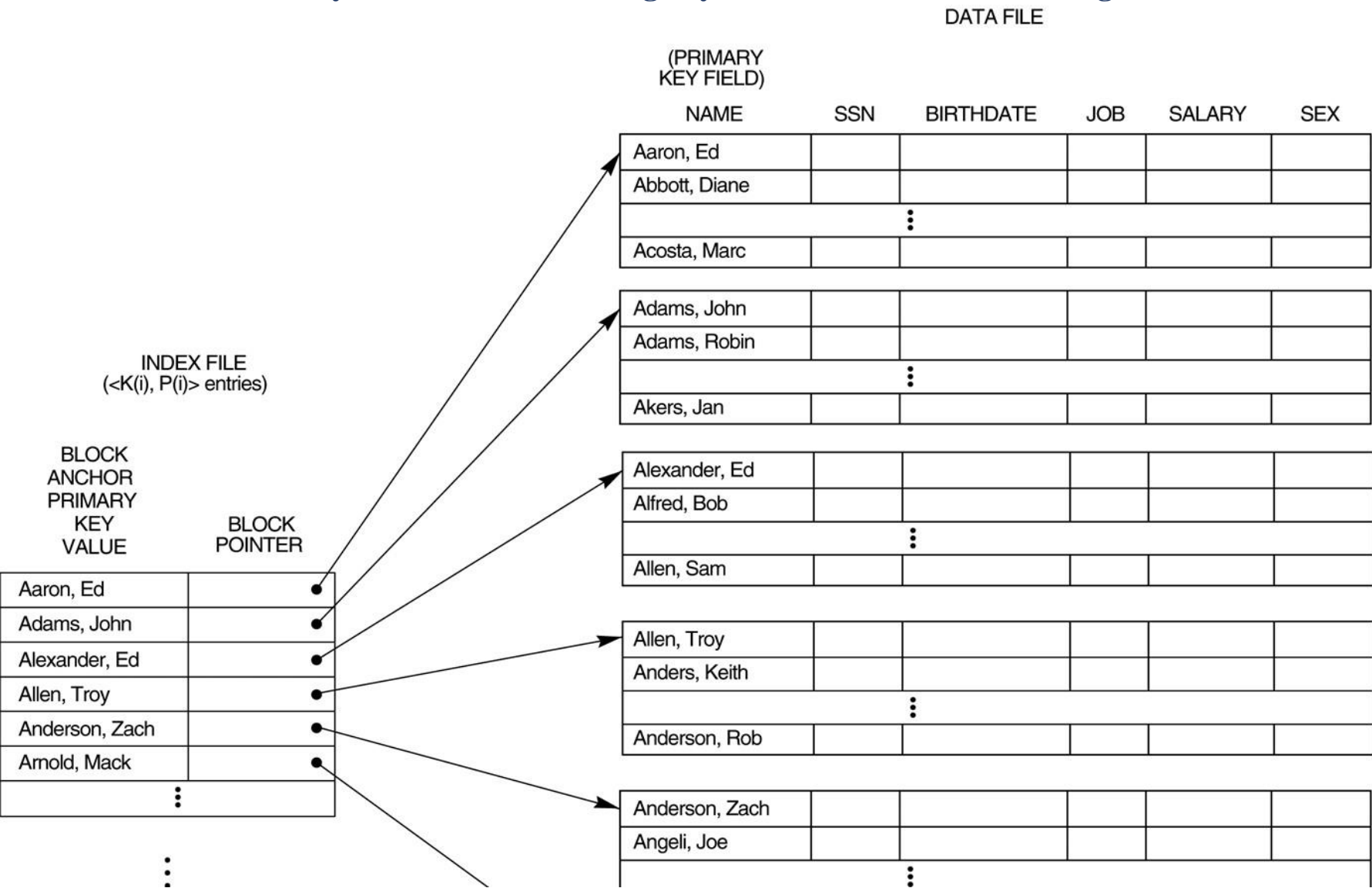
Primary Index

- defined on the key field of an ordered data file
- includes one index entry *for each block* in the data file; the index entry has the key field value for the *first record* in the block, which is called the *block anchor*

Clustering index

- defined on the non key field of an ordered data file
- includes one index entry *for each distinct value* of the field; the index entry points to the first data block that contains records with that field value.

FIGURE 14.1: Primary index on the ordering key field of the file shown in Figure 13.7



A clustering index on the DEPTNUMBER ordering nonkey field of an EMPLOYEE file.

(CLUSTERING
FIELD)

INDEX FILE
($\langle K(i), P(i) \rangle$ entries)

BLOCK POINTER

1					
1					
1					
2					

2					
3					
3					
3					

3					
3					
4					
4					

5					
5					
5					
5					

6					
6					
6					
6					

6					
8					
8					
8					

Types of Indexes Cont..

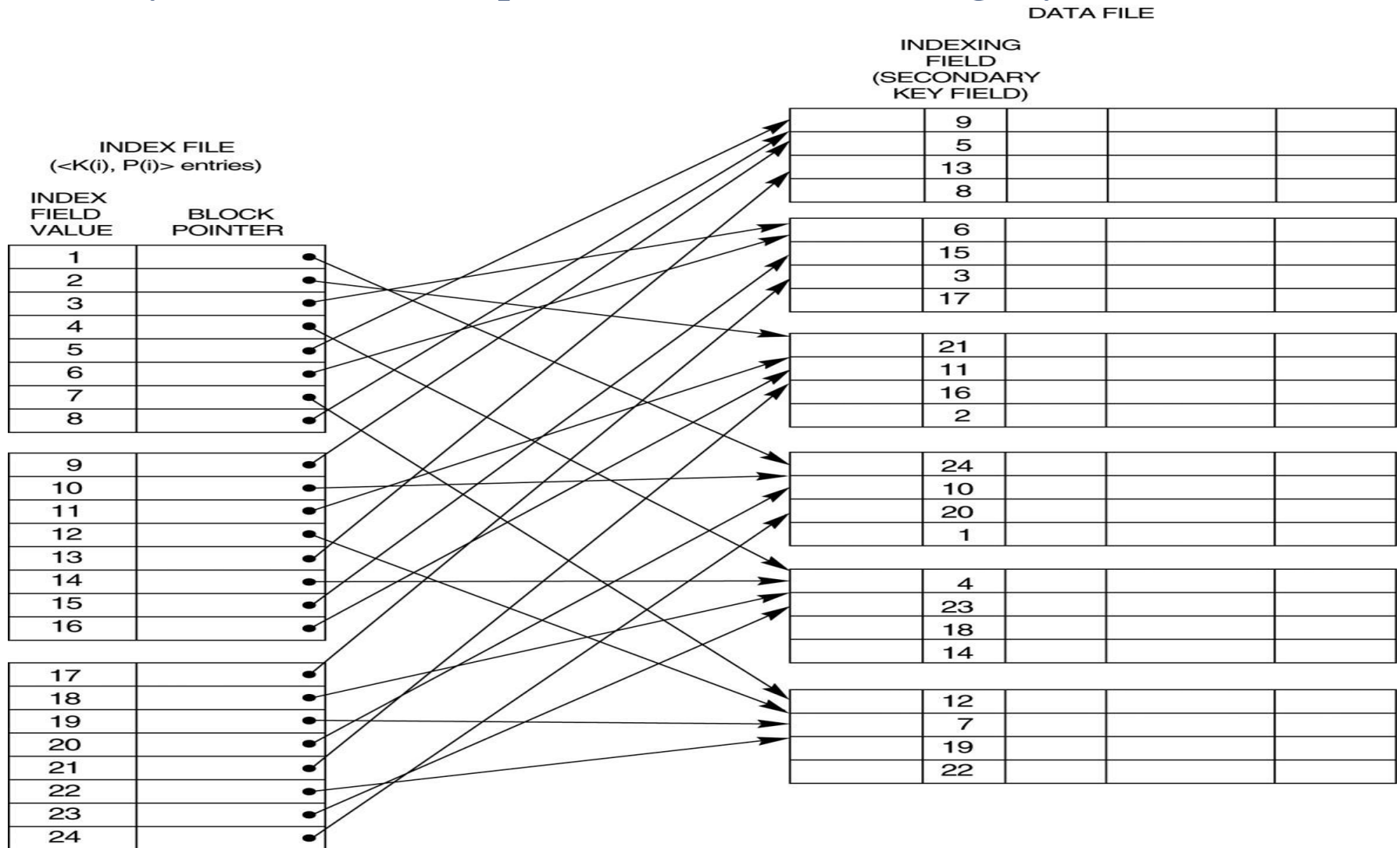


Secondary Index:

- can be created to provide a secondary means of accessing a file for which some primary access already exists.
- defined on a non-ordering field of the data field which is a
 - candidate key and has a unique value in every record, OR
 - non-key with duplicate values.
- Includes one index entry *for each record* in the data file
- Usually needs more storage space & longer search time than primary index because of its larger number of entries

FIGURE 14.4

A secondary index (with block pointers) on a non-ordering key field of a file.

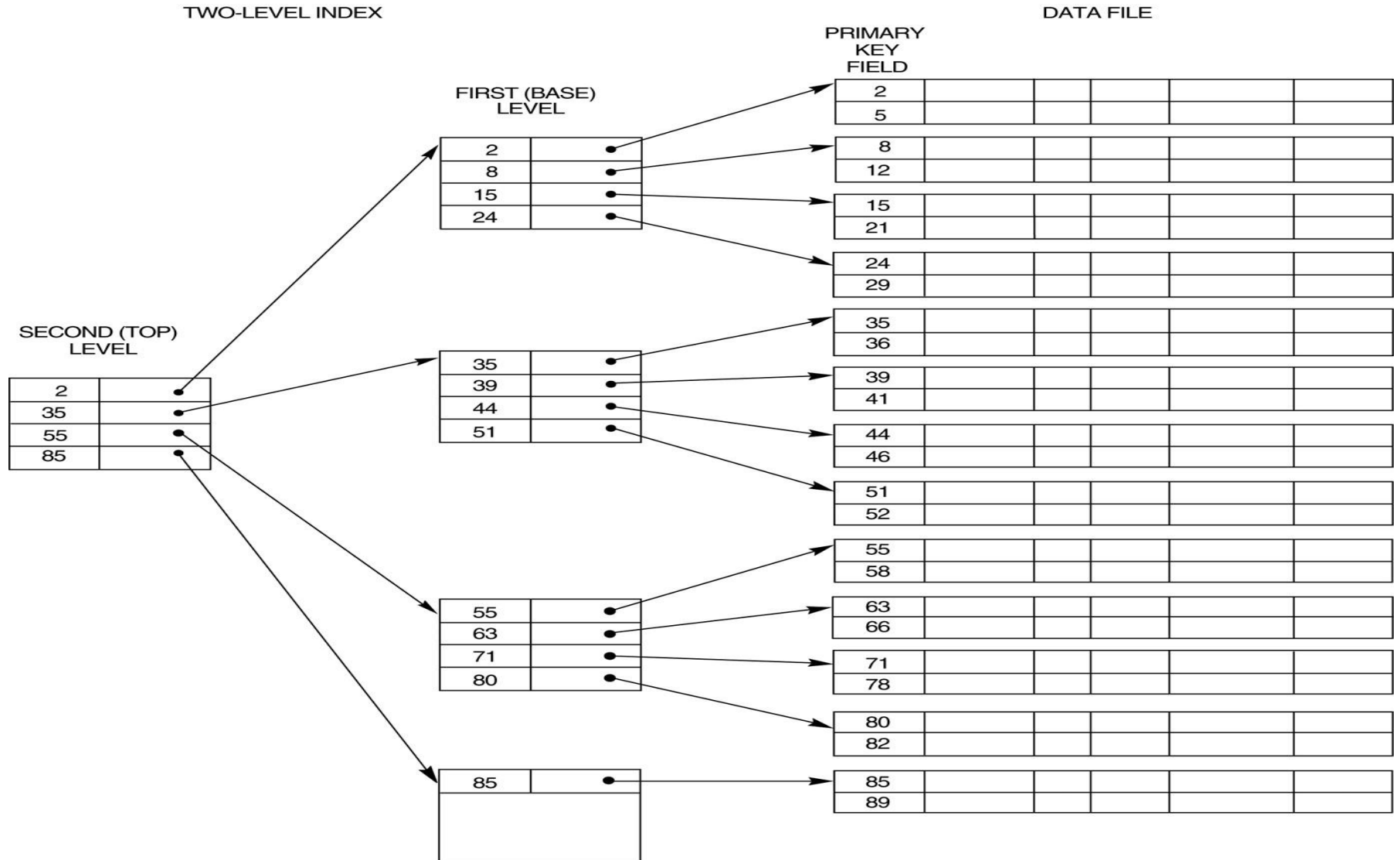




Multi-Level Indexes

- Because a single-level index is an ordered file, we can create a primary index *to the index itself*; in this case, the original index file is called the *first-level index* and the index to the index is called the *second-level index*.
- We can repeat the process, creating a third, fourth, ..., top level until all entries of the *top level* fit in one disk block
- A multi-level index can be created for any type of first level index (primary, secondary, clustering) as long as the first-level index consists of *more than one* disk block

**FIGURE 14.6: A two-level primary index resembling ISAM
(Indexed Sequential Access Method) organization.**



Multi-Level Indexes Cont...

- Such a multi-level index is a form of *search tree* and reduces the number of blocks accessed when searching for a record
- However, insertion and deletion of new index entries is a severe problem because every level of the index is an *ordered file*.
- To reduce these problems, dynamic multilevel index can be created by using **B-trees** data structure.