



## Data Structures & Algorithms

# Sliding Window



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# Introduction

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- Sliding window is another common approach to solving problems related to arrays.
- A sliding window is actually implemented using two pointers!

# Subarrays

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- Given an array, a **subarray** is a contiguous section of the array.
- All the elements must be adjacent to each other in the original array and in their original order.

# Example

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- For example, with the array [1, 2, 3, 4], the subarrays (grouped by length) are:
  - [1], [2], [3], [4]
  - [1, 2], [2, 3], [3, 4]
  - [1, 2, 3], [2, 3, 4]
  - [1, 2, 3, 4]

# Example

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- A subarray can be defined by two indices, the start and end.
- For example, with [1, 2, 3, 4], the subarray [2, 3] has a starting index of 1 and an ending index of 2.
- Let's call the starting index the left bound and the ending index the right bound.
- Another name for subarray in this context is "window".

# When should we use sliding window?

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- There is a very common group of problems involving subarrays that can be solved efficiently with sliding window.

# When should we use sliding window?

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- **First**, the problem will either explicitly or implicitly define criteria that make a subarray "valid".
- There are 2 components regarding what makes a subarray valid:
  - A constraint metric. This is some attribute of a subarray. It could be the sum, the number of unique elements, the frequency of a specific element, or any other attribute.
  - A numeric restriction on the constraint metric. This is what the constraint metric should be for a subarray to be considered valid.

# Example

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- For example, let's say a problem declares a subarray is valid if it has a sum less than or equal to 10.
- The constraint metric here is the sum of the subarray, and the numeric restriction is  $\leq 10$ .
- A subarray is considered valid if its constraint metric conforms to the numeric restriction, i.e. the sum is less than or equal to 10.



# When should we use sliding window?

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- **Second**, the problem will ask you to find valid subarrays in some way.
  - The most common task you will see is finding the **best** valid subarray. The problem will define what makes a subarray **better** than another. For example, a problem might ask you to find the **longest** valid subarray.
  - Another common task is finding the number of valid subarrays.

# Note

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- Whenever a problem description talks about subarrays, you should figure out if sliding window is a good option by analyzing the problem description.
- If you can find the things mentioned above, then it's a good bet.

# Example

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- Here is a preview of some of the example problems that we will look at in this article, to help you better understand what sliding window problems look like:
  - Find the longest subarray with a sum less than or equal to  $k$
  - Find the longest substring that has at most one "0"
  - Find the number of subarrays that have a product less than  $k$

# The algorithm

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- The idea behind a sliding window is to consider only valid subarrays.
- Recall that a subarray can be defined by a left bound (the index of the first element) and a right bound (the index of the last element).
- In sliding window, we maintain two variables left and right, which at any given time represent the current subarray under consideration.

# The algorithm

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- Initially, we have  $\text{left} = \text{right} = 0$ , which means that the first subarray we look at is just the first element of the array on its own.
- We want to expand the size of our "window", and we do that by incrementing right.
- When we increment right, this is like "adding" a new element to our window.

# The algorithm

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- But what if after adding a new element, the subarray becomes invalid?
  - We need to "remove" some elements from our window until it becomes valid again. To "remove" elements, we can increment left, which shrinks our window.
- As we add and remove elements, we are "sliding" our window along the input from left to right. The window's size is constantly changing - it grows as large as it can until it's invalid, and then it shrinks. However, it always slides along to the right, until we reach the end of the input.

# The algorithm

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- To explain why this algorithm works, let's look at a specific example. Let's say that we are given a positive integer array `nums` and an integer `k`. We need to find the length of the longest subarray that has a sum less than or equal to `k`. For this example, let `nums = [3, 2, 1, 3, 1, 1]` and `k = 5`.

# The algorithm

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- Initially, we have  $\text{left} = \text{right} = 0$ , so our window is only the first element:  $[3]$ . Now, let's expand to the right until the constraint is broken. This will occur when  $\text{left} = 0$ ,  $\text{right} = 2$ , and our window is:  $[3, 2, 1]$ . The sum here is 6, which is greater than  $k$ . We must now shrink the window from the left until the constraint is no longer broken. After removing one element, the window becomes valid again:  $[2, 1]$ .



# The algorithm

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- Why is it correct to remove this 3 and forget about it for the rest of the algorithm? Because the input only has positive integers, a longer subarray directly equals a larger sum. We know that [3, 2, 1] already results in a sum that is too large. There is no way for us to ever have a valid window again if we keep this 3 because if we were to add any more elements from the right, the sum would only get larger. That's why we can forget about the 3 for the rest of the algorithm.

# Length of the longest subarray

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- Given an array of positive integers `nums` and an integer `k`, find the length of the longest subarray whose sum is less than or equal to `k`.

# Example

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- Let's look at an example where `nums = [3, 1, 2, 7, 4, 2, 1, 1, 5]` and `k = 8`.
- The longest subarray we found was `[4, 2, 1, 1]` which means the answer is 4.

# Length of the longest substring

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- You are given a binary string  $s$  (a string containing only "0" and "1").
- You may choose up to one "0" and flip it to a "1".
- What is the length of the longest substring achievable that contains only "1"?
- For example, given  $s = "1101100111"$ , the answer is 5.
- If you perform the flip at index 2, the string becomes 1111100111.

# Subarray Product Less Than K

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- Given an array of positive integers `nums` and an integer `k`, return the number of subarrays where the product of all the elements in the subarray is strictly less than `k`.
- For example, given the input `nums = [10, 5, 2, 6]`, `k = 100`, the answer is 8. The subarrays with products less than `k` are:
  - `[10]`, `[5]`, `[2]`, `[6]`, `[10, 5]`, `[5, 2]`, `[2, 6]`, `[5, 2, 6]`

# Sum of the subarray

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- Given an integer array `nums` and an integer `k`, find the sum of the subarray with the largest sum whose length is `k`.

# Closing notes

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- Sliding window is extremely common and versatile as a pattern.

Queries?



Thank You...!