

# Lecture 13

## Trees and Tree Algorithms

EECS 281: Data Structures & Algorithms

# Informal Definition: Tree

Mathematical abstraction that plays a central role in the design and analysis of algorithms

- Build and use explicit data structures that are concrete realizations of trees
- Describe the dynamic properties of algorithms

# Concrete Implementation

- A node contains some information, and points to its left child node and right child node
- Efficient for moving *down* a tree from parent to child

## Node in a Binary Tree

```
1  template <typename KEY>
2  struct Node {
3      KEY datum;
4      Node *left, *right;
5  };
```

# Formal Definition: Tree

**Tree**: set of nodes storing elements in a parent-child relationship with the following properties:

- $T$  has a special node  $r$ , called the **root** of  $T$ , with no parent node;
- Each node  $v$  of  $T$ , such that  $v \neq r$ , has a unique **parent** node  $u$

Note: A tree can be empty [CLRS]

# Some Tree Terminology

- **Root**: “top-most” vertex in the tree
  - The initial call
- **Parent/Child**: direct links in tree
- **Siblings**: children of the same parent
- **Ancestor**: predecessor in tree
  - Closer to root along path
- **Descendent**: successor in tree
  - Further from root along path

# Some Tree Terminology

- **Internal node**: a node with children
- **External (Leaf) node**: a node without children
- **Ordered Tree**: linear ordering for the children of each node
- **Binary Tree**: ordered tree in which every node has at most two children

# Some Tree Terminology

## Depth:

$\text{depth}(\text{empty}) = 0;$

$\text{depth}(\text{node}) = \text{depth}(\text{parent}) + 1;$

## Height:

$\text{height}(\text{empty}) = 0;$

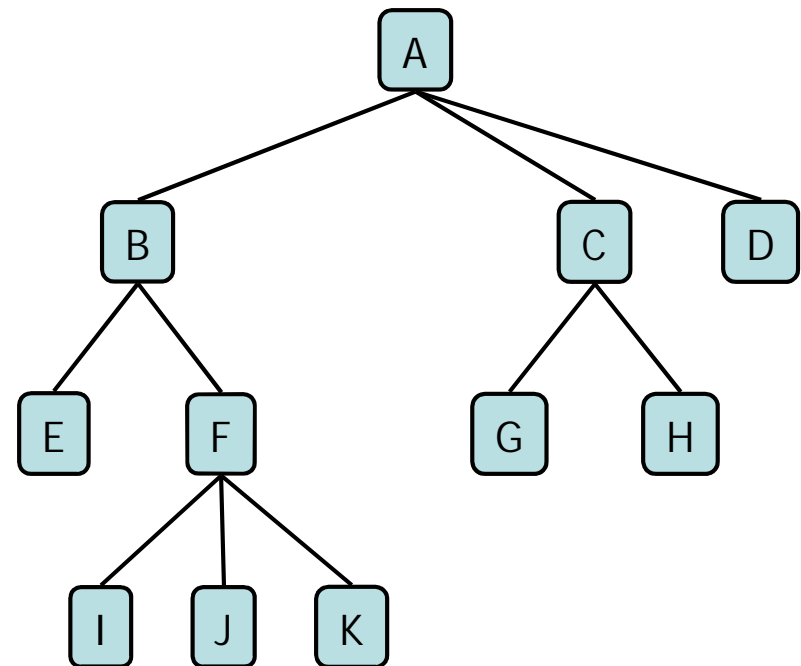
$\text{height}(\text{node}) = \max(\text{height}(\text{children})) + 1;$

## Max Height/Depth:

maximum height/depth of tree's nodes

# Tree Terminology

- Root:
- Internal nodes:
- External nodes (a.k.a. leaf ):
- Max depth:
- Label one subtree
- Is this a binary tree?





# Proper Binary Tree Property

Definition: ***proper binary tree***

- A binary tree where
  - All external nodes have zero children
  - All internal nodes have two children

# Complete Binary Tree Property

Definition: ***complete binary tree***

- A binary tree with depth  $d$  where
  - Tree depths 1, 2, ...,  $d$  have the max number of nodes possible
  - All internal nodes are to the left of the external nodes at depth  $d$
  - That is, all leaves are leftmost at depth  $d$

# Proper and Complete

- Draw a binary tree that is neither proper nor complete
- Draw a binary tree that is proper, but not complete
- Draw a binary tree that is complete, but not proper
- Draw a binary tree that is both proper and complete

# Binary Tree Implementation

## Array Binary Tree Implementation

- Root at index 1
- Left child of node  $i$  at  $2 * i$
- Right child of node  $i$  at  $2 * i + 1$
- Some indices may be skipped
- Can be space prohibitive for sparse trees

# Binary Tree Implementation

## Complexity of array implementation

- Insert key (best case)  $O(1)$
- Insert key (worst case)  $O(n)$
- Delete key (worst case)  $O(n)$
- Parent  $O(1)$
- Child  $O(1)$
- Space (best case)  $O(1)$
- Space (worst case)  $O(n)$

# Binary Tree Implementation

- Pointer-based binary tree implementation

```
1  <typename T>
2  struct Node {
3      T datum;
4      Node *left, *right;
5  };
```

- A node contains some information, and points to its left child node and right child node
- Efficient for moving *down* a tree from parent to child

# Binary Tree Implementation

## Complexity of pointer implementation

- Insert key (best case)  $O(1)$
- Insert key (worst case)  $O(n)$
- Delete key (worst case)  $O(n)$
- Parent  $O(1)$
- Child  $O(1)$
- Space (best case)  $O(1)$
- Space (worst case)  $O(n)$

# Trees: Data Structures

- Another way to do it (not common)

```
1  <typename T>
2  struct Node {
3      T datum;
4      Node *parent, *left, *right;
5  };
```

- If node is root, then **\*parent** is `nullptr`
- If node is external, then **\*left** and **\*right** are `nullptr`



# Translating General Trees into Binary Trees

$T$ : General tree

$T'$ : Binary tree

Intuition:

- Take set of siblings  $\{v_1, v_2, \dots, v_k\}$  in  $T$  that are children of  $v$
- $v_1$  becomes left child of  $v$  in  $T'$
- $v_2, \dots, v_k$  become chain of right children of  $v_1$  in  $T'$
- Recurse from  $v_2$

*Left: new “generation”; Right: sibling*

# Summary of Trees

- Trees have intuitive definitions
  - Think family tree
- Trees can be implemented
  - As an array (vector)
  - With linked structs (or classes)
- General trees can be converted to binary trees

# Tree Traversal

*Systematic method to process every node in a tree*

- Preorder:
  - Visit node,
  - Recursively visit left subtree,
  - Recursively visit right subtree
- Inorder:
  - Recursively visit left subtree,
  - Visit node,
  - Recursively visit right subtree

# Tree Traversal

*Systematic method to process every node in a tree*

- Postorder:
  - Recursively visit left subtree,
  - Recursively visit right subtree,
  - Visit node
- Level order:
  - Visit nodes in order of increasing depth in tree

# Recursive Implementation

## Preorder

```
Algorithm preorder(T, v)
    visit node v
    for (node c : v.children())
        preorder(T, c)
```

# Recursive Implementation

Postorder

```
Algorithm postorder(T, v)
    for (node c : v.children())
        postorder(T, c)
    visit node v
```

# Recursive Implementation

Inorder (assumes tree with left/right)

**Algorithm inorder(T, v)**

**inorder(T, leftchild(v))**

**visit node v**

**inorder(T, rightchild(v))**

# Recursive Implementations

```
void preorder(Node *p) {  
    if (!p) return;  
    visit(p->datum);  
    preorder(p->left);  
    preorder(p->right);  
}
```

```
void inorder(Node *p) {  
    if (!p) return;  
    inorder(p->left);  
    visit(p->datum);  
    inorder(p->right);  
}
```

```
void postorder(Node *p) {  
    if (!p) return;  
    postorder(p->left);  
    postorder(p->right);  
    visit(p->datum);  
}
```

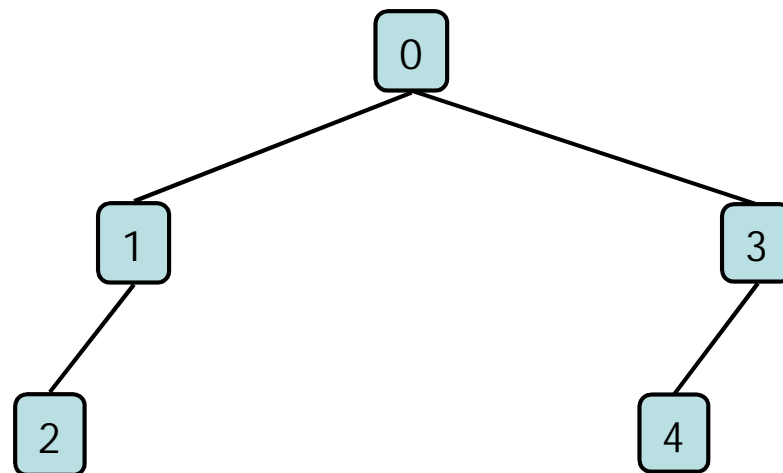


# Summary of Tree Algorithms

- Definitions of depth and height
  - Methods of tree traversal
    - Preorder
    - Inorder
    - Postorder
    - Level order (breadth-first search)
- } All are depth-first search

# Exercise

- In what order are nodes visited?
  - Preorder
  - Inorder
  - Postorder
  - Level order



# Exercise

- Draw the binary tree from traversals
- Preorder: 7 3 6 9 8 13 27
- Inorder: 3 6 7 8 9 13 27

# Exercise

- Write a function to do a level order traversal, printing the datum at each node

```
void levelorder(Node *p) {
```

}