

# the divide-and conquer

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Merge Sort, Quick Sort, Closest Pair, Convex Hull, Knapsack

# Main Idea

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- best-known general algorithm design technique.
- quite a few very efficient algorithms are specific implementations of this general strategy.
- Divide-and-conquer algorithms work according to the following general plan:
  1. A problem is divided into several subproblems of the same type, ideally of about equal size.
  2. The subproblems are solved (typically recursively, though sometimes a different algorithm is employed, especially when subproblems become small enough).
  3. If necessary, the solutions to the subproblems are combined to get a solution to the original problem.



# Running Time

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- most typical case of divide-and-conquer a problem's instance of size  $n$  is divided into two instances of size  $n/2$
- An instance of size  $n$  can be divided into  $b$  instances of size  $n/b$ , with  $a$  of them needing to be solved. (Here,  $a$  and  $b$  are constants;  $a \geq 1$  and  $b > 1$ .)
- Assuming that size  $n$  is a power of  $b$  to simplify our analysis, we get the following recurrence for the running time  $T(n)$ :
- $T(n) = aT(n/b) + f(n)$ ,
- where  $f(n)$  is a function that accounts for the time spent on dividing an instance of size  $n$  into instances of size  $n/b$  and combining their solutions.
- Obviously, the order of growth of its solution  $T(n)$  depends on the values of the constants  $a$  and  $b$  and the order of growth of the function  $f(n)$ .

# Master Theorem

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$$T(n) \in \begin{cases} \Theta(n^d) & \text{if } a < b^d, \\ \Theta(n^d \log n) & \text{if } a = b^d, \\ \Theta(n^{\log_b a}) & \text{if } a > b^d. \end{cases}$$

# Merge-Sort

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- Mergesort is a perfect example of a successful application of the divide-and-conquer technique.
- It sorts a given array  $A[0..n - 1]$  by dividing it into two halves
- $A[0..n/2 - 1]$  and  $A[n/2..n - 1]$ , sorting each of them recursively, and then merging the two smaller sorted arrays into a single sorted one.



# Merge Sort

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**ALGORITHM** *Mergesort*( $A[0..n-1]$ )

//Sorts array  $A[0..n-1]$  by recursive mergesort

//Input: An array  $A[0..n-1]$  of orderable elements

//Output: Array  $A[0..n-1]$  sorted in nondecreasing order

if  $n > 1$

    copy  $A[0..\lfloor n/2 \rfloor - 1]$  to  $B[0..\lfloor n/2 \rfloor - 1]$

    copy  $A[\lfloor n/2 \rfloor..n-1]$  to  $C[0..\lceil n/2 \rceil - 1]$

*Mergesort*( $B[0..\lfloor n/2 \rfloor - 1]$ )

*Mergesort*( $C[0..\lceil n/2 \rceil - 1]$ )

*Merge*( $B, C, A$ ) //see below

# Merge Sort

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**ALGORITHM** *Merge*( $B[0..p-1]$ ,  $C[0..q-1]$ ,  $A[0..p+q-1]$ )  
//Merges two sorted arrays into one sorted array  
//Input: Arrays  $B[0..p-1]$  and  $C[0..q-1]$  both sorted  
//Output: Sorted array  $A[0..p+q-1]$  of the elements of  $B$  and  $C$   
 $i \leftarrow 0$ ;  $j \leftarrow 0$ ;  $k \leftarrow 0$   
**while**  $i < p$  **and**  $j < q$  **do**  
    **if**  $B[i] \leq C[j]$   
         $A[k] \leftarrow B[i]$ ;  $i \leftarrow i + 1$   
    **else**  $A[k] \leftarrow C[j]$ ;  $j \leftarrow j + 1$   
     $k \leftarrow k + 1$   
**if**  $i = p$   
    copy  $C[j..q-1]$  to  $A[k..p+q-1]$   
**else** copy  $B[i..p-1]$  to  $A[k..p+q-1]$

# Example and Running time

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# Quick Sort

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- quicksort divides them according to their value
- array partition
- A partition is an arrangement of the array's elements so that all the elements to the left of some element  $A[s]$  are less than or equal to  $A[s]$ , and all the elements to the right of  $A[s]$  are greater than or equal to it

# Quick Sort

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**ALGORITHM** *Quicksort*( $A[l..r]$ )

//Sorts a subarray by quicksort

//Input: Subarray of array  $A[0..n - 1]$ , defined by its left and right

// indices  $l$  and  $r$

//Output: Subarray  $A[l..r]$  sorted in nondecreasing order

if  $l < r$

$s \leftarrow \text{Partition}(A[l..r])$  //  $s$  is a split position

*Quicksort*( $A[l..s - 1]$ )

*Quicksort*( $A[s + 1..r]$ )



# Example

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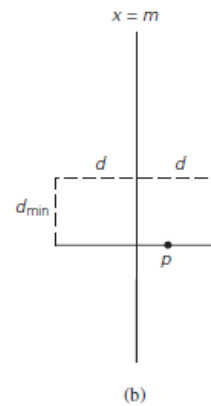
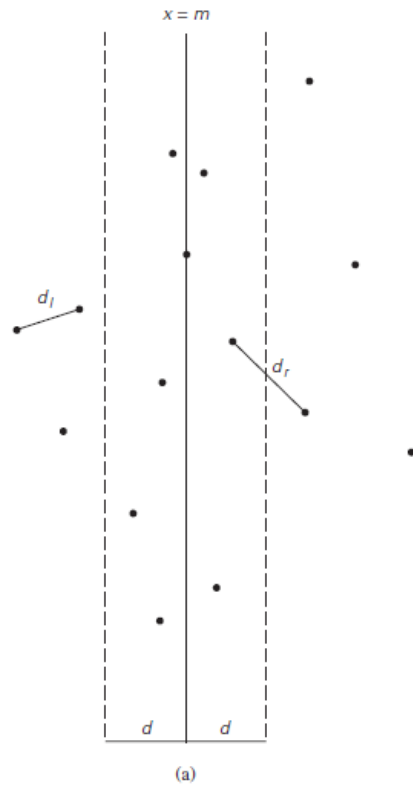
# Closest Pair

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- Let  $P$  be a set of  $n > 1$  points in the Cartesian plane.
- Assume that the points are ordered in nondecreasing order of their  $x$  coordinate.
- It will also be convenient to have the points sorted in a separate list in nondecreasing order of the  $y$  coordinate; we will denote such a list  $Q$ .
- If  $2 \leq n \leq 3$ , the problem can be solved by the obvious brute-force algorithm.
- If  $n > 3$ , we can divide the points into two subsets  $P_l$  and  $P_r$  of  $n/2$  and  $n/2$  points, respectively, by drawing a vertical line through the median  $m$  of their  $x$  coordinates so that  $n/2$  points lie to the left of or on the line itself, and  $n/2$  points lie to the right of or on the line.
- Let  $d_l$  and  $d_r$  be the smallest distances between pairs of points in  $P_l$  and  $P_r$ , respectively, and let
$$d = \min\{d_l, d_r\}$$



# Closest Pair



- Note that  $d$  is not necessarily the smallest distance between all the point pairs because points of a closer pair can lie on the opposite sides of the separating line.
- Therefore, as a step combining the solutions to the smaller subproblems, we need to examine such points.
- Let  $S$  be the list of points inside the strip of width  $2d$  around the separating line
- encounter a closer pair of points. Initially,  $d_{\min} = d$ , and subsequently  $d_{\min} \leq d$ .

## Closest Pair

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### ALGORITHM *EfficientClosestPair(P, Q)*

```
//Solves the closest-pair problem by divide-and-conquer
//Input: An array  $P$  of  $n \geq 2$  points in the Cartesian plane sorted in
//       nondecreasing order of their  $x$  coordinates and an array  $Q$  of the
//       same points sorted in nondecreasing order of the  $y$  coordinates
//Output: Euclidean distance between the closest pair of points
if  $n \leq 3$ 
    return the minimal distance found by the brute-force algorithm
else
    copy the first  $\lceil n/2 \rceil$  points of  $P$  to array  $P_l$ 
    copy the same  $\lceil n/2 \rceil$  points from  $Q$  to array  $Q_l$ 
    copy the remaining  $\lfloor n/2 \rfloor$  points of  $P$  to array  $P_r$ 
    copy the same  $\lfloor n/2 \rfloor$  points from  $Q$  to array  $Q_r$ 
     $d_l \leftarrow \text{EfficientClosestPair}(P_l, Q_l)$ 
     $d_r \leftarrow \text{EfficientClosestPair}(P_r, Q_r)$ 
     $d \leftarrow \min\{d_l, d_r\}$ 
     $m \leftarrow P[\lceil n/2 \rceil - 1].x$ 
    copy all the points of  $Q$  for which  $|x - m| < d$  into array  $S[0..num - 1]$ 
     $dminsq \leftarrow d^2$ 
    for  $i \leftarrow 0$  to  $num - 2$  do
         $k \leftarrow i + 1$ 
        while  $k \leq num - 1$  and  $(S[k].y - S[i].y)^2 < dminsq$ 
             $dminsq \leftarrow \min((S[k].x - S[i].x)^2 + (S[k].y - S[i].y)^2, dminsq)$ 
             $k \leftarrow k + 1$ 
    return  $\text{sqrt}(dminsq)$ 
```



# Programming Assignment

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- Implement closest pair algorithm using divide and conquer.
- 1) your code should take care of special case when x-ordering cannot split the given set into 2 non-empty sets, like in (1,0) (1,1) (1,2) all 3 points are on one vertical line, so x-ordering (by vertical line) cannot split the set. Switch to y-ordering (by horizontal line). Notice that when neither of the 2 ordering can split, you have a special case: all points in the set are equal - thus distance is 0.
- To submit: `closestpair.cpp`
- Deadline: 11-13-2017

# Convex Hull

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- General approach
- Quick Hull



# General Approach

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1. Divide the  $n$  points into two halves.
2. Find convex hull of each subset.
3. Combine the two hulls into overall convex hull.

# Combining two hulls

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- Convex hulls should not overlap. To ensure this, all the points are presorted from left to right. So we have a left and right half and hence a left and right convex hull.
- Define a bridge as any line segment joining a vertex on the left and a vertex on the right that does not cross the side of either polygon. What we need are the upper and lower bridges.
- The following produces the upper bridge:



# Combining two hulls

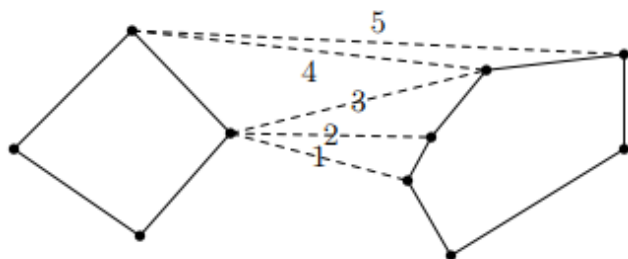
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1. Start with any bridge. For example, a bridge is guaranteed if you join the rightmost vertex on the left to the leftmost vertex on the right.
2. Keeping the left end of the bridge fixed, see if the right end can be raised. That is, look at the next vertex on the right polygon going clockwise, and see whether that would be a (better) bridge. Otherwise, see if the left end can be raised while the right end remains fixed.
3. If made no progress in (2) (cannot raise either side), then stop else repeat (2).



# Combining two hulls

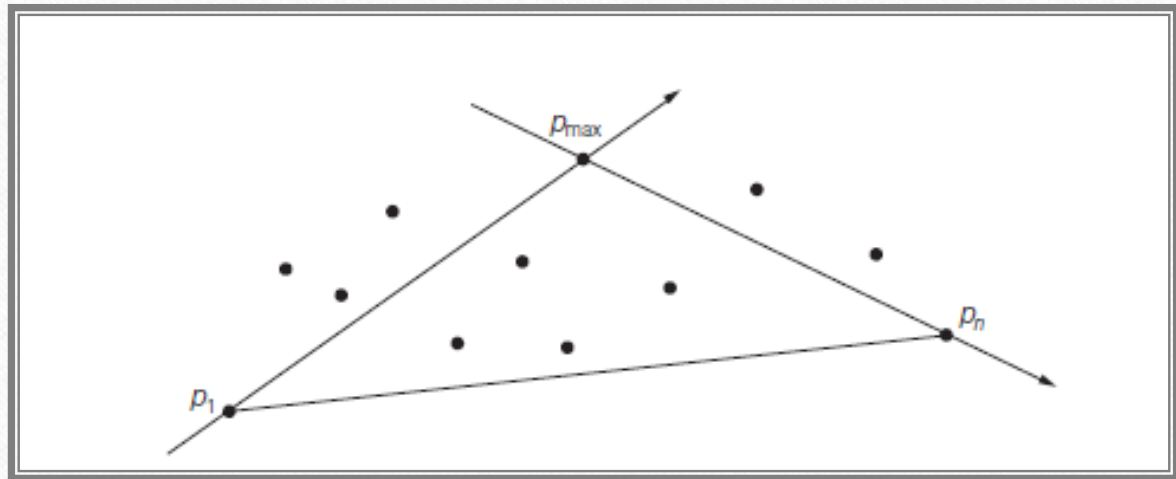
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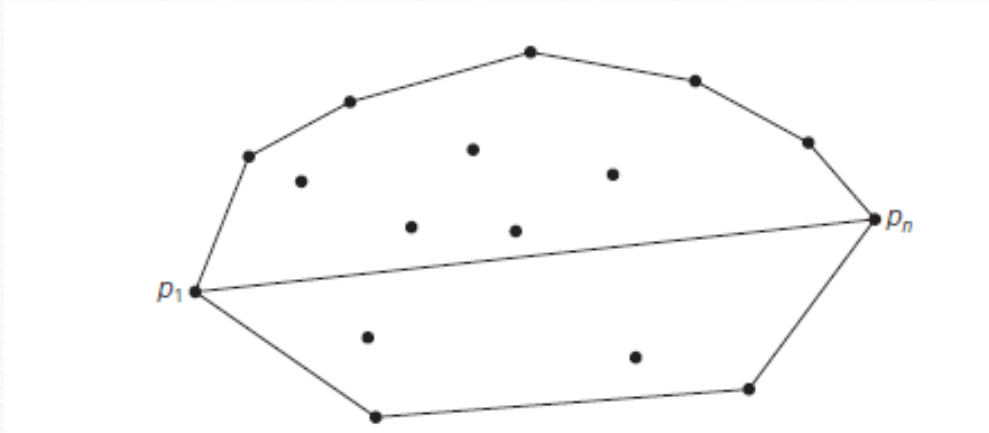
- Define a bridge as any line segment joining a vertex on the left and a vertex on the right that does not cross the side of either polygon. What we need are the upper and lower bridges.

# Quickhull

- The leftmost point  $p_1$  and the rightmost point  $p_n$  are two distinct extreme points of the set's convex hull
- Let  $p_1p_n$  be the straight line through points  $p_1$  and  $p_n$  directed from  $p_1$  to  $p_n$ .
- This line separates the points of  $S$  into two sets:  $S_1$  is the set of points to the left of this line, and  $S_2$  is the set of points to the right of this line.
- The points of  $S$  on the line  $p_1p_n$ , other than  $p_1$  and  $p_n$ , cannot be extreme points of the convex hull and hence are excluded from further consideration.
- The boundary of the convex hull of  $S$  is made up of two polygonal chains: an "upper" boundary and a "lower" boundary.
- Upper hull is simply the line segment with the endpoints at  $p_1$  and  $p_n$ . If  $S_1$  is not empty, the algorithm identifies point  $p_{\max}$  in  $S_1$ , which is the farthest from the line  $p_1p_n$ .



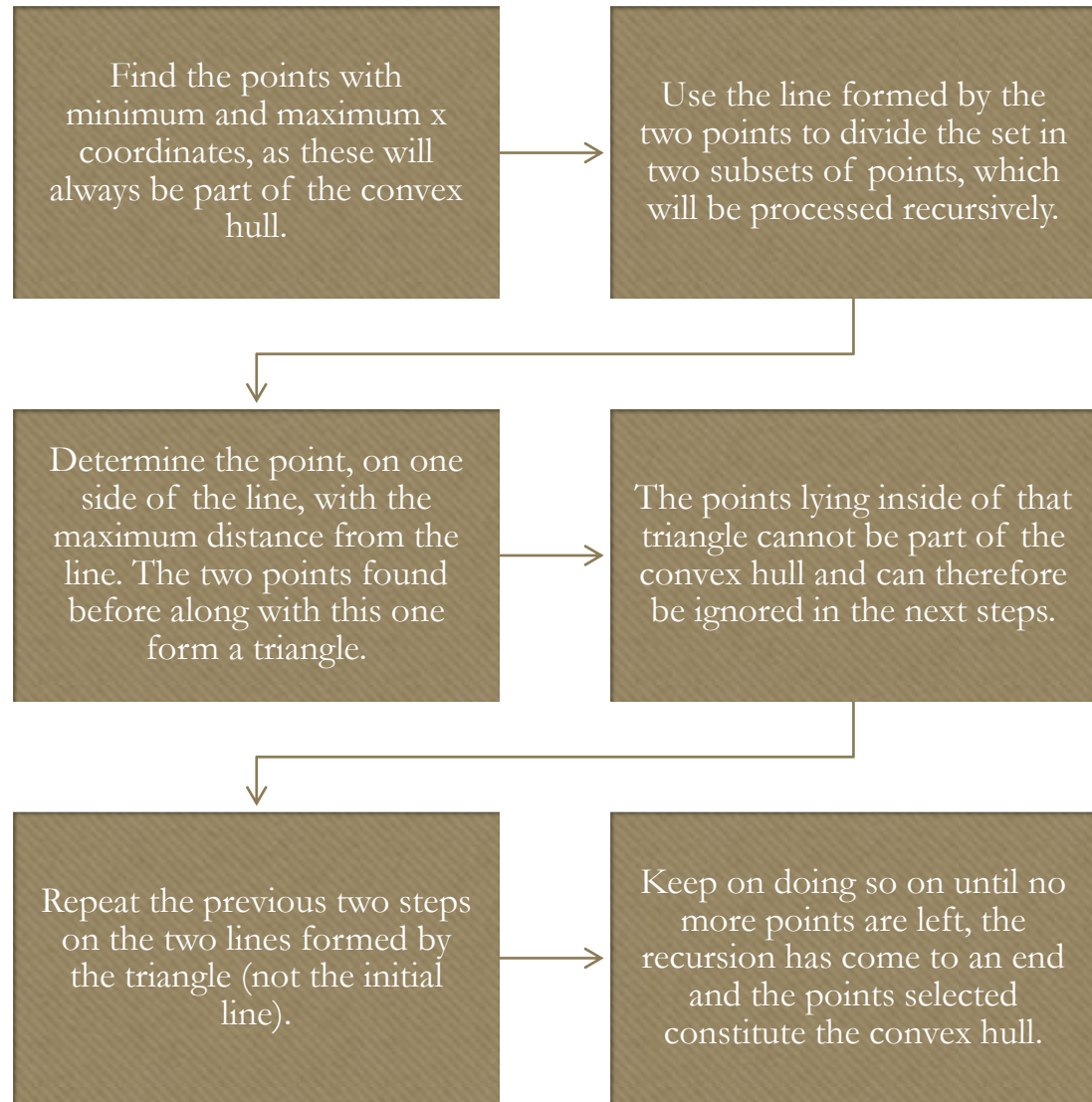
# Quickhull



- Then the algorithm identifies all the points of set  $S_1$  that are to the left of the line  $p_1 p_{\max}$ ; these are the points that will make up the set  $S_{1,1}$ .
- The points of  $S_1$  to the left of the line  $p_{\max} p_n$  will make up the set  $S_{1,2}$ .
- Therefore, the algorithm can continue constructing the upper hulls of
  - $p_1 \cup S_{1,1} \cup p_{\max}$  and
  - $p_{\max} \cup S_{1,2} \cup p_n$
- recursively and then simply concatenate them to get the upper hull of the entire set  $p_1 \cup S_1 \cup p_n$ .



# Quickhull



# Runtime

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- Quickhull has the same complexity class ( $n^2$ ) as quicksort
- In the average case, however, we should expect a much better performance.
- First, the algorithm should benefit from the quicksortlike savings from the on-average balanced split of the problem into two smaller subproblems.
- Second, a significant fraction of the points—namely, those inside  $p_1 p_{\max} p_n$ —are eliminated from further processing.
- Under a natural assumption that points given are chosen randomly from a uniform distribution over some convex region (e.g., a circle or a rectangle), the average-case efficiency of quickhull turns out to be linear.