

# Digital Representation of Sound





# Sound as Voltage

- Ears and microphones translate sound waves into electrical signals (voltages)
- Analog tape recorders
  - Store an essentially continuous signal
  - Recording is degraded during playback
- Digital samplers
  - Discretize the continuous signal
  - Information is lost in the process
  - Samples are faithfully represented



# Digital Sampling

- Sampling rate
  - Voltage is sampled at regular time intervals:

$$t_n = \frac{n}{R}$$

where  $R$  is the sampling rate

- Sample resolution
  - Voltages are assigned integer values (quantization)
  - A fixed number of bits is allowed for each sample



# Common Sampling Rates

- 8000 Hz – telephony
- 11025 Hz, 22050 Hz – low quality audio for computer applications
- 44100 Hz – CD audio quality
- 48000 Hz, 96000 Hz – DVD audio





# 8 vs 16 Bit Sampling

- 8 bit samples
  - Unsigned integer values in range [0..255]
  - Midpoint value is 128
- 16 bit samples
  - Signed integer values in range [-32768..32767]
  - Midpoint value is 0





# 16 Bit Sampling Example

- Suppose that the voltage at time  $t$  seconds is

$$V(t) = 23456(7t^2 - 1)$$

and the sampling rate is  $R = 5 \text{ Hz}$ .

- Using 16 bit sampling, the first 4 samples values are

$$V(0) = -23456$$

$$V(1/5) = -16888.32 \Rightarrow -16888$$

$$V(2/5) = 2814.72 \Rightarrow 2815$$

$$V(3/5) = 35653.12 (> 32767) \Rightarrow 32767$$



# 8 Bit Sampling Example

- Assume the input voltage is

$$V(t) = 135(7t^2 - 1)$$

and the sampling rate is  $R = 5 \text{ Hz}$

- With 8 bit sampling, the first 4 sample values are

$$V(0) = -135 \Rightarrow -135 + 128 = -7 (< 0) \Rightarrow 0$$

$$V(1/5) = -97.2 \Rightarrow -97.2 + 128 = 30.8 \Rightarrow 31$$

$$V(2/5) = 16.2 \Rightarrow 16.2 + 128 = 144.2 \Rightarrow 144$$

$$V(3/5) = 205.2 \Rightarrow 205.2 + 128 = 332.2 (> 255) \Rightarrow 255$$



# Other Sample Resolutions

- 24 and 32 bit (signed) integer
- 32 bit floating point
- Used for audio mixing and mastering to avoid overflow when combining several audio sources
- Final version is down-sampled to 16 bits





# DC Offset

- The **DC Offset** of a signal is the average of the sample values





# Normalization

- Normalized audio data
  - DC offset of 0
  - Volume set to a maximum (specified) value





# Normalization Example

- *Example:* we normalize the values

47, -102, 63, 95

to a maximum of 200.

- The DC offset is:

$$(47 - 102 + 63 + 95) / 4 = 25.75$$

- Remove the DC offset from the samples:

$$47 - 25.75 = 21.25, \quad -102 - 25.75 = -127.75,$$

$$63 - 25.75 = 37.25, \quad 95 - 25.75 = 69.25$$



# Normalization Example (continued)

- Scale samples by  $m = 200/127.75$  to maximize:

$$21.25 * m = 33.27, \quad -127.75 * m = -200,$$

$$37.25 * m = 58.32, \quad 69.25 * m = 108.41$$

- If we are using (say) 16 bit resolution, we should round to the nearest integer. The normalized samples are thus:

$$33, -200, 58, 108$$

- *Remark:* to avoid numerical overflow, we should perform intermediate computations using floating point arithmetic



# Decibel Scale

- Decibels measure the logarithmic change between two voltage/amplitude values
- A voltage change from  $V_0$  to  $V_1$  corresponds to

$$20 \log\left(\frac{V_1}{V_0}\right) \text{ decibels}$$

- *Example:* increasing the voltage from 300 to 500 corresponds to an increase of

$$20 \log(500/300) \approx 4.44 \text{ dB}$$



# Gain and Volume

- Change of volume: multiply signal values by a **gain factor  $g$**

- Input:  $x(t)$ , output:  $y(t) = g x(t)$
- The gain is often specified in decibels:

$$g = 10^{dB/20}$$

- Maximum volume/level is specified relative to the maximum possible volume

- *Example:* 16 bit audio, volume of -3 dB

$$(max\ value) = (2^{15} - 1) 10^{-3/20} \approx 23197.3$$



# Nyquist Limit

- For a sampling rate  $R$ , only signals with a frequency  $f$  with

$$f \leq R/2$$

can be represented

- A periodic wave requires at least 2 sample points per cycle: one for the maximum, one for the minimum





# Implications of the Nyquist Limit

- Since humans can hear frequencies in the (approximate) range

20 – 20,000 Hz

a sampling rate of at least 40 kHz is needed to reproduce all sounds within the human range of hearing

- Canine hearing is approximately 40-60,000 Hz, so a compact disc cannot represent all sounds that are audible to a dog



# Bit Resolution and Noise

- Using a lower bit resolution introduces noise into the signal
- The signal to noise ratio is given by

$$SNR = \frac{(\textit{range of values})}{(\textit{smallest difference})}$$

(usually measured in decibels)

- 8 bits:  $SNR = 48 \text{ dB}$ , 16 bits:  $SNR = 96 \text{ dB}$



# WAVE files

- Most common format for storing uncompressed audio data
- Simple file format
- 8 and 16 bit sample resolutions
- 1 and 2 channel (mono and stereo) data
- Allows for some types of compression, although this is not commonly used



# Interpolation (1)

- Digital audio data is obtained by sampling a continuous signal at a given rate  $R$

*samples:*  $x_0, x_1, \dots, x_{N-1}$

- There are situations when we need the same signal sampled at a different rate  $R'$ 
  - Change the sampling rate of a WAVE file
  - Speed up and slow down audio effects
  - Time delay audio effects



# Interpolation (2)

- The approximate original continuous signal must be reconstructed using an interpolation technique
- Ideally, the reconstruction should not introduce frequency artifacts
  - For down-sampling ( $R' < R$ ), the frequency spectrum should be the same as the original up to the Nyquist frequency ( $R'/2$ )
  - For up-sampling ( $R' > R$ ), the frequency spectrum should be the same as the original for all frequencies



# Band-limited Interpolation (1)

- Nearly ideal interpolation
- Computationally expensive [ $O(N^2)$ ]
- Reconstruction of continuous signal

$$y(t) = \sum_{m=0}^{N-1} x_m \operatorname{sinc}(Rt - m)$$

$$\operatorname{sinc}(x) = \begin{cases} 1 & \text{if } x = 0 \\ \sin(\pi x) / \pi x & \text{if } x \neq 0 \end{cases}$$



# Band-limited interpolation (2)

- Resample at rate  $R'$

*samples:*  $y_0, y_1, \dots, y_{N'-1}$

$$y_n = y(n/R') = \sum_{m=0}^{N-1} x_m \operatorname{sinc}(\alpha n - m)$$

where  $\alpha = R/R'$

- Number of output samples

$$N' = \operatorname{floor}((N-1)/\alpha) + 1$$



# Linear Interpolation

- Computationally efficient [ $O(N)$ ]
- Introduces frequency artifacts
- Reconstruction of continuous signal

$$k = \text{floor}(Rt)$$

$$y(t) = x_k + (Rt - k)(x_{k+1} - x_k)$$

provided that  $k = 0, 1, \dots, N-2$



# Applications

- Data may be sped up (or slowed down) by a factor of  $\alpha$  on playback
  - Output data:  $y_0, y_1, \dots, y_{N'-1}$
  - Datum  $y_j$  is interpolated value at time  $t = \alpha j/R$ 
    - $y_j = y(\alpha j/R)$
    - Fractional index  $\alpha j$ :  $y_j = x_{\alpha j}$
- Data sampled at the rate  $R$  can be stored as data with a different sampling rate  $R'$ 
  - Effective speed up factor is  $\alpha = R/R'$



# Interpolation Example

- Input:  $x_0 = 16$ ,  $x_1 = 55$ ,  $x_2 = -20$ ,  $x_3 = 34$
- Want interpolated values for speed up by a factor of  $\alpha = 1.2$

$$y_0 = x_0 = 16$$

$$y_1 = x_{1.2} = 55 + (-20 - 55)(1.2 - 1) = 40$$

$$y_2 = x_{2.4} = -20 + (34 - (-20))(2.4 - 2) = 1.6$$

Output values: 16, 40, 2 (rounded)



# Resampling Example

- Input:  $x_0 = 40$ ,  $x_1 = 14$ ,  $x_2 = -26$ ,  $x_3 = 8$  sampled at 8 Hz
- Want interpolated values for 10 Hz sampling ( $\alpha = 8/10 = 0.8$ )

$$y_0 = x_0 = 40$$

$$y_1 = x_{0.8} = 40 + (14 - 40)(0.8 - 0) = 19.2 \rightarrow 19$$

$$y_2 = x_{1.6} = 14 + (-26 - 14)(1.6 - 1) = -10$$

$$y_3 = x_{2.4} = -26 + (8 - (-26))(2.4 - 2) = -12.4 \rightarrow -12$$