



# Additive Synthesis

# Harmonic Functions

- Sine and cosine functions form the basis of harmony
- The cosine is a *phase shift* of the sine by  $\pi/2$

$$\cos(x) = \sin\left(x + \frac{\pi}{2}\right)$$

- A sine wave with *frequency*  $f$  has **angular frequency**  $\omega = 2\pi f$

$$y(t) = \sin(2\pi ft) = \sin(\omega t)$$

# Discretized Sine Function

- If  $R$  is the sampling rate (so that  $T = 1/R$  is the time between samples), the  $n$ -th sample of a sine wave is

$$y_n = \sin\left(\frac{2\pi f n}{R}\right)$$

where  $y_n = y(n/R)$

- However, this involves the computation of the sine function (which can be expensive) for each output sample



# Recurrence Relation

- The  $n$ -th sample of a discretized sine function can be accurately be computed using the *recurrence relation*:

$$y_0 = 0 \quad y_1 = \sin\left(\frac{2\pi f}{R}\right)$$

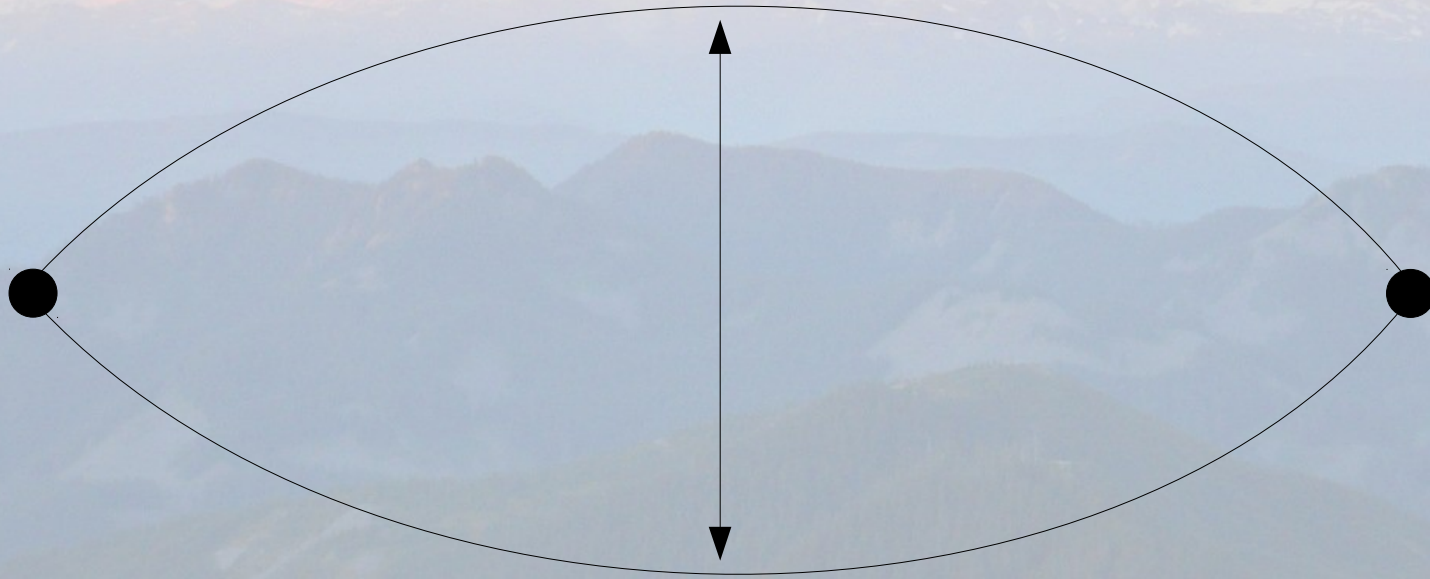
$$y_n = a y_{n-1} - y_{n-2}$$

where

$$a = 2 \cos\left(\frac{2\pi f}{R}\right)$$

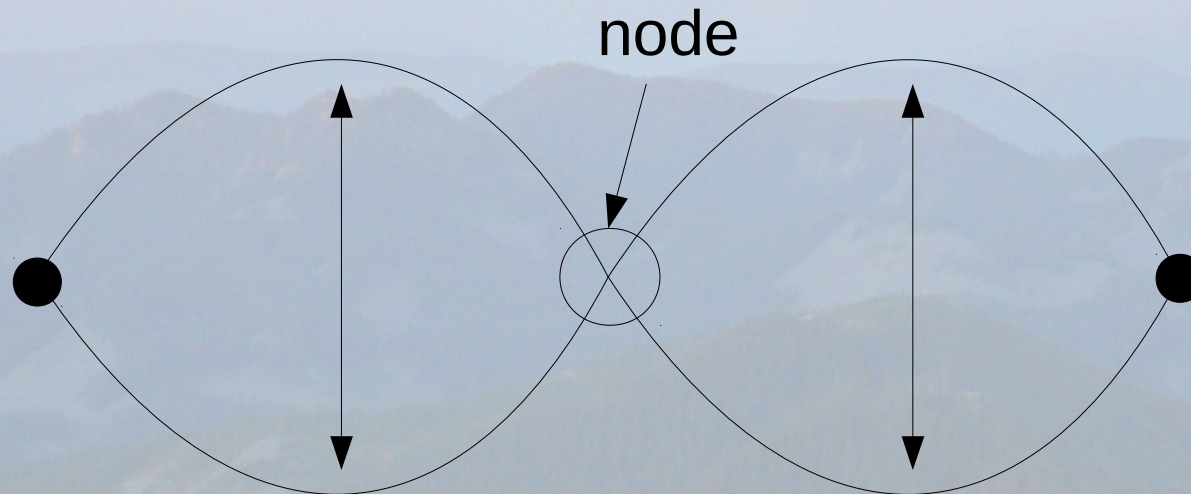
# Strings (1)

- A vibrating string has different modes of oscillation (standing waves)
- The first is the **fundamental**, or **first harmonic**



# Strings (2)

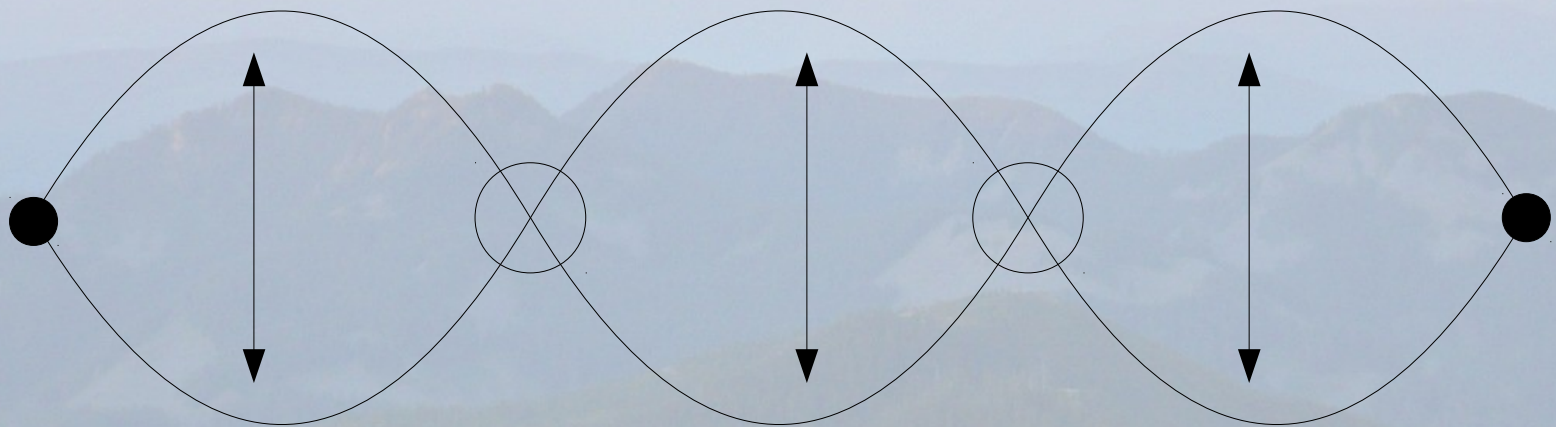
- The second is called the **second harmonic**
  - Frequency of oscillation is twice that of the fundamental:  $f = 2 f_0$
  - One vibrational node





# Strings (3)

- The  $n$ -th harmonic
  - Has  $(n-1)$  vibrational nodes
  - Frequency of oscillation is  $n$  times the fundamental frequency:  $f = n f_0$



3<sup>rd</sup> harmonic

# Strings (4)

- A plucked string is a superposition of many harmonics

$$y(t) = \sum_{n=1}^{\infty} A_n \sin(n \omega_0 t)$$

where  $\omega_0 = 2\pi f_0$

- The **amplitude**  $A_n$  of the  $n$ -th harmonic determines the overall sound of the string
  - May depend on how the string is plucked



# Harmonic Series (1)

- For a given fundamental angular frequency  $\omega_0$ , the sequence of integral multiples

$$\omega_0, 2\omega_0, 3\omega_0, 4\omega_0, \dots$$

is called a **harmonic series**

- Aside: the so-called harmonic sequence in mathematics  $1, 1/2, 1/3, 1/4, \dots$  is related to the above harmonic series since a string, when shortened to  $1/n$ -th of its original length, vibrates at  $n$  times its original frequency

# Harmonic Series (2)

- The individual terms in the harmonic series are called **partials**
- A harmonic series, along with a collection of coefficients  $A_n$ , can be used to additively construct a signal

$$y(t) = \sum_{n=1}^{\infty} A_n \sin(n \omega_0 t)$$

that can be identified as having a “pitch” value whose frequency is  $f_0 = \omega_0 / 2\pi$



# Inharmonic series

- More generally, we may consider arbitrary ordered sequences of frequencies

$$\omega_1 \leq \omega_2 \leq \omega_3 \leq \omega_4 \leq \dots$$

- If  $\omega_n \neq n \omega_1$  for some  $n$ , then the sequence is said to be **inharmonic**
- We may again construct a signal additively

$$y(t) = \sum_{n=1}^{\infty} A_n \sin(\omega_n t)$$



# Fourier Sine Series (1)

- Every continuous function on  $[0, L]$  can be expressed as a sum of sines

$$f(t) = \sum_{n=1}^{\infty} A_n \sin(n\pi t/L)$$

provided that  $f(0) = 0$  and  $f(L) = 0$

- Indeed, the coefficients are given (uniquely) by

$$A_n = \frac{2}{L} \int_0^L f(x) \sin(n\pi x/L) dx$$

# Fourier Sine Series (2)

- This follows from the basic orthogonality relation for sine functions

$$\int_0^L \sin(m\pi x/L) \sin(n\pi x/L) dx = \frac{L}{2} \delta_{mn}$$

- For a function of  $L = N/R$  seconds sampled at a rate  $R$

$$A_n \approx \frac{2}{N} \sum_{k=0}^{N-1} f(k/R) \sin(\pi nk/N)$$

# Fourier Sine Series (3)

- The sum may require too many terms to be an accurate approximation
- Inefficient way to construct anything other than simple string-like sounds



# Inharmonic Deconstruction

- Given an audio signal, it is possible to extract the dominant frequencies and the amplitudes of the corresponding partials
  - The frequencies and amplitudes can be obtained using the Fourier Transform
- The original signal can then be approximated by an inharmonic sum of sinusoids
- Fewer partials are needed to construct a more complex sound

# Using Envelopes

- We can synthesize an even wider variety of sounds with only a few sinusoids if we allow the coefficients in the sum of sinusoids to vary with time

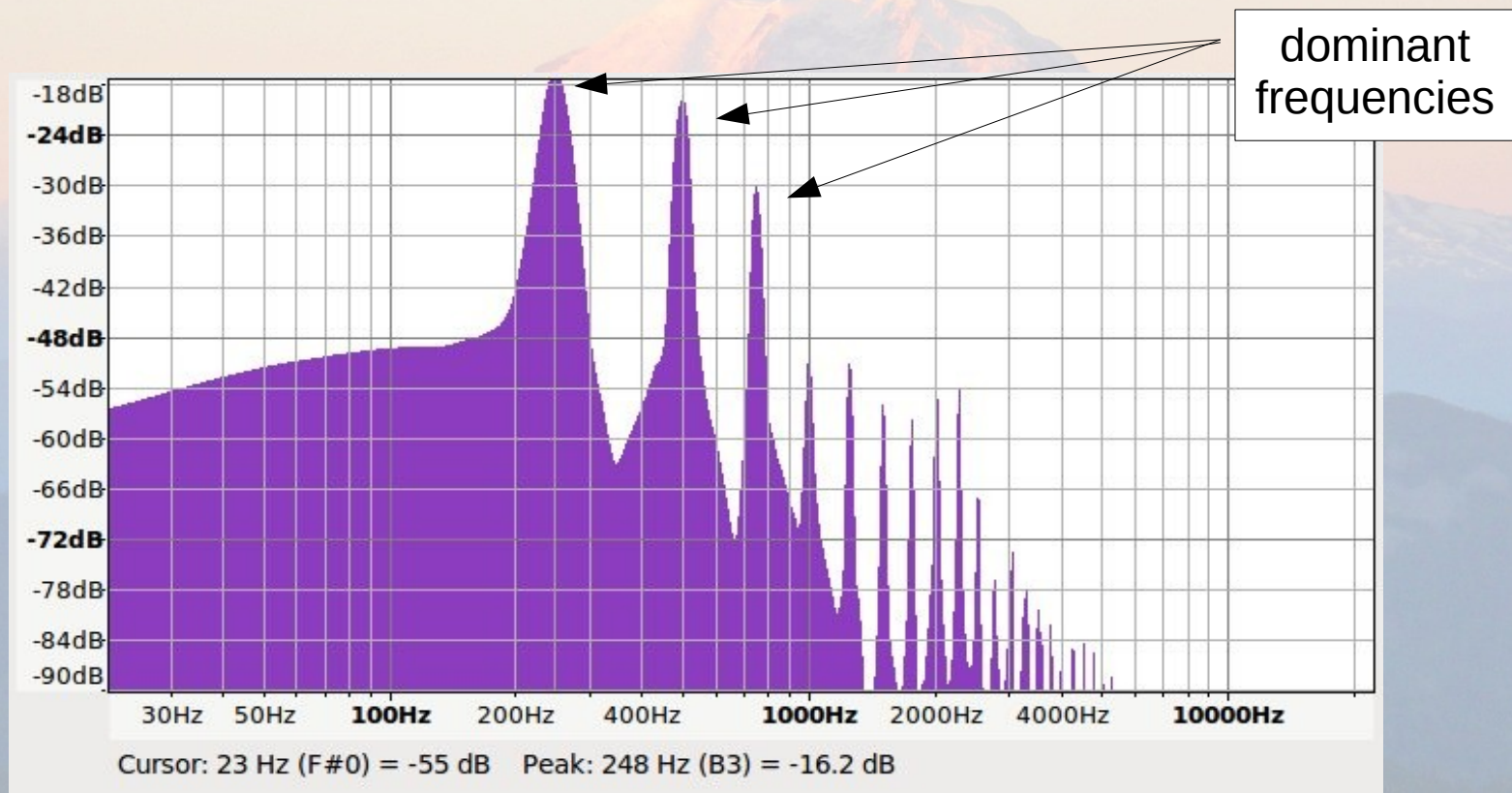
$$y(t) = \sum_{n=1}^{\infty} A_n(t) \sin(\omega_n t)$$

- That is, we may multiply each partial by a time-varying envelope



# Sound Modeling (1)

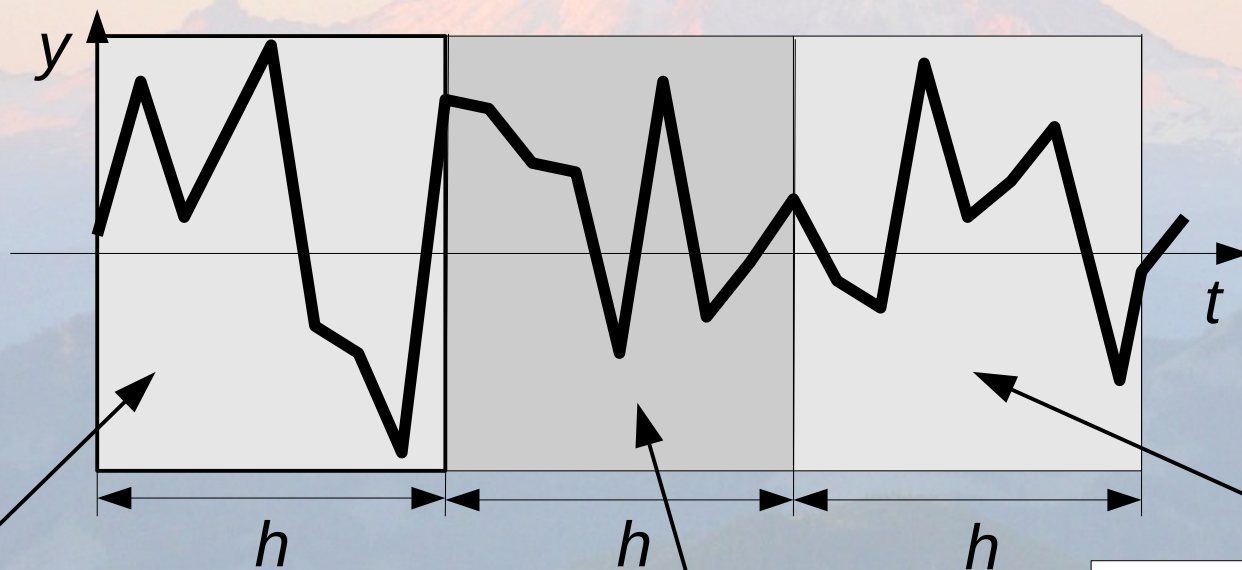
- Identify the dominant frequencies and amplitudes from the frequency spectrum





# Sound Modeling (2)

- Approximate the envelope  $A_n(t)$  for each dominant partial by computing the Fourier coefficient  $A_n$  on small time intervals



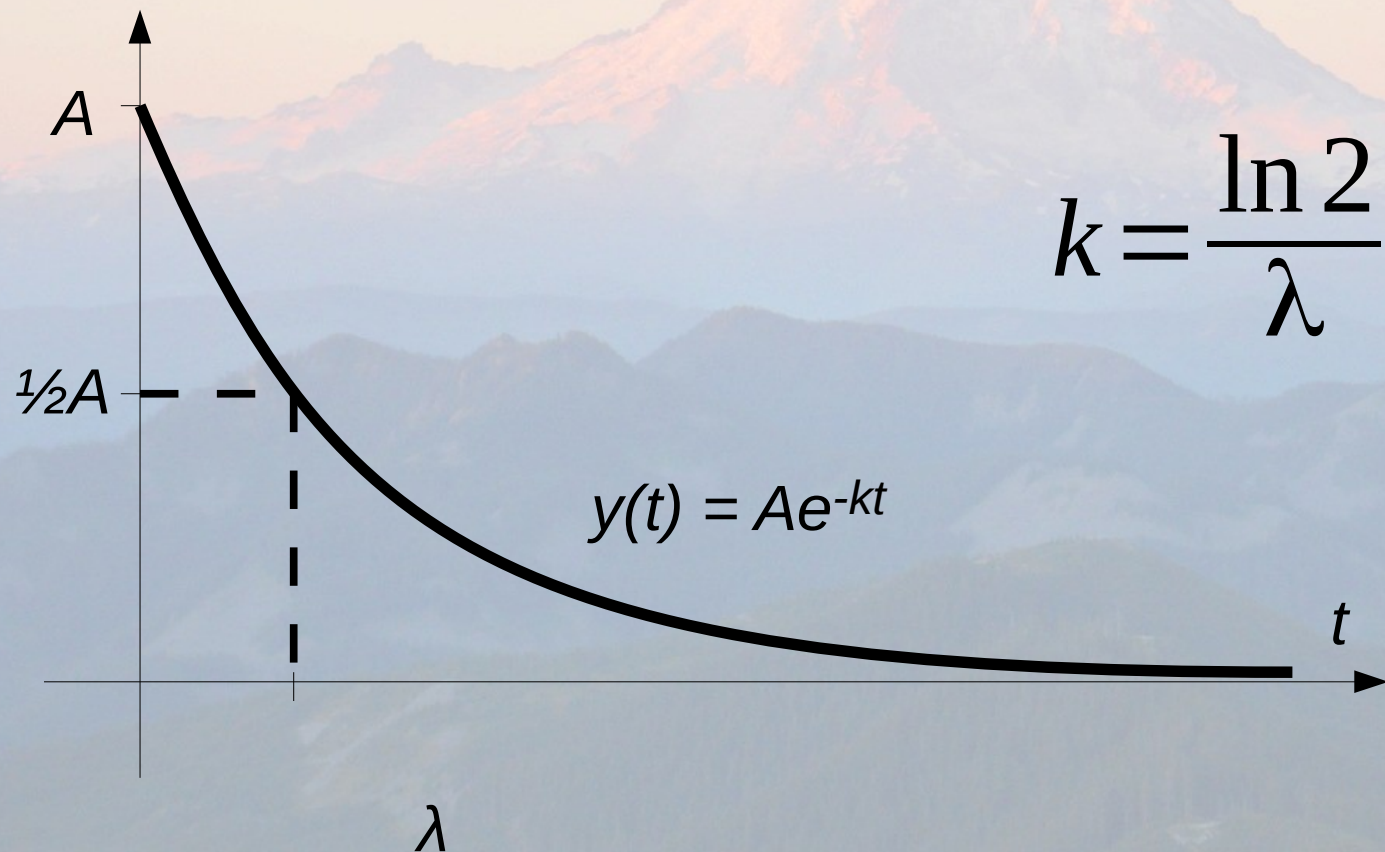
$$A_n(0) = \frac{2}{h} \int_0^h f(x) \sin\left(\frac{n\pi x}{h}\right) dx$$

$$A_n(h) = \frac{2}{h} \int_h^{2h} f(x) \sin\left(\frac{n\pi x}{h}\right) dx$$

$$A_n(2h) = \frac{2}{h} \int_{2h}^{3h} f(x) \sin\left(\frac{n\pi x}{h}\right) dx$$

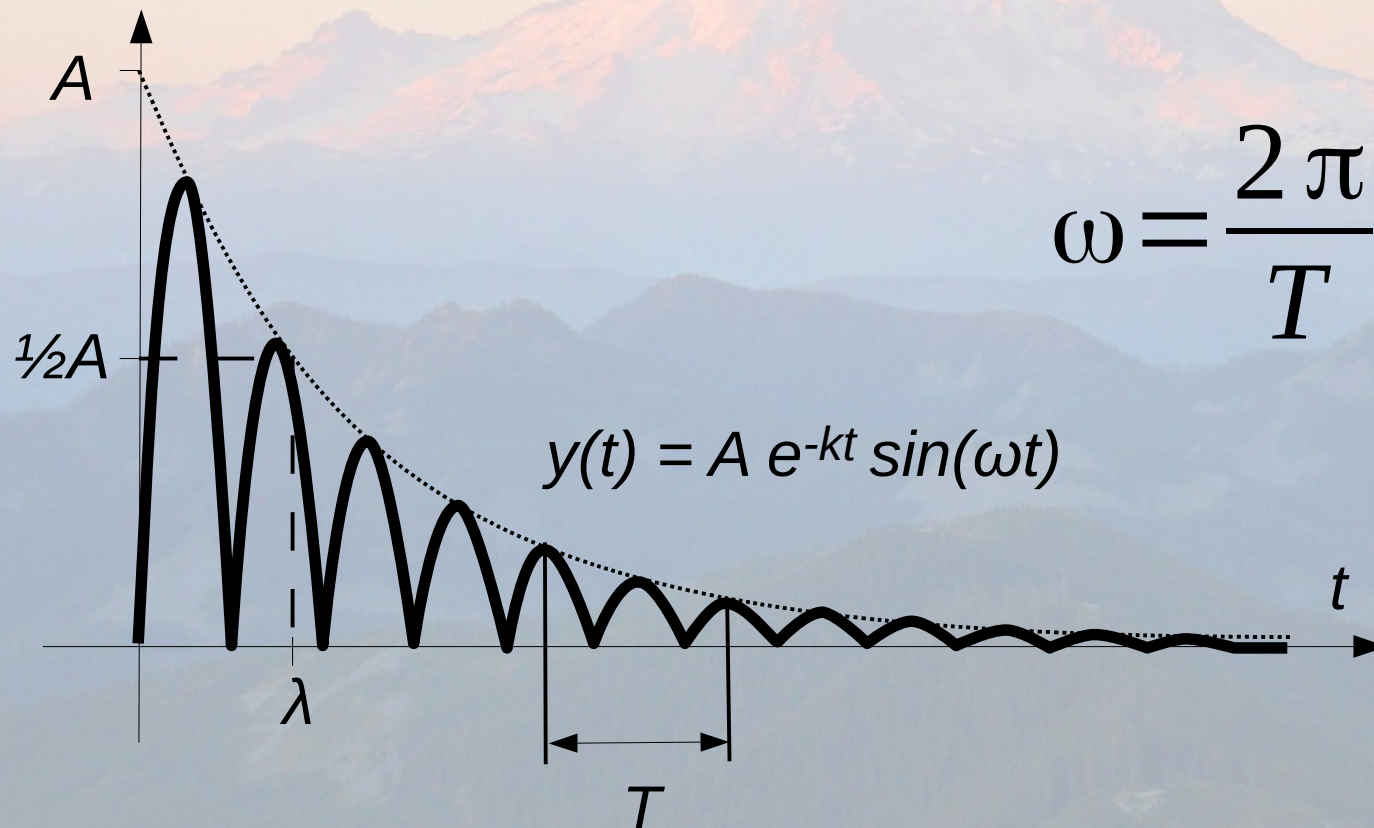
# Sound Modeling (3)

- The maximum amplitude  $A$  and half-life  $\lambda$  determine an exponential envelope



# Sound Modeling (4)

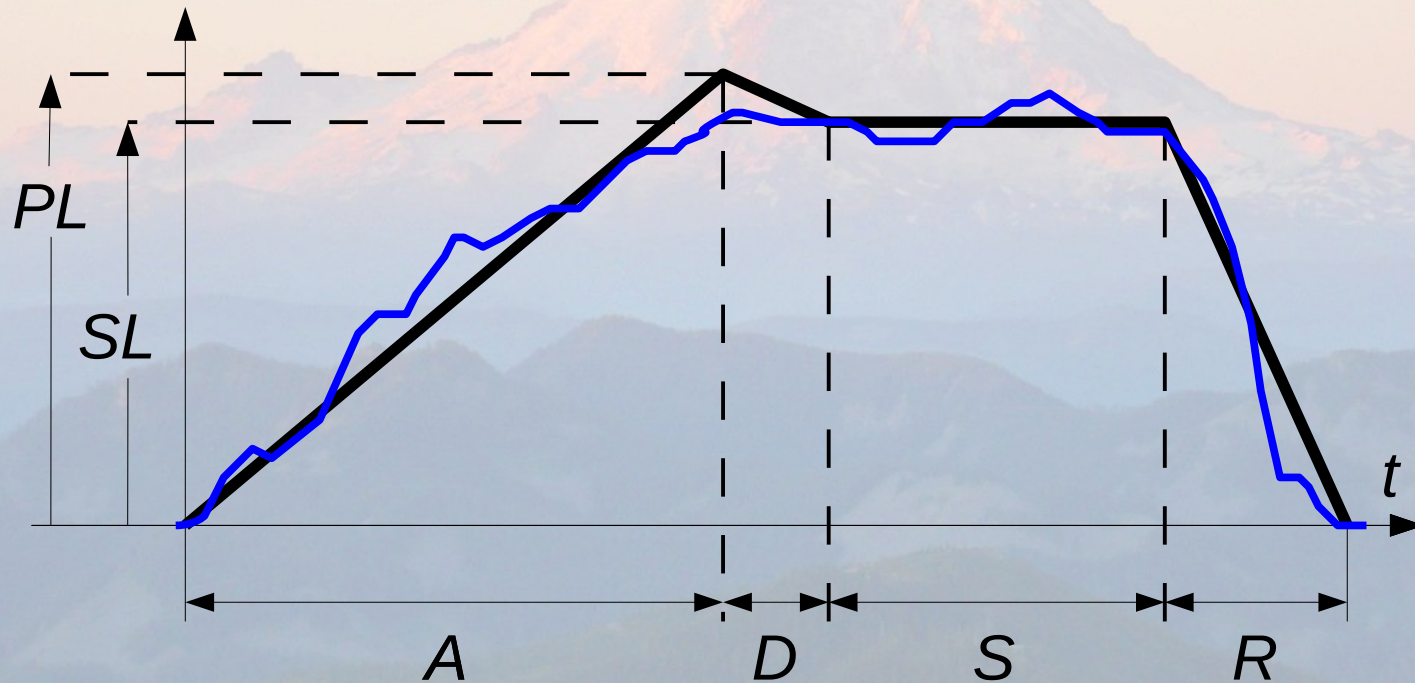
- The maximum amplitude  $A$ , the half-life  $\lambda$ , and the period  $T$  determine an exponential-sine envelope





# Sound Modeling (5)

- Other envelopes may be approximated by an ADSR envelope



# Tonal Transposition

- Once we have modeled a sound, we can produce different pitches by scaling the frequencies:

$$y(t) = \sum_{n=1}^{\infty} A_n(t) \sin(\alpha \omega_n t)$$

produces a sound whose fundamental frequency is  $\alpha f_1$ , where  $\omega_1 = 2\pi f_1$

# Other Deconstructions

- Instead of sinusoids, other functions can be used to construct and deconstruct sounds
- For deconstruction, we need a (complete) collection of functions satisfying an orthogonality relation
- For construction, orthogonality (and completeness) is not necessary
  - Amounts to mixing of sounds



# Amusement: Chebyshev Polynomials

- Chebyshev polynomials of the first kind are a complete collection of orthogonal polynomials  $T_0, T_1, T_2, \dots$  on the interval  $[-1, 1]$
- The polynomials are solutions of

$$\frac{d^2}{dx^2} T_n - x \frac{d}{dx} T_n + n^2 T_n = 0$$

for  $n = 0, 1, 2, \dots$

# Chebyshev Polynomials (2)

- Alternatively, the Chebyshev polynomials satisfy the recurrence relation

$$T_0(x) = 1, \quad T_1(x) = x, \\ T_n(x) = 2xT_{n-1}(x) - T_{n-2}(x)$$

- Orthogonality relation

$$\int_{-1}^1 T_m(x) T_n(x) \frac{dx}{\sqrt{1-x^2}} = \frac{\pi}{2} \delta_{mn}$$

# Chebyshev Polynomials (3)

- Every function  $f$  on  $(-1,1)$  can be expressed as a sum of Chebyshev Polynomials

$$f(x) = \sum_{n=0}^{\infty} A_n T_n(x)$$

- The coefficients are determined by

$$A_n = \frac{2}{\pi} \int_{-1}^1 f(x) T_n(x) \frac{dx}{\sqrt{1-x^2}}$$