#### UML 2.5.1 Overview

This document provides an overview of syntax elements (and occasionally their semantics) from UML 2.5.1. THIS DOCUMENT WILL NOT TELL YOU HOW TO CONSTRUCT A GOOD MODEL: you need to understand material from lectures on abstraction, purpose, and complexity to hope to arrive at good models. Furthermore, THERE ARE VARIOUS DETAILS HERE THAT YOU MIGHT NOT EVEN USE; avoid the temptation to cram in all syntax when it is not appropriate!

The formal UML specifications are all big, complex and focus on something called its metamodel (a model of its models); the notation is an afterthought. They have also vacillated about whether the defined notation is required or a suggestion. In UML 2.5.1, it seems to be required unless flexibility in some details is explicitly mentioned; at least, this will be the interpretation in the course.

UML has vacillated about whether specific diagram kinds are supported and what those kinds are. The end result as of 2.5.1 is confusion and inconsistencies. In this course, we will talk about/use certain kinds of diagrams as though those were inflexible.

Note: any notation with a shaded background is unofficial (not conforming to the official specification) but acceptable for use in the course.

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## General

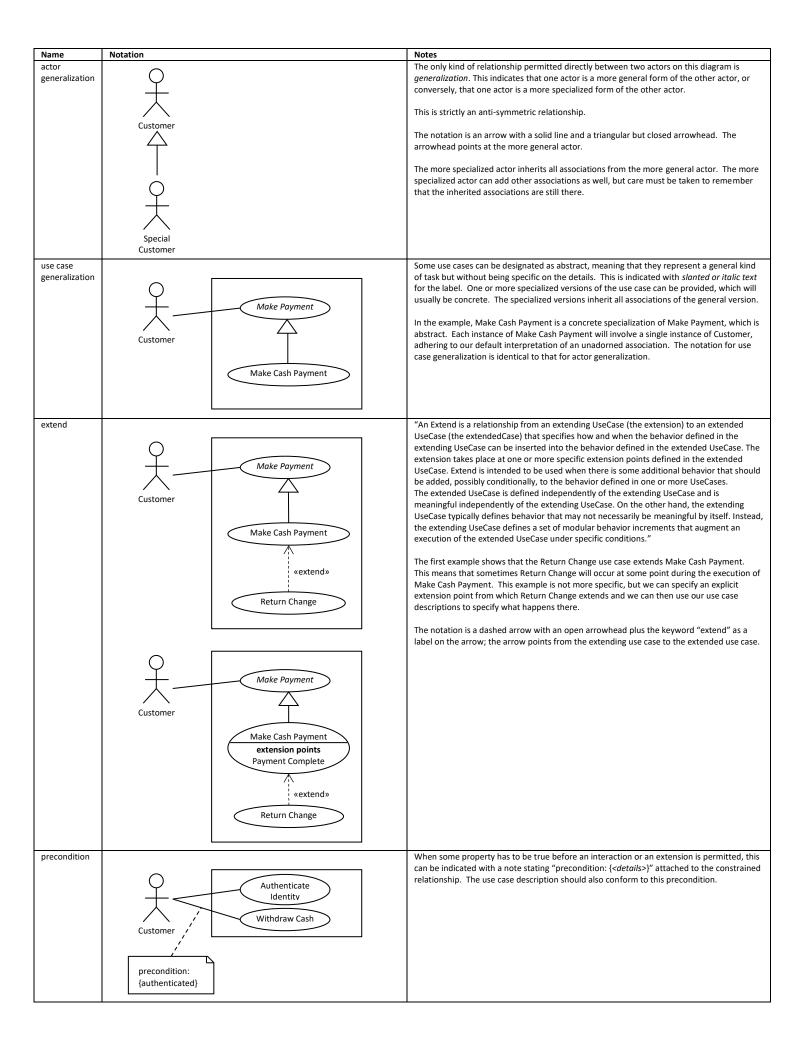
Some details apply to all diagrams.

Name	Notation	Notes
comment	This is a	Comments provide human-readable, additional information. They have no formal meaning otherwise.
	comment.	Officially, two forms are available: (1) with a dashed line connecting it to some other element to which the comment refers; or (2) floating in space, presumably as a general comment about the model and not specifically about one element therein.
	This is a comment.	<b>Unofficially</b> , the non-comment end of the line can be attached to a small, empty circle placed next to some detail in the element being referred to (e.g., a particular operation in a class), suggesting that the comment applies to that detail.
	This is a comment.	Only add comments if there is something too complicated to model or otherwise unobvious. Comments don't appear in every model; in fact, they are rather uncommon.
keyword	«interface»	UML predefines various special keywords that can be used in models to specify more precisely what kind of
(stereotype)	«interface» «foo»  «interface, foo»	model element is being used. In past versions of UML, these were call stereotypes, but now "stereotype" is used to mean a more narrow, special case of some other model element. For us, there are only a few places where keywords MUST appear and a few where they (or stereotypes) MAY appear if you wish to specify certain details. In general, avoid making up your own keywords or stereotypes except where I explicitly tell you that you can.
		The keyword appears in <i>guillemets</i> (doubled angle brackets can be used if you don't have access to guillemets); if more than one keyword is needed in the same place, you can either place each in its own guillemets or provide a comma-separated list within a single set of guillemets.
		With the exception of certain required keywords (like "interface"), you are not likely to use them.
additional properties	{ <property>}  {<property>=true, <property>, <property>=foo}</property></property></property></property>	A programming language like Java contains lots of additional details. Officially, every kind of model element is defined in what is called the UML <i>metamodel</i> : this specifies all the properties that each kind has; sometimes these have official notation/symbols to use and sometimes not. (Go figure why they don't bother to provide notation for the full metamodel; they probably couldn't agree about it all!)
		In cases without official notation, you really need to remember to ask yourself, "Is this detail important to my model?" Remember: the goal is not a perfect representation of every detail: that is what the source code is for!
		But if you are sure that you ought to represent one or more such details, here is what is possible.
		In many cases, you are either officially permitted to use the property notation shown here, or for practicality, I will tell you where that is permissible.
		When the properties are connected to the usual <name>: <type> kind of syntax that is mentioned various places below, the properties (between the curly braces) come after the <type>.</type></type></name>
shading and colour		Shading and colour can sometimes make things clearer, when the number of overlapping boxes and lines start to be overwhelming. Judicious use of shading or colour is acceptable in the course; don't overdo them: this isn't a paint-by-numbers activity!
presentation		The UML specification states that the standard notation can be replaced with other symbols (e.g., a computer
options		icon in place of the stick figure for non-human actors in use case diagrams). In the course, you are required to adhere to the standard notation or the options that I have detailed for you. In particular, using the wrong kind of arrows (or certain kinds of arrows in the wrong kind of diagram) can be meaningless or misleading. You are responsible to ensure that the syntax you use represents what you intend it to mean. Using an arrow when you should have used a rectangle is rather unlikely, but it is easy to change a solid line to a dashed line or vice versa, or to use the wrong kind of arrowhead. You will be graded, in part, on correct use of syntax and correct semantics.
guard	[ <boolean-expression>]</boolean-expression>	A guard is a common construct that is used in many diagrams wherever tests of values are needed. The key identifying syntax is the presence of the enclosing square brackets. The contents of the Boolean expression depend on what other names make sense in the context where the guard is found and the level of formality that the modeller is trying to achieve. A typical example could be "[foo < 10]". The locations permitted for guards is quite limited and it is important not to just slap them down arbitrarily: you would be risking total confusion.

# Use Case Diagrams

A use case diagram is not a complete description of use cases; they must be augmented with other information, which in the course, we represent with use case descriptions. In official UML, use case descriptions would be represented by other diagrams or other annotations.

Name	Notation	Notes
system		The system boundary (officially, the <i>subject</i> ) differentiates between what is inside the
boundary	SomeSystem	system being modelled and what is outside of it.
(officially, subject)		It is simply represented as a rectangle, with the name of the system in the top left corner.  Use cases can be placed inside the system boundary, and actors can be placed outside.
		Note: It is always an error to place an actor inside the system boundary or a use case outside it.
use case	Send Message  Send Message  extension points  Before Sending	"Each UseCase specifies some behavior that a subject can perform in collaboration with one or more Actors. UseCases define the offered Behaviors of the subject without reference to its internal structure. These Behaviors, involving interactions between the Actors and the subject, may result in changes to the state of the subject and communications with its environment. A UseCase can include possible variations of its basic behavior, including exceptional behavior and error handling."
		The notation for a use case is simple: an ellipse containing the name of the use case. One variation exists in which the ellipse is divided by a horizontal line: the name goes above the line, and any <i>extension points</i> defined by the use case can be listed below the line.
		The variation with the extension points can become awkward when there are multiple extension points or their names are long. The UML specification provides alternative notation to cope with such cases, but we will not accept that in this course.
actor	<b>Ο</b> Ο	"An Actor models a type of role played by an entity that interacts with the subjects of its associated UseCases (e.g., by exchanging signals and data). Actors may represent roles played by human users, external hardware, or other systems.
	Customer Database	"NOTE. An Actor does not necessarily represent a specific physical entity but instead a particular role of some entity that is relevant to the specification of its associated UseCases. Thus, a single physical instance may play the role of several different Actors and, conversely, a given Actor may be played by multiple different instances."
		The stick figure icon can be used for all actors, human or otherwise. Officially, alternative notations are provided in the UML specification, but these will not be accepted in the course.
		Note: It is always an error to represent the system being modelled as an actor, since that is what the system boundary delineates and the system cannot be outside itself.
association	Make Payment	An association can be placed only between one actor and one use case. The interpretation is that one instance of the actor interacts with one instance of the use case (at a time). The unadorned line can be interpreted this way; it also does not specify whether the system or the actor initiates the interaction.
		Adornments are also possible.
	Customer  Make Payment  Customer	An arrowhead indicates navigability: the entity (actor or use case) at the other end of the arrow can send messages (or perform any other interaction, which we model simply as "sending a message"). Without navigability, the entity receiving the message can only respond, not initiate messages. In the second example, a Customer instance can send messages to Make Payment, but Make Payment can only send responses to those messages.
	1 01 Make Payment  Customer  1 01 Make Payment *	Multiplicities indicate how many instances of the actor are involved with how many instances of the use case (simultaneously); a multiplicity is either a single integer greater than or equal to 1, or a range "xy" where x can be as small as 0 and y can be as large as unbounded, shown by "*"; x has to be smaller than y. The range "0*" is equivalent to "*". By default, a set of use cases will all have their association ends adorned with "01", meaning that zero or one instance of the use case could be occurring at any moment, and so we don't bother to provide this multiplicity. Also by default, one instance of each of the associated actors is involved in the interaction, so the set of actors will have their association ends adorned with "1". Other situations are far less common but possible in principle
	Customer 01 Credit Card Company	Multiple actors (or actor instances) can be involved in a single use case instance. In the example, an instance of Credit Card Company can be involved in Make Payment, but the Credit Card Company could be involved in multiple instances of Make Payment at the same time. The fourth example illustrates this.



Name	Notation		Notes
include	Customer	Make Payment  Make Cash Payment  «include»  Promote Preferred Customer Card	"Include is a DirectedRelationship between two Us the included UseCase (the addition) is inserted into (the includingCase). It is also a kind of NamedElem context of its owning UseCase (the includingCase). the changes produced by executing the included U available for the behavior of the including UseCase relationship is intended to be used when there are more UseCases. This common part is then extracte by all the base UseCases having this part in commor relationship is for reuse of common parts, what is complete in itself but dependent on the included the direction of the relationship, indicating that the but not vice versa. All of the behavior of the included location in the included UseCase before execution  The notation goes in the opposite direction from case to the included one. Using include only make shared by two or more use cases; often, generalize example here shows the syntax, but the fact that of Preferred Customer Card calls intit question its presentations.

JseCases, indicating that the behavior of nto the behavior of the including UseCase ment so that it can have a name in the e). The including UseCase may depend on UseCase. The included UseCase must be se to be completely described. The Include re common parts of the behavior of two or ted to a separate UseCase, to be included non. As the primary use of the Include is left in a base UseCase is usually not parts to be meaningful. This is reflected in he base UseCase depends on the addition uded UseCase is executed at a single on of the including UseCase is resumed."

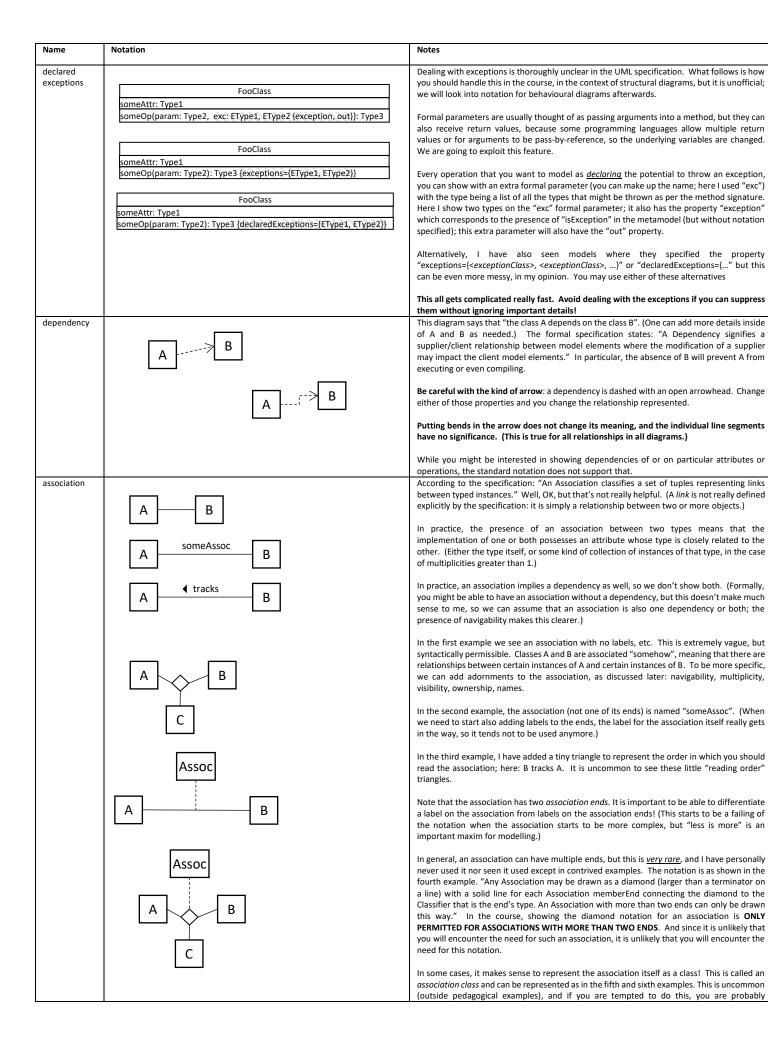
n that for extend: from the including use kes sense if there is non-trivial behaviour zation is more appropriate to use. The only one use case includes Promote esence in the diagram.

## Structure Diagrams

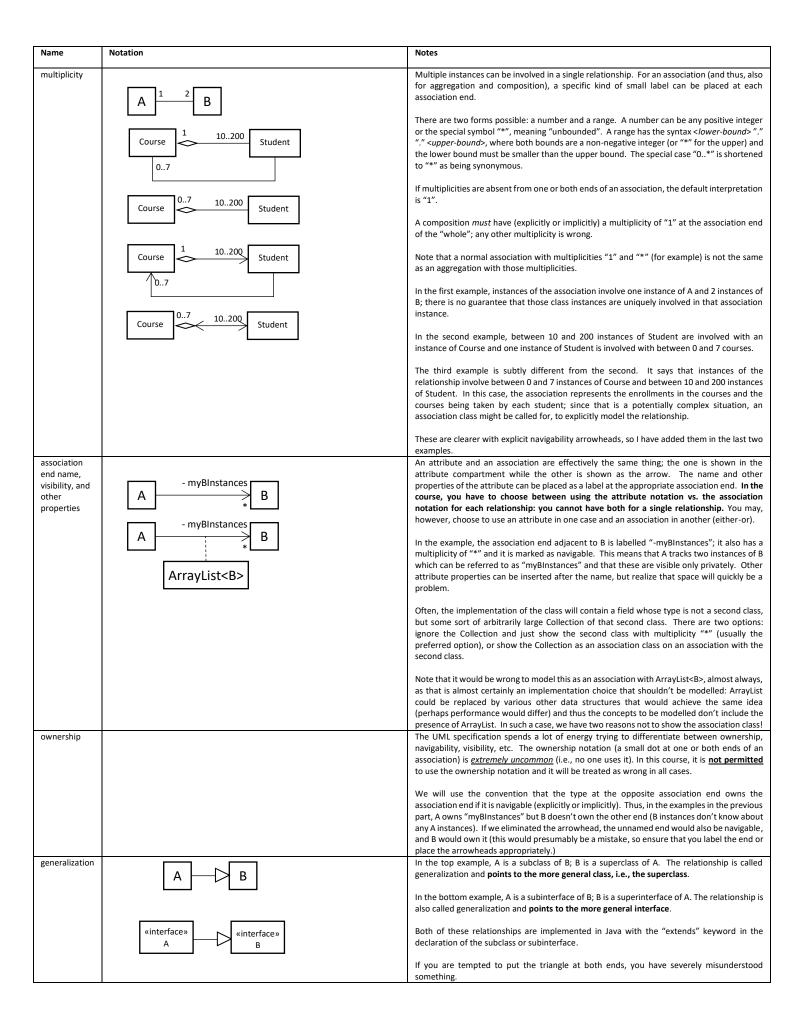
Our structure diagrams are a combination of what were known as class diagrams, object diagrams, and package diagrams, when people realized that they (sometimes) needed to intermix them. UML 2.5.1 in its Annex A again explicitly mentions various kinds of diagrams, as well as treating structure diagrams as the superclass of class, object, package, and some other kinds of diagrams, but the rest of the specification is inconsistent with the descriptions there. In the end, this nitpicking only matters if you are worried about conformance with other tools (beyond the course).

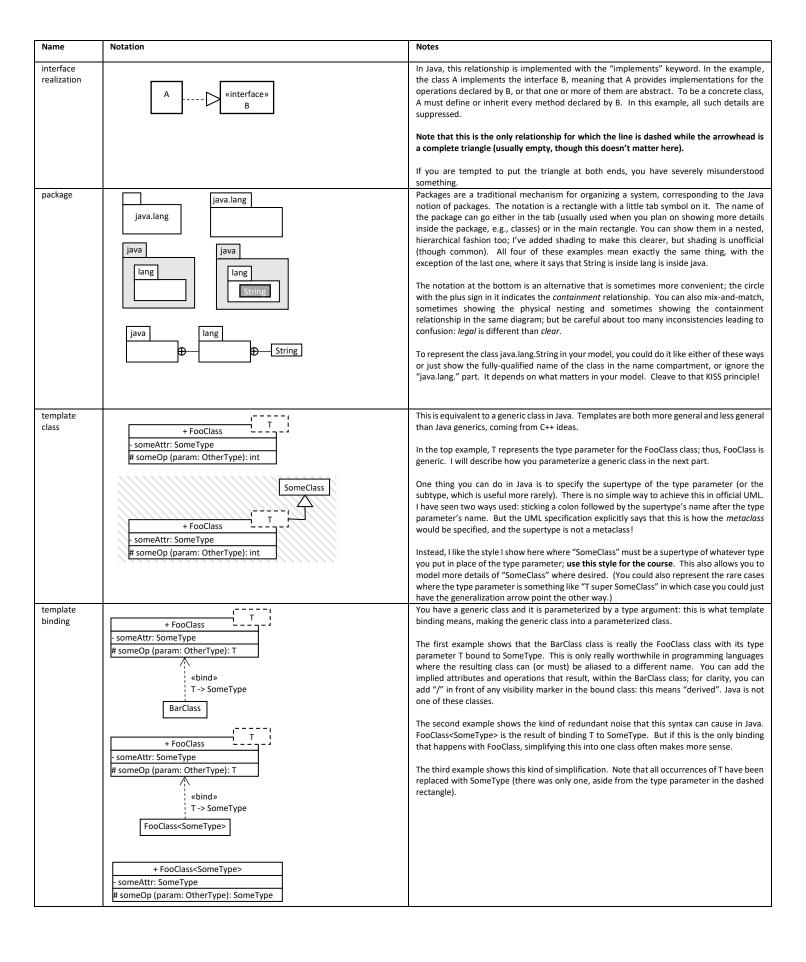
Name	Notation	Notes
Class	FooClass someAttr someOp()	A rectangle (without rounded corners, and not boldface!) with a name inside it (no colon ":" shown!) represents a class. Small variations on this <b>mean other things</b> , so it is easy to confuse them.
	+ FooClass - someAttr: SomeType # someOp (param: OtherType): int  FooClass	In practice, the four variations shown here show the range of common use:  (1) [top left] only the class name is shown (the class is named "FooClass" in this case), with all other details suppressed or non-existent (you can't tell which);  (2) [top right] three compartments are shown: topmost is the name, middle are the attributes, bottom are the operations;  (3) [middle] the same as #2, but with additional details shown: visibility, parameters for the operation, type/return type for the attributes and operations;  (4) [bottom] this is identical to #1 but the compartments have not been suppressed; this is less tidy than #1, though legal.  Additional decorations are possible as discussed later.
		Attributes are often referred to as fields or member variables, depending on the programming language. Attributes can possess various properties, like visibility; these are detailed below.
		Operations are often referred to (somewhat incorrectly) as methods, procedures, functions, or member functions, depending on the programming language. Operations can possess various properties, like visibility; these are detailed below.
		In some languages, constructors and destructors can be named arbitrarily; UML thus includes the stereotype "Create" (yes, capitalized) that can be used to adorn operations to indicate which is a constructor; it goes in front of the method name, though they don't explain if it should come before or after the visibility notation, when present. (The specification does not say how to represent destructors.) In Java, constructors must have the same name as their class, so they are unambiguous: don't use the "Create" keyword for Java-based models, therefore. And Java does not possess destructors. Note that constructors do not have return types!
		Java also possesses things called initializers and static initializers. These have no useful equivalent in UML; you can treat them as nullary methods named " <init>" and "<cli>clinit&gt;" respectively if you really think you need to represent them, and number them if there is more than one of either. Or you can reconsider if they are really important! Hint!</cli></init>
interface	<pre> «interface» Foo  someAttribute someOperation()   «interface» + Foo - someAttr: SomeType # someOp (param: OtherType): int  «interface» + Foo - someAttr: SomeType # someOp (param: OtherType): int </pre>	Basically the same <i>syntax</i> as a class but with the keyword "interface".  Interfaces are <i>semantically</i> significantly different from classes, so we will treat the "interface" keyword as <b>non-optional</b> , i.e., if the rectangle doesn't contain «interface», it must be a class, whether or not that is your intent and whether or not that would make sense.
object (also called instance)	obj: FooClass someAttr = aValue	It is rare though not impossible to want to model a specific object in a structure diagram; a typical case would be to provide a concrete example of objects at run-time, their contained values, and their links amongst themselves. In most cases though, you are doing something wrong.
(formally, instance specification)	: FooClass obj:	Objects are distinguished from classes by the presence of the colon ":" that separates the name from the type and the presence of the <u>underlining</u> . If either of these is absent, you have made a mistake.
		The label consists of <name> ":" <type>. Either the name or the type (or both) can be suppressed. Without a name, the object is anonymous (meaning: without name).</type></name>
		In even more rare cases, you can also model the specific values that the object holds in its versions of the attributes (these are called <i>slots</i> ), as in the version at the top right. The value held in the slot "someAttr", here, is "aValue", whatever that might be.
role	obj: FooClass obj: FooClass someAttr = aValue	A role represents a placeholder into which different objects can be slotted at different times. If we were to model SENG 300 and the people involved, the instructor could be a role as could be a student whereas "Robert Walker" or "Jane Smith" would be specific objects that could play either of those roles at some time in their lives.
	: FooClass obj:	Syntactically, a role looks like an object except for the lack of underlining.
public visibility	+	Usually shown preceding the name of a type, attribute, or operation. Equivalent to the modifier "public" in a programming language like Java.
private visibility	-	Usually shown preceding the name of a type, attribute, or operation. Equivalent to the modifier "private" in a programming language like Java.

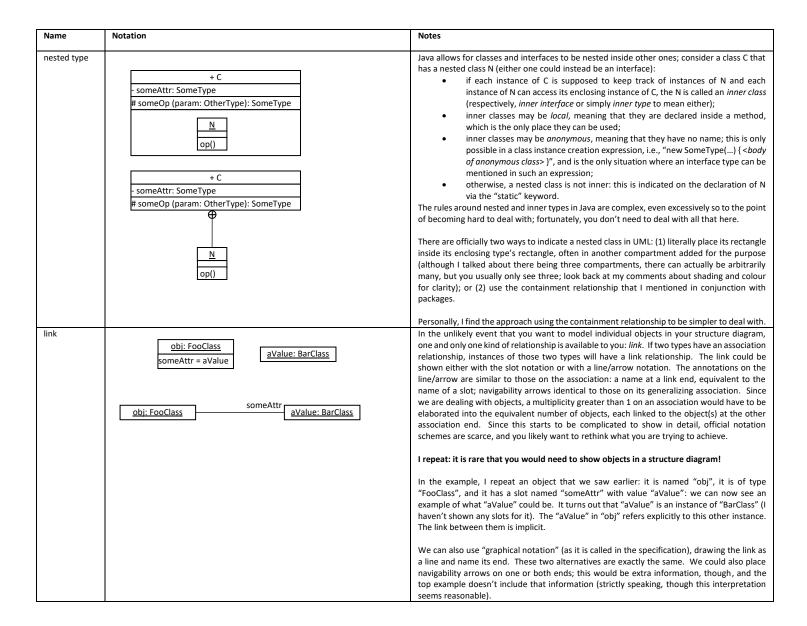
Name	Notation	Notes
protected visibility	#	Usually shown preceding the name of a type, attribute, or operation. Equivalent to the modified "protected" in a programming language like Java.
package visibility	~	Usually shown preceding the name of a type, attribute, or operation. Equivalent to contexts where none of the visibility modifiers "public", "protected", or "private" are given, in a programming language like Java.
colon separator		The name or signature (if shown) comes to the left and the type (if shown) comes to the right.  For attributes and operations, the colon and the following type can be suppressed, but the name/signature cannot be unless the whole attribute or operation is itself suppressed. For formal parameters, the name or type can be suppressed (sometimes I have seen the name by itself without anything else, but this is not correct UML). There is no official equivalent of the "" construct of Java: you can just use "" where needed.
static modifier	FooClass someAttr: Type1 someOp(param: Type2): Type3  FooClass someOp(param: Type2): Type3  FooClass someAttr: Type1 someOp(param: Type2): Type3  FooClass someAttr: Type1 someOp(param: Type2): Type3	Objects and roles are distinguishable by underlining the whole label in the case of objects.  The "static" modifier can be found on attributes, operations, classes, and interface types in Java; it is a signal that each can be accessed/called/referenced without reference to any particular instance of a class. The name/signature and following type (when not suppressed) is underlined.  The examples illustrate different elements of the class (or the class itself) being designated static; these mean different things. The fourth example shows all the elements being static.  Note that classes and interfaces can only be designated static if they are nested (not shown here). Usually, it is attributes and/or operations that are static.  Be careful not to confuse this with the underlining to indicate objects.
abstract modifier	FooClass someAttr: Type1 someOp(param: Type2): Type3	The "abstract" modifier can be found on operations, classes, and interface types in Java; it is a signal that each can is not complete and hence cannot be called or instantiated. The name/signature and following type (when not suppressed) is italicized or slanted.  In the example, the "someAttr" attribute is not abstract, while the class and the "someOp" operation are abstract.  Note that the opposite of abstract is concrete. Operations and classes are all concrete unless explicitly abstract. Interface types in Java are implicitly abstract, though it is legal to explicitly declare them as abstract; you can use the slanted/italic text or not in specifying interface types, as you find more convenient. Annotation types and enumeration types are always concrete; annotation types are a special case of classes.
final modifier	FooClass {leaf} someAttr: Type1 {readOnly} someOp(param: Type2 {readOnly}): Type3 {leaf}	In Java, the "final" modifier is used to mean different things in different contexts. On a variable (field, local variable, formal parameter), it means that the initial value, once assigned, cannot be altered. On a method, it means that its implementation cannot be overridden. On a class, it means that it tannot be subclassed.  In UML, these ideas are represented in different ways. For attributes, we can append the property "readOnly", as shown.  For classes and operations, there are two properties in the metamodel: "isLeaf" and "isFinalSpecialization". (Why two? Don't they achieve the same thing? Good questions; no answers.) Problem: there is no official notation for either! Solutions: I have seen examples on the web where they use "leaf" as a property, which is what I do in the example here, but it is unofficial. You could also just say, "Hey, enough already! I'll just make up a 'final' property and use it everywhere." In the context of the course, this is not acceptable. If you decide that it is important to model the presence of the "final" modifier, you have to use "leaf" on classes and operations but "readOnly" on variables of whichever kind.
other modifiers	{ <modifier>}</modifier>	Java has many other, more rarely used modifiers: "default", "native", "strictfp", "synchronized", "transient", and "volatile". As far as I know, none of these is supported even inside the metamodel. Are you really sure that they matter to your model? If so, your only options are to use a custom, unofficial property or a comment.



Name	Notation	Notes
		modelling too much. An association class is both an association and a class; you can add all the usual details to the class.
aggregation	Course Student	(Aggregation is now officially referred to as the "shared aggregation kind". Here is what the specification says about it: "Indicates that the Property has shared aggregation semantics. Precise semantics of shared aggregation varies by application area and modeler." Great, thanks for the help.)
		Here is my interpretation (based on historical definitions) that I want you to adopt. Aggregation refers to a <b>weak whole/part relationship</b> in which the parts might also be parts of other wholes. So we might model the students in SENG 300 as being instances of the Student class, aggregated into a Course class, but you are shared amongst different instances of Course and in other aspects of your life if we needed to model those too.
		It is a stronger relationship than normal association, as a course without students cannot function, and how well it functions depends in part on the specific students. If you are unsure whether a given situation qualifies as a real whole/part relationship, fall back to simple association.
		The class representing the whole has an <b>open</b> diamond at its association end (much smaller than the association diamond talked about above). Note that aggregation is necessarily an asymmetrical relationship; if you put the diamond at both ends, you are plain wrong, and if you have two relationships where the diamond is at different ends, you are equally wrong.
composition	Person	(Composition is now officially referred to as the "composite aggregation kind". Here is what the specification has to say: "Indicates that the Property is aggregated compositely, i.e., the composite object has responsibility for the existence and storage of the composed objects." That feels a bit better to me than the mess for aggregation.)
		Here is my interpretation that I want you to adopt. Composition refers to a <b>strong whole/part relationship</b> in which the parts cannot be shared between different wholes (though they might be interchanged) and where the parts generally do not survive the destruction of the whole.
		Composition is a stronger form of aggregation. Only use it if you are sure that it is appropriate.
		Again, the class representing the whole has a diamond at its association end but now it is FILLED. Again, it makes no sense to have diamonds at both ends of the association (or two associations with the diamonds at different ends), whether they are filled or not. (The issues regarding the diamond end and the navigability arrowhead are the same here as with aggregation.)
navigability		Navigability is a property representing the ability to arrive at an instance given a different instance.
	A B	Navigability can be indicated at any or all association ends. The only kind of marking permitted in the course is the open arrowhead (meaning "navigable"). (The UML specification also provides an explicit indication for non-navigability, but you may not use
	$A \longrightarrow B$	that in the course, and outside the course, don't be surprised if no one knows it.)
		At one point in the specification, it says, "An association with neither end marked by navigability arrows means that the association is navigable in both directions." This contradicts details I see elsewhere, as well as the general convention, "lack of information cannot be interpreted to mean something". Ah, wonderful consistency! However, we will stick to that definition: no arrowheads will be interpreted as navigability in both directions, but it would be better to put arrowheads at both ends.

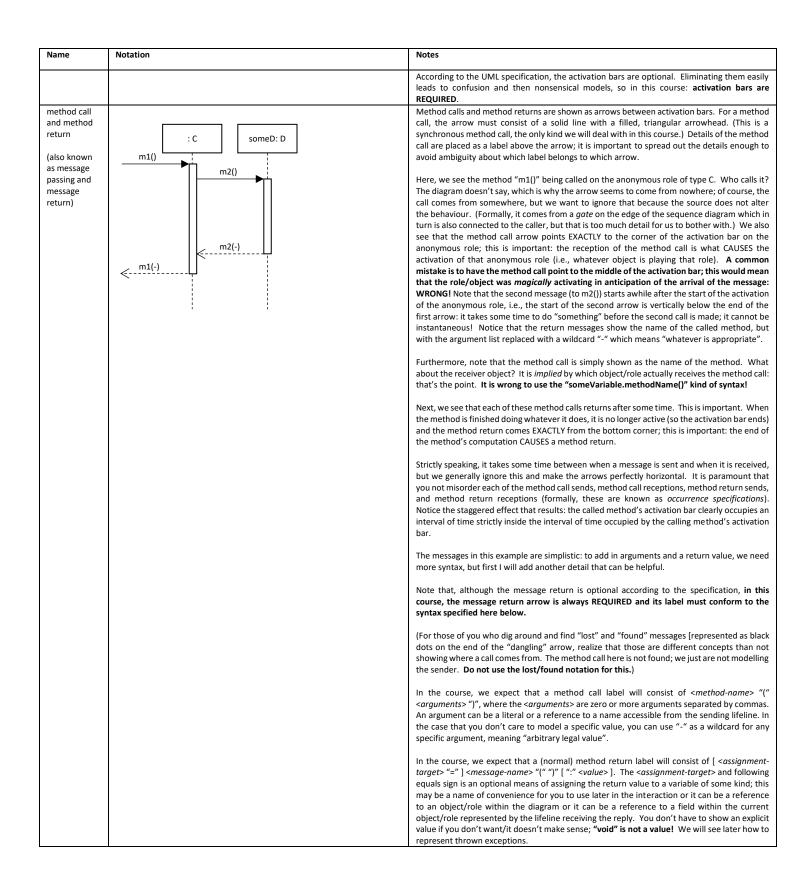


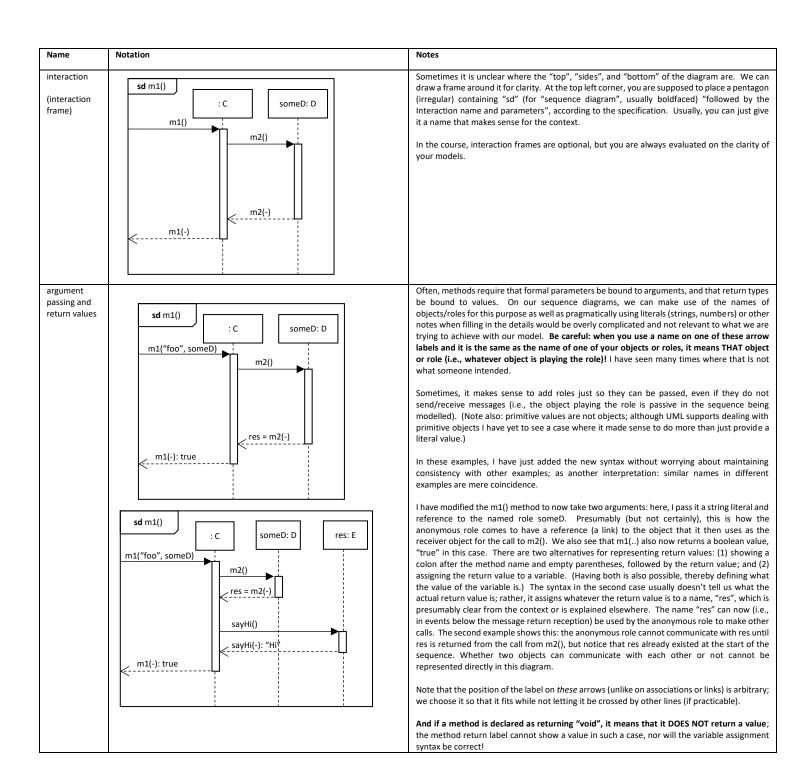


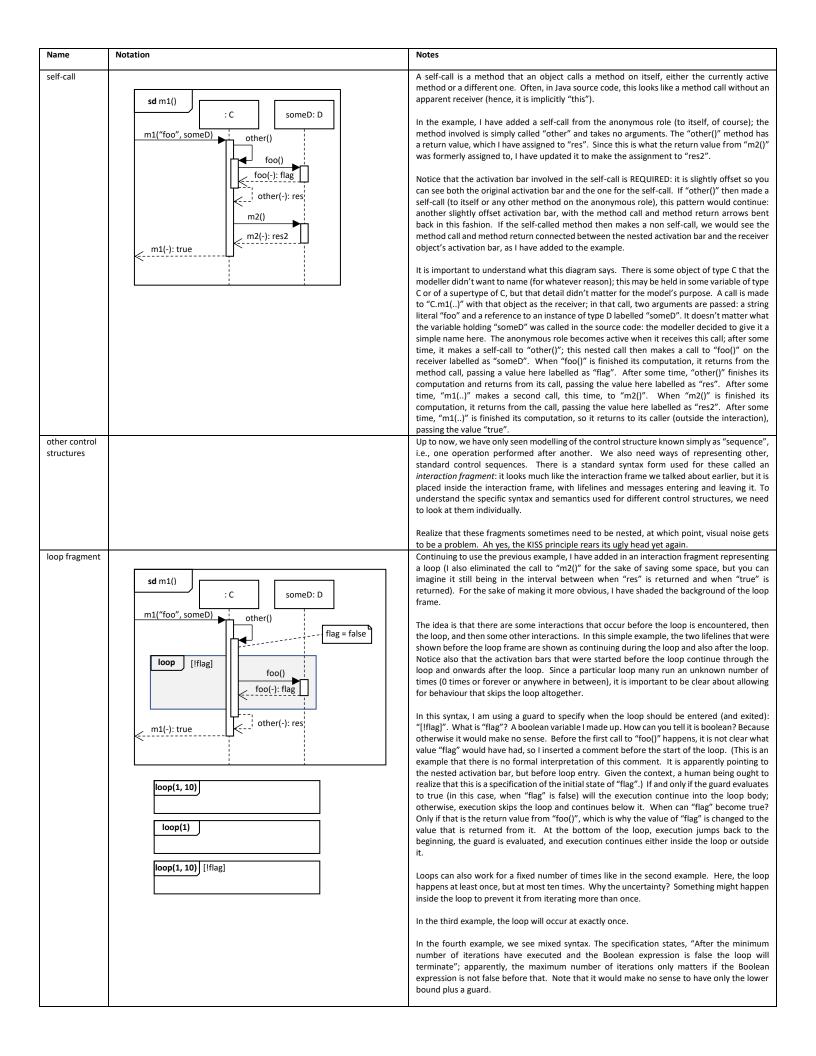


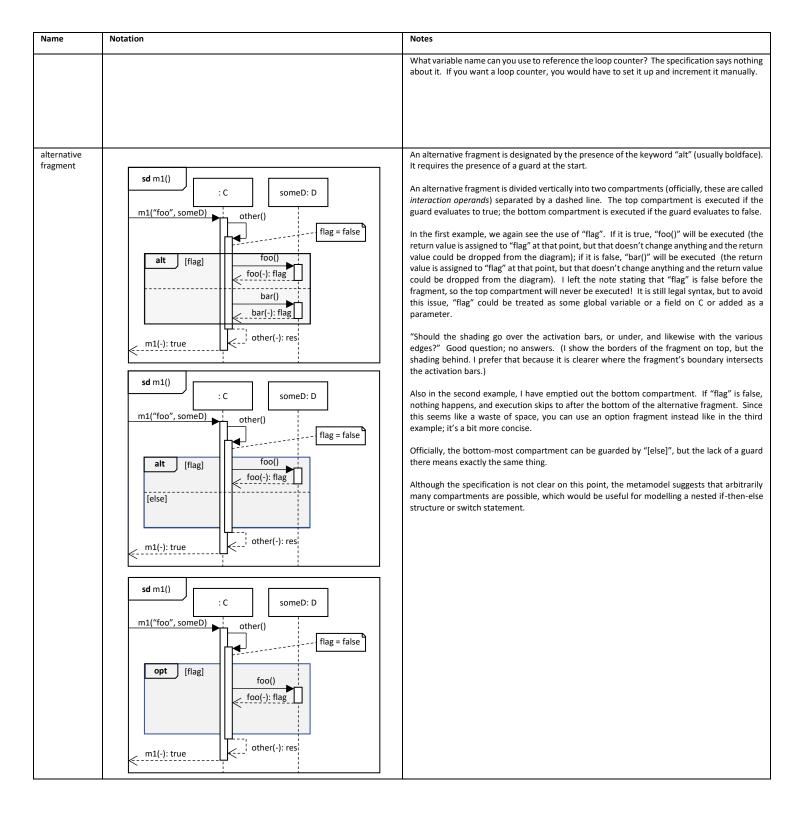
## Sequence Diagrams

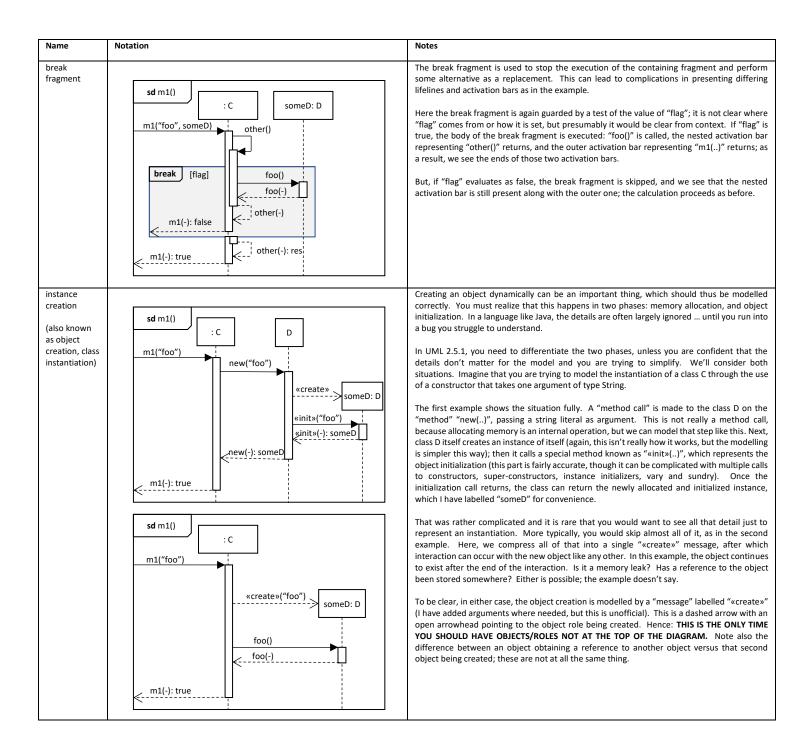
Name	Notation Viagrams	Notes
class	FooClass	It is <b>uncommon but not impossible</b> to need to represent classes on your sequence diagrams, typically when you need to call a static method. This is a class, not an object and not a role.
		For instance methods (i.e., ones not marked "static"), the method call has to be made on a receiving object (usually inside of a variable of some kind, so you see things like "a.foo()"). A class is not (normally) a receiving object: you are likely doing something wrong.
		The notation is the same as what can go in the name compartment of a class in a structure diagram.
		(Note that interfaces don't have static methods, so their presence makes no sense.)
interface	«interface» Foo Foo	Basically the same <i>syntax</i> as a class but with the keyword "interface". It is <b>very uncommon but not impossible</b> to need to represent interfaces on your sequence diagrams.
object (also called instance)	obj: FooClass	It is rare though not impossible to want to model a specific object, even in a sequence diagram: usually (but not always) you want a <i>role</i> .
	: FooClass obj:	Objects are distinguished from classes by the presence of the colon ":" that separates the name from the type and the presence of the <u>underlining</u> . If either of these is absent, you have made a mistake.
		The label consists of <name> "." <type>. Either the name or the type can be suppressed. The notation is the same as for objects in a structure diagram, except that you can only have the name compartment.</type></name>
role	role: FooClass	A role represents a placeholder into which different objects can be slotted at different times. If we were to model SENG 300 and the people involved, the instructor could be a role as could be a student whereas "Robert Walker" or "Jane Smith" would be specific objects that could play either of those roles at some time in their lives.
	: FooClass obj:	Syntactically, a role looks like an object except for the lack of underlining.
lifeline	obj: FooClass	Since a sequence diagram represents events occurring over time and since objects can be created or destroyed during the events represented therein, objects and/or roles are shown as explicitly existing over a certain period; this is called a lifeline. Formally, a UML lifeline is the rectangle (representing the object, role, or [rarely] class) AND the dotted line; informally, we tend to think of only the dotted line as being the lifeline. (I don't know that it makes practical difference outside formal definitions.)
		Relative time proceeds down the lifeline. Given two events $e_1$ and $e_2$ on the lifeline with $e_1$ above $e_2$ , $e_1$ occurs some time before $e_2$ ; there is no way to tell the exact amount of time between two events as their physical distance apart <b>means nothing</b> . (There are additional details that allow the time interval to be made somewhat precise, but these only matter in contexts beyond the course like for real-time systems with parallelism and timing constraints.)
		If the two events happen on <i>different</i> timelines, we still consider their relative vertical position as indicative of their ordering. (In systems with parallelism, there is no guarantee of relative order and so the UML specification becomes very complex in worrying about the semantics here, but these are complications beyond the course.)
		In most cases, the lifeline extends from the top of the diagram to the bottom. Visually, this means that some objects/roles/classes lie at the top (or as close thereto as is visually acceptable); these exist at the start of the sequence depicted and the diagram is SILENT about when and why they were created. Likewise, those objects/roles/classes typically still exist at the end of the sequence depicted and the diagram is SILENT about when and why they will be destroyed. Any object/role/class shown further down the diagram must be coming into existence at that point; there is specific syntax required to show WHY that object/role was created; if you don't use this, your diagram is wrong.
activation	1	The examples here are what you would typically expect, assuming the frame of the table cell is the edge of the diagram: the objects/roles near the top and the dotted line extended nearly to the edge. The few millimeters above and below are for visual comfort.
activation bars (formally, execution specifications)	: C someD: D	An activation bar indicates when an object/role/class is actively doing something (or waiting for a response to a call to another method). In most (all?) programming language implementations, method calls are placed in what is known as the call stack. In Java, a "main()" method is called first; imagine that this then calls "m1()", which then calls "m2()". Before "m2()" returns, the call stack has "main()" on the bottom, with "m1()" above it, and "m2()" on top. The method on top is the one actively computing something; the others are waiting for a response. On the diagram, each of these methods would be shown as a separate activation bar. But this is an incomplete description: unless the methods are static, they have to be called on a receiver object, which has to be an instance of the class implementing the method. Consider two classes C and D, where C implements main() and m1() and D implements m2() (it is very rare that you would want to represent the "main()" method, but it is always static).
		The example here shows what you can expect the lifelines and activation bars to look like once the call to C.m1() occurs; we will look at adding the syntax of messages in the next part, but I have aligned the starts and ends of the activations bars to account for the nesting effect of the calls and returns. There are two roles, one anonymous and one not; the diagram indicates that they were created before this sequence started and they still existed at its end. It isn't clear what cause the activations to start and end; we need more syntax for that.

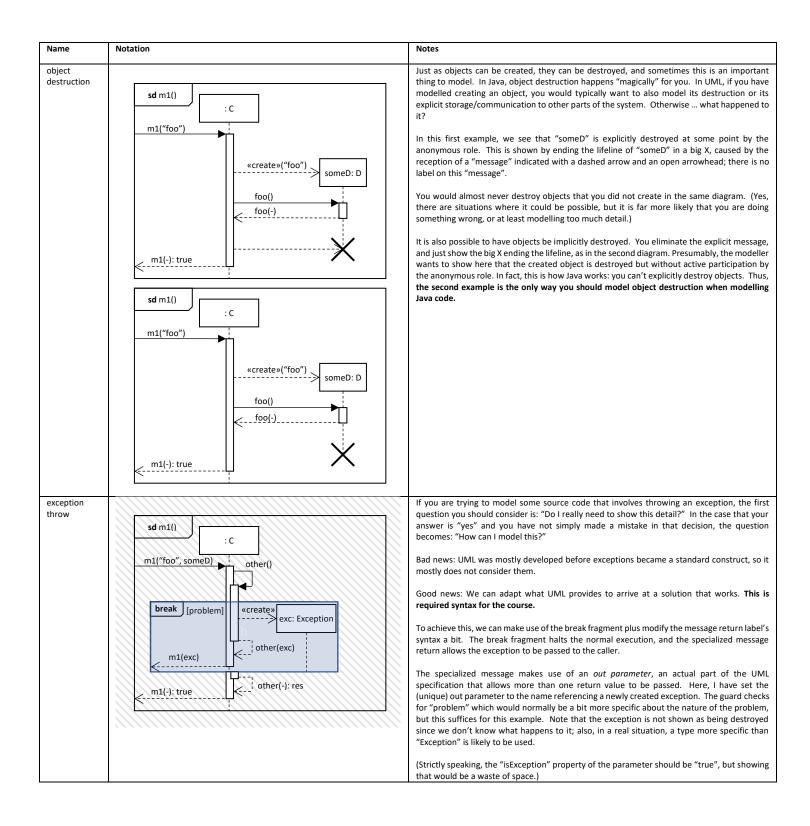


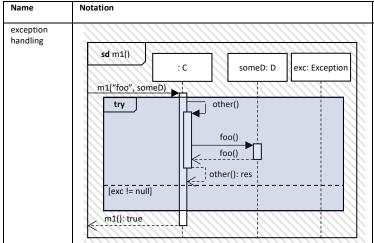












#### Notes

Exception handling, provided in Java through its try/catch construct, is not well supported in UML. To deal with it, I will introduce some strictly unofficial syntax that is required in the course.

I define a try fragment, which has two or more compartments. The top compartment executes by default, but if an exception occurs therein, execution stops; the other compartments (one or more) specify which kinds of exception each handles. When the exception type matches the guard of the compartment, that compartment then executes; if no exceptions occur therein, execution then jumps to right after the try fragment.

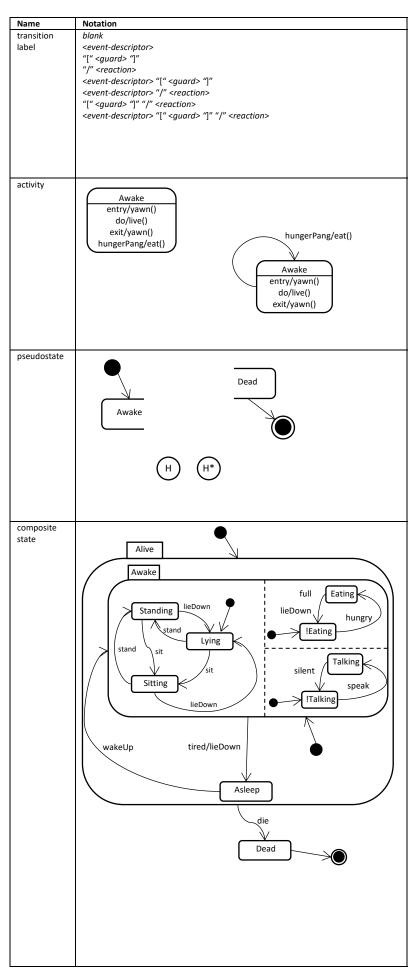
In this specific example, the catch compartment ignores the exception, but arbitrary behaviour could be placed therein just like in Java code. I show the exception role as though it always exists, whether or not an object is assigned to it.

(Note: showing this with official syntax would require a break fragment after every method call, in case *that* call ended up causing an exception. With a non-trivial piece of source code to model, that would quickly become a redundant mess.)

# State Machine Diagrams

Students have a lot of difficulty with state machine diagrams. State machines are all about state, the events that occur to cause them to change state, and the reactions they have as a result. They are not a kind of "flowchart"; they do not represent the steps in a computation. And the ones we will tend to encounter run without end, and thus they have no "final state".

Name	Notation	Notes
state machine frame	stm foo	Just like with sequence diagrams, it is sometimes useful to embed a state machine inside a frame that explicitly shows the boundaries of the machine. The pentagon now contains "stm" (for "state machine", usually boldface) and some sort of name for the state machine contained therein.
state	Awake Asleep  Trance	The specification states: "A State models a situation in the execution of a StateMachine Behavior during which some invariant condition holds. In most cases this condition is not explicitly defined, but is implied, usually through the name associated with the State."  A state is an invariant property or set of properties about something. A state is NOT a step in a computation; if you model states incorrectly like this, you can expect a D-range grade on the grading item in question.  A state is represented as a rectangle with rounded corners. It is not a class; it is not an object. The rounded corners are the only signal that this is a state, without examining the rest of the context of the diagram. We give states names to represent what the invariant property is while the state machine is in that state. If we think the state needs to change sometimes, we need to show what causes the state to change (the event), how the state changes (the transition), and anything that happens as a result of the
		change of state (the reaction).  Given multiple states, the state machine keeps track of which state it is currently in. You can think of this as a single token (a coin, a poker chip, a peanut, or however you like to think of it) that moves around the state machine. There is only one such token, normally, and so the state machine is only in one state in any moment or in the act of transitioning between two states along one specific transition, which we will examine later.  States may optionally be shown with a "tab" at their top left (just back from the curve of the rounded corner) in which is shown its name; this is usually only useful if other details (like regions in a composite state, q.v.) occupy the space inside the rounded rectangle, but it can be used at any other time too.
event (officially: trigger)	alarmRings()	An event is something that causes our state machine to change state, to react in some way, or both. What events get modelled depends on the nature and purpose of the model, but they are generally something that happens <i>outside</i> the state machine.  An event will typically be named with some meaningful label; there is no formal syntax involved, but you need to avoid using labels that will confuse the reader with the syntax around them (see the details of transition labels).  When modelling events in a software system, we will often be interested in modelling when method calls occur. If a method is called "foo" and takes three arguments, we don't want to waste a bunch of space saying, "When foo is called with argument #1, argument #2, and argument #3." Typically, we would shorten this to something like "foo(a, b, c)" to allow us to add a guard regarding the value of the arguments, or simply "foo()" or "foo(-)" if we are not interested in those details.  You will find that lack of physical space, in which to represent your model, quickly becomes a problem, so being succinct is desirable.
reaction (officially: behaviour expression)	shutOffAlarm()	A reaction is the response of a state machine to an event, in addition to transitioning to another state. Something causes the state machine to react (an <i>event</i> [or <i>trigger</i> ]) but only if the right conditions are satisfied (the <i>guard</i> ); in addition, the state machine's state may change (via a <i>transition</i> ) but again only if the right conditions are satisfied.
[state] transition	Awake Asleep  Awake Asleep  Asleep	Just like with events, there is no formal syntax for reactions. Be succinct here too.  A transition is the unique means for a state machine to move between states. Something causes the transition to occur (an event) but only if the right conditions are satisfied (the guard); as a result of the transition being taken, the state machine may do something beyond simply transitioning (the reaction).  A transition is shown as a solid arrow with an open arrowhead. Unofficially, multiple, sometimes complex, labels can adorn each transition; a transition WITHOUT a label is immediately taken by the state machine, and that is rarely what you want to say. When a transition has one or more labels, the transition is taken WHEN an event occurs that is specified in any of its labels AND the guard specified in the same label evaluates to true; the reaction specified in that label is then performed and the transition completes.



Every transition is labelled with one of the eight forms shown here (including the blank one, which means that there is no label). In all cases, the transition is taken by the state machine if and only if the label on it contains the event descriptor of an event that has just happened AND it contains a guard that evaluates to true; a missing event is taken to occur constantly; a missing guard is taken to always be true. Once the machine has recognized that the transition is to be taken, the reaction specified in the label (if present) is performed and the transition is taken.

Notes

Officially, a transition only has one label, with zero or more triggers (commaseparated), zero or one guard, and zero or one behaviour expression. However, this can lead to excessively complex guards in an attempt to narrow the context to which they each apply. In this course, you are permitted to use arbitrarily many labels on a single transition, but you have to be careful to avoid visual ambiguity.

An activity is a behaviour that is not necessarily instantaneous, as opposed to an event which occurs at an instant in time. (Note that UML contains Activity Diagrams for modelling the modern equivalent to the classic flowchart, but we will not be looking at these in this course.) In this course, the use of activities will be strictly limited; each state can (but does not have to) define up to three special activities, labelled "entry", "exit", and "do". The "entry" activity occurs when the state is first entered; "exit", when the state is left; and "do", while the state machine remains in that state. A given state can define any or all of these three activities, depending on what makes sense there; don't overuse them.

The only other situation where an activity designation would be acceptable is as a replacement for a self-loop transition. In this example, the trigger "hungerPang" doesn't cause a transition but it does cause a reaction. This could also be modelled as in the second example. In both cases, a guard can be added too; they cannot be added to "entry", "exit", or "do" activities.

A pseudostate is not a real state ("pseudo-" comes from the Greek word meaning "false"), but an annotation to control various aspects of a state machine.

For us, every state machine will possess a start pseudostate (a solid black circle), with a transition to a real state that is the initial state of the state machine. (More than one transition is possible from the start pseudostate, but only if these are guarded unambiguously.)

A final pseudostate is also possible (a solid black circle surrounded by an empty black circle), but of less interest to us because things we will model will not generally stop: if the final pseudostate is reached, the state machine stops (or the portion thereof, in the case of *compound states*).

History pseudostates are also possible, but they are only useful in conjunction with complex state machines using regions; we look at this next.

Sometimes our states are actually compound, representing the juxtaposition of multiple properties. For example: awake, lyingDown, eating, talking are largely independent properties that could be used to model a person. Imagine that each of these properties has two possible values; the total combinations would be 2^4=16 and so 16 independent states would be needed to show them. Instead, we can show how the state machine works with the four properties as "mini-state machines" running in parallel. These parallel, mini-state machines are each represented by a *region*.

Either a whole state machine can have a set of regions (2 or more, to be meaningful) or an individual state can itself be *compound*, meaning that it internally contains regions (1 or more).

Regions are separated from each other with a dashed line. The whole space of the enclosing state or state machine is to be *tiled* with the regions, meaning that the regions occupy all the space therein (their sizes can be adjusted for convenience). The text compartment for the whole state can be shown in a "tab" at the top left of the state symbol; for a whole state machine. In principle, each region can possess a name, but this is not usually useful.

In this example, we see two states ("Alive" and "Dead"), one of which is compound ("Alive", with exactly one region), being composed of two other states ("Awake", also compound, with three regions; and "Asleep", simple). Imagine that our state machine is currently in the "Alive" state; we need to know which of its internal states is the current one: let's say "Asleep" and then the "wakeup" event occurs; this causes a transition to the compound "Awake" state. Each of the regions of "Awake" then moves into its local start state ("Lying", "!Eating", and "!Talking", respectively). Additional events can then take place (specifically, "sit", "stand", "lieDown", "full", "hungry", "silent", "speak") causing internal transitions within those regions. If, at any point, a "tired" event occurs, our state machine leaves the "Awake" state, transitioning to the "Asleep" state, but first reacting by lying down (if it was already in the "Lying" state, it is not clear that it makes sense to lie down again, but we could avoid the redundant behaviour by either adding a guard, adding an entry activity to "Asleep" which would test if the system is lying down, or similar mechanisms). Likewise, if at any time the "die" event occurs, the state machine moves to the "Dead" state, which is final: no reincarnation here.

Notice that the transition from "Eating" to "!Eating" can occur due to either of two events: "full" or "lieDown". You shouldn't eat when lying down, but notice if you stay lying down and you are hungry, you start eating again anyways!

Name	Notation	Notes
history pseudostate	Alive  Awake  Standing lieDown  Stand Lying  IEating hungry  Istand sit  Sitting lieDown  IFalking speak  ITalking speak  ITal	Notice that none of the regions of "Awake" possesses an end state in this example, and each region supports infinite looping.  The next time that the state machine enters the "Awake" state, it does not remember where it had been before, but its regions each move to its local start state again. In this particular model, that behaviour probably makes sense, but in some cases it would not. To remember the previous configuration, we can use a mechanism called history.  History pseudostates allow us to remember, for a compound state, "where we were" the last time that state was exited. There are two variations, shallow history (marked with "H*") and deep history (marked with "H*"). Consider the example shown here. "Alive" is a compound state that contains "Awake", another compound state. We might want to remember the current state within "Alive" if we leave it as well as the state of "Awake"; deep history achieves this, and this is the effect when the transition labelled "asYouWere" is taken. On the other hand, we might want to remember the current state within "Alive" but not within "Awake"; shallow history achieves this, and this is the effect when the transition labelled "mostlyAsYouWere" is taken. Notice the difference when taking the transition labelled "reincarnate": there is no memory of the previous state, and so the initial states are used to configure the compound states.
other constructs		In this course, you MAY NOT use the various other constructs provided for state machines by the UML specification; they will be treated as INCORRECT in all circumstances. This is to avoid the kinds of mistakes that I see most students making; the permitted syntax should suffice for your needs. The forbidden constructs include submachine state, state list, fork, join, choice, junction, entry point, exit point, terminate pseudostate, action symbol, signal receipt symbol, signal sent symbol, choice point symbol, merge symbol, deferred trigger, and state machine redefinition. Similarly, our state machines are strictly behaviour state machines, so all the syntax and semantics of protocol state machines are not permitted.