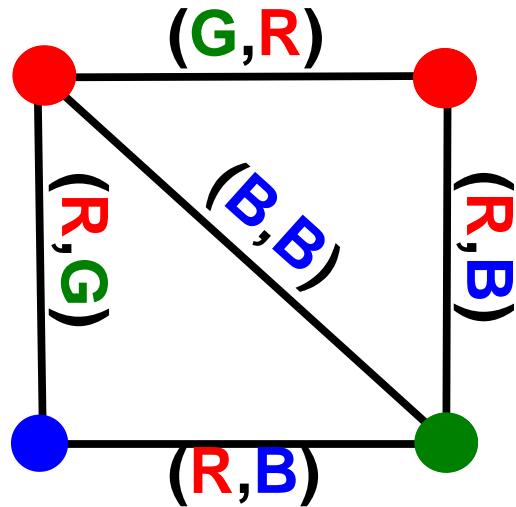


# Algorithms to Approximately Count and Sample Conforming Colorings of Graphs



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# “Conforming Colorings”

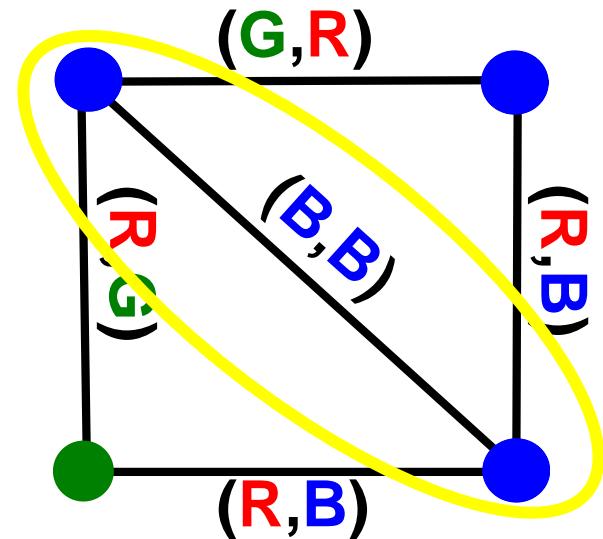
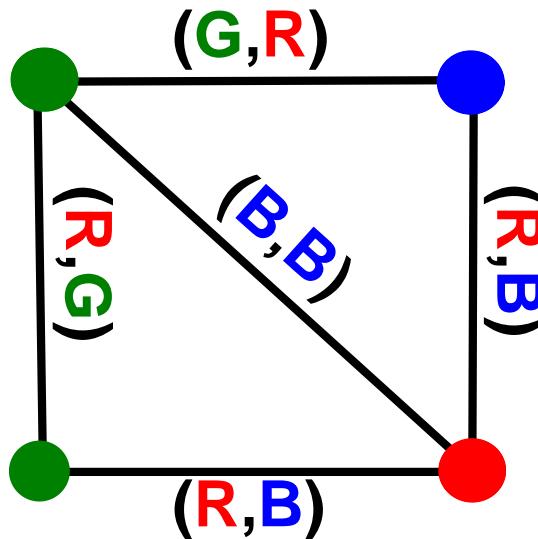
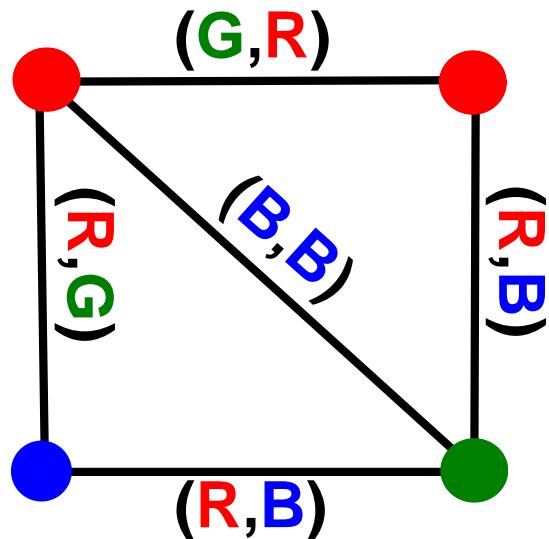
Given:

- a (multi) graph  $G = (V, E)$
- a set of colors  $[k] = \{1, \dots, k\}$
- a set of edge constraints  $F: E \rightarrow [k] \times [k]$

A coloring  $C$  of the vertices of  $G$  is  
**conforming** to  $F$  if for every edge  $e=(u,v)$ ,  
 $F(e) \neq (C(v), C(u)).$

# Conforming Colorings

A coloring  $\mathbf{C}$  of the vertices of  $G$  is **conforming** to  $F$  if for every edge  $e=(u,v)$ ,  $F(e) \neq (\mathbf{C}(v),\mathbf{C}(u))$ .



# Applications

## 1. Resource Allocation

- Colors are Resources
- Vertices are Jobs
- Edge Constraints are Incompatible Scheduling Assignments

## 2. Generalizes Graph Theoretic Concepts

# Examples in Graph Theory

Conforming colorings **generalize** the following:

- Independent sets
- Vertex colorings
- List colorings
- H-colorings
- Adapted colorings

# Examples in Graph Theory

Conforming colorings **generalize** the following:

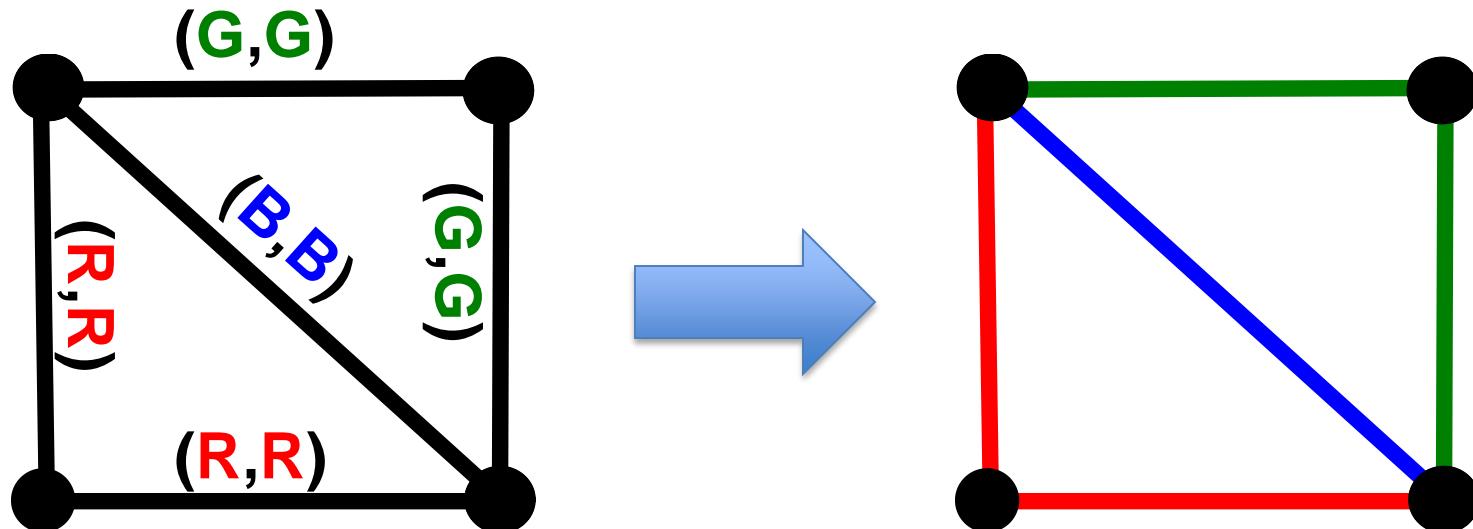
- Independent sets
- Vertex colorings
- List colorings
- H-colorings
- Adapted colorings

# Adapted (or Adaptable) Colorings

Given:

- a (multi) graph  $\mathbf{G} = (\mathbf{V}, \mathbf{E})$
- an edge coloring  $\mathbf{F}$

A coloring  $\mathbf{C}$  of the vertices of  $G$  is **adapted** to  $\mathbf{F}$  if there is no edge  $e = (u, v)$  with  $\mathbf{F}(e) = \mathbf{C}(v) = \mathbf{C}(u)$ .



# Adapted (or Adaptable) Colorings

Given:

- a (multi) graph  $\mathbf{G} = (\mathbf{V}, \mathbf{E})$
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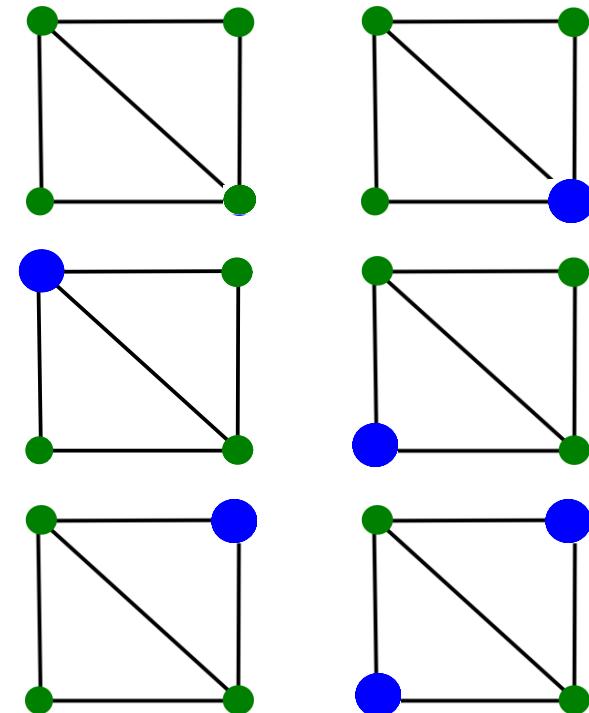
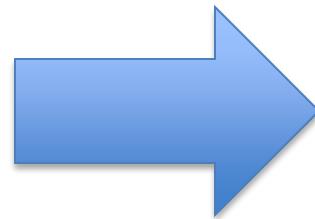
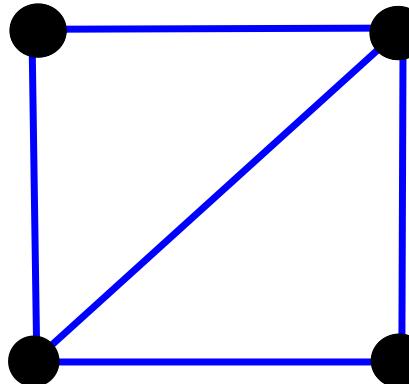
A coloring  $\mathbf{C}$  of the vertices of  $G$  is **adapted** to  $\mathbf{F}$  if there is no edge  $e = (u, v)$  with  $F(e) = C(v) = C(u)$ .

- Adaptable Chromatic Number introduced [Hell & Zhu, 2008]
- Adapted List colorings of Planar Graphs [Esperet et al., 2009]
- Adaptable chromatic number of graph products [Hell et al., 2009]
- Polynomial algorithm for finding adapted 3-coloring given edge 3-coloring of complete graph [Cygan et al., SODA '11]

# Independent Sets

Conforming colorings generalize **Independent Sets**

- Set  $k = 2$
- Color each edge (**B,B**)
- Each conforming coloring is an independent set



# Approximately Counting and Sampling

- Extensive work using Monte Carlo approaches to count and sample for special cases.
- Design a Markov chain for sampling configurations that is rapidly mixing  
(i.e. independent sets, colorings)
- These chains can be slow. Non-local ones can be more effective but harder to analyze.  
(i.e. Wang-Swendsen-Kotecký )

# Our Results

**$k \geq \max(\Delta, 3)$**

( $\Delta = \max$  degree including  
multi-edges and self-loops)

- A polynomial time algorithm to find a conforming coloring
- The local chain  $M_L$  connects the state space
- $M_L$  mixes rapidly
- A FPRAS for approximately counting

**$k = 2$**

- A new “component” chain  $M_C$
- Provide conditions under which we have
  - a polynomial time algorithm to find a conforming coloring
  - $M_C$  mixes rapidly
  - a FPRAS for approximately counting
- An example where  $M_C$  and  $M_L$  are slow

# Definitions

Definition: Given  $\varepsilon$ , the **mixing time** is

$$\tau(\varepsilon) = \max \min_x \{t : \Delta_x(t') < \varepsilon, \text{ for all } t' \geq t\}.$$

( $\Delta_x(t)$  is the total variation distance)

- A Markov chain is **rapidly mixing** if  $\tau(\varepsilon)$  is  $\text{poly}(n, \log(\varepsilon^{-1}))$ .
- A Markov chain is **slowly mixing** if  $\tau(\varepsilon)$  is at least  $\exp(n)$ .

Definition: A **Fully Polynomial Randomized Approximation Scheme (FPRAS)** is a randomized algorithm that given a graph  $G$  with  $n$  vertices, edge coloring  $F$  and error parameter  $0 < \varepsilon \leq 1$  produces a number  $N$  such that

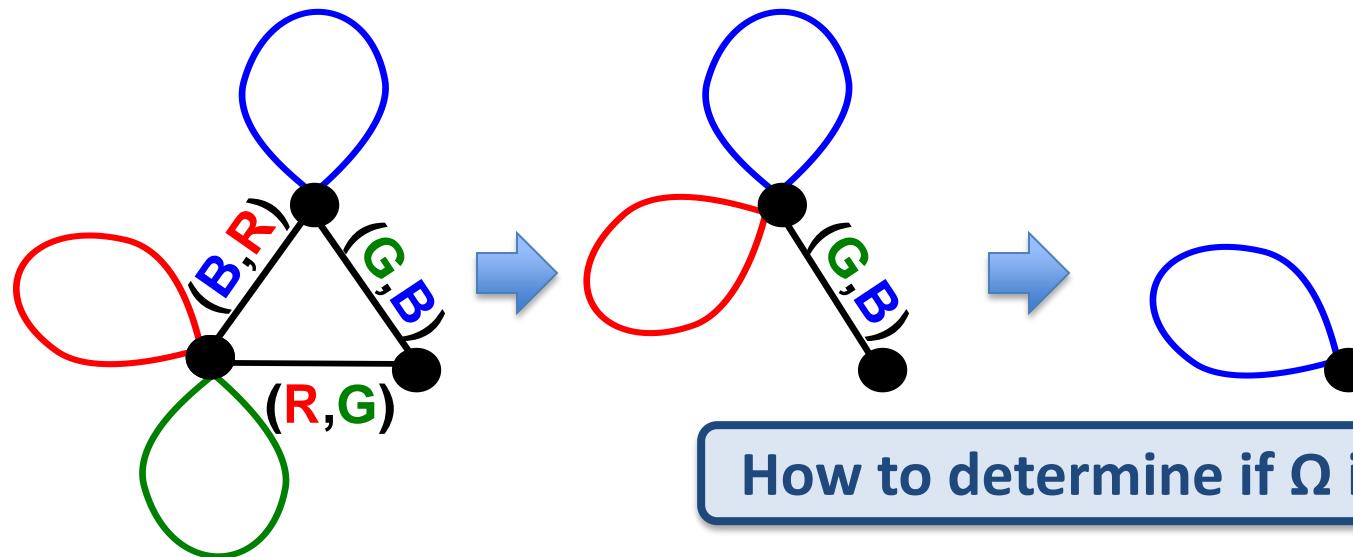
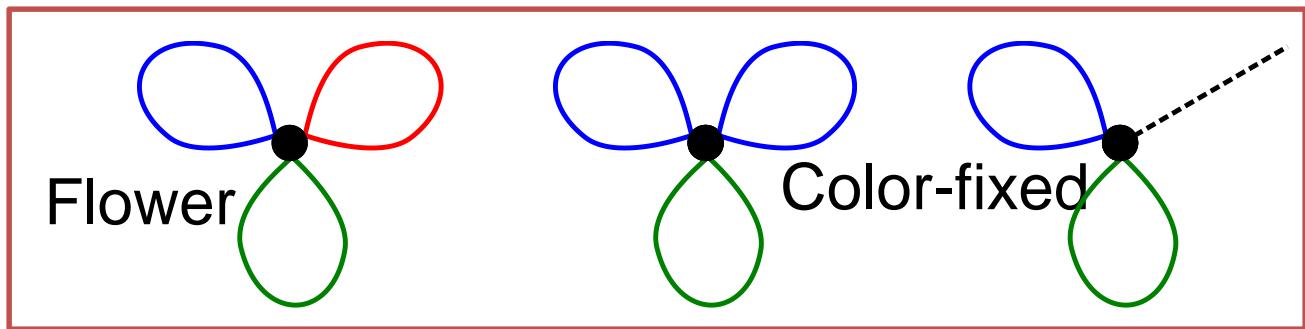
$$P[(1-\varepsilon)N \leq A(G, F) \leq (1+\varepsilon)N] \geq \frac{3}{4}$$

$k \geq \max(\Delta, 3)$

# Finding a Conforming Coloring

Thm: When  $k \geq \max(\Delta, 3)$  and  $\Omega$  is not degenerate, there exists a conforming  $k$ -coloring and we give an  $O(\Delta n^2)$  algorithm for finding one.

Example:  $k = 3$



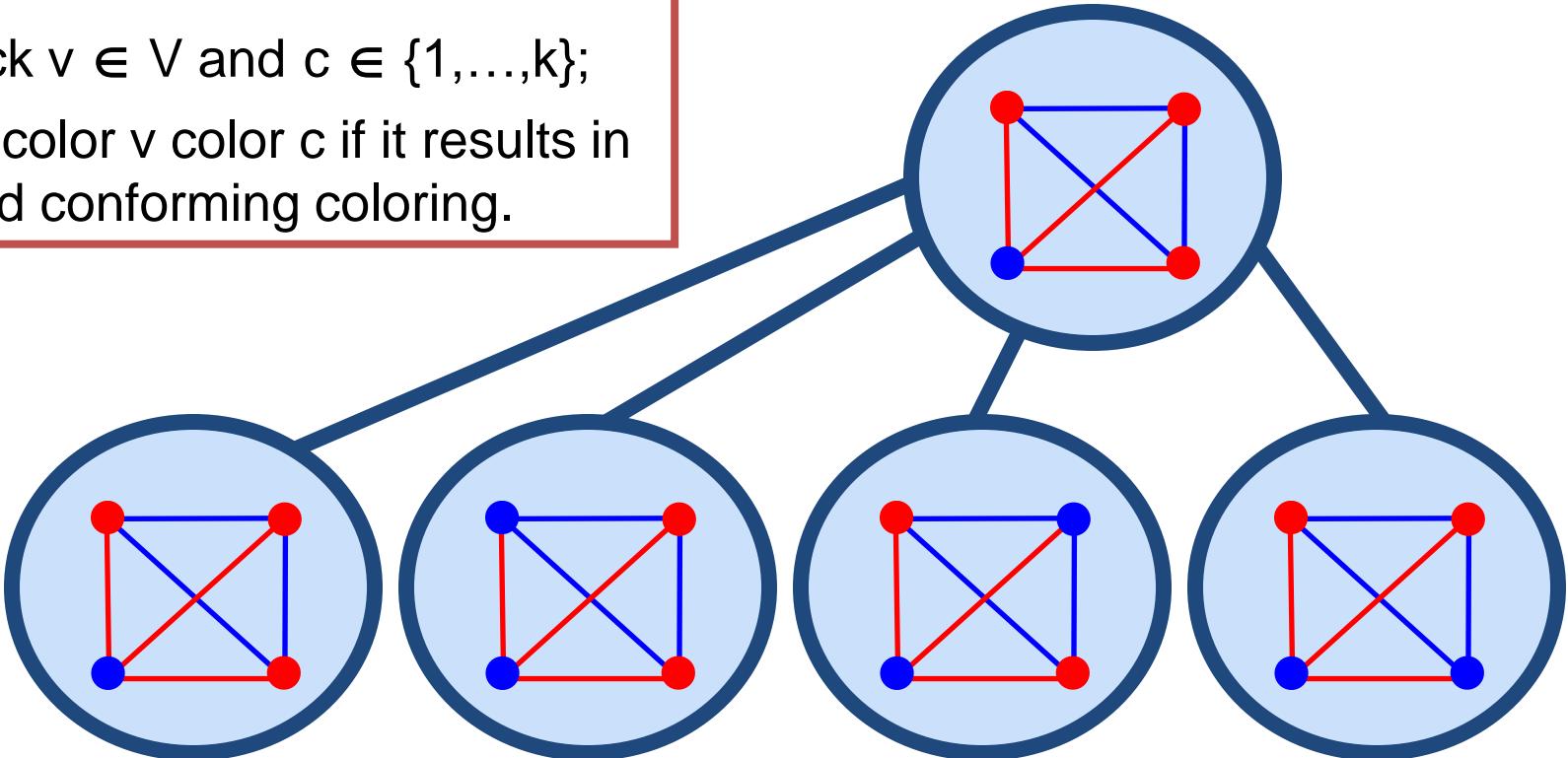
# The Local Markov Chain $M_L$

## The Markov chain $M_L$ :

Starting at  $\sigma_0$ , Repeat:

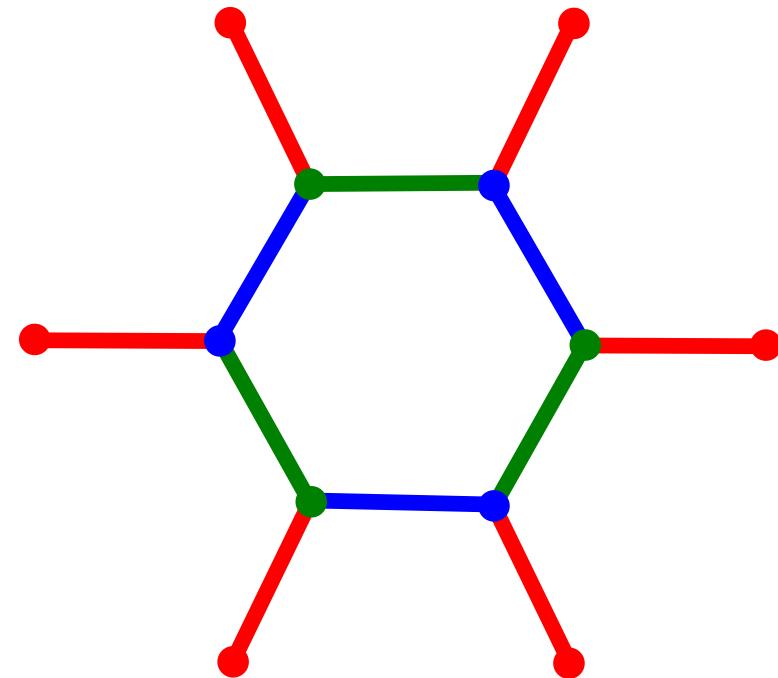
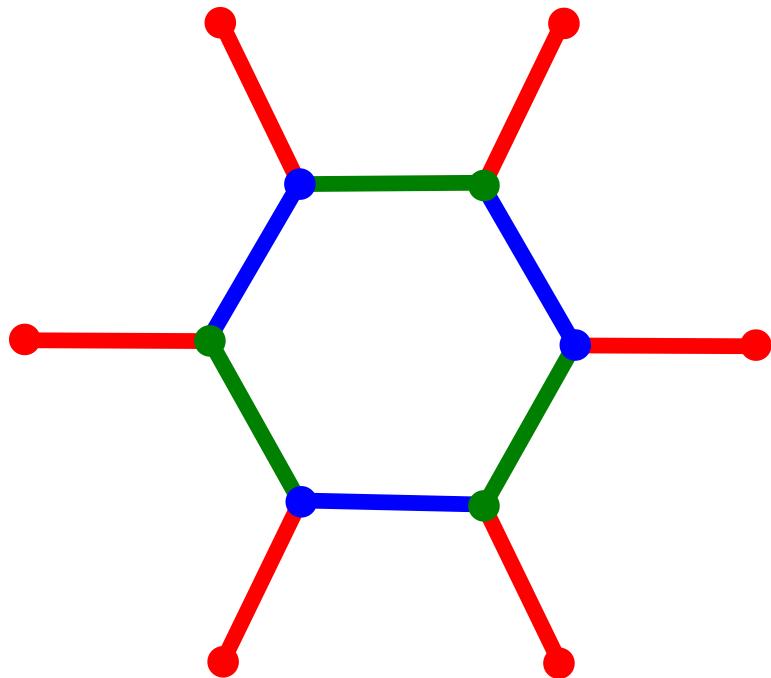
- With prob.  $\frac{1}{2}$  do nothing;
- Pick  $v \in V$  and  $c \in \{1, \dots, k\}$ ;
- Recolor  $v$  color  $c$  if it results in a valid conforming coloring.

Example:  $k = 2$



# When does $M_L$ connect $\Omega$ ?

Thm: If  $k \geq \max(\Delta, 3)$ ,  $M_L$  connects the state space  $\Omega$ .



# Rapid Mixing of $M_L$ and a FPRAS

Thm: If  $k \geq \max(\Delta, 3)$ , then  $M_L$  is rapidly mixing and there exists a FPRAS for counting the number of conforming  $k$ -colorings.

- Use path coupling [Dyer, Greenhill '98] to show  $M_L$  is rapidly mixing.
- Use  $M_L$  to design a FPRAS [Jerrum, Valiant, Vazirani '86]

# Our Results

**$k \geq \max(\Delta, 3)$**

( $\Delta = \max$  degree including  
multi-edges and self-loops)

- A polynomial time algorithm to find a conforming coloring
- The local chain  $M_L$  connects the state space
- $M_L$  mixes rapidly
- A FPRAS for approximately counting

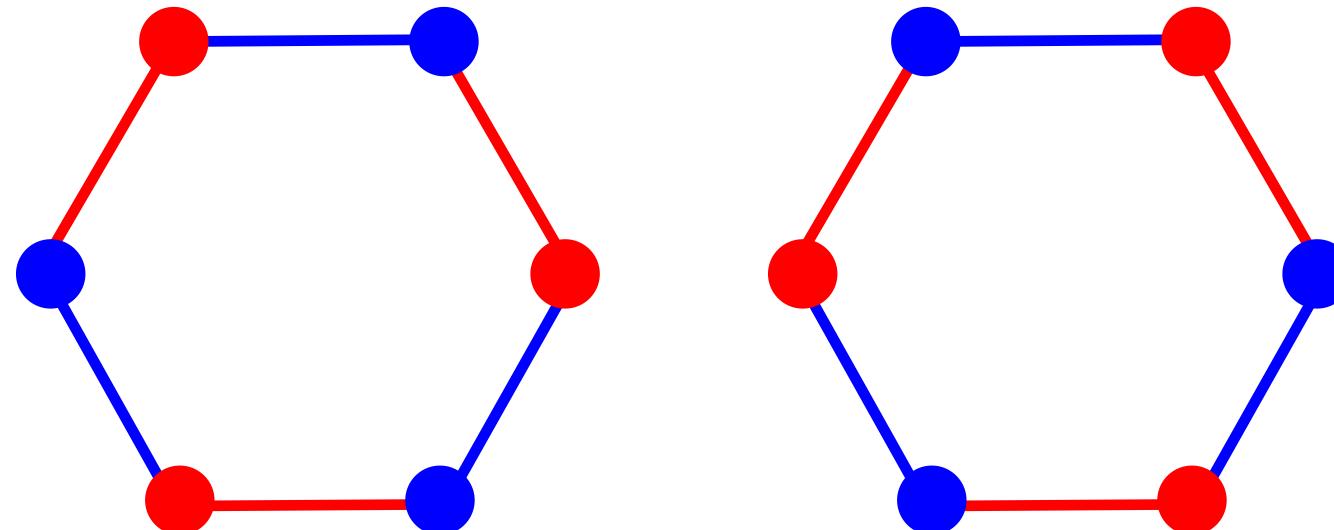
**$k = 2$**

- A new “component” chain  $M_C$
- Provide conditions under which we have
  - a polynomial time algorithm to find a conforming coloring
  - $M_C$  mixes rapidly
  - a FPRAS for approximately counting
- An example where  $M_C$  and  $M_L$  are slow

k = 2

# What's Special about k = 2?

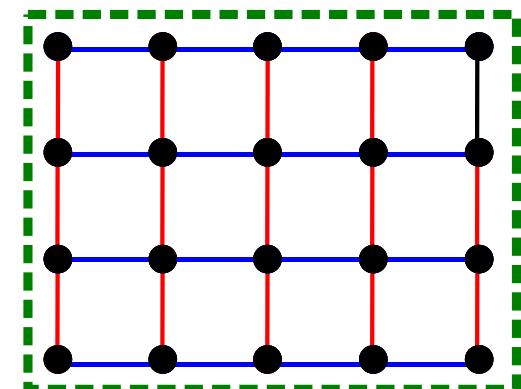
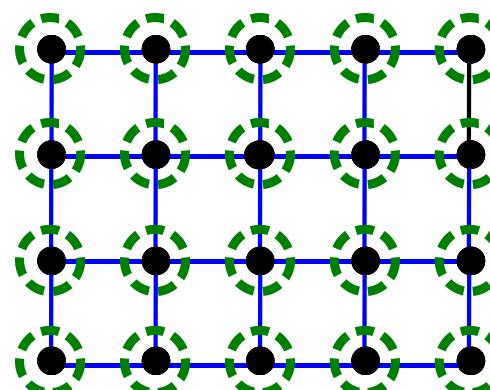
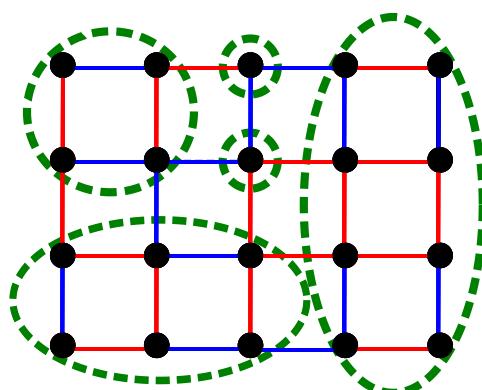
- Generalizes independent sets
- The local chain  $M_L$  does not connect the state space  $\Omega$



# The Component Chain $M_C$

## Defining Color-Implied Components

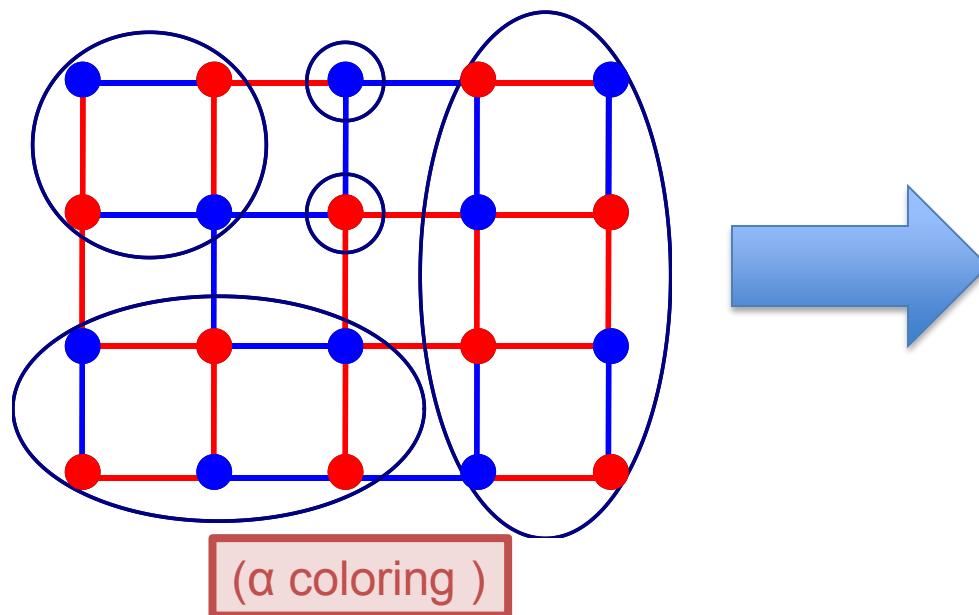
- A path  $P = v_1, v_2, \dots, v_x$  is b-alternating if
  1.  $F_1(v_1, v_2) = b$
  2. For all  $1 \leq i \leq x-2$ ,  $F_{i+1}(v_i, v_{i+1}) \neq F_{i+1}(v_{i+1}, v_{i+2})$
- Vertices  $u$  and  $v$  are color-implied if there is a 1-alternating and a 2-alternating path from  $u$  to  $v$  or  $u=v$
- Color-implied is an equivalence relation and defines a partition of the vertices into color-implied components



# The Component Chain $M_c$

# The Color-Implied Component Graph

- Each color implied component  $C$  has at most 2 colorings  $\alpha(C)$  and  $\beta(C)$ .
  - Components become vertices
  - Edges reflect the edges and coloring constraints from  $F$



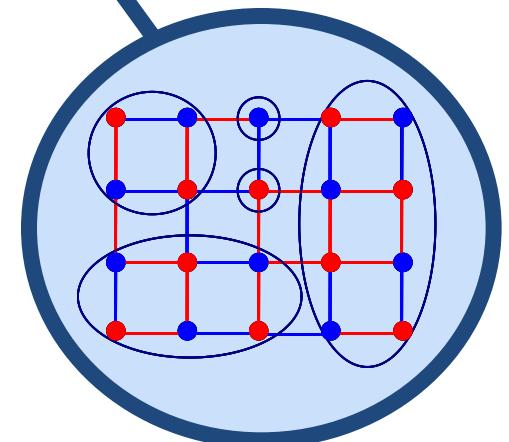
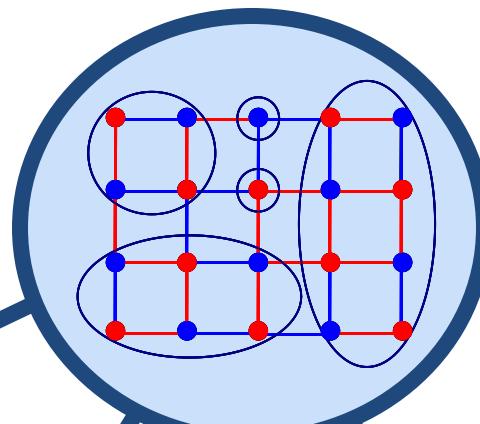
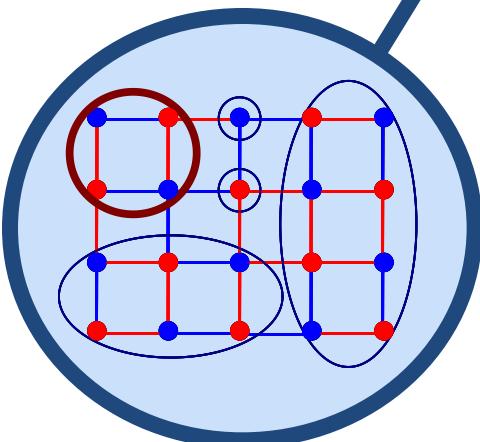
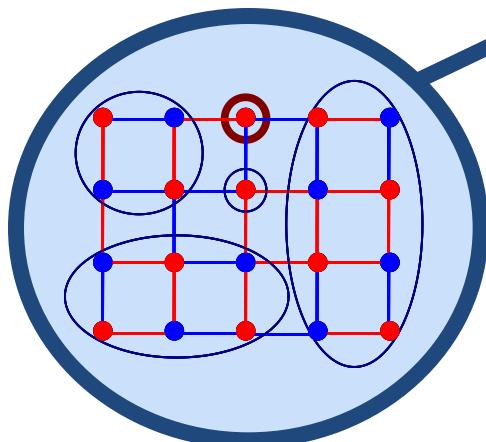
$k = 2$

# The Component Chain $M_C$

## The Markov chain $M_C$ :

Starting at  $\sigma_0$ , Repeat:

- Pick a component  $C_i$  in  $C$  u.a.r.;
- With prob.  $\frac{1}{2}$  color  $C_i$ :  $\rho(C_i)$ , if valid;
- With prob.  $\frac{1}{2}$  color  $C_i$ :  $\rho'(C_i)$ , if valid;
- Otherwise, do nothing.



# Positive Results

If every vertex  $v$  in the color-implied component graph satisfies one of the following

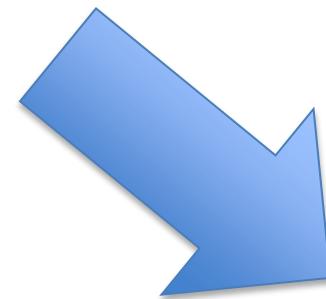
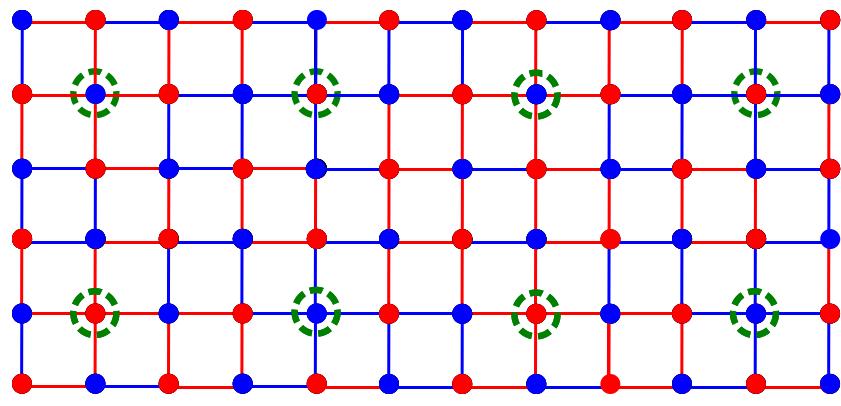
- $d(v) \leq 2$  ( $d(v)$  = the degree of  $v$ )
  - $d(v) \leq 4$  and  $v$  is monochromatic
1. We give an  $O(n^3)$  algorithm for finding a 2-coloring
  2. The chain  $M_C$  is rapidly mixing
  3. We give a FPRAS for approximately counting

Rapid Mixing Proof: Uses path coupling and comparison with a similar chain which selects an edge in the component graph and recolors both vertices.

k = 2

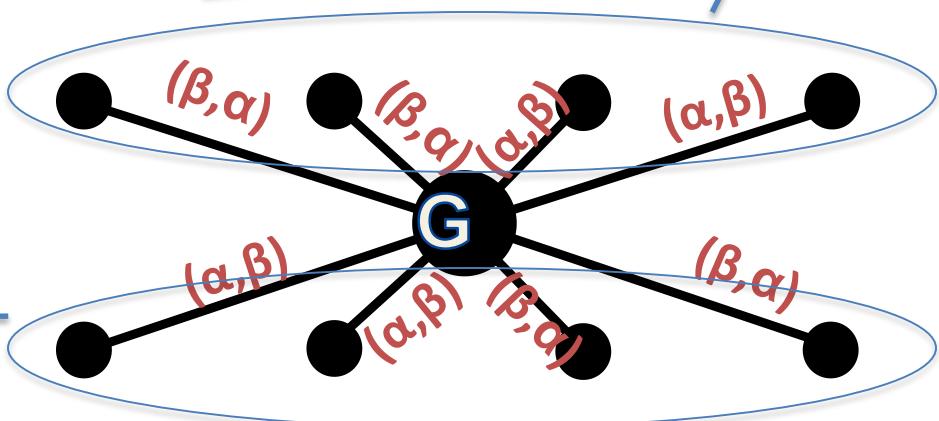
# Slow Mixing of $M_C$ on Other Graphs

Thm: There exists a bipartite graph  $G$  with  $\Delta = 4$  and edge 2-coloring  $F$  for which  $M_C$  and  $M_L$  take exponential time to converge.



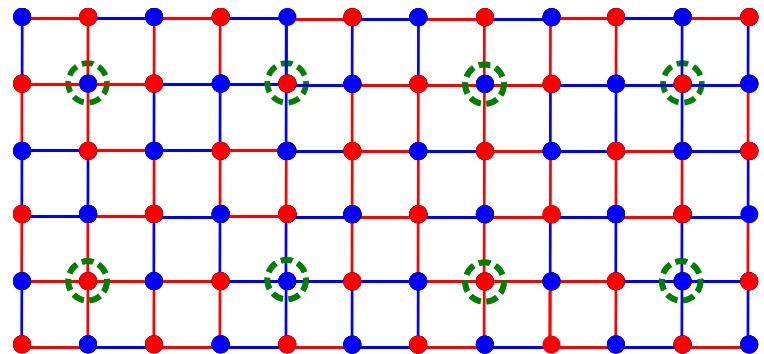
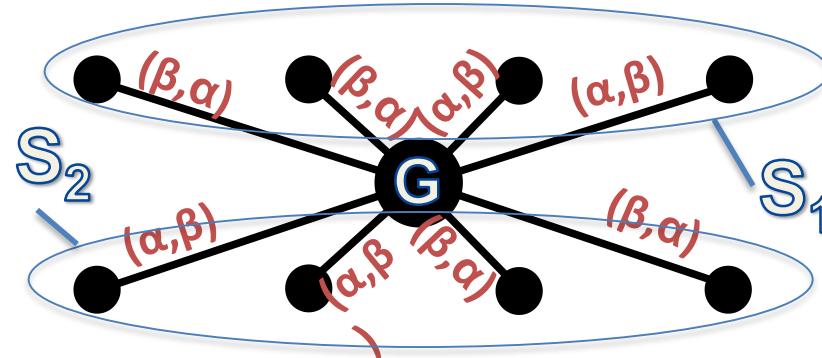
$S_1$

$S_2$



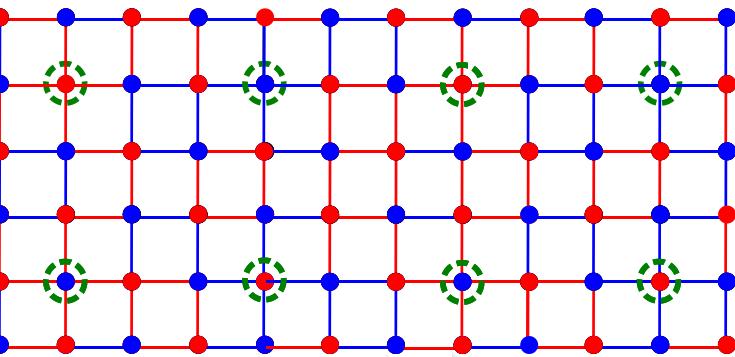
$k = 2$

# The “Bottleneck”

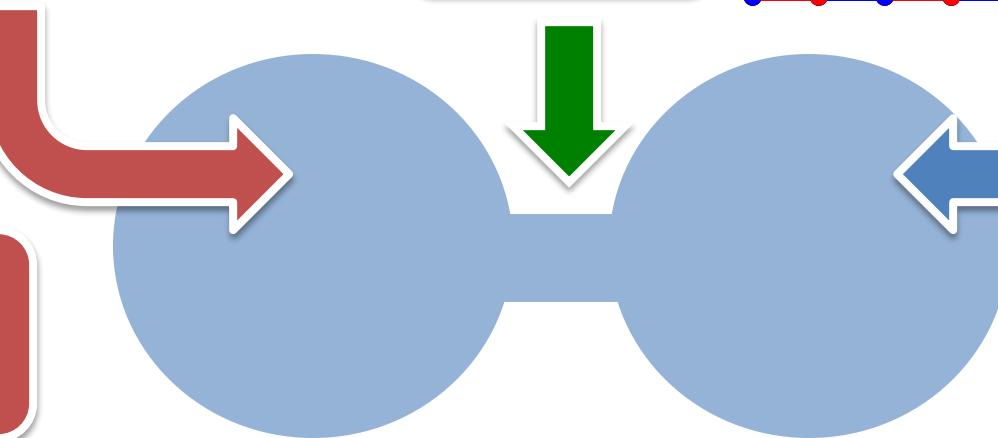


$S_1$  colored  $\alpha$ .  
 $S_2$  colored  $\beta$ .

$G$  colored  $\alpha$ .  
 $S_1$  colored  $\alpha$ .



$G$  colored  $\beta$ .  
 $S_2$  colored  $\beta$ .



# Open Problems

1. When  $k > 2$ , is there an analog to  $M_C$  that is faster?
2. Other approaches to sampling when  $k \geq 2$ ?
3. Can conforming/adapted colorings give insights into phase transitions for independent sets and colorings?

Thank you!