

Phase Transitions in Random Dyadic Tilings and Rectangular Dissections

Sarah Cannon, *Sarah Miracle* and Dana Randall

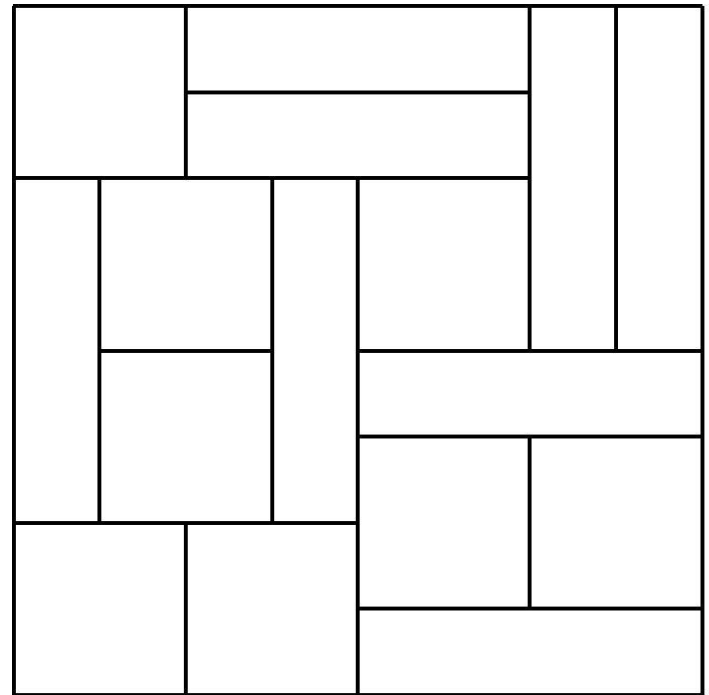
Georgia Institute of Technology

Rectangular Dissections

Rectangular Dissection: A partition of a lattice region into rectangles whose corners lie on lattice points.

Rectangular dissections arise in:

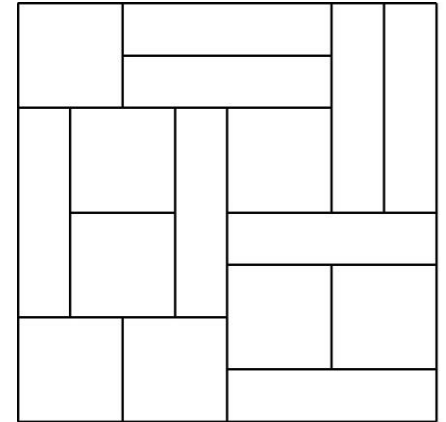
- VLSI layout
- Mapping graphs for floor layouts
- Routings and placements
- Combinatorics



Rectangular Dissections

Partition $n \times n$ lattice region into rectangles such that:

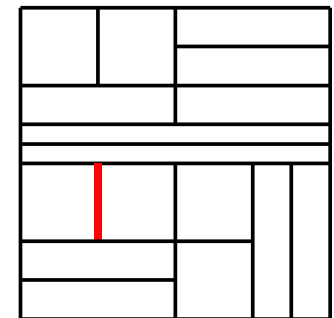
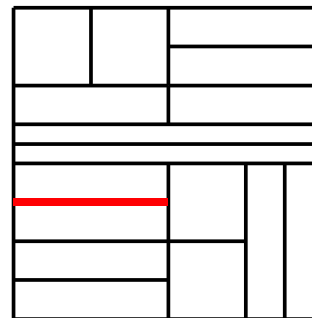
1. There are n rectangles each with area n
2. The corners of rectangles lie on lattice points
3. $n = 2^k$ for an even integer k



The Edge-Flip Chain

Repeat:

1. Pick an random edge e ,
2. If e is flippable, flip edge e with probability $\frac{1}{2}$



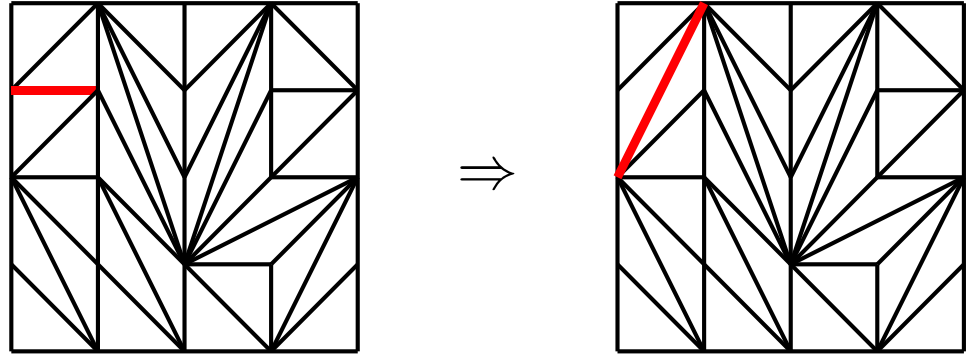
Open Question: Does the **edge-flip chain** mix rapidly?

Talk Outline

1. Background and Previous Work
2. Our Results
3. Proof Ideas

Related Work: Triangulations

The edge-flip chain:



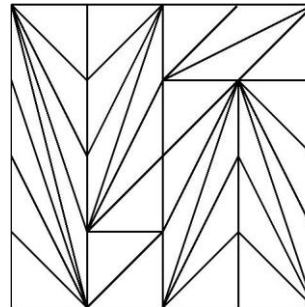
- Triangulations of **general point sets**: Open
- Triangulations of point sets in **convex position**: Fast
[McShine, Tetali '98], [Molloy, Reed, Steiger '98]
- Triangulations on subsets of \mathbf{Z}^2 : Open
- **Weighted** Triangulations on subsets of \mathbf{Z}^2
[Caputo, Martinelli, Sinclair, Stauffer '13]

Related Work: Weighted Triangulations

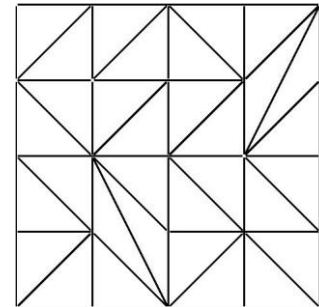
[Caputo, Martinelli, Sinclair, Stauffer '13]

$$\text{Weight}(\sigma) = \lambda^{(\text{total length of edges})}$$

E.g., for $\lambda > 1$,



larger weight



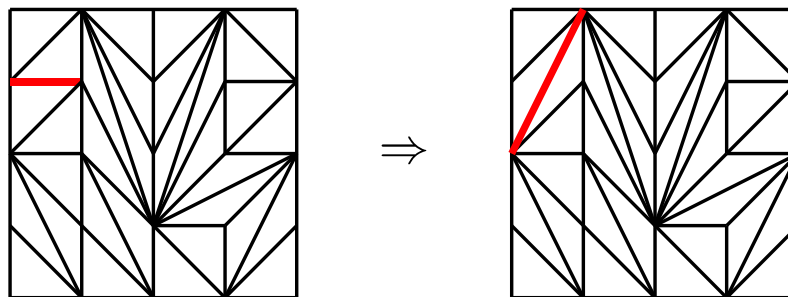
smaller weight

Related Work: Weighted Triangulations

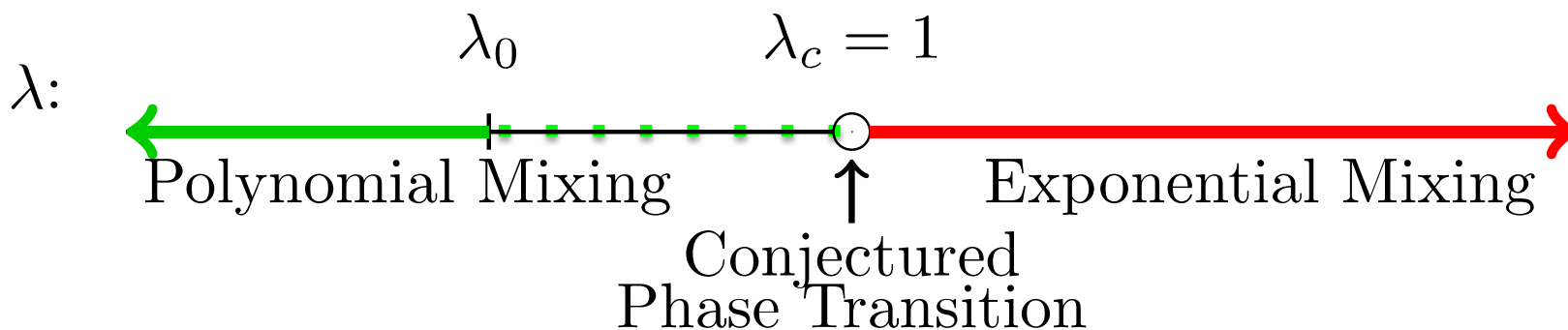
[Caputo, Martinelli, Sinclair, Stauffer '13]

$$\text{Weight}(\sigma) = \lambda^{(\text{total length of edges})}$$

The edge-flip chain:

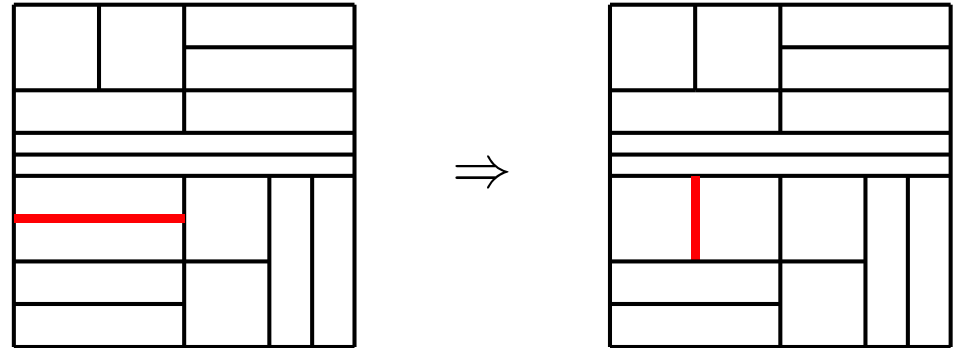


Results [CMSS]:



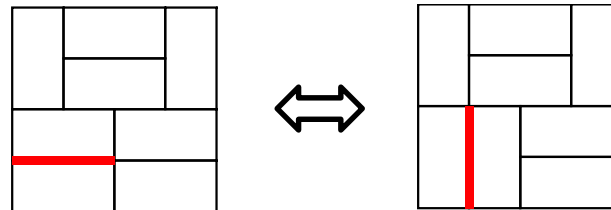
Previous Work: Rectangular Dissections

The edge-flip chain:



Special Cases:

1. Domino Tilings



Fast: [Luby, Randall, Sinclair '01], [Randall, Tetali '00]

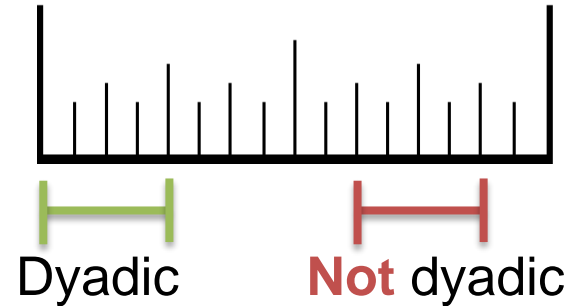
2. Dyadic Tilings

Special Cases: 2. Dyadic Tilings

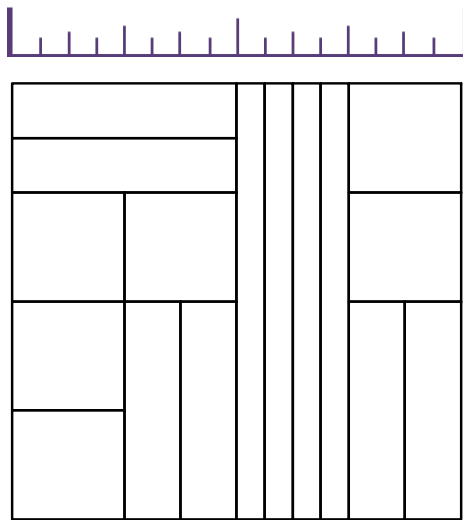
A dyadic rectangle is a region R with dimensions

$$R = [a2^s, (a+1)2^s] \times [b2^t, (b+1)2^t],$$

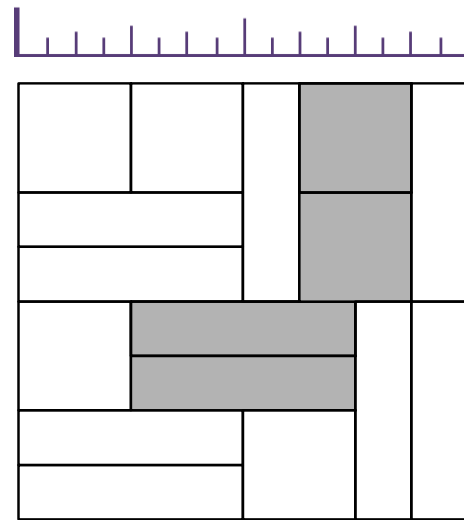
where a, b, s and t are nonnegative integers.



A dyadic tiling of the $2^k \times 2^k$ square is a set of 2^k dyadic rectangles, each with area 2^k (whose union is the full square).



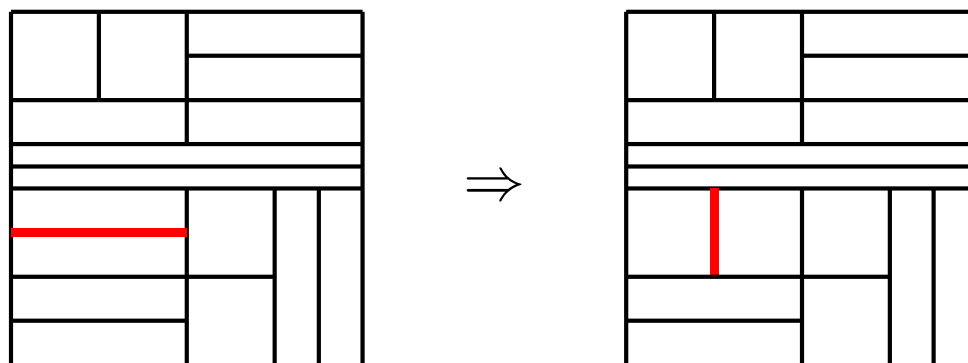
A dyadic tiling



Not a dyadic tiling

Previous Results – Dyadic Tilings

The edge-flip chain:



The edge-flip chain connects the set of dyadic tilings.

[Janson, Randall, Spencer '02]

There is a different Markov chain that converges quickly. [JRS]

Open Question: Does the **edge-flip chain** converge quickly?

Talk Outline

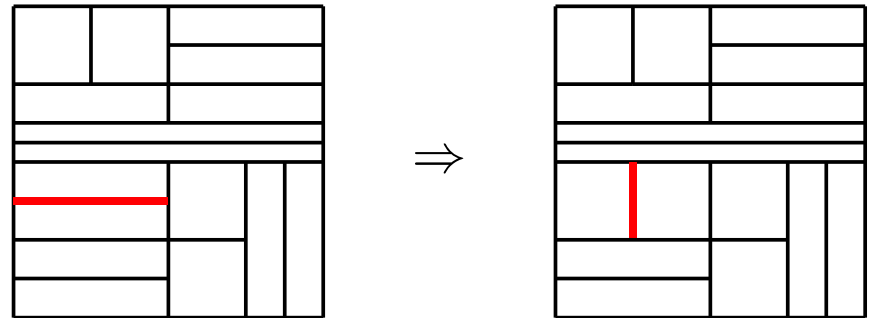
1. Background and Previous Work
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Our Results: Connectivity

The Edge-Flip Chain

Repeat:

1. Pick an random edge e ,
2. If e is flippable, flip edge e with probability $\frac{1}{2}$



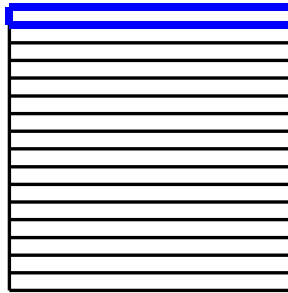
Theorem 1: The Edge-Flip Chain connects the set of all dissections of the $n \times n$ lattice region into n rectangles of size n .

Weighted Rectangular Dissections

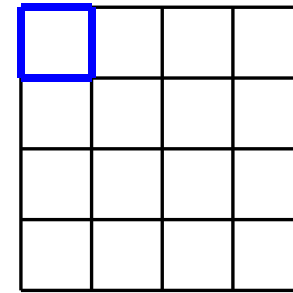
Given an input parameter $\lambda > 0$,

$$\text{Weight}(\sigma) = \lambda^{(\text{total length of edges})}.$$

For $\lambda > 1$,



larger weight

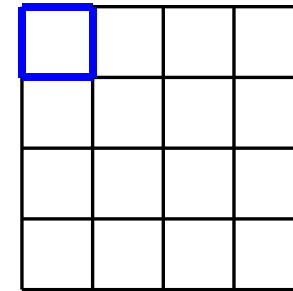


smaller weight

For $\lambda < 1$,



smaller weight



larger weight

Weighted Rectangular Dissections

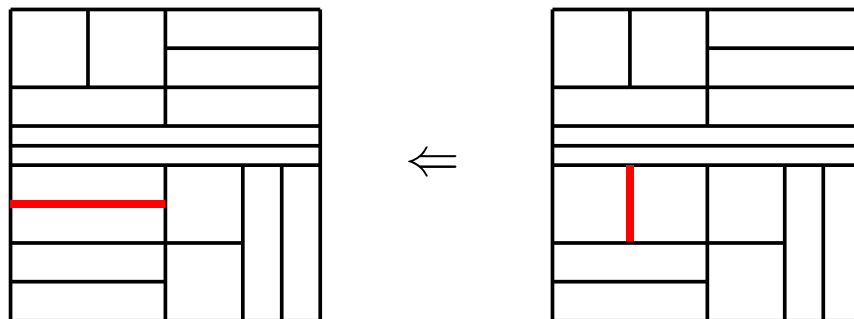
Given an input parameter $\lambda > 0$,

$$\text{Weight}(\sigma) = \lambda^{(\text{total length of edges})}.$$

The Weighted Edge-Flip Chain

Repeat:

1. Pick a random edge e and $p \in_u (0,1)$
2. If e is flippable, let e' be the new edge it can be flipped to.
3. Flip edge e with probability $\frac{1}{2}$ if $p < \lambda^{|e'| - |e|}$.



The Mixing Time

Definition: The **total variation distance** is

$$||P^t, \pi|| = \max_{x \in \Omega} \frac{1}{2} \sum_{y \in \Omega} |P^t(x, y) - \pi(y)|.$$

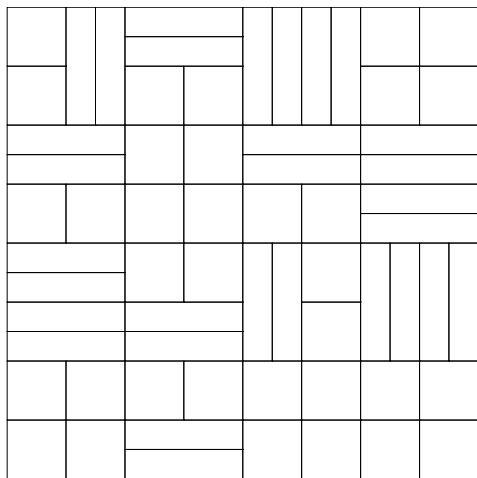
Definition: Given ϵ , the **mixing time** is

$$\tau(\epsilon) = \min \{t: ||P^{t'}, \pi|| < \epsilon, \quad \forall t' \geq t\}.$$

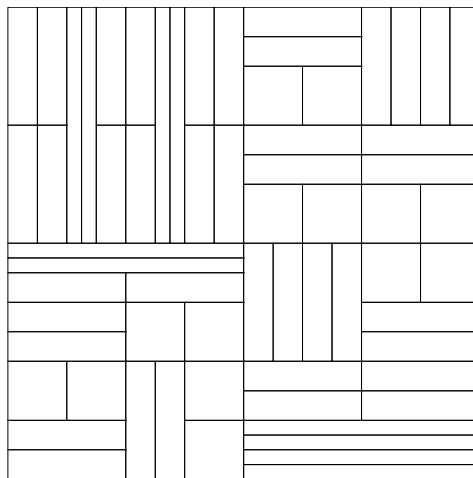
A Markov chain is **polynomial mixing** if $\tau(\epsilon)$ is $\text{poly}(n, \log(\epsilon^{-1}))$.
(n is the number of rectangles)

A Markov chain is **exponential mixing** if $\tau(\epsilon)$ is at least $\exp(n)$.

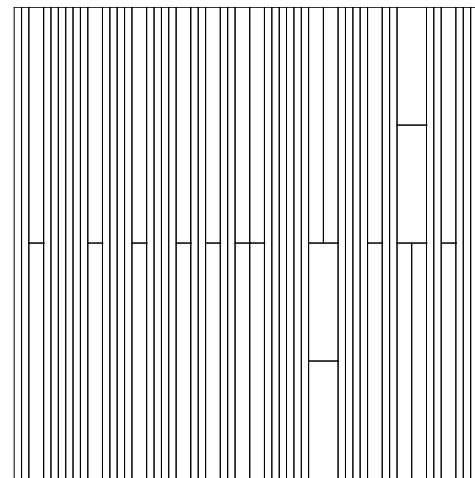
Our Results: Dyadic Tilings



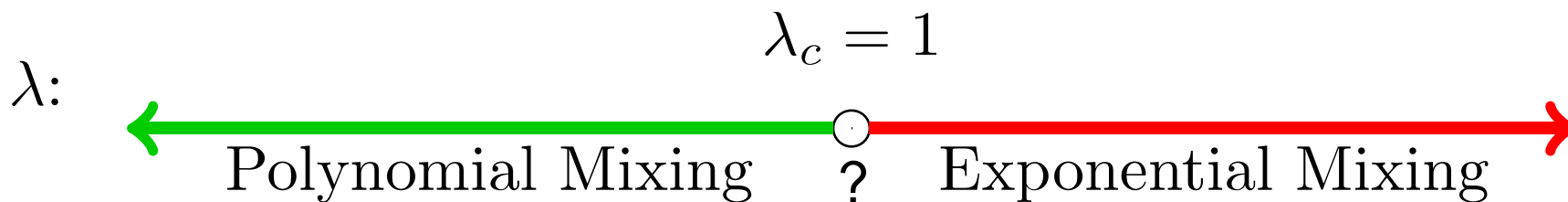
$\lambda = 0.80$



$\lambda = 1.00$

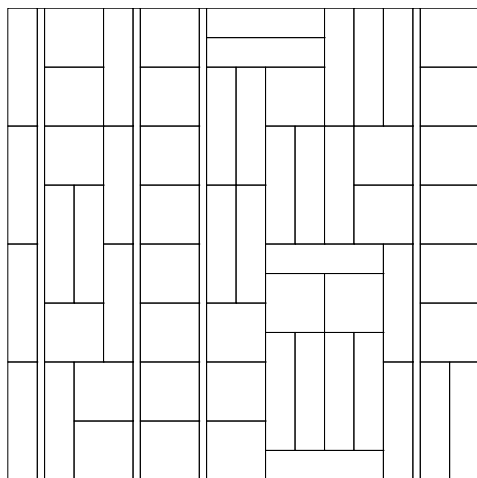


$\lambda = 1.03$

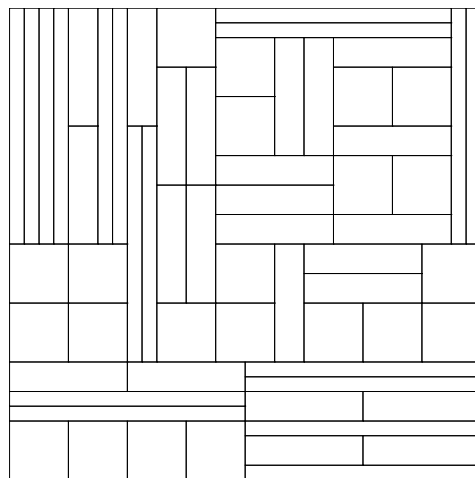


Rigorous proofs all the way to the critical point $\lambda_c = 1$!

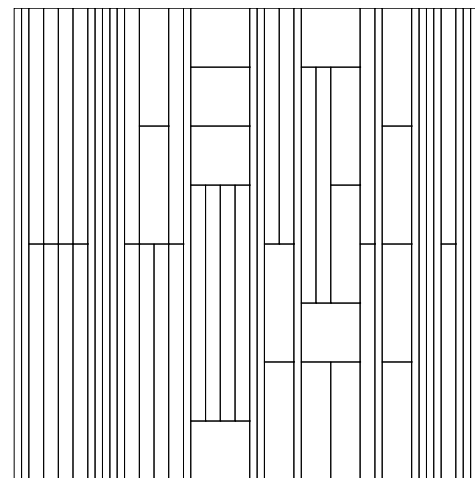
Our Results: Rectangular Dissections



$\lambda = 0.80$



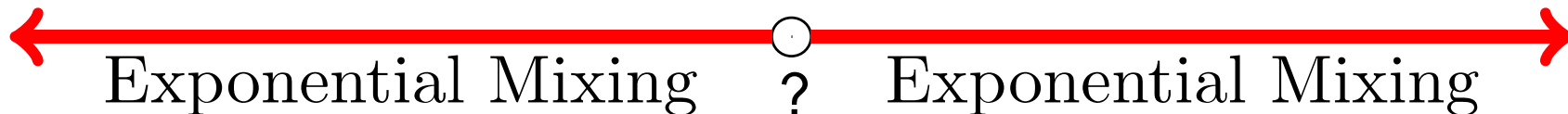
$\lambda = 1.00$



$\lambda = 1.03$

λ :

$\lambda = 1$



Exponential Mixing

Exponential Mixing

Exponential mixing for very different reasons

Talk Outline

1. Background and Previous Work

2. Our Results

3. Proof Ideas

- a. (General) The edge-flip chains **connects**.
- b. (Dyadic) When $\lambda < 1$, the edge-flip chain is **poly**.
- c. (Both) When $\lambda > 1$, the edge-flip chain is **exp**.
- d. (General) When $\lambda < 1$, the edge-flip chain is **exp**.

Proof Sketch: Connectivity

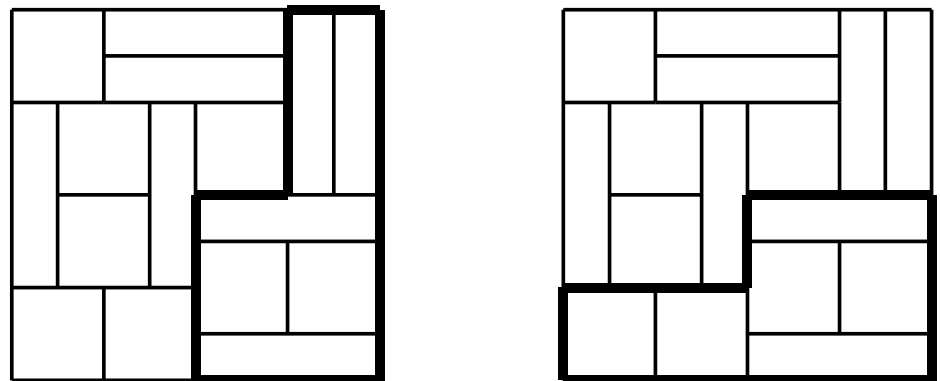
Thm 1: The Edge-Flip Chain **connects** the set of dissections of the $n \times n$ lattice region into n rectangles of area n .

It's not immediately obvious that a single valid move even exists!

Proof sketch: Double induction on “h-regions”:

- Simply-connected subset of rectangles from a dissection
- All rectangles have height at most h
- All vertical sections on the boundary have height $c \cdot h$
(for some integer c)

For $n = 16$, an 8-region
and a 4-region.



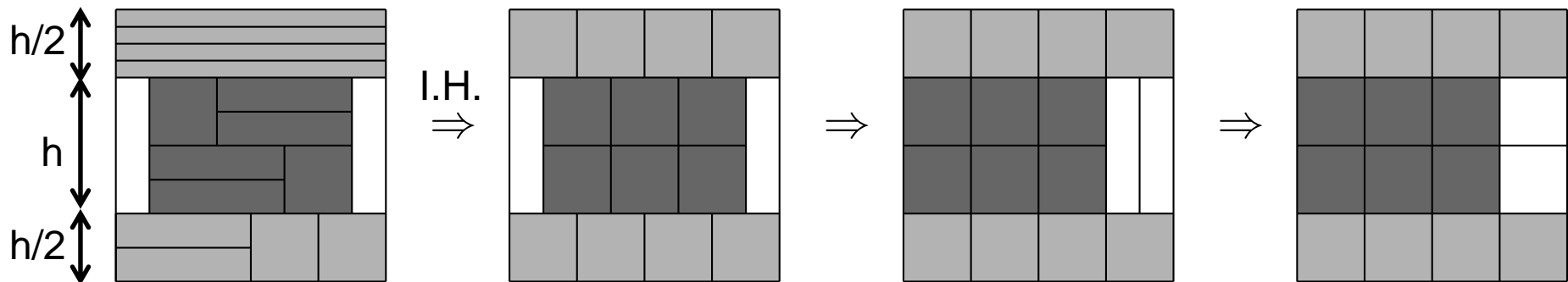
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It's not immediately obvious that a single valid move even exists!

Proof sketch: Double induction on “h-regions”:

- Prove can tile every h-region with all rectangles of height h
- Inside every h-region, find an $h/2$ -region or an h-region with smaller area



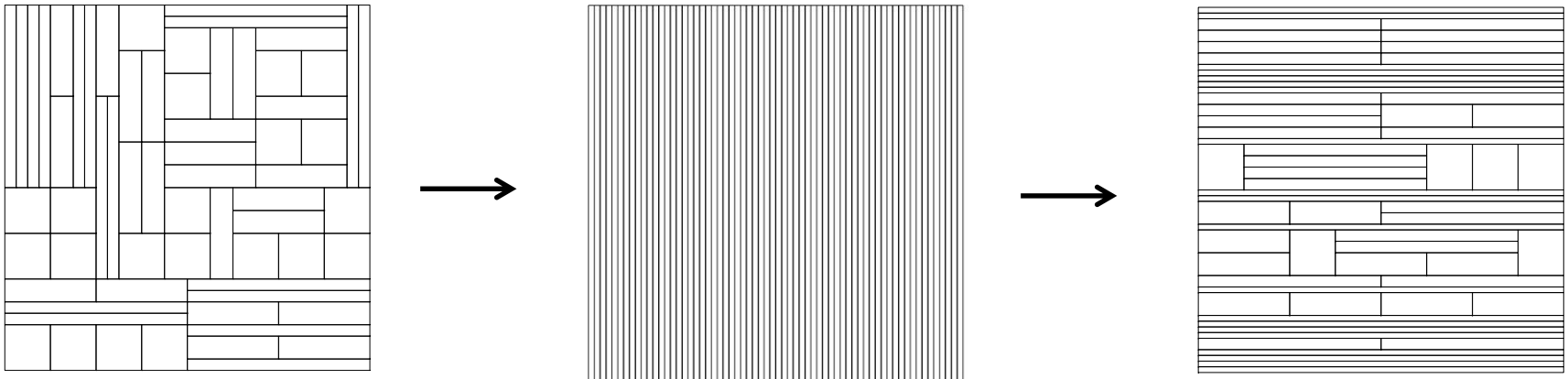
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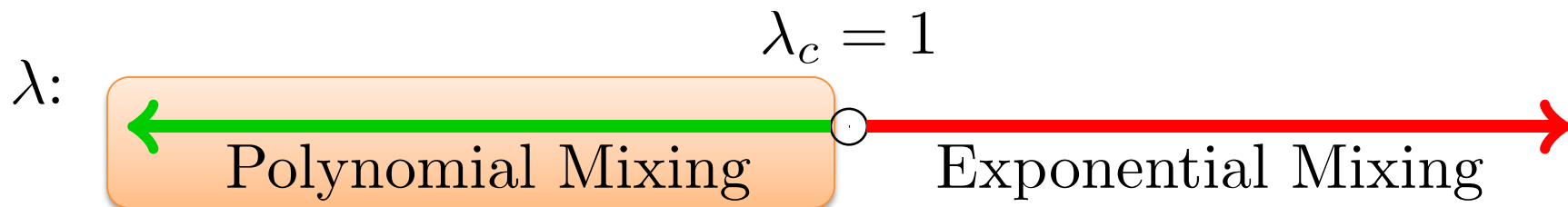


n-region

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 - d. (General) When $\lambda < 1$, the edge-flip chain is **exp**.

Fast Mixing for Dyadic Tilings



Thm: For any constant $\lambda < 1$, the edge-flip chain on the set of dyadic tilings converges in time $O(n^2 \log n)$.

Proof Technique:

Path coupling with an exponential metric

[Kenyon, Mossel, Perez '01][Greenberg, Pascoe, Randall '09]

For two configurations differing by flipping edge f to edge e , let the distance between them be $\lambda^{|f|-|e|}$.

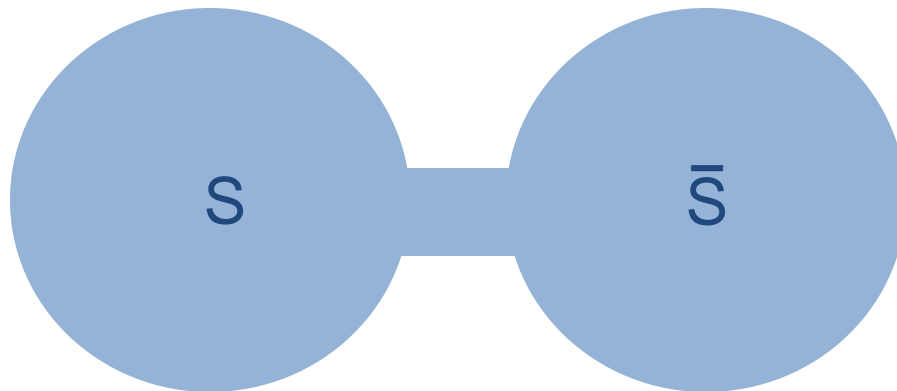
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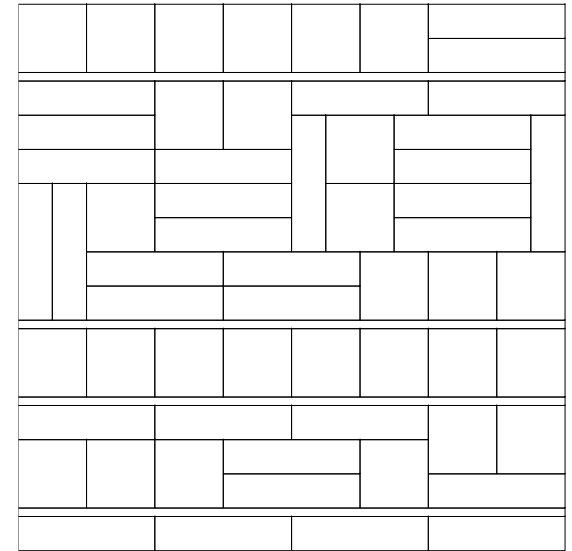
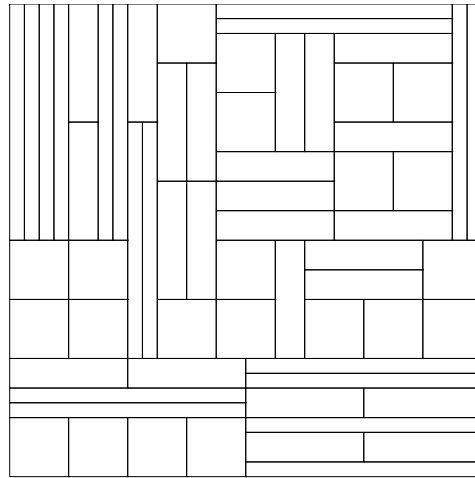
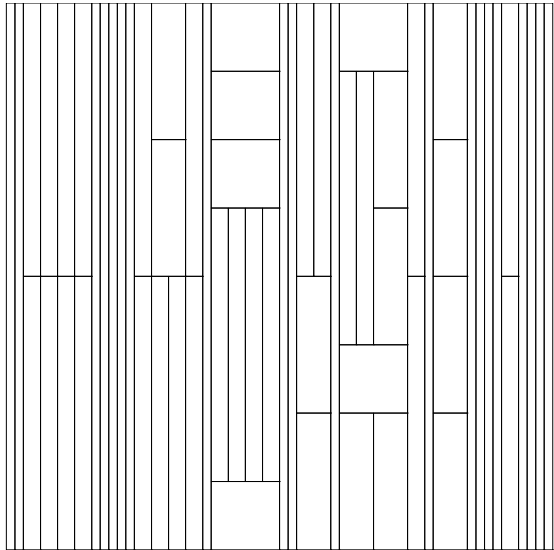
Slow Mixing when $\lambda > 1$

Thm: For any constant $\lambda > 1$, the edge-flip chain requires time $\exp(\Omega(n^2))$.

Proof idea: Show that a “bottleneck” exists.



The “Bottleneck”



No $1 \times n$ or $n \times 1$ rectangles

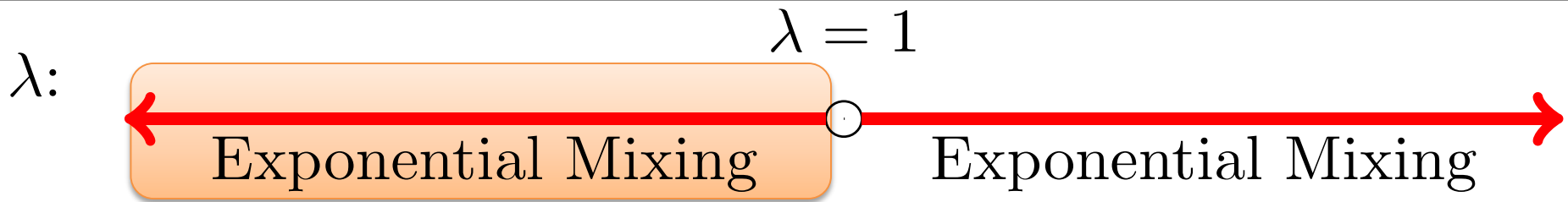
At least one
 $1 \times n$ rectangle

At least one
 $n \times 1$ rectangle

Talk Outline

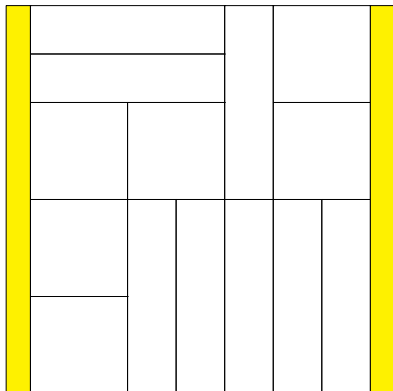
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Slow Mixing when $\lambda < 1$



Thm: For any constant $\lambda < 1$, the edge-flip chain on rectangular dissections requires time $\exp(\Omega(n \log n))$.

Proof idea: Show that a “bottleneck” exists.

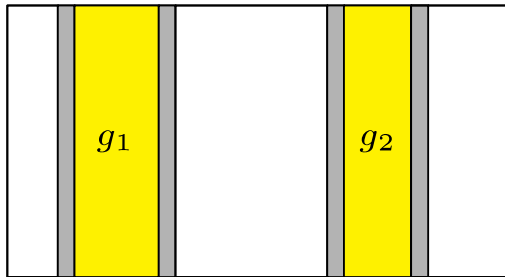


Key Ideas:

1. In order to remove a “bar” you need two bars next to each other.
2. If you have 2 bars you must also have lots of other thin rectangles.

The “Bottleneck”

- Pair up the bars left to right
- The *separation* is the sum of the “gaps”

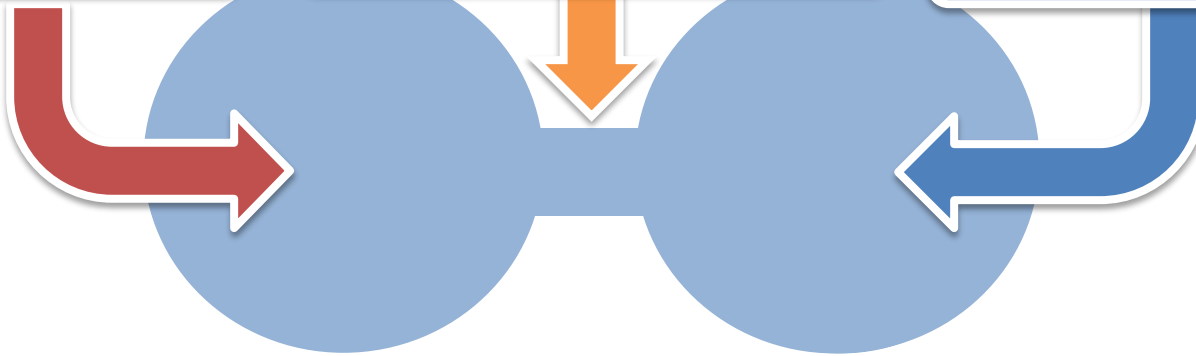


$$\text{Separation} = g_1 + g_2 + 4$$

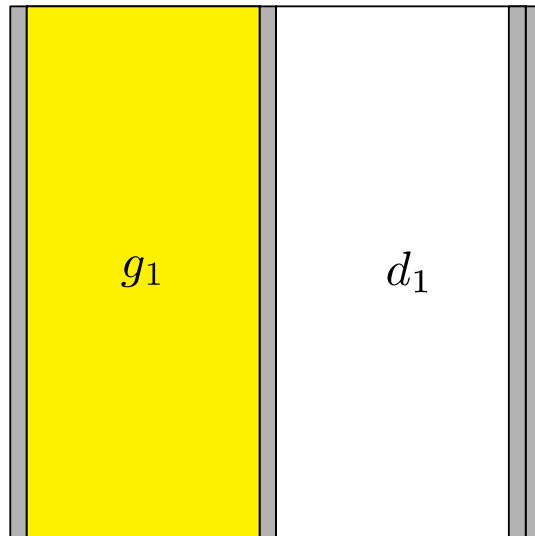
Separation $\geq n/2 + 2$

At least 4 bars and
separation = $n/2 + 2$

Separation $< n/2 + 2$



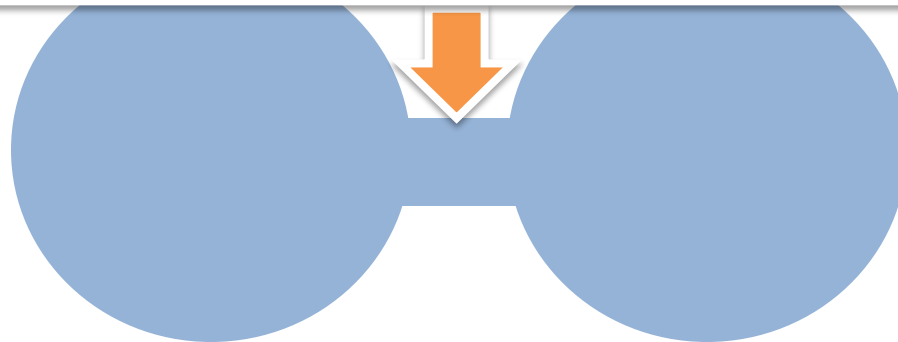
The “Bottleneck”



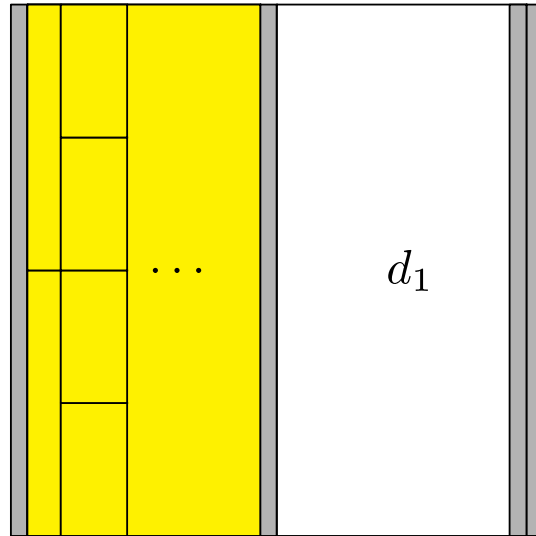
$$g_1 = d_1 = n/2 - 2$$

= 0111 ... 1110 (in binary)

At least 4 bars and separation = $n/2 + 2$



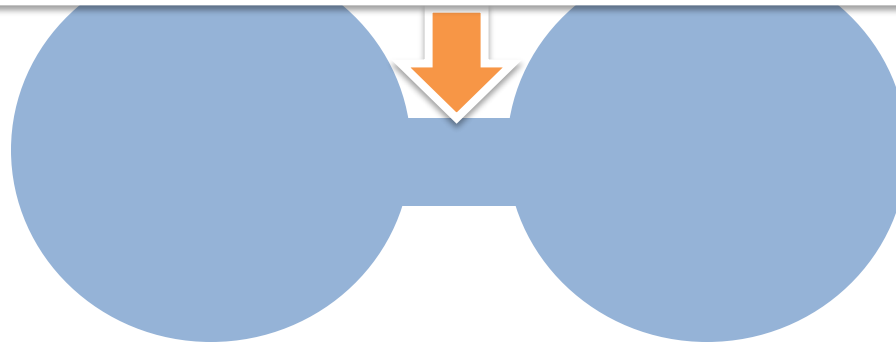
The “Bottleneck”



$$g_1 = d_1 = n/2 - 2$$

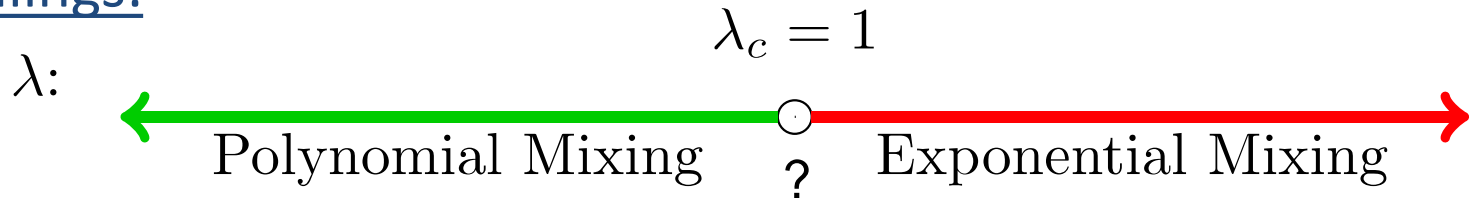
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At least 4 bars and separation = $n/2 + 2$

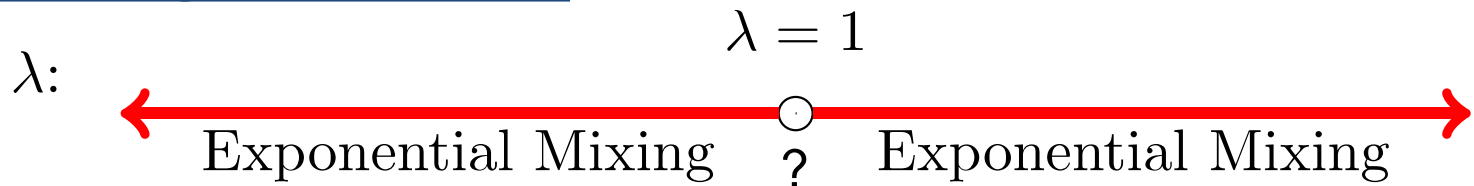


Summary and Open Problems

Dyadic Tilings:



General Rectangle Dissections:



1. What happens when $\lambda = 1$ for dyadic and general tilings?
2. When does bias speed up or slow down a chain?
3. Is there a MC that is polynomial when the EF chain is not?

Thank you!