

1.

- a. Find a suitable loop invariant
 $y \geq 0 \ \&\& \ result * x^y == m^n$

- b. Show that the invariant holds before the loop (base case).

Precondition of function: $n \geq 0$

$$n \geq 0, y = n \rightarrow y \geq 0$$

$$result = 1, x = m, y = n \rightarrow result * x^y == 1 * m^n == m^n$$

Thus, invariant ($y \geq 0 \ \&\& \ result * x^y == m^n$) holds before loop.

- c. Show by induction that if the invariant holds after k-th iteration, and execution takes a k+1-st iteration, the invariant still holds (inductive step).

k:

$$y > 0 \text{ (or we have exited loop) } \ \&\& \ result * x^y == m^n$$

k + 1:

Case 1: $y = \text{even}$ ($y \% 2 == 0$):

$$result_{new} = result$$

$$y_{new} = \frac{y}{2}$$

$$x_{new} = x^2$$

$$result_{new} * x_{new}^{y_{new}} = result * x^{2 * \frac{y}{2}} = result * x^y == m^n$$

$$y > 0 \text{ (or we have exited loop) } \ \&\& \ y_{new} = \frac{y}{2} \rightarrow y_{new} \geq 0 \text{ (} y = \text{integer)}$$

Case 2: $y = \text{odd}$ ($y \% 2 == 1$):

$$result_{new} = result * x$$

$$y_{new} = y - 1$$

$$x_{new} = x$$

$$result_{new} * x_{new}^{y_{new}} = (result * x) * x^{y-1} = result * x^{1+y-1} = result * x^y == m^n$$

$$y > 0 \text{ (or we have exited loop) } \ \&\& \ y_{new} = y - 1 \rightarrow y_{new} \geq 0 \text{ (} y = \text{integer)}$$

→ Invariant holds for all cases of the k + 1 iteration

- d. Show that the loop exit condition and the loop invariant imply the postcondition $result = m^n$.

$$!(y != 0) \ \&\& \ y \geq 0 \rightarrow y == 0 \ \&\& \ y \geq 0 \rightarrow y == 0$$

$$result * x^y = result * x^0 = result$$

$$m^n == result * x^y == result \rightarrow result == m^n$$

- e. Find a suitable decrementing function. Show that the function decreases at each iteration and that when it reaches the minimum the loop is exited.

$$D = y$$

$$y = \text{even} \rightarrow y_{\text{new}} = \frac{y}{2} < y$$

$$y = \text{odd} \rightarrow y_{\text{new}} = y - 1 < y$$

y decreases at each iteration $\rightarrow D$ decreases at each iteration

$$D_{\text{minimum}} = 0$$

loop exits when $y == 0 \ \&\& \ D = y \rightarrow D_{\text{minimum}} = 0$ (loop exits when $D == 0$)

2.

- a. Given an array `arr[0..N-1]` where each of the elements can be classified as **red** or **blue**, write pseudocode to rearrange the elements of `arr` so that all occurrences of **blue** come after all occurrences of **red** and the variable `k` indicates the boundary between the regions. That is, all `arr[0..k-1]` elements will be **red** and elements `arr[k..N-1]` will be **blue**. You might need to define method `swap(arr, i, j)` which swaps the `i`th and `j`th elements of `arr`.

`swap (arr, a, b):`

```
temp = arr[b]
arr[b] = arr[a]
arr[a] = temp
```

`dutch (arr):`

```
j = 0, k = arr.length
while j < k:
    if arr[j] == 'r' && arr[k-1] == 'b'
        swap(arr, j, k-1)

    if arr[j] == 'r'
        j++
    else if arr[k-1] == 'b'
        k--
```

- b. Write an expression for the postcondition.

$0 \leq k \leq \text{arr.Length}$
AND
(array has 'b' only && $k == 0$ OR $\text{arr}[i] == 'r', \text{where } 0 \leq i < k$)
AND
(array has 'r' only && $k == \text{arr.Length}$ OR $\text{arr}[i] == 'b', \text{where } k \leq i < \text{arr.Length}$)

- c. Write a suitable loop invariant for all loops in your pseudocode.

$0 \leq j \leq k \leq \text{arr.Length}$
AND
(array has 'b' only && $j == 0$ OR $\text{arr}[i] == 'r', \text{where } 0 \leq i < j$)
AND
(array has 'r' only && $k == \text{arr.Length}$ OR $\text{arr}[i] == 'b', \text{where } k \leq i < \text{arr.Length}$)

3. Fill in the annotations at the designated places. You can use function Factorial in annotations. Fill in the two loop invariants and the assertion.

method LoopyFactorial(n: int) returns (u: int)

requires $n \geq 0$

ensures $u == \text{Factorial}(n)$

```
{
  u := 1;
  var r := 0;
  while (r < n)
    invariant u == Factorial(r) && r <= n
  {
    var v := u;
    var s := 1;
    while (s <= r)
      invariant u == s*v && s <= r+1
      decreases r - s
    {
      u:=u+v;
      s:=s+1;
    }
    r:=r+1;
    assert (u == r*v);
  }
}
```

Inner loop proof (i = arbitrary iteration, f = next iteration):

I. Base case

$$s = 1, r \geq 0, r + 1 \geq 1 \rightarrow s \leq r + 1 [TRUE]$$

$$u = v = 1 * v == s * v [TRUE]$$

II. Induction

Assume $s_i \leq r + 1$ && $u_i == s_i * v$ for arbitrary iteration. For next iteration:

if $s_i < r$:

$$s_f = s_i + 1, u_f = u_i + v$$

$$s_f \leq r + 1 \rightarrow s_i + 1 \leq r + 1 \rightarrow s_i \leq r$$

$$s_i < r \rightarrow s_i \leq r + 1 [TRUE]$$

$$u_f == s_f * v \rightarrow u_i + v == (s_i + 1) * v \rightarrow u_i == s_i * v [TRUE]$$

if $s_i == r$: Loop exits, assumption still holds.

$$s_i == r \rightarrow s_i \leq r + 1 [TRUE]$$

$$u_i == s_i * v [TRUE]$$

III. ImPLY postcondition

$$!(s \leq r) \ \&\& \ s \leq r + 1 \ \&\& \ u == s * v$$

$$!(s \leq r) \rightarrow s > r$$

$$s > r \ \&\& \ s \leq r + 1 \rightarrow s == r + 1$$

$$u == s * v \rightarrow u == (r + 1) * v \text{ (postcondition before } r = r + 1)$$

$$\text{After exit, } r = r + 1 \rightarrow u == r * v \rightarrow \text{matches indicated assert statement}$$

Outer loop proof (i = arbitrary iteration, f = next iteration):

I. Base case

$$r = 0, n \geq 0, r \leq n \text{ [TRUE]}$$

$$u = 1 = 0! == r! == \text{Factorial}(r) \text{ [TRUE]}$$

II. Induction

Assume $r_i \leq n \ \&\& \ u_i == \text{Factorial}(r_i)$ for arbitrary iteration. For next iteration:

if $r_i < n - 1$:

$$r_f = r_i + 1 \rightarrow u_f = r_f * u_i = (r_i + 1) * u_i \rightarrow r_f = r_i + 1$$

$$u_f = \text{Factorial}(r_f) = \text{Factorial}(r_i + 1) = (r_i + 1)! = (r_i + 1) * r_i!$$

$$\rightarrow (r_i + 1) * r_i! = u_f = r_f * u_i = (r_i + 1) * u_i$$

$$\rightarrow (r_i + 1) * r_i! == (r_i + 1) * u_i \rightarrow r_i! == u_i == \text{Factorial}(r_i) \text{ [TRUE]}$$

if $r_i == n - 1$: Loop exits, assumption still holds.

$$r_i \leq n \text{ [TRUE]}$$

$$u_i == \text{Factorial}(r_i) \text{ [TRUE]}$$

III. ImPLY postcondition

$$!(r < n) \ \&\& \ r \leq n \ \&\& \ u == \text{Factorial}(r),$$

$$!(r < n) \rightarrow r \geq n$$

$$r \geq n \ \&\& \ r \leq n \rightarrow r == n \rightarrow u == \text{Factorial}(n).$$