# Lecture 3: Implementing Al in Healthcare (part 2)

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#### **Lecture Outline**

- Bias (ethical)
- Bias (statistical)
- Adressing risk
- Generalization challenges
- MLOps

#### Introduction

- The integration of AI in healthcare has great potential for improving patient care, but it is not without challenges.
- This presentation will delve into key pitfalls: bias, risk, and generalization, associated with Al in healthcare.

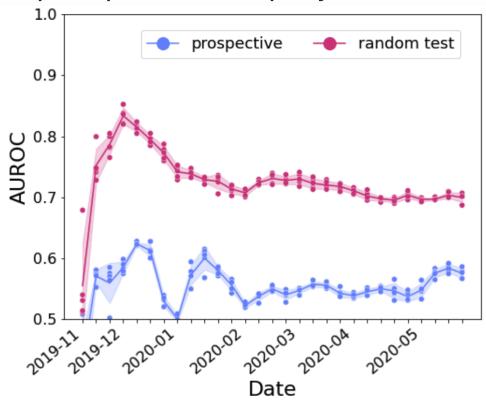
# Bias (ethical)

- Bias in Al refers to the systematic and unfair discrimination or favoritism in the outcomes produced by artificial intelligence systems, algorithms, or models.
- In healthcare it may lead to unequal access to healthcare, inaccurate diagnoses, or disparities in treatment recommendations based on various factors.

# Bias (statistical)

#### **Test set structure**

 It's very important to create a test set that (most) closely resembles prospective deployment



# Types of Bias

#### **Selection Bias**

- Selection bias is associated with the manner in which the data used for training or evaluation is collected.
- It arises when the data collection process favors certain groups or circumstances over others.
- Selection bias can introduce systemic bias into the dataset.

## **Labeling Bias**

Labeling bias occurs during the annotation or labeling of data points. It stems from human annotators' biases or subjective judgments when assigning labels or categories to data.

Labeling bias can impact the accuracy of the ground truth labels used for training.

#### **Data Bias**

- Data bias pertains to the quality and representativeness of the training data used to develop Al models.
- It results from biased or unrepresentative samples in the dataset.
- Data bias can affect the entire AI system, as the model learns patterns from the training data.

## **Algorithmic Bias**

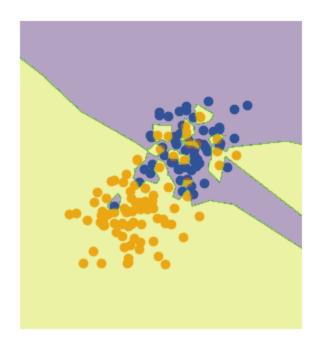
- -A Igorithmic bias relates to inherent biases in the design or structure of the AI algorithms themselves.
- It can result from the way features are selected, weighted, or processed during decision-making.
- Algorithmic bias can influence how the Al model interprets and processes data.

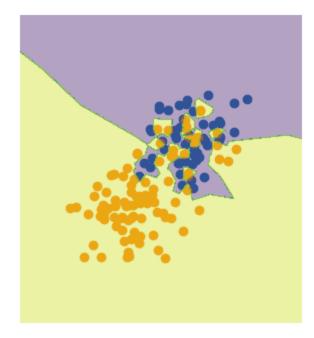
#### **Reinforcement Bias**

 Reinforcement bias emerges from the interactions between Al systems and users.

It results from Al systems learning from user feedback and behavior. If users exhibit biased behavior, the Al may reinforce these biases in its responses.

#### Source





# Addressing Bias

#### **Diverse and Inclusive Data Collection**

- Collect diverse and representative data to train Al models.
- Ensure that data includes various demographic, geographic, and socio-economic factors.
- Pay special attention to underrepresented or marginalized groups to avoid skewed or biased training data.

# **Data Preprocessing and Cleaning**

- Implement rigorous data preprocessing techniques to identify and mitigate bias in training data.
- Remove or re-weight biased or sensitive attributes from the dataset to minimize the potential for bias to be learned by the Al system.

#### **Fairness and Bias Audits**

- Conduct regular fairness audits of Al models to detect and quantify bias.
- Use specialized tools and metrics (e.g., disparate impact, equal opportunity) to assess the fairness of model outcomes across different groups.

# **Transparency and Explainability**

- Make Al models more transparent and interpretable to understand the factors influencing their decisions.
- Implement techniques like explainable AI (XAI) to provide insights into model behavior and allow for the identification and rectification of bias.

# **Continuous Monitoring and Feedback Loop**

- Establish a feedback loop for continuous monitoring and improvement of Al systems' fairness.
- Collect feedback from users and impacted communities to identify and address bias issues as they arise, making ongoing refinements to models and data.

# Risk

 Risk in Al refers to the potential negative consequences or uncertainties associated with the development, deployment, and use of artificial intelligence systems.

# Examples of Healthcare AI Risks

#### **Data Breaches**

- Healthcare data is particularly sensitive.
- Breaches can expose patient information, leading to privacy violations and legal consequences.

## **Incorrect Diagnoses**

• Al systems that assist in diagnostics could potentially make incorrect diagnoses, leading to improper treatment and harm to patients.

## **Legal Liabilities**

 Healthcare providers using Al systems face legal risks if the technology leads to patient harm, including malpractice claims.

#### **Ethical Concerns**

• Decisions about patient care based on AI could raise ethical issues, especially regarding consent, transparency, and the prioritization of healthcare resources.

# Addressing Risk

## **Robust Data Security Measures**

- Implement strong data protection practices like encryption, access controls, and regular security audits.
- Ensure compliance with regulations like General Data Protection Regulation (GDPR) and Health Insurance Portability and Accountability Act (HIPAA) to safeguard sensitive health data.

# Transparent and Explainable Al

- Develop Al systems that are understandable and transparent, allowing healthcare professionals to grasp how Al decisions are made.
- Can help in understanding Al model's decision-making process, providing justification for the decisions made, and identifying biases.

#### **Ethical AI Development and Use**

 Adhere to ethical principles in Al development to ensure fairness, avoid bias, and respect patient autonomy and privacy.

# Rigorous Testing and Validation

- Subject Al systems to extensive testing and validation to confirm their safety and efficacy, and that they perform as intended across diverse patient populations.
- May include clinical trials followed by continuous monitoring postdeployment.

# **Legal and Regulatory Compliance**

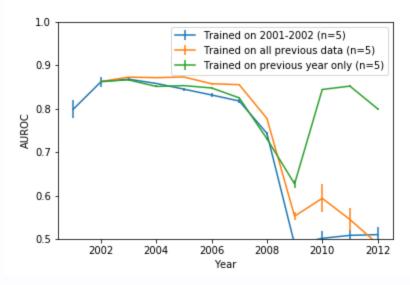
- Ensure Al systems comply with medical, data protection, and patient rights laws.
- Make sure to adapt to legal changes.

# Generalization

• Generalization in Al refers to the ability of an Al system or model to perform well on new, unseen data after having been trained on a specific set of data.

#### **Model drift**

• After a model goes live the performance of the model will often suffer



# **Overfitting vs. Underfitting**

- Good generalization requires balance between overfitting and underfitting.
- Overfitting: Model learns the training data too well & performs poorly on new data.
- Underfitting: Model is too simple to capture the underlying structure of the data & performs poorly on training and new data.

# Importance of Generalization in Healthcare

#### **Diverse Patient Populations**

- Healthcare datasets come from diverse populations with varying demographics, medical histories, and health conditions.
- Generalization ensures that Al models can effectively handle data from varied patient groups.

#### **Variability in Medical Data**

- Medical data can be highly variable (i.e., imaging data, electronic health records (EHRs), genetic information).
- Each type of data has differences in quality, format, and context.
- Generalization ensures Al models can provide reliable insights across various types of medical data.

#### **Changing Healthcare Practices and Knowledge**

- Healthcare is a rapidly evolving field (i.e., new treatments, diagnostic criteria, and research findings).
- Generalization ensures Al models are better equipped to remain relevant and accurate as medical knowledge and practices evolve.

### Addressing Generalization

#### **Training Data Diversity**

 Model trained on a very diverse dataset is more likely to generalize well because it has been exposed to a wide variety of examples.

#### **Regularization Techniques**

• Dropout, L1/L2 regularization, and early stopping help to prevent overfitting by penalizing complexity or stopping the training process before the model starts to overfit.

#### **Cross-validation**

- Involves dividing the dataset into several subsets, training the model on some subsets and validating it on others.
- Helps in assessing the model's ability to generalize across different data splits.

#### **Model Complexity**

- Simpler models usually underfit but more complex models usually overfit.
- Need to find the right level of complexity.

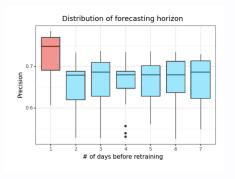
#### **Transfer Learning**

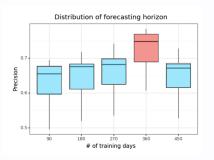
- Involves taking a model that has been trained on one task and adapting it to a different but related task.
- Can help in situations where there is not enough data for training a model from scratch, leveraging the generalization capabilities learned from the original task.

## ML0ps

#### Common deployment considerations

 How often to re-train, and with what data? Consider this an empirical question.





Frequency Training window