

These slides are almost the exact copy of [ML-MIPT course](#). Special thanks to ML-MIPT team.

# Attention is All You Need

Based on:

[https://github.com/girafe-ai/ml-mipt/blob/master/week1\\_04\\_Transformer/week04\\_Transformer.pdf](https://github.com/girafe-ai/ml-mipt/blob/master/week1_04_Transformer/week04_Transformer.pdf)

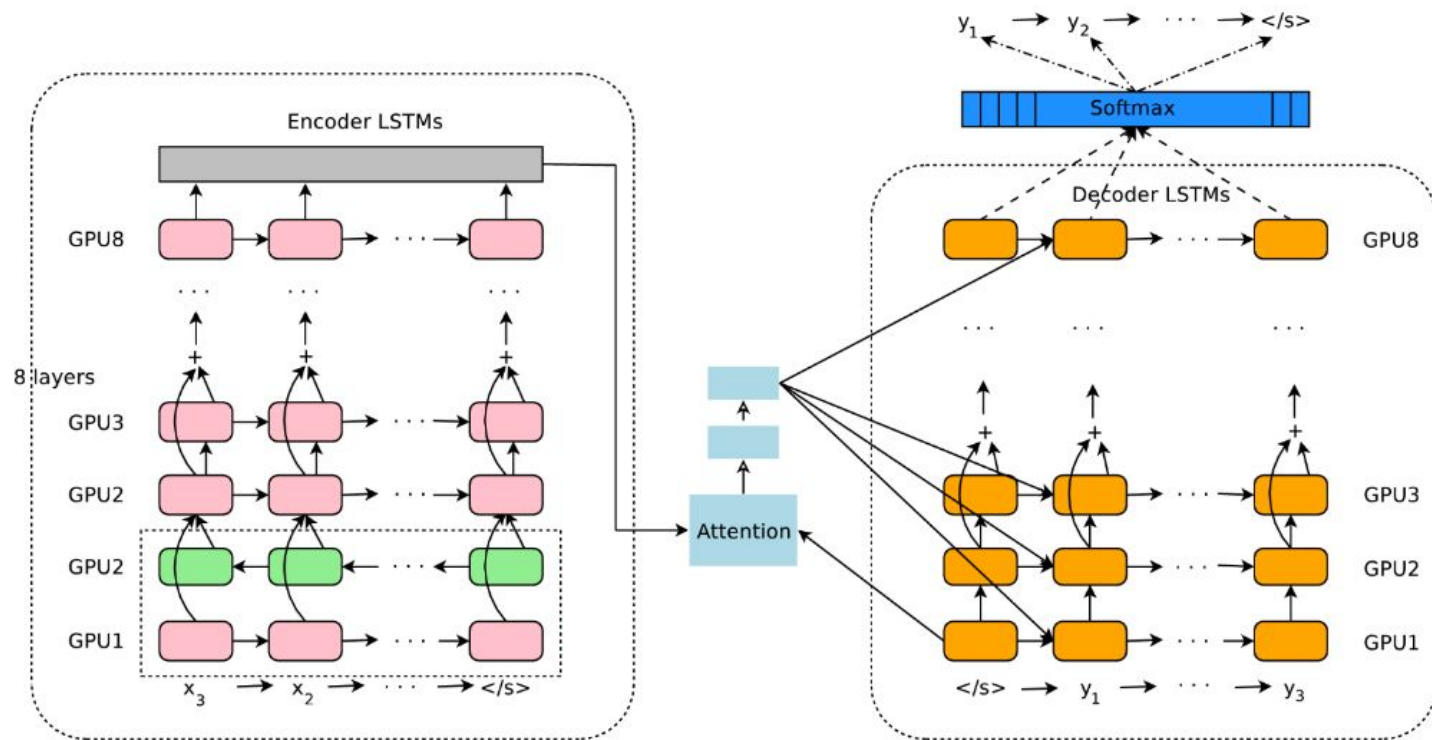
<http://web.stanford.edu/class/cs224n/slides/cs224n-2019-lecture08-nmt.pdf>

<https://jalammar.github.io/illustrated-transformer/>

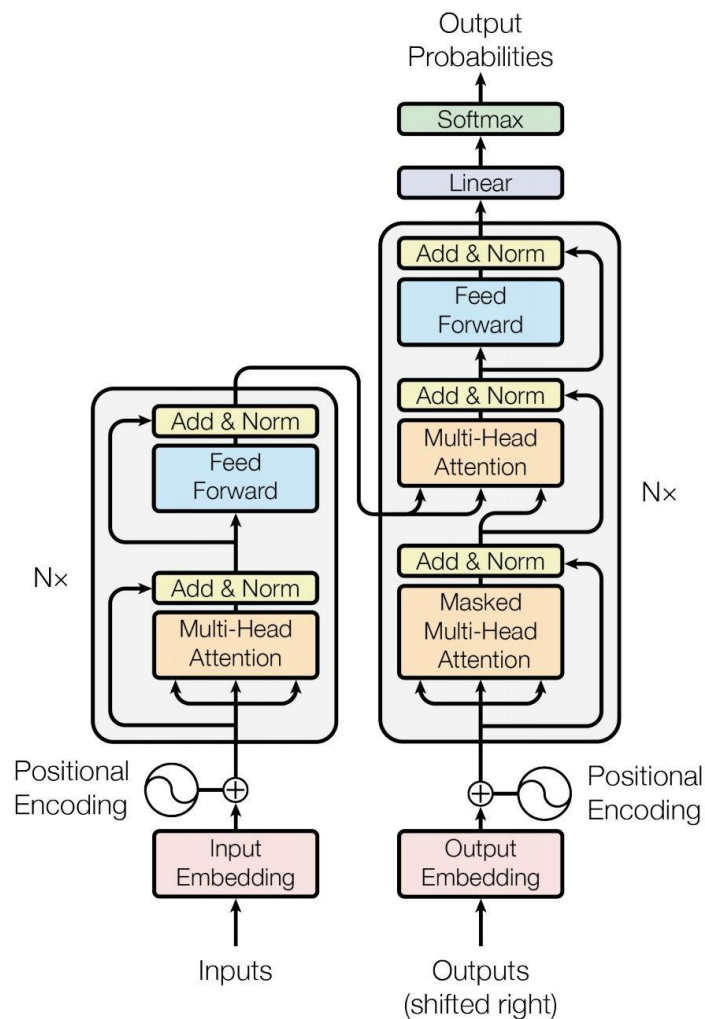
[https://github.com/yandexdataschool/nlp\\_course](https://github.com/yandexdataschool/nlp_course)

# Deep Encoder-Decoder Models (GNMT)

Wu et al. 2016



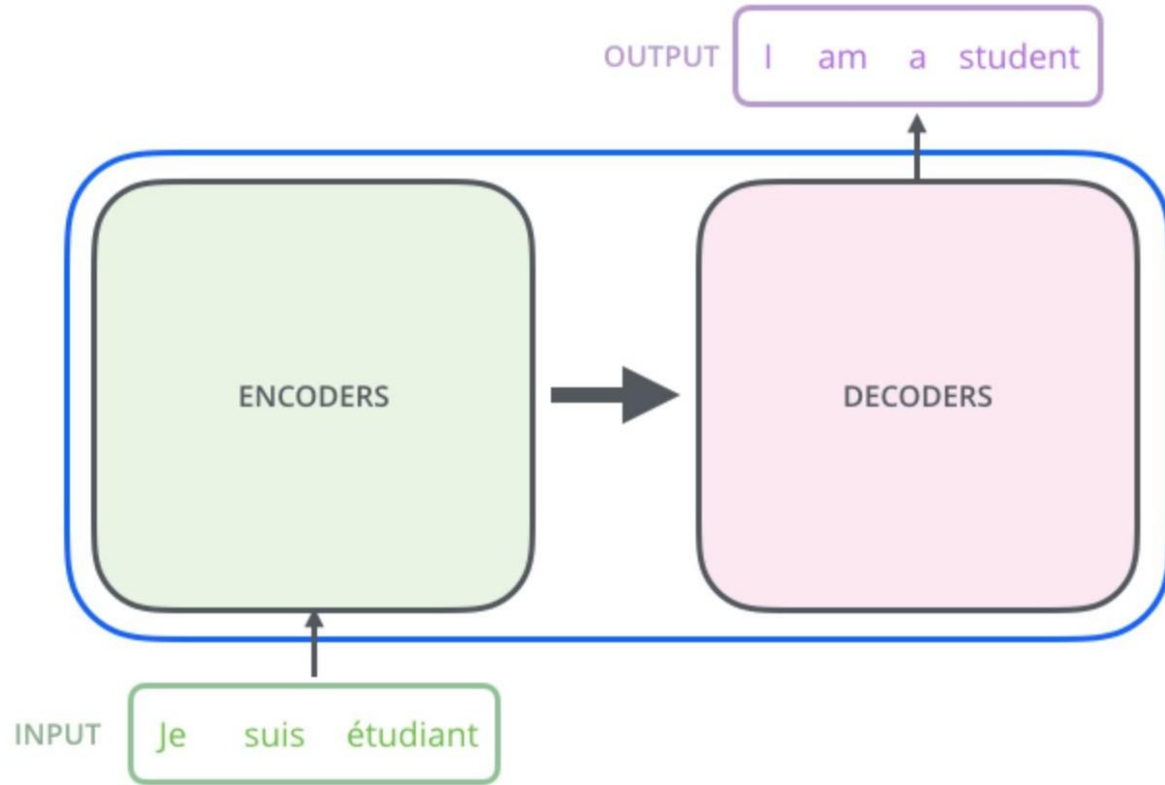
# The Transformer



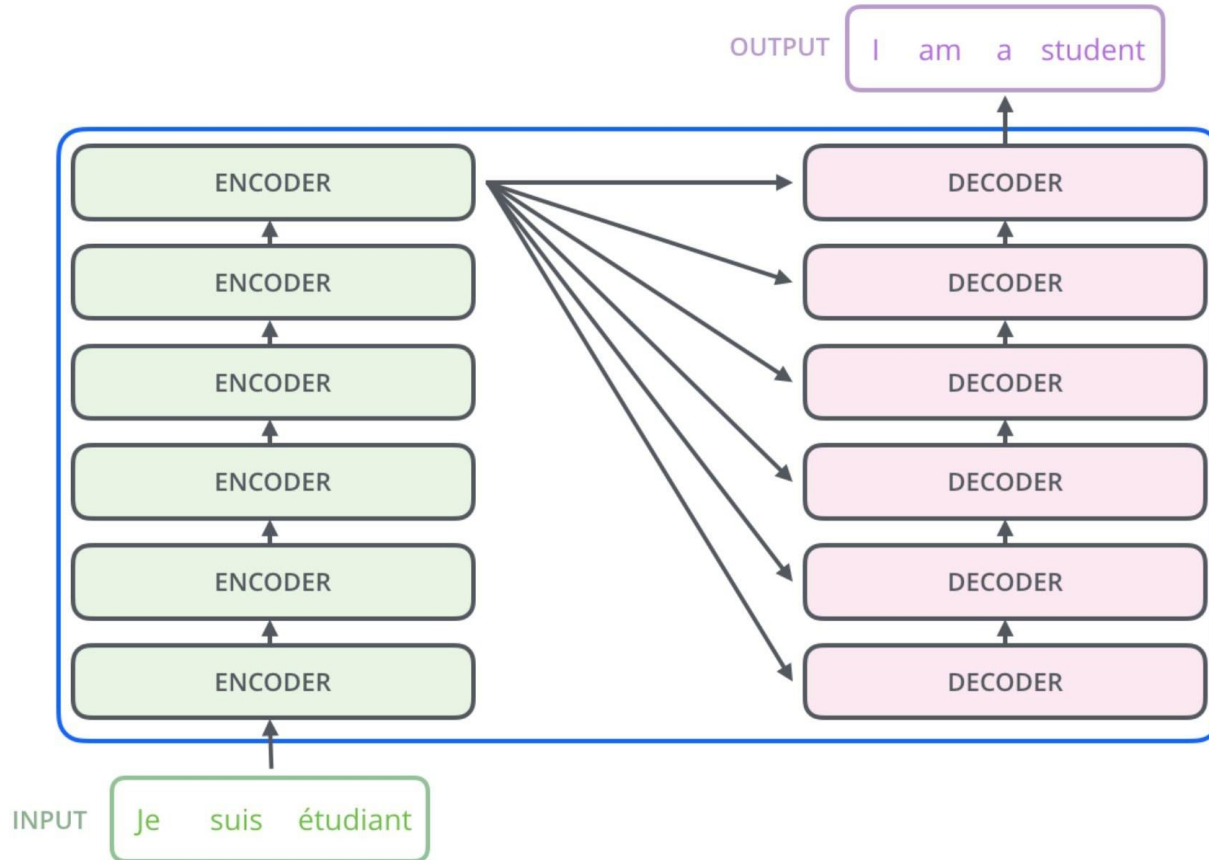
# The Transformer



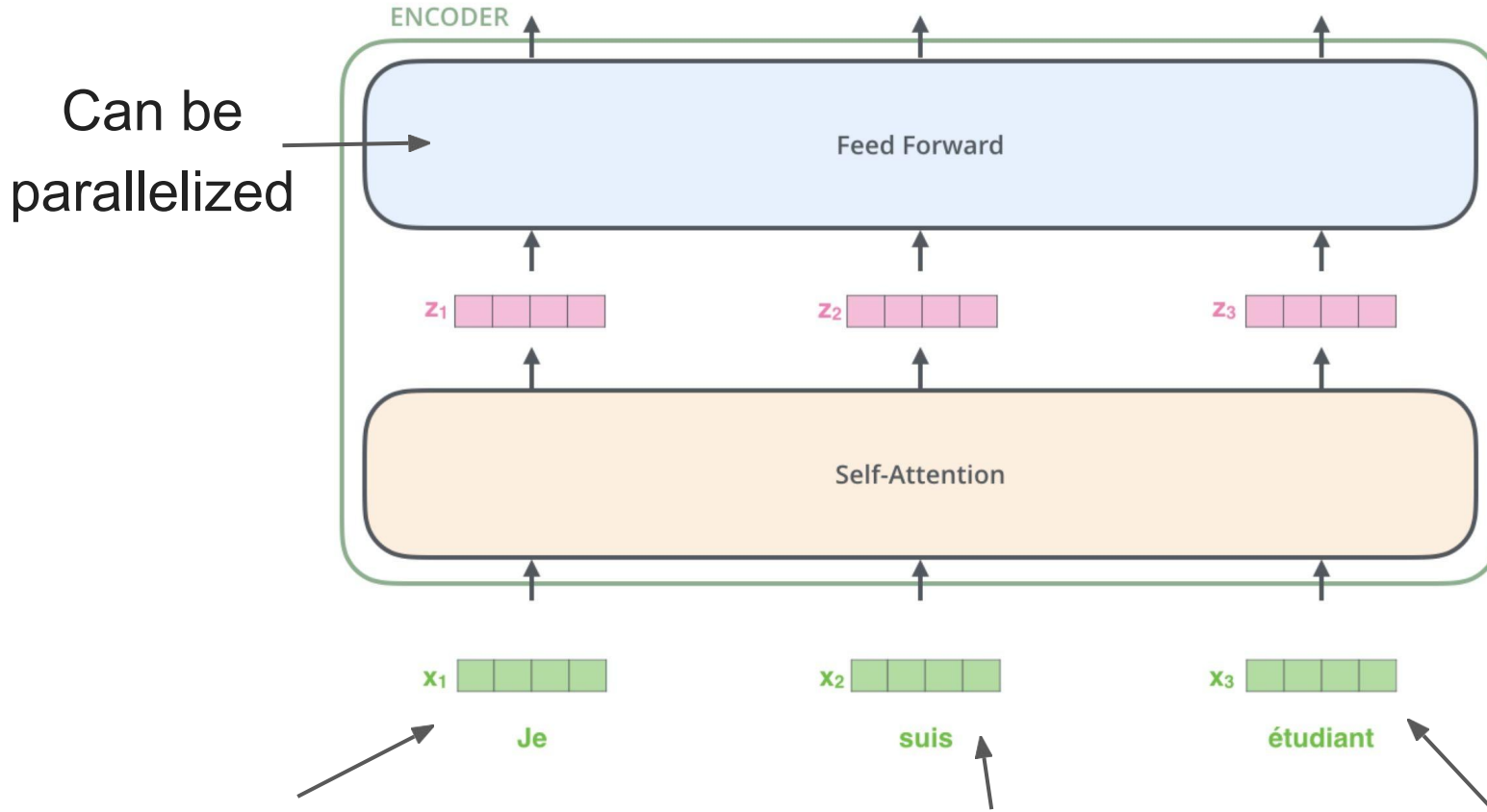
# The Transformer



# The Transformer



# The Encoder Side



the word in each position flows through its own path in the encoder 7

# The Transformer: quick overview

- Proposed in 2017 in paper [Attention is All You Need](#) by [Ashish Vaswani](#) et al.
- No recurrent or convolutional layers, only attention
- Beats seq2seq in machine translation task
  - *28.4 BLEU on the WMT 2014 English-to-German translation task*
- Much faster
- Uses **self-attention** concept



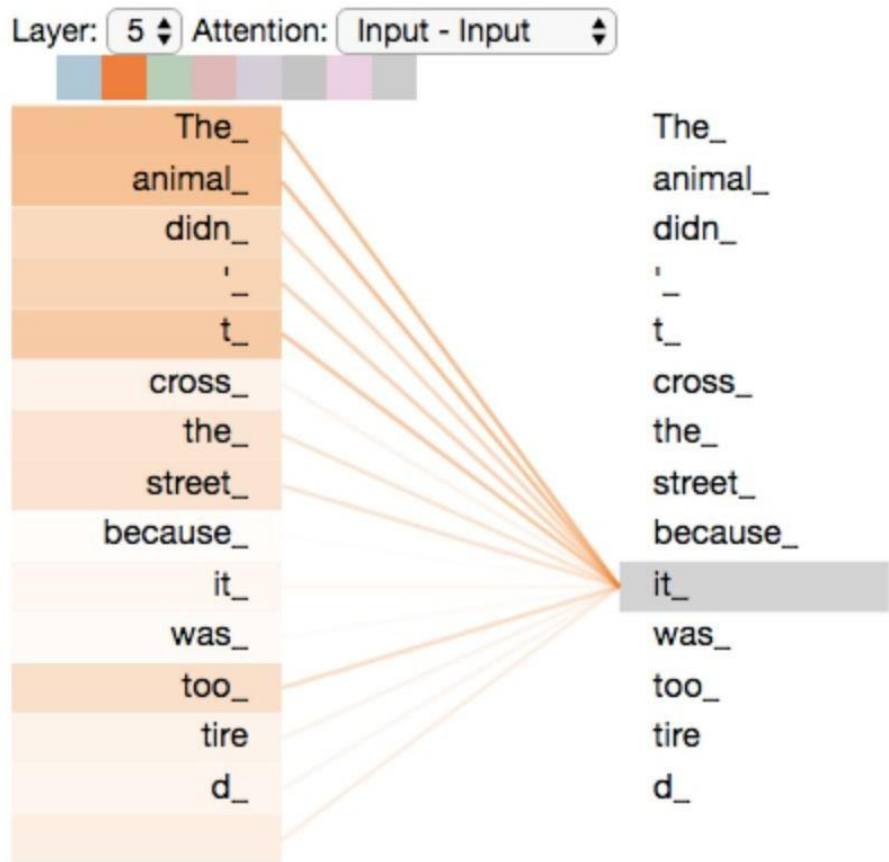
# Self-Attention

# Self-Attention at a High Level

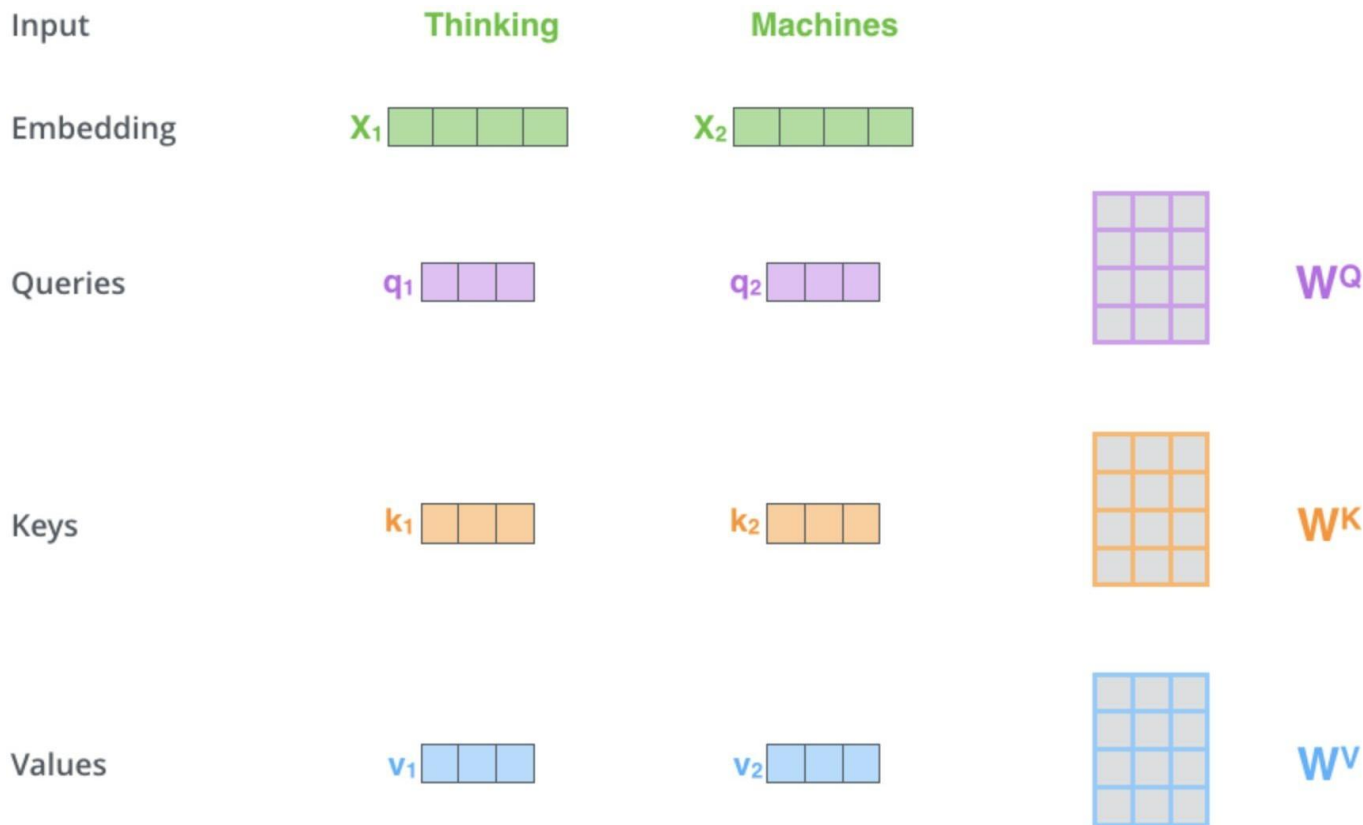
”The animal didn't cross the street because it was too tired”

- What does “it” in this sentence refer to?
- We want self-attention to associate “it” with “animal”
- Self-attention is the method the Transformer uses to bake the “understanding” of other relevant words into the one we’re currently processing

# Self-Attention at a High Level



# Self-Attention: detailed explanation

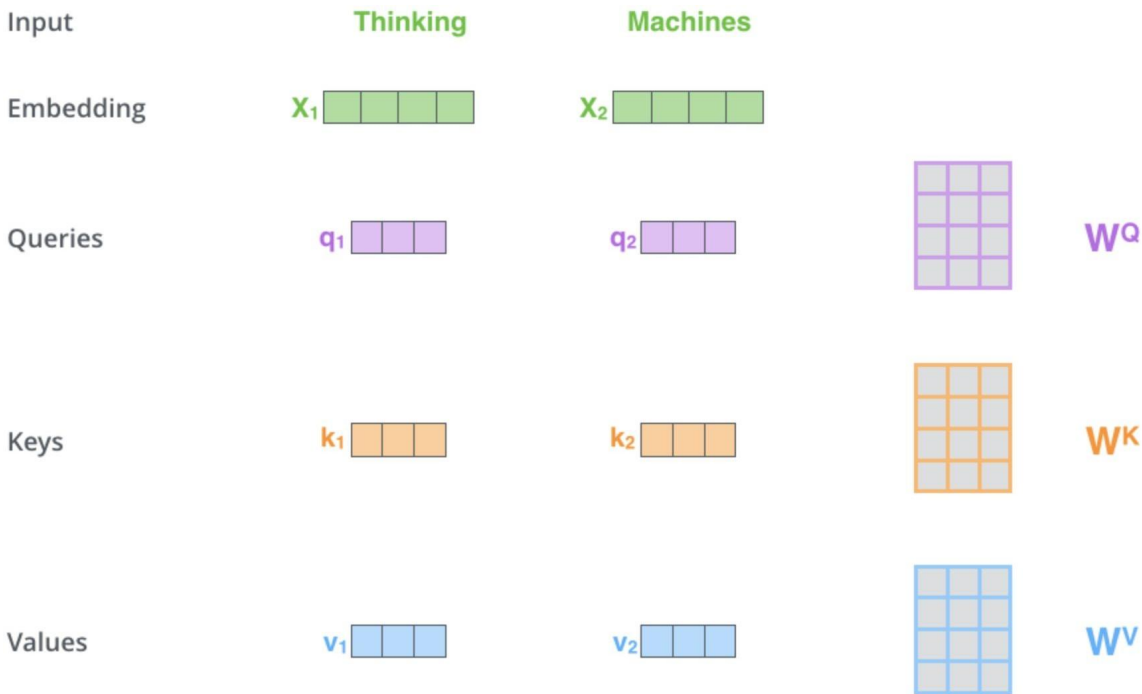


# Self-Attention: detailed explanation

## STEP 1:

create 3 vectors  
(**query**, **key**, **value**)

from each of the encoder's  
input vectors



# Self-Attention: detailed explanation

What are the **query**, **key**, **value** vectors?

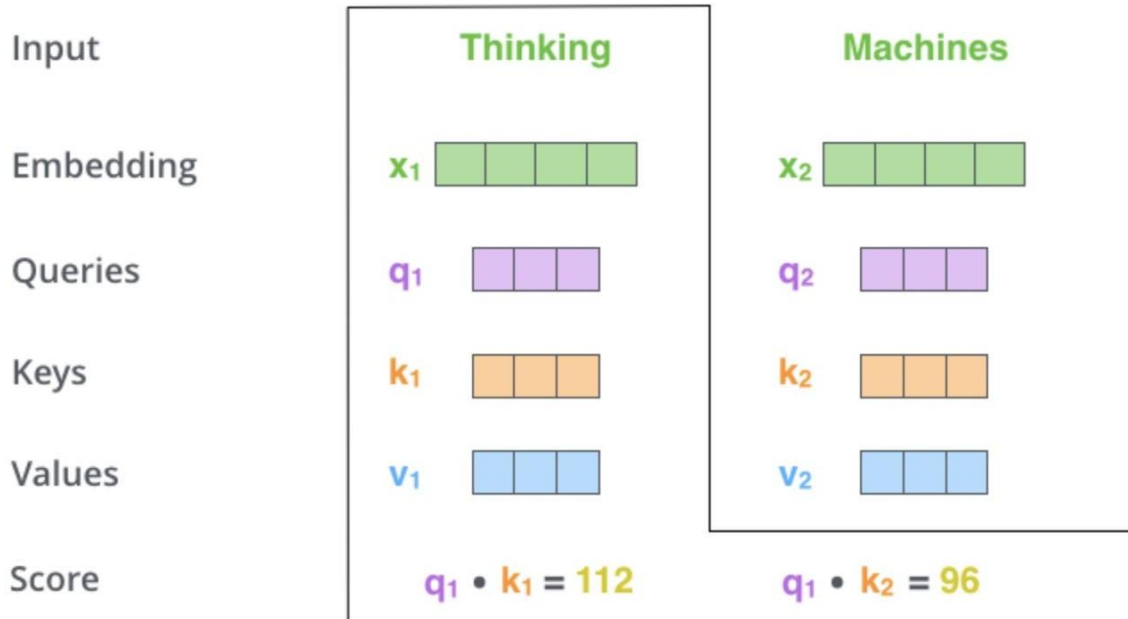
They're abstractions that are useful for calculating and thinking about attention.

# Self-Attention: detailed explanation

## STEP 2:

calculate a score

(score each word of the input sentence against the current word)



# Self-Attention: detailed explanation

## STEP 3:

divide the scores by 8

(the square root of the  
dimension of the key vectors)

## STEP 4:

softmax

Input

Embedding

Queries

Keys

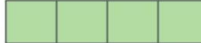
Values

Score

Divide by 8 (  $\sqrt{d_k}$  )

Softmax

Thinking

$x_1$  

$q_1$  

$k_1$  

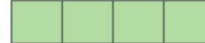
$v_1$  

$q_1 \cdot k_1 = 112$

14

0.88

Machines

$x_2$  

$q_2$  

$k_2$  

$v_2$  

$q_2 \cdot k_2 = 96$

12

0.12



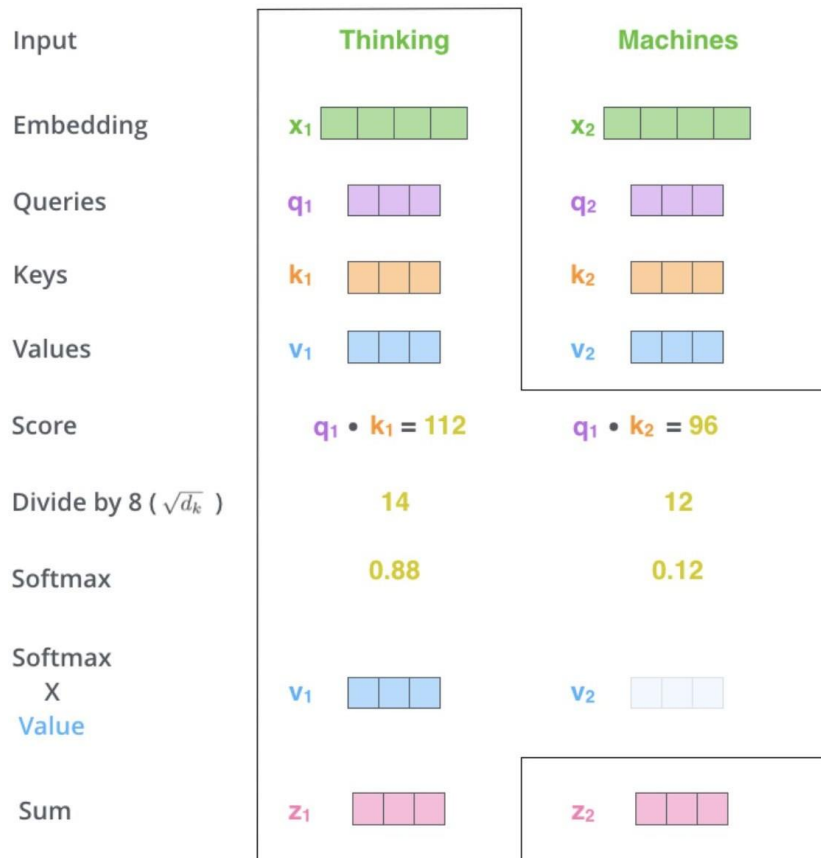
# Self-Attention: detailed explanation

## STEP 5:

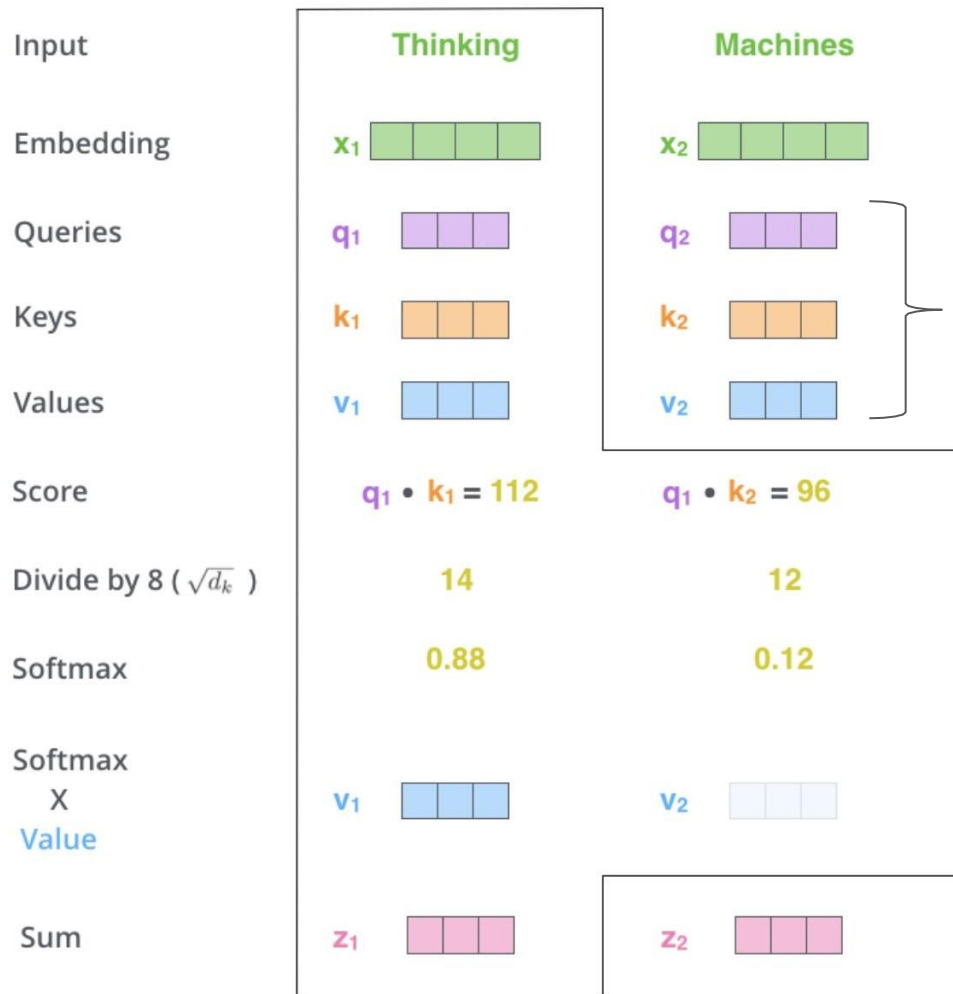
multiply each value  
vector by the softmax  
score

## STEP 6:

sum up the weighted  
value vectors



# Self-Attention



**STEP 1:** create Query, Key, Value

**STEP 2:** calculate scores  $\sqrt{d_k}$

**STEP 3:** divide by

**STEP 4:** softmax

**STEP 5:** multiply each value vector by the softmax score

**STEP 6:** sum up the weighted value vectors

# Self-Attention: Matrix Calculation

Pack embeddings into matrix **X**

Multiply **X** by weight matrices we've trained (**W<sub>k</sub>**, **W<sub>q</sub>**, **W<sub>v</sub>**)



# Self-Attention: Matrix Calculation

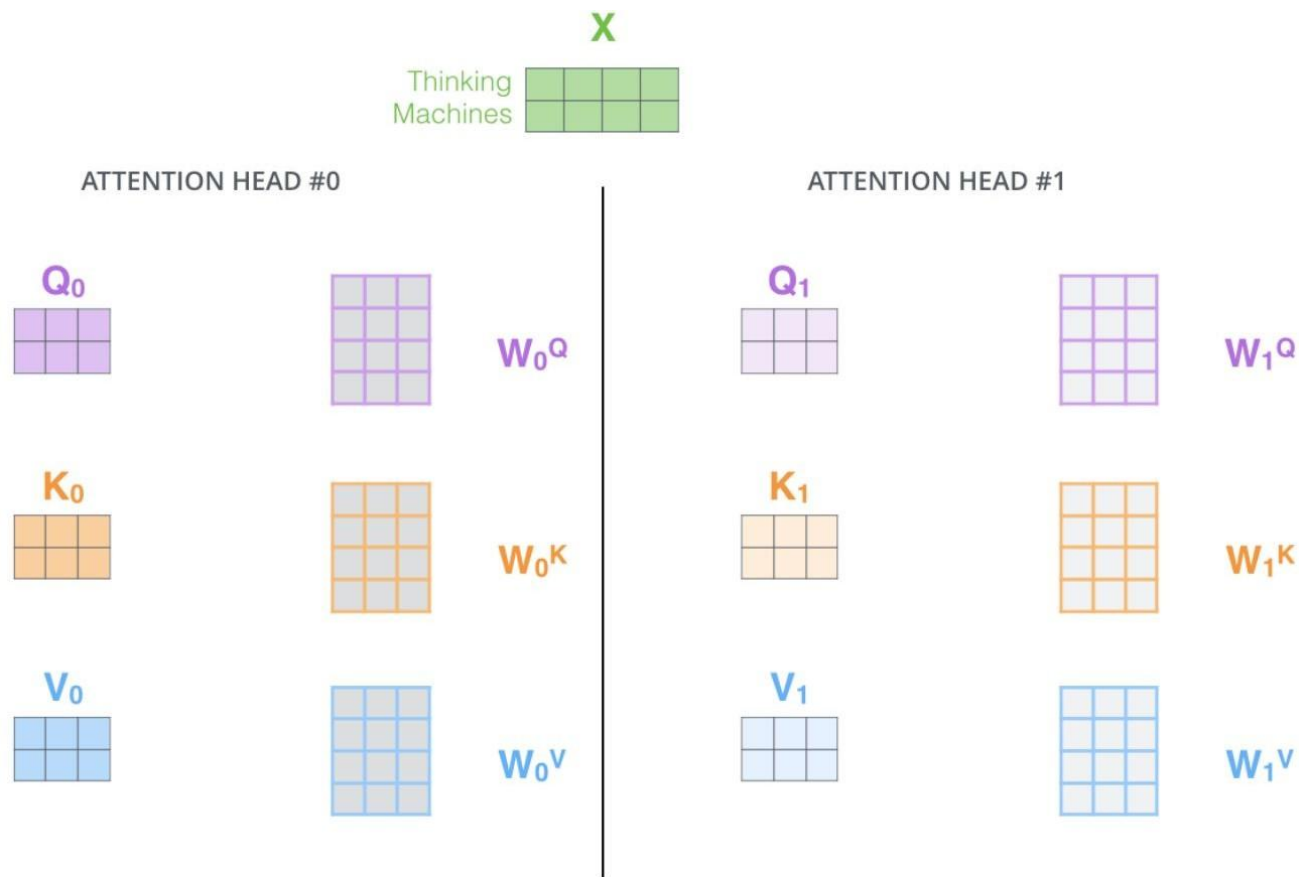
$$\text{softmax}\left(\frac{\begin{matrix} \text{Q} \\ \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{K}^T \\ \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \end{matrix}\right) \begin{matrix} \text{V} \\ \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array} \end{matrix}$$

=

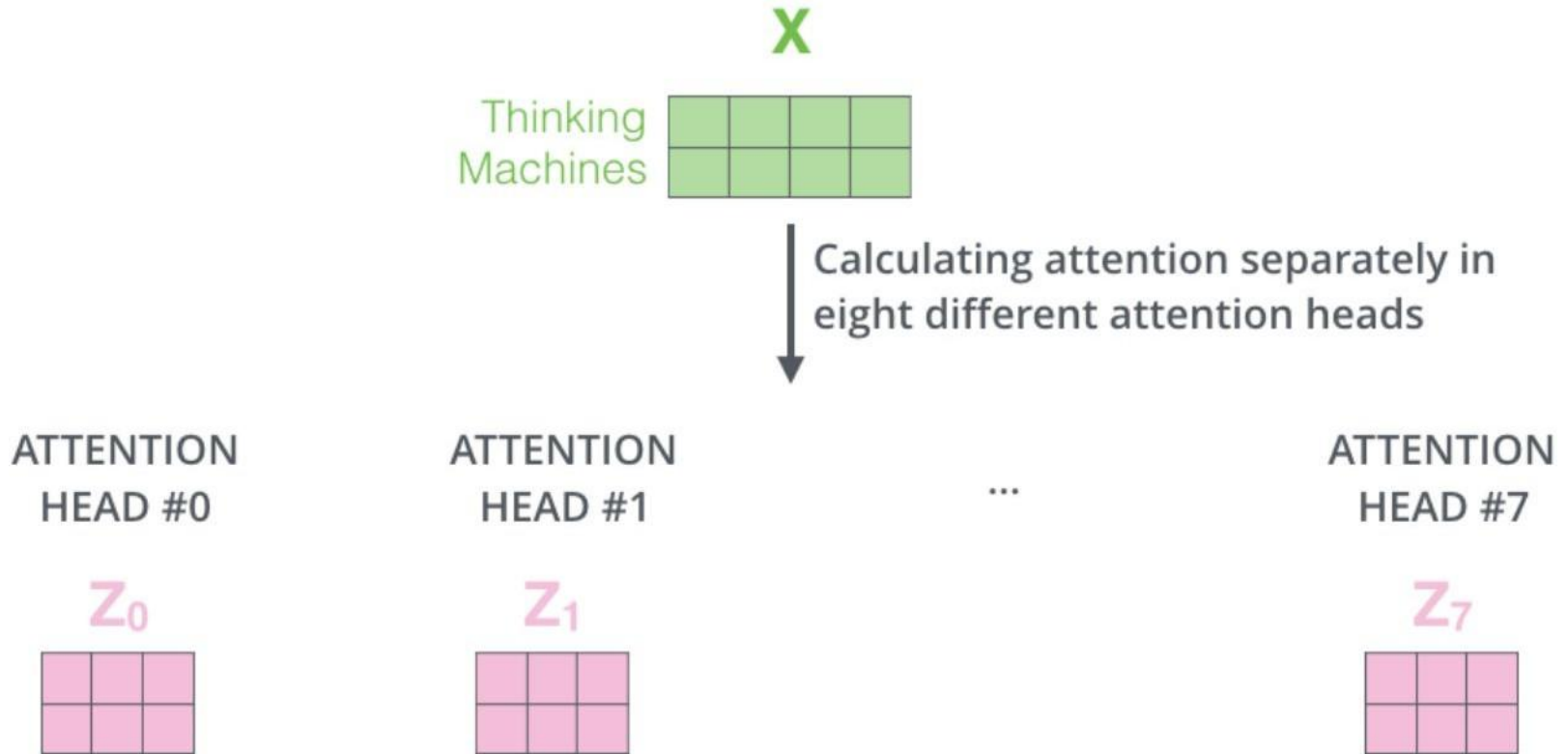
$\text{Z}$

$\begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}$

# Multi-Head Attention



# Multi-Head Attention



# Multi-Head Attention

1) Concatenate all the attention heads

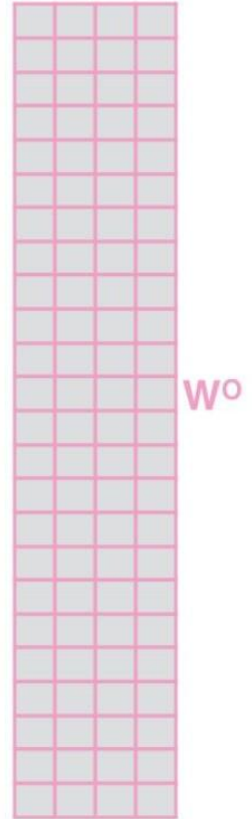


3) The result would be the  $Z$  matrix that captures information from all the attention heads. We can send this forward to the FFNN

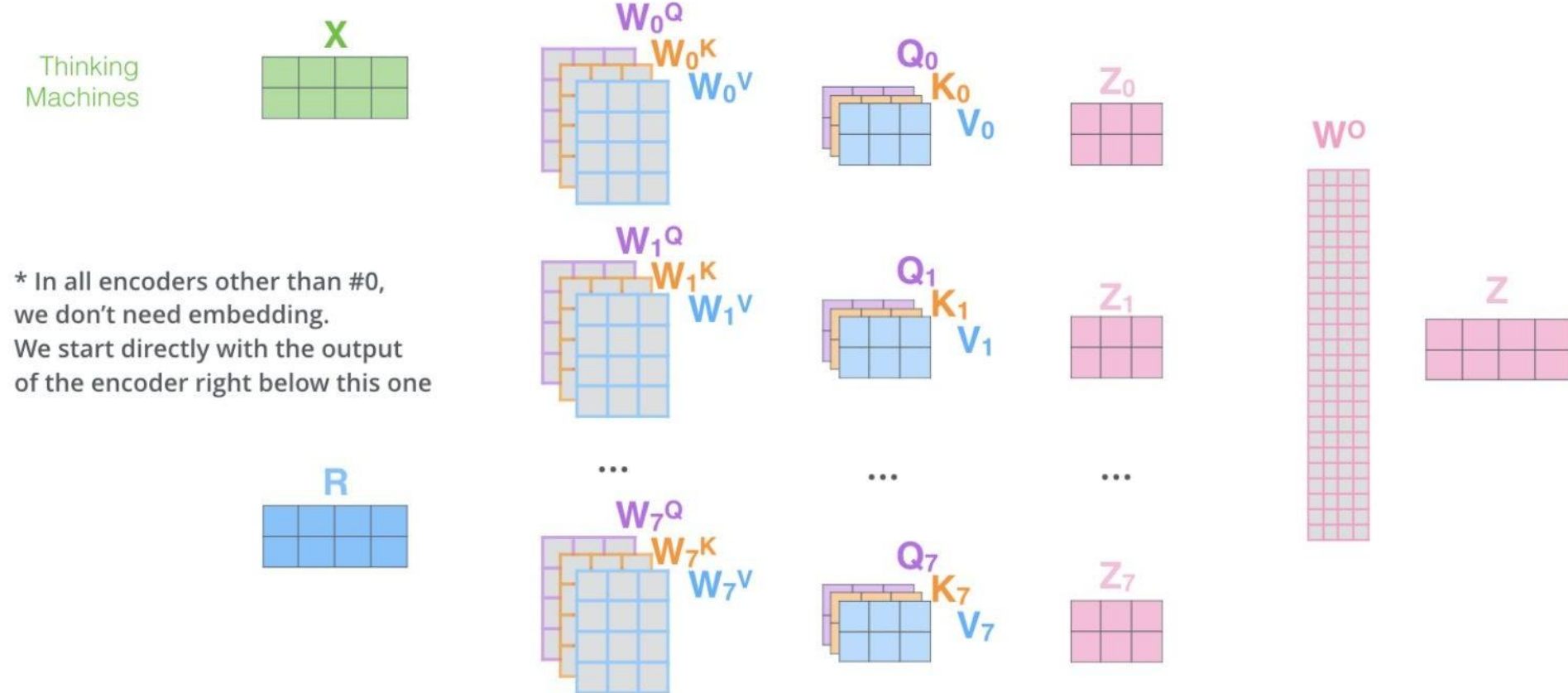


2) Multiply with a weight matrix  $W^O$  that was trained jointly with the model

X

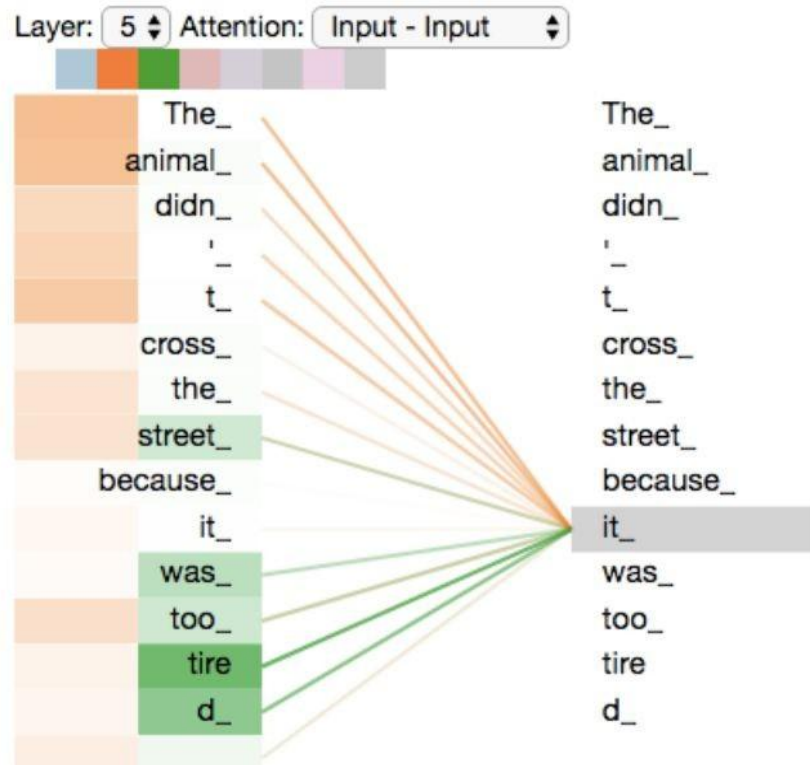
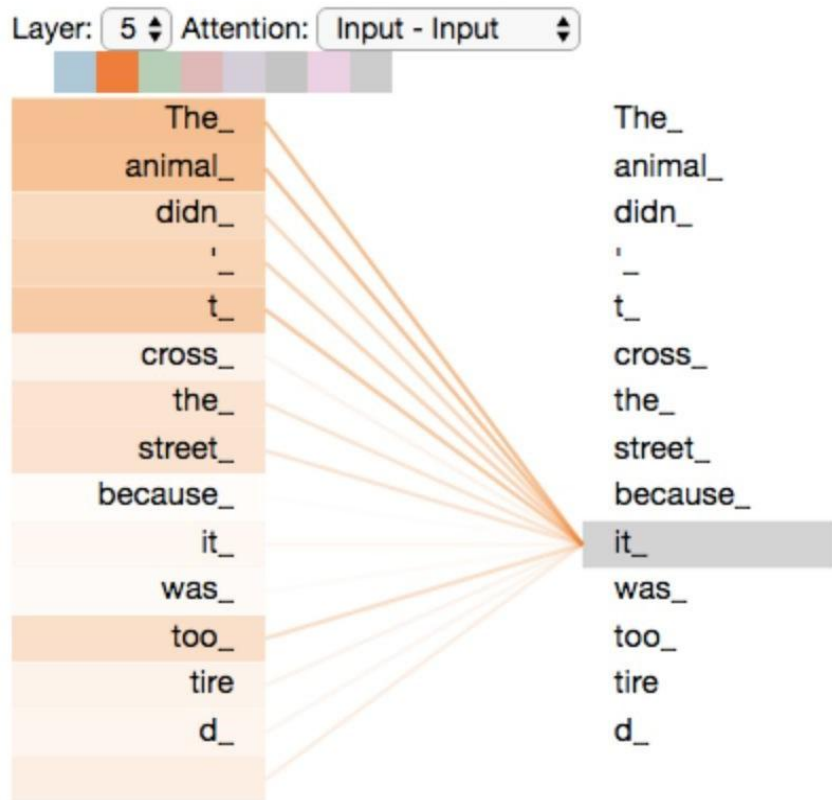


- 1) This is our input sentence\*
- 2) We embed each word\*
- 3) Split into 8 heads. We multiply  $X$  or  $R$  with weight matrices
- 4) Calculate attention using the resulting  $Q/K/V$  matrices
- 5) Concatenate the resulting  $Z$  matrices, then multiply with weight matrix  $W^O$  to produce the output of the layer

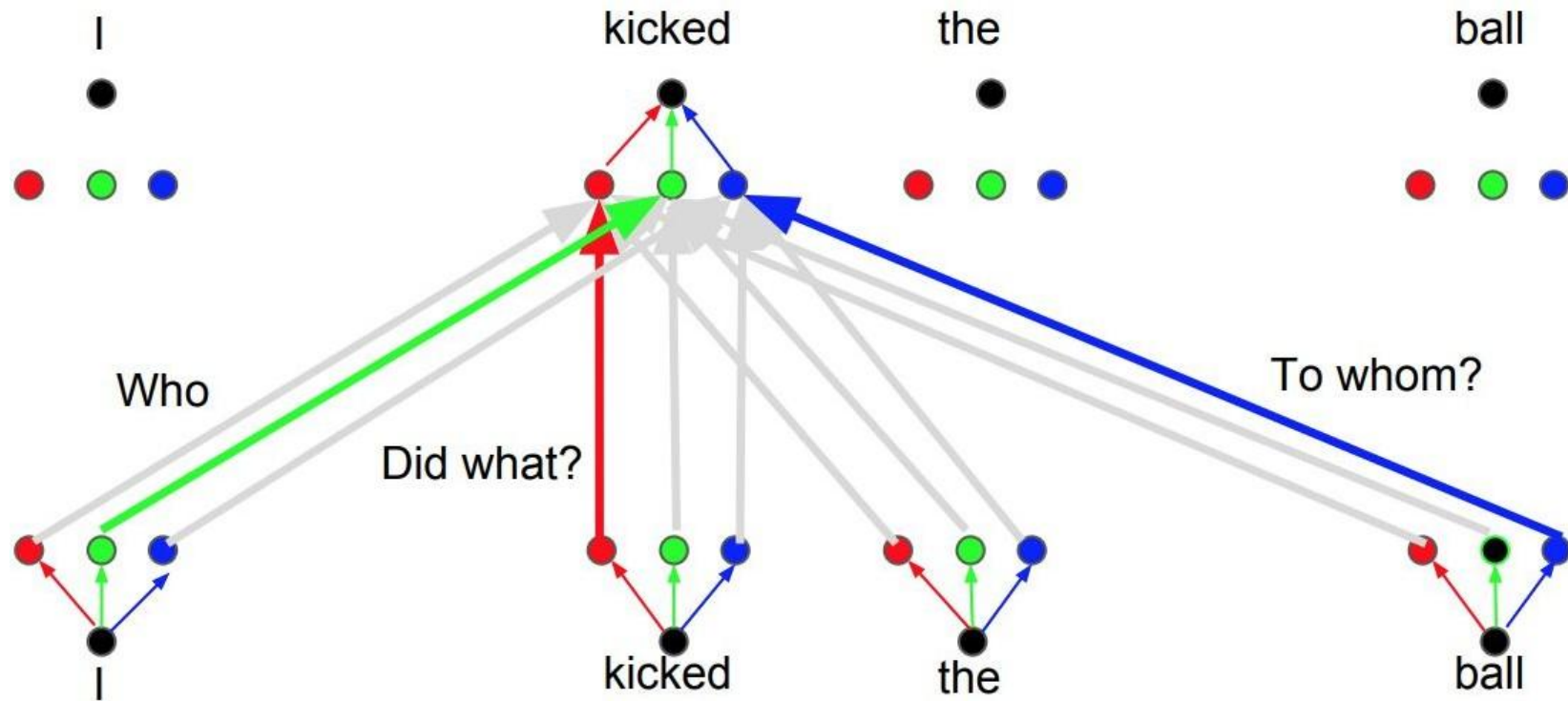




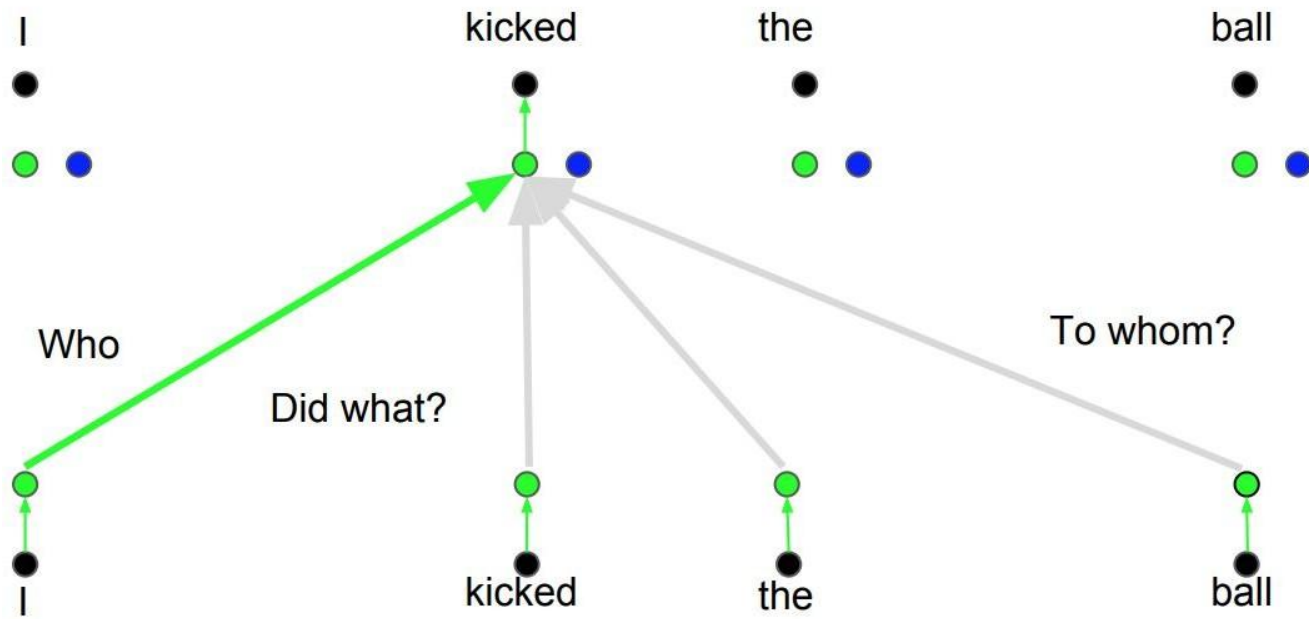
# Multi-Head Attention



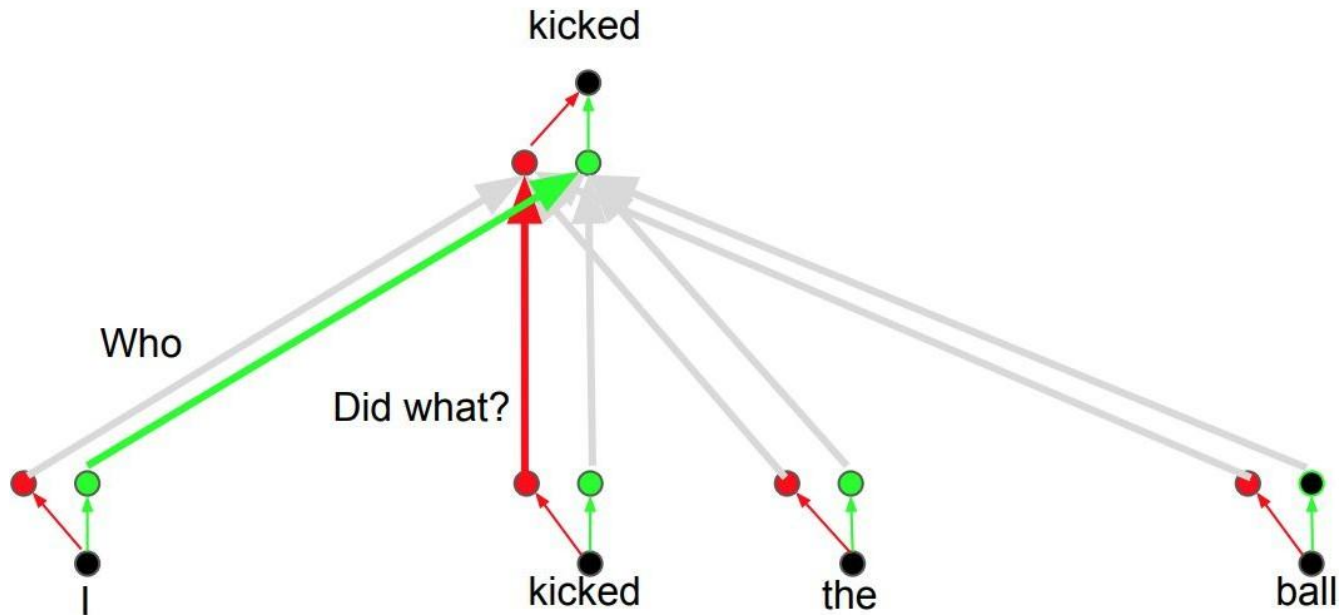
# Why Multi-Head Attention?



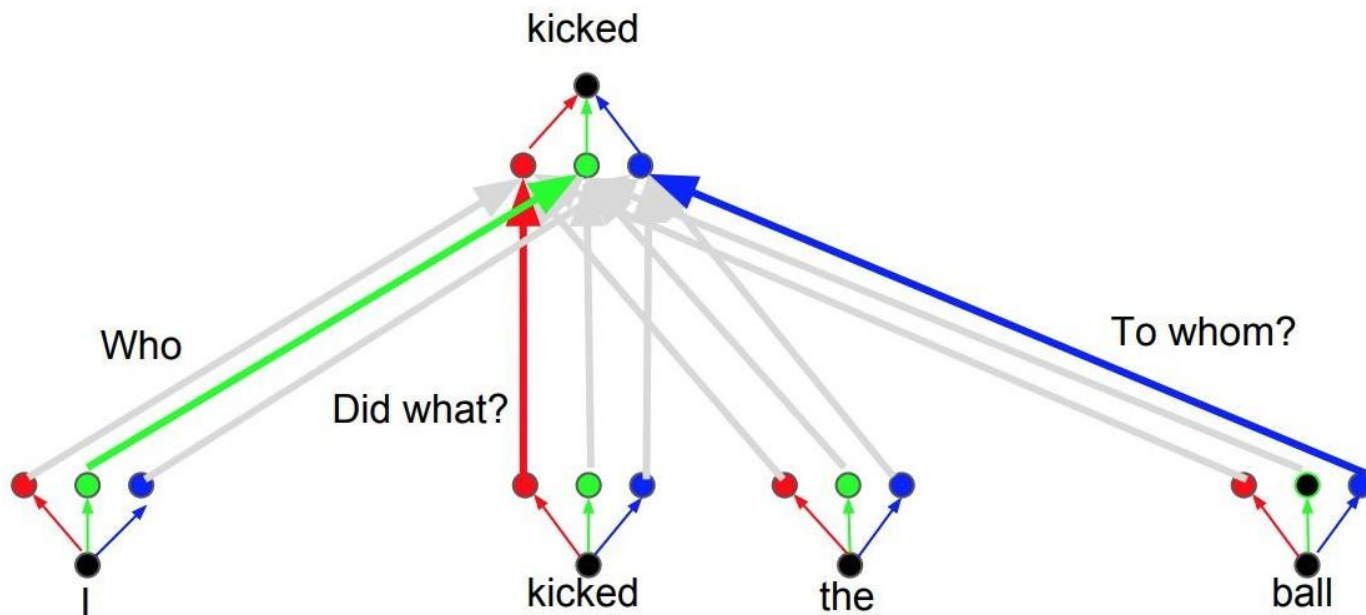
# Attention head: Who



# Attention head: Did What?

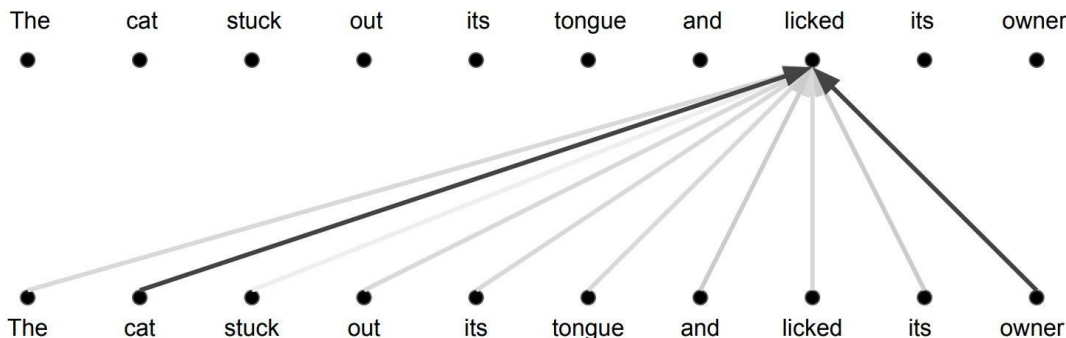


# Attention head: To Whom?

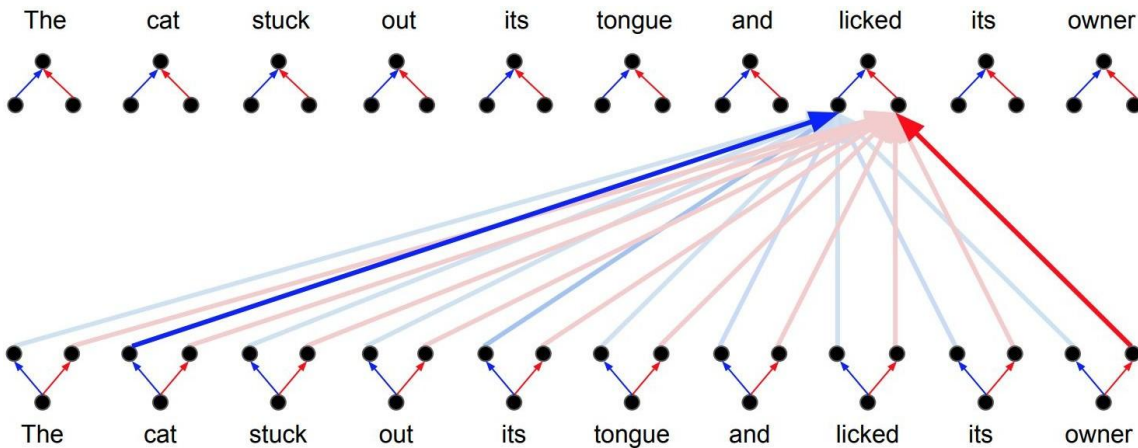


# Attention vs. Multi-Head Attention

**Attention:** a weighted average



**Multi-Head Attention:**  
parallel attention layers  
with different linear  
transformations on  
input and output.



# Performance: WMT 2014 BLEU

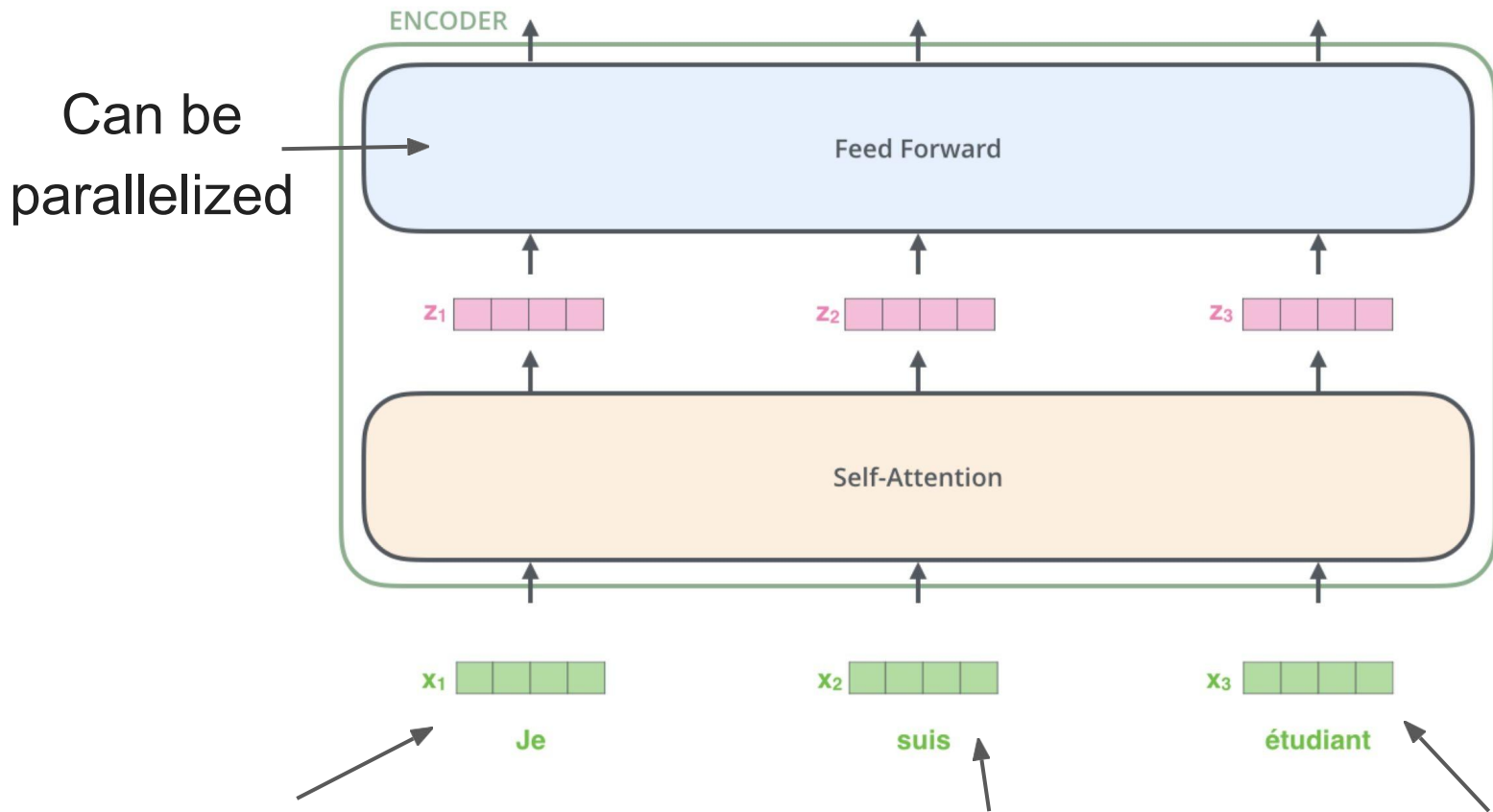
	EN-DE	EN-FR
GNMT (orig)	24.6	39.9
ConvSeq2Seq	25.2	40.5
Transformer*	<b>28.4</b>	<b>41.8</b>

\*Transformer models trained >3x faster than the others.

# Positional Encoding



# The Encoder Side

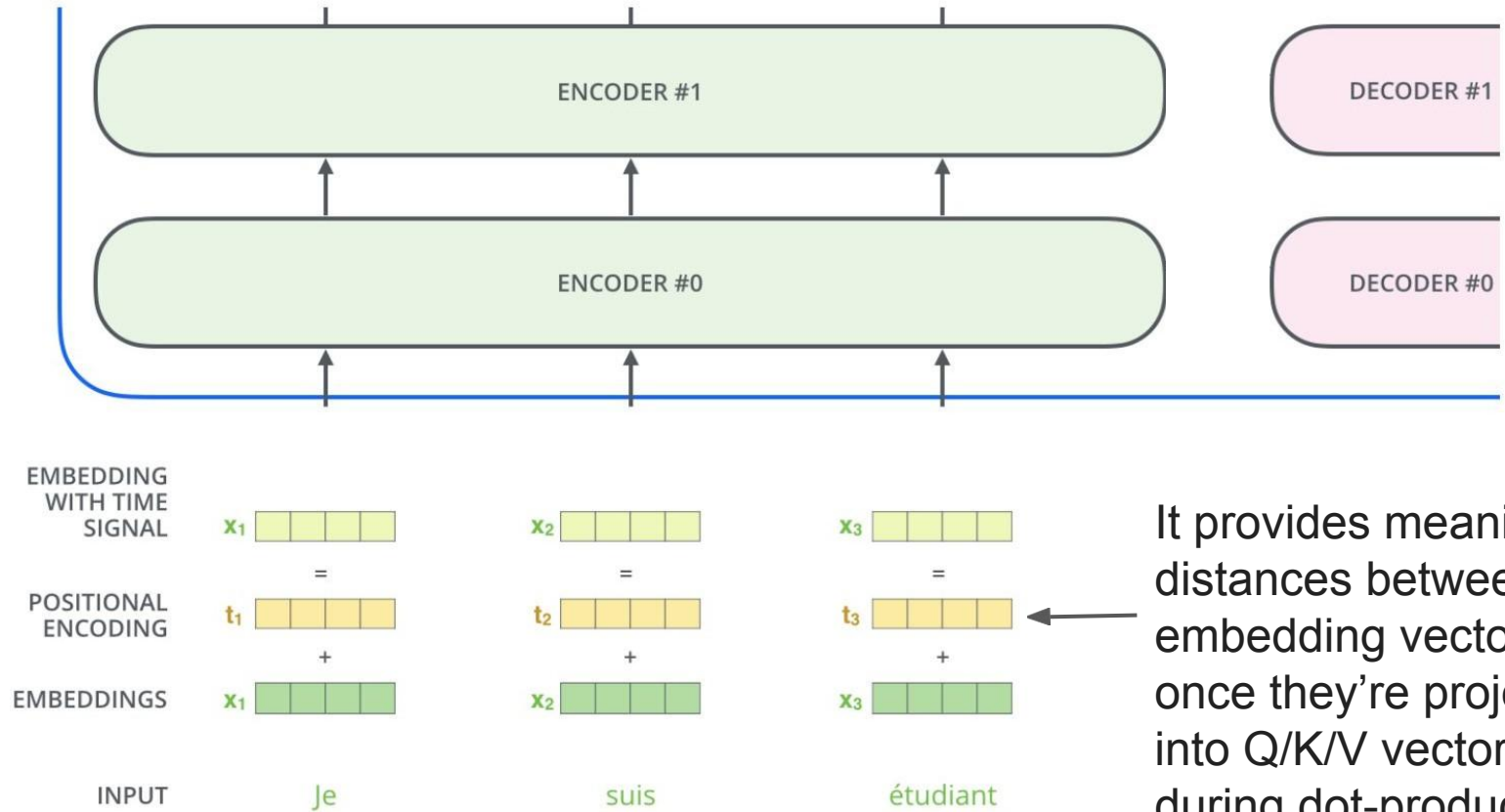


the word in each position flows through its own path in the encoder

# Positional encoding requirements

- Positional encoding should be unique for every position in the sequence
- Distance between two same positions should be preserved with sequences of different length
- The positional encoding should be deterministic
- *It would be great if it would work with long sequences (longer than any sequence in the training set)*

# Positional Encoding



It provides meaningful distances between the embedding vectors once they're projected into Q/K/V vectors and during dot-product attention

# Positional Encoding: why sin and cos?

$$\vec{p}_t^{(i)} = f(t)^{(i)} = \begin{cases} \sin(\omega_k t), & \text{if } i = 2k \\ \cos(\omega_k t), & \text{if } i = 2k + 1 \end{cases}$$

$$\omega_k = \frac{1}{10000^{2k/d}}$$

$$\vec{p}_t = \begin{bmatrix} \sin(\omega_1.t) \\ \cos(\omega_1.t) \\ \\ \sin(\omega_2.t) \\ \cos(\omega_2.t) \\ \\ \vdots \\ \\ \sin(\omega_{d/2}.t) \\ \cos(\omega_{d/2}.t) \end{bmatrix}_{d \times 1}$$

t stays for position in the original sequence

k is the index of the element in the positional vector

# Positional Encoding

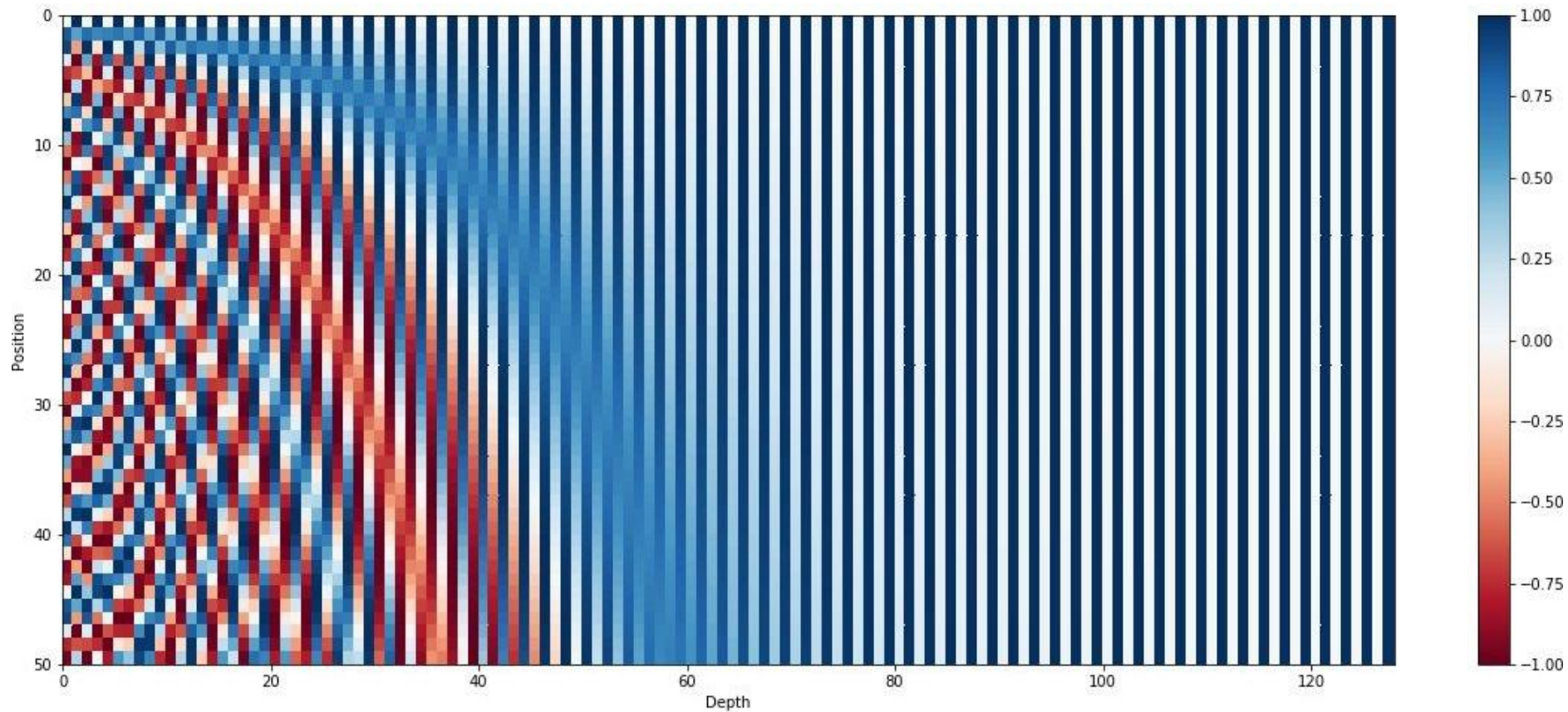


Image source: [https://kazemnejad.com/blog/transformer\\_architecture\\_positional\\_encoding/](https://kazemnejad.com/blog/transformer_architecture_positional_encoding/)

## Positional Encoding: why sin and cos?

*We chose this function because we hypothesized it would allow the model to easily learn to attend by relative positions, since for any fixed offset  $k$ ,  $PE_{pos+k}$  can be represented as a linear function of  $PE_{pos}$ .*

$$M \begin{bmatrix} \sin(\omega_k t) \\ \cos(\omega_k t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k (t + \phi)) \\ \cos(\omega_k (t + \phi)) \end{bmatrix}$$

## Positional Encoding: why sin and

$$\begin{bmatrix} u_1 & v_1 \\ u_2 & v_2 \end{bmatrix} \begin{bmatrix} \overset{\text{cos?}}{\sin(\omega_k t)} \\ \cos(\omega_k t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k(t + \phi)) \\ \cos(\omega_k(t + \phi)) \end{bmatrix}$$

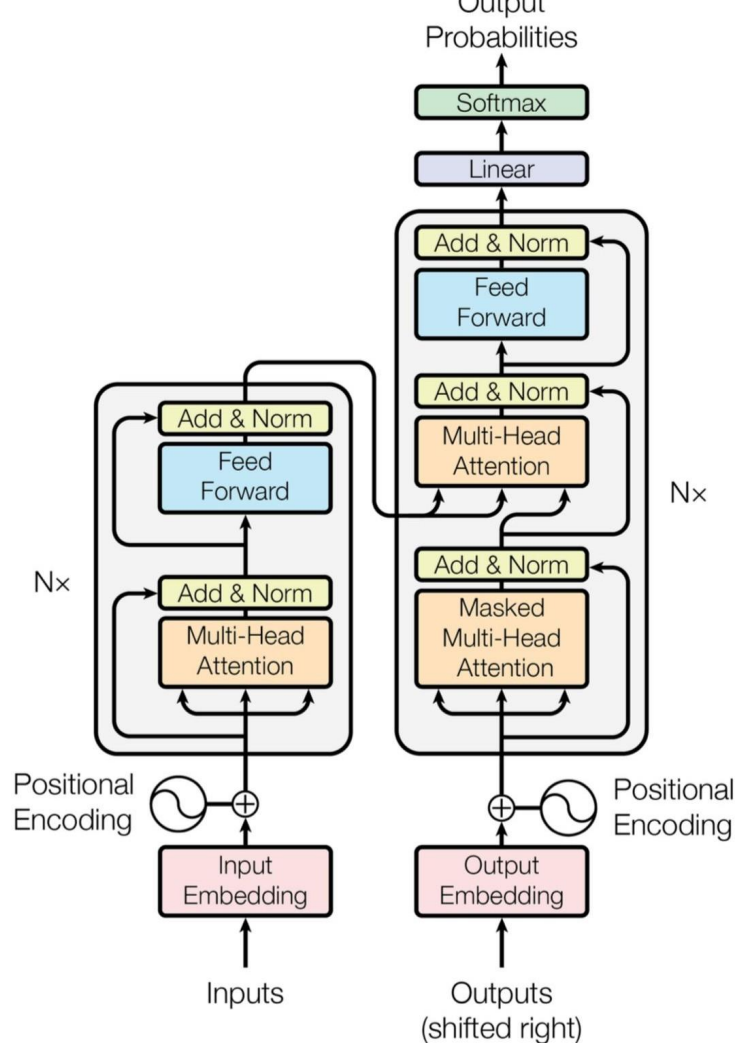
$$\begin{bmatrix} u_1 & v_1 \\ u_2 & v_2 \end{bmatrix} \begin{bmatrix} \sin(\omega_k t) \\ \cos(\omega_k t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k t) \cos(\omega_k \phi) + \cos(\omega_k t) \sin(\omega_k \phi) \\ \cos(\omega_k t) \cos(\omega_k \phi) - \sin(\omega_k t) \sin(\omega_k \phi) \end{bmatrix}$$

$$M_{\phi, k} = \begin{bmatrix} \cos(\omega_k \phi) & \sin(\omega_k \phi) \\ -\sin(\omega_k \phi) & \cos(\omega_k \phi) \end{bmatrix}$$

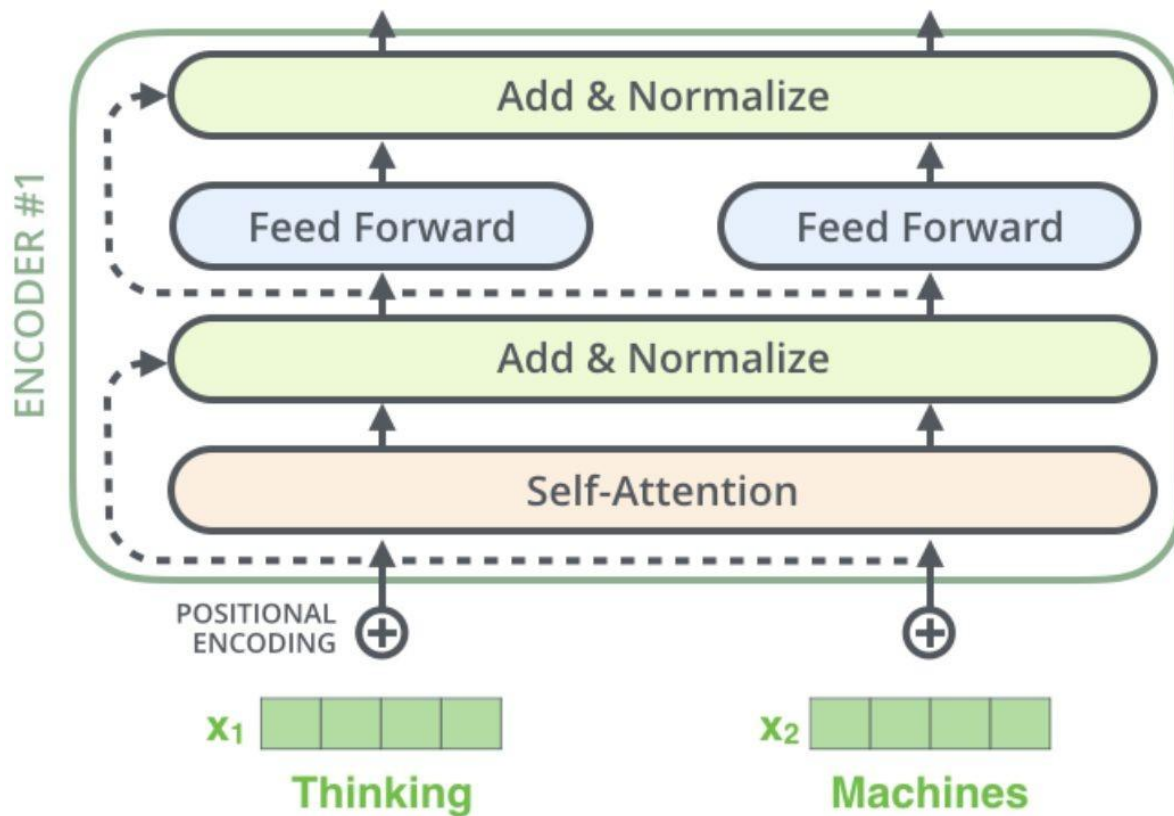
# Layer Normalization



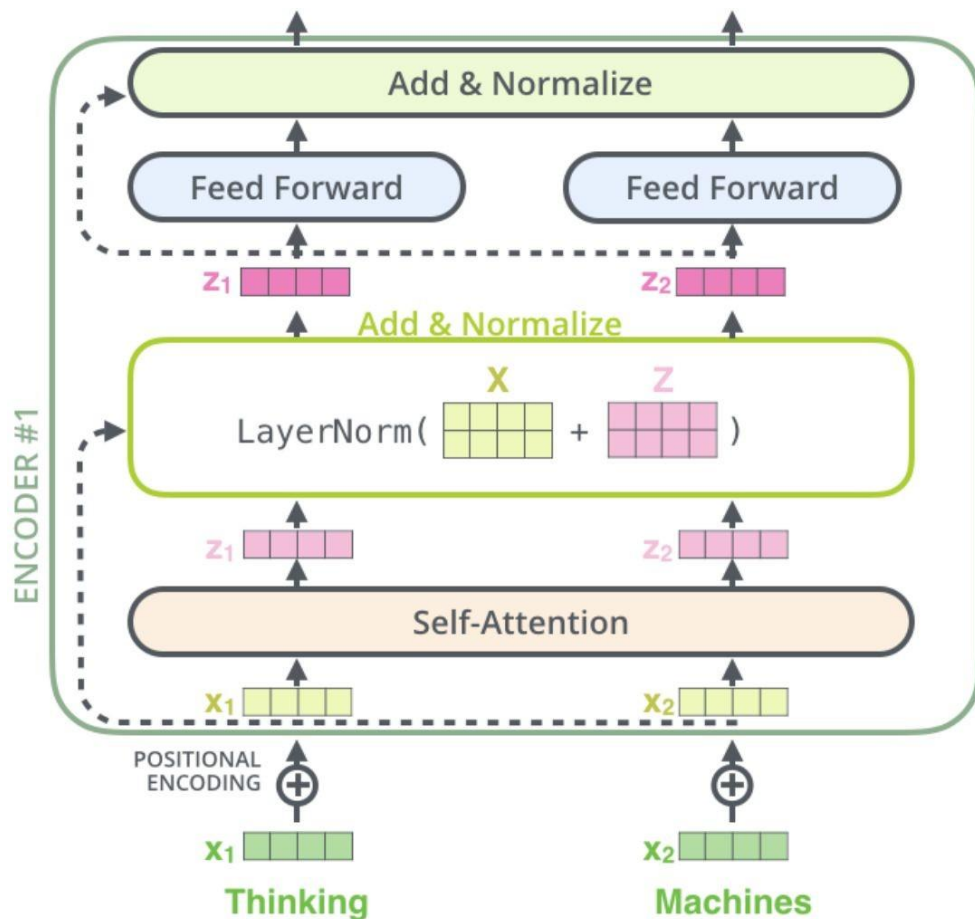
# The Transformer: recap



# Layer Normalization



# Layer Normalization



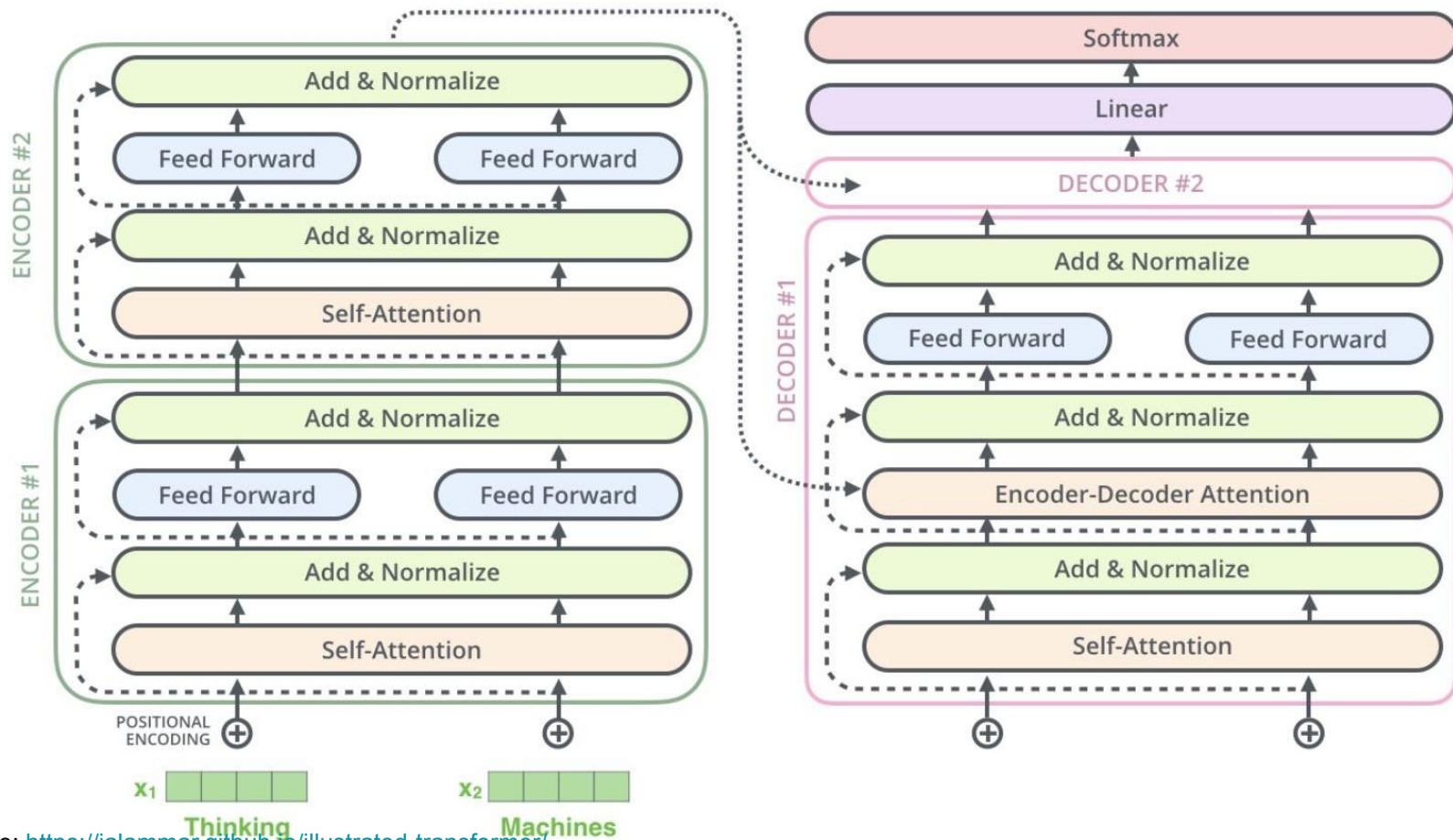
Like BatchNorm

but normalize along  
all features  
representing latent  
vector

More info:

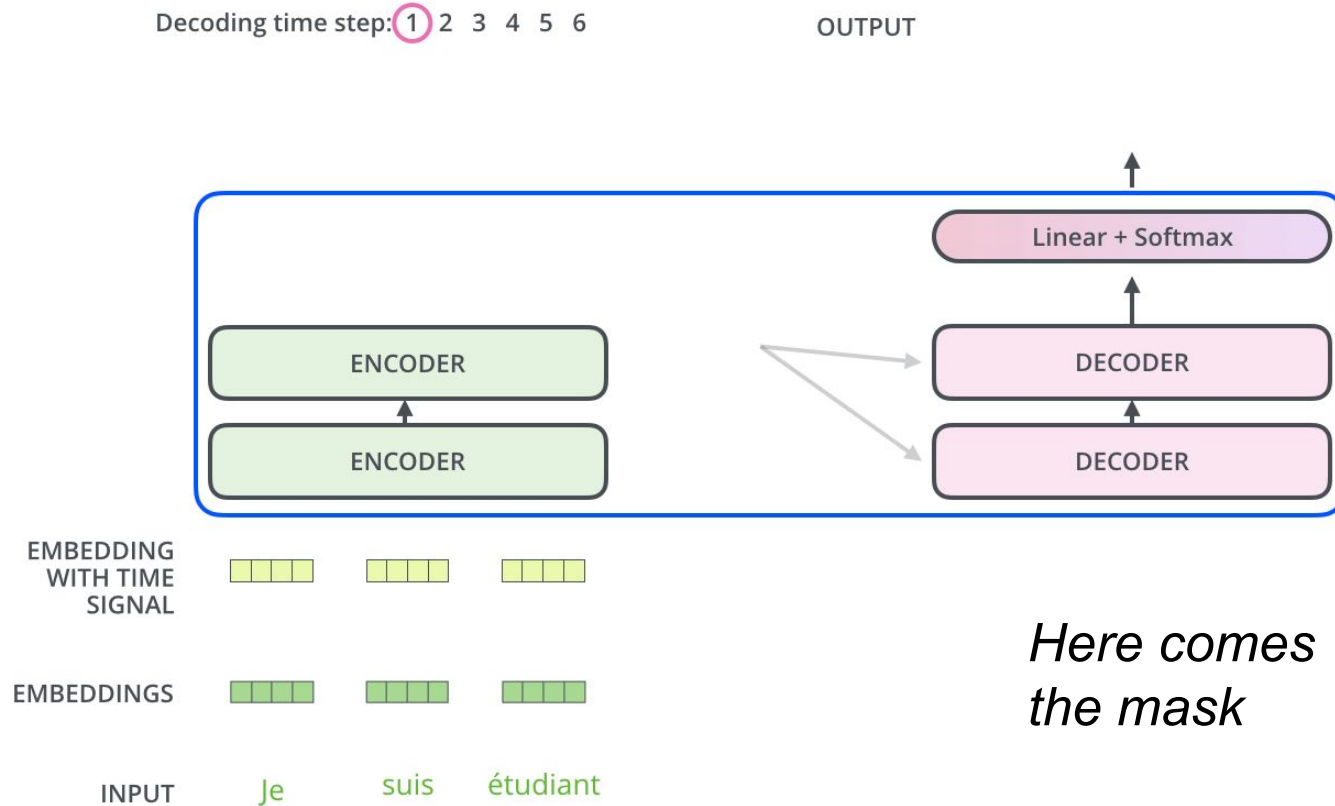
[Layer Normalization](#)

# Layer Normalization

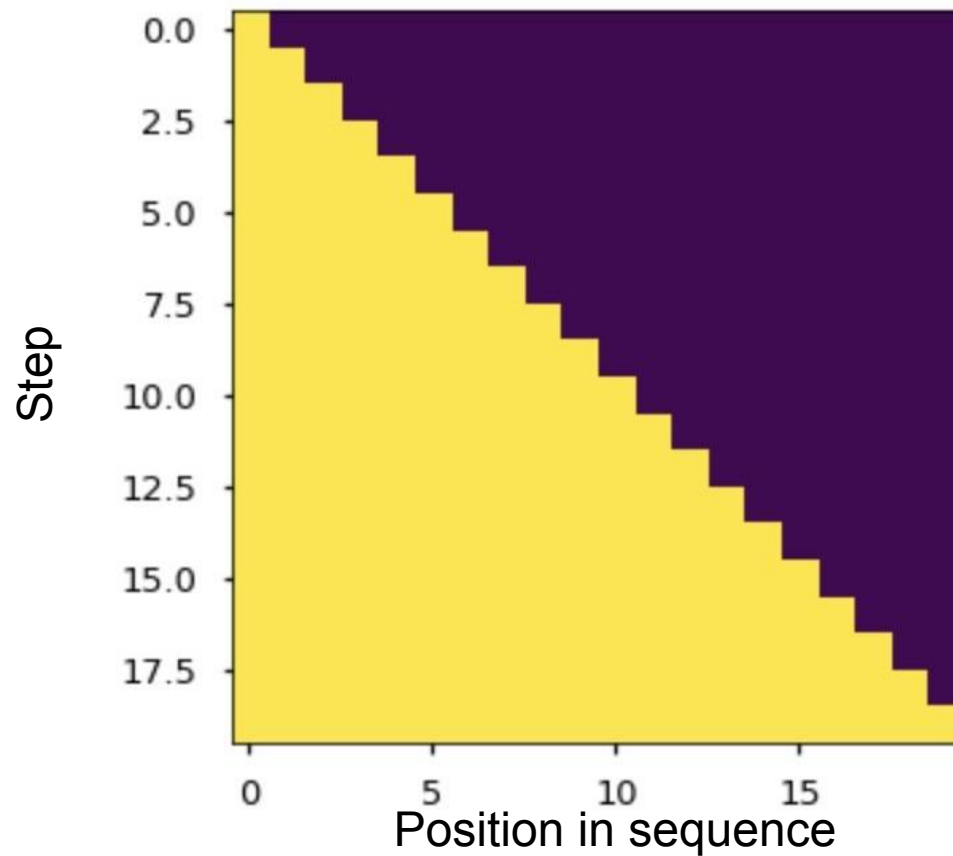


# The Decoder

# The Decoder Side



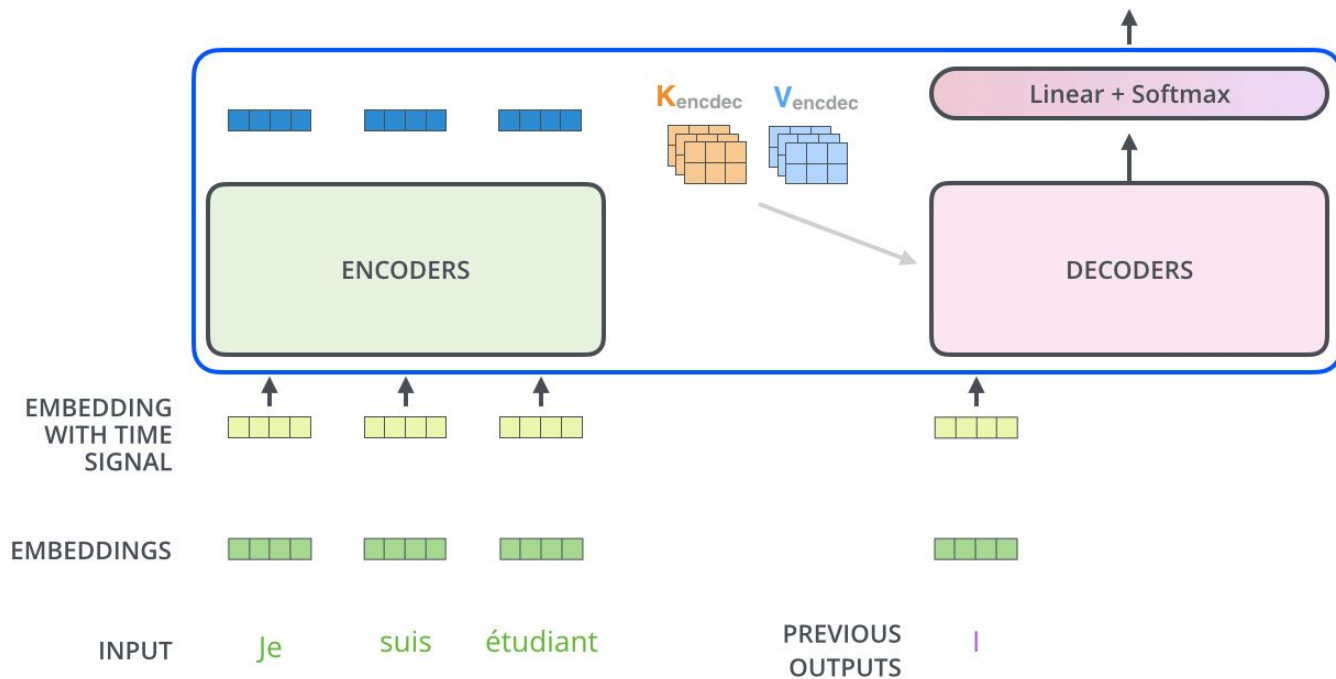
# The masked decoder input



# The Decoder Side

Decoding time step: 1 (2) 3 4 5 6

OUTPUT |





# Final Linear and Softmax Layer

Which word in our vocabulary  
is associated with this index?

Get the index of the cell  
with the highest value  
(argmax)

log\_probs



am

5

Softmax

logits

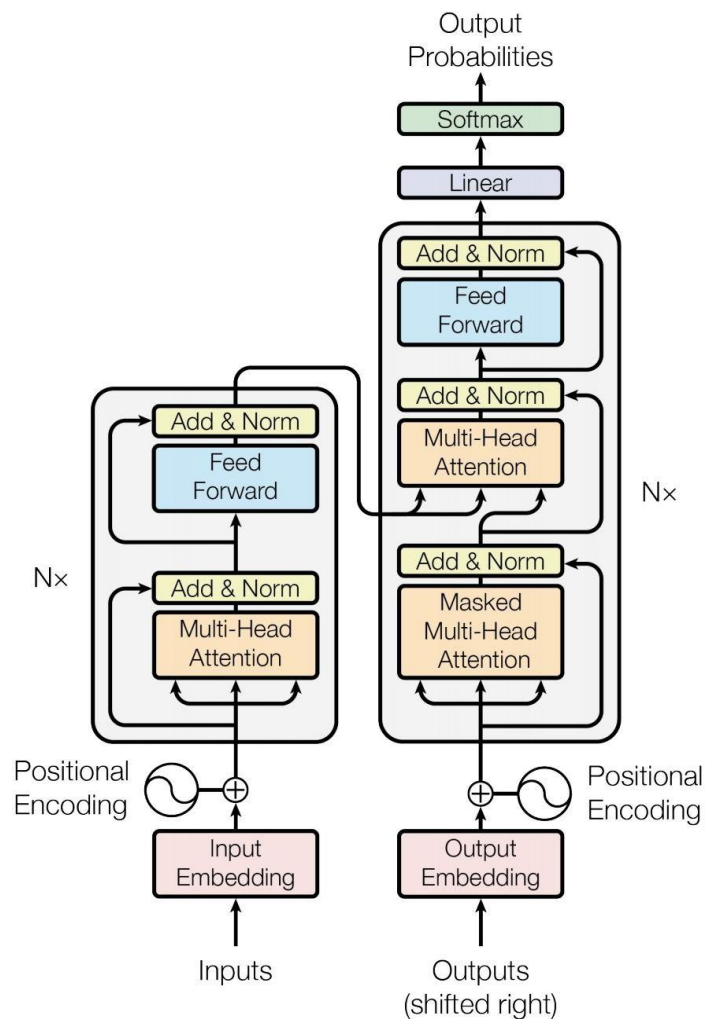


Linear

Decoder stack output



# The Transformer



# Training The Transformer

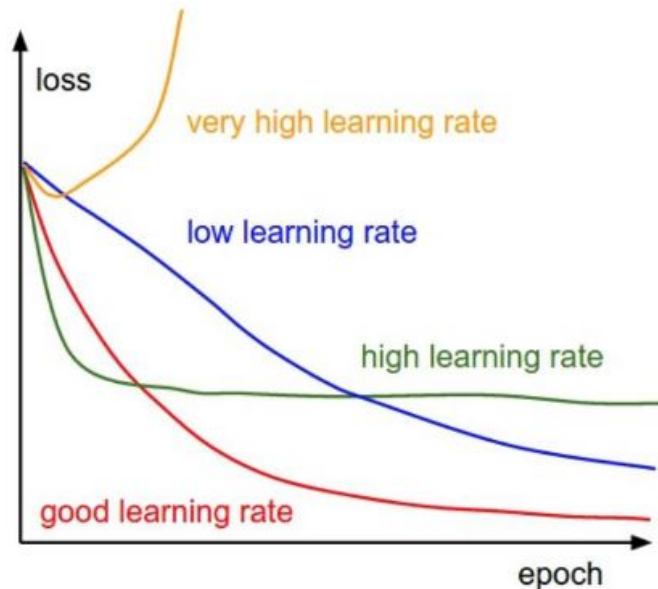
➤ Consider Adam optimizer

$$\mu_{t+1} = \beta_1 \cdot \mu_t + (1 - \beta_1) \cdot \nabla_{\theta} L$$

$$v_{t+1} = \beta_2 \cdot v_t + (1 - \beta_2) \cdot || \nabla_{\theta} L ||^2$$

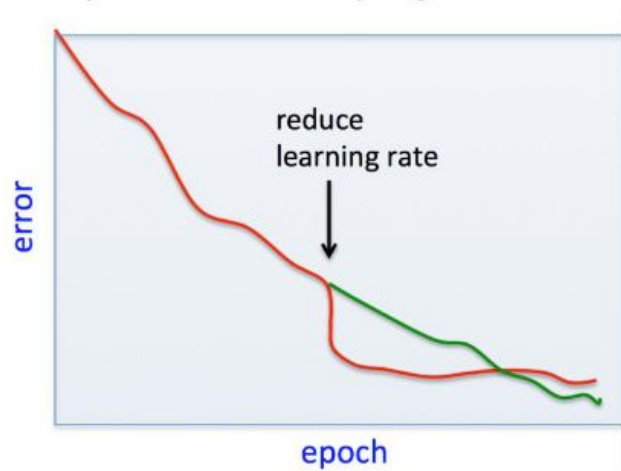
$$\theta_{t+1} = \theta_t - \frac{\alpha}{\sqrt{v_t} + \epsilon} \mu_t$$

➤ **The choice of  $\alpha$  is crucial!**



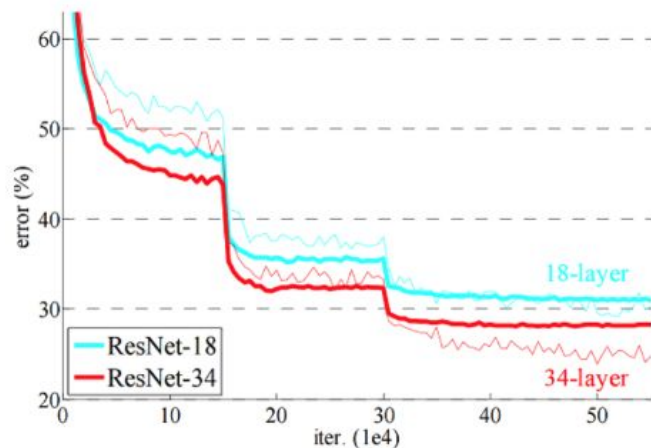
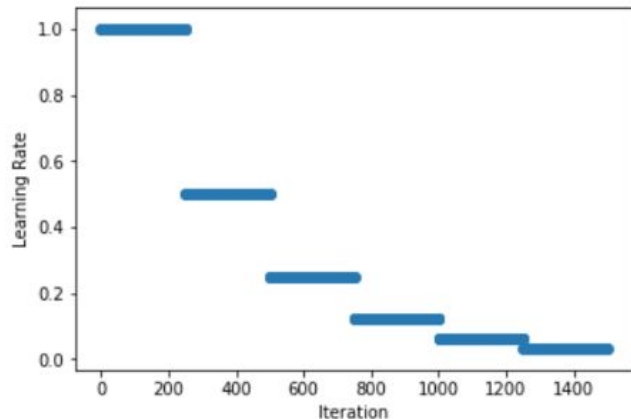
# Training The Transformer

- Traditional approach: decrease learning rate in stages
  - every  $k$  steps or whenever progress slows down



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- Traditional approach: decrease learning rate in stages
  - every  $k$  steps or whenever progress slows down



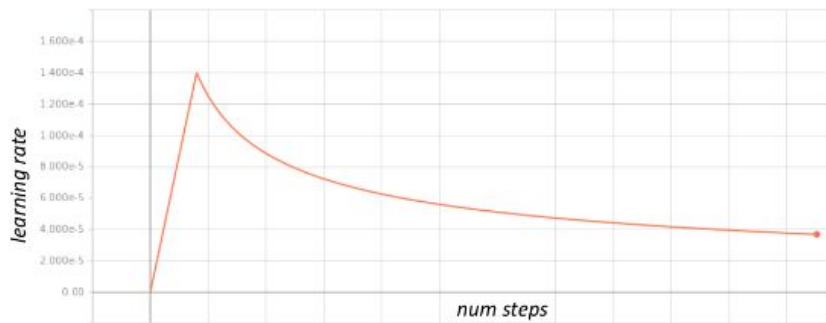
# Training The Transformer

- Problem: first k steps of Adam are unstable
  - it needs time to accumulate statistics
- Use warmup time!

keep lr small over first epochs:  $\alpha = \alpha_{base} \cdot \min(\text{growth}(t), \text{decay}(t))$

$$\text{growth}(t) = \frac{t}{T_{warmup}}$$

$$\text{decay}(t) = \sqrt{\frac{T_{warmup}}{t}}$$



# Training The Transformer

Transformers trainings requires non-trivial efforts

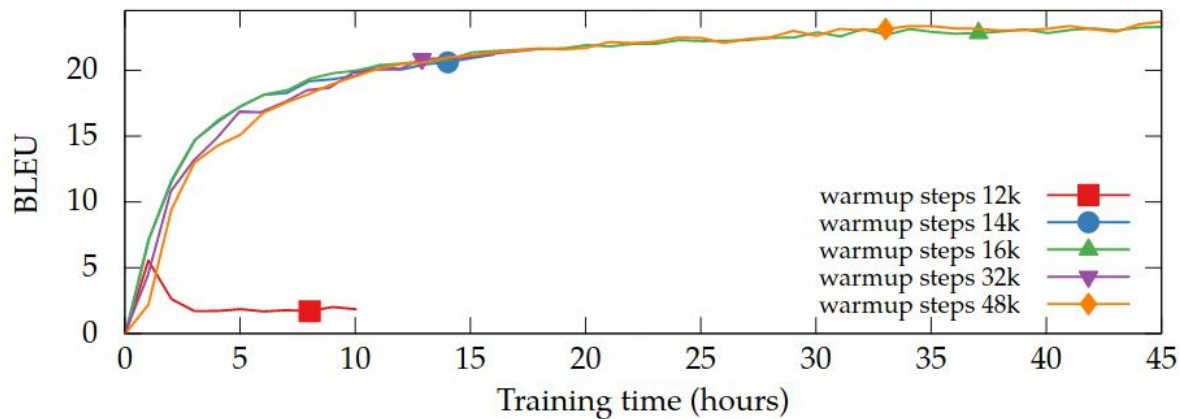


Figure 8: Effect of the warmup steps on a single GPU. All trained on CzEng 1.0 with the default batch size (1500) and learning rate (0.20).