# Technical Report - Assignment 2 Advanced artificial intelligence techniques Adrian Dinu Urse

# Task 1 - Classification with missing labels

## **Step 1: Initial Supervised Fine-Tuning**

**Objective:** To establish a baseline performance using labeled images only.

## Approach:

Model: Pretrained ResNet50

- Optimizer: AdamW with an initial learning rate of 0.001.
- Loss Function: CrossEntropyLoss for multi-class classification.
- **Data Augmentation**: Standard augmentations, including random flips, and normalization.
- Training Configuration:

o Number of Epochs: 20

o Batch Size: 32

#### Results:

- Achieved a Kaggle score of 0.53.
- Observations:
  - The pretrained ResNet50 adapted well to the labeled dataset, but the small size of labeled data limited further improvements.

## **Step 2: Semi-Supervised Fine-Tuning with FixMatch**

**Objective:** To leverage the unlabeled images by applying the FixMatch algorithm, which combines pseudo-labeling and consistency regularization.

## **FixMatch Implementation:**

- · Concept:
  - FixMatch assigns pseudo-labels to unlabeled data using confident predictions from the model.
  - These pseudo-labels are treated as ground truth during training, but only if the confidence of the prediction exceeds a dynamic threshold.
- Key Components:
  - Weak Augmentation: Simple transformations for generating pseudo-labels.
  - Strong Augmentation: Complex transformations for enforcing consistency.

#### **Configuration Details:**

- **Scheduler**: CosineAnnealingLR with T\_max=10 epochs to manage the learning rate decay.
- Confidence Threshold:
  - Initial Threshold: 0.70

- Final Threshold: 0.95
- Schedule: A linear interpolation between the initial and final thresholds:
   threshold\_schedule = lambda epoch: initial\_threshold + (final\_threshold initial\_threshold)
   \* (epoch / num\_epochs)

### Unsupervised Loss Weight:

Dynamically scaled using the schedule:
 lambda\_u\_schedule = lambda epoch: min(0.5, epoch / 10)

#### Training:

Number of Epochs: 10

o Batch Size: 64

o Combined labeled and pseudo-labeled data.

#### **Hyperparameter Impact**

## 1. Threshold Scheduling:

- Lower thresholds (e.g., 0.70) introduced noisy labels early in training.
- Gradual increase to 0.95 ensured high-quality pseudo-labels in later epochs, leading to performance stability.

## 2. Learning Rate Scheduler:

 CosineAnnealingLR allowed smooth decay, avoiding abrupt changes that could destabilize training.

## 3. Unsupervised Loss Weight:

 A ramp-up schedule for the unsupervised loss weight ensured the model gradually adapted to pseudo-labeled data.

#### Results:

- Achieved a Kaggle score of 0.61.
- Observations:
  - Adding pseudo-labeled data significantly improved the model's generalization.
  - The confidence threshold schedule played a key role in balancing precision and recall during pseudo-label generation.
  - CosineAnnealingLR effectively managed learning rate decay, preventing overfitting during the fine-tuning process.

# Step 3: Fine-Tuning Vision Transformer (ViT)

**Objective:** To leverage transformer-based architectures for improved feature representation and performance.

#### Approach:

- **Model:** Vision Transformer (ViT), specifically google/vit-base-patch16-224-in21k, pretrained on ImageNet-21k.
- Optimizer: AdamW with an initial learning rate of 5e-5.
- **Scheduler**: StepLR with the following configuration:

Step Size: 3 epochs

o Gamma: 0.1 (decay factor)

• Loss Function: CrossEntropyLoss for multi-class classification.

# **Training Configuration:**

• Number of Epochs: 10

• Batch Size: 32

Dataset: Labeled images only.

# **Hyperparameter Impact**

# 1. Threshold Scheduling:

- o Lower thresholds (e.g., 0.70) introduced noisy labels early in training.
- Gradual increase to 0.95 ensured high-quality pseudo-labels in later epochs, leading to performance stability.

#### 2. Learning Rate Scheduler:

 StepLR scheduler provided a structured learning rate decay that aligned well with the ViT's training dynamics.

#### 3. Unsupervised Loss Weight:

 A ramp-up schedule for the unsupervised loss weight ensured the model gradually adapted to pseudo-labeled data.

#### Results:

- Achieved a Kaggle score of 0.91.
- Observations:
  - Fine-tuning ViT significantly outperformed ResNet50, showcasing the strength of transformer-based architectures for this task.

#### **Applying FixMatch to ViT:**

- After achieving a score of **0.91** using labeled data, FixMatch was applied to integrate unlabeled data:
  - Confidence Thresholds: Initial: 0.60, Final: 0.95
  - Scheduler: OneCycleLR

#### Results:

o Performance did not increase beyond **0.91**.

#### o Analysis:

- The high performance of ViT on labeled data left limited room for improvement with semi-supervised learning.
- FixMatch's pseudo-labeling may have introduced noisy labels that hindered further improvement.

# Step 4: Ensemble Using Fine-Tuned ViT and FixMatch ViT

**Objective:** To combine the predictions of the fine-tuned ViT and FixMatch ViT models to enhance performance.

#### Approach:

- **Weighted Averaging:** Predictions from both models were combined using a weighted average:
  - Fine-Tuned ViT weight: 0.7FixMatch ViT weight: 0.3
- Fallback Strategy:
  - When the two models disagreed (different predicted classes), a confidencebased fallback was implemented:
    - If the average confidence across both models was below a threshold (0.7), the model with higher confidence was chosen.
    - Otherwise, the ensemble prediction was selected.

#### Results:

- Kaggle score: 0.91
- Observations:
  - o The ensemble did not improve upon the fine-tuned ViT's score of **0.91**.
  - Likely cause: Both models were trained on the same labeled dataset, leading to similar decision boundaries and predictions.

## Step 5: Pseudo-Labeling with Fine-Tuned ViT

**Objective:** To leverage the fine-tuned ViT to generate pseudo-labels for unlabeled data and further train the model with an expanded dataset.

#### Approach:

- Pseudo-Labeling Configuration:
  - o Initial Threshold: 0.90
  - o Generated approximately 17,000 pseudo-labeled samples.
- Training Configuration:
  - Combined the labeled and pseudo-labeled datasets.
  - o Fine-tuned the model for an additional 15 epochs.
  - Optimizer: AdamW with a reduced learning rate of 1e-6.

#### Results:

- Kaggle score: 0.91
- Observations:
  - Despite expanding the training dataset, the model's performance did not improve.
  - Analysis suggests that the pseudo-labeled data may not have introduced sufficient new information to significantly alter the model's predictions.

# **Step 6: Test-Time Augmentation (TTA)**

**Objective:** To apply test-time augmentations to improve the model's robustness during inference.

## Approach:

- Applied TTA with multiple augmentations:
  - Horizontal flip
  - Small rotations (e.g., ±15 degrees)
  - o Color jitter
- Averaged predictions across augmented versions of each test image.

#### Results:

- Kaggle score: 0.33
- Observations:
  - o TTA significantly degraded the model's performance.
  - Likely cause: The augmentations introduced distortions that the model was not trained to handle, resulting in poor predictions.

# Task 2 – Classification with noisy labels

## Step 1: Initial Fine-Tuning

**Objective:** To fine-tune a pretrained model on the noisy-labeled dataset and establish a baseline performance.

## Approach:

- Dataset Split:
  - The provided dataset was split into training and testing subsets.
  - o A stratified split was used to preserve label distributions in both subsets.
- Model: Pretrained ResNet50 from PyTorch's model zoo.
- Criterion:
  - o CrossEntropyLoss with label smoothing to handle noise in labels
- Optimizer:
  - AdamW with a learning rate of 1e-4

## **Training Configuration:**

- Number of Epochs: 15
- Batch Size: 32
- Data Augmentation: Applied standard augmentations, including random cropping, flipping, and normalization.

#### **Results:**

Achieved a Kaggle score of 0.78.

## Step 2: DivideMix for Noisy Label Classification

**Objective:** To improve classification performance by leveraging DivideMix, a robust framework for training with noisy labels.

#### Approach:

- Framework Overview:
  - DivideMix trains two networks simultaneously by dividing the training data into clean and noisy subsets using probabilistic modeling.
  - Each network trains on the clean samples of the other network, encouraging robust learning and avoiding confirmation bias.

#### Results:

Achieved a Kaggle score of 0.85.

#### **Observations:**

- The DivideMix framework effectively handled noisy labels by leveraging co-training and probabilistic modeling.
- The co-divide strategy improved the robustness of both networks, leading to significant performance gains over the baseline.

# **Reproducibility Instructions**

This project was developed using Google Colab, where all training processes are visible. To reproduce the results, follow these steps:

## 1. Dataset Preparation:

- Upload the dataset's zip file into the content/data directory within your Colab environment.
- o Run the dataset extraction cell to prepare the data for training.

# 2. Training for the Best Scores:

- o For Task 1:
  - Execute the cells for Vision Transformer (ViT) fine-tuning.
- For Task 2:
  - Execute the cells implementing the DivideMix framework.

## 3. Dependencies and Environment:

- o Ensure the required libraries are installed as per the Colab setup.
- All code cells include the necessary configurations to train the models without additional setup.

# 4. Running the Models:

- Execute the appropriate training cells step-by-step as outlined in the notebook.
- The configurations and hyperparameters are pre-defined for optimal performance.

#### References

- 1. **ResNet:** He, K., Zhang, X., Ren, S., & Sun, J. (2015). *Deep Residual Learning for Image Recognition*. In Proceedings of the IEEE conference on computer vision and pattern recognition (CVPR), 770-778. <u>Paper Link</u>
- 2. **FixMatch:** Sohn, K., Berthelot, D., Li, C.-L., Zhang, Z., Carlini, N., Cubuk, E. D., Kurakin, A., Zhang, H., & Raffel, C. (2020). *FixMatch: Simplifying Semi-Supervised Learning with Consistency and Confidence*. Advances in Neural Information Processing Systems (NeurIPS). <u>Paper Link</u>
- 3. Vision Transformer (ViT): Dosovitskiy, A., Beyer, L., Kolesnikov, A., Weissenborn, D., Zhai, X., Unterthiner, T., Dehghani, M., Minderer, M., Heigold, G., Gelly, S., Uszkoreit, J., & Houlsby, N. (2021). *An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale*. International Conference on Learning Representations (ICLR). Paper Link
- 4. **DivideMix:** Li, J., Socher, R., & Hoi, S. (2020). *DivideMix: Learning with Noisy Labels as Semi-Supervised Learning*. International Conference on Learning Representations (ICLR). <u>Paper Link</u>