## CM6

### April 26, 2021

# 1 [CM6]

```
[3]: import numpy as np
     import pandas as pd
     import seaborn as sns
     import tensorflow as tf
     from tensorflow import keras
     from tensorflow.keras import layers
     from sklearn.model_selection import train_test_split
     from keras.models import Sequential
     from keras.layers import Dense, Conv2D, Flatten, Input, BatchNormalization,
      \rightarrowActivation, MaxPooling2D
     from keras.utils import to_categorical
     import matplotlib.pyplot as plt
     from sklearn.metrics import accuracy_score, confusion_matrix,__
     ⇔classification_report
     from keras.models import Model
     from keras.regularizers import 12
     from keras.optimizers import Adam
     import torch
     from keras.utils.vis_utils import plot_model
     from keras.callbacks import EarlyStopping
     import time
     import warnings
     warnings.filterwarnings("ignore")
     ## SET ALL SEED
     import os
     os.environ['PYTHONHASHSEED']=str(0)
     import random
     random.seed(0)
     np.random.seed(0)
     tf.random.set_seed(0)
```

### 1.0.1 Loading dataset

```
[4]: from google.colab import drive drive.mount('/content/gdrive')
```

Mounted at /content/gdrive

```
[5]: data_mnist = np.load('/content/gdrive/My Drive/Colab Notebooks/Mnist/

-fashion_mnist_dataset_train.npy', allow_pickle=True)
```

So, there are 60,000 Training Samples and 10,000 Test Samples.

Each example is a 28x28 grayscale image, associated with a label from 6 classes. - Each pixel has a single pixel-value associated with it, indicating the lightness or darkness of that pixel, with higher numbers meaning darker. - This pixel-value is an integer between 0 and 255, inclusive.

The first column of the Training Samples consists of Class Labels and represents the article of Clothing.

```
[6]: my_dict = data_mnist[()]
  features = my_dict.get('features')
  target = my_dict.get('target')
  target = target -1
```

```
[7]: np.unique(target)
```

```
[7]: array([0., 1., 2., 3., 4.])
```

### 1.0.2 Splitting into train, test and validation set

```
[8]: x_train, x_test1, y_train, y_test1 = train_test_split(features, target, 

→test_size=0.20, random_state=0)

x_test, x_val, y_test, y_val = train_test_split(x_test1, y_test1, test_size=0.

→50, random_state=0)
```

```
[]: print(x_train.shape)
    print(x_test.shape)
    print(x_val.shape)
    print(y_val.shape)
    print(y_train.shape)
    print(y_test.shape)
```

```
[10]: x_train_new = np.expand_dims(x_train, -1)
x_test_new = np.expand_dims(x_test, -1)
x_val_new = np.expand_dims(x_val, -1)

y_train_new = to_categorical(y_train)
y_test_new = to_categorical(y_test)
y_val_new = to_categorical(y_val)
```

### 2 Models

(6000, 5)

Plots used to observe performance of the models

**Optimization Learning Curves:** Learning curves calculated on the metric by which the parameters of the model are being optimized. We will use loss vs epoch curve for this purpose.

**Performance Learning Curves:** Learning curves calculated on the metric by which the model will be evaluated and selected. We will use accuracy vs epoch curve for this purpose.

### 2.1 1. CNN Model

### 2.1.1 Hyper-parameters of CNN

- 1. Kernel/Filter Size: A filter is a matrix of weights with which we convolve on the input. The filter on convolution, provides a measure for how close a patch of input resembles a feature. Smaller filters collect as much local information as possible, bigger filters represent more global, high-level and representative information. If you think that a big amount of pixels are necessary for the network to recognize the object you will use large filters (11x11 or 9x9). If you think what differentiates objects are some small and local features you should use small filters (3x3 or 5x5). In general we use filters with odd sizes.
- 2. Number of Layers: It must be chosen wisely as a very high number may introduce problems like over-fitting and vanishing and exploding gradient problems and a lower number may cause a model to have high bias and low potential model. Depends a lot on the size of data used for training.
- **3. Optimizer:** It is the algorithm used by the model to update weights of every layer after every iteration. Popular choices are **SGD**, **RMSProp and Adam**. SGD works well for shallow networks but cannot escape saddle points and local minima in such cases RMSProp could be a better choice, AdaDelta/AdaGrad for sparse data whereas Adam is a general favorite and could be used to achieve faster convergence.
- 4. Activation function: The activation function is a node that is put at the end of or in between Neural Networks. They help to decide if the neuron would fire or not. The activation function is the non linear transformation that we do over the input signal. This transformed

**output is then sent to the next layer of neurons as input.** - The popular choices in this are ReLU, Sigmoid & Tanh(only for shallow networks), and LeakyReLU.

- **5. Number of Epochs :** The number of epochs is the number of times the entire training data is shown to the model. It plays an important role in how well does the model fit on the train data. **High number of epochs may over-fit** to the data and may have generalization problems on the test and validation set, also they could cause vanishing and exploding gradient problems. **Lower number of epochs may limit the potential** of the model.
  - To add a Dense layer on top of the CNN layer, we have to change the 4D output of CNN to 2D using a **Flatten layer**.

```
[12]: start = time.time()
     model_cnn5 = Sequential()
     model_cnn5.add(Conv2D(32, kernel_size=3, activation='sigmoid',_
     \rightarrowinput_shape=(28,28,1)))
     model_cnn5.add(BatchNormalization())
     model_cnn5.add(MaxPooling2D(pool_size=(2, 2)))
     model cnn5.add(BatchNormalization())
     model_cnn5.add(Conv2D(64, kernel_size=3, activation='sigmoid'))
     model_cnn5.add(BatchNormalization())
     model_cnn5.add(MaxPooling2D(pool_size=(2, 2)))
     model_cnn5.add(BatchNormalization())
     model cnn5.add(Flatten())
     model_cnn5.add(BatchNormalization())
     model_cnn5.add(Dense(5, activation='softmax'))
[13]: es5 = EarlyStopping(monitor='val loss', mode='min', verbose=1, patience=10)
[14]: batch_size = 128
     no_epochs = 25
     verbosity = 1
     model_cnn5.compile(optimizer='sgd', loss='categorical_crossentropy',_
     →metrics=['accuracy'])
     History_cnn5 = model_cnn5.fit(x_train_new, y_train_new,__
     →validation_data=(x_val_new, y_val_new), batch_size=batch_size,
     →epochs=no_epochs, verbose=verbosity, callbacks=[es5])
     end = time.time()
    Epoch 1/25
    accuracy: 0.6805 - val_loss: 1.5217 - val_accuracy: 0.3240
    Epoch 2/25
    accuracy: 0.7769 - val_loss: 0.5731 - val_accuracy: 0.7735
    Epoch 3/25
```

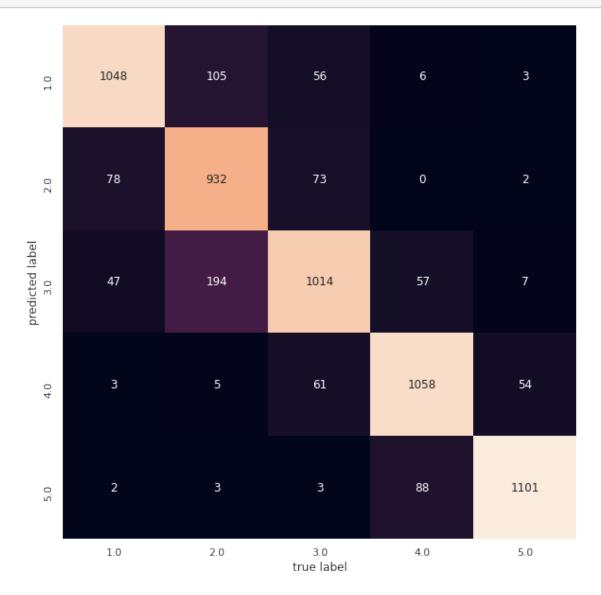
```
accuracy: 0.8027 - val_loss: 0.5007 - val_accuracy: 0.7993
Epoch 4/25
accuracy: 0.8124 - val_loss: 0.4837 - val_accuracy: 0.8082
Epoch 5/25
accuracy: 0.8227 - val_loss: 0.4731 - val_accuracy: 0.8033
Epoch 6/25
375/375 [============= ] - 2s 7ms/step - loss: 0.4378 -
accuracy: 0.8295 - val_loss: 0.4602 - val_accuracy: 0.8195
Epoch 7/25
accuracy: 0.8367 - val_loss: 0.4523 - val_accuracy: 0.8212
Epoch 8/25
accuracy: 0.8397 - val_loss: 0.4505 - val_accuracy: 0.8263
Epoch 9/25
accuracy: 0.8442 - val_loss: 0.4350 - val_accuracy: 0.8297
Epoch 10/25
accuracy: 0.8443 - val_loss: 0.4257 - val_accuracy: 0.8298
Epoch 11/25
accuracy: 0.8491 - val_loss: 0.4311 - val_accuracy: 0.8247
Epoch 12/25
accuracy: 0.8541 - val_loss: 0.4221 - val_accuracy: 0.8337
accuracy: 0.8605 - val_loss: 0.4172 - val_accuracy: 0.8385
Epoch 14/25
accuracy: 0.8607 - val_loss: 0.4032 - val_accuracy: 0.8408
Epoch 15/25
accuracy: 0.8685 - val loss: 0.4205 - val accuracy: 0.8348
Epoch 16/25
accuracy: 0.8673 - val_loss: 0.4160 - val_accuracy: 0.8347
Epoch 17/25
accuracy: 0.8671 - val_loss: 0.3990 - val_accuracy: 0.8433
Epoch 18/25
375/375 [============ ] - 2s 7ms/step - loss: 0.3380 -
accuracy: 0.8701 - val_loss: 0.4049 - val_accuracy: 0.8462
Epoch 19/25
```

```
accuracy: 0.8745 - val_loss: 0.4094 - val_accuracy: 0.8385
   Epoch 20/25
   accuracy: 0.8752 - val_loss: 0.4249 - val_accuracy: 0.8317
   Epoch 21/25
   accuracy: 0.8762 - val_loss: 0.3940 - val_accuracy: 0.8517
   Epoch 22/25
   375/375 [============= ] - 2s 7ms/step - loss: 0.3140 -
   accuracy: 0.8763 - val_loss: 0.4018 - val_accuracy: 0.8468
   Epoch 23/25
   accuracy: 0.8816 - val_loss: 0.3975 - val_accuracy: 0.8460
   Epoch 24/25
   accuracy: 0.8850 - val_loss: 0.3884 - val_accuracy: 0.8532
   Epoch 25/25
   accuracy: 0.8825 - val_loss: 0.3809 - val_accuracy: 0.8550
[15]: end - start
[15]: 98.72615313529968
[16]: y_pred_cnn5 = model_cnn5.predict_classes(x_test_new, verbose=0)
    y_pred_cnn5 = to_categorical(y_pred_cnn5)
    accuracy_cnn5 = accuracy_score(y_test_new, y_pred_cnn5)
    accuracy_cnn5
[16]: 0.8588333333333333
[17]: predict_labels_cnn5 = []
    for pred in y_pred_cnn5:
       predict_labels_cnn5.append(np.argmax(pred))
```

### 2.1.2 Confusion Matrix

The confusion indicates the the CNN successfully identified most of the labels labels, however there is some confusion to separate labels like 2 & 3 and 4 & 5.

plt.show()



**Precision**: Precision gives how precisely our model was able to identify labels. The model was above to precisely calssify labels 0 and 3 compared to others.

**Recall**: In an imbalanced classification problem with more than two classes, recall is calculated as the sum of true positives across all classes divided by the sum of true positives and false negatives across all classes. The model predicted correct labels 94% correct labels for class 4 compared to others.

**f1-score**: The F1 score can be interpreted as a weighted average of the precision and recall values, where an F1 score reaches its best value at 1 and worst value at 0. In our case, it is almost best.

Support: Support shows number of occurrences of each classes in predicted test data.

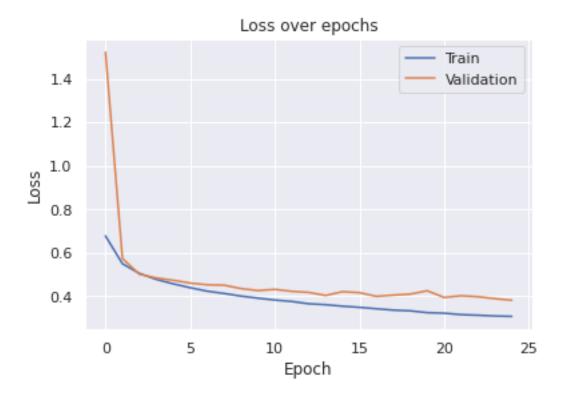
# [19]: print(classification\_report(predict\_labels\_cnn5,y\_test))

	precision	recall	f1-score	support
0	0.89	0.86	0.87	1218
1	0.75	0.86	0.80	1085
2	0.84	0.77	0.80	1319
3	0.88	0.90	0.89	1181
4	0.94	0.92	0.93	1197
accuracy			0.86	6000
macro avg	0.86	0.86	0.86	6000
weighted avg	0.86	0.86	0.86	6000

## Optimization Learning Curve

We have tried various combination of parameters, and the above results preresentated here are the best obtained by the combination of parameters we tried. The curve shows that the CNN is good fit of for the data and the data has good learning rate.

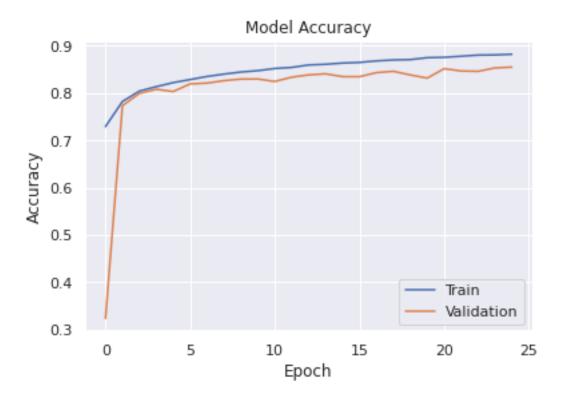
```
[20]: plt.plot(History_cnn5.history['loss'])
   plt.plot(History_cnn5.history['val_loss'])
   plt.title('Loss over epochs')
   plt.ylabel('Loss')
   plt.xlabel('Epoch')
   plt.legend(['Train', 'Validation'], loc='best')
   plt.show()
```



## Performance Learning Curve

The gap between training and validation accuracy indicats of overfitting of model. From the graph below it we can observe that the DNN model is a little overfit.

```
[21]: plt.plot(History_cnn5.history['accuracy'])
   plt.plot(History_cnn5.history['val_accuracy'])
   plt.title('Model Accuracy')
   plt.ylabel('Accuracy')
   plt.xlabel('Epoch')
   plt.legend(['Train', 'Validation'], loc='best')
   plt.show()
```



### 2.2 2. Resnet Model

```
[23]: def resnet_v1(input_shape, depth, num_classes = 5):
          if (depth - 2) % 6 != 0:
              raise ValueError('depth should be 6n + 2 (eg 20, 32, 44 in [a])') #18__
       \rightarrow layers
          num_filters = 16
          num_res_blocks = int((depth - 2) / 6) #3 blocks
          inputs = Input(shape = input_shape)
          x = resnet_layer(inputs = inputs)
          for stack in range(3):
              for res_block in range(num_res_blocks):
                   strides = 1
                   if stack > 0 and res_block == 0:
                       strides = 2
                   y = resnet_layer(inputs = x, num_filters = num_filters, strides = u
       →strides)
                  y = resnet_layer(inputs = y, num_filters = num_filters, activation_⊔
       \rightarrow= None)
                   if stack > 0 and res_block == 0:
```

```
[24]: # Basic ResNet Building Block
      conv_first = False
      def resnet_layer(inputs,
                                       num_filters = 16,
                                       kernel_size = 3,
                                       strides = 1,
                                       activation = 'relu',
                                       batch_normalization = True):
              conv = Conv2D(num_filters,
                                       kernel_size = kernel_size,
                                       strides = strides,
                                       padding ='same',
                                       kernel_initializer = 'he_normal',
                                       kernel_regularizer = 12(1e-4))
              x = inputs
              if conv_first:
                      x = conv(x)
                      if batch_normalization:
                              x = BatchNormalization()(x)
                      if activation is not None:
                              x = Activation(activation)(x)
              else:
                      if batch_normalization:
                              x = BatchNormalization()(x)
                      if activation is not None:
                              x = Activation(activation)(x)
                      x = conv(x)
              return x
```

```
[25]: def lr_schedule(epoch):
    lr = 1e-3
```

```
if epoch > 180:
         lr *= 0.5e-3
      elif epoch > 160:
         lr *= 1e-3
      elif epoch > 120:
         lr *= 1e-2
      elif epoch > 80:
         lr *= 1e-1
      print('Learning rate: ', lr)
      return lr
[26]: start2 = time.time()
    model_resnet = resnet_v1(input_shape = (28,28,1), depth = 2)
[27]: batch_size = 128
    no_epochs = 25
    verbosity = 1
    model_resnet.compile(optimizer='adam', loss='categorical_crossentropy', __
    →metrics=['accuracy'])
    History_resnet = model_resnet.fit(x_train_new, y_train_new,__
    →validation_data=(x_val_new, y_val_new), epochs=no_epochs, verbose=verbosity,
    →batch_size=batch_size)
    end2 = time.time()
   Epoch 1/25
   accuracy: 0.6284 - val_loss: 0.7272 - val_accuracy: 0.7132
   Epoch 2/25
   accuracy: 0.7138 - val_loss: 0.7264 - val_accuracy: 0.7125
   Epoch 3/25
   accuracy: 0.7270 - val_loss: 0.7073 - val_accuracy: 0.7203
   Epoch 4/25
   accuracy: 0.7327 - val_loss: 0.6906 - val_accuracy: 0.7225
   Epoch 5/25
   accuracy: 0.7360 - val_loss: 0.6705 - val_accuracy: 0.7305
   Epoch 6/25
   accuracy: 0.7444 - val_loss: 0.6655 - val_accuracy: 0.7325
   accuracy: 0.7525 - val_loss: 0.6505 - val_accuracy: 0.7307
   accuracy: 0.7481 - val_loss: 0.6579 - val_accuracy: 0.7290
```

```
Epoch 9/25
accuracy: 0.7551 - val_loss: 0.6423 - val_accuracy: 0.7468
Epoch 10/25
accuracy: 0.7542 - val_loss: 0.6642 - val_accuracy: 0.7225
accuracy: 0.7546 - val_loss: 0.6414 - val_accuracy: 0.7467
Epoch 12/25
accuracy: 0.7552 - val_loss: 0.6344 - val_accuracy: 0.7480
Epoch 13/25
accuracy: 0.7555 - val_loss: 0.6407 - val_accuracy: 0.7472
Epoch 14/25
accuracy: 0.7580 - val_loss: 0.6441 - val_accuracy: 0.7357
Epoch 15/25
accuracy: 0.7585 - val_loss: 0.6526 - val_accuracy: 0.7442
Epoch 16/25
accuracy: 0.7570 - val_loss: 0.6375 - val_accuracy: 0.7437
Epoch 17/25
accuracy: 0.7579 - val_loss: 0.6490 - val_accuracy: 0.7442
Epoch 18/25
accuracy: 0.7595 - val_loss: 0.6389 - val_accuracy: 0.7500
Epoch 19/25
accuracy: 0.7608 - val_loss: 0.6401 - val_accuracy: 0.7483
Epoch 20/25
accuracy: 0.7592 - val_loss: 0.6441 - val_accuracy: 0.7432
Epoch 21/25
accuracy: 0.7571 - val_loss: 0.6421 - val_accuracy: 0.7475
Epoch 22/25
accuracy: 0.7646 - val_loss: 0.6354 - val_accuracy: 0.7503
accuracy: 0.7588 - val_loss: 0.6386 - val_accuracy: 0.7467
Epoch 24/25
accuracy: 0.7588 - val_loss: 0.6469 - val_accuracy: 0.7413
```

```
Epoch 25/25
375/375 [============] - 1s 4ms/step - loss: 0.5968 -
accuracy: 0.7594 - val_loss: 0.6367 - val_accuracy: 0.7472

[28]: end2 - start2

[28]: 37.033555030822754

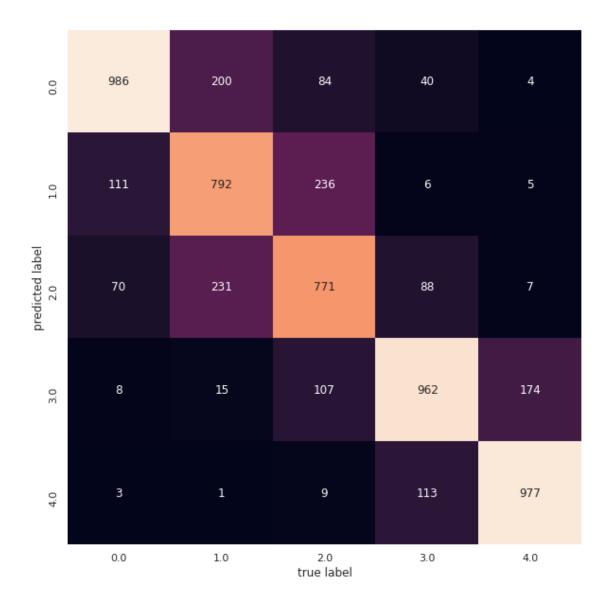
[29]: y_pred_resnet = model_resnet.predict(x_test_new, verbose=0)
    y_pred_resnet = np.argmax(y_pred_resnet,axis=1)
    y_pred_resnet = to_categorical(y_pred_resnet)
    accuracy_resnet = accuracy_score(y_test_new, y_pred_resnet)
    accuracy_resnet

[29]: 0.748

[30]: predict_labels_resnet = []
    for pred in y_pred_resnet:
        predict_labels_resnet.append(np.argmax(pred))
```

#### 2.2.1 Confusion Matrix

The confusion indicates that the CNN with ResNet successfully identified most of the labels labels, however there is some confusion to separate labels like 1,2 & 3 and 4 & 5.



**Precision**: Precision gives how precisely our model was able to identify labels. The model was able to precisely calssify labels 0 and 4 compared to others.

**Recall**: In an imbalanced classification problem with more than two classes, recall is calculated as the sum of true positives across all classes divided by the sum of true positives and false negatives across all classes. The model predicted correct labels 84% correct labels for class 0 and 4 compared to others.

**f1-score**: The F1 score can be interpreted as a weighted average of the precision and recall values, where an F1 score reaches its best value at 1 and worst value at 0. In our case, it is at moderate level.

**Support**: Support shows number of occurrences of each classes in predicted test data.

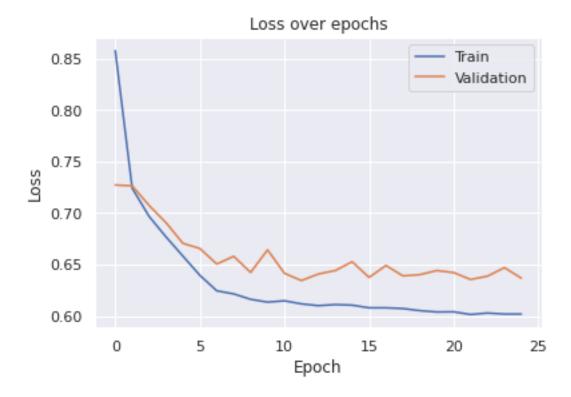
[32]: print(classification\_report(predict\_labels\_resnet,y\_test))

	precision	recall	f1-score	support
0	0.84	0.75	0.79	1314
1	0.64	0.69	0.66	1150
2	0.64	0.66	0.65	1167
3	0.80	0.76	0.78	1266
4	0.84	0.89	0.86	1103
accuracy			0.75	6000
macro avg	0.75	0.75	0.75	6000
weighted avg	0.75	0.75	0.75	6000

## Optimization Learning Curve

We have tried various combination of parameters, and the above results preresentated here are the best obtained by the combination of parameters we tried. The curve shows that the CNN is moderatly good fit of for the data and the data has good learning rate.

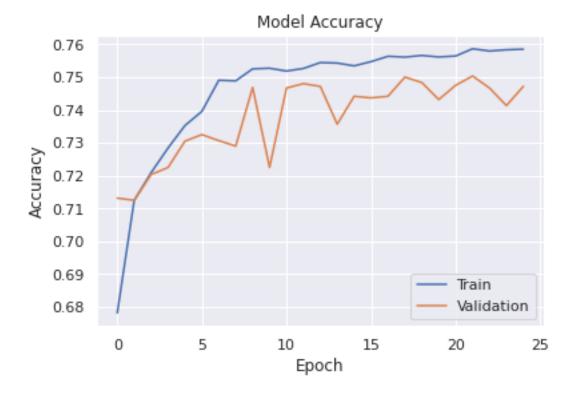
```
[33]: plt.plot(History_resnet.history['loss'])
   plt.plot(History_resnet.history['val_loss'])
   plt.title('Loss over epochs')
   plt.ylabel('Loss')
   plt.xlabel('Epoch')
   plt.legend(['Train', 'Validation'], loc='best')
   plt.show()
```



## Performance Learning Curve

The gap between training and validation accuracy indicats of overfitting of model. From the grpah below it we can observe that the DNN model is a overfit.

```
[34]: plt.plot(History_resnet.history['accuracy'])
   plt.plot(History_resnet.history['val_accuracy'])
   plt.title('Model Accuracy')
   plt.ylabel('Accuracy')
   plt.xlabel('Epoch')
   plt.legend(['Train', 'Validation'], loc='best')
   plt.show()
```



### 2.3 Simple CNN vs ResNet

- From learning curves, it can be said that simple CNN is better than Resnet, because dataset is small and Resnet performs well on bigger datasets.
- Saying that, we observed 85.88 and 74.8 accuracy on CNN and Resnet respectively.
- Additionally, Time taken by both models is 98.73 and 37.03 seconds.
- From the confusion matrix, it's clear that CNN correctly classifies more labels than Resnet.

## 2.4 References:

- $\bullet \ \, \text{https://medium.com/analytics-vidhya/cnns-architectures-lenet-alexnet-vgg-googlenet-resnet-and-more-} 666091488df5 \\$
- $\bullet\ \ https://towards datascience.com/understanding-and-coding-a-resnet-in-keras-446d7ff84d33$