

Survey on Social Innovation as Valuation of SNSF-funded Research

Welcome!

As announced in our e-mail invitation, the purpose of this survey is to gain a first understanding of the extent *social innovation* is part of your SNSF-funded project and what contribution SNSF-funded projects make with regard to *social innovation*.

It is not necessary that you are familiar with the ambiguous terminology of *social innovation* - the survey will guide you where necessary. Depending on your answers, it may take you 10-20 minutes to complete it.

All your answers will be treated confidentially and data will only be published in aggregated form. The aim is by no means to evaluate your research project or research in general, but to gauge socially innovative aspects in SNSF-funded projects.

There are 29 questions in this survey.

Demographic information

With the next few questions, we would like to learn more about you as a scientist.

[transdisciplinaryExp] How would you rate your experience with transdisciplinary research?

Please choose the appropriate response for each item:

	0..not experienced at all	1	2	3	4	5	6	7	8	9	10..highly experienced
Please rate	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Transdisciplinary research as regarded by the Swiss Academy of Sciences: <https://naturalsciences.ch/transdisciplinarity> (<https://naturalsciences.ch/transdisciplinarity>)

[age] How old are you?

❶ Choose one of the following answers

Please choose **only one** of the following:

- ☐ 39 or younger
☐ 40 to 49
☐ 50 to 59
☐ 60 to 69
☐ 70 or older

[academicAge] What is your academic age?

❶ Choose one of the following answers Please choose **only one** of the following:

- ☐ 0-2 years
☐ 3-5 years
☐ 6-10 years
☐ 11-15 years
☐ > 15 years

Familiarity with Social Innovation

[familiarWithSI] How familiar are you with the concept of "*social innovation*"?

Please choose the appropriate response for each item:

	0..not at all	1	2	3	4	5	6	7	8	9	10..fully
Please rate	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Social Innovation in your Research Project

[projectReference] Together with the SNSF, we have sampled roughly 1'000 individual projects. The one attributed to you as *responsible applicant* is the following:

- **Project ID:**
- **Project title:**
- **Project period:**
- **Corresponding discipline:**
- **SNSF funding instrument:**

PLACEHOLDER

We kindly ask you to keep this project in mind, as all our subsequent questions relate to this project.

Please be so kind as to confirm that it is clear to you that, from now on, all questions and your responses refer to this project exclusively.

*

Please choose **only one** of the following:

- ☐ Yes
- ☐ No

[projectReferenceNo] We have carefully sampled all projects. If there is a valid reason why you cannot confirm to continue with this particular project, we kindly ask you to let us know about any potential misalignment (mailto: Dietmar Lampert) and stop filling in the questionnaire beyond this point.

Otherwise, please confirm the above question by clicking **yes** and continue with the questionnaire.

Only answer this question if the following conditions are met:

Answer was 'No' at question '5 [projectReference]')

[contribToSI] To what extent do you think your SNSF-funded project contributed to *social innovation*?
(please see below for a definition)?

Only answer this question if the following conditions are met:

Answer was '>=5' at question '4 [familiarWithSI]' and Answer was 'Yes' at question '5 [projectReference]'

Please choose the appropriate response for each item:

	0..not at all	1	2	3	4	5	6	7	8	9	10..very high extent
Please rate	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

We refer to the definition presented by the EU-funded SI-DRIVE-project (<https://www.zsi.at/en/object/project/2992>) that sees *social innovation* as

- *new combination or figuration of practices in areas of social action [...] with the goal of better coping with needs and problems than is possible by using existing practices.*
- *An innovation is therefore social to the extent that it varies social action and is socially accepted and diffused in society.*

cf. Howaldt and Schwarz 2016, p.6 (<https://www.si-drive.eu/wp-content/uploads/2016/07/SI-DRIVE-D1-3-Social-Change-final-260416-2.pdf>)

Intention and Agency

With the next questions, we would like to know more about what motivated you for your research project.

[motivation] When you designed your project, to what degree were you motivated to ...

Please choose the appropriate response for each item:

	0..not at all	1	2	3	4	5	6	7	8	9	10..fully
better understand a natural, technical, economic, or social phenomenon?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
directly address a natural, technical, economic, or social problem?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
improve the human condition/welfare (outside academia)?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

[benefitForNonAcademy] Have you deliberately designed your project so that it might generate an immediate and intended benefit for the general population or a specific non-academic target group (e.g. specific social, policy, or business actors)?

Please choose **only one** of the following:

- ☐ no
- ☐ to a minor extent
- ☐ to a large extent

excluding employees or co-workers

[impulseFromNonAcad] Was there an impulse from the non-academic world that motivated you to start the project, namely ...

Please choose **all** that apply:

- ☐ a specific societal problem
- ☐ a specific economic problem
- ☐ a specific ecological/natural problem
- ☐ a specific health/medical problem
- ☐ a specific technical problem
- ☐ a different impulse, namely:

Transdisciplinary Aspects

With the next questions that deal with various aspects of transdisciplinary research, we are already at the core of the survey.

[groupsInvolved] In your research processes, did you actively involve one or more of the following groups(*)?

Please choose the appropriate response for each item:	no	only marginally	quite centrally
researchers from other disciplines	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
company/business representatives (incl. farmers)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
representatives of NGOs, advocacy or other civil society groups	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
policy makers, public administrations, representatives from governmental agencies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
individual citizens (e.g. as beneficiaries, customers, or concerned persons)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
media representatives (traditional media, digital media (e.g. bloggers), journalists, community-led media, etc.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
representatives from welfare- or education-providing institutions (such as schools, kindergartens, hospitals, or care centres)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

(*) excluding *study subjects, suppliers of material, and employees of your own organisation*

[natureOfInvolvement] What was the nature of involvement of those groups?

Comment on filter conditions: the items below were only shown if the answer was 'quite centrally' or 'only marginally' at question '11 [groupsInvolved]'

Please choose the appropriate response for each item:

	consultative (provide information via interviews, online questionnaires, etc.)	contributory (consultative + contributing through collecting data, validating data, disseminating results, etc.)	collaborative (contributory + interpreting data and/or drawing conclusions)	co-created (collaborative + participated in designing study and/or determining objectives)
researchers from other disciplines	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
company/business representatives (incl. farmers)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
representatives of NGOs, advocacy or other civil society groups	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
policy makers, public administrations, representatives from governmental agencies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
individual citizens (e.g. as beneficiaries, customers, or concerned persons)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
media representatives (traditional media, digital media (e.g. bloggers), journalists, community-led media, etc.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
representatives from welfare- or education-providing institutions (such as schools, kindergartens, hospitals, or care centres)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

[targetGroupsGoal] Please indicate whether the following statements fit your project:

Your project has ...

Only answer this question if the following conditions are met:

Answer was 'to a large extent' or 'to a minor extent' at question '9 [benefitForNonAcademy]'

Please choose the appropriate response for each item:

	false	true
<i>... targeted a group of people with specific social needs.</i>	<input type="radio"/>	<input type="radio"/>
<i>... included socially disadvantaged or marginalised people.</i>	<input type="radio"/>	<input type="radio"/>
<i>... worked towards improving people's lives.</i>	<input type="radio"/>	<input type="radio"/>
<i>... aimed at empowering people (in general or specific groups).</i>	<input type="radio"/>	<input type="radio"/>
<i>... enabled diversity and exchange of different perspectives.</i>	<input type="radio"/>	<input type="radio"/>

Regulatory Framework

With the next questions, we would like to learn more about regulatory-conceptual aspects of your research project.

[concepts] Did one or more of the following concepts (norms, requirements, practices) apply to your research project?

Please choose the appropriate response for each item:

	no	yes
open access (publications)	<input type="radio"/>	<input type="radio"/>
open access (research data)	<input type="radio"/>	<input type="radio"/>
open source (code)	<input type="radio"/>	<input type="radio"/>
open/shared infrastructure	<input type="radio"/>	<input type="radio"/>
open peer review (e. g. participation of a wider community or post-publication commenting)	<input type="radio"/>	<input type="radio"/>

[concepts2] Did your project consider the *sex* or *gender* dimension explicitly in your research?

Please choose **only one** of the following:

- ☐ Yes
☐ No

[concepts3] Did your project aim at supporting evidence-based decision-making of policy-makers?

Please choose **only one** of the following:

- ☐ Yes
☐ No

Outcome-orientation

With the next questions, we would like to know more about the expected non-academic effects of your project, both short- and long-term.

[impactTargetGroup] To what degree has your project directly contributed to new or better services, products, processes, or ways of doing things that were targeted towards ...

Please choose the appropriate response for each item:

	0..not at all	1	2	3	4	5	6	7	8	9	10..to a high degree
the general population	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
businesses	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
specific social groups (e.g. women/men /non-binary, youth/elderly; migrants; or minorities/indigenous people)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
welfare- and education-providing institutions (such as schools, kindergartens, hospitals, or care centres)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
NGOs, advocacy or other civil society groups	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
policy-making, public administration, governmental agencies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
academia	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

[kindOfChange] What kind of change (short- or long-term) did your project intend to bring about in the following target groups or in the general population?

Comment on filter conditions: The items below were only shown if the answer was '>= 3' at question '17 [impactTargetGroup]
Please choose the appropriate response for each item:

	changing understanding	changing awareness	changing attitude	changing behaviour	other
the general population	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
businesses	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
specific social groups	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
welfare- and education-providing institutions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
NGOs, advocacy or other civil society groups	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
policy-making, public administration, governmental agencies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
academia	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

[kindOfChange] What other change did you intend to bring about?

Comment on filter conditions: This question was only shown if the answer was 'other' at question '18 [kindOfChange]' (for any of the listed items)

Please write your answer here:

Please describe briefly per target group, if needed.

[adoptedByPolicy] From your perspective, to what extent were project results taken up by policy-making and/or public administration and/or governmental agencies?

Please choose the appropriate response for each item:

	0..not at all	1	2	3	4	5	6	7	8	9	10..full extent	Not applicable
Please rate	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

[adoptedByPolicyHow] In what way were those results taken up by policy-making and/or public administration and/or governmental agencies?

Only answer this question if the following conditions are met:

Answer was '>=3' at question '20 [adoptedByPolicy]'

Please choose **all** that apply:

- ☐ Changed policy (measures)
☐ Changed agenda-setting
☐ Changed regulation or law

☐ Another way, namely:

[impactstatements] To what extent do the following statements apply to your project?

Please choose the appropriate response for each item:

	0..not at all	1	2	3	4	5	6	7	8	9	10..full extent	Not applicable
The targeted, non-academic groups have – either through participation or through the focus of project – likely gained capabilities to better tackle similar existing or upcoming issues.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The project's actions played an emancipatory role for the targeted groups.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The project generated a deeper/better understanding of a specific social issue.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The project contributed to the mitigation of a social issue.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The project results addressed an issue that was not (widely) known in the society before.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The scrutinised issue was not (widely) addressed in academia before.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Dissemination and Exploitation

With the next questions, we would like to learn more about dissemination and possible reuse of your project results.

[dissChannels] Which channels were used to disseminate the project results?

Please choose the appropriate response for each item:

	no	yes
Peer reviewed journal publication	<input type="radio"/>	<input type="radio"/>
Monography, contribution to a book	<input type="radio"/>	<input type="radio"/>
Conference proceeding	<input type="radio"/>	<input type="radio"/>
Policy brief(s)	<input type="radio"/>	<input type="radio"/>
Traditional media (TV/radio/print/etc.)	<input type="radio"/>	<input type="radio"/>
Professional journals/magazines targeting practitioners	<input type="radio"/>	<input type="radio"/>
Own institutional or project website/blog	<input type="radio"/>	<input type="radio"/>
Social media	<input type="radio"/>	<input type="radio"/>
Online platforms (other than social media and project website/blog; e. g. data or code sharing, citizen science platforms)	<input type="radio"/>	<input type="radio"/>
(You providing) consultancy (paid or unpaid)	<input type="radio"/>	<input type="radio"/>
Targeted events for (non-academic) practitioners	<input type="radio"/>	<input type="radio"/>
General events for a non-academic public (other than practitioners)	<input type="radio"/>	<input type="radio"/>

[scalabilityRating] How would you assess in the long term the scalability of the results generated by your project?

Comment on filter conditions: the items below were only shown if the answer was '>= 4' at question '17 [impactTargetGroup]' (for any item)

Please choose the appropriate response for each item:

	0..not scalable at all	1	2	3	4	5	6	7	8	9	10..very highly scalable	I don't know	Not applicable
Scaling-up (to achieve a higher impact)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Scaling-out (to different geographic areas)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Scaling-deep (by changing cultural and social values and practices)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Closing

The following questions conclude this survey.

[interestInSummary] Would you be interested in receiving a summary of the results of this survey?

Please choose **only one** of the following:

- ☐ no
- ☐ yes

[SIthroughSNSF] Do you have any suggestions how to address *social innovation* through SNSF-funded projects? If so, please share them in this textbox:

Only answer this question if the following conditions are met:

Answer was '>=5' at question '4 [familiarWithSI]' (How familiar are you with the concept of "social innovation"?)

Please write your answer here:

[resultsHighlight] Would you like to share with us an example that illustrates project results particularly well for the general public or certain target groups? If so, please provide it here:

Only answer this question if the following conditions are met:

Answer was 'to a minor extent' or 'to a large extent' at question '9 [benefitForNonAcademy]'

Please write your answer here:

[feedback] Is there anything else you would like to tell us? E. g. a particular interesting fact about your project, a development or result that surprised you, a particular concern that you may have regarding this study, or anything else that we should know?

Please write your answer here:

Thank you, we highly appreciate your participation in our survey! Please make sure to **press the submit button below** to save your response in our database.

Submit your survey.

Thank you for completing this survey.