

*Notes on Data Encryption*  
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Document is WIP, typos could be found

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## - 1 - Introduction to Data Encryption.

Data encryption and codes focuses on two aspects of cybersecurity, that are cryptography and linear codes. For this reason, the present notes will be structured as follow: in *Sections 2-??* we discuss some algorithms used in cryptography, mainly focusing on public key encryption and hyperelliptic curves based algorithms; from *Section ??* onwards we focus on linear codes.

The remainder of this section will provide the reader some notions of abstract algebra, central to many of the topics described in the following sections.

### - 1.1 - Notions of abstract algebra.

Consider  $\mathbb{Z}$ , and let  $a, b \in \mathbb{Z}, b \neq 0$ . We say that  $a$  divides  $b$ , and write  $a|b$ , if exists  $q \in \mathbb{Z}$  such that  $aq = b$ . Viceversa, we say that  $a$  does not divide  $b$  and we write  $a \nmid b$ .

From the above notion of divisibility, we derive the notion of greatest common divisor (GCD) and least common multiple (lcm) of two numbers.

**Definition (GCD)** Let  $a, b \in \mathbb{Z}$ , we say that the integer  $d \in \mathbb{Z}, d > 0$  is the greatest common divisor of  $a$  and  $b$  if the following holds:

1.  $d|a$  and  $d|b$ , and
2. if  $\exists d' : d'|a$  and  $d'|b$  then  $d'|d$ .

**Definition (lcm)** Let  $a, b \in \mathbb{Z}$ , we say that the integer  $m \in \mathbb{Z}, m \neq 0$  is the least common multiple of  $a$  and  $b$  if the following holds:

1.  $a|m$  and  $b|m$ , and
2. if  $\exists m' : a|m'$  and  $b|m'$  then  $m|m'$ .

To compute the GCD we use the *euclid algorithm*, which is based on the following remark. Given  $a, b \in \mathbb{Z}, b > 0$ , it's always possible to write  $\text{GCD}(a, b) = \alpha a + \beta b, \alpha, \beta \in \mathbb{Z}$ . Back to the algorithm, given  $a, b \in \mathbb{Z}$  we proceed as follow<sup>1</sup>:

1. Compute  $q_i, r_i$  such that  $a = q_i b + r_i$ .
2. At step  $i$ , let  $a = r_{i-1}$ .
3. Repeat until  $r_i = 0$ .
4. Compute  $\alpha$  and  $\beta$  by replacing  $r_i$  at the step  $i - 1$ .

## Section 1 – Introduction to Data Encryption

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In *Section 3.1* we show how euclid algorithm can be enhanced to be computationally more efficient.

Let  $n \in \mathbb{Z}$  be a fixed integer. Then, for any  $a, b \in \mathbb{Z}$

$$a \equiv b \pmod{n} \iff n|(a - b)$$

is an equivalence relation. In fact is:

- *reflexive*:  $\forall a \in \mathbb{Z}, a \equiv a \pmod{n} \implies n|(a - a) \implies n|0$ .
- *simmetric*:  $\forall a, b \in \mathbb{Z}$ , if  $a \equiv b \pmod{n} \implies n|(a - b)$ , but then  $n|-(b - a) \implies b \equiv a \pmod{n}$ .
- *transitive*: by the same reasoning done for the simmetric property.

Since congruence modulo  $n$  is a equivalence relation, this means we can consider the equivalence classes it defines, denote these as  $[a] = \{b \in \mathbb{Z} \mid a \equiv b \pmod{n}\}$ . One can prove that congruence modulo  $n$  defines exactly  $n$  distinct classes, whose representatives are the integers  $0 \leq k < n$ .

**Remark.** One can think of congruence modulo  $n$ , as the remainder of the division by  $n$  of same  $a \in \mathbb{Z}$ .

We now define two of the most important algebraic structures: groups and rings; we point out that many more things should be said about these structures, but that's beyond the scope of our discussion.

**Definition (Group)** A *group* is a pair  $(G, \cdot)$  where  $G$  is a set and

$$\cdot : G \times G \rightarrow G$$

is a binary operation satisfying:

1. (Associativity) For all  $a, b, c \in G$  we have  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ .
2. (Identity) There exists an element  $e \in G$  such that for all  $a \in G$  we have  $e \cdot a = a \cdot e = a$ .
3. (Inverses) For every  $a \in G$  there exists  $a^{-1} \in G$  with  $a \cdot a^{-1} = a^{-1} \cdot a = e$ .

If, in addition,  $a \cdot b = b \cdot a$  for all  $a, b \in G$ , the group is called *abelian* (or commutative).

**Definition (Cyclic group)** A group  $(G, \cdot)$  is *cyclic* if there exists an element  $g \in G$  such that

$$G = \{g^n \mid n \in \mathbb{Z}\}.$$

Such an element  $g$  is called a *generator* of  $G$ .

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<sup>1</sup>The procedure described assumes  $a \geq b$ .

## Section 1 – Introduction to Data Encryption

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**Definition (Ring)** A (associative) *ring* is a triple  $(R, +, \cdot)$  where  $(R, +)$  is an abelian group with identity 0, and  $\cdot$  is a binary operation on  $R$  satisfying:

1. (Associativity of multiplication)  $a(bc) = (ab)c$  for all  $a, b, c \in R$ .
2. (Distributivity)  $a(b + c) = ab + ac$  and  $(a + b)c = ac + bc$  for all  $a, b, c \in R$ .

A ring is called *commutative* if  $ab = ba$  for all  $a, b \in R$ . A ring has *unity* (or 1) if there exists  $1 \in R$  with  $1 \cdot a = a \cdot 1 = a$  for all  $a \in R$ .

**Definition (Field)** A *field* is a commutative ring  $(\mathbb{F}, +, \cdot)$  with unity  $1 \neq 0$  such that every nonzero element has a multiplicative inverse. Equivalently,

$$(\mathbb{F} \setminus \{0\}, \cdot)$$

is an abelian group.

**Definition (Finite field / Galois field)** A *finite field*, or *Galois field*, is a field with finitely many elements.

We will consider multiplicative Galois fields of order a prime, which we denote as  $\text{GF}(p)^*$ .

## - 2 - Public key encryption.

The algorithms we are about to discuss are based on some properties of groups we did not discuss in the previous section. We did so because these are somewhat obvious and can be understood to be true without a formal proof.

In addition, when we analyze the complexity of these algorithms,  $n$  is the number of digits needed to represent a given integer in a chosen notation. This means that for each  $k \in \mathbb{Z}^+$ ,  $n = \lfloor \log k \rfloor + 1$ . With this notation, addition has a complexity of  $\mathcal{O}(n)$  time, while multiplication, as well as division, has a complexity of  $\mathcal{O}(n^2)$  time.

### - 2.1 - Diffie-Hellman.

Let  $A$  and  $B$  be two entities who want to communicate. Both require that no one but them can understand what the communication is about. One of the many solutions is the Diffie-Hellman algorithm, which is based on the hard problem of computing the discrete logarithm.

The algorithm proceeds as follow:

1. Assume a trusted third party (TTP) exists; that is, assume that there exists a third “person”, besides  $A$  and  $B$ , that acts as mediator between  $A$  and  $B$ .
2. This TTP chooses a  $p \in \mathbb{Z}$  such that  $p$  is prime. Once  $p$  is fixed, consider  $\text{GF}(p)^*$  and fix a  $\gamma \in \text{GF}(p)^*$ .
3. Tell  $A$  and  $B$  to choose a value in  $\{0, \dots, p-1\}$ , call it  $a$  and  $b$  respectively; this will be their private key.
4. Let  $A$  and  $B$  compute their public key as  $\alpha = \gamma^a, \beta = \gamma^b$  respectively.
5.  $A$  and  $B$  agree on a  $k \in \text{GF}(p)^*$  such that:

$$A \text{ obtains } k \text{ as: } \beta^a \pmod{p} = \gamma^{b^a} \pmod{p} = \gamma^{ab} \pmod{p},$$

$$B \text{ obtains } k \text{ as: } \alpha^b \pmod{p} = \gamma^{a^b} \pmod{p} = \gamma^{ab} \pmod{p}.$$

In the steps above  $p$ , and therefore  $\text{GF}(p)^*$ ,  $\gamma$ ,  $\alpha$  and  $\beta$  are public.

Consider a malicious individual, call him  $E$ , that wants to intrude in the communication; what can he do? Not much actually. In fact, he can only try to compute  $a = \log_\gamma \alpha$  (or  $b = \log_\gamma \beta$  equivalently). But, recall that  $\alpha, \beta \in \text{GF}(p)^*$ , which makes hard to compute the actual value of either  $a$  or  $b$ .

### - 2.1.1 - Complexity of Diffie-Hellman.

In our analysis of Diffie-Hellman complexity we distinguish two cases: the complexity for A and B, and the complexity for E.

Consider A, they have to compute  $\alpha = \gamma^a$  and  $k = \beta^a$  which requires  $\mathcal{O}(n^3)$  time. Similarly, B has to compute  $\beta = \gamma^b$  and  $k = \alpha^b$ , again in  $\mathcal{O}(n^3)$  time.

If we consider E, they have to compute either  $a$  or  $b$ . Then, they can either try by brute force, which requires  $\mathcal{O}(e^n \cdot n^2)$  time; or if they choose a randomised algorithm, it would take  $\mathcal{O}(\sqrt{e^n \cdot n^2})$  time.

**Remark.** Recall that with our notation,  $n = \lfloor \log p \rfloor + 1$ . In addition, we can assume that  $k \simeq p$ .

### - 2.2 - ElGamal.

ElGamal algorithm is somewhat an extension of the Diffie-Hellman protocol; in fact, apart from the last additional step, both work the same. More precisely, a TTP chooses a 128 bits, sometimes even 256 or 512 bits, prime  $p$  and fixes a  $\gamma \in \text{GF}(p)^*$ . As for Diffie-Hellman, both A and B choose a private key, say  $a$  and  $b$ , and compute their public key  $\alpha = \gamma^a \pmod{p}$  and  $\beta = \gamma^b \pmod{p}$  respectively.

Once  $k$  has been chosen, assuming B wants to send a text  $t$  to A, they compute the cypher  $c = kt \pmod{p}$ , and sends it to A. On the receiving side, A decodes  $t$  by computing  $t = k^{-1}c \pmod{p}$ , where  $k^{-1}$  is the multiplicative inverse of  $k \pmod{p}$ .

#### Example

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Let  $p = 47$  and  $\gamma = 2$ . We have that

$$\text{GF}(47)^* = \{0, 1, 2, \dots, 46\}.$$

Say A chooses  $a = 17$ , thus computing their public key, they get  $\alpha = 2^{17}$ . Say B chooses  $b = 31$ , therefore computing the public key, they get  $\beta = 2^{31}$ .

It's easy to see that  $k = 12 \pmod{47}$ . Hence, if  $t = 40$  is the text to encrypt, B cyphers it as  $c = km = 10$  and sends it to A. A receives  $c$  and decodes  $t = 40$  by computing  $k^{-1} = 33$ .

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### - 2.2.1 - Complexity of ElGamal.

Since ElGamal is essentially Diffie-Hellman with the additional step of cyphering the text  $t$ , it's easy to observe that the time needed by the algorithm is still  $\mathcal{O}(n^3)$  for A and B; while it's still  $\mathcal{O}(e^n \cdot n^2)$  for E.

### - 2.3 - RSA.

The RSA algorithm, named after its inventors *R. Rivest*, *A. Shamir* and *L. Adleman*, is a encryption algorithm based on the hard problem of integer factorization. In fact, is well known that for  $n \in \mathbb{Z}$  sufficiently large, computing its prime factors is not an easy task.

As for the Diffie-Hellman algorithm, assume A and B to be the entities involved into the communication. The algorithm proceeds as follow: one of the two entities, say A, chooses two prime numbers  $p$  and  $q$ ,  $p \neq q$  with approximately the same length, and computes  $n = pq$  and  $\lambda = \text{lcm}(p-1, q-1)$ . Additionally they choose an integer  $e$  such that  $\text{GCD}(e, \lambda) = 1$ ; that is,  $e, \lambda$  are coprime. The pair  $(n, e)$  is A's public key. Since  $e, \lambda$  are coprime Bezout's identity holds, therefore  $1 = ed + \lambda\mu$ . Let  $m$  be a message B wants to send to A, they cypher it as  $c \equiv m^e \pmod{n}$  and transmit  $c$  to A. On the receiving side, A takes  $c$  and decyphers it as

$$m \equiv c^d \pmod{n} \quad (1)$$

Understanding why *Equation 2* holds is not immediate, for this reason the remainder of this section will be used to show that  $m \equiv c^d \pmod{n}$  is indeed correct. Begin by observing that  $c \equiv m^e \pmod{n}$ , meaning that  $c^d \equiv (m^e)^d \pmod{n}$ . Recall that Bezout's identity holds, thus  $c^d \equiv m^{1-\lambda\mu} \pmod{n}$ . But  $\lambda = \text{lcm}(p-1, q-1)$ , thus  $m^{1-\lambda\mu} = m^{1-(p-1)s\mu}$ . At this point there are two possibilities:

$$c^d \equiv \begin{cases} 0 \pmod{p}, & \text{if } m = 0, \text{ or} \\ m \pmod{p}, & \text{if } m \neq 0. \end{cases}$$

In fact, if  $m \neq 0$ , we have that

$$\begin{aligned} (m^{p-1})^s &\equiv m^p \pmod{p} \\ \implies m^{p-1} &\equiv 1 \pmod{p} \\ \implies m^\lambda &\equiv 1 \pmod{p} \\ \implies m^{\lambda\mu} &\equiv 1^\mu \pmod{p} \end{aligned}$$

Since a similar reasoning can be done for  $\lambda = (q-1)t$ , we can conclude that  $c^d \equiv m \pmod{p} \wedge c^d \equiv m \pmod{q}$ . Furthermore  $p \neq q$ , therefore  $c^d \equiv m \pmod{n}$ .

#### - 2.3.1 - Complexity of RSA.

It's easy to see that as for ElGamal, the complexity of RSA is  $\mathcal{O}(n^3)$  for A and B, and  $\mathcal{O}(e^n n^2)$  for E. In fact, if we consider B and A, their most expensive computation regards the cyphering/decyphering of  $c$ ; while E has to factorize  $n$  to get  $p$  and/or  $q$ , requiring  $\mathcal{O}(e^n n^2)$  computations by brute force.



## - 3 - Integers factorization and fuzzy algorithms.

From what discussed in *Section 2*, it's easy to understand that integer factorization is a difficult task; additionally, many of the algorithms that allows us to actually factorize integer make an extensive use of Euclidean algorithm.

This section will focus on presenting an enhanced Euclidean algorithm, the so called *extended Euclidean algorithm*, and some algorithms for integers factorization.

### - 3.1 - Extended Euclidean algorithm.

Recall that the classic Euclidean algorithm for some  $a, b \in \mathbb{Z}$ , at any step  $i$ , computes  $q_i, r_i$  as the integer solution to the equation

$$a = q_i b + r_i \quad 0 \leq r_i < b,$$

where  $a = r_{i-1}, i > 0$ ; that is, it defines to sequences  $r = \{r_i\}_{i \in I}$  and  $q = \{q_i\}_{i \in I}$ .

The extended Euclidean algorithm works in a similar manner; in addition to the sequences  $r = \{r_i\}_{i \in I}, q = \{q_i\}_{i \in I}$ , it defines the sequences  $s = \{s_i\}_{i \in I}$  and  $t = \{t_i\}_{i \in I}$  with the initial condition  $s_0 = t_0 = 1, s_1 = t_1 = 1$  and  $r_0 = \max(a, b), r_1 = \min(a, b)$ . Then, for  $i = 2, \dots, n$ , compute

$$\begin{cases} q_i = \left\lfloor \frac{r_{i-2}}{r_{i-1}} \right\rfloor, \\ r_i = r_{i-2}q_{i-1} + r_{i-1}, \\ s_i = s_{i-2}q_{i-1} + s_{i-1}, \\ t_i = t_{i-2}q_{i-1} + t_{i-1}. \end{cases}$$

As for the classic Euclidean algorithm, the computation stops when  $r_i = 0$  and  $r_{i-1} = \text{GCD}(a, b)$ .

### - 3.2 - Integers factorization.

The algorithm discussed in this section are called *Pollard's factorization algorithms*, which is a set of fuzzy algorithms for integer factorization; that is, these algorithm may not always work, but if they do, they factorization is very fast.

#### - 3.2.1 - Pollard's $p - 1$ factorization.

**Definition (B-smoothness)** Let  $B$  be a positive integer. We say that  $n \in \mathbb{Z}$  is B-smooth if all its prime factor are less or equal to  $B$ . That is, if

$$p_i | n \implies p_i \leq B.$$

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## Section 4 – Integers factorization

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Pollard's  $p - 1$  factorization is based on the following: fix a bound  $B$ . Define  $Q$  as the LCM of all primes powers less or equal  $B$  that are less or equal to  $n$ . Observe that if  $q^l \leq n$ , then  $l \log q \leq \log n$ , thus  $l = \lfloor \frac{\log n}{\log q} \rfloor$ . Therefore

$$Q = \prod_{q \leq B} q^{\frac{\log n}{\log q}}.$$

Note that  $q \leq p-1 < p \leq n$ , hence if  $p$  is a prime factor of  $n$  and  $p-1$  is  $B$ -smooth, then  $p-1 | Q$ ; consequently for any  $a$  such that  $\text{GCD}(a, p) = 1$ , by Fermat's little theorem we have  $a^Q \equiv 1 \pmod{p}$ . For this reason, if  $d = \text{GCD}(a^Q - 1, n)$ , then  $p | d$ .

Below we provide the pseudo-code to implement Pollard's  $p - 1$  factorization.

```

┌
1. Select a smoothness bound  $B$ .
2. Randomly pick  $a \in \{2, \dots, n-1\}$  and
   compute  $d = \text{GCD}(a, n)$ .
   If  $d \geq 2$  return it.
3. For each prime  $q \leq B$ :
   3.1 Compute  $l = \lfloor \frac{\log n}{\log q} \rfloor$ .
   3.2 Compute  $a = a^{q^l} \pmod{n}$ .
4. Compute  $d = \text{GCD}(a - 1, n)$ .
5. For  $d = 1, n$  error, else return  $d$ .
└

```

Figure 1: Pseudocode for Pollard's  $p - 1$  factorization.

### - 3.2.2 - Pollard's $\rho$ factorization.

Pollard's  $\rho$  algorithm, proceeds to define a sequence  $\{x_i\}_{i \in I}, x_i \in G = \mathbb{Z}_p$  and it stops when we find  $x_i, x_j$  such that  $x_i \equiv x_j \pmod{p}$ . The question then is: how do we define this sequence? We first begin by partitioning  $G$  into three sets  $S_0, S_1$  and  $S_2$  of roughly equal size. For instance, let

$$S_i = \{x \in G \mid x \equiv i \pmod{3}\}, i = 0, 1, 2.$$

Then, define the sequence as follow:

$$x_{i+1} = \begin{cases} \alpha x_i, & \text{if } x_i \in S_0 \\ x_i^2, & \text{if } x_i \in S_2 \\ \gamma x_i, & \text{if } x_i \in S_1 \end{cases}$$

for  $i \geq 0$ , with  $x_0 = 1$ . Observe that any  $x_i$  can be expressed as the product  $\alpha^{a_i} \gamma^{b_i}$ , for some  $a_i, b_i$ . Thus, it holds

$$(b_i - b_j) \cdot \log_\alpha \gamma \equiv (a_i - a_j) \pmod{n}.$$

Provided that  $b_i \neq b_j$  (the case  $b_i = b_j$  happens with a negligible probability), the discrete logarithm can be easily computed. It can be proved that Pollard's  $\rho$  algorithm takes  $\mathcal{O}(\sqrt{n})$  time.

## - 4 - Projective geometry and elliptic curves based algorithms.

Consider the following: can two parallel line meet? Any reader with little to no background in geometry will provide a negative answer to the question. Which, to be clear, is the most obvious answer.

This said, let us consider a real life example: take for instance the rails of a railway, though this are indeed parallel if we look further enough these merge at a point. More precisely, their projection meets at a point. This is the idea behind projective geometry.

Formally speaking we have the following: let  $\mathbb{F}$  be a field, we call projective plane of dimension  $n$  the set

$$\mathbb{P}_n(\mathbb{F}) = \left\{ P = \langle \vec{v} \rangle \mid \vec{0} \neq \vec{v} \in \mathbb{F}^{n+1} \right\},$$

where  $\vec{v} = [x_0, \dots, x_n]$  and  $\langle \vec{v} \rangle = \{ \lambda \vec{v} \mid \lambda \in \mathbb{F} \}$ .

Throughout this section we will consider  $\mathbb{P}_2$ . Observe that

$$\mathbb{P}_2 = \{ p = \langle \vec{v} \rangle \mid \vec{v} = [x_0, y_0, z_0], z_0 \neq 0 \} \cup \{ q = \langle \vec{v} \rangle \mid \vec{v} = [x_0, y_0, z_0], z_0 = 0 \}.$$

Given a point  $p \in \mathbb{P}_n$ , and in particular in  $\mathbb{P}_2$ , we say that  $p$  is a proper point (or affine point) if  $p = \langle [x_0, y_0, z_0] \rangle, z_0 \neq 0$ ; we say that  $p$  is an improper point (or point at infinity) if  $p = \langle [x_0, y_0, z_0] \rangle, z_0 = 0$ . Here by 0 we refer to the zero element of the field.

### - 4.1 - Elliptic curves.

**Definition (Hyper elliptic curves)** Let  $n > 0$  be a positive integer. We call hyper elliptic curve on a field  $\mathbb{F}$  the set of points in  $\mathbb{P}^2(\mathbb{F})$  satisfying the equation

$$y^2 = x^{2n+1} + ax + b.$$

In the case of  $n = 1$ , we talk about elliptic curves.

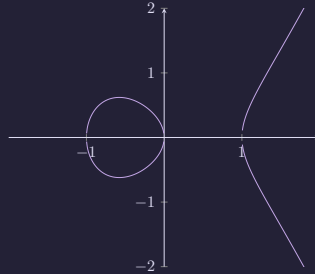
#### **Example**

Let us consider the curve  $y^2 = x^3 - x$ , and let  $\mathbb{F} = \mathbb{R}$ . Then, the curve we get is the following.

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We now show, given two point  $P_1$  and  $P_2$  on curve  $\mathcal{C}$ , how to compute the sum  $P_1 + P_2$ . Observe that given any two distinct point, we can consider the line passing through them; that is, given  $P_1 = (X_1, Y_1)$  and  $P_2 = (X_2, Y_2)$ , the line passing both has equation

$$Y - Y_1 = \frac{Y_2 - Y_1}{X_2 - X_1}(X - X_1). \quad (2)$$



Hence, combining the equation of the curve and the one above, we can easily compute the points in which these meet. We then consider the point that differ from the initial ones and consider the equation of the vertical line that passes through it. Once again, we combine the latter and the curve equation and get the point  $R = P_1 + P_2$ .

#### - 4.1.1 - ElGamal on elliptic curves.

ElGamal algorithm on elliptic curves, apart from some minor differences, works exactly the same as the one described in *Section 2.2*. In fact, a TTP chooses an elliptic curve  $\mathcal{C}$  over the field  $\text{GF}(p)^*$ ,  $p$  a prime, and selects  $\gamma$  such that  $\gamma \in \mathcal{C}$ . Let  $A$  and  $B$  be the two entities that want to communicate. As for the algorithm described previously,  $A$  and  $B$  computes  $\alpha = \gamma^a, \beta = \gamma^b$  for some private value  $a$  and  $b$ . Let us note that, in the case of elliptic curves, the group is additive; that is, we compute  $a\gamma$  ( $b\gamma$  respectively), i.e., the sum of  $\gamma$  with itself  $a$ -times ( $b$ -times respectively).

Since *Equation 2* suffices the sum of two distinct point, we can't use it. for this reason, in general *Equation 2* is replaced by the equation for the tangen line.

The remainder of the algorithm is the same as the one described in *Section 2.2*.

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## Acronyms.

**GCD** greatest common divisor. 1

**lcm** least common multiple. 1

**TTP** trusted third party. 4