# 

Yinying Huo

April 2025

# Contents

Preface					
1	Intr 1.1 1.2 1.3	System Overview	. 1		
2	Installation				
	2.1	Prerequisites	. 3		
	2.2	Installation Steps	. 3		
3	Data Requirements and Processing				
	3.1	Data Format	. 4		
	3.2	Data Processing	. 4		
4	Usage Instructions				
	4.1	Quick Start			
	4.2	Using the User Interface			
	4.3	Command-Line Arguments			
	4.4	Training the Model			
	4.5	Getting Recommendations for a Specific User	. 8		
5	Advanced Usage				
	5.1	Model Parameters			
	5.2	Customizing Neural Network Architecture			
	5.3	Optimizing FAISS Index	9		
6	Sys	System Components			
7	Troubleshooting 1				
	7.1	Common Issues			
	7.2	Debugging Tips	11		
Δ	Clo	Clossary 1			

### **Preface**

This user manual provides comprehensive instructions for installing, configuring, and using the Two-Tower Recommendation System. This system is designed to provide personalized book recommendations based on user profiles using a deep learning approach.

The Two-Tower Embedding (TTE) architecture maps users and items into a shared embedding space, enabling efficient and accurate recommendation generation.

This document is intended for both end-users who want to use the recommendation system and developers who may want to extend or modify its functionality.

### Introduction

#### 1.1 System Overview

The Two-Tower Recommendation System is a machine learning-based recommendation engine that suggests books to users based on their profile information and the characteristics of available books. The system uses a neural network architecture known as "Two-Tower Embedding" (TTE) to map both users and books into a shared embedding space. Recommendations are generated by finding books whose embeddings are closest to the user embedding in this space.

#### 1.2 Key Features

- Deep learning-based recommendation system using PyTorch
- Highly efficient ANN (Approximate Nearest Neighbor) search using FAISS
- Personalized book recommendations based on user age, location, and other features
- Extensible architecture allowing for easy updates and modifications
- Command-line interface for easy interaction
- Fast inference time even with large book catalogs

#### 1.3 Architecture

The system is based on a modular architecture that follows the principle of information hiding. It consists of three main layers:

- 1. Hardware-Hiding Module: Handles system interactions like file I/O
- 2. Behavior-Hiding Modules: Implement the core functionality
  - Data Processing Module
  - Model Training Module
  - Embedding Generation Module
  - Recommendation Module

- 3. Software Decision Modules: Implement algorithm-specific functionality
  - Neural Network Architecture Module
  - ANN Search Module
  - Vector Operations Module

### Installation

#### 2.1 Prerequisites

Before installing the Two-Tower Recommendation System, ensure your system meets the following requirements:

- Python 3.9 or higher
- PyTorch 1.9 or higher
- FAISS for vector similarity search
- Other dependencies as listed in requirements.txt

### 2.2 Installation Steps

1. Clone the repository:

```
git clone https://github.com/V-AS/Two-tower-recommender-system.git cd Two-tower-recommender-system
```

2. Create and activate a virtual environment:

```
python3 -m venv .venv
source .venv/bin/activate # On Windows: .venv\Scripts\activate
```

3. Install dependencies:

```
1 pip install -r requirements.txt
```

4. Install FAISS:

```
# For CPU-only systems:
pip install faiss-cpu

# For systems with NVIDIA GPU:
pip install faiss-gpu
```

### Data Requirements and Processing

#### 3.1 Data Format

The system expects data in a specific format to train the recommendation model. The required data consists of:

- User information: Including age, location
- Book information: Including title, author, publication year, publisher
- Ratings: Mapping users to books with numerical ratings

The system expects a CSV file with the following columns:

- User-ID
- Book-Rating
- Book-Title
- Book-Author
- Year-Of-Publication
- Publisher
- Age
- State
- Country

#### 3.2 Data Processing

The system includes a data processing module that performs several important transformations:

- 1. Data validation: Checks if all required columns are present
- 2. Feature engineering: Creates derived features such as:

- Age groups
- State and country frequency
- Author and publisher frequency
- 3. Missing value handling: Imputes missing values with sensible defaults
- 4. Data splitting: Divides data into training and testing sets

If you need to preprocess your own data, the repository includes a Jupyter notebook in src/utils/data\_preprocessing.ipynb that demonstrates how to merge separate user, book, and rating files into the required format.

### Usage Instructions

#### 4.1 Quick Start

For users who want to immediately start getting recommendations, the system includes pre-trained models in the output folder. You can start the user interface with:

```
1 python src/user_interface.py
```

This will launch an interactive terminal where you can enter your information (age, state, country) to get personalized book recommendations.

For debugging information, use:

```
1 \, | \, python <code>src/user_interface.py --debug</code>
```

#### 4.2 Using the User Interface

The user interface provides a simple way to interact with the recommendation system:

- 1. When launched, the system will display the top states/countries in the dataset
- 2. Enter your age when prompted
- 3. Enter your state/province (or press Enter for "Unknown")
- 4. Enter your country (or press Enter for "Unknown")
- 5. Wait for recommendations to be generated
- 6. View your personalized book recommendations
- 7. Choose whether to get more recommendations

```
Example Session
$ python src/user_interface.py
TOP 10 STATES/PROVINCES IN THE DATASET
_____
1. california: 8521 users (12.5%)
2. new york: 7234 users (10.6%)
3. texas: 4521 users (6.6%)
TOP 10 COUNTRIES IN THE DATASET
_____
1. usa: 52341 users (76.8%)
2. canada: 5234 users (7.7%)
3. united kingdom: 4523 users (6.6%)
===============
BOOK RECOMMENDATION SYSTEM
Please enter your information to get personalized book recommendations:
Your age (0-100): 32
```

### 4.3 Command-Line Arguments

The user interface supports the following command-line arguments:

- --age: Your age (e.g., --age 32)
- --state: Your state or province (e.g., --state california)
- --country: Your country (e.g., --country usa)
- --debug: Enable debug mode for additional information

If you provide the age, state, and country arguments, the system will generate recommendations without prompting for additional input.

#### 4.4 Training the Model

If you want to train the model from scratch or on your own data, you can use the main.py script:

```
python src/main.py --mode train --epochs 3 --batch_size 10 --
embedding_dim 32
```

The training process takes approximately 5 minutes with the recommended parameters, which have been optimized for the default dataset.

The training process involves:

- 1. Loading and preprocessing the data
- 2. Creating neural network architectures for the user and item towers
- 3. Training the model to predict ratings
- 4. Evaluating the model on a test set
- 5. Generating and saving embeddings
- 6. Building and saving an ANN index

#### 4.5 Getting Recommendations for a Specific User

You can generate recommendations for a specific user ID using:

```
python src/main.py --mode recommend --user_id 12345 -- num_recommendations 10
```

This will look up the user in the dataset, generate their embedding, and return personalized recommendations.

### Advanced Usage

#### 5.1 Model Parameters

The system offers several configurable parameters for model training:

Parameter	Description	Default Value
epochs	Number of training epochs	5
batch_size	Training batch size	10
embedding_dim	Dimension of embedding	32
	vectors	
data_path	Path to training data	data/processed/recommender_data.csv
output_dir	Directory to save models	output
num_recommendatio	nsNumber of recommenda-	10
	tions to generate	

#### 5.2 Customizing Neural Network Architecture

The neural network architecture can be customized by modifying the src/modules/neural\_network.py file. The default implementation uses a simple tower with one hidden layer, but you can add more layers or change activation functions as needed.

#### 5.3 Optimizing FAISS Index

The FAISS index type can be modified in src/modules/ann\_search.py. The default implementation uses a flat index, which provides exact search results but may be slower with very large datasets. For larger datasets, consider using IVF indices which trade some accuracy for significant speed improvements.

# System Components

The system design is described in detail in two documents: the Module Guide and the Module Interface Specification.

### Troubleshooting

#### 7.1 Common Issues

Issue: ImportError: No module named 'faiss'

Solution: Make sure you have installed FAISS correctly for your system.

```
# For CPU-only systems:
pip install faiss-cpu

# For systems with NVIDIA GPU:
pip install faiss-gpu
```

Issue: CUDA out of memory

**Solution:** Try reducing the batch size or embedding dimension.

```
1 python src/main.py --mode train --batch_size 4 --embedding_dim 16
```

Issue: File not found: data/processed/recommender\_data.csv

**Solution:** Make sure you're running the script from the project root directory, or specify the full path to the data file.

```
python src/main.py --data_path /full/path/to/data/processed/
recommender_data.csv
```

### 7.2 Debugging Tips

1. Enable debug mode when running the user interface:

```
1 python src/user_interface.py --debug
```

- 2. Check the training history in output/training\_history.json to see if the model is learning properly.
- 3. Run a specific test file to check if a particular component is working as expected:

```
1 pytest tests/unit/test_embedding_generation.py
```

### Appendix A

## Glossary

- ANN (Approximate Nearest Neighbor) A technique for finding similar vectors in high-dimensional space, which trades some accuracy for improved speed and memory usage.
- **Embedding** A low-dimensional, dense vector representation of a high-dimensional, sparse feature. In this system, both users and items are represented as embeddings in a shared vector space.
- **FAISS** Facebook AI Similarity Search, a library for efficient similarity search and clustering of dense vectors.
- Two-Tower Architecture A neural network architecture with two parallel networks (towers) that process different types of inputs (users and items) and map them to a shared embedding space.
- Cosine Similarity A measure of similarity between two vectors based on the cosine of the angle between them. Used to rank items for recommendation.
- **Feature Engineering** The process of creating new features from raw data to improve model performance.
- **Dot Product** A vector operation that calculates the sum of the products of corresponding elements. Used to calculate similarity between embeddings.
- **Normalization** The process of scaling values to a standard range, typically [0, 1].
- **Incremental Learning** Updating a model with new data without retraining from scratch.