## 1 Agents and Abstraction

Definition 1.1 (Planning Horizon):

- Static: The world does not change over time.
- Finite Horizon: The agent reasons about a fixed finite number of time steps. (Agent know when it will end)
- Indefinite Horizon: The agent reasons about a finite but not predetermined number of time steps, such as until goal completion. (Agent know it will end, but not sure when)
- Infinite Horizon: The agent plans as if it will continue operating forever. (agent know it will never end)

Definition 1.2 (Representation):

- Explicit States: A state represents one possible configuration of the world.
- Features: Natural descriptors of states. (binary features can represent exponentially many states)
- Individuals and Relations: Use feature for reasoning about individuals and their relationships without necessarily knowing all individuals or when there are infinitely many individuals.

Definition 1.3 (Computational Limits):

- **Perfect Rationality:** The agent always selects the optimal action, which is often not possible in practice.
- Bounded Rationality: The agent selects a possibly sub-optimal action given its limited computational resources.

Definition 1.4 (Uncertainty):

- fully observable: agent knows the state of the world from the observation
- partially observable: there can be many state that are possible given an observation.

Definition 1.5 (Uncertain Dynamics):

- **Deterministic:** The outcome of an action is always the same.
- Stochastic: There is uncertainty over the states resulting from executing a given action.

Definition 1.6 (Goals or Complex Preferences):

- Achievement Goals: Goals that an agent aims to achieve, which can be represented as complex logical formulas.
- Maintenance Goals: Goals that an agent seeks to maintain over time.
- Complex Preferences: Involves trade-offs between various desiderata, potentially at different times, and may be either ordinal or cardinal (e.g. medical).

Definition 1.7 (Reasoning by Number of Agents):

- Single Agent Reasoning: The agent assumes any other agents are part of the environment, focusing on individual goal achievement.
- Adversarial Reasoning: The agent considers another agent acting in opposition to its goals, common in competitive settings.
- Multi-agent Reasoning: The agent strategically reasons about the actions and goals of other agents, which may be cooperative, competitive, or independent.

Algorithm	Frontier	Runtime	Space	halts?
uninformed (no heuristic)				
Depth-First Search	LIFO	$\operatorname{Exp} / O(b^m)$	Linear / $O(bm)$	No
Breadth-First Search	FIFO	$\operatorname{Exp} / O(b^d)$	$\operatorname{Exp} / O(b^d)$	Yes
Lowest-Cost-First Search	Lowest cost	Exp	Exp	Yes
Dijkstra's Algorithm*	Lowest cost	$O((V+E)\log V)$	$O(V^2)$	
Iterative-Deepening Search*	LIFO in FIFO <sup>1</sup>	$\operatorname{Exp} / O(b^d)$	Linear / $O(bd)$	Yes $^2$
informed (has heuristic)				
(Greedy) Best-First Search	Global min heuristic	Exp	Exp	No
Heuristic Depth-First Search	Local min heuristic (LIFO)	$\operatorname{Exp} / O(b^m)$	Linear $/ O(bm)$	No
A* Search	Lowest (cost + heuristic)	Exp	Exp	Yes

b is the branching factor

m is the maximum depth of the search tree d is the depth of the shallowest goal node.

<sup>&</sup>lt;sup>2</sup> Guaranteed to terminate at depth d

Algorithm	Completeness	Optimality
uninformed (no heuristic)		
Depth-First Search	No (fails for infinite cycle)	No (not considering all possibilities)
Breadth-First Search	Yes	Yes (if cost is uniform)
Lowest-Cost-First Search	Yes	Yes
Dijkstra's Algorithm*	Yes	Yes
Iterative-Deepening Search*	Yes (Same as BFS)	No (but guaranteed shallowest goal)
informed (has heuristic)		
(Greedy) Best-First Search	No (fails for infinite cycle)	No (from not considering cost of arc)
Heuristic Depth-First Search	No (fails for infinite cycle)	No (not considering all possibilities)
A* Search	$ m Yes^1$	$Yes^1$

Assuming heuristic is **admissible**, branch factor is **finite**, and arc cost are bounded **above zero**If h satisfies the **monotone restriction**, A\* with **multiple path pruning always finds the shortest path** to a goal.

## 2 Graph Search Algorithm

Definition 2.1 (Admissible) An Admissible heuristic never overestimate the cost from any node to the goal. An Admissible search algorithm returns an optimal solution if it exists.

Definition 2.2 (Monotone / Consistent) A heuristic function h satisfies the **monotone restriction** if  $h(m) - h(n) \le \mathbf{cost}(m, n)$  for every  $\mathbf{arc} < m, n >$ . (The heuristic of a path is always less than or equal to the true  $\mathbf{cost}$ )

Monotonicity is like admissibility but between any two nodes.

So, a consistent heuristic is admissible, but a admissible heuristic is not necessarily consistent.

 $<sup>^{\</sup>rm 1}$  a BFS but for every depth limit do a DFS

# 3 Adversarial Search(Minimax)

```
function minimax (node, depth, maximizingPlayer) is
   if depth = 0 or node is a terminal node then
      return the heuristic value of node
if maximizingPlayer then
   value := -inf
   for each child of node do
      value := max(value, minimax(child, depth - 1, FALSE))
   return value
else (* minimizing player *)
   value := inf
   for each child of node do
      value := min(value, minimax(child, depth - 1, TRUE))
   return value
```

## • Suitable Type of Problem:

- Competitive two-person, zero-sum games.
- Two players take turns to move and the one winner one loser.

#### • Idea:

- Find **best option** for you on nodes you control (MAX)
- Assumes opponent will take worst option for you on their node (MIN)
- Recursively search leaf nodes and percolate optimal value upward

## • Pruning Methods:

## - Alpha-beta Pruning:

- \* Ignore portions of the search tree without losing optimality
- \* doesn not change worst-case performance (Exp)

## - Heuristic Pruning (Early Stopping):

- \* Heuristics are used to evaluate the potential of non-terminal states.
- \* This method saves computational resources but may not always yield the optimal solution.

# 4 Higher level strategies

Search	Search Complexity	Difficulty	Reason to Win
Symmetric	$b^n$	Not able to construct backward on dynamically constructed graph	Choose between forward / backward search based on branching factor
Bidirectional	$2b^{\frac{k}{2}} << b^k$	Make sure frontiers meet	Searches forward and backward simultaneously, leading to exponential savings in time and space.
Island-Driven	$mbk^{\frac{k}{m}} << b^k$	identify islands hard to guarantee optimality	Decomposes the problem into $m$ smaller subproblems, each of which is easier to solve.

# 5 Constraint Satisfaction Problems (CSPs)

#### • Definition:

- Set of variables, domain for each variable, set of constraints or evaluation function.
- Solution is an assignment to the variables that satisfies all constraints.
- Solution is a model of the constraints.

## • Problem Types:

- Satisfiability Problems: Find assignment satisfies the given hard constraints.
- Optimization Problems: Find assignment optimizes the evaluation function(soft constraints).

### • Search Representation:

Assignment Type	Description		
Complete Assignment	Node is assignment of value to all variables.  Neighbours are created by changing one variable value.		
Partial Assignment	Nodes is assignment to the first $k-1$ variables. Neighbours are formed by assigning a value to the $k^{th}$ variable.		

## • Dual Representations of Crossword Puzzle:

Type	Nodes	Domains	Constraints
Primal	word positions	letters	intersecting letters are same
Dual	squares	letters	words must fit

## • Example of CSP Setup:

Problem	Variables	Domains	Constraints
Crosswords	letters	a-z	words in dictionary
Crosswords	words	dictionary	letters match
Scheduling	times events resources	times,dates types values	before, after same resource
Party Planning	guests	values	cliques
Ride Sharing	people/trips	locations	cars

#### • Constraints & Solution

- Constraints: Can be N-ary or Binary.

### - Solutions:

#### Generate and Test

Exhaustively check all combinations against constraints.

### Backtracking

Prune large portions of the state space by ordering variables and evaluating constraints.

Efficiency depends on order of variables.

Find optimal ordering is as hard as solving the problem.

Cut off large branches as soon as possible, push failures as high as possible.

#### Consistency Techniques

Look for inconsistencies to simplify the problem / Graphical representation

## • Constraint Network (CN)

- Domain constraint:

unary constraint of values x on values in a domain,  $\langle X, c(X) \rangle$ 

- Domain consistent:

A node is **Domain consistent** if no domain value violates any domain constraints.

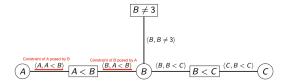
A CN is **Domain consistent** if all nodes are **Domain consistent**.

- Arc < X, c(X, Y) > is:

A **constraint** on X posed by Y.

**Arc consistent** if for all  $X \in D_X$ , there exist some  $Y \in D_Y$  such that c(X,Y) is satisfied.

- CN is Arc consistent if all arcs are arc consistent.
- set of variables  $\{X_1, X_2, \dots, X_N\}$  is path consistent if all arcs and domains are consistent



- AC-3 (CN) algorithm (Alan Mackworth, 1977)
  - Purpose: Makes a Constraint Network (CN) arc consistent and domain consistent.
  - Procedure:
    - \* Initialize the To-Do Arcs Queue (TDA) with all inconsistent arcs.
    - \* Make all domains domain consistent.
    - \* Put all arcs in TDA.
    - \* Repeat until TDA is empty:
      - · Select and remove an arc  $\langle X, c(X, Y) \rangle$  from TDA.
      - · Remove all values from the domain of X that: do not have a corresponding value in the domain of Y satisfying the constraint c(X, Y).
      - · If any values were removed, for all  $Z \neq Y$ , add back arcs  $\langle Z, c'(Z, X) \rangle$  into TDA. (Add back all constraints posed to other variable by X. As the X value enforced by the constraints / arc we removed is used by some other constraints posted by X to other variables)

#### - Termination:

- \* AC-3 always terminates under one of three conditions:
  - · Every domain is empty: no solution.
  - · Every domain has a single value: a solution.
  - Some domains have 1+ value: not sure if a solution exists. (further splitting and recursive call needed)

### - Properties:

- \* Termination is guaranteed.
- \* Time complexity is  $O(cd^3)$ .<sup>1</sup>
- \* Consistency of each arc can be checked in  $O(d^2)$  time.
- Different elimination ordering can result in different size of intermediate constraints.

<sup>&</sup>lt;sup>1</sup>where n is the number of variables, c is the number of binary constraints, and d is the maximum size of any domain

#### - Variable Elimination

#### \* Concept:

- · Variables are eliminated one by one, transferring their constraints to neighbours.
- · A single remaining variable with no values indicates an **inconsistent** network.

### \* Algorithm:

- · If only one variable remains, return the intersection of the unary constraints involving it.
- · Select a variable X.

Join the constraints where X appears to form a new constraint R.

Project R onto other variables to form  $R_2$ .

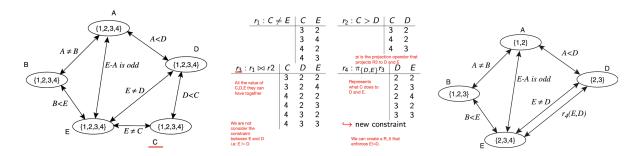
Place new constraint  $R_2$  between all variables previously connected to X.

Remove X from the problem.

Recursively solve the simplified problem.

Return R joined with the solution from the recursive call.

\* Finding the optimal elimination ordering is as complex as the CSP itself.



#### • Local Search: (Back to CSP as Search)

- Maintain a variable assignment, select neighbors to improve heuristic value, and stop when a satisfying assignment is found, or return the best assignment found.
- Aim is to find an assignment with zero unsatisfied constraints (Conflict)
- Goal is an assignment with **zero conflicts** (heuristic: # of conflicts)

## • Greedy Descent Variants:

- At every step:

(Select the variable-value pair that **minimize** # of conflicts)

(Select a variable involved in the most # of conflicts, then a value minimize # of conflicts)

(Select a variable involved in **any** conflicts, then a value **minimize** # of conflicts)

(Select a variable at random, then a value minimize # of conflicts)

(Select a variable and value at random, accept if doesn't increase<sup>2</sup> # of conflicts)

 $<sup>^2 \</sup>mathrm{Sometime}$  accept even increase # of conflicts to escape local minimum

## • GSAT (Greedy SATisfiability):

- Start with a random assignment of values to all variables n heuristic h(n) = # of unsatisfied constraints
- repeat until heuristic becomes 0 (Solved):

Evaluate neighbours of n (not n, cannot change same variable twice in a row); Let n be the neighbour n' that minimizes the heuristic, even if h(n') > h(n).



stuck at local minimum, cannot pass a **plateau** where h(n) are uninformative. **Ridge** is a local minimum where **n-step look-ahead** might help.

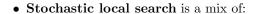
- Randomized GSAT: allow move to a random neighbour, reassign all variable randomly.



Maintain a tabu list of k last assignments to prevent cycling.

Reject assignments exist on tabu lists.<sup>3</sup>

More efficient than a list of complete assignments, but expensive if k is large.



- **Greedy descent**: Move to a lowest neighbour (GSAT)
- Random walk: taking some random steps
- Random restart: reassigning all values randomly



Plateau

Local Minimum

Ridge

#### • Simulated Annealing (Variant of Stochastic local research)

- Pick a random value for a random variable (neighbour):

Adopt if its an improvement.

If it's not an improvement, adopt it probabilistically based on temperature T. (High  $\to$  Low) (move from current assignment n to new assignment n' with probability  $e^{-\frac{h(n')-h(n)}{T}}$ )<sup>4</sup> Terminate when criteria is met.

#### • Parallel Search:

- Maintains a population of k individuals (total assignments).
- Updates each individual in the population at every stage.
- Reports when any individual is a solution.
- Operates like k restarts but with k times the minimum steps.

#### • Beam Search:

- Similar to parallel search with k individuals, but **choosing the** k **best from all neighbours**. (All if there are less than k)
- Reduces to greedy descent when k = 1.
- The value of k limits space and facilitates parallelism.

<sup>&</sup>lt;sup>3</sup>e.g: k = 1 means reject assignment of the same value to the variable chosen.

<sup>&</sup>lt;sup>4</sup>difference in heuristic value divided by temperature

#### • Stochastic Beam Search:

- A probabilistic variant of beam search, choosing k individuals for the next generation. (probability of a neighbour n is chosen is proportional to  $e^{-\frac{h(n)}{T}}$ )
- Maintains diversity among individuals and reflects their fitness (heuristic).
- Operates like asexual reproduction, with mutation allowing fittest individuals to prevail.

### • Genetic Algorithms:

- Like stochastic beam search but combines pairs of individuals to create offspring.
- Fittest individuals are more likely to be chosen for reproduction.
- Crossover and mutation (change some value) to form new solutions.
- Continues until a solution is found.

#### • Crossover:

- Given two individuals, each offspring's attributes are randomly chosen from one of the parents.
- The effectiveness depends on the ordering of variables, many variations are possible.

## • Comparing Stochastic Algorithms:

- Considers how to compare algorithms with different success rates and runtimes.
- Acknowledges that summary statistics like mean, median, or mode runtimes may not be informative.

#### • Runtime Distribution:

- Plots runtime or steps against the proportion of runs solved within that time.
- Helps in understanding the performance distribution of stochastic algorithms.

# 6 Inference and Planning

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