

XPS & NEXAFS data analysis suite: **Ctrl+Q**

- efficient and effective analysis ever experienced -

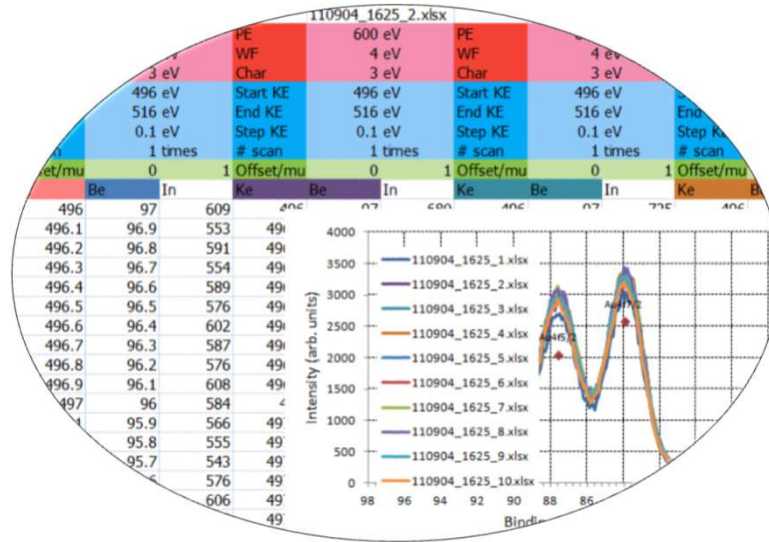
Hideki NAKAJIMA

github: heitler/xps-excel-macro

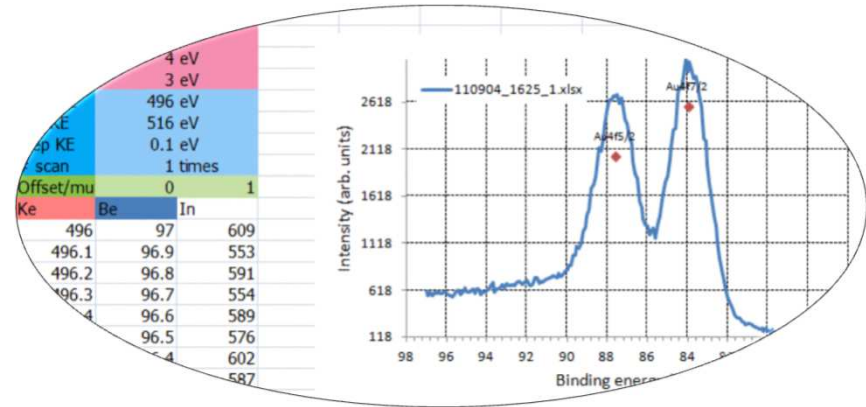
10 May 2019

Brief

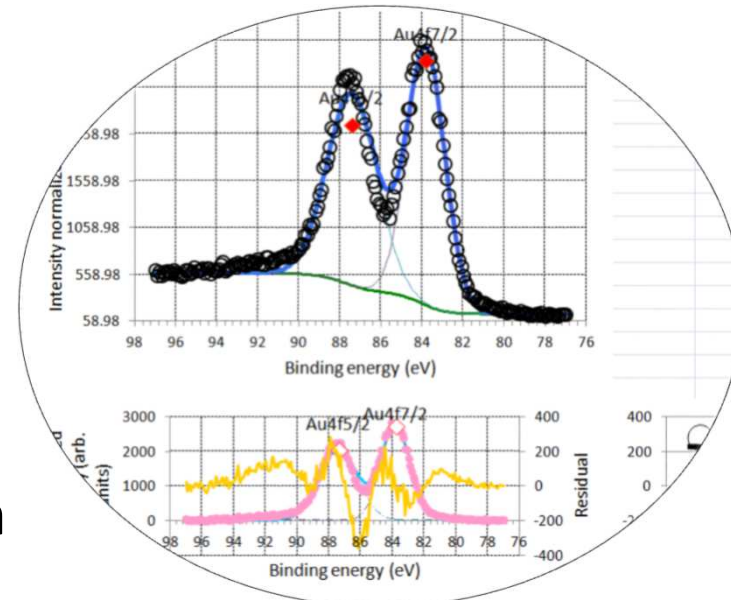
Plot with binding energy
Identify elements and states



Curve fitting and BG subtraction

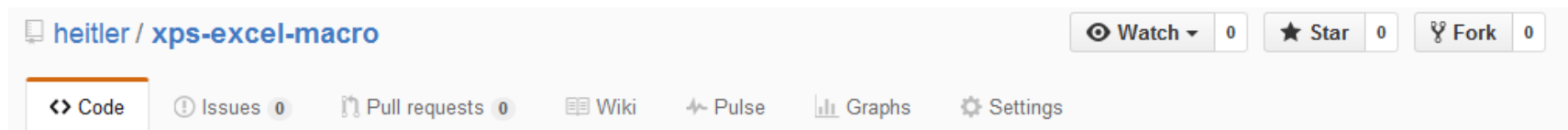


Energy and intensity calibrations



Preface

- **Ctrl+Q** is the first comprehensive data-analysis code for **SR-XPS** and **XAS** working on Windows Office **Excel 2007 or later**. Mac **Excel 2016** works great now.
- Ctrl+Q will analyze the data by just pressing the shortcut key: **Ctrl + q**.
- It works great in publication quality.
 - Carbon, JPAP, ASS, ACS AMI, SAB, RCS Adv. etc. from the data obtained at BL3.2Ua and 5.3 in SPL
- **GitHub: xps-excel-macro** for updated info.

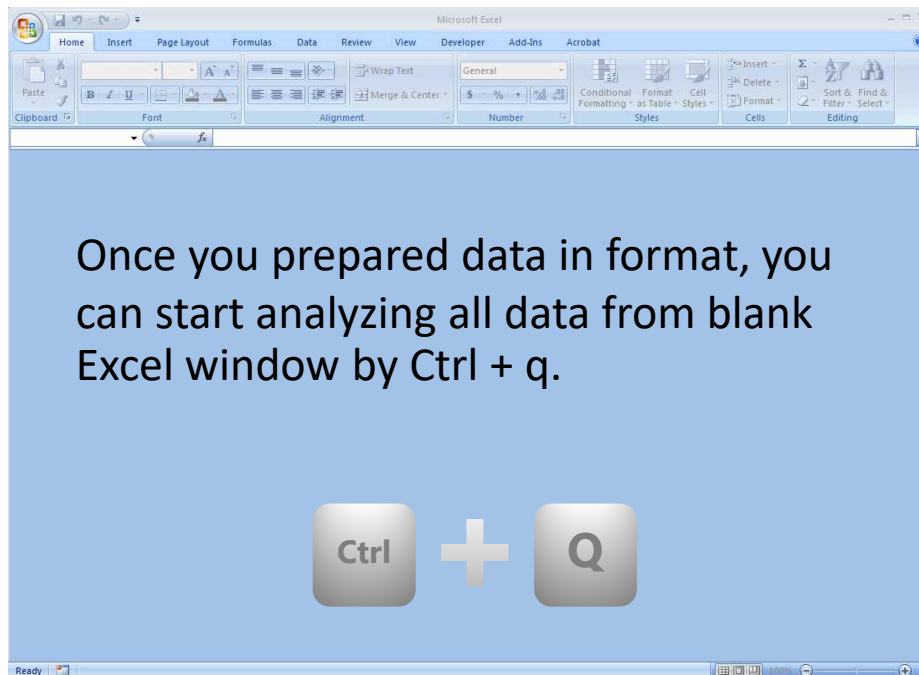


The VBA codes to be pasted in the personal macro workbook (Personal.xlsb) in Windows Office Excel 2007 - 2016 Visual Basic Editor (VBE) work with Solver for spectral analysis and curve fitting of the synchrotron-based soft x-ray photoemission (XPS) and absorption (XAS) spectra.
— Edit

Data preparation

	A	B
1	KE/eV	Scan#1
2	496	19.69872
3	496.1	17.95129
4	496.2	19.18263
5	496.3	18.03784
6	496.4	19.03867
7	496.5	18.80417
8	496.6	18.22572

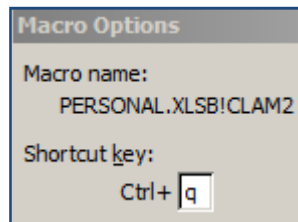
- Two-column data in the spreadsheet format are analyzed in the code.
- Worksheet is named after workbook filename.
- Syntax in A1 cell corresponds to the following.



Syntax in A1 cell	Data in column A	Data in column B
KE/eV	KE	XPS
BE/eV	BE	XPS
PE/eV	PE	XAS
GE/eV	PE	G scan
AE/eV	EE	Auger
QE/eV	mass	Q-mass
ME/eV	Position	Any

Installation of the code in Excel

- Generate **Personal Workbook Macro**
- Install **Solver** Add-in and setup its DLL
- Setup the **Shortcut key** in Macro Option



- Compile your own **database** for BE and sensitivities on UD.xlsx

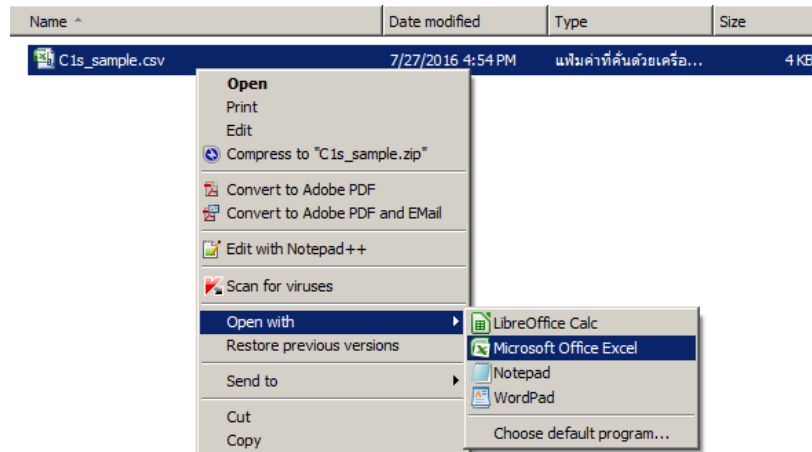
XPS worksheet

	A	B	C	D
1	Element	Orbit	BE(eV)	ASF
2	C	1s	284.6	1
3	O	1s	532	2.93

AES worksheet

	A	B	C	D
1	Element	Auger	KE(eV)	RSF
2	C	KLL	266	0.6
3	O	KLL	506	0.96

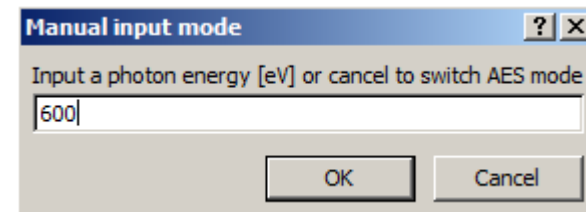
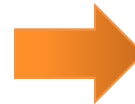
C1s sample csv or txt



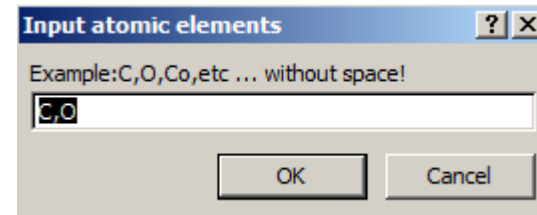
	A	B
1	KE/eV	PE: 600 eV
2	290	1709
3	290.1	1798
4	290.2	1845
5	290.3	1722
6	290.4	1729
7	290.5	1699
8	290.6	1727
9	290.7	1693
10	290.8	1647
11	290.9	1684
12	291	1650



600 eV of photon energy



XPS: Carbon 1s spectrum



Sample file with multiple scans

Ctrl + Q

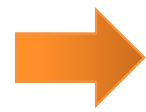
- Download Au4f_sample.csv including 100 spectra.
- Open it with Excel as shown above.
- Export 100 text files by shortcut keys: Ctrl+Q.

Sample file includes single data

Name	Date modified	Type	Size
110904_1625_1.txt	7/27/2016 5:12 PM	TXT File	3 KB
110904_1625_2.txt		TXT File	3 KB
110904_1625_3.txt		TXT File	3 KB
110904_1625_4.txt		TXT File	3 KB
110904_1625_5.txt		TXT File	3 KB
110904_1625_6.txt		TXT File	3 KB
110904_1625_7.txt		TXT File	3 KB
110904_1625_8.txt		TXT File	3 KB
110904_1625_9.txt		TXT File	3 KB
110904_1625_10.txt		TXT File	3 KB
110904_1625_11.txt		TXT File	3 KB
110904_1625_12.txt		TXT File	3 KB
110904_1625_13.txt		TXT File	3 KB
110904_1625_14.txt		TXT File	3 KB
110904_1625_15.txt		TXT File	3 KB
110904_1625_16.txt		TXT File	3 KB
110904_1625_17.txt		TXT File	3 KB
110904_1625_18.txt		TXT File	3 KB

Open
Edit
Compress to "110904_1625_1.zip"
Convert to Adobe PDF
Combine supported files in Acrobat...
Edit with Notepad++
Scan for viruses
Open with
Restore previous versions
Send to
Cut
Copy
Create shortcut
Delete
Rename
Properties

Adobe Illustrator CS6
LibreOffice Calc
LibreOffice Writer
Mery
Microsoft Office Excel
Notepad
Notepad++ : a free (GNU) source co
WordPad
Choose default program...



	A	B
1	KE/eV	110904_16
2	496	609
3	496.1	553
4	496.2	591
5	496.3	554
6	496.4	589
7	496.5	576
8	496.6	602
9	496.7	587
10	496.8	576
11	496.9	608
12	497	594

Single data to plot in Graph sheet

	A	B
1	KE/eV	110904_16
2	496	609
3	496.1	553
4	496.2	591
5	496.3	554
6	496.4	589
7	496.5	576
8	496.6	602
9	496.7	587
10	496.8	576
11	496.9	608

KE/eV represents a kinetic energy scale.



600 eV of photon energy



Manual input mode ? X

Input a photon energy [eV] or cancel to switch AES mode

OK Cancel

Sample: Gold metal foil



Input atomic elements ? X

Example: C,O,Co,etc ... without space!

OK Cancel

Note that Au4f sensitivity is not in database, so you have to input factors in database in a way below.

Au 4f BE and ASF
in XPS worksheet on UD.xlsx



	A	B	C	D
1	Element	Orbit	BE(eV)	ASF
2	C	1s	284.6	1
3	O	1s	532	2.93
4	Au	4f5/2	87.6	7.54
5	Au	4f7/2	84	9.58

Graph sheet

Adjustable factors

X axis

- **PE**: photon energy (eV)
- **WF**: work function (4)
- **Char**: Charging shift (0)

Y axis

- **Offset:** constant base line (0: default)
- **Multiple:** multiple normalized factor (1)

Change **Char** to 3, and update by



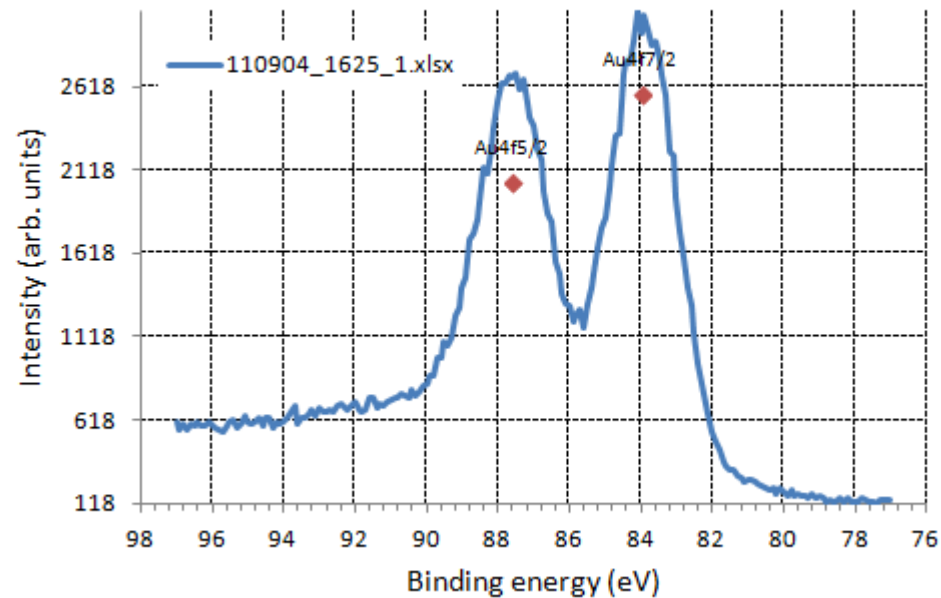
	A	B	C	D	E	F	G	H	I	J
1	Grating		0 lines/mm							
2	PE		600 eV							
3	WF		4 eV							
4	Char		0 eV							
5	Start KE		496 eV							
6	End KE		516 eV							
7	Step KE		0.1 eV							
8	# scan		1 times							
9	Offset/mu		0	1						
10	Ke	Be	In							
11		496	100	609						
12		496.1	99.9	553						
13		496.2	99.8	591						
14		496.3	99.7	554						
15		496.4	99.6	589						
16		496.5	99.5	576						
17		496.6	99.4	602						
18		496.7	99.3	587						
19		496.8	99.2	576						
20		496.9	99.1	608						
		497	99	584						
		497.1	98.9	566						

Offset/multiple data in bottom

Reference spectrum

Grating	0 lines/mm	
PE	600 eV	
WF	4 eV	
Char	3 eV	
Start KE	496 eV	
End KE	516 eV	
Step KE	0.1 eV	
# scan	1 times	
Offset/mu	0	1
Ke	Be	In
496	97	609
496.1	96.9	553
496.2	96.8	591
496.3	96.7	554
496.4	96.6	589
496.5	96.5	576
496.6	96.4	602
496.7	96.3	587
496.8	96.2	576

Standard peak BE should be well-known and assumed to be identical with exp.

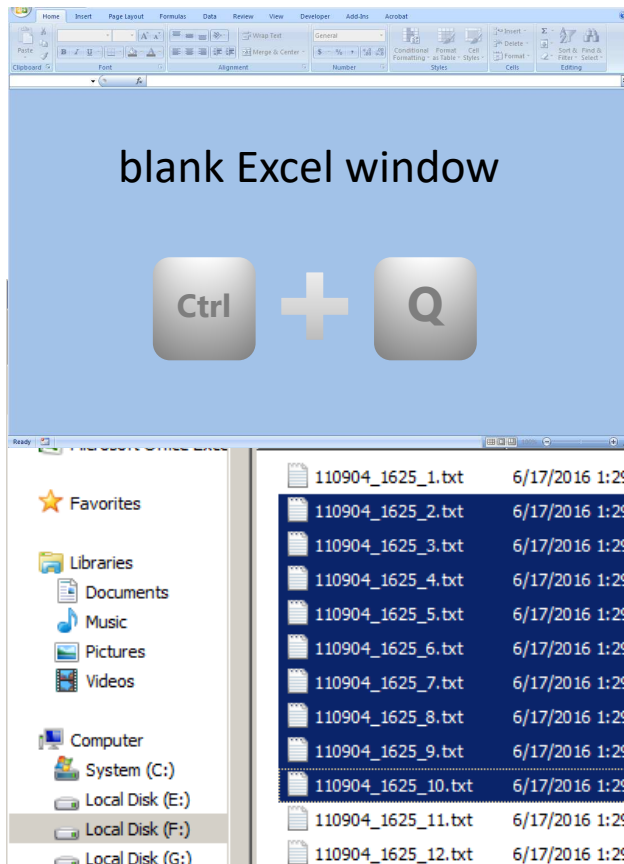


Next, apply these factors to another by “debug” in A1 cell then



	A	B	C
1	debug	0 lines/mm	
2	PE	600 eV	
3	WF	4 eV	
4	Char	3 eV	
5	Start KE	496 eV	
6	End KE	516 eV	
7	Step KE	0.1 eV	
8	# scan	1 times	
9	Offset/mu	0	1
10	Ke	Be	In

Multiple-file selection



Name	Date	Type	Size
CLAM2	sample		
Type	Size		

Name	Date	Type	Size
110904_1625_1.txt	6/17/2016 1:29 PM	TXT File	3 KB
110904_1625_1.xlsx	6/17/2016 1:55 PM	แผ่นงาน Microsoft O...	53 KB
110904_1625_2.txt	6/17/2016 1:29 PM	TXT File	3 KB
110904_1625_2.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	52 KB
110904_1625_3.txt	6/17/2016 1:29 PM	TXT File	3 KB
110904_1625_3.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	12 KB
110904_1625_4.txt	6/17/2016 1:29 PM	TXT File	3 KB
110904_1625_4.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	53 KB
110904_1625_5.txt	6/17/2016 1:29 PM	TXT File	3 KB
110904_1625_5.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	53 KB
110904_1625_6.txt	6/17/2016 1:29 PM	TXT File	3 KB
110904_1625_6.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	52 KB
110904_1625_7.txt	6/17/2016 1:29 PM	TXT File	3 KB
110904_1625_7.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	52 KB
110904_1625_8.txt	6/17/2016 1:29 PM	TXT File	3 KB

Next, compare the spectra by “comp” in D1

	A	B	C	D
1	Grating	0 lines/mm	comp	
2	PE	600 eV		
3	WF	4 eV		
4	Char	3 eV		
5	Start KE	496 eV		
6	End KE	516 eV		
7	Step KE	0.1 eV		
8	# scan	1 times		
9	Offset/mu	0	1	
10	Ke	Be	In	
11	496	97	609	
12	496.1	96.9	553	
13	496.2	96.8	591	
14	496.3	96.7	554	
15	496.4	96.6	589	
16	496.5	96.5	576	
17	496.6	96.4	602	
18	496.7	96.3	587	



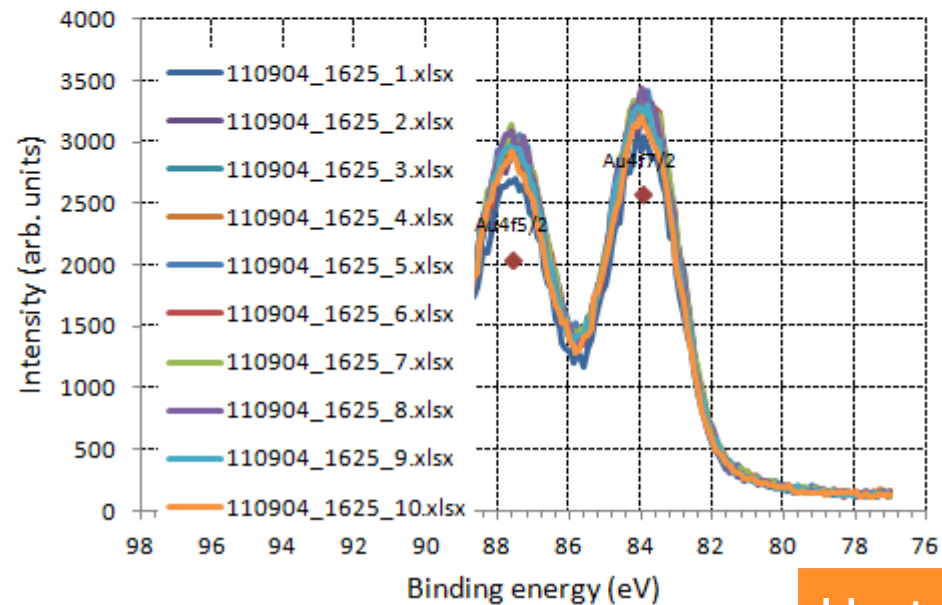
Name	Date modified	Type	Size
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110904_1625_2.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	53 KB
110904_1625_3.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	53 KB
110904_1625_4.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	53 KB
110904_1625_5.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	53 KB
110904_1625_6.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	52 KB
110904_1625_7.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	52 KB
110904_1625_8.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	52 KB
110904_1625_9.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	52 KB
110904_1625_10.xlsx	6/17/2016 2:02 PM	แผ่นงาน Microsoft O...	53 KB
sample.xlsx	6/17/2016 1:55 PM	แผ่นงาน Microsoft O...	181 KB

“comp” in D4 cell

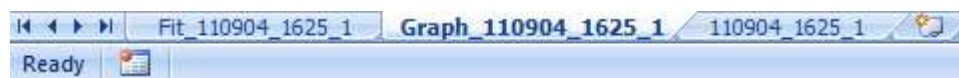


Compared spectra

Grating	0 lines/mm	comp	110904_1625_2.xlsx		110904_1625_3.xlsx		110904_1625_4.xlsx
PE	600 eV	PE	600 eV	PE	600 eV	PE	600 eV
WF	4 eV	WF	4 eV	WF	4 eV	WF	4 eV
Char	3 eV	Char	3 eV	Char	3 eV	Char	3 eV
Start KE	496 eV	Start KE	496 eV	Start KE	496 eV	Start KE	496 eV
End KE	516 eV	End KE	516 eV	End KE	516 eV	End KE	516 eV
Step KE	0.1 eV	Step KE	0.1 eV	Step KE	0.1 eV	Step KE	0.1 eV
# scan	1 times	# scan	1 times	# scan	1 times	# scan	1 times
Offset/mu	0	Offset/mu	0	Offset/mu	0	Offset/mu	0
Ke	Be	In	Ke	Be	In	Ke	Be
496	97	609	496	97	609	496	97
496.1	96.9	553	496.1	96.9	553	496.1	96.9
496.2	96.8	591	496.2	96.8	591	496.2	96.8
496.3	96.7	554	496.3	96.7	554	496.3	96.7
496.4	96.6	589	496.4	96.6	589	496.4	96.6
496.5	96.5	576	496.5	96.5	576	496.5	96.5
496.6	96.4	602	496.6	96.4	602	496.6	96.4
496.7	96.3	587	496.7	96.3	587	496.7	96.3
496.8	96.2	576	496.8	96.2	576	496.8	96.2
496.9	96.1	608	496.9	96.1	608	496.9	96.1
497	96	584	497	96	584	497	96
497.1	95.9	566	497.1	95.9	566	497.1	95.9
497.2	95.8	555	497.2	95.8	555	497.2	95.8
497.3	95.7	543	497.3	95.7	543	497.3	95.7
497.4	95.6	576	497.4	95.6	576	497.4	95.6
497.5	95.5	606	497.5	95.5	606	497.5	95.5
497.6	95.4	614	497.6	95.4	614	497.6	95.4
497.7	95.3	575	497.7	95.3	575	497.7	95.3



Up to 100 spectra
for BE&KE plots



Analysis on spectra compared

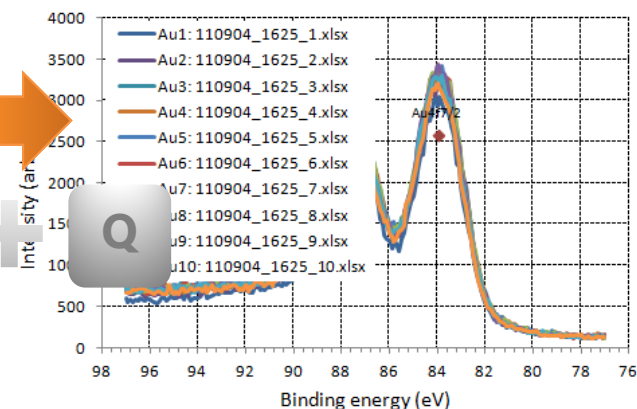
- Automatically scaled spectra for comparison
 - “auto” in A1 cell to scale at the both ends
 - “auto[x1:x2,x3:x4]” in A1 cell to scale in the ranges of (x1, x2) for offset (0) and (x3, x4) for multiple (1).
 - “auto{284.6}” in A1 cell to shift the maximum intensity to 284.6 eV in BE scale.
 - “auto’-7.8” in A1 cell to set all char factor to be -7.8 eV.
- Annotate legends in each plot
 - “leg” in A1 cell to generate Sample sheet
 - Describe sample or spectrum name in the sheet
 - “leg” in A1 cell to run the code again.
- Normalize spectra with ref. spectrum by “norm” in A1 cell.
 - Second set of data will be selected for ref. and third set to be normalized data.
 - Norm sheet appears from the data normalized.

Every single step needs



	A	B	C		A	B	C	D	E
1	leg		0 lines/mm	1	No.	Name	Sep.	File name	
2	PE	600 eV		2	1	Au1	:	110904_1625_1.xlsx	
3	WF	4 eV		3	2	Au2	:	110904_1625_2.xlsx	
4	Char	3 eV		4	3	Au3	:	110904_1625_3.xlsx	
5	Start KE	496		5	4	Au4	:	110904_1625_4.xlsx	
6	End KE	516		6	5	Au5	:	110904_1625_5.xlsx	
7	Step KE	0.1 eV		7	6	Au6	:	110904_1625_6.xlsx	
8	# scan	1 times		8	7	Au7	:	110904_1625_7.xlsx	
9	Offset/mu	0	1	9	8	Au8	:	110904_1625_8.xlsx	
10	Ke	Be	In	10	9	Au9	:	110904_1625_9.xlsx	
				11	10	Au10	:	110904_1625_10.xlsx	

Sample sheet





Edge correction in NEXAFS

	1	2	3	4	5	6	7	8	9
1	edge	1200	lines/mm		Pre edge	Post edge		170330_1456_Is_edge	
2	PE shifts	-0.17 eV		Start, eV	392	415	PE shifts	0 eV	
3	Smoothin	4 points		End, eV	397	429			
4					Polynomial coeff				
5	Start PE	390 eV		a0	0.078983	0.085857	Start PE	389.83 eV	
6	End PE	430 eV		a1	0.003787	0.001334	End PE	429.83 eV	
7	Step PE	0.1 eV		a2	-0.00071	0.002589	Step PE	0.1 eV	
8	# scan	1 times		a3	0	0			
9	Offset/mu	0	1	chi^2	7.03E-08	9.53E-07	Offset/mu	0	1
10	PE	Ab	De	PE	Pre-edge	Post-edge	Pe	Ab	De

Post-edge region to be 1



New spline edge correction

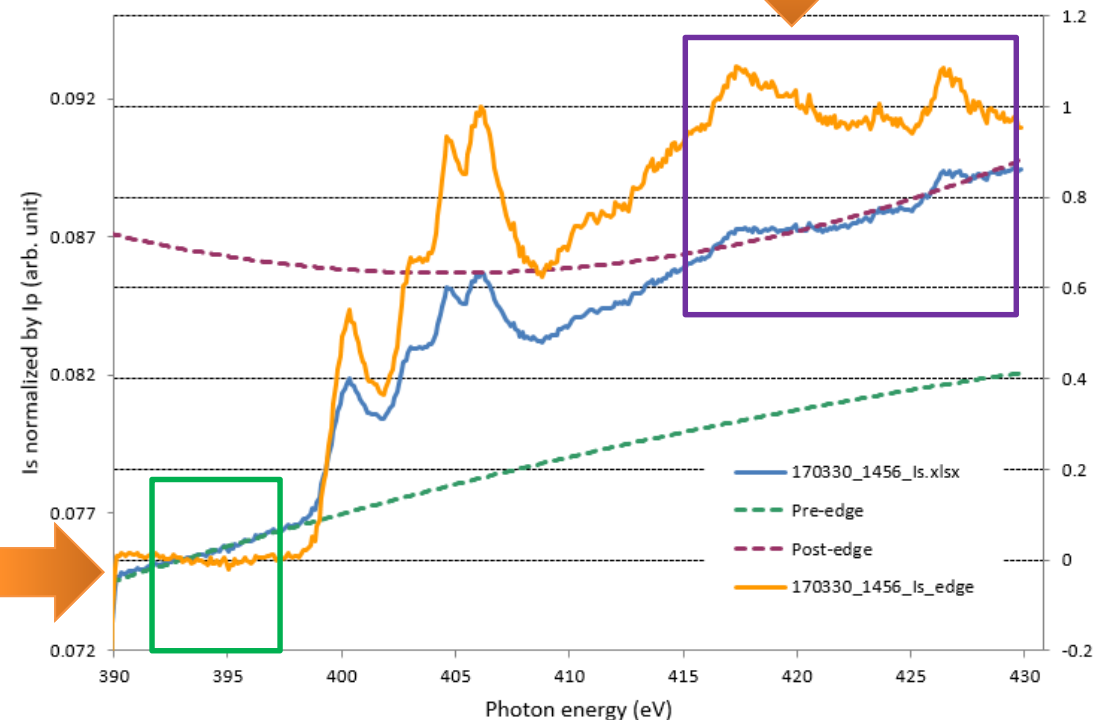
A1 cells: "edge"

New Linear combination

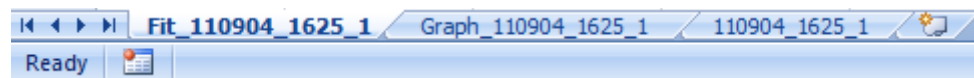
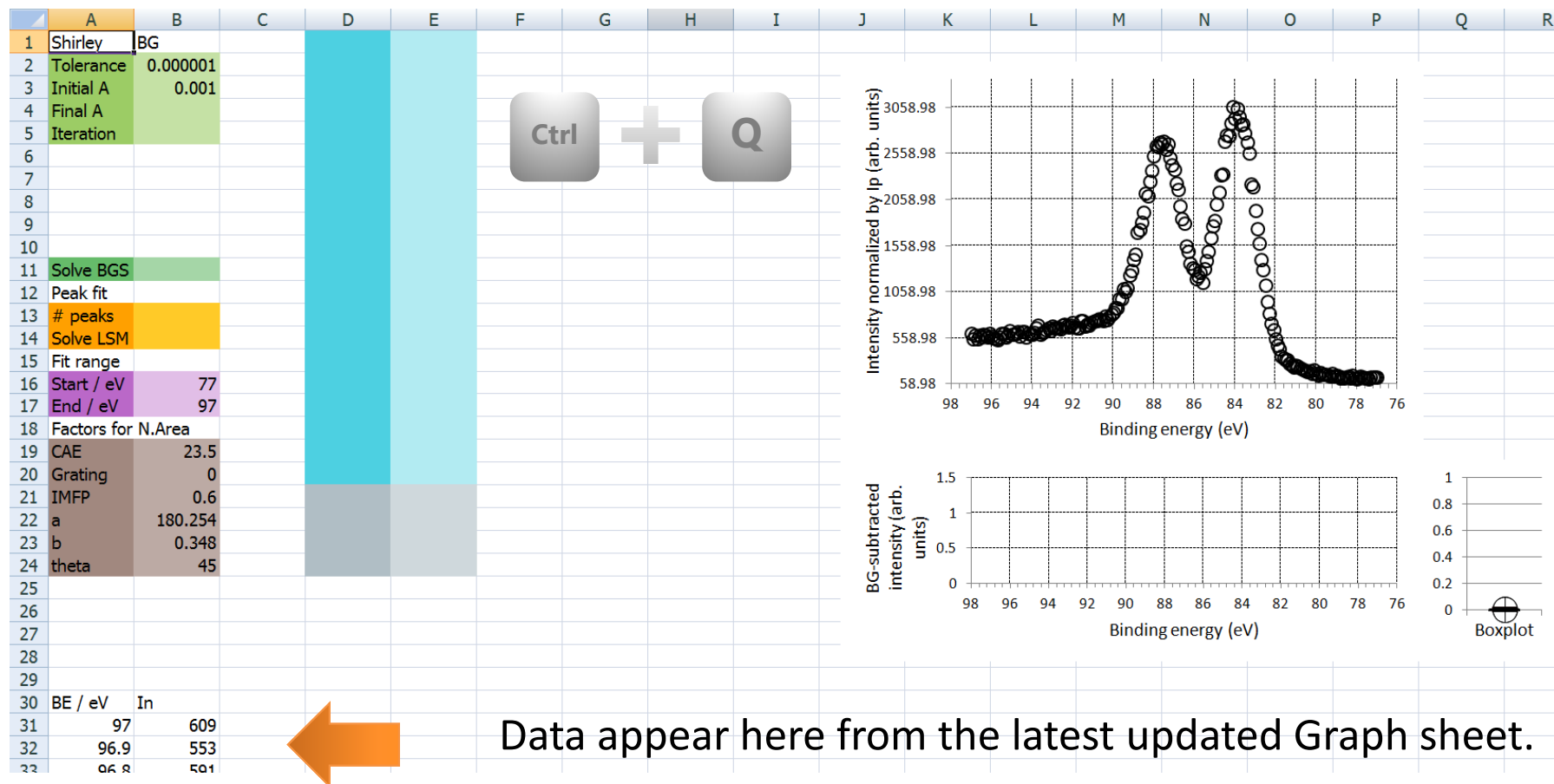
A1 cells: "lcmb"

After two references added.

Pre-edge regions to be 0



Fit sheet

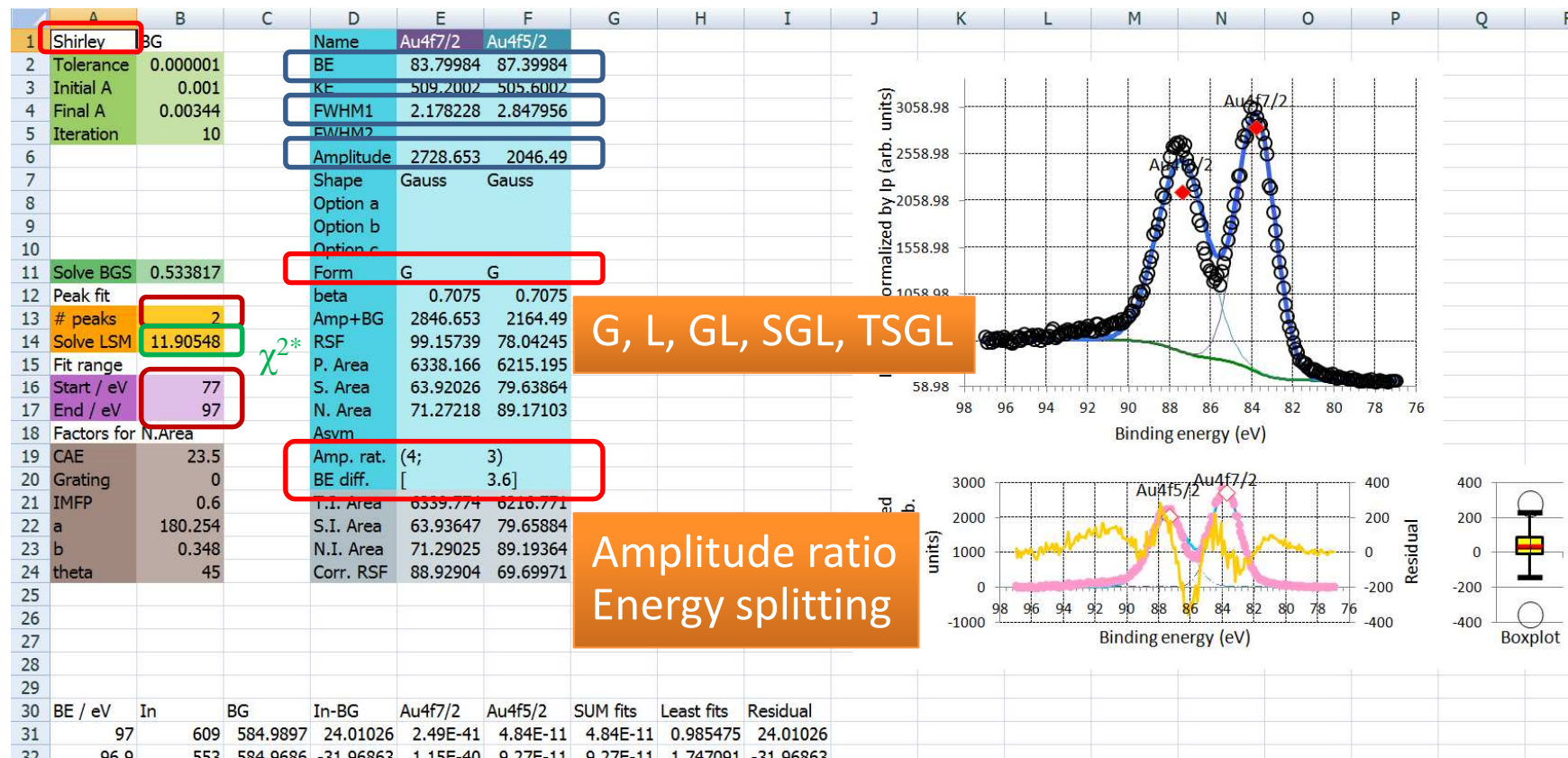


Switch the worksheet

Note that offset/multiple factors should be aligned for all spectra in Graph sheet to evaluate the peak area in Fit sheet.

Fitting functions and parameters

Shirley, Tougaard, Polynomial, Poly Shirley, Poly Tougaard, Victoreen, Arctan

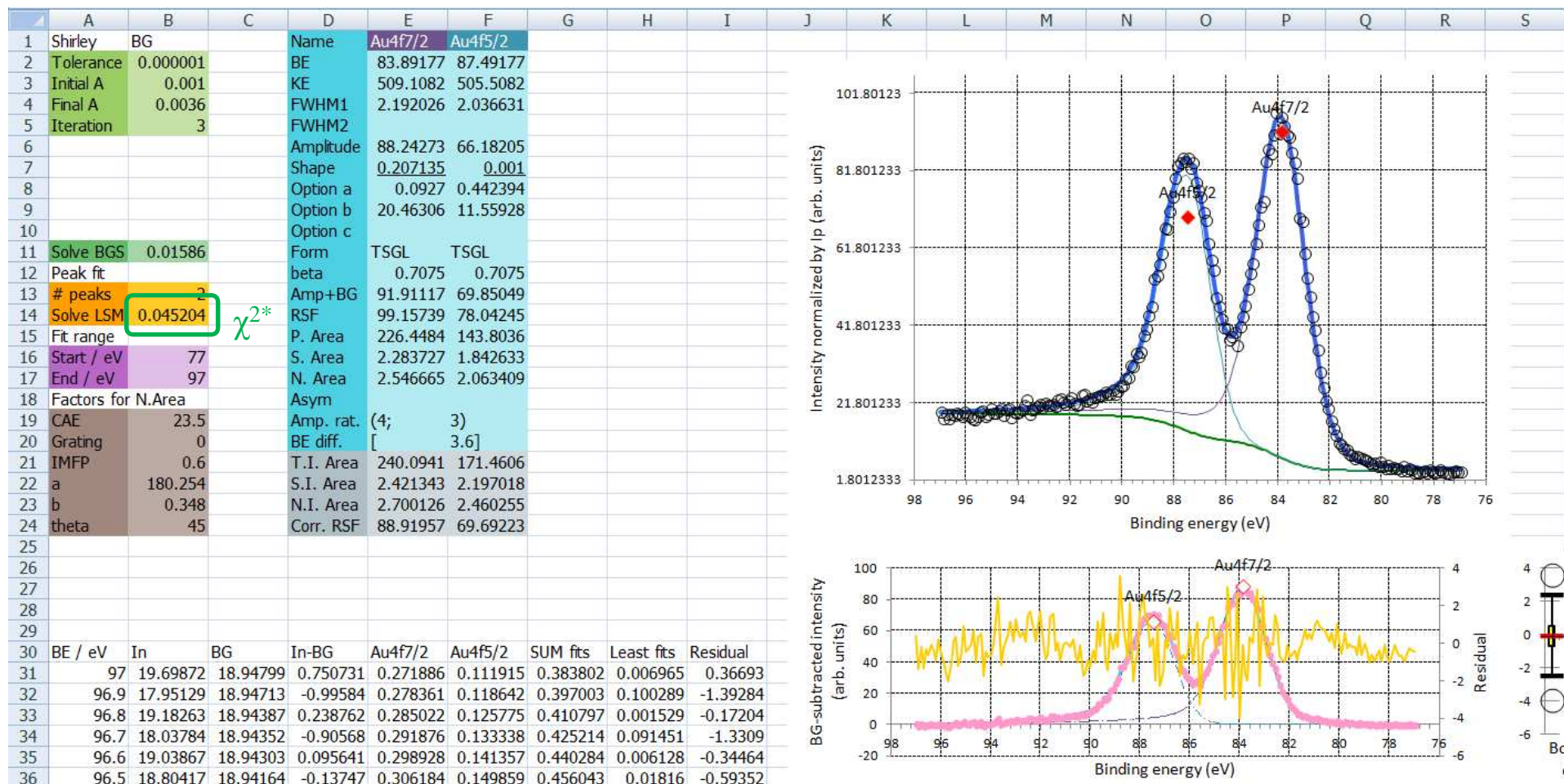


Update results by setup **constraints with bold font style**, various BGs or Forms



FWHM ranges can also be setup.

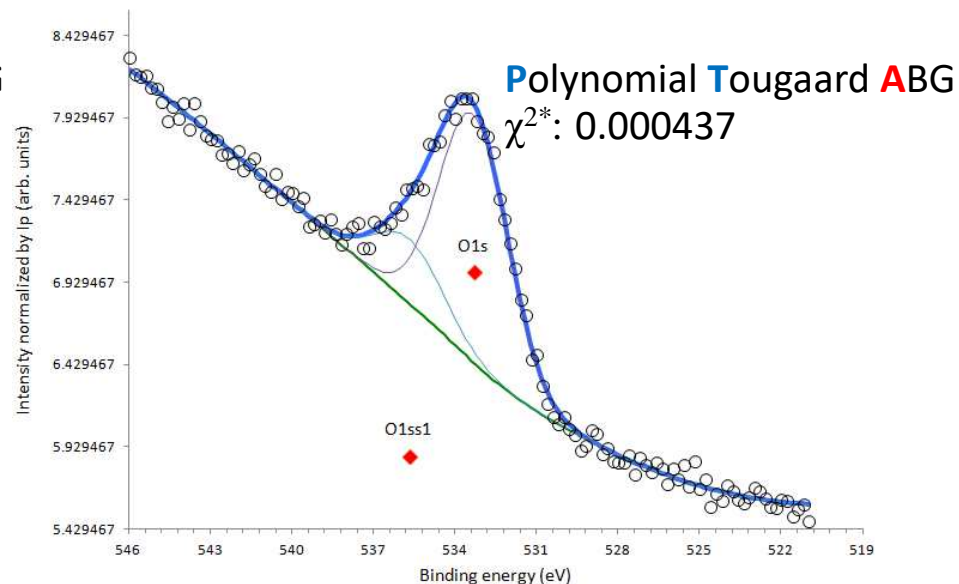
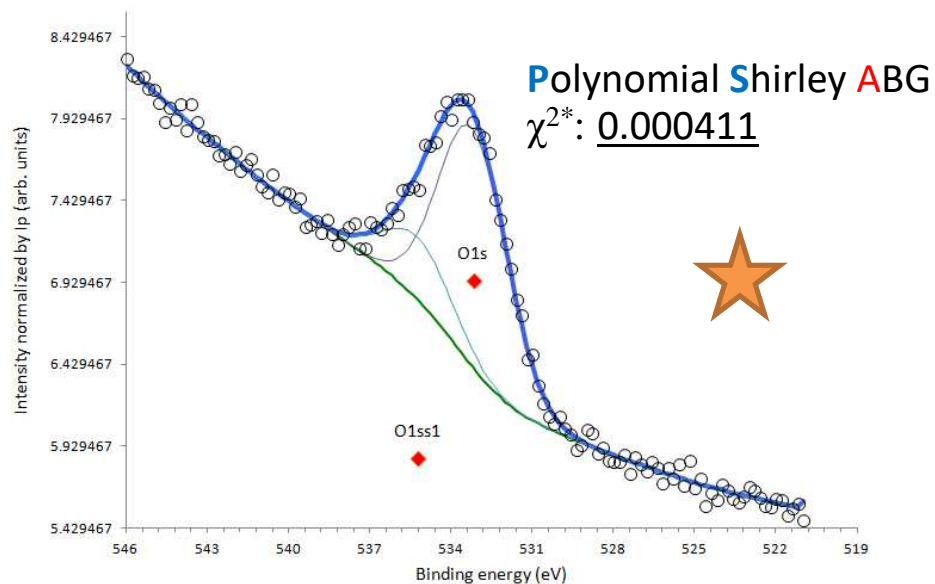
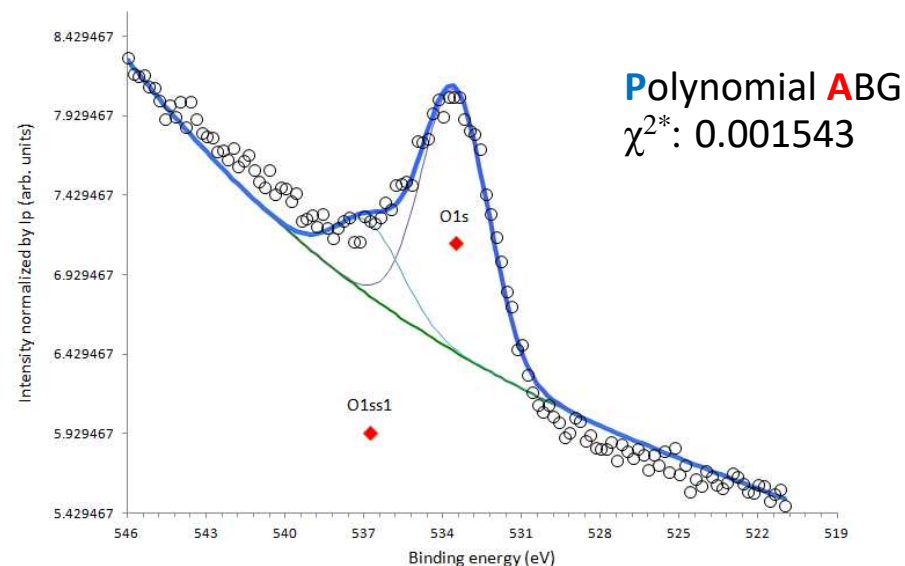
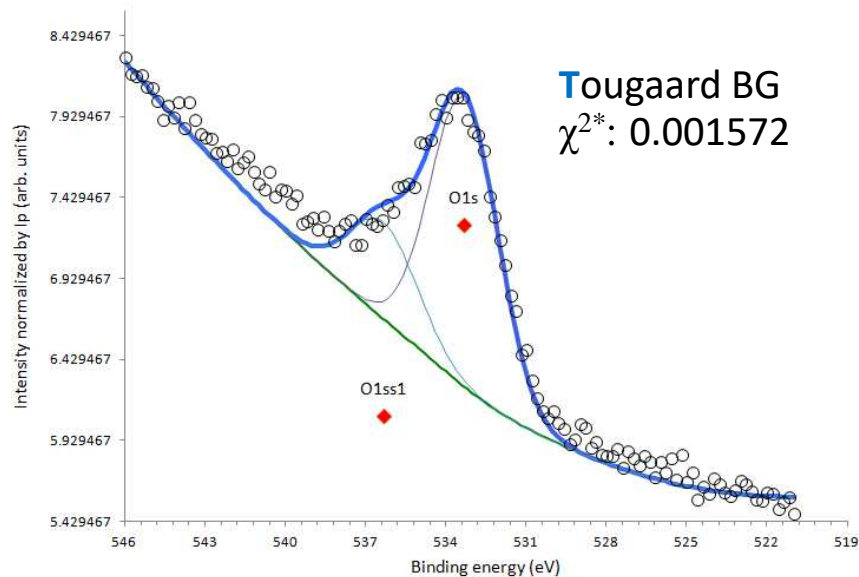
Peak shape: Tailed pseudo-Voigt



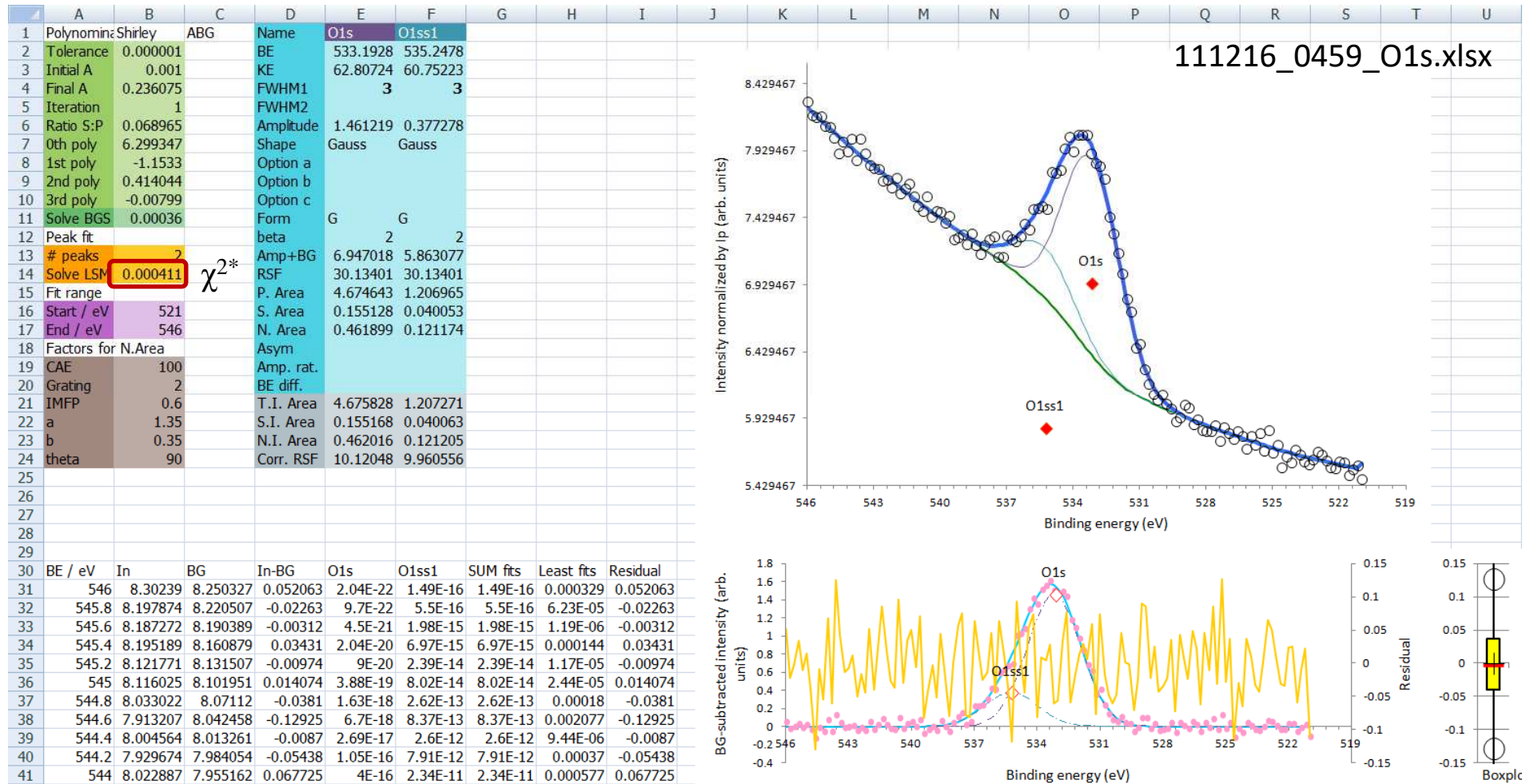
Shirley's BG, Tailed Voigt, Doublet 4f (4:3), ΔSO Au4f: 3.6 eV are used for above fitting. User-defined peak and BG functions can be easily implemented in your Visual Basic code.

Polynomial (Shirley or Tougaard)

Active-BGs



Poly Shirley ABG



3-eV FWHM Gaussian fit

Lists of fitting functions

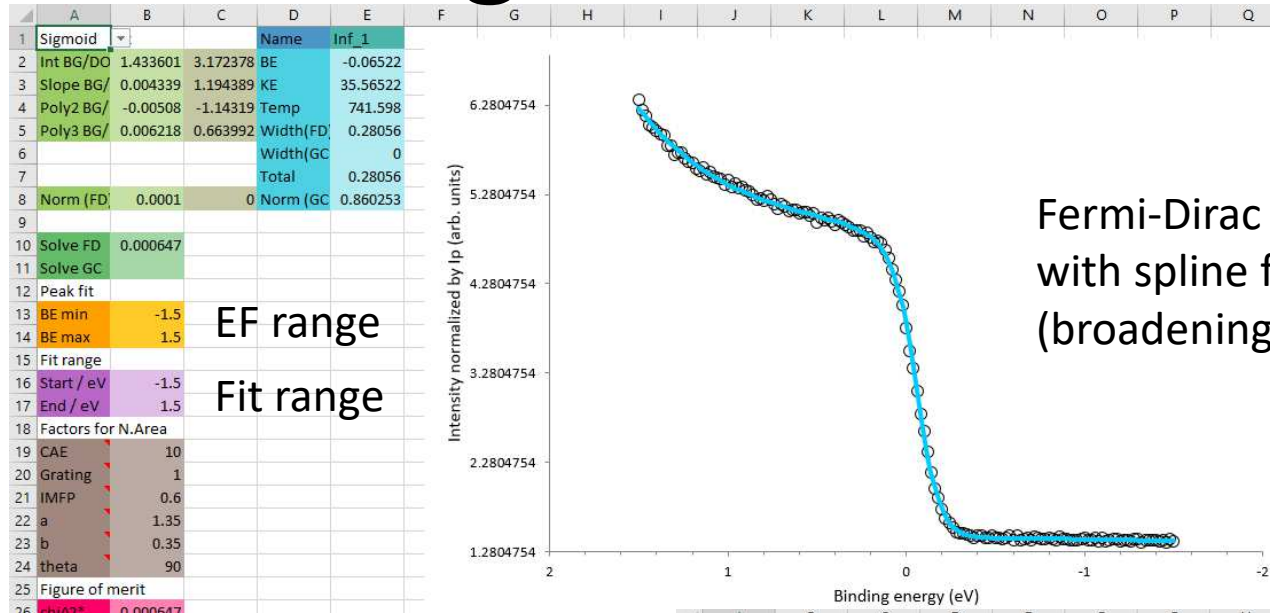
Peak shapes (total 5 forms)

Code (form)	Shape	Option a	Option b
G (0)	Gauss		
L (1)	Lorentz		
GL (0 < shape < 1)	G + L with the same FWHM1		
SGL (0 < shape < 1)	G (FWHM1) + L (FWHM2)		
<u>TSGL</u>	Exponential blend GL (FWHM1)	Tail scale	Tail length at half max

Backgrounds (total 9 BGs)

BG (A1)	BG (B1)	BG (C1)	Technique	Optimization
Shirley	BG/ABG		XPS	Static/Active
Tougaard	BG		XPS	Static
Polynomial	BG/ABG		XPS/XAS	Static/Active
Polynomial	Shirley	ABG	XPS	Active
Polynomial	Tougaard	ABG	XPS	Active
Victoreen	BG		XAS	Static
ArcTangent	BG		XAS	Active

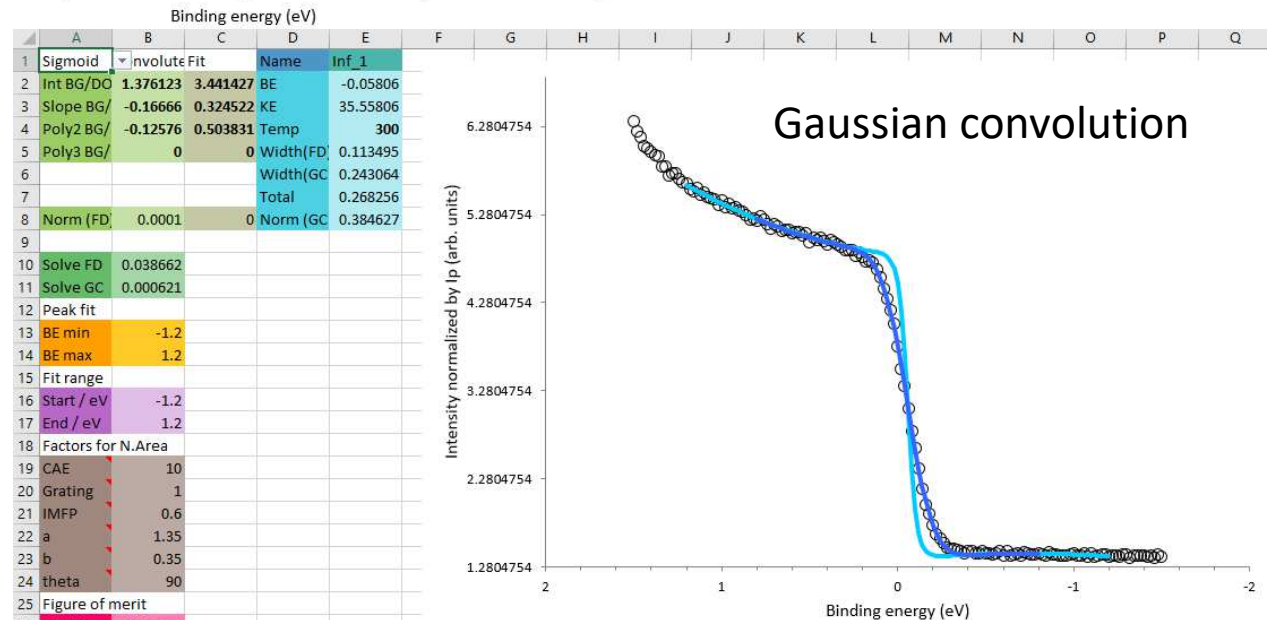
Sigmoid (convoluted) fitting



Fermi-Dirac distribution
with spline for the Fermi edge
(broadening only from temp)

Constraint temperature at 300 K, and polynomial parameters as well prior to convolution. Sample temp. leads to $\Delta E = 113$ meV, and instrumental resolution (BL & analyzer) to 243 meV. Total resolution becomes $\Delta E = 268$ meV.

Secondary electron cutoff can be analyzed in the same way.



Gaussian convolution

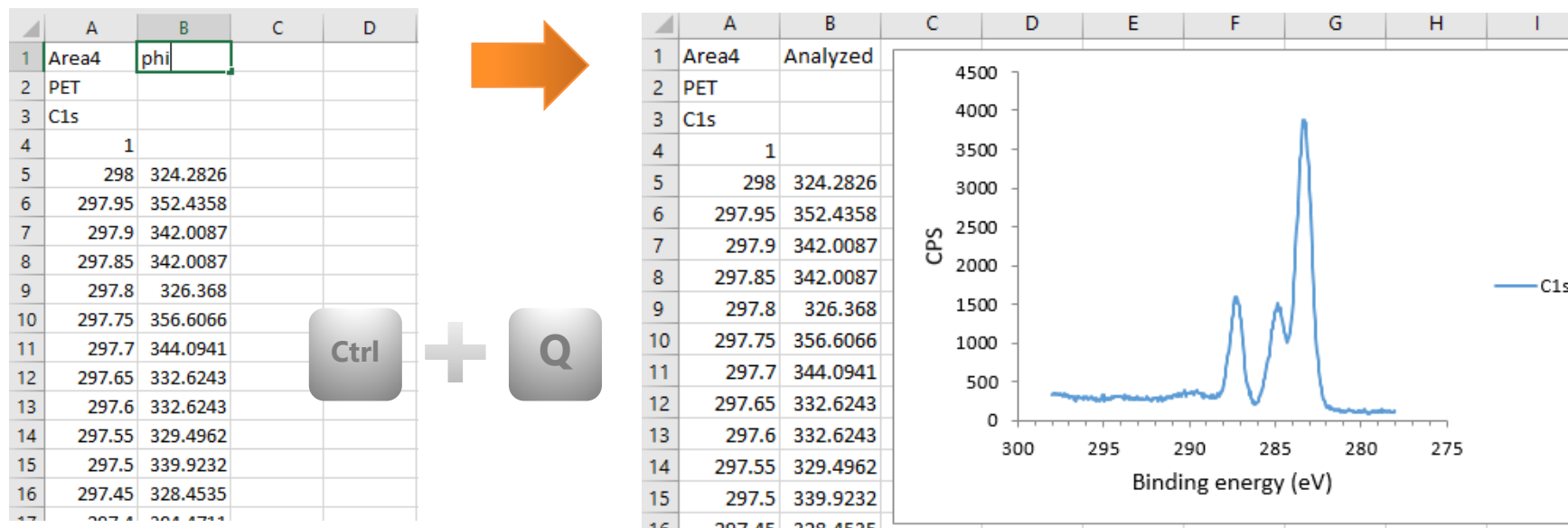
ULVAC-PHI

Multipak csv data analysis

Open Multipak-exported csv file with Excel, and type “phi” on B1 cell.

PET.csv	2/19/2016 2:40 PM	Microsoft Excel C...	8 KB
PET.SPE	1/7/2015 4:45 PM	SPE File	7 KB

Run the macro, then new workbook appears with chart of spectrum in the csv file.



Open text file with Excel.

PET.csv	2/19/2016 2:40 PM	Microsoft Excel C...	8 KB
PET.SPE	1/7/2015 4:45 PM	SPE File	7 KB
PET.xlsx	5/8/2019 2:28 PM	Microsoft Excel W...	25 KB
PET_C1s.txt	5/8/2019 2:28 PM	TXT File	7 KB

Open Excel macro-exported txt file with Excel.

PET.csv	2/19/2016 2:40 PM	Microsoft Excel C...	8 KB
PET.SPE	1/7/2015 4:45 PM	SPE File	7 KB
PET.xlsx	5/8/2019 2:28 PM	Microsoft Excel W...	25 KB
PET_C1s.txt	5/8/2019 2:28 PM	TXT File	7 KB

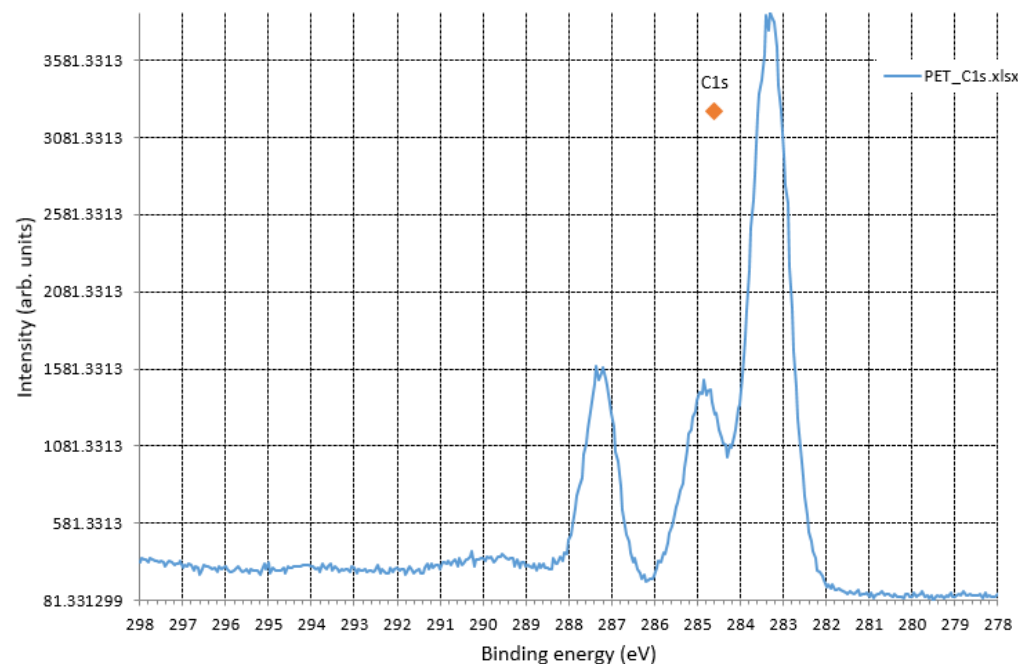
continued

Run the macro.

	A	B
1	BE/eV	AlKa
2	298	324.2826
3	297.95	352.4358
4	297.9	342.0087
5	297.85	342.00
6	297.8	326.368
7	297.75	356.6066
8	297.7	344.0941
9	297.65	332.6243
10	297.6	332.6243
11	297.55	329.4962
12	297.5	329.4962



	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
1	Grating	0 lines/mm														
2	PE	1486.6 eV														
3	WF	4 eV														
4	Char	0 eV														
5	Start BE	298 eV														
6	End BE	278 eV														
7	Step BE	-0.05 eV														
8	# scan	1 times														
9	Offset/mV	0	1													
10	Ek	Be	In													
11	1184.6	298	324.2826													
12	1184.65	297.95	352.4358													
13	1184.7	297.9	342.0087													
14	1184.75	297.85	342.0087													
15	1184.8	297.8	326.368													
16	1184.85	297.75	356.6066													
17	1184.9	297.7	344.0941													
18	1184.95	297.65	332.6243													
19	1185	297.6	332.6243													
20	1185.05	297.55	329.4962													
21	1185.1	297.5	339.9232													
22	1185.15	297.45	328.4535													
23	1185.2	297.4	304.4711													
24	1185.25	297.35	326.368													
25	1185.3	297.3	338.8806													
26	1185.35	297.25	328.4535													



PET.csv	2/19/2016 2:40 PM	Microsoft Excel C...	8 KB
PET.SPE	1/7/2015 4:45 PM	SPE File	7 KB
PET.xlsx	5/8/2019 2:28 PM	Microsoft Excel W...	25 KB
PET_C1s.txt	5/8/2019 2:28 PM	TXT File	7 KB
PET_C1s.xlsx	5/8/2019 2:36 PM	Microsoft Excel W...	91 KB

Multiple data-fit

	A	B	C	D	E	F
1	Shirley	BG		debug	Au4f7/2	Au4f5/2
2	Tolerance	0.000001		BE	83.89131	87.49132
3	Initial A	0.001		KE	509.1087	505.5087
4	Final A	0.00344		FWHM1	2.196802	2.052602
5	Iteration	6		FWHM2		

“debug”/“debuga” in D1 cell

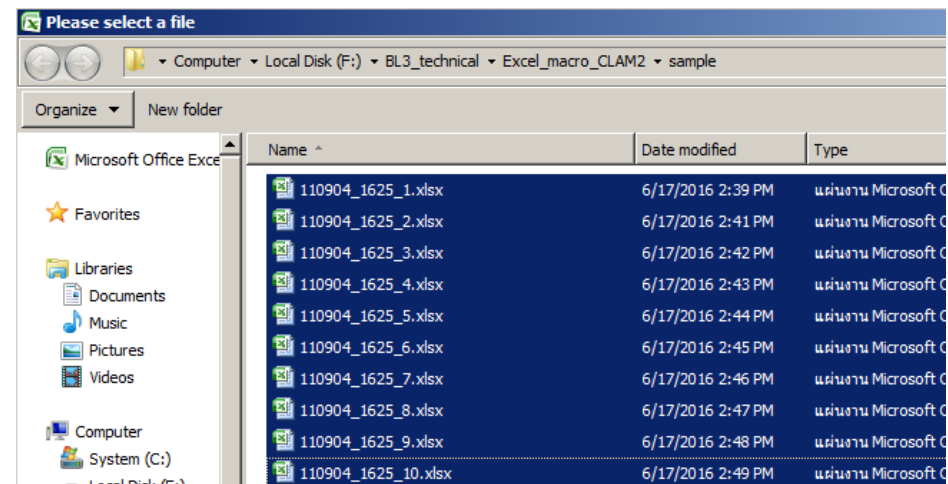
- debug: apply the original fit parameters
- debuga: apply those used just before



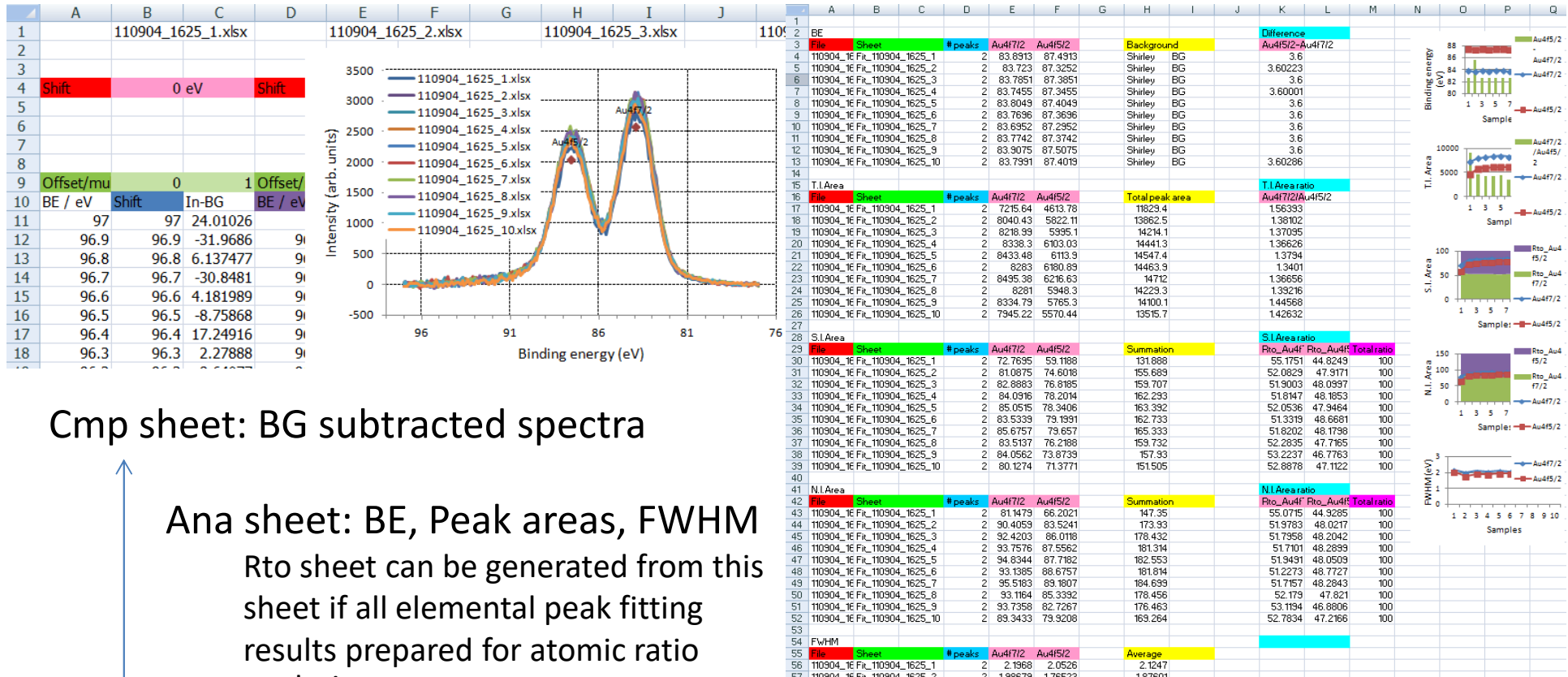
Once fitting process above done, “ana” in D1 cell to compare those fitted xlsx files.



	A	B	C	D	E	F
1	Shirley	BG		ana	Au4f7/2	Au4f5/2
2	Tolerance	0.000001		BE	83.89131	87.49132
3	Initial A	0.001		KE	509.1087	505.5087
4	Final A	0.00344		FWHM1	2.196802	2.052602
5	Iteration	6		FWHM2		



Summary of fitting results



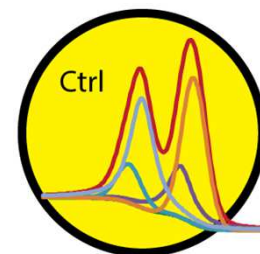
Cmp sheet: BG subtracted spectra

Ana sheet: BE, Peak areas, FWHM
Rto sheet can be generated from this sheet if all elemental peak fitting results prepared for atomic ratio analysis.



T.I. area: Trapezoidal integration of peak area
S.I. area: T.I. area normalized with RSF
N.I. area: T.I. area normalized with corr. RSF

Enjoy!



Depth-profile XPS: RSC Adv. 6, 94905 (2016).

