

# **AMD64 Virtualization Codenamed** "Pacifica" Technology

# **Secure Virtual Machine Architecture Reference Manual**

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Date	Revision	Description
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# **Preface**

## **About This Book**

This book describes the AMD64 technology Security and Virtual Machine (SVM) architecture codenamed "Pacifica," software requirements, instruction set extensions, changes to existing instructions, and new bit settings in system registers.

### **Audience**

This volume is intended for programmers writing virtual machine monitor or hypervisor software and other SVM applications or system utilities. It assumes an understanding of AMD64 architecture application-level and system-level programming as described in Volumes 1 and 2 of the AMD64 Architecture Programmer's Manual (order# 24592 and order# 24593).

This volume describes SVM architecture resources and functions that are managed by system software, including operating-mode control, memory management, intercepts, interrupts and exceptions, state-change management, systemmanagement mode, and processor initialization, as well as extensions to the AMD64 instruction set that are used to operate on SVM data structures.

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# **Organization**

This volume begins with an overview of SVM, followed by chapters that describe the following details of system programming:

- *System Resources*—The data structures, system registers, software responsibilities, and hardware support to implement SVM systems.
- *SVM Instruction Set*—The extensions to the AMD64 instruction set used to control SVM operations.

The appendices describe details of model-specific registers (MSRs) and data strucure layout. Definitions assumed throughout this volume are listed below. The index at the end of this volume cross-references topics within the volume. For other topics relating to the AMD64 architecture, see the tables of contents and indices of the references given in "Related Documents" on page xxv.

## **Definitions**

Some of the following definitions assume a knowledge of the legacy x86 architecture. See "Related Documents" on page xxv for descriptions of the legacy x86 architecture.

#### **Terms and Notation**

1011b

A binary value—in this example, a 4-bit value.

F0EAh

A hexadecimal value—in this example a 2-byte value.

[1,2)

A range that includes the left-most value (in this case, 1) but excludes the right-most value (in this case, 2).

7:4

A bit range, from bit 7 to 4, inclusive. The high-order bit is shown first.

32-bit mode

Legacy mode or compatibility mode in which a 32-bit address size is active. See *legacy mode* and *compatibility mode*.

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#### 64-bit mode

A submode of *long mode*. In 64-bit mode, the default address size is 64 bits and new features, such as register extensions, are supported for system and application software.

#### #GP(0)

Notation indicating a general-protection exception (#GP) with error code of 0.

#### absolute

A displacement that references the base of a code segment rather than an instruction pointer. Contrast with *relative*.

#### **ASID**

Address space identifier.

#### byte

Eight bits.

#### clear

To write a bit value of 0. Compare *set*.

### compatibility mode

A submode of *long mode*. In compatibility mode, the default address size is 32 bits, and legacy 16-bit and 32-bit applications run without modification.

#### CPL

Current privilege level.

#### CR0-CR4

A register range, from register CR0 through CR4, inclusive, with the low-order register first.

#### CR0.PE = 1

Notation indicating that the PE bit of the CR0 register has a value of 1.

#### displacement

A signed value that is added to the base of a segment (absolute addressing) or an instruction pointer (relative addressing). Same as *offset*.

#### doubleword

Two words, or four bytes, or 32 bits.

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#### double quadword

Eight words, or 16 bytes, or 128 bits. Also called *octword*.

#### DS:rSI

The contents of a memory location whose segment address is in the DS register and whose offset relative to that segment is in the rSI register.

#### EFER.LME = 0

Notation indicating that the LME bit of the EFER register has a value of 0.

### effective address size

The address size for the current instruction after accounting for the default address size and any address-size override prefix.

### effective operand size

The operand size for the current instruction after accounting for the default operand size and any operand-size override prefix.

#### element

See vector.

#### exception

An abnormal condition that occurs as the result of executing an instruction. The processor's response to an exception depends on the type of the exception. Control is transferred to the handler (or service routine) for that exception, as defined by the exception's vector. When unmasked, the exception handler is called, and when masked, a default response is provided instead of calling the handler.

#### FF/0

Notation indicating that FF is the first byte of an opcode, and a subopcode in the ModR/M byte has a value of 0.

#### flush

An often ambiguous term meaning (1) writeback, if modified, and invalidate, as in "flush the cache line," or (2) invalidate, as in "flush the pipeline," or (3) change a value, as in "flush to zero."

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**GIF** 

Global interrupt flag.

GDT

Global descriptor table.

IDT

Interrupt descriptor table.

IGN

Ignore. Field is ignored.

IVT

The real-address mode interrupt-vector table.

LDT

Local descriptor table.

long mode

An operating mode unique to the AMD64 architecture. A processor implementation of the AMD64 architecture can run in either *long mode* or *legacy mode*. Long mode has two submodes, *64-bit mode* and *compatibility mode*.

lsb

Least-significant bit.

LSB

Least-significant byte.

main memory

Physical memory, such as RAM and ROM (but not cache memory) that is installed in a particular computer system.

mask

A field of bits used for a control purpose.

MBZ

Must be zero. If software attempts to set an MBZ bit to 1, a general-protection exception (#GP) occurs.

memory

Unless otherwise specified, main memory.

msb

Most-significant bit.

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MSB

Most-significant byte.

octword

Same as double quadword.

offset

Same as displacement.

PAE

Physical-address extensions.

physical memory

Actual memory, consisting of main memory and cache.

probe

A check for an address in a processor's caches or internal buffers. *External probes* originate outside the processor, and *internal probes* originate within the processor.

protected mode

A submode of *legacy mode*.

quadword

Four words, or eight bytes, or 64 bits.

RAZ

Read as zero (0), regardless of what is written.

real-address mode

See real mode.

real mode

A short name for real-address mode, a submode of legacy mode.

relative

A displacement (also called offset) from an instruction pointer rather than the base of a code segment. Contrast with *absolute*.

reserved

Fields marked as reserved may be used at some future time.

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To preserve compatibility with future processors, reserved fields require special handling when read or written by software.

Reserved fields may be further qualified as MBZ, RAZ, SBZ or IGN (see definitions).

Software must not depend on the state of a reserved field, nor upon the ability of such fields to return to a previously written state.

If a reserved field is not marked with one of the previous qualifiers, software must not change the state of that field; it must reload that field with the same values returned from a prior read.

#### REX

An instruction prefix that specifies a 64-bit operand size and provides access to additional registers.

#### SBZ

Should be zero. It is the responsibility of software to set SBZ bits to zero. The result of setting an SBZ bit to 1 may be unpredictable.

#### set

To write a bit value of 1. Compare *clear*.

#### sticky bit

A bit that is set or cleared by hardware and that remains in that state until explicitly changed by software.

#### TPR

Task-priority register (CR8).

#### **TSS**

Task-state segment.

#### vector

An index into an interrupt descriptor table (IDT), used to access exception handlers. Compare *exception*.

#### virtual-8086 mode

A submode of *legacy mode*.

#### **VMCB**

Virtual machine control block.

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VMM

Virtual machine monitor.

word

Two bytes, or 16 bits.

x86

See *legacy* x86.

### **Registers**

In the following list of registers, the names are used to refer either to a given register or to the contents of that register:

AH-DH

The high 8-bit AH, BH, CH, and DH registers. Compare *AL-DL*.

AL-DL

The low 8-bit AL, BL, CL, and DL registers. Compare AH–DH.

AL-r15B

The low 8-bit AL, BL, CL, DL, SIL, DIL, BPL, SPL, and R8B–R15B registers, available in 64-bit mode.

BP

Base pointer register.

CRn

Control register number *n*.

CS

Code segment register.

eAX-eSP

The 16-bit AX, BX, CX, DX, DI, SI, BP, and SP registers or the 32-bit EAX, EBX, ECX, EDX, EDI, ESI, EBP, and ESP registers. Compare *rAX-rSP*.

EBP

Extended base pointer register.

EFER

Extended features enable register.

*eFLAGS* 

16-bit or 32-bit flags register. Compare *rFLAGS*.

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#### **EFLAGS**

32-bit (extended) flags register.

#### eIP

16-bit or 32-bit instruction-pointer register. Compare *rIP*.

#### EIP

32-bit (extended) instruction-pointer register.

#### **FLAGS**

16-bit flags register.

#### **GDTR**

Global descriptor table register.

#### **GPRs**

General-purpose registers. For the 16-bit data size, these are AX, BX, CX, DX, DI, SI, BP, and SP. For the 32-bit data size, these are EAX, EBX, ECX, EDX, EDI, ESI, EBP, and ESP. For the 64-bit data size, these include RAX, RBX, RCX, RDX, RDI, RSI, RBP, RSP, and R8–R15.

#### **IDTR**

Interrupt descriptor table register.

#### IP

16-bit instruction-pointer register.

#### LDTR

Local descriptor table register.

#### **MSR**

Model-specific register.

#### r8-r15

The 8-bit R8B-R15B registers, or the 16-bit R8W-R15W registers, or the 32-bit R8D-R15D registers, or the 64-bit R8-R15 registers.

#### rAX-rSP

The 16-bit AX, BX, CX, DX, DI, SI, BP, and SP registers, or the 32-bit EAX, EBX, ECX, EDX, EDI, ESI, EBP, and ESP registers, or the 64-bit RAX, RBX, RCX, RDX, RDI, RSI, RBP, and RSP registers. Replace the placeholder r with

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```
nothing for 16-bit size, "E" for 32-bit size, or "R" for 64-bit size.
```

#### RAX

64-bit version of the EAX register.

#### RAZ

Read as zero (0), regardless of what is written.

#### RBP

64-bit version of the EBP register.

#### RBX

64-bit version of the EBX register.

#### RCX

64-bit version of the ECX register.

#### RDI

64-bit version of the EDI register.

#### RDX

64-bit version of the EDX register.

#### *rFLAGS*

16-bit, 32-bit, or 64-bit flags register. Compare *RFLAGS*.

#### **RFLAGS**

64-bit flags register. Compare *rFLAGS*.

#### rIP

16-bit, 32-bit, or 64-bit instruction-pointer register. Compare *RIP*.

#### RIP

64-bit instruction-pointer register.

#### **RSI**

64-bit version of the ESI register.

#### RSP

64-bit version of the ESP register.

#### SP

Stack pointer register.

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SS

Stack segment register.

TPR

Task priority register, a new register introduced in the AMD64 architecture to speed interrupt management.

TR

Task register.

#### **Endian Order**

The x86 and AMD64 architectures address memory using littleendian byte-ordering. Multibyte values are stored with their least-significant byte at the lowest byte address, and they are illustrated with their least significant byte at the right side. Strings are illustrated in reverse order, because the addresses of their bytes increase from right to left.

# **Related Documents**

- AMD64 Architecture Programmer's Manual Volume 1: Application Programming, order# 24592.
- AMD64 Architecture Programmer's Manual Volume 2: System Programming, order# 24593.
- AMD64 Architecture Programmer's Manual Volume 3: General Purpose and System Instructions, order# 24594.

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# 1 Introduction

AMD security and virtual machine (SVM) architecture, codenamed "Pacifica," is designed to provide enterprise-class server virtualization software technology that facilitates virtualization development and deployment. An SVM enabled virtual machine architecture should provide hardware resources that allow a single machine to run multiple operating systems efficiently, while maintaining secure, resource-guaranteed isolation.

### 1.1 The Virtual Machine Monitor

A virtual machine monitor (VMM, also known as a hypervisor) consists of software that controls the execution of multiple guest operating systems on a single physical machine; the VMM provides each guest the appearance of full control over a complete computer system (memory, CPU, and all peripheral devices). The use of the term host refers to the execution context of the VMM. World switch refers to the operation of switching between the host and guest.

Fundamentally, VMMs work by *intercepting* and emulating in a safe manner sensitive operations in the guest (such as changing the page tables, which could give a guest access to memory it is not allowed to access). AMD's SVM provides hardware assists to improve performance and facilitate implementation of virtualization.

# 1.2 SVM Hardware Overview

SVM processor support provides a set of hardware extensions designed to enable economical and efficient implementation of virtual machine systems. Generally speaking, hardware support falls into two complementary categories: *virtualization* support and *security* support.

# 1.2.1 Virtualization Support

The AMD virtual machine architecture is designed to provide:

- Mechanisms for fast world switch between VMM and guest
- The ability to intercept selected instructions or events in the guest

- External (DMA) access protection for memory.
- Assists for interrupt handling and virtual interrupt support
- A guest/host tagged TLB to reduce virtualization overhead.

#### 1.2.2 Guest Mode

This new processor mode is entered through the VMRUN instruction. When in guest mode, the behavior of some x86 instructions changes to facilitate virtualization.

# 1.2.3 External Access Protection

Guests may be granted direct access to selected I/O devices. Hardware support is designed to prevent devices owned by one guest from accessing memory owned by another guest (or the hypervisor).

#### 1.2.4 Tagged TLB

In the SVM usage model, the VMM is mapped in a different address space than the guest. To reduce the cost of world switches, the TLB is tagged with an address space identifier (ASID) distinguishing host-space entries from guest-space entries.

# 1.2.5 Interrupt Support

To facilitate efficient virtualization of interrupts, the following support is provided under control of VMCB flags:

**Intercepting physical interrupt delivery.** The VMM can request that physical interrupts cause a running guest to exit, allowing the VMM to process the interrupt.

**Virtual interrupts.** The VMM can inject virtual interrupts into the guest. Under control of the VMM, a virtual copy of the EFLAGS.IF interrupt mask bit, and a virtual copy of the APIC's task priority register are used transparently by the guest instead of the physical resources.

**Sharing a physical APIC.** SVM allows multiple guests to share a physical APIC while guarding against malicious or defective guests that might leave high-priority interrupts unacknowledged forever (and thus shut out other guest's interrupts).

# 1.2.6 Restartable Instructions

SVM is designed to safely restart, with the exception of task switches, any intercepted instruction after the intercept. Instructions are either atomic or idempotent.

### 1.2.7 **Security Support**

To further enable secure initialization SVM provides additional System support.

**Attestation.** The SKINIT instruction and associated system support (the Trusted Platform Module, or TPM) allow for verifiable startup of trusted software (such as a VMM), based on secure hash comparison.

**Memory Clear.** Automatic memory clear erases the contents of system memory on reset to prevent simple reset-based attacks on secrets stored in memory.



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# 2 SVM Processor and Platform Extensions

This chapter describes the operation of the SVM hardware extensions. These extensions can be grouped into the following categories:

- State switch—VMRUN, VMSAVE, VMLOAD instructions, global interrupt flag (GIF), and instructions to manipulate the latter (STGI, CLGI). ("VMRUN Instruction" on page 5, "VMSAVE and VMLOAD Instructions" on page 28, "Global Interrupt Flag, STGI and CLGI Instructions" on page 29)
- Intercepts—allow the VMM to intercept sensitive operations in the guest. ("Intercept Operation" on page 13 through "Miscellaneous Intercepts" on page 27)
- Interrupt and APIC assists—physical interrupt intercepts, virtual interrupt support, APIC.TPR virtualization. ("Global Interrupt Flag, STGI and CLGI Instructions" on page 29 and "Interrupt and localAPIC Support" on page 33)
- SMM intercepts and assists ("SMM Support" on page 38)
- External (DMA) access protection ("External Access Protection" on page 40)
- Nested paging support for two levels of address translation.
   ("Nested Paging Facility" on page 49)
- Security—SKINIT instruction, automatic memory clear. ("Secure Startup with SKINIT" on page 53)

# 2.1 Enabling SVM

Before any SVM instruction (VMRUN, VMLOAD, VMSAVE, VMMCALL, STGI, CLGI, SKINIT, INVLPGA) can be used, EFER.SVME (bit 12 of the EFER MSR register) must be set to 1. While EFER.SVME is zero (the default after reset), SVM instructions cause #UD faults.

# 2.2 VMRUN Instruction

The VMRUN instruction is the cornerstone of SVM. VMRUN takes, as a single argument, the physical address of a 4KB-aligned page, the *virtual machine control block* (VMCB), which describes a virtual machine (guest) to be executed. The VMCB contains:

- a list of which instructions or events in the guest (e.g., write to CR3) to intercept,
- various control bits that specify the execution environment of the guest or that indicate special actions to be taken before running guest code, and
- guest processor state (such as control registers, etc.).

### 2.2.1 **Basic Operation**

The VMRUN instruction has an implicit addressing mode of [rAX]. Software must load RAX (EAX in 32-bit mode) with the *physical* address of the VMCB, a 4-Kbyte-aligned page that describes a virtual machine to be executed. The portion of RAX used in forming the address is determined by the current effective address size.

The VMCB is accessed by physical address and should be mapped as writeback (WB) memory.

VMRUN is available only at CPL-0 (a #GP exception is raised if the CPL is greater than 0). Furthermore, the processor must be in protected mode and SVME.EFER must be set to 1 (otherwise, a #UD exception is raised).

The VMRUN instruction saves some host processor state in main memory at the physical address specified in the VM\_HSAVE\_AREA MSR; it then loads corresponding guest state from the VMCB state-save area. VMRUN also reads additional control bits from the VMCB that allow the VMM to flush the guest TLB, inject virtual interrupts into the guest, etc.

The VMRUN instruction then checks the guest state just loaded. If illegal state has been loaded, the processor exits back to the host (see "#VMEXIT" on page 12).

Otherwise, the processor now runs the guest code until an *intercept* event occurs, at which point the processor suspends guest execution and resumes host execution at the instruction following the VMRUN. This is called a #VMEXIT and is described in detail in "#VMEXIT" on page 12.

VMRUN saves or restores a minimal amount of state information to allow the VMM to resume execution after a guest has exited. This allows the VMM to handle simple intercept conditions quickly. If additional guest state information must be saved or restored (e.g., to handle more complex intercepts or to switch to a different guest), the VMM

can employ the VMSAVE and VMLOAD instructions (see "VMSAVE and VMLOAD Instructions" on page 28).

**Saving Host State.** To assure that the host can resume operation after #VMEXIT, VMRUN saves at least the following host state information at the physical address specified in the new MSR, VM\_HSAVE\_PA:

- CS.SEL, NEXT\_RIP—The CS selector and RIP of the instruction following the VMRUN. On #VMEXIT the host resumes running at this address.
- RFLAGS, RAX—Host processor mode and the register used by VMRUN to address the VMCB.
- SS.SEL, RSP—Host's stack pointer.
- CR0, CR3, CR4, EFER—Host's paging/operating mode.
- IDTR, GDTR—The pseudo-descriptors. (VMRUN does not save or restore the host LDTR.)
- ES.SEL and DS.SEL.

Processor implementations may store only part (or none) of host state in the memory area pointed to by VM\_HSAVE\_AREA and may store some or all host state in hidden on-chip memory. Different implementations may choose to save the hidden parts of the host's segment registers as well as the selectors. For these reasons, software must not rely on the format or contents of the host state save area, nor attempt to change host state by modifying the contents of the host save area.

**Loading Guest State.** After saving host state, VMRUN loads the following guest state from the VMCB:

- CS, RIP—Guest begins execution at this address. The hidden state of the CS segment register is also loaded from the VMCB.
- RFLAGS, RAX.
- SS, RSP—Includes the hidden state of the SS segment register.
- CR0, CR2, CR3, CR4, EFER—Guest paging mode. Writing paging-related control registers with VMRUN does *not* flush the TLB (since address spaces are switched).
- IF\_SHADOW—This flag indicates whether the guest is currently in an interrupt lockout shadow; see "Interrupt Shadows" on page 35.

- IDTR, GDTR.
- ES and DS—Includes the hidden state of the segment registers.
- DR7 and DR6—The guest's breakpoint state.
- V\_TPR—The guest's virtual TPR.
- V\_IRQ—The flag indicating whether a virtual interrupt is pending in the guest.
- CPL—If the guest is in real mode, the CPL is forced to 0; if the guest is in v86 mode, the CPL is forced to 3. Otherwise, the CPL saved in the VMCB is used.

The processor checks the loaded guest state for consistency. If an illegal mode is detected or an exception was encountered while loading guest state, the processor performs a #VMEXIT immediately and stores VMEXIT\_INVALID as an error indication in the VMCB EXITCODE field.

If the guest is in PAE paging mode according to the registers just loaded, the processor will also read the four PDPEs pointed to by the newly loaded CR3 value; setting any reserved bits in the PDPEs also causes a #VMEXIT.

It is possible for the VMRUN instruction to load a guest RIP that is outside the limit of the guest's code segment or that is non-canonical (if running in long mode). If this occurs, a #GP fault is delivered *inside* the guest; the RIP falling outside the limit of the guest's code segment is not considered illegal guest state.

After all guest state is loaded, and intercepts and other control bits are set up, the processor reenables interrupts by setting GIF to 1. (It is assumed that VMM software cleared GIF some time before executing the VMRUN instruction, to ensure an atomic state switch).

**Control Bits.** Besides loading guest state, the VMRUN instruction reads various control fields from the VMCB; most of these fields are not written back to the VMCB on #VMEXIT (since they cannot change during guest execution):

■ TSC\_OFFSET—an offset to add when the guest reads the TSC (time stamp counter). Guest writes to the TSC can be intercepted and emulated by changing the offset (without writing the physical TSC). This offset is cleared when the guest exits back to the host.

- V\_INTR\_PRIO, V\_INTR\_VECTOR, V\_IGN\_TPR—fields used to describe a virtual interrupt for the guest (see "Injecting Virtual (INTR) Interrupts" on page 34).
- V\_INTR\_MASKING—controls whether masking of interrupts (in EFLAGS.IF and TPR) is to be virtualized (see Section 2.17 on page 33).
- The TLB address space ID (ASID) to use while running the guest. (See Appendix B, "Processor Feature Identification," on page 81 for feature identification, including how many ASIDs are implemented.)
- A flag indicating whether to flush the TLB of *all* entries just before running the guest.
- The intercept vector describing the active intercepts for the guest. On exit from the guest, the internal intercept registers are cleared so no host operations will be intercepted.

**Segment State in the VMCB.** The segment registers are stored in the VMCB in a format similar to that for SMM: both base and limit are fully expanded; segment attributes are stored as 12-bit values formed by the concatenation of bits 55–52 and 47–40 from the original 64-bit (in-memory) segment descriptors; the descriptor "P" bit is used to signal NULL segments (P==0) where permissible and/or relevant. When loaded from the VMCB, only some of the attribute bits are observed by hardware, depending on the segment register in question:

- CS—D, L, R (null code segment are not allowed).
- SS—B, P, DPL, E, W (null stack segments allowed in 64-bit mode only).
- DS, ES, FS, GS —D, P, DPL, E, W, Code/Data.
- LDTR—Only the P bit is observed.
- TR—Only TSS type (32 or 16 bit) is relevant, since a null TSS is not allowed.

The VMM should follow these rules when storing segment attributes into the VMCB:

- For NULL segments, set all attribute bits to zero.
- Otherwise, write the concatenation of bits [55–52] and [47–40] from the original 64-bit (in-memory) segment descriptors.

- The processor reads the current privilege level from the CPL field in the VMCB, not from SS.DPL. However, SS.DPL should match the CPL field.
- When in virtual x86 or real mode, the processor ignores the CPL field in the VMCB (and forces the values of 3 and 0, respectively).

When examining segment attributes after a #VMEXIT:

- Test the Present (P) bit to check whether a segment is NULL; note that CS and TR never contain NULL segments and so their P bit is meaningless;
- Retrieve the CPL from the CPL field in the VMCB, not from any segment DPL.

Canonicalization and Consistency Checks. The VMRUN instruction performs consistency checks on host and guest state, very much like RSM performs checks on the new state. Illegal guest state combinations cause a #VMEXIT with error code VMEXIT\_INVALID. The following conditions are considered illegal state combinations:

- EFER.SVME is zero.
- CR0.CD is zero and CR0.NW is set.
- CR0[63–32] are not zero.
- Any MBZ bits of CR3 are set.
- CR4[63–11] are not zero.
- DR6[63–32] are not zero.
- DR7[63–32] are not zero.
- EFER[63–15] are not zero.
- EFER.LMA or EFER.LME is non-zero this processor does not support long mode.
- EFER.LME and CR0.PG are both set and CR4.PAE is zero.
- EFER.LME and CR0.PG are both non-zero and CR0.PE is zero.
- EFER.LME, CR0.PG, CR4.PAE, CS.L, and CS.D are all non-zero.
- The VMRUN intercept bit is zero.
- (Other MBZ bits exist in various registers stored in the VMCB.)

- The MSR or IOIO intercept tables extend to a physical address ≥ the maximum supported physical address
- Illegal event injection (see Section 2.16 on page 32).

VMRUN can load a guest value of CR0 with PE = 0 but PG = 1, a combination that is otherwise illegal.

In addition to consistency checks, VMRUN and #VMEXIT canonicalize (i.e., sign-extend to 63 bits) all base addresses in the segment registers that have been loaded.

VMRUN and TF/RF bits in EFLAGS. When considering interactions of VMRUN with the TF and RF bits in EFLAGS, one must distinguish between the behavior of host as opposed to that of the guest.

From the host point of view, VMRUN acts like a single instruction, even though an arbitrary number of guest instructions may execute before a #VMEXIT effectively completes the VMRUN. As a single host instruction, VMRUN interacts with EFLAGS.RF and EFLAGS.TF like ordinary instructions. EFLAGS.RF suppresses any potential instruction breakpoint match on the VMRUN, and EFLAGS.TF causes a #DB trap after the VMRUN completes on the host side (i.e., after the #VMEXIT from the guest). As with any normal instruction, completion of the VMRUN instruction clears the host EFLAGS.RF bit.

The first guest instruction obeys the value of EFLAGS.RF from the VMCB. When VMRUN loads a guest value of 1 for EFLAGS.RF, that value takes effect and suppresses any potential (guest) instruction breakpoint on the first guest instruction. When VMRUN loads a guest value of 1 in EFLAGS.TF, that value does *not* cause a trace trap between the VMRUN and the first guest instruction, but rather *after* completion of the first guest instruction.

Host values of EFLAGS have no affect on the guest and viceversa.

See also Section 2.4.1 on page 14 regarding the value of EFLAGS.RF saved on #VMEXIT.

#### 2.3 #VMEXIT

When an intercept triggers, the processor performs a #VMEXIT (i.e., an exit from the guest to the host context).

On #VMEXIT, the processor:

- Disables interrupts by clearing the GIF, so that after the #VMEXIT, VMM software can complete the state switch atomically.
- Writes back to the VMCB the current guest state—the same subset of processor state as is loaded by the VMRUN instruction, including the V\_IRQ, V\_TPR, and the IF SHADOW bits.
- Saves the reason for exiting the guest in the VMCB's EXITCODE field; additional information may be saved in the EXITINFO1 or EXITINFO2 fields, depending on the intercept.
- Clears all intercepts.
- Resets the current ASID register to zero (host ASID).
- Clears the V\_IRQ and V\_INTR\_MASKING bits inside the processor.
- Clears the TSC\_OFFSET inside the processor.
- Reloads the host state previously saved by the VMRUN instruction.

Note: The processor reloads the host's CS, SS, DS, and ES segment registers and, if required, re-reads the descriptors from the host's segment descriptor tables, depending on the implementation. Software should keep the host's segment descriptor tables consistent with the segment registers when executing VMRUN instructions. Immediately after #VMEXIT, the processor still contains the guest value for LDTR. So for CS, SS, DS, and ES, the VMM must only use segment descriptors from the global descriptor table. Any exception encountered while reloading the host segments causes a shutdown.

- If the host is in PAE mode, the processor reloads (by means of the host's CR3) the host's PDPEs. If the PDPEs contain illegal state, the processor shuts down.
- Forces CR0.PE = 1, RFLAGS.VM = 0 (in other words, the saved copy of these bits is ignored).
- Sets the host CPL to zero.

- Disables all breakpoints in the host DR7 register.
- Checks the reloaded host state for consistency; any error causes the processor to shutdown. If the host's RIP reloaded by #VMEXIT is outside the limit of the host's code segment or non-canonical (in the case of long mode), a #GP fault is delivered inside the host.

Note: When loading segment bases from the VMCB or the hostsave area (on VMRUN or #VMEXIT), segment bases are canonicalized (i.e., sign-extended from the highest implemented address bit to bit 63); see the AMD64 Architecture Programmer's Manual, Volume 2: System Programming, order# 24593.

Any illegal state or exception encountered while reloading host segment state in the VMCB state will cause a processor shutdown.

#### 2.4 Intercept Operation

Various instructions and events (such as exceptions) in the guest can be *intercepted* by means of control bits in the VMCB. The two primary classes of intercepts supported by SVM are *instruction* and *exception* intercepts.

**Exception intercepts.** Exception intercepts are checked when normal instruction processing must raise an exception—*before* resolving possible double-fault conditions according to table 8-3 in Volume 2 of the *AMD64 Architecture Programmer's Manual*, order# 24593, and before attempting delivery of the exception (which includes pushing an exception frame, accessing the IDT, etc.).

For some exceptions, the processor still writes certain exception-specific registers even if the exception is intercepted. (See the descriptions in Section 2.8 on page 23 and following for details.) When an external or virtual interrupt is intercepted, the interrupt is left pending.

When an intercept occurs while the guest is in the process of delivering a non-intercepted interrupt or exception using the IDT, SVM provides additional information on #VMEXIT (See Section 2.4.2 on page 14).

**Instruction intercepts.** These occur at well-defined points in instruction execution—before the results of the instruction are committed, but ordered in an intercept-specific priority relative to the instruction's exception checks. Generally, instruction intercepts are checked after simple exceptions (such as #GP when CPL is incorrect, or #UD) have been checked, but before exceptions related to memory accesses (such as page faults) and exceptions based on specific operand values. There are several exceptions to this guideline, e.g., the RSM instruction. Instruction breakpoints for the current instruction and pending data breakpoint traps from the previous instruction are designed to be checked before instruction intercepts.

#### 2.4.1 State Saved on Exit

When triggered, intercepts write an EXITCODE into the VMCB identifying the cause of the intercept. The EXITINTINFO field signals whether the intercept occurred while the guest was attempting to deliver an interrupt or exception through the IDT; a VMM can use this information to transparently complete the delivery (see "Event Injection" on page 32). Some intercepts provide additional information in the EXITINFO1 and EXITINFO2 fields in the VMCB; see the individual intercept descriptions for details.

The guest state saved in the VMCB is the processor state as of the moment the intercept triggers. In the x86 architecture, traps (as opposed to faults) are detected and delivered *after* the instruction that triggered them has completed execution. Accordingly, a trap intercept takes place after the execution of the instruction that triggered the trap in the first place. The saved guest state thus includes the effects of executing that instruction.

**Example:** Assume a guest instruction triggers a data breakpoint (#DB) trap which is in turn intercepted. The VMCB records the guest state after execution of that instruction, so that the saved CS:RIP points at the following instruction, and the saved DR7 includes the effects of hitting the data breakpoint.

Some exceptions write special registers even when they are intercepted; see the individual descriptions in "Exception Intercepts" on page 23 for details.

# 2.4.2 Intercepts During IDT Interrupt Delivery

It is possible for an intercept to occur while the guest is attempting to deliver an exception or interrupt through the IDT e.g., #PF because the VMM has paged out the guest's exception stack). In some cases, such an intercept can result in the loss of information necessary for transparent resumption of the guest. In the case of an external interrupt, for example, the processor will already have performed an interrupt acknowledge cycle with the PIC or APIC to obtain the interrupt type and vector, and the interrupt is thus no longer pending.

To recover from such situations, all intercepts indicate (in the EXITINTINFO field in the VMCB) whether they occurred during exception or interrupt delivery though the IDT. This mechanism allows the VMM to complete the intercepted interrupt delivery, even when it is no longer possible to recreate the event in question.



Figure 2-1. EXITINTINFO for All Intercepts

The fields in EXITINTINFO are as follows:

- VECTOR—Bits 7–0. The 8-bit IDT vector of the interrupt.
- TYPE—Bits 10–8. Qualifies the guest exception or interrupt. Table 2-1 shows possible values returned and their corresponding interrupt or exception types. Values not indicated are unused and reserved.

Value	Туре
0	External or virtual interrupt (INTR
2	NMI
3	Exception (fault or trap)

**Table 2-1. Guest Exception or Interrupt Types** 

4

Despite the instruction name, the events raised by the INT1 (also known as ICEBP), INT3 and INTO instructions (opcodes F1h, CCh and CEh) are considered exceptions, not software interrupts. Only events raised by the INT*n* instruction (opcode CDh) are considered software interrupts.

INT*n* instruction)

Software interrupt (caused by

■ EV (error code valid)—Bit 11. Set to 1 if the guest exception would have pushed an error code; cleared to zero otherwise.

- V (valid)—Bit 31. Set to 1 if the intercept occurred while the guest attempted to deliver an exception through the IDT; otherwise cleared to zero.
- ERRORCODE—Bits 63–32. If EV is set to 1, holds the error code that the guest exception would have pushed; otherwise is undefined.

In the case of multiple exceptions, EXITINTINFO records the aggregate information on all exceptions but the last (and intercepted) one.

**Example:** A guest raises a #GP during delivery of which a #NP is raised (a scenario that, according to x86 rules, resolves to a #DF), and an intercepted #PF occurs during the attempt to deliver the #DF. Upon intercept of the #PF, EXITINTINFO indicates that the guest was in the process of delivering a #DF when the #PF occurred. The information about the intercepted page fault itself is encoded in the EXITCODE, EXITINFO1 and EXITINFO2 fields. If the VMM decides to repair and dismiss the #PF, it can resume guest execution by re-injecting (see "Event Injection" on page 32) the fault recorded in EXITINTINFO. If the VMM decides that the #PF should be reflected back to the guest, it must combine the event in EXITINTINFO with the intercepted exception according to x86 rules (see table 8-3 in Volume 2 of the AMD64 Architecture Programmer's Manual, order# 24593). In this case, a #DF plus a #PF would result in a triple fault or shutdown.

### 2.4.3 **EXITINTINFO Pseudo-Code**

When delivering exceptions or interrupts in a guest, the processor checks for exception intercepts and updates the value of EXITINTINFO should an intercept occur during exception delivery. The following pseudo-code outlines how the processor delivers an event (exception or interrupt) E.

```
if E is an exception and is intercepted:
    #VMEXIT(E)
E = (result of combining E with any prior events)

if (result was #DF and #DF is intercepted):
    #VMEXIT(#DF)

if (result was shutdown and shutdown is intercepted):
    #VMEXIT(#shutdown)

EXITINTINFO = E // Record the event the guest is delivering.

Attempt delivery of E through the IDT
Note that this may cause secondary exceptions
```

Once an exception has been successfully taken in the guest:

EXITINTINFO.V = 0 // Delivery succeeded; no #VMEXIT. Dispatch to first instruction of handler

When an exception triggers an intercept, the EXITCODE (and optionally EXITINFO1 and EXITINFO2) fields always reflect the (raw) intercepted exception, while EXITINTINFO (if marked valid) indicates the prior exception the guest was attempting to deliver when the intercept occurred.

#### 2.5 Instruction Intercepts

This section specifies which instructions check a given intercept and, where relevant, how the intercept is prioritized relative to exceptions.

#### 2.5.1 **Read/Write of CRO**

Checked by—MOV TO/FROM CR0, LMSW, SMSW, CLTS.

**Priority**—Checks non-memory exceptions (CPL, illegal bit combinations, etc.) before the intercept. For LMSW and SMSW, checks SVM intercepts before checking memory exceptions.

# 2.5.2 Read/Write of CR3 (excluding task switch)

**Checked by—**MOV TO/FROM CR3 (not checked by task switch operations).

**Priority**—Checks non-memory exceptions first, then the intercept. If the intercept triggers on a write, the intercept happens *before* the TLB is flushed. If PAE is enabled, the loading of the four PDPEs can cause a #GP; that exception is checked *after* the intercept check, so the VMM handling a CR3 intercept cannot rely on the PDPEs being legal; it must examine them in software if necessary.

The reads and writes of CR3 that occur in VMRUN, #VMEXIT or task switches are *not* subject to this intercept check.

### 2.5.3 Read/Write of other CRs

Checked by—MOV TO/FROM CRn.

**Priority**—All normal exception checks take precedence over the SVM intercepts.

# 2.5.4 Read/Write of Debug Registers, DRn

**Checked by—**MOV TO/FROM DR*n*. (Not checked by implicit DR6/DR7 writes.)

**Priority**—All normal exception checks take precedence over the SVM intercepts.

### 2.5.5 **Selective CRO** Write Intercept

Checked by—MOV TO CR0, LMSW

**Priority**—Checks non-memory exceptions (CPL, illegal bit combinations, etc.) before the intercept. For LMSW and SMSW, checks SVM intercepts before checking memory exceptions.

The selective write intercept on CR0 triggers only if a bit other than CR0.TS or CR0.MP is being changed by the write. In particular, this means that CLTS does not check this intercept.

When both selective and non-selective CR0-write intercepts are active at the same time, the non-selective intercept takes priority. With respect to exceptions, the priority of this intercept is the same as the generic CR0-Write intercept.

The LMSW instruction treats the selective CR0-Write intercept as a non-selective intercept (i.e., it intercepts regardless of the value being written).

# 2.5.6 Reading/Writing of IDTR, GDTR, LDTR, TR

Checked by—LIDT, SIDT, LGDT, SGDT, LLDT, SLDT, LTR, STR instructions, respectively.

**Priority**—The SVM intercept is checked after #UD and #GP exception checks, but before any memory access is performed.

# 2.5.7 **RDTSC Instruction Intercept**

Checked by—RDTSC instruction

**Priority**—Checks all exceptions before the SVM intercept.

### 2.5.8 RDPMC Instruction Intercept

**Checked by—**RDPMC instruction

**Priority**—Checks all exceptions before the SVM intercept.

### 2.5.9 **PUSHF Instruction Intercept**

**Checked by—**PUSHF instruction.

**Priority**—The intercept takes priority over any exceptions.

### 2.5.10 **POPF Instruction Intercept**

**Checked by—**POPF instruction.

**Priority**—The intercept takes priority over any exceptions.

# 2.5.11 **CPUID Instruction Intercept**

**Checked by—**CPUID instruction.

**Priority**—No exceptions to check.

#### 2.5.12 **RSM** Instruction Intercept

**Checked by—**RSM instruction.

**Priority**—The intercept takes priority over any exceptions.

2.5.13 <b>IRET</b>	Checked by—IRET instruction.	
Instruction Intercept	<b>Priority</b> —The intercept takes priority over any exceptions.	
2.5.14 <b>Software</b>	Checked by—INT $n$ instruction.	
Interrupt Intercept	<b>Priority</b> —The intercept occurs before any exceptions are checked. The CS:RIP reported on #VMEXIT are those of the intercepted INT <i>n</i> instruction.	
	Though the INT $n$ instruction may dispatch through IDT vectors in the range of 0–31, those events cannot be intercepted by means of exception intercepts ("Exception Intercepts" on page 23).	
2.5.15 <b>INVD</b>	Checked by—INVD instruction.	
Instruction Intercept	<b>Priority</b> —Exceptions (#GP) are checked before the intercept.	
2.5.16 <b>PAUSE</b>	Checked by—PAUSE instruction (opcode F3 90).	
Instruction Intercept	Priority—No exceptions to check.	
2.5.17 HLT Instruction Intercept	Checked by—HLT instruction.	
	<b>Priority</b> —Checks all exceptions before checking for this intercept.	
2.5.18 INVLPG	Checked by—INVLPG instruction.	
Instruction Intercept	<b>Priority</b> —Checks all exceptions (#GP) before the intercept.	
2.5.19 INVLPGA	Checked by—INVLPGA instruction.	
Instruction Intercept	<b>Priority</b> —Checks all exceptions (#GP) before the intercept.	
2.5.20 VMRUN	Checked by—VMRUN instruction.	
Instruction Intercept	<b>Priority</b> —Checks exceptions (#GP) before the intercept.	
	Note: The current implementation requires that the VMRUN intercept always be set in the VMCB.	
2.5.21 VMLOAD	Checked by—VMLOAD instruction.	
Instruction Intercept	<b>Priority</b> —Checks exceptions (#GP) before the intercept.	

**Checked by—**VMSAVE instruction.

**Priority**—Checks exceptions (#GP) before the intercept.

2.5.22 VMSAVE Instruction Intercept

2.5.23 VMMCALL Instruction Intercept

Checked by—VMMCALL instruction.

**Priority**—The intercept takes priority over exceptions. VMMCALL takes #UD if it is not intercepted or if EFER.SVME is zero.

is zer

2.5.24 **STGI Checked by—STGI** instruction. **Instruction Intercept** 

**Priority**—Checks exceptions (#GP) before the intercept.

2.5.25 **CLGI Checked by—**CLGI instruction. **Instruction Intercept** 

**Priority**—Checks exceptions (#GP) before the intercept.

2.5.26 **SKINIT Checked by—SKINIT** instruction. **Instruction Intercept** 

**Priority**—Checks exceptions (#GP) before the intercept.

2.5.27 **RDTSCP Checked by—**RDTSCP instruction. **Instruction Intercept** 

**Priority**—Checks all exceptions before the SVM intercept.

2.5.28 **ICEBP** Checked by—ICEBP instruction (opcode F1h).

Instruction Intercept

Note: Although the ICEBP instruction directors and instruction and instructions are also as a second control of the ICEBP.

Note: Although the ICEBP instruction dispatches through IDT vector 1, that event is not interceptable by means of the #DB exception intercept.

#### 2.6 IOIO Intercepts

The VMM can intercept IOIO instructions (IN, OUT, INS, OUTS) on a port-by-port basis by means of the SVM I/O permissions map.

**I/O Permissions Map.** The I/O Permissions Map (IOPM) occupies 12 Kbytes of *contiguous physical* memory. The table is structured as a linear array of 64K+3 bits (two 4-Kbyte pages, and the first three bits of a third 4-Kbyte page) and must be aligned on a 4-Kbyte boundary; the *physical* base address of the IOPM is specified in the IOPM\_BASE\_PA field in the VMCB and loaded into the processor by the VMRUN instruction.

Note: The VMRUN instruction ignores the lower 12 bits of the address specified in the VMCB. If the address of the last byte in the table is greater than or equal to the maximum supported physical address, this is treated as illegal VMCB state and causes a #VMEXIT(VMEXIT INVALID).

Each bit in the table corresponds to an 8-bit I/O port. Bit 0 in the table corresponds to I/O port 0, bit 1 to I/O port 1 and so on. A bit set to 1 indicates that accesses to the corresponding port should be intercepted. The IOPM is accessed by physical address, and should reside in memory that is mapped as writeback (WB).

**IN and OUT Behavior.** If the IOIO\_PROT intercept bit is set, the IOPM table controls port access. For IN/OUT instructions that access more than a single byte, the permission bits for all bytes are checked; if any bit is set to 1, the I/O operation is intercepted.

Exceptions related to virtual x86 mode, IOPL, or the TSS-bitmap are checked *before* the SVM intercept check. All other exceptions are checked *after* the SVM intercept check.

**I/O Intercept Information.** When an IOIO intercept triggers, the following information (describing the intercepted operation in order to facilitate emulation) is saved in the VMCB's EXITINFO1 field:

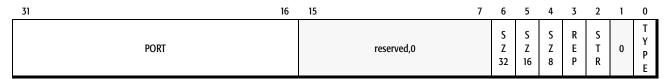


Figure 2-2. EXITINFO1 for IOIO Intercept

The fields are as follows:

- PORT—Intercepted I/O port
- SZ32—Port access was 32-bit
- SZ16—Port access was 16-bit
- SZ8—Port access was 8-bit
- REP—Repeated port access
- STR—String based port access (INS, OUTS)
- TYPE—Access type (0 = OUT instruction, 1 = IN instruction)

The RIP of the instruction *following* the IN/OUT is saved in EXITINFO2, so that the VMM can easily resume the guest after I/O emulation.

#### 2.7 MSR Intercepts

The VMM can intercept RDMSR and WRMSR instructions by means of the *SVM MSR permissions map* (MSRPM) on a per-MSR basis.

MSR Permissions Map. The MSR permissions bitmap consists of a number of smaller separate bitmaps of 2K bytes each covering a defined range of 8K MSRs. Four of these smaller bitmaps reside in two physical pages (8KB, covering 32K MSRs). One 8Kbyte range is used for the Pentium® compatible MSRs, the next 8K range is used for the AMD sixth generation x86 processor (AMD-K6®) MSRs, and the third 8K range for the AMD seventh and eighth generation x86 processors (e.g., the AMD Athlon<sup>TM</sup> and AMD Opteron<sup>TM</sup>) MSRs. If the MSR\_PROT intercept is active, any attempt to read or write an MSR not covered by the bitmap will automatically cause an intercept.

The MSRPM is accessed by physical address, and should reside in memory that is mapped as writeback (WB). The MSRPM must be aligned on a 4KB boundary. The physical base address of the MSRPM is specified in MSRPM\_BASE\_PA field in the VMCB and loaded into the processor by the VMRUN instruction.

Note: The VMRUN instruction ignores the lower 12 bits of the address specified in the VMCB, and if the address of the last byte in the table is greater than or equal to the maximum supported physical address, this is treated as illegal VMCB state and causes a #VMEXIT(VMEXIT\_INVALID).

Table 2-2. Ranges of MSR Permissions Map

Byte Offset	MSR Range	Current Usage
000h-7FFh	0000_0000h-0000_1FFFh	Pentium <sup>®</sup> -compatible MSRs
800h-FFFh	C000_0000h-C000_1FFFh	AMD Sixth Generation x86 Processor MSRs and SYSCALL
1000h-17FFh	C001_0000h-C001_1FFFh	AMD Seventh and Eighth Generation Processor Public/Private MSRs
1800h-1FFFh	XXXX_XXXX-XXXX_XXXX	reserved

Table 2-2 defines the ranges of the MSR permissions map. For each MSR mapped by the table, two bits are allocated—the lower order of the two bits controls read access to the MSR, and the higher order of the two bits controls write access. A bit value of 1 indicates that the operation is intercepted.

**RDMSR and WRMSR Behavior.** If the MSR\_PROT bit in the VMCB's intercept vector is clear, RDMSR/WRMSR instructions are not intercepted.

RDMSR and WRMSR instructions check for exceptions and intercepts in the following order:

- Exceptions common to all MSRs (e.g., #GP if not at CPL-0)
- Check SVM intercepts in the MSR permission map, if the MSR\_PROT intercept is requested.
- Exceptions specific to a given MSR (including password protection, unimplemented MSRs, reserved bits, etc.)

**MSR Intercept Information.** On #VMEXIT, the processor indicates in the VMCB's EXITINFO1 whether a RDMSR (EXITINFO1 = 0) or WRMSR (EXITINFO1 = 1) was intercepted.

#### 2.8 Exception Intercepts

When intercepting exceptions that define an error code (normally pushed onto the exception stack), the SVM hardware delivers that error code in the VMCB's EXITINFO1 field; the exception vector number can be inferred from the EXITCODE. The CS.SEL and RIP saved in the VMCB on an exception-intercept always match those that would otherwise have been pushed onto the exception stack frame. Unless otherwise noted below, no special registers are written before an exception is intercepted. For details on guest state saved in the VMCB, see Section 2.4.1.

External interrupts and software interrupts (INTn instruction) do not check the exception intercepts, even when they use a vector in the range 0 to 31.

Exceptions that occur during the handling of a prior exception are checked for intercepts *before* being combined with the prior exception (e.g., into a double-fault). If the result of combining exceptions is a double-fault or shutdown, the processor checks whether those are intercepted before attempting delivery.

**Example:** Assume that the VMM intercepts #GP and #DF exceptions, and the guest raises a (non-intercepted) #NP, during the delivery of which it also gets a #GP (e.g., due to an illegal IDT entry)—a situation that, according to x86 semantics, results in a #DF. In this case, #VMEXIT signals an intercepted #GP, not an intercepted #DF. On the other hand, if only the #DF intercept were active in this scenario, #VMEXIT would signal an intercepted #DF.

The following subsections detail the individual intercepts.

#### 2.8.1 **#DE (Divide By Zero)**

The EXITINFO1 and EXITINFO2 fields are undefined.

#### 2.8.2 **#DB (Debug)**

The #DB exception can have fault-type (e.g., instruction breakpoint) or trap-type (e.g., data breakpoint) behavior; accordingly the intercept differs in what state is saved in the VMCB (see "State Saved on Exit" on page 14). In either case, however, the value saved for DR6 and DR7 matches what would be visible to a #DB exception handler (i.e., both #DB faults and traps are permitted to write DR6 and DR7 before the intercept). The EXITINFO1 and EXITINFO2 fields are undefined.

Note: A vector 1 exception generated by the single byte INT1 instruction (also known as ICEBP) does not trigger the #DB intercept. Software should use the dedicated ICEBP intercept to intercept ICEBP (see "ICEBP Instruction Intercept" on page 20).

#### 2.8.3 **Vector 2** (Reserved)

This intercept bit is not implemented; use the NMI intercept (Section 2.9.2) instead. The effect of setting this bit is undefined.

# 2.8.4 **#BP** (Breakpoint)

This intercept applies to the trap raised by the single byte INT3 (opcode CCh) instruction. The EXITINFO1 and EXITINFO2 fields are undefined.

#### 2.8.5 **#OF (Overflow)**

This intercept applies to the trap raised by the INTO (opcode CEh) instruction. The EXITINFO1 and EXITINFO2 fields are undefined.

### 2.8.6 **#BR (Bound-Range)**

This intercept applies to the fault raised by the BOUND instruction. The EXITINFO1 and EXITINFO2 fields are undefined.

2.8.7	#UD (Invalid
<b>Opc</b>	de)
	#NM (Device- Available)
2.8.9	#DF (Double
<b>Faul</b> t	i)

The EXITINFO1 and EXITINFO2 fields are undefined.

The EXITINFO1 and EXITINFO2 fields are undefined.

The EXITINFO1 and EXITINFO2 fields are undefined. The RIP value saved in the VMCB is undefined (as is the case for the RIP value pushed on the stack for #DF exceptions).

Note: If a double fault is intercepted, the exceptions leading up to the double fault will have written any status registers normally written by those exceptions.

# 2.8.10 Vector 9 (Reserved)

This intercept is not implemented. The effect of setting this bit is undefined.

### 2.8.11 **#TS (Invalid TSS)**

The EXITINFO1 and EXITINFO2 fields are undefined. The RIP value saved in the VMCB may point to either the instruction causing the task switch, or to the first instruction of the incoming task.

### 2.8.12 **#NP (Segment Not Present)**

The EXITINFO1 field contains the error code that would be pushed on the stack by a #NP exception. The EXITINFO2 field is undefined.

### 2.8.13 **#SS (Stack Fault)**

The EXITINFO1 field contains the error code that would be pushed on the stack by a #SS exception. The EXITINFO2 field is undefined.

## 2.8.14 **#GP (General Protection)**

The EXITINFO1 field contains the error code that would be pushed on the stack by a #GP exception.

#### 2.8.15 **#PF (Page** Fault)

This intercept is tested *before* CR2 is written by the exception. The error code saved in EXITINFO1 is the same as would be pushed onto the stack by a non-intercepted #PF exception in protected mode. The faulting address is saved in the EXITINFO2 field in the VMCB.

Note: Even when the guest is running in paged real mode, the processor will deliver the (protected-mode) page-fault error code in EXITINFO1, for the hypervisor to use in analyzing the intercepted #PF.

# 2.8.16 **#MF (X87 Floating Point)**

This intercept is tested *after* the floating point status word has been written, as is the case for a normal FP exception. The EXITINFO1 and EXITINFO2 fields are undefined.

### 2.8.17 **#AC (Alignment Check)**

The EXITINFO1 field contains the error code that would be pushed on the stack by an #AC exception. The EXITINFO2 field is undefined.

### 2.8.18 #MC (Machine Check)

The SVM intercept is checked after all #MC-specific registers have been written, but before other guest state is modified. When #MC is being intercepted, a machine-check exits to the VMM wherever possible, and shuts down the processor only where this is not a reasonable option. The EXITINFO1 and EXITINFO2 fields are undefined.

# 2.8.19 **#XF (SIMD Floating Point)**

This intercept is tested after the SIMD status word (MXCSR) has been written, as is the case for a normal FP exception. The EXITINFO1 and EXITINFO2 fields are undefined.

#### 2.9 Interrupt Intercepts

External interrupts, when intercepted, cause a #VMEXIT; the interrupt is held pending so that the interrupt can eventually be taken in the VMM. Exception intercepts do not apply to external or software interrupts, so it is not possible to intercept an interrupt by means of the exception intercepts, even if the interrupt should happen to use a vector in the range from 0 to 31.

#### 2.9.1 INTR Intercept

This intercept affects physical, as opposed to virtual, maskable interrupts. See "Virtual Interrupt Intercept" on page 36 for virtualization of maskable interrupts.

#### 2.9.2 NMI Intercept

This intercept affects non-maskable interrupts.

#### 2.9.3 **SMI Intercept**

This intercept affects System Management Mode Interrupts (SMIs); see "SMM Support" on page 38 for details on SMI handling. When the intercept triggers, the VMCB's EXITINFO1 field distinguishes whether the SMI was caused internally, i.e., by I/O Trapping (EXITINFO1=0), or asserted externally (EXITINFO1=1).

#### 2.9.4 INIT Intercept

This allows the VMM to intercept the assertion of INIT while a guest is running; see "INIT Support" on page 37 for a discussion of the INIT-redirection feature.

### 2.9.5 Virtual Interrupt Intercept

This intercept is taken when a guest is about to take a virtual interrupt. When the intercept triggers, the virtual interrupt has not been taken, and and remains pending in the guest's VMCB V\_IRQ field.

Note: This intercept is not required for handling fixed localAPIC interrupts, but may be used for emulating ExtINT interrupt delivery mode (which does not obey the TPR), or legacy PICs in auto-EOI mode.

#### 2.10 Miscellaneous Intercepts

The SVM architecture includes intercepts to handle task switches, processor freezes due to FERR, and shutdown operations.

# 2.10.1 Task Switch Intercept

**Checked by**—Any instruction or event that causes a task switch (e.g., JMP, CALL, exceptions, interrupts, software interrupts).

**Priority**—The intercept is checked before the task switch takes place but *after* the incoming TSS and task gate (if one was involved) have been checked for correctness.

Task switches can modify several resources that a VMM may want to protect (CR3, EFLAGS, LDT). However, instead of checking various intercepts (e.g., CR3 Write, LDTR Write) individually, task switches check only a single intercept bit.

On #VMEXIT, the following information is delivered in the VMCB:

- EXITINFO1[15–0] holds the segment selector identifying the incoming TSS.
- EXITINFO2[31–0] holds the error code to push in the new task (undefined if n/a).
- EXITINFO2[63–32] holds auxiliary information for the VMM:
  - EXITINFO2[36]—Set to 1 if the task switch was caused by an IRET; else cleared to 0.
  - EXITINFO2[38]—Set to 1 if the task switch was caused by a far jump; else cleared to 0.
  - EXITINFO2[44]—Set to 1 if the task switch has an errorcode; else cleared to 0.

- EXITINFO2[48]—The value of EFLAGS.RF that would be saved in the outgoing TSS if the task switch were not intercepted.

# 2.10.2 Ferr\_Freeze Intercept

Checked when the processor freezes due to assertion of FERR (while IGNNE is deasserted, and legacy handling of FERR is selected in CR0.NE), i.e., while the processor is waiting to be unfrozen by an external interrupt.

# 2.10.3 **Shutdown Intercept**

When this intercept occurs, any condition that normally causes a shutdown causes a #VMEXIT to the VMM instead.

Note: After an intercepted shutdown, the state saved in the VMCB is undefined.

#### 2.11 VMSAVE and VMLOAD Instructions

The VMSAVE and VMLOAD instructions take the physical address of a VMCB in the (implicit) rAX operand. The instructions are intended to complement the state save/restore abilities of the VMRUN instruction. They provide access to hidden processor state that software cannot otherwise access, as well as additional privileged state.

VMSAVE saves the following state to the VMCB pointed at by rAX:

- FS, GS, TR, LDTR (including all hidden state)
- KernelGsBase
- STAR, LSTAR, CSTAR, SFMASK
- SYSENTER\_CS, SYSENTER\_ESP, SYSENTER\_EIP

VMLOAD loads the corresponding state from the VMCB. VMLOAD and VMSAVE are available only at CPL-0 (#GP otherwise), and in protected mode with SVM enabled in EFER.SVME (#UD otherwise).

#### 2.12 TLB Control

TLB entries are tagged with *Address Space Identifier* (ASID) bits to distinguish different host and/or guest address spaces. The VMM can choose a software strategy in which it keeps multiple shadow page tables (SPTs) up-to-date and allocates one ASID per SPT. This allows switching to a new process in a guest (i.e., a

new CR3 value, which means a new SPT) without flushing the TLBs.

The VMRUN instruction and #VMEXIT write the CR0, CR3, CR4 and EFER registers — these writes do *not* flush the TLB. The VMM is responsible for explicitly invalidating any guest translations that may be affected by its actions; there are two mechanisms available, as described in the next two sections.

When running with SVM enabled, global page table entries (PTEs) are global only *within* an ASID, not across ASIDs.

**Software Rule.** When the VMM changes a guest's paging mode by changing entries in the VMCB, it must ensure that the guest's ASID is flushed from the TLB. The relevant VMCB state includes:

- CR0—Any bits other than AM, NE, ET, TS, EM, MP, PE.
- CR3—Any bit.
- CR4—Any bit other than OSX, OSFXSR, PCE, MCE, DE, TSD, PVI, VME.
- EFER—Any bit other than SCE.

#### 2.12.1 **TLB Flush**

TLB flush operations function identically whether or not SVM is enabled (e.g., MOV-TO-CR3 flushes non-global mapping whereas MOV-TO-CR4 flushes global and non-global mappings), and affect *all* ASIDs. The current implementation does not provide a way to selectively flush all translations of a single specified ASID; software may achieve a similar effect by simply allocating a new ASID and not reusing the old ASID until the entire TLB has been flushed at least once.

By setting the TLB\_CONTROL field in the VMCB to 1, the VMM can force a complete flush of the TLB (all ASIDs, global and non-global pages).

#### 2.12.2 Invalidate Page, Alternate ASID

A new instruction, INVLPGA, allows the VMM to selectively invalidate the TLB mapping for a given virtual page and a given ASID. The virtual address is specified in the implicit register operand rAX; the ASID is specified in ECX.

#### 2.13 Global Interrupt Flag, STGI and CLGI Instructions

The global interrupt flag (GIF) is a bit that controls whether interrupts and other events can be taken by the processor. The

STGI and CLGI instructions set and clear, respectively, the GIF. Table 2-1 shows how the GIF. Table 2-3 shows how the value of the GIF affects how interrupts and exceptions are handled.

Table 2-3. Effect of GIF on Interrupt Handling

Interrupt source	GIF==0	GIF == 1
Debug exception or trap, due to breakpoint register match	Ignored and discarded	Normal operation
Debug trace trap due to EFLAGS.TF	Normal operation	Normal operation
RESET#	Normal operation	Normal operation
INIT	Held pending until GIF—1	Normal operation, see Table 2-6 on page 38
NMI	Held pending until GIF—1	Normal operation, see Table 2-7 on page 38
External SMI	Held pending until GIF—1	Normal operation, see Table 2-8 on page 39
Internal SMI (I/O Trapping)	Ignored and discarded	Normal operation, see Table 2-8 on page 39
INTR and vINTR	Held pending until GIF—1 Normal operation	
#SX (Security Exception)	n/a <sup>1</sup> Normal operation	
Machine Check	If possible (implementation-dependent), held pending until GIF—1, otherwise shutdown.	Normal operation
DBREQ# (enter HDT)	Normal operation	Normal operation
DBRLQ# (eillei HDI)	(VM_CR.DPD always controls DBREQ)	

Interrupt source	GIF==0	GIF == 1
A20M	Normal operation	Normal operation
AZUWI	(VM_CR.DIS_A20M controls A20 masking)	
Other implementation- specific but non- architecturally-visible interrupts (STPCLK, IGNNE toggle, ECC scrub)	Normal operation	Normal operation

**Table 2-3. Effect of GIF on Interrupt Handling** (continued)

#### Note:

#### 2.14 VMMCALL Instruction

This instruction is meant as a way for a guest to explicitly call the VMM. No CPL checks are performed, so the VMM can decide whether to make this instruction legal at the user-level or not.

If VMMCALL instruction is not intercepted the instruction raises a #UD exception.

#### 2.15 New Processor Mode: Paged Real Mode

To facilitate virtualization of real mode, the VMRUN instruction may legally load a guest CR0 value with PE = 0 but PG = 1. (Likewise, the RSM instruction is permitted to return to paged real mode.) This processor mode behaves in every way like real mode, with the exception that paging is applied. The intent is that the VMM run the guest in paged-real mode at CPLO, and with page faults intercepted. The VMM is responsible for setting up a shadow page table that makes guest physical memory appear at the proper virtual addresses inside the guest.

The behavior of running a guest in paged real mode without also intercepting page faults to the VMM is undefined.

<sup>1. #</sup>SX is only caused by an INIT signal that has been "redirected" (i.e., converted to an #SX; see Section 3.3); the conversion only happens when GIF—1, as the INIT is simply held pending otherwise.

#### 2.16 Event Injection

The VMM can inject exceptions or interrupts (events) into the guest by setting bits in the VMCB's EVENTINJ field prior to executing the VMRUN instruction. The format of the field is shown in Table 2-4 on page 32. The encoding matches that of the EXITINTINFO field. When an event is injected by means of this mechanism, the VMRUN instruction causes the guest to unconditionally take the specified exception or interrupt before executing the first guest instruction.

Injected events are treated in every way as though they had occurred normally in the guest (in particular, they are recorded in EXITINTINFO) with the following two exceptions:

- Injected events are not subject to intercept checks. (Note, however, that if secondary exceptions occur during delivery of an injected event, those exceptions *are* subject to exception intercepts.)
- An injected NMI does not block delivery of further NMIs.

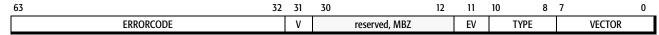


Table 2-4. EVENTINJ Field in the VMCB

The fields in EVENTINJ are as follows:

- VECTOR—Bits 7–0. The 8-bit IDT vector of the interrupt or exception. If TYPE is 2 (NMI), the VECTOR field is ignored.
- TYPE—Bits 10–8. Qualifies the guest exception or interrupt to generate. Table 2-5 shows possible values and their corresponding interrupt or exception types. Values not indicated are unused and reserved.

**Table 2-5. Guest Exception or Interrupt Types** 

Value	Туре
0	External or virtual interrupt (INTR)
2	NMI
3	Exception (fault or trap)
4	Software interrupt (INT <i>n</i> instruction)

- EV (error code valid)—Bit 11. Set to 1 if the exception should push an error code; clear to 0 otherwise.
- V (valid)—Bit 31. Set to 1 if an event is to be injected into the guest; clear to 0 otherwise.
- ERRORCODE—Bits 63–32. If EV is set to 1, the error code to be pushed, ignored otherwise.

Note: Injecting an exception (TYPE = 3) with vectors 3 or 4 behaves like a trap raised by INT3 and INTO instructions, respectively, in which case the processor checks the DPL of the IDT descriptor before dispatching to the handler.

#### VMRUN exits with VMEXIT\_INVALID if either:

- Reserved values of TYPE have been specified, or
- TYPE = 3 (exception) has been specified with a vector that does not correspond to an exception (this includes vector 2, which is an NMI, not an exception).

#### 2.17 Interrupt and localAPIC Support

SVM hardware support is designed to ensure efficient virtualization of interrupts.

#### 2.17.1 Physical (INTR) Interrupt Masking in EFLAGS

To prevent the guest from blocking maskable interrupts (INTR), SVM provides a VMCB control bit, V\_INTR\_MASKING, which changes the operation of EFLAGS.IF and accesses to the TPR by means of the the CR8 register. While running a guest with V\_INTR\_MASKING cleared to zero:

• EFLAGS.IF controls both virtual and physical interrupts.

While running a guest with V\_INTR\_MASKING set to 1:

- The host EFLAGS.IF at the time of the VMRUN is saved and controls physical interrupts while the guest is running.
- The guest value of EFLAGS.IF controls virtual interrupts only.

## 2.17.2 Virtualizing APIC.TPR

SVM provides a virtual TPR register, V\_TPR, for use by the guest; its value is loaded from the VMCB by VMRUN and written back to the VMCB by #VMEXIT. The APIC's TPR always controls the task priority for physical interrupts, and the V TPR always controls virtual interrupts.

While running a guest with V\_INTR\_MASKING cleared to 0:

- Writes to CR8 affect both the APIC's TPR and the V\_TPR register.
- Reads from CR8 operate as they would without SVM.

While running a guest with V\_INTR\_MASKING==1:

- Writes to CR8 affect only the V\_TPR register.
- Reads from CR8 return V\_TPR.

#### 2.17.3 TPR Access in 32-bit Mode

The mechanism for TPR virtualization described in Section 2.17.2 applies only to accesses that are performed using the CR8 register. However, in 32-bit mode, the TPR is traditionally accessible only by using a memory-mapped register. Typically, a VMM virtualizes such TPR accesses by not mapping the APIC page addresses in the guest. A guest access to that region then causes a #PF intercept to the VMM, which inspects the guest page tables to determine the physical address and, after recognizing the physical address as belonging to the APIC, finally invokes software emulation code.

To improve the efficiency of TPR accesses in 32-bit mode, SVM makes CR8 available to 32-bit code by means of an alternate encoding of MOV TO/FROM CR8 (namely, MOV TO/FROM CR0 with a LOCK prefix). To achieve better performance, 32-bit guests should be modified to use this access method, instead of the memory-mapped TPR. (For details, see "MOV (CRn)" on page 66.)

The alternate encodings of the MOV TO/FROM CR8 instructions are available even if SVM is disabled in EFER.SVME. They are available in both 64-bit and 32-bit mode.

# 2.17.4 Injecting Virtual (INTR) Interrupts

Virtual Interrupts allow the host to pass an interrupt (#INTR) to a guest. While inside a guest, the virtual interrupt follows the same rules that a real interrupt follows (virtual #INTR is not taken until EFLAGS.IF = 1, the guest's CR8 priority register has enabled high-priority interupts, etc).

SVM provides an efficient mechanism by which the VMM can inject virtual interrupts into a guest:

■ As described in Section 2.9.1, the VMM can intercept physical interrupts that arrive while a guest is running, by activating the INTR intercept in the VMCB.

- As described in Section 2.17.4, the VMM can virtualize the interrupt masking logic by setting the V\_INTR\_MASKING bit in the VMCB.
- The three VMCB fields V\_IRQ, V\_INTR\_PRIO, and V\_INTR\_VECTOR indicate whether there is a virtual interrupt pending, and, if so, what its vector number and priority are. The VMRUN instruction loads this information into corresponding on-chip registers.
- The processor takes a virtual INTR interrupt if
  - V\_IRQ and V\_INTR\_PRIO indicate that there is a virtual interrupt pending whose priority is greater than the value in V\_TPR,
  - interrupts are enabled in EFLAGS.IF,
  - interrupts are enabled in GIF, and
  - the processor is not in an interrupt shadow (see Section 2.17.5).

The only other difference between virtual INTR handling and normal interrupt handling is that, in the latter case, the interrupt vector is obtained from the V\_INTR\_VECTOR register (as opposed to running an INTAK cycle to the localAPIC).

- The V\_IGN\_TPR field in the VMCB can be set to indicate that the currently pending virtual interrupt is not subject to masking by TPR. The priority comparison against V\_TPR is omitted in this case. This mechanism can be used to inject ExtINT-type interrupts into the guest.
- When the processor dispatches a virtual interrupt (through the IDT), V\_IRQ is cleared after checking for intercepts of virtual interrupts and before the IDT is accessed.
- On #VMEXIT, V\_IRQ is written back to the VMCB, allowing the VMM to track whether a virtual interrupt has been taken.
- Physical interrupts take priority over virtual interrupts, whether they are taken directly or through a #VMEXIT.
- On #VMEXIT, the processor clears its internal copies of V\_IRQ and V\_INTR\_MASKING, so virtual interrupts do not remain pending in the VMM, and interrupt control reverts to normal.

### 2.17.5 Interrupt Shadows

The x86 architecture defines the notion of an *interrupt* shadow—a single-instruction window during which interrupts

are not recognized. For example, the instruction after an STI instruction that sets EFLAGS.IF (from zero to one) does not recognize interrupts or certain debug traps. The VMCB INTERRUPT\_SHADOW field indicates whether the guest is currently in an interrupt shadow. This information is saved on #VMEXIT and loaded on VMRUN.

### 2.17.6 Virtual Interrupt Intercept

When virtualizing interrupt handling, a VMM typically needs only gain control when new interrupts for a guest arrive or are generated, and when the guest issues an EOI (end-of-interrupt). In some circumstances, it may also be necessary for the VMM to gain control at the moment interrupts become enabled in the guest (i.e., just before the guest takes a virtual interrupt). The VMM can do so by enabling the VINTR intercept.

### 2.17.7 Interrupt Masking in LocalAPIC

When guests have direct access to devices, interrupts arriving at the localAPIC can usually be dismissed only by the guest that owns the device causing the interrupt. To prevent one guest from blocking other guests' interrupts (by never processing their own), the VMM can mask pending interrupts in the localAPIC, so they do not participate in the prioritization of other interrupts.

SVM introduces the following new APIC features:

- A 256-bit IER (interrupt enable) register is added to the localAPIC. This register resets to all-ones (enabling all 256 vectors). Software can read and write the IER by means of the memory-mapped APIC page.
- Only vectors that are enabled in the IER participate in the APIC's computation of the highest-priority pending interrupt.
- The VMM can issue specific end-of-interrupt (EOI) commands to the localAPIC, allowing the VMM to clear pending interrupts in any order, rather than always targeting the interrupt with highest-priority.

Software issues a specific EOI (SEOI) by writing the vector number of the interrupt to the new SEOI register in the localAPIC. The SEOI register is located at offset 420h in the APIC space. The SEOI register format is shown in Figure 2-3 below.

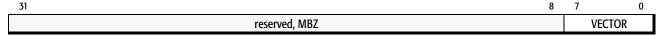


Figure 2-3. Format of SEOI register (in localAPIC)

The IER is made available to software by means of eight 32-bit registers in the localAPIC; bit i of the 256-bit IER is located at bit position (i mod 32) in the localAPIC register IER[i/32]. The eight IER registers are located at offsets 480h, 490h, ...,4F0h in APIC space.

The IER and SEOI registers are located in the APIC Extended Space area. The presence of the APIC Extended Space area is indicated by bit 31 of the APIC Version Register (at offset 30h in APIC space).

The presence of the IER and SEOI functionality is identified by bits 0 and 1, respectively, of the APIC Extended Feature Register (located at offset 400h in APIC space). IER and SEOI are enabled by setting bits 0 and 1, respectively, of the APIC Extended Control Register (located at offset 410h).

#### 2.17.8 INIT Support

The INIT signal interrupts the processor after completion of the current instruction and causes an unconditional control transfer. INIT reinitializes the control registers, segment registers and GP registers similar to RESET#, but does not alter the contents of most MSRs, caches or numeric coprocessor (x87 or SSE) state, and then transfers control to the same instruction address as RESET# (physical address FFFFFF0h). Unlike RESET#, INIT is not expected to be visible to the memory controller, and hence will not trigger automatic clearing of trusted memory pages by memory controller hardware. (See "Automatic Memory Clear" on page 61.)

To maintain the security of such pages, the VMM can request that INITs be redirected and turned into #SX exceptions by setting the R\_INIT bit in the VM\_CR MSR (see Section E.1 on page 95). This allows the VMM to gain control when an INIT is requested. The VMM may then disable the redirection of INIT and then cause the platform to reassert INIT, at which point the processor will respond in the normal manner. The actions initiated by the INIT pin may also be initiated by an incoming APIC INIT interrupt; the mechanisms described here apply in either case. Table 2-6 on page 38 summarizes the handling of INITs.

GIF	INIT Intercept	INIT Redirect	Processor Response to INIT
0	Х	Х	Hold pending until GIF = 1.
	1	Х	#VMEXIT(INIT), INIT is still pending.
1	1 0	Taken normally.	
	U	1	#SX, INIT is no longer pending.

**Table 2-6. INIT Handling in Different Operating Modes** 

#### 2.17.9 NMI Support

The VMM can intercept non-maskable interrupts (NMI) using a VMCB control bit (see Table 2-7). When intercepted, NMIs cause an exit from the guest and are held pending.

**Table 2-7.** NMI Handling in Different Operating Modes

GIF	NMI Intercept	Processor Response to NMI
0	Х	Hold pending until GIF=1.
1	1	#VMEXIT(NMI), NMI is still pending.
1	0	Taken normally.

#### 2.18 SMM Support

This section describes SVM support for virtualization of System Management Mode (SMM).

#### 2.18.1 Sources of SMI

Various events can cause an assertion of a system management interrupt (SMI); these are classified into three categories

- Internal, synchronous (also known as I/O Trapping)— implementation-specific IOIO or config space trapping in the CPU itself; always synchronous in response to an IN or OUT instruction. I/O Trapping is set up by means of MSRs and can be brought under the control of the VMM by intercepting guest access to those MSRs.
- External, synchronous—IOIO trapping in response to (and synchronous with) IN or OUT instructions, but generated by an external agent (typically the Southbridge).

 External, asynchronous—generated externally in response to an external, physical event, e.g., closing a laptop lid, temperature sensor triggering, etc.

#### 2.18.2 Response to SMI

How hardware responds to SMIs is a function of whether SMM interrupts are being intercepted and whether interrupts are enabled globally, as shown in Table 2-8.

**Table 2-8.** SMI Handling in Different Operating Modes

GIF	Intercept SMI	Internal SMI	External SMI
0	Х	Lost.	Hold pending until GIF=1.
1	1	Exit guest, code VMEXIT_SMI_INT.	#VMEXIT(SMI), SMI is still pending
	0	Taken normally.	Taken normally.

By intercepting SMIs, the VMM can gain control before the processor enters SMM.

#### 2.18.3 Containerizing Platform SMM

In some usage scenarios, the VMM may not trust the existing platform SMM code. To address this case, SVM provides the ability to *containerize* SMM code, i.e., run it inside a guest, with the full protection mechanisms of the VMM in place.

A simple solution is for the VMM to create its own trusted SMM handler and to use the handler as a trampoline to invoke the platform SMM code inside a container. The main function of the trampoline code is to set up a guest and associated VMCB, and copy relevant state between the trampoline's SMM save area, and the guest's (virtual) SMM save area. The guest executes the platform SMM code in paged real mode with appropriate SVM intercepts in place, thus ensuring security.

For this approach to work, the VMM must be able to write the SMM\_BASE MSR, as well as related SMM control registers. However, this action conflicts with any BIOS that attempts to lock SMM control registers.

A VMM can determine if it is running with a compatible BIOS setup by checking the SMMLOCK bit in the HWCR MSR (descibed in the appropriate BIOS and kernel developer's guide for your processor). If the bit is 1, the BIOS has locked the SMM

control registers and the VMM will be unable to move them or insert its own SMM trampoline.

Warning: As the processor physically enters SMM, the SMRAM regions are remapped. The VMM design must ensure that none of its code or data disappears when the SMRAM areas are mapped or unmapped. Any attempt by guests to relocate any of the SMRAM areas (by means of certain MSR writes) must also be intercepted to prevent malicious SMM code from interfering with VMM operation.

**Advanced Support.** For more efficient and flexible operation, the new SMM\_CTL MSR (described in more detail in Section E.3 on page 96) is designed to allow the VMM to control explicitly:

- when SMI is acknowledged or deasserted to the chipset,
- when SMM is considered active (i.e., SMRAM areas are mapped, NMIs and various other interrupts are blocked), and
- when the SMI-pending flag is cleared in the processor.

With this hardware support, the VMM can enter and exit SMM at will and the VMM code should be simplified.

Note: Writes to the SMM\_CTL MSR cause a #GP if the BIOS has locked the SMM control registers. Otherwise, SMM\_CTL can be used to inspect the SMRAM areas at will, which risks revealing secrets that the BIOS might intend to hide.

#### 2.19 External Access Protection

By securing the virtual address translation mechanism, the VMM can restrict guest CPU accesses to memory. However, should the guest have direct access to DMA-capable devices, an additional protection mechanism is required. SVM provides multiple *protection domains* which can restrict device access to physical memory on a per-page basis. This is accomplished via control logic in the Northbridge's host bridge which governs any external access port (e.g., PCI or HyperTransport<sup>TM</sup> technology interfaces).

#### 2.19.1 **Device IDs and Protection Domains**

The Northbridge's host bridge provides a number (initially four) of *protection domains*. Each protection domain has associated with it a *device exclusion vector* (DEV) that specifies

the per-page access rights of devices in that domain. Devices are identified by a HyperTransport<sup>TM</sup> bus/unitID (*device ID*) and the host bridge contains a lookup table of fixed size that maps device IDs to a protection domain.

# 2.19.2 **Device Exclusion Vector (DEV)**

A DEV is a *contiguous* array of bits in physical memory; each bit in the DEV (in little-endian order) corresponds to one 4Kbyte page in physical memory.

The physical address of the base of a DEV must be 4-Kbyte-aligned and stored in one of the DEVBASE registers, which are accessed through an indirection mechanism in the DEVCTL PCI Configuration Space function block in the host bridge (see "DEV Control and Status Registers" on page 45). The DEV protection hardware is not operational until enabled by setting a control bit in the DEV Control Register, also in the DEVCTL function block.

Note: The DEV may have to cover part of MMIO space beyond the DRAM. Especially in 64-bit systems, the operating system should map MMIO space starting immediately after the DRAM area and building up, as opposed to starting down from the maximum physical address.

Host Bridge and Processor DEV Caching. For improved performance, the host bridge may cache portions of the DEV. Any such cached information can be invalidated by setting the DEV\_FLUSH flag in the DEV control register to 1. Software must set this flag after modifying DEV contents to ensure that the protection logic uses the updated values. The host bridge automatically clears this flag when the flush operation completes. After setting this flag, software should monitor it until it has cleared, in order to synchronize DEV updates with subsequent activity.

By default, the host bridge probes the processor caches for the latest data when it accesses the DEV in DRAM. However, it is possible to disable probing by means of the DEV\_CR register (see "DEV\_CR Register" on page 46); this is recommended in the case of unified memory architecture (UMA) graphics systems. If cache probing is disabled, host bridge reads of the DEV will not check processor caches for more recent copies. This requires software on the CPU to map the memory containing the DEV as uncacheable (UC) or write-through (WT). Alternatively, software must perform a CLFLUSH before it can expect a change to the DEV to be visible by the

Northbridge (and before software flushes the DEV cache in the host controller).

Multiprocessor Issues. Device-originated memory requests are checked against the DEV at the point of entry to the system—the Northbridge to which the device is physically attached. Each Northbridge can have its own set of domains, device-to-domain mappings, and DEV tables (e.g., domain #2 on one node can encompass different devices, and can have different access rights than domain #2 on another node). Thus, the number of protection domains available to software can scale with the number of Northbridges in the system.

# 2.19.3 Access Checking

Memory Space Accesses. When a memory-space read or write request is received on an external host bridge port, the host bridge maps the HyperTransport bus device ID to a protection domain number, which in turn selects the DEV defining the access permissions for the device (see Figure 2-4 on page 43). The host bridge then checks the memory address against the DEV contents by indexing into the DEV with the PFN portion of the address (bits 39–12). The PFN is used as a *bit* index within the DEV. If the bit read from the DEV is set to 1, the host bridge inhibits the access by returning all ones for the data for a read request, or suppressing the store operation on a write request. A Master Abort error response will be returned to the requesting device.

Peer-to-peer memory accesses routed up to the host bridge are also subjected to checks against the DEV. Peer-to-peer transfers that may be occurring behind bridges are not checked.

DEV checks are applied *before* addresses are translated by the GART. The DEV table is never consulted by accesses originating in the CPU.

**I/O Space Accesses.** The host bridge can be configured to reject all I/O space accesses from devices, by setting the IOSPE bit in the DEV\_CR control register (see "DEV\_CR Register" on page 46). I/O space peer-to-peer transfers behind bridges are not checked.

**Config Space Accesses.** Major aspects of host bridge functionality are configured by means of control registers that are accessed through PCI configuration space. Because this is potentially accessible by means of device peer-to-peer transfers, the host

bridge always blocks access to this space from anything other than the CPU.

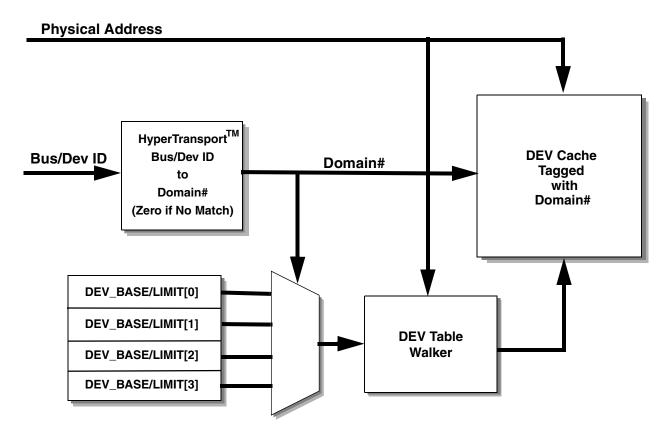


Figure 2-4. Host Bridge DMA Checking

#### 2.19.4 **DEV Capability Block**

The presence of DEV support is indicated through a new PCI capability block. The capability block also provides access to the registers that control operation of the DEV facility.

The DEV capability block in PCI space contains three 32-bit words: the capability header (DEV\_HDR), and two registers (DEV\_OP and DEV\_DATA) which serve as an indirection mechanism for accessing the actual DEV control and status registers.

Byte Offset	Register	Comments
0	DEV_HDR	Capability block header
4	DEV_OP	Selects control/status register to access

**Table 2-9. DEV Capability Block, Overall Layout** 

**DEV Capability Header.** The DEV capability header (DEV\_HDR) is defined as follows

Read/write to access register selected in DEV OP

Table 2-10. DEV Capability Header (DEV\_HDR) (in PCI Config Space)

**DEV DATA** 

8

Bit(s)	Definition
31–22	Reserved, MBZ
21	Interrupt Reporting Capability (zero in the current implementation)
20	Machine Check Exception Reporting Capability
19	Reserved, MBZ
18–16	DEV Capability Block Type; hardwired to 010b. Codes 000b, 001b, and 011b–111b are reserved.
15-8	PCI Capability pointer; points to next capability in list
7–0	PCI Capability ID; hardwired to 0x0F

### 2.19.5 **DEV Register Access Mechanism**

The Northbridge's DEV control and status registers are accessed through an indirection mechanism: writing the DEV\_OP register selects which internal register is to be accessed, and the DEV\_DATA register can be read or written to access the selected register.

Figure 2-5 shows the format of the DEV\_OP register. The DEV\_DATA register reflects the format of the DEV register selected in DEV\_OP.



Figure 2-5. Format of DEV\_OP Register (in PCI Config Space)

The FUNCTION field in the DEV\_OP register selects the function/register to read or write according to the encoding in Table 2-11; for blocks of registers that have multiple instances (e.g., multiple DEV\_BASE\_HI/LO registers), the INDEX field selects the instance; otherwise it is ignored.

Table 2-11. Encoding of function field in DEV\_OP register

Function Code	RegisterType	Number of Instances
0	DEV_BASE_LO	multiple
1	DEV_BASE_HI	multiple
2	DEV_MAP	multiple
3	DEV_CAP	single
4	DEV_CR	single
5	DEV_ERR_STATUS	single
6	DEV_ERR_ADDR_LO	single
7	DEV_ERR_ADDR_HI	single

For example, to write the DEV\_BASE\_HI register for protection domain number 2, software sets DEV\_OP.FUNCTION to 1, and DEV\_OP.INDEX to 2, and then writes the desired 32-bit value into DEV\_DATA. As the DEV\_OP and DEV\_DATA registers are accessed through PCI config space (ports 0CF8h-0CFFh), they may be secured from unauthorized access by software executing on the processor by appropriate settings in the SVM I/O protection bitmap. These registers are also protected by the host bridge from external access as described in "Config Space Accesses" on page 42.

# 2.19.6 **DEV Control** and Status Registers

This section describes the DEV control and status registers accessible by means of the indirection mechanism; the registers described here are *not* directly visible in PCI config space.

**DEV\_CAP Register.** Read-only register; holds implementation specific information: the number of protection domains supported, the number of DEV\_MAP registers (which map device/unit IDs to domain numbers), and the revision ID (initially zero).

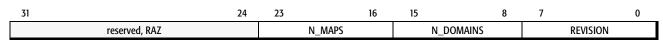


Figure 2-6. Format of DEV\_CAP Register (in PCI Config Space)

The initial implementation will provide four domains and three map registers.

**DEV\_CR Register.** This is the main control register for the DEV mechanism; it is cleared to zero by RESET.

Table 2-12. DEV\_CR Control Register

Bit(s)	Definition	
31-7	reserved, MBZ	
6	DEV Table Walk Probe Disable.	
	0 = Use Probe on DEV walk; 1 = Do not use Probe	
5	SL_DEV_EN. Enable bit for limited memory protection, see Section 2.19.8 on page 48. Set to "1" by SKINIT instruction, can be cleared by software.	
4	Invalidate DEV Cache. S/w must set this bit to 1 to invalidate the DEV cache; cleared by hardware when invalidation is complete.	
3	Enable MCE Reporting.	
	0 = Do not generate MCE; 1 = Generate MCE on errors.	
2	I/O Space Protection Enable (IOSPEN)	
	0 = Allow upstream I/O cycles; 1 = Block.	
1	Memory Clear Disable. If non-zero, memory-clearing on reset is disabled.	
	This bit is not writable until the memory is enabled.	
0	DEV Global Enable Bit. If zero, DEV protection is turned off.	

**DEV\_BASE Address/Limit Registers.** The DEV Base Address registers (one set per domain) each point to the physical address of a DEV table corresponding to a protection domain. The address and size are encoded in a pair (high/low) of 32-bit registers. The N\_DOMAINS field in DEV\_CAP indicates how many (pairs of) DEV\_BASE registers are implemented. The register format is as shown in Figures 2-7 and 2-8 on page 47.



Figure 2-7. Format of DEV\_BASE\_HI[n] Registers

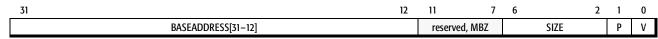


Figure 2-8. Format of DEV\_BASE\_LO[n] Registers

Fields of the DEV\_BASE\_HI and DEV\_BASE\_LO registers are defined as follows:

- V (valid)—Bit 0. Indicates whether a DEV table has been defined for the given protection domain; if this bit is clear, software can leave the other fields undefined, and no protection checks are performed for memory references in this domain.
- P (protect)—Bit 1. Indicates whether accesses to addresses beyond the address range covered by the DEV are legal (P=0) or illegal (P=1).
- SIZE—Bits 6–2. Specifies how much memory the DEV covers, expressed increments of 4GB \* 2<sup>size</sup>. In other words, a DEV table covers a minimum of 4GB, and can expand by powers of two (up to SIZE equal to 8, i.e., 256\*4GB, in the initial implementation).

**DEV\_MAP Registers.** The DEV\_MAP registers assign protection domain numbers to device-originated requests by matching the device ID (HT bus and unit number) associated with the request against bus and unit numbers in the registers. If no match is found in any of the registers, a domain number of zero is returned. The number of DEV\_MAP registers implemented by the chip is indicated by the N\_MAPS field in DEV\_CAP.

The format of the DEV\_MAP registers is shown in Figure 2-9.



Figure 2-9. Format of DEV MAP[n] Registers

The fields of the DEV\_MAP[n] registers are defined as follows:

- UNIT0—Bits 4–0. Specifies the first of two HyperTransport link unit numbers on the bus number specified by the BUSNO field.
- V0—Bit 5. Indicates whether UNIT0 is valid (no matches occur on invalid entries).
- UNIT1—Bits 10–6. Specifies the second of two HyperTransport link unit numbers on the bus number specified by the BUSNO field.
- V1—Bit 11. Indicates whether UNIT1 is valid (no matches occur on invalid entries).
- BUSNO—Bits 19–12. Specifies a HyperTransport link bus number.
- DOM0—Bits 25–20. Specifies the protection domain for the first HyperTransport link unit.
- DOM1—Bits 31–26. Specifies the protection domain for the second HyperTransport link unit.

# 2.19.7 Unauthorized Access Logging

Any attempted unauthorized access by devices to DEV-protected memory are logged by the host bridge in the DEV\_Error\_Status and DEV\_Error\_Address registers for possible inspection by the VMM.

# 2.19.8 **Secure Initialization Support**

The host bridge contains additional logic that operates in conjunction with the SKINIT instruction to provide a limited form of memory protection during the secure startup protocol. This provides protection for a Secure Loader image in memory, allowing it to, among other things, set up full DEV protection. (See section 3.1.6 on page 57 for detailed operation of SKINIT.)

The host bridge logic includes a hidden (not accessible to software) SL\_DEV\_BASE address register. SL\_DEV\_BASE points to a 64KB-aligned 64KB region of physical memory. When SL\_DEV\_EN is 1, the 64KB region defined by SL\_DEV\_BASE is protected from external access (as if it were protected by the DEV), as well as from *any* access (*both* CPU and external accesses) via GART-translated addresses. Additionally, the SL\_DEV mechanism, when enabled, blocks all device accesses to PCI Configuration space.

## 2.20 Nested Paging Facility

The SVM Nested Paging facility provides for two levels of address translation, thus eliminating the need for the VMM to maintain shadow page tables. Nested Paging is an optional feature of SVM and is not available in all implementations of SVM-capable processors. The CPUID instruction should be used to determine nested paging support on a particular processor (see Appendix B on page 81 for the details of processor feature identification and support).

# 2.20.1 Traditional Paging versus Nested Paging

Figure 2-10 shows how a page in the virtual address space is mapped to a page in the physical address space in traditional (single-level) address translation. The CR3 control register contains the physical address of the page table (PT, represented by the shaded box in the figure), which governs the address translation.

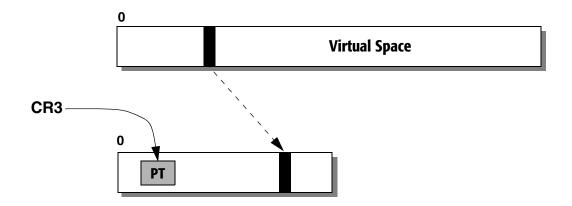


Figure 2-10. Address Translation with Traditional Paging

With nested paging enabled, *two* levels of address translation are applied; refer to Figure 2-11 below.

- A guest page table (gPT) mapping guest virtual addresses to guest physical addresses is located in guest physical space.
- A *host page table* (hPT) mapping host virtual addresses to host physical addresses is located in host physical space.
- Both host and guest levels have their own copy of CR3, referred to as hCR3 and gCR3, respectively.
- After translating a guest virtual address using the guest page tables, the resulting (guest physical) address is treated as a host virtual address and is further translated, using the

host page tables, into a host physical address. The resulting translation from guest virtual to host physical address is cached in the TLB and used on subsequent guest accesses.

It is important to note that gCR3 and the guest page table entries contain *guest physical* addresses, not host physical addresses. Hence, before accessing a guest page table entry, the table walker first translates that entry's guest physical address into a host physical address.

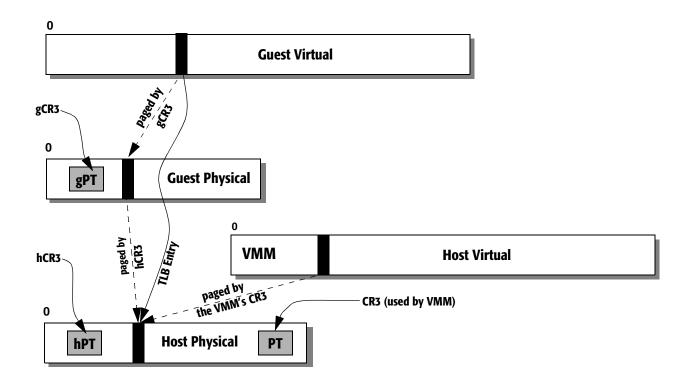


Figure 2-11. Address Translation with Nested Paging

# 2.20.2 Enabling Nested Paging

Nested paging is enabled by the VMRUN instruction if the NP\_ENA bit in the VMCB is set to 1; nested paging is disabled by #VMEXIT. When nested paging is enabled, the processor loads guest paging state from the CR0, CR3, CR4 and EFER fields in the VMCB. Additionally, the processor

- loads the guest copy of the PAT register from the G\_PAT field in the VMCB and
- loads hCR3, the host-level version of CR3 to be used while the nested-paging guest is running, from the H\_CR3 field in the VMCB. The paging mode for the host-level remains the

same as was in effect in the VMM at the time the VMRUN instruction was issued.

The value of hCR3 can be different from the CR3 in effect while the VMM is running; this gives the VMM maximum flexibility on how to remap guests' physical address spaces, and where to optionally map guest physical pages in the VMM's address space.

# 2.20.3 **Permission** Checks

When nested paging is enabled, pages accessed by the guest must be marked as present and accessible at the *user-level* in the host page table—regardless of the current guest CPL. Further, the host mapping must permit writes for the guest to be able to write the page. A failed host access check (for an access that is otherwise legal at the guest level) results in a #VMEXIT(NPF).

Note: Host permissions are checked on every reference to a guest physical address—even those caused by guest page table walks. In particular, when attempting to set an "Accessed" or "Dirty" bit while walking the guest tables (which reside in guest physical space), the processor checks whether the corresponding host virtual page is present and user-level writable; if not, the processor raises a #VMEXIT(NPF).

The host paging mechanism allows a VMM to page out guest pages and to use copy-on-write techniques (i.e., sharing of physical pages) between guests.

# 2.20.4 Other Guest Attributes

Some attributes are taken from the guest page tables and guest operating modes only:

Global pages—whether a guest page is marked global in the TLB is entirely a function of the global bit in the guest page tables and the guest's CR4.PGE. The host page table entry and paging mode are irrelevant.

**System/User**—whether a page is user or system-only accessible is entirely a function of the U/S bit in the guest page tables and the guest's CR0.WP (as long as the host page table allows *any* guest access to the page at all). The host page table entry and paging mode are irrelevant.

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# **3** Security

SVM provides additional hardware support that is designed to facilitate the construction of trusted software systems. While the security features described in this section are orthogonal to SVM's virtualization support (and are not required for processor virtualization), the two form building blocks for trusted systems.

**SKINIT Instruction.** The SKINIT instruction and associated system support (the Trusted Platform Module or TPM) are designed to allow for verifiable startup of trusted software (such as a VMM), based on secure hash comparison.

**Automatic Memory Clearing.** Automatic clearing of memory upon reset protects secrets stored in system memory from simple reset-based attacks.

**Security Exception.** A new Security Exception (#SX) is used to signal certain security-critical events.

## 3.1 Secure Startup with SKINIT

The SKINIT instruction is one of the keys to creating a "root of trust" starting with an initially untrusted operating mode. SKINIT reinitializes the processor to establish a secure execution environment for a software component called the secure loader (SL) and starts execution of the SL in a way that cannot be tampered with. SKINIT also copies the secure loader executable image to an external device, such as a Trusted Platform Module (TPM) for verification using unique bus transactions that preclude SKINIT operation from being emulated by software in a way that the TPM could not readily detect. (Detailed operation is described in Section 3.1.4.)

#### 311 Secure Loader

A secure loader (SL) typically initializes SVM hardware mechanisms and related data structures, and initiates execution of a trusted piece of software such as a VMM or hypervisor (referred to as a Security Kernel, or SK, in this document), after first having validated the identity of that software.

One of the main features of SKINIT allows SVM protections to be reliably enabled after the system is already up and running in a non-trusted mode — there is no requirement to change the typical x86 platform boot process.

Exact details of the handoff from the SL to an SK are dependent on characteristics of the SL, SK and the initial untrusted operating environment. However, there are specific requirements for the SL image, as described in Section 3.1.2.

# 3.1.2 Secure Loader Image

The secure loader (SL) image contains all code and initialized data sections of a secure loader. This code and initial data are used to initialize and start a security kernel in a completely safe manner, including setting up DEV protection for memory allocated for use by SL and SK. The SL image is loaded into a region of memory called the secure loader block (SLB) and can be no larger than 64Kbyte (see "Secure Loader Block" on page 54). The SL image is defined to start at byte offset 0 in the SLB.

The first word (16 bits) of the SL image must specify the SL entry point as an unsigned offset into the SL image. The second word must contain the length of the image in bytes; the maximum length allowed is 65535 bytes. These two values are used by the SKINIT instruction. The layout of the rest of the image is determined by software conventions. The image typically includes a digital signature for validation purposes. The digital signature hash must include the entry point and length fields. SKINIT transfers the SL image to the TPM for validation prior to starting SL execution (see "SKINIT Operation" on page 57 for further details of this transfer). The SL image for which the hash is computed must be ready to execute without prior manipulation.

# 3.1.3 **Secure Loader Block**

The secure loader block is a 64Kbyte range of physical memory which may be located at any 64Kbyte-aligned address below 4Gbyte. The SL image must have been loaded into the SLB starting at offset 0 before executing SKINIT. The physical address of the SLB is provided as an input operand (in the EAX register) to SKINIT, which sets up special protection for the SLB against device accesses (i.e., the DEV need not be activated yet).

The SL must be written to execute initially in flat 32-bit protected mode with paging disabled. A base address can be

derived from the value in EAX to access data areas within the SL image using base+displacement addressing, to make the SL code position-independent.

Memory between the end of the SL image and the end of the SLB may be used immediately upon entry by the SL as secure scratch space, such as for an initial stack, before DEV protections are set up for the rest of memory. The amount of space required for this will limit the maximum size of the SL image, and will depend on SL implementation. SKINIT sets the ESP register to the appropriate top-of-stack value (EAX + 10000h).

Figure 3-1 illustrates the layout of the SLB, showing where EAX and ESP point after SKINIT execution. Labels in italics indicate suggested uses; other labels reflect required items.

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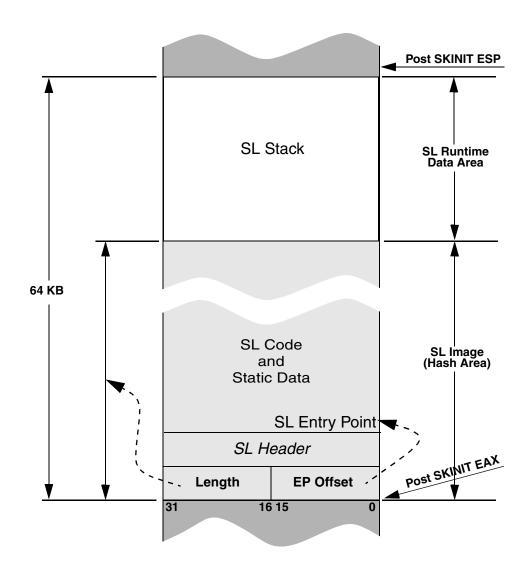


Figure 3-1. SLB Example Layout

# 3.1.4 Trusted Platform Module

The trusted platform module, or TPM, is an essential part of full trusted system initialization. This device is attached to an LPC link off the system I/O hub. It recognizes special SKINIT transactions, receives the SL image sent by SKINIT and verifies the signature. Based on the outcome, the device decides whether or not to cooperate with the SL or subsequent SK. The TPM typically contains sealed storage containing cryptographic

keys and other high-security information that may be specific to the platform.

# 3.1.5 System Interface, Memory Controller and I/O Hub Logic

SKINIT uses special support logic in the processor's system interface unit, the internal controller and the I/O hub to which the TPM is attached. SKINIT uses special transactions that are unique to SKINIT, along with this support logic, designed tp securely transmit the SL Image to the TPM for validation.

The use of this special protocol should allow the TPM to reliably detect true execution, as opposed to emulation, of a trusted Secure Loader, which in turn provides a reliable means for verifying the subsequent loading and startup of a trusted Security Kernel.

# 3.1.6 **SKINIT Operation**

The SKINIT instruction is intended to be used primarily in normal mode prior to the hypervisor taking control.

SKINIT takes the physical base address of the SLB as its only input operand in EAX, and performs the following steps:

1. Reinitialize processor state in the same manner as for the INIT signal, then enter flat 32-bit protected mode with paging off. The CS and SS selectors are set to 0008h and 0010h respectively, and CS and SS base, limit and attribute registers are set to (base = 0, limit = 4G, CS:read-only, SS:read/write, expand-up). DS, ES, FS and GS are left as 16bit real mode segments and the SL must reload these with protected mode selectors having appropriate GDT entries before using them. (Initialized data in the SLB may be referenced using the SS segment override prefix until DS is reloaded.) The general purpose registers are cleared except for EAX, which points to the start of the secure loader, which contains model, family and information, and ESP, which contains the initial stack pointer for the secure loader. Cache contents remain intact, as do the x87 and SSE control registers. Most MSRs also retain their values, except those which might compromise SVM protections. The EFER MSR, however, is cleared. The DPD, R\_INIT and DIS\_A20M flags in the VM\_CR register are unconditionally set to 1.

- 2. Form the SLB base address by clearing bits 15–0 of EAX (EAX is updated), and enable the SL\_DEV protection mechanism (see "Secure Initialization Support" on page 48) to protect the 64-Kbyte region of physical memory starting at the SLB base address from any device access.
- 3. In multiprocessor operation, perform an inter-processor handshake as described in Section 3.1.8 on page 59.
- 4. Read the SL image from memory and transmit it to the TPM in a manner that cannot be emulated by software.
- 5. Signal the TPM to complete the hash and verify the signature. If any failures have occurred along the way, the TPM will conclude that no valid SL was started.
- 6. Clear the Global Interrupt Flag. This disables all interrupts, including NMI, SMI and INIT and ensures that the subsequent code can execute atomically. If the processor enters the shutdown state (due to a triple fault for instance) while GIF is clear, it can only be restarted by means of a RESET.
- 7. Update the ESP register to point to the first byte beyond the end of the SLB (SLB base + 65536), so that the first item pushed onto the stack by the SL will be at the top of the SLB.
- 8. Add the unsigned 16-bit entry point offset value from the SLB to the SLB base address to form the SL entry point address, and jump to it.

The validation of the SL image by the TPM is a one-way transaction as far as SKINIT is concerned. It does not depend on any response from the TPM after transferring the SL image before jumping to the SL entry point, and initiates execution of the Secure Loader unconditionally. Because of the processor initialization performed, SKINIT does not honor instruction or data breakpoint traps, or trace traps due to EFLAGS.TF.

**Pending interrupts.** Device interrupts that may be pending prior to SKINIT execution due to EFLAGS.IF being clear, or that assert during the execution of SKINIT, will be held pending until software subsequently sets GIF to 1. Similarly, SMI, INIT and NMI interrupts that assert after the start of SKINIT execution will also be held pending until GIF is set to 1.

**Debug considerations.** SKINIT automatically disables various implementation-specific hardware debug features such as HDT that could subvert security. A debug version of the SL can reenable those features by clearing the VM\_CR.DPD flag immediately upon entry.

#### **3.1.7 SL Abort**

If the SL determines that it cannot properly initialize a valid SK, it must cause GIF to be set to 1 and clear the VM\_CR MSR to re-enable normal processor operation.

#### 3.1.8 Secure Multiprocessor Initialization

The following standard APIC features are used for secure MP initialization:

- The concept of a single Bootstrap Processor (BSP) and multiple Application Processors (APs).
- The INIT inter-processor interrupt (IPI), which puts the target processors into a halted state which is responsive only to a subsequent Startup IPI.
- The Startup IPI causes target processors to begin execution at a location in memory that is specified by the Boot Processor and conveyed along with the Startup IPI. The operation of the processor in response to a Startup IPI is slightly modified to support secure initialization, as described below.

A Startup IPI normally causes an AP to start execution at a location provided by the IPI. To support secure MP startup, each AP responds to a startup IPI by additionally clearing its GIF and setting the DPD, R\_INIT and DIS\_A20M flags in the VM\_CR register if, and only if, the BSP has indicated that it has executed an SKINIT. All other aspects of Startup IPI behavior remain unchanged.

Software requirements for Secure MP initialization. The driver that starts the SL must execute on the BSP. Prior to executing the SKINIT instruction, the driver must arrange for any processor-specific system register contents to be saved to memory (to be restored after the APs undergo hardware re-initialization), and for all APs to be idled using whatever software means is appropriate (for example, by means of an OS kernel function or driver threads running on the other processors). Once the driver has confirmed that all APs are idle, it must issue an INIT IPI to all APs and wait for its localAPIC Busy indication to clear. This places the APs into a halted state which is responsive only to a subsequent Startup IPI (although the APs will still respond

to snoops for cache coherency). The driver may execute SKINIT any time after this point. Depending on processor implementation, a fixed delay of no more than 1000 processor cycles may be necessary before executing SKINIT to ensure reliable sensing of APIC INIT state by the SKINIT.

**AP Startup Sequence.** While the SL starts executing on the BSP, the APs remain halted in APIC INIT state. Either the SL or the SK may issue the Startup IPI for the APs at whatever point is deemed appropriate. The Startup IPI conveys an 8-bit vector specified by the software that issues the IPI to the APs. This vector provides the upper 8 bits of a 20-bit physical address. Therefore, the AP startup code must reside in the lower 1Mbyte of physical memory—with the entry point at offset 0 on that particular page.

In response to the Startup IPI, the APs start executing at the specified location in 16-bit real mode. This AP startup code must set up protections on each processor as determined by the SL or SK. It must also set GIF to re-enable interrupts, and restore the pre-SKINIT system context (as directed by the SL or SK executing on the BSP), before resuming normal system operation.

The SL must guarantee the integrity of the AP startup sequence, for example by including the startup code in the hashed SL image and setting up DEV protection for it before copying it to the desired area. The AP startup code does not need to (and should not) execute SKINIT.

**Pending interrupts.** Device interrupts that may be pending on an AP prior to the APIC INIT IPI due to EFLAGS.IF being clear, or that assert any time after the processor has accepted the INIT IPI, will be held pending through the subsequent Startup IPI, and remain pending until software sets GIF to 1 on that AP. Similarly, SMI, INIT, and NMI interrupts that assert after the processor has accepted the INIT IPI will also be held pending until GIF is set to 1.

**Aborting MP initialization.** In the event that the SL or SK on the BSP decides to abort SVM system initialization for any reason, the following clean-up actions must be performed by SL code executing on each processor before returning control to the original operating environment:

- The BSP and all APs that responded to the Startup IPI must restore GIF and clear VM\_CR on each processor for normal operation.
- For each processor that has a distinct memory controller associated with it, the SL\_DEV\_EN flag in the DEV control register must be cleared in order to restore normal device accessibility to the 64KB SL memory range.

Any secure context created by the SL that should not be exposed to untrusted code should be cleaned up as appropriate before these steps are taken.

# 3.2 Automatic Memory Clear

Automatic memory clear (AMC) erases the contents of sytem memory after the processor is subjected to a cold reset, and under controlled circumstances after a warm reset.

The processor shadows the AMC Check registers (the northbridge registers that configure the DRAM size and configuration), for use after the next warm reset. The shadow copies are updated each time the DRAM controller completes initialization.

The memory clear operates as follows:

- Memory is cleared after warm reset, when DRAM access is first enabled, if either of these conditions is true
  - AMC was not disabled in the northbridge (MemClrDis = 0), or
  - the new value of the DRAM configuration registers do not match the shadowed AMC Check registers.
- Once the memory clear starts, it continues through completion (unless interrupted by a reset).
- The range of DRAM cleared is the entire memory that was enabled the *previous time* DRAM was enabled. This configuration can be determined from the shadow registers.
- After the memory clear ends, the new AMC Check register values are shadowed, for use after the next warm reset.

After trusted software has taken steps to ensure that any secrets in system memory have been removed or encrypted, trusted software is expected to set MemClrDis before entering the ACPI-defined S3 state (suspend to RAM).

Refer to the AMD *BIOS* and *Kernel Developer's Guide* for your processor for details on the relevant register definitions.

## 3.3 Security Exception (#SX)

The Security Exception fault signals security-sensitive events that occur while executing the VMM, in the form of an exception so that the VMM may take appropriate action. (A VMM would typically intercept comparable sensitive events in the *guest*.) In the current implementation, the only use of the #SX is to redirect external INITs into an exception so that the VMM may — among other possibilities — destroy sensitive information before re-issuing the INIT, this time without redirection. (The INIT redirection is controlled by the VM\_CR.R\_INIT bit.)

The #SX exception dispatches to vector 30, and behaves like other fault-class exceptions such as General Protection Fault (#GP). The #SX exception pushes an error code. The only error code currently defined is 1, and indicates redirection of INIT has occurred.

The #SX exception is a contributory fault.

## 4 SVM Instruction Set Reference

AMD virtualization technology, codenamed "Pacifica," introduces several new instructions and modifies several existing instructions to facilitate the implementation of VMM systems.

The SVM instruction set includes instructions to:

- Start execution of a guest (VMRUN)
- Save and restore subsets of processor state (VMSAVE, VMLOAD)
- Allow guests to explicitly communicate with the VMM (VMMCALL)
- Set and clear the global interrupt flag (STGI, CLGI)
- Invalidate TLB entries in a specified ASID (INVLPGA)
- Read and write CR8 in all processor modes
- Secure init and control transfer with attestation (SKINIT)

Enabling SVM also affects the behavior of existing AMD64 instructions.

## 4.1 Changes to RSM Instruction

RSM is not allowed to change EFER.SVME. Attempts to do so are ignored.

When EFER.SVME is 1, RSM reloads the four PDPEs (through the incoming CR3) when returning to a mode that has PAE mode paging enabled.

When EFER.SVME is 1, the RSM instruction is permitted to return to paged real mode (i.e., CR0.PE=0 and CR0.PG=1).

#### 4.2 New Instructions

The basic operation of each SVM instruction is given in the pages that follow.

# **CLGI**

# **Clear Global Interrupt Flag**

Clears the global interrupt flag (GIF). While GIF is zero, all external interrupts are disabled.

Mnemonic	Opcode	Description
CLGI	0F 01 DD	Clears the global interupt flag (GIF).

#### **Related Instructions**

**STGI** 

#### rFLAGS Affected

None.

## **Exceptions**

Exception	Real	Virtual 8086	Protected	Cause of Exception
•	ittui	0000	Trotected	cause of Exception
Invalid opcode, #UD			Х	The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
			Х	EFER.SVME was zero.
	Χ	X		Instruction is only recognized in protected mode.
General protection, #GP			Х	CPL was not zero.

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#### **INVLPGA**

# **Invalidate TLB Entry in a Specified ASID**

Invalidates the TLB mapping for a given virtual page and a given ASID. The virtual address is specified in the implicit register operand rAX (the portion of RAX used to form the address is determined by the effective address size). The ASID is taken from ECX.

INVLPGA may invalidate any number of additional TLB entries, in addition to the targeted entry.

Mnemonic	Opcode	Description
INVLPGA rAX, ECX	0F 01 DF	Invalidates the TLB mapping for the virtual page specified in rAX and the ASID specified in ECX.

#### **Related Instructions**

None.

#### **rFLAGS Affected**

None.

#### **Exceptions**

Exception	Real	Virtual 8086	Protected	Cause of Exception
Invalid opcode, #UD			Х	The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
			Х	EFER.SVME was zero.
	Χ	X		Instruction is only recognized in protected mode.
General protection, #GP			Х	CPL was not zero.

# MOV (CRn) Move to/from Control Registers

Moves the contents of a 32-bit or 64-bit general-purpose register to a control register or vice versa.

In 64-bit mode, the operand size is fixed at 64 bits without the need for a REX prefix. In non-64-bit mode, the operand size is fixed at 32 bits and the upper 32 bits of the destination are forced to 0.

CR0 maintains the state of various control bits. CR2 and CR3 are used for page translation. CR4 holds various feature enable bits. CR8 is used to prioritize external interrupts. CR1, CR5, CR6, CR7, and CR9 through CR15 are all reserved and raise an undefined opcode exception (#UD) if referenced.

CR8 can also be read and modified using the task priority register described in "System-Control Registers" in Volume 2.

CR8 can be read and written in 64-bit mode, using a REX prefix. CR8 can be read and written in legacy mode using the MOV (CRn) opcode, using a LOCK prefix instead of a REX prefix to specify the additional opcode bit. To verify whether the LOCK prefix can be used in this way, check the status of ECX bit 4 returned by CPUID standard function 80000001h.

This instruction is always treated as a register-to-register (MOD = 11) instruction, regardless of the encoding of the MOD field in the MODR/M byte.

MOV(CRn) is a privileged instruction and must always be executed at CPL = 0.

MOV (CRn) is a serializing instruction.

Mnemonic	Opcode	Description
MOV CRn, reg32	0F 22 /r	Move the contents of a 32-bit register to CRn
MOV CRn, reg64	0F 22 /r	Move the contents of a 64-bit register to CRn
MOV reg32,CRn	0F 20 /r	Move the contents of CRn to a 32-bit register.
MOV reg64,CRn	0F 20 /r	Move the contents of CRn to a 64-bit register.
MOV CR8, reg32	F0 0F 22/r	Move the contents of a 32-bit register to CR8.
MOV CR8, reg64	F0 0F 22/r	Move the contents of a 64-bit register to CR8.

MOV reg32, CR8	F0 0F 20/r	Move the contents of CR8 into a 32-bit register.
MOV reg64, CR8	F0 0F 20/r	Mov the contents of CR8 into a 64-bit register.

#### **Related Instructions**

CLTS, LMSW, SMSW

## rFLAGS Affected

None

# **Exceptions**

Exception	Real	Virtual 8086	Protected	Cause of Exception
Invalid Instruction	Х	Х	Х	An illegal control register was referenced (CR1, CR5–CR7, CR9–CR15).
Invalid Instruction, #UD	X	х	X	The use of the LOCK prefix to read CR8 in legacy mode is not supported, as indicated by ECX bit 4 as returned by CPUID standard function 8000_0001h.
		Х	Х	CPL was not 0.
	Х		Х	An attempt was made to set CR0.PG = 1 and CR0.PE = 0.
General protection, #GP	Х		Х	An attempt was made to set CR0.CD = 0 and CR0.NW = 1.
	Х		Х	Reserved bits were set in the page-directory pointers table (used in the legacy extended physical addressing mode) and the instruction modified CR0, CR3, or CR4.
	X		Х	An attempt was made to write 1 to any reserved bit in CR0, CR3, CR4 or CR8.
	X		Х	An attempt was made to set CR0.PG while long mode was enabled (EFER.LME = 1), but paging address extensions were disabled (CR4.PAE = 0).
			Х	An attempt was made to clear CR4.PAE while long mode was active (EFER.LMA = 1).

#### **SKINIT**

## **Secure Init and Jump with Attestation**

Designed to allows for verifiable startup of trusted software (such as a VMM), based on secure hash comparison. SKINIT takes the physical base address of the SLB as its only input operand, in EAX. The SLB must be structured as described in "Secure Loader Block" on page 54, and is assumed to contain the code for a Secure Loader (SL).

Mnemonic	Opcode	Description
SKINIT EAX	0F 01 DE	Secure initialization and jump, with attestation.

#### **Action**

```
Initialize processor state like for an INIT signal
CRO.PE = 1
CS.sel = 0x0008
CS.attr = 32-bit code, read/execute
CS.base = 0
CS.limit = OxFFFFFFF
SS.sel = 0x0010
SS.attr = 32-bit stack, read/write, expand up
SS.base = 0
SS.limit = OxFFFFFFF
EAX = EAX \& OxFFFF0000 // Form SLB base address.
EDX = model/family/stepping
ESP = EAX + 0x00010000 // Initial SL stack.
Clear GPRs other than EAX, EDX, ESP
EFER = 0
VM CR.DPD = 1
VM CR.R INIT = 1
VM_CR.DIS_A20M = 1
Enable SL_DEV, to protect 64Kbyte of physical memory starting at
the physical address in EAX
GIF = 0
Send the SL image to the TPM for attestation
Read the SL entrypoint offset from the SL image
Jump to SL entrypoint
```

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#### **Related Instructions**

None.

#### rFLAGS Affected

ID	VIP	VIF	AC	VM	RF	NT	IOPL	OF	DF	IF	TF	SF	ZF	AF	PF	CF
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
21	20	19	18	17	16	14	13-12	11	10	9	8	7	6	4	2	0

Note: Bits 31–22, 15, 5, 3, and 1 are reserved. A flag set to 1 or cleared to 0 is M (modified). Unaffected flags are blank. Undefined flags are U.

## **Exceptions**

		Virtual		
Exception	Real	8086	Protected	Cause of Exception
Invalid opcode, #UD				The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
			Х	EFER.SVME was zero.
	Χ	Χ		Instruction is only recognized in protected mode.
General protection, #GP			Х	CPL was not zero.

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# **STGI**

# **Set Global Interrupt Flag**

The STGI instruction sets the global interrupt flag (GIF) to 1. While GIF is zero, all external interrupts are disabled.

Mnemonic	Opcode	Description			
STGI	0F 01 DC	Sets the global interupt flag (GIF).			

#### **Related Instructions**

CLGI

#### rFLAGS Affected

None.

## **Exceptions**

Exception	Real	Virtual 8086	Protected	Cause of Exception
•	ittui	0000	Trotected	cause of Exception
Invalid opcode, #UD			Х	The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
			Х	EFER.SVME was zero.
	Χ	X		Instruction is only recognized in protected mode.
General protection, #GP			Х	CPL was not zero.

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#### **VMLOAD**

#### **Load State from VMCB**

Loads a subset of processor state from the VMCB specified by the physical address in the rAX register. The portion of RAX used to form the address is determined by the effective address size.

The VMSAVE and VMLOAD instructions complement the state save/restore abilities of VMRUN and #VMEXIT, providing access to hidden state that software is otherwise unable to access, plus some additional commonly-used state.

Mnemonic	Opcode	Description		
VMLOAD rAX	0F 01 DA	Load additional state from VMCB.		

#### **Action**

```
Load from a VMCB at physical address rAX:
FS, GS, TR, LDTR (including all hidden state)
KernelGsBase
STAR, LSTAR, CSTAR, SFMASK
SYSENTER_CS, SYSENTER_ESP, SYSENTER_EIP
```

#### **Related Instructions**

**VMSAVE** 

#### rFLAGS Affected

None.

#### **Exceptions**

		Virtual		
Exception	Real	8086	Protected	Cause of Exception
Invalid opcode, #UD			Х	The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
			Х	EFER.SVME was zero.
	Х	Х		Instruction is only recognized in protected mode.
General protection, #GP			Х	CPL was not zero.
			Х	rAX references a physical address above the maximum supported physical address.
			х	The address in rAX is not aligned on a 4Kbyte boundary.

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## VMMCALL Call VMM

Provides a mechanism for a guest to explicitly communicate with the VMM.

A non-intercepted VMMCALL unconditionally raises a #UD exception.

VMMCALL is not restricted to either protected mode or CPL zero.

Mnemonic	Opcode	Description
VMMCALL	0F 01 D9	Explicit communication with the VMM.

#### **Related Instructions**

None.

#### **rFLAGS Affected**

None.

#### **Exceptions**

Exception	Real	Virtual 8086	Protected	Cause of Exception
Invalid opcode, #UD	Х	Х	X	The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
	X	Х	Х	EFER.SVME was zero.
	X	Х	X	VMMCALL was not being intercepted.

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#### **VMRUN**

#### **Run Virtual Machine**

Starts execution of a guest instruction stream. The physical address of the *virtual machine control block* (VMCB) describing the guest is taken from the rAX register (the portion of RAX used to form the address is determined by the effective address size).

VMRUN saves a subset of host processor state to the host state-save area specified by the physical address in the VM\_HSAVE\_PA MSR. VMRUN then loads guest processor state (and control information) from the VMCB at the physical address specified in rAX. The processor then executes guest instructions until one of several *intercept* events (specified in the VMCB) triggers. When an intercept event occurs, the processor stores a snapshot of the guest state back into the VMCB, reloads the host state, and continues execution of host code at the instruction following the VMRUN instruction.

Mnemonic	Opcode	Description		
VMRUN rAX	0F 01 D8	Performs a world-switch to guest.		

#### Action

```
if (intercepted(VMRUN)) #VMEXIT
remember VMCB address (delivered in rAX) for next #VMEXIT
save host state to physical memory indicated in the VM_HSAVE_PA MSR:
      ES.sel
      CS.sel
      SS.sel
      DS.sel
      GDTR.{base,limit}
      IDTR.{base,limit}
      EFER
     CRO
     CR4
     CR3
      // host CR2 is not saved
      RFLAGS
      RIP
      RSP
      RAX
      // host PDPEs are not saved (they get reloaded at #VMEXIT if necessary)
from the VMCB at physical address rAX, load control information:
      intercept vector
      tsc offset
      interrupt control (v_irq, v_intr_*, v_tpr)
      EVENTINJ field
```

```
nested paging control:
            np_ena
            hCR3
                       // only used if nested paging is enabled
     ASID
if requested, flush entire TLB (all ASIDs, all entries)
if VMRUN intercept not set: #VMEXIT(INVALID)
from the VMCB at physical address rAX, load guest state:
     ES.{base,limit,attr,sel}
     CS.{base,limit,attr,sel}
     SS.{base,limit,attr,sel}
     DS.{base,limit,attr,sel}
     GDTR.{base,limit}
     IDTR.{base,limit}
     EFER
     CRO
     CR4
     CR3
     CR2
      if (nested paging enabled)
            load guest PAT // leaves host PAT register unchanged
      RFLAGS
     RIP
     RSP
     RAX
     DR7
     DR6
                       // O for real mode, 3 for v86 mode, else as loaded
     CPI
      interrupt_shadow flag
if (guest state consistency checks fail) #VMEXIT(INVALID)
GIF = 1
                  // allow interrupts in the guest
if (EVENTINJ.V)
     cause exception/interrupt in guest
else
     jump to first guest instruction
```

Upon #VMEXIT, the processor performs the following actions in order to return to the host execution context:

```
GIF = 0
save guest state to VMCB:
      ES. {base, limit, attr, sel}
      CS. {base, limit, attr, sel}
      SS.{base,limit,attr,sel}
      DS.{base,limit,attr,sel}
      GDTR.{base,limit}
      IDTR.{base,limit}
      EFER
      CR4
      CR3
      CR2
      CRO
      if (nested paging)
          quest PAT
      RFLAGS
      RIP
      RSP
      RAX
      DR7
      DR6
      CPL
      interrupt_shadow flag
save additional state and intercept information:
      v_irq, v_tpr
      exitcode
      exitinfo1
      exitinfo2
      exitintinfo
clear EVENTINJ field in VMCB
prepare processor for entering host mode:
      clear intercepts
      clear v_irq
      clear v_intr_masking
      clear tsc_offset
      turn off nested paging
      reset ASID to zero
reload host state
      GDTR.{base,limit}
      IDTR.{base,limit}
      EFER
      CRO.PE = 1 // saved copy of CRO.PE is ignored
      CR4
      CR3
      // NOTE: if host is in PAE paging mode, its PDPEs are reloaded here.
      // Do not reload host CR2 or PAT
```

```
RFLAGS
RIP
RSP
RAX
DR7 = "all disabled"
CPL = 0
ES.sel; reload segment descriptor from GDT
CS.sel; reload segment descriptor from GDT
SS.sel; reload segment descriptor from GDT
DS.sel; reload segment descriptor from GDT
if (illegal host state loaded, or exception while loading host state) shutdown
else
execute first host instruction following the VMRUN
```

#### **Related Instructions**

VMLOAD, VMSAVE.

#### rFLAGS Affected

None.

#### **Exceptions**

Exception	Real	Virtual 8086	Protected	Cause of Exception
Invalid opcode, #UD	Х	Х	Х	The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
			X	EFER.SVME is zero.
	Х	Х		Instruction is only recognized in protected mode.
General protection, #GP			Х	CPL was not zero.
			Х	rAX referenced a physical address above the maximum supported physical address.
			X	The address in rAX was not aligned on a 4Kbyte boundary.

#### **VMSAVE**

#### Save State to VMCB

Stores a subset of the processor state into the VMCB specified by the physical address in the rAX register (the portion of RAX used to form the address is determined by the effective address size).

The VMSAVE and VMLOAD instructions complement the state save/restore abilities of VMRUN and #VMEXIT, providing access to hidden state that software is otherwise unable to access, plus some additional commonly-used state.

Mnemonic	Opcode	Description		
VMSAVE rAX	0F 01 DB	Save additional guest state to VMCB.		

#### **Action**

Store to a VMCB at physical address rAX:
FS, GS, TR, LDTR (including all hidden state)
KernelGsBase
STAR, LSTAR, CSTAR, SFMASK
SYSENTER\_CS, SYSENTER\_ESP, SYSENTER\_EIP

#### **Related Instructions**

VMLOAD

#### rFLAGS Affected

None.

#### **Exceptions**

		Virtual		
Exception	Real	8086	Protected	Cause of Exception
Invalid opcode, #UD			Х	The SVM instructions are not supported as indicated by ECX bit 2 as returned by CPUID extended function 8000_0001h.
			Х	EFER.SVME was zero.
	Х	Х		Instruction is only recognized in protected mode.
General protection, #GP			Х	CPL was not zero.
			Х	rAX referenced a physical address above the maximum supported physical address.
			Х	The address in rAX was not aligned on a 4Kbyte boundary.

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# Appendix A Reset Values and INIT

This appendix provides data on reset values of SVM-related data structures and features, and the reinitialization of state as a result of INIT.

#### A.1 Reset Values

The SVM-related processor state resets as follows:

- EFER.SVME is cleared to 0 (SVM extensions disabled).
- GIF is set to 1 (interrupts enabled globally).
- SVM intercepts are cleared to 0 (no intercepts active).
- The "current ASID" register is cleared to 0.
- VM\_CR is cleared to 0 (debug, INIT and A20M function as usual).
- V\_IRQ and V\_INTR\_MASKING are cleared to 0 (no virtual interrupt pending, interrupt masking not virtualized).
- TSC\_OFFSET is cleared to 0 (RDTSC delivers "raw" value).
- Nested paging is disabled.

SVM-related Northbridge state resets as follows:

- DEV table features are disabled.
- Interrupt Enable Register (IER) is set to "all vectors enabled".

#### A.2 Action of INIT

INIT can be intercepted when inside a guest (in which case it causes a #VMEXIT and INIT is held pending) and can be redirected inside the host context, in which case it causes INIT to be dropped and raises an #SX exception. In either case, the INIT has no effect on hardware state. Only if the INIT is neither intercepted nor redirected does it reinitialize state as follows:

- EFER.SVME is cleared to 0 (SVM extensions disabled).
- GIF is set to 1 (interrupts enabled globally).
- SVM intercepts are cleared to 0 (no intercepts active).
- The "current ASID" register is cleared to 0.

- VM\_CR is cleared to 0 (debug, INIT and A20M function as usual).
- V\_IRQ and V\_INTR\_MASKING are cleared to 0 (no virtual interrupt pending, interrupt masking not virtualized).
- TSC\_OFFSET is cleared to 0 (RDTSC delivers "raw" value).
- Nested paging is disabled.

SVM-related Northbridge state is initialized as follows:

- DEV table features are disabled.
- Interrupt Enable Register (IER) is set to "all vectors enabled".

# **Appendix B** Processor Feature Identification

The presence of the SVM extensions is indicated by the SVM feature flag in the extended feature flags returned by extended CPUID function 8000\_0001h, in bit 2 of ECX.

On processors that support SVM, CPUID function 8000\_000Ah returns the SVM revision and feature flags in EAX, and the number of supported ASIDs in EBX, as shown in Table B-1. EDX is used to report feature flags, and ECX is currently reserved and set to zero.



Figure B-1. SVM Revision and Feature Identification in EAX, Extended Function 8000\_000Ah

The fields returned in EAX are defined as follows:

- REVISION—Bits 7–0. An 8-bit ordinal representing the SVM REVISION number; its value for the initial implementation is 1.
- Available—Bit 8. EAX bit 0 reads as zero. A hypervisor may use this bit to signal its presence by intercepting and emulating CPUID.



Figure B-2. SVM Revision and Feature Identification in EBX, Extended Function 8000 000Ah

The fields returned in EBX are defined as follows:

N\_ASIDS—Bits 31-0. A bit field that specifies the number of address space IDs supported by the given implementation. The N\_ASIDS value reported is one larger than the largest supported ASID value. The number of supported ASIDS need not be a power of two. The initial SVM implementation supports 64 ASIDs.

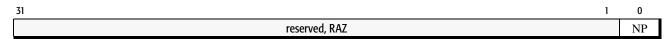


Figure B-3. SVM Revision and Feature Identification in EDX, Extended Function 8000\_000Ah

The NP field in EDX indicates whether the nested paging facility is implemented.

Future SVM features will be identified by a combination of revision number and feature flags in the currently reserved bits.

# **Appendix C** Layout of VMCB

## C.1 Layout of VMCB

The VMCB is divided into two areas—the first one contains various control bits including the intercept vector and the second one contains saved guest state.

Table C-1 describes the layout of the control area of the VMCB, which starts at offset zero within the VMCB page. The control area is padded to a size of 1024 bytes. All unused bytes must be zero, as they are reserved for future expansion. It is recommended that software "bzero" any newly allocated VMCB.

Table C-1. VMCB Layout, Control Area

Byte Offset	Bit(s)	Function
000h	0–15	Intercept reads of CR0-15, respectively.
	16–31	Intercept writes of CR0-15, respectively.
004h	0-15 Intercept reads of DR0-15, respectively.	
	16–31	Intercept writes of DR0–15, respectively.
008h	0–31	Intercept exception vectors 0–31, respectively.

**Table C-1. VMCB Layout, Control Area** (continued)

Byte Offset	Bit(s)	Function
00Ch	0	Intercept INTR (physical maskable interrupt).
	1	Intercept NMI.
	2	Intercept SMI.
	3	Intercept INIT.
	4	Intercept VINTR (virtual maskable interrupt).
	5	Intercept CR0 writes that change bits other than CR0.TS or CR0.MP.
	6	Intercept reads of IDTR.
	7	Intercept reads of GDTR.
	8	Intercept reads of LDTR.
	9	Intercept reads of TR.
	10	Intercept writes of IDTR.
	11	Intercept writes of GDTR.
	12	Intercept writes of LDTR.
	13	Intercept writes of TR.
	14	Intercept RDTSC instruction.
	15	Intercept RDPMC instruction.

**Table C-1. VMCB Layout, Control Area** (continued)

Byte Offset	Bit(s)	Function		
00Ch (continued)	16	Intercept PUSHF instruction.		
	17	Intercept POPF instruction.		
	18	Intercept CPUID instruction.		
	19	Intercept RSM instruction.		
	20	Intercept IRET instruction.		
	21	Intercept INT <i>n</i> (software interrupt) instruction.		
	22	Intercept INVD instruction.		
	23	Intercept PAUSE instruction.		
	24	Intercept HLT instruction.		
	25	Intercept INVLPG instruction.		
	26	Intercept INVLPGA instruction.		
	27	IOIO_PROT—Intercept IN/OUT accesses to selected ports.		
	28	MSR_PROT—intercept RDMSR or WRMSR accesses to selected MSRs.		
	29	Intercept task switches.		
	30	FERR_FREEZE: intercept processor "freezing" during legacy FERR handling.		
	31	Intercept shutdown events.		
010h	0	Intercept VMRUN instruction.		
	1	Intercept VMMCALL instruction.		
	2	Intercept VMLOAD instruction.		
	3	Intercept VMSAVE instruction.		
	4	Intercept STGI instruction.		
	5	Intercept CLGI instruction.		
	6	Intercept SKINIT instruction.		
	7	Intercept RDTSCP instruction.		
	8	Intercept ICEBP instruction.		
	931	RESERVED, MBZ		

**Table C-1. VMCB Layout, Control Area** (continued)

Byte Offset	Bit(s)	Function	
014h-03Fh	all	RESERVED, MBZ	
040h	0–63	IOPM_BASE_PA—Physical base address of IOPM (bits 11:0 are ignored).	
048h	0-63	MSRPM_BASE_PA—Physical base address of MSRPM (bits 11:0 are ignored).	
050h	0-63	TSC_OFFSET—To be added in RDTSC and RDTSCP.	
058h	0-31	Guest ASID.	
	32–39	TLB_CONTROL—Only two values are currently defined: 0—Do nothing 1—Flush TLB on VMRUN (all entries, all ASIDs)	
	40-63	RESERVED, MBZ	
060h	0–7	V_TPR—The virtual TPR for the guest; currently bits 3:0 are used for a 4-bit virtual TPR value; bits 7:4 are MBZ.	
		NOTE: This value is written back to the VMCB at #VMEXIT.	
	8	V_IRQ—If nonzero, virtual INTR is pending.  NOTE: This value is written back t othe VMCB at #VMEXIT.	
	9–15	RESERVED, MBZ	
	16-19	V_INTR_PRIO—Priority for virtual interrupt.	
	20	V_IGN_TPR—If nonzero, the current virtual interrupts ignores the (virtual) TPR.	
	21-23	RESERVED, MBZ	
	24	V_INTR_MASKING—Virtualize masking of INTR interrupts. See Section 2.17.1.	
	25-31	RESERVED, MBZ	
	32-39	V_INTR_VECTOR—Vector to use for this interrupt.	
	40-63	RESERVED, MBZ	
068h	0	INTERRUPT_SHADOW—Guest is in an interrupt shadow; see Section 2.17.5.  Note: This value is written back to the VMCB at #VMEXIT.	
	1–63		
a-al		RESERVED, MBZ	
070h	0-63	EXITCODE	

**Table C-1. VMCB Layout, Control Area** (continued)

Byte Offset	Bit(s)	Function	
078h	0–63	EXITINFO1	
080h	0–63	EXITINFO2	
088h	0–63	EXITINTINFO	
090h	0 NP_ENA—Enable nested paging.		
	1–63	RESERVED, MBZ	
098h-0A7h	RESERVED. MBZ		
0A8h	0–63 EVENTINJ—Event injection.		
0B0h	0–63	H_CR3—Host-level CR3 to use for nested paging.	
All other fields up to 3FFh	RESERVED, MBZ		

The state-save area within the VMCB starts at offset 400h into the VMCB page; Table C-2 below describes the fields within the state-save area; note that the table lists offsets *relative to the state-save area* (not the VMCB as a whole).

Table C-2. VMCB Layout, State Save Area

Offset	Size	Contents		Notes
000h	word		selector	
002h	word	ES	attrib	
004h	dword	E3	limit	
008h	qword		base	Only lower 32 bits are implemented
010h	word		selector	
012h	word	CS	attrib	
014h	dword	CS	limit	
018h	qword		base	Only lower 32 bits are implemented
020h	word		selector	
022h	word	SS	attrib	
024h	dword	33	limit	
028h	qword		base	Only lower 32 bits are implemented
030h	word		selector	
032h	word	DS	attrib	
034h	dword	כע ן	limit	
038h	qword		base	Only lower 32 bits are implemented
040h	word		selector	
042h	word	FS	attrib	
044h	dword	13	limit	
048h	qword		base	

**Table C-2. VMCB Layout, State Save Area** (continued)

Offset	Size	Con	tents	Notes
050h	word		selector	
052h	word	GS	attrib	
054h	dword	us	limit	
058h	qword		base	
060h	word		selector	not implemented
062h	word	GDTR	attrib	not implemented
064h	dword	GDIK	limit	only lower 16 bits are implemented
068h	qword		base	
070h	word		selector	
072h	word	LDTR	attrib	
074h	dword	LDIK	limit	
078h	qword		base	
080h	word		selector	not implemented
082h	word	IDTR	attrib	not implemented
084h	dword	IDIK	limit	only lower 16 bits are implemented
088h	qword		base	
090h	word		selector	
092h	word	TR	attrib	
094h	dword	IK	limit	
098h	qword		base	
0A0h - 0CAh		RESERVE	D	
0CBh	byte	CPL		
0CCh	dword	RESERVED		
0D0h	qword	EFER		
0D8h - 147h		RESERVED		
148h	qword	CR4		
150h	qword	CR3		

**Table C-2. VMCB Layout, State Save Area** (continued)

Offset	Size	Contents Notes	
158h	qword	CR0	
160h	qword	DR7	
168h	qword	DR6	
170h	qword	RFLAGS	
178h	qword	RIP	
180h - 1D7h		RESERVED	
1D8h	qword	RSP	
1E0h - 1F7h		RESERVED	
1F8h	qword	RAX	
200h	qword	STAR	
208h	qword	LSTAR	
210h	qword	CSTAR	
218h	qword	SFMASK	
220h	qword	KernelGsBase	
228h	qword	SYSENTER_CS	
230h	qword	SYSENTER_ESP	
238h	qword	SYSENTER_EIP	
240h	qword	CR2	
248h - 267h		RESERVED	
268h	qword	G_PAT Guest PAT—only used if nested paging enabled.	
270h to end of V	/MCB	RESERVED	

# **Appendix D** Intercept Exit Codes

When the VMRUN instruction exits (back to the host), an exit/reason code is stored in the EXITCODE field in the VMCB. The exit codes are defined in Table D-1. Intercept exit codes 0–136 equal the bit position of the corresponding flag in the VMCB's intercept vector.

**Table D-1. SVM Intercept Codes** 

Code	Name	Cause
0-15	VMEXIT_CR[0-15]_READ	read of CR 0 through 15, respectively
16-31	VMEXIT_CR[0-15]_WRITE	write of CR 0 through 15, respectively
32-47	VMEXIT_DR[0-15]_READ	read of DR 0 through 15, respectively
48-63	VMEXIT_DR[0-15]_WRITE	write of DR 0 through 15, respectively
64-95	VMEXIT_EXCP[0-31]	exception vector 0–31, respectively
96	VMEXIT_INTR	physical INTR (maskable interrupt)
97	VMEXIT_NMI	physical NMI
98	VMEXIT_SMI	physical SMI; EXITINFO1 indicates whether caused internally (0) or externally (1)
99	VMEXIT_INIT	physical INIT
100	VMEXIT_VINTR	virtual maskable interrupt
101	VMEXIT_CRO_SEL_WRITE	write of CR0 that changed any bits other than CR0.TS or CR0.MP
102	VMEXIT_IDTR_READ	read of IDTR
103	VMEXIT_GDTR_READ	read of GDTR
104	VMEXIT_LDTR_READ	read of LDTR
105	VMEXIT_TR_READ	read of TR
106	VMEXIT_IDTR_WRITE	write of IDTR
107	VMEXIT_GDTR_WRITE	write of GDTR
108	VMEXIT_LDTR_WRITE	write of LDTR
109	VMEXIT_TR_WRITE	write of TR

**Table D-1. SVM Intercept Codes** (continued)

Code	Name	Cause
110	VMEXIT_RDTSC	RDTSC instruction
111	VMEXIT_RDPMC	RDPMC instruction
112	VMEXIT_PUSHF	PUSHF instruction
113	VMEXIT_POPF	POPF instruction
114	VMEXIT_CPUID	CPUID instruction
115	VMEXIT_RSM	RSM instruction
116	VMEXIT_IRET	IRET instruction
117	VMEXIT_SWINT	software interrupt (INTn instruction)
118	VMEXIT_INVD	INVD instruction
119	VMEXIT_PAUSE	PAUSE instruction
120	VMEXIT_HLT	HLT instruction
121	VMEXIT_INVLPG	INVLPG instructions
122	VMEXIT_INVLPGA	INVLPGA instruction
123	VMEXIT_IOIO	IN or OUT accessing protected port (the EXITINFO1 field provides more information)
124	VMEXIT_MSR	RDMSR or WRMSR access to protected MSR
125	VMEXIT_TASK_SWITCH	task switch
126	VMEXIT_FERR_FREEZE	FP legacy handling enabled, and processor is frozen in an x87/mmx instruction waiting for an interrupt
127	VMEXIT_SHUTDOWN	a shutdown condition occurred in the guest
128	VMEXIT_VMRUN	VMRUN instruction
129	VMEXIT_VMMCALL	VMMCALL instruction
130	VMEXIT_VMLOAD	VMLOAD instruction
131	VMEXIT_VMSAVE	VMSAVE instruction
132	VMEXIT_STGI	STGI instruction
133	VMEXIT_CLGI	CLGI instruction
134	VMEXIT_SKINIT	SKINIT instruction
135	VMEXIT_RDTSCP	RDTSCP instruction

**Table D-1. SVM Intercept Codes** (continued)

Code	Name	Cause
136	VMEXIT_ICEBP	ICEBP instruction
1024	VMEXIT_NPF	Nested paging: host-level page fault occurred. EXITINFO1 contains fault errorcode. EXITINFO2 contains the guest physical address causing the fault.
-1	VMEXIT_INVALID	Invalid guest state in VMCB

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# **Appendix E** New and Changed MSRs

SVM introduces new MSRs and adds new fields to existing MSRs as summarized in Table E-1. These new MSRs and fields are available regardless of whether SVM is enabled in EFER.SVME.

Table E-1. SVM New MSRs

Name	Address	Access	Description
VM_CR	C001_0114	r/w	Security-related control bits.
IGNNE	C001_0115	1/ ۷۷	Set the processor-internal IGNNE state.
SMM_CTL	C001_0116	w/o	Explicit control over SMM state and signals.
VM_HSAVE_PA	C001_0117	r/w	Physical address of host state-save area.

#### E.1 VM\_CR MSR (C001\_0114h)

The read/write VM\_CR MSR controls certain "global" aspects of SVM. The layout of the MSR is shown in Figure E-1.



Figure E-1. Layout of VM\_CR MSR (C001\_0114h)

The individual fields are as follows:

- DPD—Bit 0. If set, disables HDT and certain internal debug features.
- R\_INIT—Bit 1. If set, non-intercepted INIT signals are converted ("redirected") into an #SX exception.
- DIS\_A20M—Bit 2. If set, disables A20 masking.

# **E.2 IGNNE MSR (C001\_0115h)**

The read/write IGNNE MSR is used to directly set the state of the processor-internal IGNNE signal. This is only useful if IGNNE emulation has been enabled in the HW\_CR MSR (and thus the external signal is being ignored). Bit 0 specifies the current value of IGNNE; all other bits are MBZ.

#### E.3 SMM\_CTL MSR (C001\_0116h)

The write-only SMM\_CTL MSR provides software control over SMM signals.



Figure E-2. Layout of SMM\_CTL MSR (C001\_0116h)

Writing individual bits causes the following actions:

- DISMISS—Bit 0. Clear the processor-internal "SMI pending" flag.
- ENTER—Bit 1. Enter SMM: map the SMRAM memory areas, record whether NMI was currently blocked and block further NMI and SMI interrupts.
- SMI\_CYCLE—Bit 2. Send SMI special cycle.
- EXIT—Bit 3. Exit SMM: unmap the SMRAM memory areas, restore the previous masking status of NMI and unconditionally reenable SMI.
- RSM\_CYCLE—Bit 4. Send RSM special cycle.

Writes to the SMM\_CTL MSR cause a #GP if the BIOS has locked the SMM control registers.

Conceptually, the bits are processed in the order of ENTER, SMI\_CYCLE, DISMISS, RSM\_CYCLE, EXIT, though only the following bit combinations may be set together in a single write (for all other combinations of more than one bit, behavior is undefined):

- ENTER + SMI\_CYCLE
- DISMISS + ENTER
- DISMISS + ENTER + SMI\_CYCLE
- EXIT + RSM CYCLE

The VMM must ensure that ENTER and EXIT operations are properly matched, and *not* nested, otherwise processor behavior is undefined. Also undefined are ENTER when the processor is already in SMM, and EXIT when the processor is not in SMM.

#### E.4 VM\_HSAVE\_PA MSR (C001\_0117h)

The 64-bit read/write VM\_SAVE\_PA MSR holds the physical address of a block of memory where VMRUN saves host state, and from which #VMEXIT reloads host state. The VMM software is expected to set up this register before issuing the first VMRUN instruction. The host state-save area must be aligned at 4KB; software must not attempt to either read or write it.

Writing this MSR causes a #GP if:

- any of the low 12 bits of the address written are nonzero, or
- the address written is greater than or equal to the maximum supported physical address for this implementation.

# **E.5** Changes to Existing MSRs

The following existing MSRs are changed:

E.5.1 **EFER** 

■ SVME—Bit 12. Enables the SVM extensions. While this bit is zero, the new SVM instructions cause #UD exceptions. Resets to zero (SVM extensions disabled). The effect of turning off EFER.SVME while a guest is running is undefined, therefore the VMM should always prevent guests from writing EFER.

## **E.6** New localAPIC Registers

The 256-bit IER and the SEOI command register are made available via new registers in the second APIC page, at the offsets defined in Table E-2, "Secure-VM New localAPIC Registers", on page 98 below.

Name	Address	Description
	0x400	Extended APIC feature register (read only); see Section E.7.
	0x410	Extended APIC control register (read/write); see Section E.7.
SEOI	0x420	Specific End-of-Interrupt register (write only).
		S/w writes this register with an 8-bit vector number in the low 8 bits to cause an end-of-interrupt cycle to be performed for the specified vector. If no interrupt is in service for the specified vector, the behavior is undefined.
IERO	0x480	The 256-bit IER (Interrupt Enable Register) is made available as eight 32-bit APIC registers; the layout is
IER1	0x490	
		little-endian (IERO contains IER bits 0–31, IER1 contains bits 32–63, and so on).
IER7	0x4F0	Contains Dia 32 '03, und 30 on).

**Table E-2. Secure-VM New localAPIC Registers** 

# **E.7** APIC Feature Identification, and Enabling

Secure virtualization also depends on new APIC features. These are identified in the new extended APIC feature register and must be enabled via the new extended APIC control register. Bit 31 in the existing APIC version register (offset 30h) indicates whether the extended APIC register space is present.

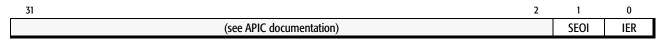


Figure E-3. Extended APIC feature register.



Figure E-4. Extended APIC control register.

The IER and SEOI fields in these two registers indicate the presence of, and enable, the new APIC SEOI and IER registers, respectively.