# **Guided Proofreading of Automatic Segmentations for Connectomics**

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## **Abstract**

Automatic cell image segmentation methods in connectomics produce merge and split errors, which require correction through proofreading. Previous research has identified the visual search for these errors as the bottleneck in interactive proofreading. To aid error correction, we develop two classifiers that automatically recommend candidate merge and splits to the user. These classifiers use a convolutional neural network (CNN) that has been trained with errors in automatic segmentations against expert-labeled ground truth. Our classifiers detect potentially-erroneous regions by considering a large context region around a segmentation boundary. Corrections can then be performed by a user with yes/no decisions resulting in faster correction times than previous proofreading methods. We also present a fullyautomatic mode that uses a probability threshold to make merge/split decisions. Extensive experiments using the automatic approach and comparing performance of novice and expert users demonstrate that our method performs favorably agains state-of-the-art proofreading methods on different connectomics datasets.

### 1. Introduction

In connectomics, neuroscientists annotate neurons and their connectivity within 3D volumes to gain insight into the functional structure of the brain. Rapid progress in automatic sample preparation and electron microscopy (EM) acquisition techniques has made it possible to image large volumes of brain tissue at nanometer resolution. With a voxel size of  $4 \times 4 \times 40$  nm<sup>3</sup>, a cubic millimeter volume is one petabyte of data. With so much data, manual annotation is not feasible, and automatic annotation methods are needed [13, 22, 25, 18].

Automatic annotation by segmentation and classification of brain tissue is challenging [1] and all available methods make errors. This requires that the results are being *proof-read* by humans. This crucial task serves two purposes: 1) to correct errors in the segmentation, and 2) to increase the body of labeled data from which to train better automatic

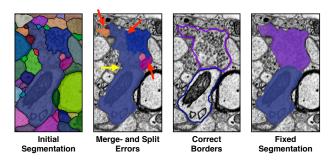


Figure 1: The most common proofreading corrections are fixing split errors (red arrows) and merge errors (yellow arrow). A fixed segmentation matches the cell borders.

segmentation methods. Recent proofreading tools provide intuitive user interfaces to browse segmentation data in 2D and 3D and to identify and manually correct errors [31, 14, 20, 9]. Many kinds of errors exist, such as inaccurate boundaries, but the most common are *split errors*, where a single segment is labeled as two, and *merge errors*, where two segments are labeled as one (Fig. 1). With user interaction, split errors can be joined, and the missing boundary in a merge error can be defined with manually-seeded watersheds [9]. However, the visual inspection to find errors takes the majority of the time, even with semi-automatic correction tools [27].

Our goal is to automatically detect split and merge errors to reduce visual inspection time. Further, to reduce correction time we wish to propose corrections to the user to accept or reject. We call this process *guided proofreading*.

We learn a classifier for split errors with a convolutional neural network (CNN). This takes as input patches of membrane segmentation probabilities, cell segmentation masks, and boundary masks, and outputs a probability score. As we must process large data, this classifier only operates on cell boundaries, which reduces computation over methods that analyze every pixel. For merge errors, we invert and reuse the split classification network, instead asking it to rate a set of generated candidate boundaries that hypothesize a split. We compute corrections for both types of errors.

We also propose a greedy algorithm for guided proofread-

ing. Possible erroneous regions are sorted by their score, and a correction is generated for each region. Then, a user works through this list of regions and corrections. In a forced choice setting, the user either selects a correction or skips it to advance to the next region. In an automatic setting, errors with a high probability can be automatically corrected first, given an appropriate probability threshold, after which the user would take over. Finally, to test the limits of performance, we create an oracle that only accepts corrections improving the segmentation based on knowledge of the ground truth. This equals perfect proofreading.

We evaluate these methods on multiple connectomics datasets. For the forced choice setting, we perform a quantitative user study with 20 novice users who have no previous experience of proofreading EM data. We ask participants to proofread a small segmentation volume in a fixed time frame. In a between-subjects design, we compare guided proofreading to the semi-automatic *focused proofreading* approach by Plaza [28]. In addition, we compare against the manual interactive proofreading tool *Dojo* by Haehn *et al.* [9]. We also asked four domain experts to use guided proofreading and focused proofreading for comparison.

In this paper, we make the following contributions. First, we present a CNN-based boundary classifier for split errors, plus a merge error classifier that inverts the split error classifier. This is used to propose merge error corrections, removing the need to manually draw the missing edge. These classifiers perform well without much training data, which is expensive to collect for connectomics data. Second, we developed a guided proofreading approach to correcting segmentation volumes, and an assessment scenario comparing forced-choice interaction with automatic and oracle proofreading. Furthermore, we present the results of a quantitative user study assessing guided proofreading, which shows that our method is able to reduce segmentation error faster than state-of-the-art semi-automatic tools for both novice and expert users. Our method is applicable to all existing automatic segmentation methods that produce a label map. As such, we believe that guided proofreading is a promising direction to proofread segmentations more efficiently to better tackle large volumes of connectomics imagery.

## 2. Related Work

Automatic Segmentation. Multi-terabyte EM brain volumes require automatic segmentation [13, 22, 24, 25], but can be hard to classify due to ambiguous intercellular space: the 2013 IEEE ISBI neurites 3D segmentation challenge [1] showed that existing algorithms that learn from expert-segmented training data still exhibit high error rates.

Many works tackle this problem. NeuroProof [2] decreases error rates by learning an agglomeration on oversegmentations of images based on a random forest classifier. Vazquez-Reina *et al.* [33] consider whole EM volumes rather

than a per-section approach, then solve a fusion problem with a global context. Kaynig *et al.* [17] propose a random forest classifier coupled with an anisotropic smoothing prior in a conditional random field framework with 3D segment fusion. Bogovic *et al.* [5] learn 3D features unsupervised, and show that they can be better than by-hand designs.

It is also possible to learn segmentation classification features directly from images with CNNs. Ronneberger *et al.* [29] use a contracting/expanding CNN path architecture to enable precise boundary localization with small amounts of training data. Lee *et al.* [21] recursively train very deep networks with 2D and 3D filters to detect boundaries. All these approaches make good progress; however, in general, proofreading is still required to correct errors.

**Interactive Proofreading.** While proofreading is very time consuming, it is fairly easy for humans to perform corrections through splitting and merging segments. One expert tool is Raveler, introduced by Chklovskii *et al.* [6, 14]. Raveler is used today by professional proofreaders, and it offers many parameters for tweaking the process. Similar systems exist as products or plugins to visualization systems, *e.g.* V3D [27] or AVIZO [31].

Recent papers have attacked the problem of proofreading massive datasets through crowdsourcing with novices [30, 4, 8]. One popular platform is EyeWire, by Kim *et al.* [19], where participants earn virtual rewards for merging oversegmented labelings to reconstruct retina cells.

Between expert systems and online games sit Mojo and Dojo, by Haehn *et al.* [9, 3], which use simple scribble interfaces for error correction. Dojo extends this to distributed proofreading via a minimalistic web-based user interface. The authors define requirements for general proofreading tools, and then evaluate the accuracy and speed of Raveler, Mojo, and Dojo through a quantitative user study (Sec. 3 and 4) [9]. Dojo had the highest performance. In this paper, we use Dojo as a baseline for interactive proofreading.

All interactive proofreading solutions require the user to find potential errors manually, which takes the majority of time [27, 9]. Recent papers propose computer-aided proofreading systems which help reduce the time spent in this visual search task.

Computer-aided Proofreading. Uzunbas *et al.* [32] showed that potential labeling errors can be found by considering the merge tree of an automatic segmentation method. The authors track uncertainty throughout the automatic labeling by training a conditional random field. This segmentation technique produces uncertainty estimates, which inform potential regions for proofreading to the user. While this applies to isotropic volumes, more work is needed to apply it to anisotropic volumes that exist for most connectomics datasets.

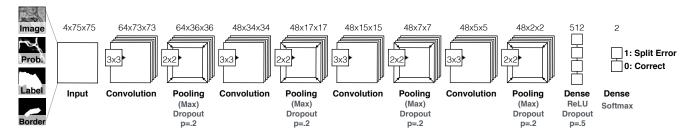


Figure 2: We build the guided proofreading classifiers using a traditional CNN architecture. The network is based on four convolutional layers, each followed by max pooling as well as dropout regularization. The 4-channel input patches are rated as either correct splits or as split errors.

Karimov *et al.* [15] propose guided volume editing, which measures the difference in histogram distributions in image data to find potential split and merge errors in the corresponding segmentation. This lets expert users correct labeled computer-tomography datasets, using several interactions per correction. To correct merge errors, the authors create a large number of superpixels within a single segment and then successively group them based on dissimilarities. We were inspired by this approach but generate single watershed boundaries to handle the intracellular variance in high-resolution EM images (Sec. 3).

Most closely related to our approach is the work of Plaza [28], who proposed *focused proofreading*. This method generates affinity scores by analyzing a region adjacency graph across slices, then finds the largest affinities based on a defined impact score. This yields edges of potential split errors which can be presented to the proofreader. Plaza reports that additional manual work is required to find and correct merge errors. Focused proofreading builds upon NeuroProof [2] as its agglomerator, and is open source with integration into Raveler. As the closest related work, we wish to use this method as a baseline to evaluate our approach (Sec. 4). However, we separate the backend affinity score calculation from the expert-level front end, and present our own user-friendly interface (Sec. 4).

### 3. Method

## 3.1. Split Error Detection

We build a split error classifier with output p using a CNN to check whether an edge within an existing automatic segmentation is valid (p=0) or not (p=1). Rather than analyzing every input pixel, the classifier operates only on segment boundaries, which requires less pixel context and is faster. In contrast to Bogovic  $et\ al.\ [5]$ , we work with 2D slices rather than 3D volumes. This enables proofreading prior or in parallel to a computationally expensive alignment of individual EM images.

Convolutional Neural Network Architecture. Split

error detection of a given boundary is a binary classification task since the boundary is either correct or erroneous. However, in reality the score p is between 0 and 1. The classification complexity arises from hundreds of different cell types in connectomics data rather than from the classification decision itself. Intuitively, this yields a wider architecture with more filters rather than a deeper architecture with more layers. We explored different architectural configurations—including residual networks [11]—by performing a brute force parameter search and comparing precision and recall (see supplementary materials). Our final CNN configuration for split error detection is composed of four convolutional layers, each followed by max pooling as well as dropout regularization to prevent overfitting due to limited training data. Fig. 2 shows the CNN architecture for split error detection.

Classifier Inputs. To train the CNN for split error detection, we take boundary context information into consideration for the decision making process. For this, we use a  $75 \times 75$  pixel patch at the center of an existing boundary. This covers approximately 80% of all boundaries in real-world connectomics data with nanometer resolution. If the boundary length is not fully covered, we sample up to 10 non-overlapping patches along the boundary and combine the resulting score by weighted averaging based on boundary length coverage per patch. Similar to Bogovich et al. [5], we use grayscale image data, corresponding boundary probabilities, and a single binary mask combining the two neighboring labels as features for our CNN. However, we observed that the boundary probability information generated from EM images is often misleading due to noise or artifacts in the data. This can result in merge errors within the automatic segmentation. To better direct our classifier to train on the true boundary, we extract the border between two segments. We then dilate this border by 5 pixels to consider slight edge ambiguities and use this binary mask as an additional feature to create a stacked 4-channel input patch. Fig. 3 shows examples of correct and erroneous feature patches and their corresponding automatic

segmentation and ground truth.

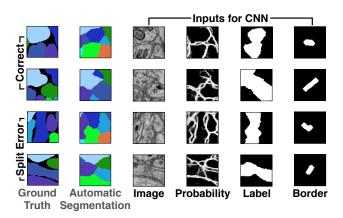


Figure 3: Example inputs for learning correct splits and split errors as reflected in the segmentation relative to the ground truth. Image, membrane probabilities, merged binary labels, and a dilated border mask are combined to 4-channel input patches.

## 3.2. Merge Error Detection

Identification and correction of merge errors is more challenging than finding and fixing split errors, because we must look inside segmentation regions for missing or incomplete boundaries and then propose the correct boundary. However, we can reuse the same trained CNN for this task. Similar to guided volume editing by Karimov *et al.* [15] we generate potential borders within a segment. For each segmentation label, we dilate the label by 20 pixel and generate 50 potential boundaries through the region by randomly placing watershed seed points at opposite sides of the label boundary. For watershed, we use the inverted grayscale EM image as features. This yields 50 candidate splits.

Dilation of the segment prior to watershed is motivated by our observation that the generated split tends to attach to the real membrane boundary. These boundaries are then individually rated using our split error classifier. For this, we invert the probability score such that a correct split (previously encoded as p=0) is most likely a candidate for a merge error (now encoded as p=1). In other words, if a generated boundary is ranked as correct, it probably should be in the segmentation. Fig. 4 illustrates this procedure.

## 3.3. Error Correction

We use the proposed classifiers in combination to perform corrections of split and merge errors in automatic segmentations. For this, we first perform merge error detection for all existing segments in a dataset and store the inverted rankings 1-p as well as potential corrections. After that, we perform split error detection

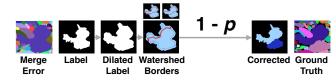


Figure 4: Merge errors are identified by generating randomly seeded watershed borders within a dilated label segment. These borders then are individually rated using the split error CNN by inverting the probability score. This way, a confident rating for a correct split most likely indicates the missing border of the merge error and can be used for correcting the labeling.

and store the ranking p for all neighboring segments in the segmentation. We then sort the merge and split error rankings individually from highest to lowest. For error correction, we first loop through the potential merge error regions and then through the potential split error regions. During this process, each error is now subject to a yes/no decision which can be provided in different ways:

**Selection oracle.** If ground truth data is available, the selection oracle *knows* whether a possible correction improves an automatic segmentation. This is realized by simply comparing the outcome of a correction using a defined measure. The oracle only accepts corrections which improve the automatic segmentation—others get discarded. This equals perfect proofreading.

Automatic selection with threshold. The decision whether to accept or reject a potential correction is done by comparing rankings to a threshold  $p_t$ . If the inverted score 1-p of a merge error is higher than a threshold  $1-p_t$ , the correction is accepted. Similarly, a correction is accepted for a split error if the ranking p is higher than  $p_t$ . Our experiments have shown that the threshold  $p_t$  is the same for merge and split errors for a balanced classifier that has been trained on equal numbers of correct and error patches.

**Forced choice setting.** A user is presented with the choices of either accepting or rejecting a correction. This way, all potential split errors are seen. Inspecting all merge errors is not possible for users due to the sheer amount of generated borders. We therefore only present merge errors that satisfy  $1-p_t$ .

In all cases, a decision has to be made to advance to the next possible erroneous region. If a merge error correction was accepted, the newly found boundary is added to the segmentation data. This partially updates the merge error and split error ranking with respect to the

new segment. If a split error correction was accepted, two segments are merged in the segmentation data and the disappearing segment is removed from all error rankings. We then perform merge error detection on the now larger segment and update the ranking. We also update the split error rankings to include all new neighbors and re-sort. The error with the next highest ranking is now subject to the yes/no decision.

## 3.4. User Interface

Our guided proofreading is integrated into an existing workflow for large connectomics data. The system is web-based and is designed with a user-friendly user interface (Fig.5) showing three components. We show the outline of the current labeling of a cell boundary and its proposed correction overlaying the EM image data. For the user, it is not possible to distinguish the current labeling and the proposed correction to avoid selection bias. We also show a solid overlay of the current and the proposed labeling. In addition, we show the image without overlays to provide an unoccluded view. User interaction is simple and involves one mouse click on either the current labeling or the correction. After interaction, the next potential error is shown.

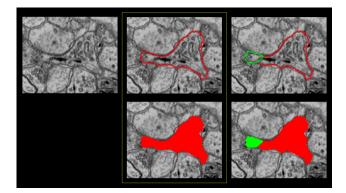


Figure 5: Our guided proofreading user interface for Segmentation correction. An image without overlays is shown on the left. A split error (right) and its correction (center) are the possible choices for the user. Hovering highlights the current selection with a yellow border, and a mouse click confirms it by advancing to the next potential error.

## 4. Evaluation

We evaluate guided proofreading extensively on multiple different real-world connectomics datasets of different species. All datasets were acquired using either via serial section electron microscopy (ssEM) or serial section transmission electron microscopy (ssTEM). We perform experiments for error correction based on a selection oracle, automatic selection with threshold, and the forced choice setting. The latter is designed as a between-subjects user study with novice and expert participants.

### 4.1. Datasets

**L. Cylinder.** We use the left part of the 3-cylinder mouse cortex volume of Kasthuri *et al.* [16]  $(2048 \times 2048 \times 300 \text{ voxels})$ . The tissue is dense mammalian neuropil from layers 4 and 5 of the S1 primary somatosensory cortex of a healthy mouse and was acquired using ssEM. The resolution of our dataset is  $3 \times 3 \text{ nm}^2$  per pixel, and the section thickness is 30 nm. The image data and a manually-labeled expert segmentation is publicly available as ground truth for the entire dataset<sup>1</sup>.

**AC4 subvolume.** This dataset is part of a publicly available dataset of mouse cortex that was published for the ISBI 2013 challenge "SNEMI3D: 3D Segmentation of neurites in EM images". The resolution of this dataset is  $6 \times 6 \times 30$  nm³/voxel and it was acquired using ssEM. Haehn *et al.* [9] found the most representative subvolume  $(400 \times 400 \times 10 \text{ voxels})$  of this dataset with respect to the distribution of object sizes and used it for their experiments on interactive proofreading tools for connectomics. We use their publicly available data, labeled ground truth, and study findings  $^2$ .

**CREMI A/B/C.** As part of the MICCAI 2016 challenge on circuit reconstruction from electron microscopy images (CREMI), six ssTEM datasets (each  $1250 \times 1250 \times 125$  voxels) were made publicly available <sup>3</sup>. Since only three include manually labeled ground truth, we use these three volumes for our experiments. The volumes are part of adult fruit fly (Drosophila melanogaster) brain. The resolution of all three datasets is  $4 \times 4 \times 40$  nm<sup>3</sup>/voxel.

Automatic segmentation pipeline. We use a state-of-the-art method to create a dense automatic segmentation of the data. Membrane probabilities are generated using a convolutional neural network based on the U-net architecture [29]. The probabilities are used to seed watershed and generate an oversegmentation using superpixels. Agglomeration is then performed by the GALA active learning classifier [26].

### 4.2. Classifier Training

To train our split error classifier, we use the L. Cylinder dataset. We use the first 250 sections of the data for training and validation. For n-fold cross validation, we select one quarter of this data and re-select after each epoch. We minimize cross-entropy loss and update using stochastic gra-

<sup>&</sup>lt;sup>1</sup>https://software.rc.fas.harvard.edu/lichtman/vast/

<sup>&</sup>lt;sup>2</sup>http://rhoana.org/dojo/

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dient descent with Nesterov momentum [23]. To generate training data, we identify correct regions and split errors in the automatic segmentation by intersection with ground truth regions. This is required since extracellular space is not labeled in the ground truth but in our dense automatic segmentation. From these regions, we sample 112,760 correct and 112,760 split error patches with 4-channels as described above. The patches are normalized. To further augment our training data, we rotate patches within each mini-batch by k \* 90 degrees with randomly chosen k. The training parameters such as filter size, number of filters, learning rate, and momentum are the result of intuition and experience, studying recent machine learning research, and a brute force parameter search within a limited range (see supplementary material). The first row of table 1 lists the final parameters. Our CNN configuration results in approximately 170,000 learnable parameters. We assume that training has converged if the validation loss does not decrease for 50 epochs. We test the CNN by generating a balanced set of 8,780 correct and 8,780 error patches using unseen data of the left cylinder dataset and report testing results in the second row of table 1.

Guided Proofreading	Focused Proofreading
Parameters Filter size: 3x3 No. Filters 1: 64 No. Filters 2-4: 48 Dense units: 512 Learning rate: 0.03-0.00001 Momentum: 0.9-0.999 Mini-Batchsize: 128	Parameters Iterations: 3 Learning strategy: 2 Mito agglomeration: Off Threshold: 0.0
Results cost [m]: 383	Results cost [m]: 217

Val. loss: 0.0845 Val. acc.: 0.969 Test. acc.: 0.94 Prec./Recall: 0.94/0.94 F1 Score: 0.94

Test. acc.: 0.99 Prec./Recall: HP: add?/? F1 Score: HP: add?

Table 1: Training parameters, cost, and results of our guided proofreading classifier versus focused proofreading by Plaza [28]. Both methods were trained on the same mouse brain dataset using the same hardware (Tesla K40 GPU).HP: Maybe split this into two tables?

## 4.3. Baseline Comparisons

**Interactive proofreading.** A comparison of interactive proofreading tools by Haehn et al. concludes that novices perform best when using Dojo [9]. We use the publicly available findings of their user study as a baseline comparison. More specifically, we use the data of the Dojo user with

the reported best performance as a baseline.

Computer-aided proofreading. We compare against focused proofreading by Plaza [28]. Focused proofreading performs graph analysis on output from NeuroProof [2]. For training, we therefore replace GALA in our automatic segmentation pipeline with NeuroProof but use exactly the same input data including membrane probabilities. We obtained the best possible parameters for NeuroProof by consulting the developers and report them in table 1. Rather than using Raveler as the frontend, we use our own interface (Fig. 5). This way, the comparison is based on the classifier rather than on the user interface.

## 4.4. Experiments

**Selection oracle evaluation.** We use the selection oracle as described in Sec. 3.3 for the decision whether to accept or reject a correction. The purpose of this experiment is to investigate how many corrections are required to reach the best possible outcome. This is a direct comparison of the guided proofreading and focused proofreading classifiers but can only be performed if ground truth data is available. We perform this experiment on all datasets listed above.

Automatic method evaluation. For this experiment we accept all suggested corrections if the rankings are above a configured threshold  $p_t = .95$  (Sec. 3.3. We observed this value as stable in previous experiments with the guided proofreading classifiers (see supplementary material). We compare against the focused proofreading classifier and perform this experiment on all reported datasets.

Forced choice user experiments. We conducted a quantitative user study to evaluate the forced choice setting as described in Sec. 3.3. In particular, we evaluate how participants perform while correcting an automatic segmentation using the guided proofreading and focused proofreading tools. We designed a single factor betweensubject experiment with the factor proofreading classifier and asked participants to proofread the AC4 subvolume in a fixed time frame of 30 minutes. To enable comparison against the interactive proofreading study by Haehn et al. [9], we use the exact same study conditions, dataset, and time limit. The experiment was performed on a machine with standard, off-the-shelf hardware. All participants received monetary compensation for their time.

**Novice Study Design.** We recruited participants with no experience in electron microscopy data or proofreading through flyers, mailing lists, and personal interaction. Based on sample size calculation theory, we estimated the study sample size as ten users per proofreading tool including four potential dropouts [7, 12]. Nevertheless, all twenty participants completed the study (N = 20, 10 female; 19-65 years

old, M=30). Each study session started off with the participants signing the consent form and with a five minute standardized explanation of the task. The participants then were asked to do a 3 minute proofreading task on separate but representative data using focused proofreading. The participants were allowed to ask questions during this time. The classifier did not matter in this case since the user interface was the same. The experimenter then loaded the AC4 subvolume with initial pre-computed classifications by either guided proofreading or focused proofreading depending on assignment. After 30 minutes, the participants completed the raw NASA-TLX standard questions for task evaluation [10].

**Expert Study Design.** We recruited 4 domain experts to evaluate performance of guided proofreading and focused proofreading. We obtained study consent and randomly assigned 2 experts to proofread using each classifier. The experts also did the 3 minute test run on different data prior to proofreading for 30 minutes. After the task ended, the experts were asked to complete the raw NASA-TLX questionnaire as well.

**Evaluation metric.** For each experiment, we measure the similarity between proofread segmentations and the manual ground truth labelings using *variation of information* (VI). VI is a measure of the distance between two clusterings, closely related to mutual information, but lower being better. We calculate VI and treat it as a continuous variable during analysis.

### 5. Results and Discussion

We measure the performance of proofreading quantitatively by comparing VI scores of segmentations against ground truth labelings. Lower VI scores indicate less distance to the ground truth and a better segmentation. For all experiments, we report the VI score of the initial segmentation followed by the VI score of the proofreading output.

## 5.1. Selection Oracle

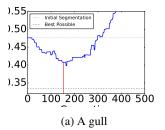
## 5.2. Automatic Method

## **5.3. Force Choice User Experiment**

The initial segmentation for AC4 had a VI of XXX. **Novice Performance.** 

### **Expert Performance.**

**Subjective Responses.** All subjective responses were recorded using the NASA-TLX workload index. The workload index asks study participants to state their mental, physical, temporal demands, as well as rate their performance, effort, and frustration on a scale with 21 graduations. Mental, physical, and temporal demands were reported slightly higher for participants using focused proofreading. However, these differences were not statistically significant.



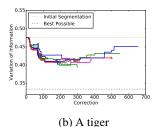


Figure 7: Pictures of animals

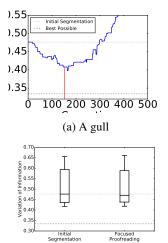


Figure 8: Pictures of animals

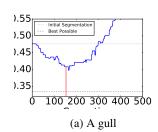
(b) A mouse

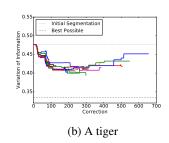
This is not surprising since the user interface was the same for both control groups.

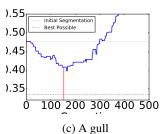
## 6. Conclusions

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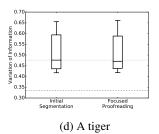


Figure 6: Pictures of animals

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