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VCU

College of Engineering

25-334: Large Language Models (LLM) for data extraction from clinical notes

Final Design Report

Prepared for

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04/26/25

Executive Summary

This proposal outlines the Synthetic Note Generation and Large Language Model (LLM) Based Discrete Data Extraction project, which aims to address the challenge of extracting discrete data from free-text clinical notes. Current electronic medical records contain a wealth of unstructured data, but obtaining structured data (such as demographics, diagnosis, and treatment outcomes) from these notes is a manual, time-consuming process. The goal of this project is to build a robust tool for generating synthetic clinical notes, which can be used to train AI models for data extraction while avoiding issues related to protected health information (PHI).

This report covers the project's problem statement, engineering design requirements, scope of work, timeline, and team contract. Key deliverables include an expanded note generation system and a functional web tool for generating and customizing synthetic clinical notes. The milestones reflect a phased approach to development, and resources such as the Python-based system and available clinical note data are already in place.

With the successful completion of this project, we anticipate a significant improvement in the ability to train AI models for extracting data from clinical notes, while ensuring patient privacy and facilitating inter-facility collaboration.

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Section A. Problem Statement

The Synthetic Note Generation and LLM-Based Discrete Data Extraction Project addresses a critical gap in the field of radiation oncology, where clinical documentation is both time-consuming and prone to errors. Electronic Medical Record (EMR) systems store vast amounts of patient data, much of which exists as free-text clinical notes. These notes, though essential for documenting patient encounters, present significant challenges when extracting discrete data—such as treatment outcomes and key clinical metrics—that are crucial for research, registries, and patient care improvement.

Manual data extraction from free-text notes is not only labor-intensive but also error-prone, leading to inefficiencies in healthcare delivery and difficulties in scaling data extraction across large healthcare institutions. According to a systematic review by Lee et al. (2020), errors in clinical notes, such as mis recorded dose calculations or incorrect treatment site documentation, can result in serious consequences, including treatment delays, suboptimal care, and even legal implications. These errors often arise from time pressures, lack of standardized documentation, and poorly designed EMR systems.

The project's overarching goal is to revolutionize clinical note generation in radiation oncology by developing a method to generate synthetic clinical notes that resemble real patient data but contain no Protected Health Information (PHI). This approach allows for safe and efficient fine-tuning of Large Language Models (LLMs) to automatically extract discrete data, bypassing the risks of PHI exposure. The synthetic notes will also enable cross-institutional data sharing without compromising patient privacy.

Current efforts to automate clinical note generation and data extraction have been promising but remain limited in scope. For instance, the fine-tuned ClinicalBioBERT model has achieved a 95% weighted F1 score in extracting 27 discrete features from synthetic radiation oncology notes. However, this project aims to push beyond existing limitations by expanding the synthetic note generation tool, fine-tuning LLMs with a broader range of clinical notes, and developing a web-based application for customizable note generation.

This project addresses the unmet engineering need for more accurate and efficient clinical documentation and data extraction, with significant implications for enhancing patient care, reducing provider burden, and improving research outcomes in radiation oncology. By advancing the use of machine learning in healthcare, this project aims to establish new standards in clinical documentation practices.

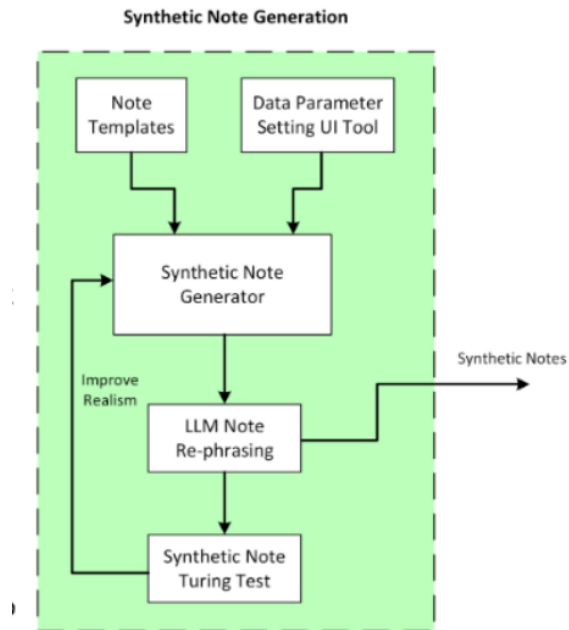


Figure 1: High level diagram of the note generation process

Figure 1. High Level diagram of the note generation process.

Section B. Engineering Design Requirements

This section describes the goals and objectives of the project, as well as all **realistic constraints** to which the design is bound. It is meant to provide a structure that helps to formulate the problem. Design requirements are often derived from client or stakeholder needs. They may consider benchmarking against or improving on currently available solutions, providing novel techniques or design solutions, integration with existing components, systems, or equipment, required codes and standards, general observations of the problem space, etc. Describe how the requirements provided below were researched and decided upon. Common design requirements often include considerations of the design efficacy, cost, safety, reliability, usability, and risk, among others. This section should be comprehensive and thorough, requiring a significant research effort.

B.1 Project Goals (i.e. Client Needs)

Our project follows a typical software design project including steps such as developing functional code, creating a web-based interface, and data preparation for testing and feedback. Each of these steps has one or more associated goals, however, the primary goal of our work is to enhance the functionality of the preexisting synthetic note-generator system.

- To create additional note templates to support multiple types of clinical notes
- To parameterize LLMs to increase the accuracy of text-rephrasing and generation
- To implement a user-friendly web-tool that can be used by our clients
- To prepare data for a Clinical Turing Test to provide feedback for higher product accuracy
- To implement code that will generate a sequential episode of care

B.2 Design Objectives

Our project objectives are as follows:

- The design will consist of a larger variety of patient note templates that can be generated online with the help of the Groq API.
- The design will contain more note templates than previously
- The design will have a prototype web-tool utilizing the updated synthetic note-generator by the end of the semester

B.3 Design Specifications and Constraints

Our specifications were focused around updating existing code to generate a larger variety of data and creating a fully functional web-tool that allows users to change note generation parameters. While we were initially unsure of how many note templates or web parameters will be ideal, we decided on a few target goals — and successfully achieved them.

Project specifications are as follows:

- Design includes 4 *new* templates within the Synthetic Note Generator code
- Fully functional web-tool allows users to adjust at least 3 *parameters* for note generation, including selecting the note template

Our most unavoidable constraint was working with pre-existing code. While the existing code was helpful, it did require workarounds due to elements that could not be changed. We accounted for the budget of our stakeholders, considering which elements would incur long-term costs — such as hosting or API subscriptions. The efficiency of our final design balances both performance and cost.

Project constraints include:

- Web-tool restricts users from over-using Groq API, which is priced at tokens per second
- Development didn't require any money
- Web-tool is user-friendly and allows user customization
- Local implementation is compatible with Windows, Linux, and macOS

B.4 Codes and Standards

The design and development of the Synthetic Note Generation Tool for clinical notes adhered to several relevant codes and standards to ensure quality, safety, privacy, and compliance with best practices. These standards guided the technical and ethical aspects of the project, particularly in relation to web development, data privacy, and the handling of synthetic clinical data.

Standards

1. IEEE Standard 1012-2016 – Systems and Software Verification and Validation

This standard ensured that the software development process included proper verification and validation steps, ensuring that the web tool functioned as intended and met all project requirements. We adopted this standard to guide our software testing and validation procedures, resulting in a reliable and bug-free user experience.

2. W3C Standards for Web Development (HTML5, CSS, and JavaScript)

The World Wide Web Consortium (W3C) sets global standards for web development to ensure accessibility, interoperability, and performance. Our web-based note generation tool was developed in compliance with the latest W3C standards for HTML5, CSS, and JavaScript. This ensured cross-browser compatibility and adherence to best practices in web design, allowing the tool to function smoothly across various platforms.

3. ISO/IEC 27001:2013 – Information Security Management Systems (ISMS)

Although our tool generates synthetic, non-identifiable clinical data, we adhered to ISO 27001 guidelines to uphold strong information security practices. These included risk management, access control, and protection against unauthorized access—safeguarding the integrity of the system and ensuring secure handling of structured clinical formats.

4. ACM Code of Ethics and Professional Conduct

Our team upheld the ethical standards outlined by the ACM Code of Ethics throughout the project. We prioritized privacy, acknowledged data sensitivity, and maintained transparency in how synthetic notes were generated. We ensured that no synthetic notes resembled real patient data and upheld high ethical standards in the use of LLMs and synthetic data generation.

5. NIST SP 800-53 – Security and Privacy Controls for Federal Information Systems and Organizations

Given the project’s potential for future integration with healthcare systems, we followed NIST SP 800-53 guidelines to implement strong security and privacy controls. While working with synthetic data, we maintained a privacy-by-design approach, ensuring that no personal health information (PHI) was exposed or mimicked.

Codes

1. HIPAA (Health Insurance Portability and Accountability Act) Privacy Rule

Although our tool exclusively generated synthetic clinical notes, we still respected HIPAA regulations by designing processes that prevented any resemblance to or accidental inclusion of real PHI. This approach ensured that the tool aligned with legal and ethical requirements, especially considering potential future applications involving real patient data.

2. OSHA 1910.120 – Safety and Health Regulations for IT Environments

While this code primarily deals with workplace safety, it was relevant to ensuring that the team followed safe IT practices throughout the development of the tool. This included ergonomic safety, electrical safety in computing environments, and proper handling of electronic components used during the project.

Incorporating these codes and standards into the design ensured that the project aligned with industry best practices, legal requirements, and ethical standards. It also guided the development

process, resulting in software that is secure, reliable, and designed with a focus on data integrity and privacy.

Section C. Scope of Work

The **Synthetic Note Generation and LLM-Based Discrete Data Extraction** project focused on building a complete system for generating synthetic clinical notes and extracting discrete data using large language models (LLMs). This project successfully expanded the existing note generation tool to support various types of clinical notes and disease sites, with an initial focus on prostate and lung cancer. LLMs were integrated to rephrase notes, adding variability while maintaining clinical accuracy. Additionally, a fully functional web-based interface was developed, allowing users to customize note generation parameters with ease. The project followed an Agile methodology, which provided flexibility and enabled continuous validation. Clear milestones and deliverables were met on time, with regular communication with sponsors and advisors helping to ensure the project stayed on schedule and within budget. Final deliverables included the enhanced note generation system, an LLM-based extraction tool, and clinical validation through a Turing test.

C.1 Deliverables

To meet the project requirements set by the sponsors, our team followed an Agile methodology. Specific deliverables were completed at the end of each sprint, ensuring consistent progress and adaptability to changing needs. This iterative approach facilitated regular feedback from the project sponsor and faculty advisor, allowing us to refine the solution incrementally and reduce risk. It also supported strong communication and helped ensure that all project goals were achieved on time and within the established budget.

- The synthetic note generator is now capable of handling various types of clinical notes. Initially limited to radiation oncology prostate consult notes, the system has been successfully expanded to include additional clinical note types used in cancer treatment, such as on-treatment visits, treatment summaries, and follow-ups.
- The synthetic note generation system has also been extended to support additional disease sites beyond prostate cancer. Specifically, lung cancer support has been integrated through the creation of sub-templates tailored to lung cancer-specific clinical notes. The overall design remains flexible and scalable, allowing for the seamless incorporation of more cancer types in the future.
- To overcome the limitations of a static template system and improve note variability, we incorporated a pre-trained model, Groq, to rephrase sections of the synthetic notes. This rephrasing maintained the original clinical meaning and preserved all discrete feature

values while introducing stylistic variability to mimic the writing styles of different medical providers or institutions. Users were given control over the degree of rephrasing, enabling customizability from minor edits to significant changes, ensuring consistency across diverse healthcare settings.

- To evaluate the realism of the generated notes, we conducted a Turing Test-style experiment in which physicians were asked to distinguish between real and synthetic notes. Their feedback was collected and analyzed, helping us refine the note generation process. This experiment confirmed that many of the synthetic notes were indistinguishable from real ones, validating the effectiveness of our system.
- To make the synthetic note generator widely accessible, we developed a web-based configuration tool that enables users to define data ranges and select specific disease sites. This interface was designed with user-friendliness in mind, giving users full control over the customization of generated notes and making the system highly extensible.
- The system is also capable of generating a coherent series of sequential notes that follow a cancer patient's journey, from initial consultation through treatment and recovery. These notes begin with patient demographics, diagnosis, and care plans and progress through on-treatment documentation of vitals, side effects, and therapeutic responses. Post-treatment, the system generates follow-up notes that summarize recovery and long-term care. Randomized values and rephrasing ensure these notes maintain clinical accuracy while forming a realistic narrative.

All deliverables were completed successfully, with most work conducted remotely. Team members were able to collaborate effectively with project sponsors to meet all requirements. The Turing Test-style experiment required engagement with physicians both virtually and in-person, enabling us to collect meaningful qualitative feedback on the realism of the synthetic notes.

C.2 Milestones

| Milestones | Expected Completion Date | Summary of milestone |
|---|--------------------------|--|
| Create the Web Based Configuration Tool | March 30, 2025 | This task involved creating the web interface that allows users to interact with in order to create synthetic notes where they can specify the data ranges they want to include in their notes and what kind of disease sites to target. |
| Incorporate LLM to Rephrase Text | March 30, 2025 | Comes up with the proper prompt for an LLM, such as Groq, such that the model can rephrase yet |

| | | |
|--|----------------|---|
| | | maintain the original meaning of a clinical note and the different sections. |
| Create new note types | March 30, 2025 | Previously, the system only covered one note — consult note. Currently, the note generation supports 4 different note types. — Initial Consult Note, Follow-Up Note, Treatment Summary, & On-Treatment Visit |
| Prepare data to create a Clinical Turing-test tool | March 30, 2025 | In order to validate the synthetic clinical notes that are being generated, we want to be able to see if physicians, while being shown a real and synthetic note, are able to tell the difference between the two. We want to determine what method will be most effective in asking physicians these questions, and using the feedback in order to update the current clinical note templates. |

C.3 Resources

To successfully complete the Synthetic Note Generation and LLM-Based Discrete Data Extraction project, several resources were utilized. The Groq platform was used for paraphrasing synthetic clinical notes and successfully integrated into the final web interface. To develop the web tool, frameworks such as Flask were used for the backend, along with front-end libraries like React to ensure a user-friendly interface. The interface has been deployed for public access using cloud hosting services. A robust database system was implemented to store generated notes and user inputs. Additionally, APIs for Groq integration and machine learning libraries supported model fine-tuning. Version control systems like Git and GitHub were essential in managing the codebase and facilitating team collaboration. These resources were critical in ensuring the project met its goals efficiently and successfully.

Appendix 1: Project Timeline

Gantt Chart of project timeline:

<https://view.monday.com/embed/7620149158-8f2e43d20a3c65545058a163c0c870d5?r=use1>

<iframe src="https://view.monday.com/embed/7620149158-8f2e43d20a3c65545058a163c0c870d5?r=use1" width=770 height=500 style="border: 0; box-shadow: 5px 5px 56px 0px rgba(0,0,0,0.25);"></iframe>

Appendix 2: Team Contract (i.e. Team Organization)

Step 1: Get to Know One Another. Gather Basic Information.

Task: This initial time together is important to form a strong team dynamic and get to know each other more as people outside of class time. Consider ways to develop positive working relationships with others, while remaining open and personal. Learn each other's strengths and discuss good/bad team experiences. This is also a good opportunity to start to better understand each other's communication and working styles.

| Team Member Name | Strengths each member bring to the group | Other Info | Contact Info |
|-------------------------|---|---|--|
| Sawiya Aidarus | Good with organization, good with communication, and planning things strategically. | <i>I'm looking forward to learning new skills and creating a project with my peers.</i> | Aidarussa@vcu.edu 612-478-2257 |
| Connor Holden | Good with organization, quick learner, and acquainted with professional environment. | <i>I'm excited to learn more about LLMs and apply my experiences.</i> | Holdencj@vcu.edu 571-287-3963 |
| Shashank Sinha | Very flexible, can manage time very well, and previous experience. | <i>I'm looking forward to learning new skills and working on a real-world project.</i> | Sinhas6@vcu.edu 757-271-2877 |
| August Moses | Previous experience with topic, being able to outline project deliverables and meet them. | <i>I'm looking forward to learning how to meet the needs of the stakeholder.</i> | Mosesa3@vcu.edu 540-645-8564 |

| Other Stakeholders | Notes | Contact Info |
|--|--------------|--|
| <i>Faculty Advisor:</i> Preetam Ghosh | | Pghosh@vcu.edu |
| <i>Sponsor:</i> Rishabh Kapoor | | Rishabh.kapoor@vcuhealth.org |

Step 2: Team Culture. Clarify the Group's Purpose and Culture Goals.

Task: Discuss how each team member wants to be treated to encourage them to make valuable contributions to the group and how each team member would like to feel recognized for their efforts. Discuss how the team will foster an environment where each team member feels they are accountable for their actions and the way they contribute to the project. These are your Culture Goals (left column). How do the students demonstrate these culture goals? These are your Actions (middle column). Finally, how do students deviate from the team's culture goals? What are ways that other team members can notice when that culture goal is no longer being honored in team dynamics? These are your Warning Signs (right column).

Resources: More information and an example Team Culture can be found in the Biodesign Student Guide "Intentional Teamwork" page ([webpage](#) | [PDF](#))

| <i>Culture Goals</i> | <i>Actions</i> | <i>Warning Signs</i> |
|--|---|--|
| <i>Being on time to every meeting</i> | <ul style="list-style-type: none">- <i>Set up meetings reminders in discord</i>- <i>Send reminder e-mail the day before meeting</i> | <ul style="list-style-type: none">- <i>Student misses first meeting without notice, warning is granted</i>- <i>Student misses' multiple meetings afterwards – issue is brought up with faculty advisor</i> |
| <i>Informing the group of any delays in completing assignments</i> | <ul style="list-style-type: none">- <i>Weekly progress check during student meetings</i>- <i>Set reasonable deadlines and note when an extension is needed</i> | <ul style="list-style-type: none">- <i>Student shows up for weekly meeting with no considerable work done, then a discussion would need to be had</i>- <i>Student shows up for weekly meeting with no considerable work done on multiple occasions – issue is brought up with faculty advisor</i> |
| <i>Have a good balance of work between members</i> | <ul style="list-style-type: none">- <i>Check weekly at meetings that every has a fair workload, make sure everyone agrees</i> | <ul style="list-style-type: none">- <i>Issues should be brought up during meetings and we can disperse work accordingly</i>- <i>Whoever feels like they aren't being heard after communicating, they can bring up issues during meeting with faculty advisor</i> |

Step 3: Time Commitments, Meeting Structure, and Communication

Task: Discuss the anticipated time commitments for the group project. Consider the following questions (don't answer these questions in the box below):

- What are reasonable time commitments for everyone to invest in this project?
- What other activities and commitments do group members have in their lives?
- How will we communicate with each other?
- When will we meet as a team? Where will we meet? How Often?
- Who will run the meetings? Will there be an assigned team leader or scribe? Does that position rotate or will same person take on that role for the duration of the project?

Required: How often you will meet with your faculty advisor, where you will meet, and how the meetings will be conducted. Who arranges these meetings?
See examples below.

| <i>Meeting Participants</i> | <i>Frequency Dates and Times / Locations</i> | <i>Meeting Goals Responsible Party</i> |
|-----------------------------------|---|--|
| <i>Students Only</i> | <i>Every other week on Thursday (6pm-7pm), On Discord Voice Channel</i> | <i>Update group on day-to-day challenges and accomplishments (Connor will record these for the weekly progress reports and meetings with advisor)</i> |
| <i>Students Only</i> | <i>Every other week on Thursday (6pm-7pm), in ENGR West 0101</i> | <i>Actively work on project (August will document these meetings by taking photos of whiteboards, physical prototypes, etc, then post on Discord and update Capstone Report)</i> |
| <i>Students + Faculty advisor</i> | <i>Every Thursday at 5 pm in Advisor's office (conference room if they can reserve)</i> | <i>Update faculty advisor and get answers to our questions (Connor will scribe; Sawiya will create meeting agenda and lead meeting)</i> |
| <i>Project Sponsor</i> | <i>First Friday at noon of every month If sponsor is available, we'll figure out Zoom or in person details If not, then we'll update the sponsor via email.</i> | <i>Update project sponsor and make sure we are on the right track (Connor will scribe; Sawiya will create meeting agenda and lead meeting; Shashank will present prototype so far)</i> |

Step 4: Determine Individual Roles and Responsibilities

Task: As part of the Capstone Team experience, each member will take on a leadership role, *in addition to* contributing to the overall weekly action items for the project. Some common leadership roles for Capstone projects are listed below. Other roles may be assigned with approval of your faculty advisor as deemed fit for the project. For the entirety of the project, you should communicate progress to your advisor specifically with regard to your role.

- **Before meeting with your team**, take some time to ask yourself: what is my “natural” role in this group (strengths)? How can I use this experience to help me grow and develop more?
- **As a group**, discuss the various tasks needed for the project and role preferences. Then assign roles in the table on the next page. Try to create a team dynamic that is fair and equitable, while promoting the strengths of each member.

Communication Leaders

Suggested: Assign a team member to be the primary contact for the client/sponsor. This person will schedule meetings, send updates, and ensure deliverables are met.

Suggested: Assign a team member to be the primary contact for faculty advisor. This person will schedule meetings, send updates, and ensure deliverables are met.

Common Leadership Roles for Capstone

1. **Project Manager:** Manages all tasks; develops overall schedule for project; writes agendas and runs meetings; reviews and monitors individual action items; creates an environment where team members are respected, take risks and feel safe expressing their ideas.
Required: On Edusourced, under the Team tab, make sure that this student is assigned the Project Manager role. This is required so that Capstone program staff can easily identify a single contact person, especially for items like Purchasing and Receiving project supplies.
2. **Logistics Manager:** coordinates all internal and external interactions; lead in establishing contact within and outside of organization, following up on communication of commitments, obtaining information for the team; documents meeting minutes; manages facility and resource usage.
3. **Financial Manager:** researches/benchmarks technical purchases and acquisitions; conducts pricing analysis and budget justifications on proposed purchases; carries out team purchase requests; monitors team budget.
4. **Systems Engineer:** analyzes Client initial design specification and leads establishment of product specifications; monitors, coordinates and manages integration of sub-systems in the prototype; develops and recommends system architecture and manages product interfaces.
5. **Test Engineer:** oversees experimental design, test plan, procedures and data analysis; acquires data acquisition equipment and any necessary software; establishes test protocols and schedules; oversees statistical analysis of results; leads presentation of experimental finding and resulting recommendations.
6. **Manufacturing Engineer:** coordinates all fabrication required to meet final prototype requirements; oversees that all engineering drawings meet the requirements of machine shop or vendor; reviews designs to ensure design for manufacturing; determines realistic timing for fabrication and quality; develops schedule for all manufacturing.

| <i>Team Member</i> | <i>Role(s)</i> | <i>Responsibilities</i> |
|---------------------------|--------------------------|---|
| Sawiya Aidarus | <i>Project Manager</i> | <ul style="list-style-type: none"> - <i>Send out weekly emails and other correspondence</i> - <i>Create schedule and meetings</i> - <i>Make sure everyone understands what is going on</i> |
| Connor Holden | <i>Logistics Manager</i> | <ul style="list-style-type: none"> - <i>Keep a detailed record of meeting notes and share with group</i> - <i>Coordinate meeting times</i> - <i>Follow up with communication of commitments</i> - <i>Obtaining information for the team</i> - <i>Manages facility and resource usage</i> |
| Shashank Sinha | <i>Financial Manager</i> | <ul style="list-style-type: none"> - <i>Keeps track of team budget</i> - <i>Sends in request for any additional money</i> - <i>Conducts pricing analysis</i> |
| August Moses | <i>Test Engineer</i> | <ul style="list-style-type: none"> - <i>Oversees testing and implementation</i> - <i>Acquires equipment and any necessary software</i> - <i>Oversees statistical analysis of results</i> |

Step 5: Agree to the above team contract

| | | |
|------------------------------------|-------------------|-----------------------|
| <i>Team Member: Sawiya Aidarus</i> | <i>Signature:</i> | <i>Sawiya Aidarus</i> |
| <i>Team Member: Connor Holden</i> | <i>Signature:</i> | <i>Connor Holden</i> |
| <i>Team Member: Shashank Sinha</i> | <i>Signature:</i> | <i>Shashank Sinha</i> |
| <i>Team Member: August Moses</i> | <i>Signature:</i> | <i>August Moses</i> |

Section D. Concept Generation

Our overarching design was built off a pre-existing note generation system titled synthetic-note-generator on Github. The initial system had no user interface and had to be manually ran, so we experimented with a series of user interfaces throughout our project.

Design concept 1:

Our first concept was a simple implementation of our note generator, with minimal options. It could generate one note at a time and the notes could not be downloaded. This was before our team started editing the note generator and making it more complex.

- Pros:
 - Has some input text boxes
 - Input is checked. Input values cannot be too high or too low
 - Aesthetically coherent
- Cons:
 - Too simple, not enough input boxes
 - Can't download notes
 - Only generates one note at once
 - Didn't integrate LLM text rephrasing

The image shows a web application titled "Synthetic Note Generator". It features a form for "Optional input values" with fields for AUA Value (12), IPSS Value (34), SHIM Value (56), ECOG Value (78), and PSA Values (space-separated) with a placeholder "Enter PSA values". A blue "Generate Note" button is below the form. Below the button, a "Generated Note:" section displays a sample medical note. The note includes metadata like Site (Indiana), Date (09/26/2022), and Author (Dr. Beveridge), followed by a detailed clinical history and diagnosis of prostate adenocarcinoma.

Synthetic Note Generator

Optional input values

AUA Value:
12

IPSS Value:
34

SHIM Value:
56

ECOG Value:
78

PSA Values (space-separated):
Enter PSA values

Generate Note

Generated Note:

RADIATION ONCOLOGY OUTPT CONSULT NOTE
Site: Indiana
Date: 09/26/2022 Author: Dr. Beveridge

LOCAL TITLE:
STANDARD TITLE: RADIATION ONCOLOGY CONSULT
DATE OF NOTE: 09/26/2022 ENTRY DATE: 09/26/2022
AUTHOR: Dr. Beveridge EXP COSIGNER: Dr. Green
URGENCY STATUS: COMPLETED

HISTORY OF PRESENT ILLNESS: Pt is a 50 yo MM with hx of elevated PSA on 2022-05-31 of 12.44. Pt had recent transrectal biopsy/bx on Jun 20, 2022 which revealed 1/6 total cores positive for mainly Gleason score 6(3+3) adenocarcinoma of prostate. Pt had systemic w/u of CT of A/P and bone scan

Design concept 2:

Our second concept was built off the previous concept.

- Pros:
 - Has more input text boxes and drop-down boxes with available options
 - Integrates LLM text-generation where users can choose to regenerate sections
 - Backend code was updated, leading to a more complete frontend design
- Cons:
 - Still can't download notes
 - Still only generates one note at once
 - Slightly aesthetically disorganized

The image shows a web form with two sections: 'Medications' and 'Allergies'. The 'Medications' section has a dropdown menu with '-- Select --' and a red 'Remove' button. Below it is a green 'Add Medication' button. The 'Allergies' section has a dropdown menu with '-- Select --' and a red 'Remove' button. The dropdown menu is open, showing a list of allergens: peanuts, tree nuts, wheat, soy, fish, shellfish, latex, amoxicillin, ampicillin, penicillin, tetracycline, ibuprofen, aspirin, and aleve. A green progress bar is visible at the bottom of the form.

Design Concept 3:

- Pros:
 - Has input text boxes and drop-down boxes for ALL necessary variables now
 - Notes can now be downloaded
 - Sleek and easy to navigate user-interface
- Cons:
 - Still only generates one note at once

Synthetic Note Generator

Note Type

Note Generation Type:

Single Note

Clinical Note Type:

Initial Consultation

Patient Demographics

Age:

Sex:

Male

Race:

White

Ethnicity:

Hispanic or Latino

First Name:

Last Name:

Authors

Note Author:

Dr.

Note Cosigner:

Dr.

Social History

Alcohol History:

Select Option

Smoking History

Smoking Status:

Select Option

Years Smoked:

Packs Per Year:

Years Ago Stopped:

Include Sections

☒ History of Present Illness

☒ Vitals

☒ Social History

☒ Medical List

☒ Physical Exam

☒ Imaging Results

☒ Treatment Plan

☐

Regenerate assessment plan?

☐

Regenerate HPI?

Generate Note

Design Concept 4:

[Select Report Type]

VCU College of Engineering

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- Pros:
 - Has input text boxes and drop-down boxes for ALL necessary variables now
 - Notes can be downloaded
 - Sleek and easy to navigate user-interface
 - New Page for Bulk Generation
 - Allows the generation of multiple notes at once with range inputs for the data values
 - Includes rephrasing & exporting
 - Separate Page that is accessible from new landing page

Section E. Concept Evaluation and Selection

Based off the criteria presented from our sponsors we've generated 4 weighted criteria, with 1 being the least important category to 3 being the most important. Our least important category is the visualization of the web-tool. While good visualization promotes usability, visualization is often an aspect that can be changed at any point and doesn't need to be too heavily focused on. Our 'downloadable notes' aspect is weighted at a 2 because it wasn't discussed until later on in the project, however, it's still important that we eventually implement that aspect. It's important yet 'skippable' for the time being. The same applies to our 'multiple note generation' category. It's important to integrate it in our final product but not a key concept at the moment. Our most important category is 'LLM integration'. Since our whole project revolves around LLMs it's vital that it remains a key focus no matter what design we choose. Despite our concept options being chronological implementations throughout the semester, reflection is important to determine if our current design should maintain any aspects from previous designs.

Table 1 Decision Matrix -

| | Weight | Design concept A | Design Concept B | Design Concept C |
|-------------------------------|--------|------------------|------------------|------------------|
| LLM Integration | 3 | No | Yes | Yes |
| Downloadable Notes | 2 | No | No | Yes |
| Multiple Note Generation | 2 | No | No | No* |
| Organized and complete design | 1 | No | No | Yes |
| | | | | |
| Total Score | | 0 | 3 | 6 |

Section F. Design Methodology

To evaluate, improve, and evolve the synthetic clinical note generation system, an iterative engineering design process will be utilized to ensure that the system meets its objectives and satisfies user needs. The team is utilizing an agile style methodology to constantly show results to the sponsors and advisors. This is done through having two weekly meetings, where the first meeting is used to show for the work that has been done throughout the week. Any necessary demonstrations are shown to the stakeholders, along with asking questions about the next steps for the project. After this meeting has concluded, the team has a separate meeting, where we discuss what we will each work on throughout the week and consistently keep in touch to ensure progress is being made by everyone. This process involves both verification—ensuring the project meets its technical specifications—and validation—confirming the system’s functionality aligns with the intended purpose. Stakeholder feedback is incorporated iteratively to refine the design.

Verification of the synthetic note generation system involves leveraging a Turing Test style experimentation while utilizing the web interface tool that has been developed. For the web interface tool, the group collectively discussed the best way to go about building the web application, ensuring it would meet both functional requirements and user expectations. The design discussions focused on creating an intuitive interface that allows users to easily customize note generation parameters, such as selecting disease types and specifying data ranges for placeholders. Regular testing of the interface ensured that it aligned with the goals of usability, scalability, and reliability. The interface was built using Flask, a python-based web framework that allows you to integrate the frontend and backend components together. Due to its lightweight and scalable nature, it was the perfect framework to utilize for the project.

In parallel, the Turing Test-style experimentation was planned to validate the synthetic notes. This will involve showing both real and synthetic notes to healthcare professionals and asking them to identify which ones were machine-generated. The results of these tests will provide critical feedback on the realism and coherence of the generated notes, highlighting areas where the system required improvement. Key metrics include the ability to generate notes indistinguishable from real ones and capturing feedback on features that made synthetic notes recognizable. This information guided iterative improvements to enhance the linguistic and contextual quality of the notes. By combining these verification and validation strategies, the team iteratively refined the system to produce high-quality synthetic clinical notes that are both functional and user-centered.

F.11 Validation Procedure

As part of our validation process, we plan to conduct a Turing Test-style experiment to ensure that the generated synthetic notes are truly representative of real clinical notes. This experiment will involve inviting physicians to participate in a study where they are presented with sections from both real and synthetic notes. For each section shown, they will be asked to identify whether it is real or synthetic and provide feedback explaining the reasoning behind their decision.

To facilitate this process, we will develop a web interface tool capable of randomly selecting sections from both real and synthetic notes and displaying them to the physician. The tool will include an input box for physicians to record their feedback, particularly focusing on the features that led them to classify the section as real or synthetic.

This feedback will be analyzed to identify patterns and areas where the synthetic notes can be improved. Based on these insights, we will refine the synthetic note generation process to ensure the notes closely mimic real ones in structure, style, and content.

The Turing Test-style experiment is scheduled to begin in January, coinciding with the completion of the web interface tool for generating and displaying synthetic notes. This marks the next phase of our project, moving from development to rigorous testing and validation.

Section G. Results and Design Details

This section outlines the major results and design specifications of the Synthetic Note Generator web tool project, showcasing the implementation progress and key features developed to meet the project objectives.

G.1 Architecture and System Design

Frontend Design

The web interface has been implemented using modern web technologies with the following key components:

- 1. User Interface Layout**
 - a. Modular section design for different input categories
 - b. Responsive form elements for data entry
 - c. Dynamic field generation for lists (medications, allergies, problems, surgical history)
 - d. Real-time output display with split view for note text and JSON data
 - e. Export functionality for both note text and structured data
- 2. Input Categories**
 - a. Note Type Selection
 - b. Patient Demographics
 - c. Medical Values
 - d. Important Dates
 - e. Dynamic Lists (Medications, Allergies, Problems, Surgical History)
 - f. Vitals
 - g. Staging Information

- h. Treatment Information
- i. Social History
- j. Section Toggles for Note Generation

Backend Architecture

The system utilizes a Flask-based backend with the following components:

1. Core Components

- a. Flask web server handling HTTP requests
- b. Custom JSON encoder for handling complex data types
- c. Data validation and processing middleware
- d. Integration with existing Python-based note generator
- e. LLM integration for note regeneration (using Groq)

2. Data Flow

```
User Input → Form Validation → Data Processing → Note Generation  
→ LLM Processing (if requested) → Response Formatting → Client  
Display
```

G.2 Implementation Progress

Completed Features

1. Frontend Development

- a. Implemented comprehensive form interface
- b. Added dynamic field management for lists
- c. Created responsive design with CSS styling
- d. Integrated export functionality for generated content
- e. Added section toggles for note customization

2. Backend Development

- a. Established Flask server endpoints
- b. Implemented data validation and processing
- c. Integrated existing note generator code
- d. Added LLM regeneration capability
- e. Created JSON response formatting

3. Data Management

- a. Implemented dropdown population from constants
- b. Added dynamic list management
- c. Created data validation functions
- d. Established proper data type handling

Current Functionality

The system currently supports:

1. **Input Processing**
 - a. Patient demographic information
 - b. Medical values (AUA, IPSS, SHIM, ECOG, PSA scores)
 - c. Multiple list types (medications, allergies, problems, surgical history)
 - d. Vital signs
 - e. Staging information
 - f. Treatment details
 - g. Social history
2. **Note Generation**
 - a. Basic note structure generation
 - b. Section inclusion/exclusion
 - c. LLM-based regeneration options
 - d. Export capabilities for generated content
 - e. Bulk page for generating multiple notes at a time

G.3 Testing Results

Initial testing has validated:

1. **Form Functionality**
 - a. Proper data collection from all input fields
 - b. Accurate dropdown population
 - c. Dynamic field addition/removal
 - d. Form validation
2. **Note Generation**
 - a. Successful note creation with provided inputs
 - b. Proper section toggling
 - c. Accurate data representation in output
 - d. Correct formatting of generated notes
3. **Data Processing**
 - a. Accurate JSON conversion
 - b. Proper handling of null/empty values
 - c. Correct date formatting
 - d. Appropriate type conversion

G.4. Final Design Specifications

Frontend Specifications

- Interface supports all required input fields
- Responsive design works on standard desktop browsers
- Dynamic field management handles variable-length lists
- Export functionality supports both text and JSON formats

Backend Specifications

- REST API endpoints handle all required operations
- Data validation ensures proper input formatting
- Note generation produces consistent output
- LLM integration provides note regeneration capability

Data Management

- Supports all required medical data types
- Handles dynamic list management
- Provides proper data validation
- Ensures consistent data formatting

Performance Metrics

- Form responsiveness: < 100ms
- Note generation time: < 2s
- LLM regeneration time: < 5s
- Export functionality: < 1s

Integration Requirements

- Compatible with existing Python note generator
- Supports Groq LLM integration
- Maintains consistent data structure
- Provides proper error handling

Current implementation meets primary design objectives while providing a foundation for future enhancements. The system successfully generates synthetic medical notes with user-specified parameters and supports data export functionality.

Section H. Societal Impacts of Design

In designing the Synthetic Note Generation and LLM-Based Discrete Data Extraction Project, it is critical to consider the wider societal impacts of the technology. The project aims to improve the accuracy and efficiency of clinical documentation in radiation oncology, and it is essential to assess how the design affects public health, safety, welfare, economics, and ethical considerations, among others.

H.1 Public Health, Safety, and Welfare

The design's primary goal is to improve the efficiency and accuracy of clinical documentation in healthcare, particularly in radiation oncology, which directly affects patient care. By reducing errors in clinical notes and speeding up data extraction, this project enhances the quality of patient care and reduces the likelihood of medical errors.

- **Safety Features:** The use of synthetic data ensures no Protected Health Information (PHI) is exposed, protecting patient privacy. The system also implements robust security protocols (ISO 27001, NIST SP 800-53) to ensure data protection and prevent unauthorized access.
- **Public Health Impact:** Accurate and timely clinical documentation can improve treatment outcomes by reducing delays caused by incomplete or erroneous data. This contributes to overall public health by enabling better-informed clinical decisions.
- **Welfare:** The tool helps reduce the administrative burden on healthcare providers, allowing them to focus more on patient care, thus improving the welfare of healthcare workers and potentially leading to better job satisfaction.

H.2 Societal Impacts

The adoption of this technology could lead to widespread changes in how clinical notes are generated and utilized, with long-term implications for healthcare systems globally.

- **Improved Data Sharing:** The use of synthetic clinical notes ensures that healthcare institutions can share data without compromising patient privacy, potentially leading to better collaboration in research and treatment across institutions.
- **Reduction in Errors:** By automating the extraction of discrete data, this technology could significantly reduce human error, thus improving patient care on a large scale.
- **Potential for Increased Efficiency:** The reduction in manual note-taking and data entry could lead to more efficient use of healthcare resources, lowering operational costs and improving access to care.

H.3 Political/Regulatory Impacts

The project's emphasis on data privacy and the use of synthetic data positions it well within the current regulatory environment, where the privacy of patient information is of paramount concern. Several political and regulatory factors must be considered:

- **Compliance with Regulations:** The design adheres to privacy regulations like HIPAA in the U.S. and GDPR in Europe by ensuring that no real patient data is used in the generation of synthetic notes. This makes the technology compliant with key privacy regulations that govern healthcare data.
- **Potential for Policy Change:** As healthcare systems move towards digital solutions, there may be political shifts towards increased automation in documentation and data management. This could encourage the adoption of similar technologies to enhance data integrity and healthcare delivery.

H.4. Economic Impacts

The project can have significant economic implications for healthcare institutions, patients, and broader industries involved in healthcare technology.

- **Cost Savings:** By reducing manual data entry and extraction, healthcare providers can decrease labor costs, which is especially important in an environment where healthcare costs are rising.
- **Job Creation:** The development of synthetic data generation tools and web-based applications could create jobs in software development, cybersecurity, and healthcare data management.
- **Market Disruption:** The technology could disrupt traditional healthcare data management systems, encouraging competition and innovation among companies developing EMR and clinical documentation tools.

H.5 Environmental Impacts

While the environmental impact of this project may not be as direct as in other industries, it is essential to consider potential impacts:

- **Energy Use:** The use of cloud-based servers for synthetic note generation and machine learning model fine-tuning could lead to increased energy consumption. Therefore, it is important to optimize the design for energy efficiency and to consider using sustainable cloud service providers.
- **Reduction in Paper Usage:** By enabling more efficient digital clinical documentation, the system could contribute to a reduction in paper usage, supporting environmental sustainability goals in healthcare.

H.6 Global Impacts

This project could have significant global impacts, particularly in the context of healthcare systems in both developed and developing countries.

- **Global Data Sharing:** The use of synthetic data facilitates safer cross-border healthcare data sharing, promoting international collaborations in research and patient care.
- **Scalability in Low-Resource Settings:** With an affordable and easy-to-deploy system, the project has the potential to be scaled to low-resource healthcare settings where clinical documentation is often a challenge. This could improve healthcare delivery in underserved areas globally.
- **Global Health Research:** By providing accurate data more quickly, the project could accelerate medical research and global health studies, particularly in oncology, where timely data is critical.

H.7. Ethical Considerations

The ethical implications of generating and using synthetic clinical data are paramount in this project, as the technology must maintain the integrity of patient privacy and the accuracy of healthcare documentation.

- **Data Privacy:** Since synthetic notes are being generated to ensure the safety of patient data, ethical considerations around data privacy are central. The system ensures that no real patient information is used, mitigating the risk of unintended PHI exposure.
- **Bias in Data Generation:** There is a risk that the synthetic data could introduce biases if the underlying data used to train the models is not representative of diverse patient populations. To mitigate this, the team will ensure that the synthetic data generation process uses diverse datasets.
- **Transparency and Trust:** Ethical considerations also involve ensuring transparency in how synthetic data is generated and used, as well as fostering trust in the technology by clinicians and patients. The system must be auditable and ensure that synthetic data generation is performed in an ethical and transparent manner.
- **Accountability:** Given the role of automated systems in clinical decision-making, there must be accountability for the outcomes of using synthetic data, ensuring that healthcare providers do not overly rely on AI-generated notes without human oversight.

Section I. Cost Analysis

Our group has not used any funding or made any purchases for the project thus far. All work has been conducted using internal resources, provided tools, and free software.

Section J. Conclusions and Recommendations

Our group has made significant progress in developing a preliminary design for the synthetic note generation tool, with several key features implemented to meet the project's goals. While we do not yet have a final design, we have successfully worked on expanding the tool's capabilities to support new types of medical notes. This evolution was guided by the engineering design process, and we've learned valuable lessons along the way.

Some of the major achievements in the preliminary design include:

- **Web Tool Development:** We designed and refined a user-friendly web-based interface, ensuring smooth data entry and usability for clinicians and other users.
- **Groq & LLM Integration:** Our team focused on integrating Groq's LLM with the tool, updating Python code to ensure that responses from the language model met our requirements for generating synthetic notes. This integration was essential for the functionality of the tool.
- **Turing Test Implementation:** We have also implemented various updates and conducted testing cycles to enhance the tool's ability to pass a clinical Turing Test. This ensures that the synthetic notes generated are realistic and coherent.
- **Python Code Updates:** Throughout the project, we made necessary updates to the Python code to accommodate new types of medical notes and incorporated internal feedback to ensure seamless functionality of the tool.

While these developments are promising, we recognize that further work is needed to achieve a final design. In the future, the tool could be improved by adding more advanced features, optimizing the user interface, and expanding its compatibility with additional disease sites and medical contexts. Additionally, as we continue to refine the integration with Groq's LLM, we plan to explore further ways to improve the accuracy and realism of the synthetic notes generated.

Looking ahead, this project will continue as a senior design project, where key milestones will include further testing, optimization, and potentially expanding the scope of the tool to meet additional healthcare needs. We recommend that future work focus on refining the integration with Groq's LLM, conducting rigorous testing in clinical environments, incorporating physician feedback from the Turing Test tool to improve the web interface, and enhancing the overall robustness of the platform.

References

Provide a numbered list of all references in order of appearance using APA citation format. The reference page should begin on a new page as shown here.

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