

# On the Single-Sideband Transform for MVDR Beamformers

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**Abstract:** In order to explore different beamforming applications, this paper investigates the application of the Single-Sideband Transform (SSBT) for constructing a Minimum-Variance Distortionless-Response (MVDR) beamformer in the context of the convolutive transfer function (CTF) model for short-window time-frequency transforms by making use of filter-banks and their properties. Our study aims to optimize the appropriate utilization of SSBT in this endeavor, by examining its characteristics and traits. We address a reverberant scenario with multiple noise sources, aiming to minimize both undesired interference and reverberation in the output. Through simulations reflecting real-life scenarios, we show that employing the SSBT correctly leads to a beamformer that outperforms the one obtained when via the Short-Time Fourier Transform (STFT), while exploiting the SSBT's property of it being real-valued. Two approaches were developed with the SSBT, one naive and one refined, with the later being able to ensure the desired distortionless behavior, which is not achieved by the former.

**Keywords:** Single-sideband transform; MVDR beamformer; Filter-banks; Array signal processing; Signal enhancement.

## 1. Introduction

Beamformers are an important tool for signal enhancement, being employed in a plethora of applications from hearing aids [1] to source localization [2] to imaging [3,4]. Among the possible ways to use such devices is to implement them the time-frequency domain [5], which allows the exploitation of frequency-related information while also dynamically adapting to signal changes over time. The most widely used instruments for time-frequency analysis are transforms, from which the Short-Time Fourier Transform (STFT) [6,7] stands out in terms of spread and commonness. However, alternative transforms can also be employed implemented [8–10], each offering unique perspective and information regarding the signal, possibly leading to different outputs.

Among these alternatives, the Single-Sideband Transform (SSBT) [11,12] is of great interest, given its real-valued frequency spectrum. It has been shown that the SSBT works particularly well with short analysis windows [11]. Therefore, if we use the convolutive transfer function (CTF) model [13] to study the desired signal model, the SSBT can lend itself to be useful, if we think about the beamforming process through the lenses of filter-banks [14,15]. Thus, by applying this transform within this context it is possible to pull off

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superior performances than only with the STFT. However, it is important to be aware of the limitations of the transform, in order to properly utilize it to try and achieve better outputs.

Two of the most important goals in beamforming are the minimization of noise in the output signal, and the distortionless-ness of the desired signal, both being achieved by the Minimum-Variance Distortionless-Response (MVDR) beamformer [16,17]. As the MVDR beamformer can be used on the time-frequency domain without restrictions on the transform chosen, it is possible to explore and compare the performance of this filter, when designing it through different time-frequency transforms.

Motivated by this, our paper explores the SSB transform and its application on the subject of beamforming within the context of the CTF model. We propose an approach for the CTF that allows the separation of desired and undesired speech components for reverberant environments, and employ this approach for designing the MVDR beamformer. We also explore the traits and limitations of the SSBT, and how to properly adapt the MVDR beamformer to this new transform's constraints. We show that a beamformer designed using the SSBT can surpass the STFT one, while also conforming to the distortionless constraint.

We organized the paper as follows: in Section 2 we introduce the proposed time-frequency transforms, how they're related and what are their relevant properties; Section 3 the considered signal model in the time domain is presented, and how it is transferred into the time-frequency domain; and in Section 4 we develop a true-MVDR beamformer with the SSBT, taking into account its features.

## 2. STFT and the Single-Sideband Transform

When studying signals and systems, often frequency and time-frequency transforms are used in order to change the signal domain [18], allowing the exploitation of different patterns and informations inherent to the signal.

Given a time-domain signal  $x[n]$ , its Short-time Fourier Transform (STFT) [6,7] is

$$X_{\mathcal{F}}[l, k] = \sum_{n=0}^{K-1} w[n] x[n + l \cdot O] e^{-j2\pi k \frac{(n+l \cdot O)}{K}} \quad (1)$$

where  $w[n]$  is an analysis window of length  $K$ ; and  $O$  is the overlap between windows of the transform, usually  $O = \lfloor K/2 \rfloor$ . Even though the STFT is the most traditionally used time-frequency transform, it isn't the only one available. Thus, exploring different possibilities for such an operation can be useful and lead to interesting results.

The Single-Sideband Transform (SSBT) [11] is one such alternative, being cleverly constructed such that its frequency spectrum is real-valued, without loss of information. The SSB transform of  $x[n]$  is defined as

$$X_S[l, k] = \sqrt{2} \Re \left\{ \sum_{n=0}^{K-1} w[n] x[n + l \cdot O] e^{-j2\pi k \frac{(n+l \cdot O)}{K} + j\frac{3\pi}{4}} \right\} \quad (2)$$

Assuming that  $x[n]$  is real-valued, one advantage of using the STFT is that we only need to work with  $\lfloor (K+1)/2 \rfloor + 1$  frequency bins, given its complex-conjugate behavior. Meanwhile, the SSBT requires all  $K$  bins to correctly capture all information of  $x[n]$ , however it is real-valued.

Assuming that all  $K$  bins of the STFT are available, from Eqs. (1) and (2) we have

$$\begin{aligned} X_S[l, k] &= \sqrt{2} \Re \left\{ X_{\mathcal{F}}[l, k] e^{j \frac{3\pi}{4}} \right\} \\ &= -\Re \{ X_{\mathcal{F}}[l, k] \} - \Im \{ X_{\mathcal{F}}[l, k] \} \end{aligned} \quad (3)$$

It is easy to see that<sup>1</sup>

$$X_S[l, k] = \frac{1}{\sqrt{2}} \left( e^{j \frac{3\pi}{4}} X_{\mathcal{F}}[l, k] + e^{-j \frac{3\pi}{4}} X_{\mathcal{F}}[l, K - k] \right) \quad (4)$$

from which it we deduce

$$X_{\mathcal{F}}[l, k] = \frac{1}{\sqrt{2}} \left( e^{-j \frac{3\pi}{4}} X_S[l, k] + e^{j \frac{3\pi}{4}} X_S[l, K - k] \right) \quad (5)$$

One disadvantage of the SSBT is that the convolution theorem does not hold when employing it (see Appendix A), not even as an approximation. Nonetheless, by converting any result in the SSBT domain to the STFT domain (using Eq. (3)) before utilization, it remains feasible to employ the transform to study of the problem at hand.

### 3. Signal Model and Beamforming

Let there be a device that consists of  $M$  sensors and a loudspeaker (LS) in a reverberant environment, in which there also is a desired source, both traveling with a speed  $c$ . We also assume the presence of undesired noise at each sensor. For simplicity we assume that all sources are spatially stationary, although this condition can be easily removed.

We denote  $y_m[n]$  as the signal at the  $m$ -th sensor, being defined as

$$y_m[n] = h_m[n] * x[n] + e_m[n] * s[n] + r_m[n] \quad (6)$$

in which  $h_m[n]$  is the impulse response between the desired source and the  $m$ -th sensor ( $1 \leq m \leq M$ ), with  $x[n]$  being the desired source's signal; similarly for speaker's signal  $s[n]$  and its IR  $e_m[n]$ ; and  $r_m[n]$  is the uncorrelated noise.

We let  $m'$  be the reference sensor's index, for simplicity assume  $m' = 1$ , and also  $x_1[n] = h_1[n] * x[n]$  (similarly for  $s_1[n]$ ). We define  $a_m[n]$  as the *relative* impulse response between the desired signal (at the reference sensor) and the  $m$ -th sensor, such that

$$a_m[n] * x_1[n] = h_m[n] * x[n] \quad (7)$$

We similarly define  $c_m[n]$  from  $e_m[n]$  and  $s[n]$ . Therefore, Eq. (6) becomes

$$y_m[n] = a_m[n] * x_1[n] + c_m[n] * s_1[n] + r_m[n] \quad (8)$$

Here, the impulse responses ( $a_m[n]$  and  $c_m[n]$ ) can be non-causal, depending on the direction of arrival and features of the reverberant environment.

We use a time-frequency transform (such as the STFT or SSBT, as in Section 2) with the convolutive transfer-function (CTF) model [13] to obtain our time-frequency signal model,

$$Y_m[l, k] = A_m[l, k] * X_1[l, k] + C_m[l, k] * S_1[l, k] + R_m[l, k] \quad (9)$$

<sup>1</sup> For the abuse of notation, we let  $X_S[l, K] \equiv X_S[l, 0]$ , and equally for  $X_{\mathcal{F}}[l, K]$ .

where  $Y_m[l, k]$  is the transform of  $y_m[n]$  (resp. all other signals);  $l$  and  $k$  are the window (or decimated-time) and bin indexes, with  $0 \leq k \leq K - 1$ ; and the convolution is in the window-index axis.

Using that  $A_m[l, k]$  is a finite (possibly truncated) response with  $L_A$  frames, then

$$A_m[l, k] * X_1[l, k] = \mathbf{a}_m^T[k] \mathbf{x}_1[l, k] \quad (10)$$

in which

$$\mathbf{a}_m[k] = \left[ A_m[-\Delta, k], \dots, A_m[0, k], \dots, A_m[L_A - \Delta - k, 1] \right]^T \quad (11a)$$

$$\mathbf{x}_1[l, k] = \left[ X_1[l + \Delta, k], \dots, X_1[l, k], \dots, X_1[l - L_A + \Delta + k, 1] \right]^T \quad (11b)$$

and in the same way we define  $\mathbf{c}_m[k]$  and  $\mathbf{s}_1[l, k]$ . Note that  $\mathbf{a}_m[k]$  and  $\mathbf{b}_m[k]$  don't depend on the index  $l$ , given the spatial stationarity assumption. Also,  $\Delta$  is the number of non-causal frames in the reference sensor necessary to capture the whole signal. With this, Eq. (9) becomes

$$Y_m[l, k] = \mathbf{a}_m^T[k] \mathbf{x}_1[l, k] + \mathbf{c}_m^T[k] \mathbf{s}_1[l, k] + R_m[l, k] \quad (12)$$

We will change the indexing, such that  $X_{k,m}[l] \equiv X_m[l, k]$ . With this, the problem at hand clearly becomes a time-domain beamforming situation, where we have a different convolutive time-domain beamformer for each frequency bin  $k$ .

We take  $L_Y$  samples of our observed signal, and define  $\bar{\mathbf{y}}_{k,m}[l]$  as

$$\bar{\mathbf{y}}_{k,m}[l] = \left[ Y_{k,m}[l], Y_{k,m}[l - 1], \dots, Y_{k,m}[l - L_Y + 1] \right]^T \quad (13)$$

In this new framework, we can write  $\mathbf{y}_k[m, l]$  as a  $L_Y \times 1$  vector

$$\bar{\mathbf{y}}_{k,m}[l] = \bar{\mathbf{A}}_{k,m} \bar{\mathbf{x}}_{k,1}[l] + \bar{\mathbf{C}}_{k,m} \bar{\mathbf{s}}_{k,1}[l] + \bar{\mathbf{r}}_{k,m}[l] \quad (14)$$

where  $\bar{\mathbf{r}}_{k,m}[l]$  is defined similarly to Eq. (13),  $\bar{\mathbf{x}}_{k,1}[l]$  is a  $L \times 1$  vector, and  $\bar{\mathbf{A}}_{k,m}$  is a  $L_Y \times L$  matrix, both being given by

$$\bar{\mathbf{x}}_{k,1}[l] = \left[ X_{k,1}[l + \Delta], X_{k,1}[l + \Delta - 1], \dots, X_{k,1}[l + \Delta - L] \right]^T \quad (15a)$$

$$\bar{\mathbf{A}}_{k,m} = \begin{bmatrix} \mathbf{a}_{k,m}^T & 0 & \dots & 0 \\ 0 & \mathbf{a}_{k,m}^T & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \mathbf{a}_{k,m}^T \end{bmatrix} \quad (15b)$$

where  $L = L_Y + L_A - 1$ , and  $\mathbf{a}_{k,m} \equiv \mathbf{a}_m[k]$ .  $\bar{\mathbf{s}}_{k,1}[l]$  and  $\bar{\mathbf{C}}_{k,m}$  are defined similarly, them being a  $(L + L_C - 1) \times 1$  vector and a  $L_Y \times (L + L_C - 1)$  matrix respectively. We now concatenate the matrices and vectors for the  $M$  different sensors, such that

$$\mathbf{y}_k[l] = \mathbf{A}_k \bar{\mathbf{x}}_{k,1}[l] + \mathbf{C}_k \bar{\mathbf{s}}_{k,1}[l] + \mathbf{r}_k[l] \quad (16)$$

where

$$\mathbf{y}_k[l] = \begin{bmatrix} \bar{\mathbf{y}}_{k,1}[l] \\ \vdots \\ \bar{\mathbf{y}}_{k,M}[l] \end{bmatrix} \quad (17a)$$

$$\mathbf{A}_k = \begin{bmatrix} \bar{\mathbf{A}}_{k,1} \\ \vdots \\ \bar{\mathbf{A}}_{k,M} \end{bmatrix} \quad (17b)$$

$\mathbf{y}_k[l]$  is a  $ML_Y \times 1$  vector, and  $\mathbf{A}_k$  is a  $ML_Y \times L$  matrix.  $\mathbf{r}_k[l]$  is defined in the same way as  $\mathbf{y}_k[l]$  and  $\mathbf{C}_k$  as  $\mathbf{A}_k$ .

### 3.1. Filtering and the MPDR beamformer

We denote  $Z_k[l]$  as an estimate the desired signal at reference  $X_{k,1}[l]$ , via a filter  $\mathbf{f}_k[l]$  of length  $ML_Y$ , such that

$$\begin{aligned} Z_k[l] &\approx X_{k,1}[l] \\ &= \mathbf{f}_k^H[l] \mathbf{y}_k[l] \end{aligned} \quad (18)$$

with  $(\cdot)^H$  being the transposed-complex-conjugate operator. This process can also be interpreted as

$$\begin{aligned} Z_k[l] &= \sum_m \bar{\mathbf{f}}_{k,m}^H[l] \bar{\mathbf{y}}_{k,m}[l] \\ &= \sum_m F_{k,m}^*[l] * Y_{k,m}[l] \end{aligned} \quad (19)$$

where  $\bar{\mathbf{f}}_{k,m}[l]$  is the  $L_Y \times 1$  part of  $\mathbf{f}_k[l]$  that filters the  $m$ -th sensor, and  $F_{k,m}[l]$  is its signal-form counterpart. In this sense, the filtering process can be interpreted as the sum across all sensors of the convolution between the signal and the observations.

Going back to Eq. (18), with Eq. (16) we can write

$$Z_k[l] = \mathbf{f}_k^H[l] \mathbf{A}_k \bar{\mathbf{x}}_{k,1}[l] + \mathbf{f}_k^H[l] \mathbf{C}_k \bar{\mathbf{s}}_{k,1}[l] + \mathbf{f}_k^H[l] \mathbf{r}_k[l] \quad (20)$$

From this, we easily see that to achieve a distortionless response from the desired signal, we must have that  $\mathbf{f}_k^H[l] \mathbf{A}_k \bar{\mathbf{x}}_{k,1}[l] = X_{k,1}[l]$ , and therefore the distortionless constraint is given by

$$\mathbf{f}_k^H[l] \mathbf{A}_k = \mathbf{i}_\Delta^T \quad (21)$$

where  $\mathbf{i}_\Delta$  is a  $L \times 1$  vector of zeroes, except for the  $\Delta$ -th entry which is a 1.

To minimize the variance of the output signal while obeying the distortionless constraint, a Minimum-Power Distortionless Response (MPDR) beamformer will be used, it being defined as

$$\mathbf{f}_{\text{mpdr};k}[l] = \min_{\mathbf{f}_k[l]} \mathbf{f}_k^H[l] \Phi_{\mathbf{y}_k}[l] \mathbf{f}_k[l] \text{ s.t. } \mathbf{f}_k^H[l] \mathbf{A}_k = \mathbf{i}_\Delta^T \quad (22)$$

where  $\Phi_{\mathbf{y}_k}[l]$  is the correlation matrix of the observed signal  $\mathbf{y}_k[l]$ . The solution to this minimization problem

$$\mathbf{f}_{\text{mpdr};k}[l] = \Phi_{\mathbf{y}_k}^{-1}[l] \mathbf{A}_k \left[ \mathbf{A}_k^H \Phi_{\mathbf{y}_k}^{-1}[l] \mathbf{A}_k \right]^{-1} \mathbf{i}_\Delta \quad (23)$$

#### 4. True-MPDR with the Single-Sideband Transform

When carelessly using any of the established methods with the SSBT, the distortionless constraint ensures that the beamformer avoids causing distortion exclusively within the SSBT domain. However, as explained in Section 2 the SSBT beamformer must be carefully constructed to achieve the desired effects, such as the distortionless constraint.

We thus propose a framework for the SSBT in which we consider the bins  $k$  and  $K - k$  simultaneously, since from Eq. (5) they both contribute to the  $k$ -th bin in the STFT domain. We define  $\mathbf{y}'_k[l]$  as

$$\mathbf{y}'_k[l] = \begin{bmatrix} \mathbf{y}_k[l] \\ \mathbf{y}_{K-k}[l] \end{bmatrix}_{2ML_Y \times 1} \quad (24)$$

from which we define  $\Phi_{\mathbf{y}'_k}[l]$  as its correlation matrix. Under this idea, our filter  $\mathbf{f}_k[l]$  is a  $2ML_Y \times 1$  vector, with the first  $M$  values being for the  $k$ -th bin, and the last  $M$  values for the  $[K - k]$ -th bin. We let the STFT-equivalent filter for the SSBT beamformer  $\mathbf{f}_k[l]$  be  $\mathbf{f}_{k,\mathcal{F}}[l]$ , given by

$$\mathbf{f}_{\mathcal{F},k}[l] = \Lambda \mathbf{f}_k[l] \quad (25)$$

in which  $\Lambda$  is a  $ML_Y \times 2ML_Y$  matrix,

$$\Lambda = \frac{1}{\sqrt{2}} \begin{bmatrix} e^{-j\frac{3\pi}{4}} & 0 & \dots & 0 & e^{j\frac{3\pi}{4}} & 0 & \dots & 0 \\ 0 & e^{-j\frac{3\pi}{4}} & \dots & 0 & 0 & e^{j\frac{3\pi}{4}} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & 0 \\ 0 & 0 & \dots & e^{-j\frac{3\pi}{4}} & 0 & 0 & \dots & e^{j\frac{3\pi}{4}} \end{bmatrix} \quad (26)$$

From Eq. (25) the distortionless constraint from the STFT, within the SSBT domain, becomes

$$\mathbf{f}_k^H[l] \mathbf{A}'_k = \mathbf{i}_\Delta^T \quad (27a)$$

$$\mathbf{A}'_k = \Lambda^H \mathbf{A}_{\mathcal{F},k} \quad (27b)$$

where  $\mathbf{A}_{\mathcal{F},k}$  is the constraint matrix within the STFT domain, and  $\mathbf{A}'_k$  is the new constraint matrix within the SSBT domain.

In this scheme, our minimization problem becomes

$$\mathbf{f}_{\text{mpdr},k}[l] = \min_{\mathbf{f}_k[l]} \mathbf{f}_k^H[l] \Phi_{\mathbf{y}'_k}[l] \mathbf{f}_k[l] \text{ s.t. } \mathbf{f}_k^H[l] \mathbf{A}'_k = \mathbf{i}_\Delta^T \quad (28)$$

Although  $\Phi_{\mathbf{y}'_k}[l]$  is a matrix with real entries,  $\mathbf{A}'_k$  is complex-valued, and thus is the solution to Eq. (28), contradicting the purpose of utilizing the SSBT.

##### 4.1. Real-valued true-MPDR beamformer with SSBT

To ensure the desired behavior of  $\mathbf{f}_k[l]$  being real-valued, an additional constraint is necessary. By forcing  $\mathbf{f}_k[l]$  to have real entries, from Eq. (27a) we trivially have that

$$\mathbf{f}_k^T[l] \Re\{\mathbf{A}'_k\} = 1 \quad (29a)$$

$$\mathbf{f}_k^T[l] \Im\{\mathbf{A}'_k\} = 0 \quad (29b)$$

which can be put in matricial form as  $\mathbf{f}_k^T[l]\mathbf{A}_k = \mathbf{i}_\Delta^T$ , with

$$\mathbf{A}_k = \begin{bmatrix} \Re\{\mathbf{A}'_k\}, & \Im\{\mathbf{A}'_k\} \end{bmatrix}_{2ML_Y \times 2L} \quad (30a)$$

$$\mathbf{i}_\Delta = [0, \dots, 0, 1, 0, \dots, 0]_{2ML_Y \times 1}^T \quad (30b)$$

Therefore, the minimization problem from Eq. (28) becomes

$$\mathbf{f}_{\text{mpdr};k}[l] = \min_{\mathbf{f}_k[l]} \mathbf{f}_k^T[l] \Phi_{\mathbf{y}'_k}[l] \mathbf{f}_k[l, k] \text{ s.t. } \mathbf{f}_k^T[l] \mathbf{A}_k = \mathbf{i}_\Delta^T \quad (31)$$

whose solution is

$$\mathbf{f}_{\text{mpdr};k}[l] = \Phi_{\mathbf{y}'_k}^{-1}[l] \mathbf{A}_k \left[ \mathbf{A}_k^T \Phi_{\mathbf{y}'_k}^{-1}[l] \mathbf{A}_k \right]^{-1} \mathbf{i}_\Delta \quad (32)$$

Using Eq. (25), we can obtain the desired beamformer  $\mathbf{f}_{\mathcal{F},\text{mpdr};k}[l]$ , transformed to the STFT domain.

## 5. Perturbation Robustness Analysis

Until now, we assumed an appropriate knowledge of all signals and their impulse responses. However, in a real application these would be estimated, and thus prone to error. Given our beamformers from Eqs. (23) and (32) and their dependence on  $\mathbf{d}$ , they are directly influenced by impulse response estimation errors.

We can write

$$\mathbf{d}_x[k] = \bar{\mathbf{d}}_x[k] + \delta_x[k] \quad (33)$$

where  $\bar{\mathbf{d}}_x[k]$  is the accurate steering vector (SV),  $\mathbf{d}_x[k]$  is the measured SV,  $\delta_x[k]$  is a perturbation (or error) on the SV measurement. With this, the MPDR beamformer with the STFT (assuming the knowledge of  $\mathbf{d}_x[k]$ ) from Eq. (23) is

$$\begin{aligned} \mathbf{f}_{\text{mpdr}} &= \frac{\Phi_{\mathbf{y}}^{-1} \mathbf{d}_x}{\left( \mathbf{d}_x^H \Phi_{\mathbf{y}}^{-1} \mathbf{d}_x \right)} \\ &= \frac{\Phi_{\mathbf{y}}^{-1} (\bar{\mathbf{d}}_x + \delta_x)}{\left( \bar{\mathbf{d}}_x^H + \delta_x^H \right) \Phi_{\mathbf{y}}^{-1} (\bar{\mathbf{d}}_x + \delta_x)} \end{aligned} \quad (34)$$

$$\begin{aligned} &= \frac{\Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x + \Phi_{\mathbf{y}}^{-1} \delta_x}{\bar{\mathbf{d}}_x^H \Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x + \bar{\mathbf{d}}_x^H \Phi_{\mathbf{y}}^{-1} \delta_x + \delta_x^H \Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x + \delta_x^H \Phi_{\mathbf{y}}^{-1} \delta_x} \\ &= \frac{\Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x}{\bar{\mathbf{d}}_x^H \Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x + e} + \frac{\Phi_{\mathbf{y}}^{-1} \delta_x}{\bar{\mathbf{d}}_x^H \Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x + e} \end{aligned}$$

$$\mathbf{f}_{\text{mpdr}}[l, k] = g_e[l, k] \bar{\mathbf{f}}_{\text{mpdr}}[l, k] + \mathbf{f}_\delta[l, k] \quad (35)$$

in which  $\bar{\mathbf{f}}_{\text{mpdr}}[l, k]$  is the beamformer for the accurate steering vector  $\bar{\mathbf{d}}_x[k]$ , and  $g_e[l, k]$  and  $\mathbf{f}_\delta[l, k]$  are

$$g_e = \frac{\bar{\mathbf{d}}_x^H \Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x}{\bar{\mathbf{d}}_x^H \Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x + e} \quad (36a)$$

$$\mathbf{f}_\delta = \frac{\Phi_{\mathbf{y}}^{-1} \delta_x}{\bar{\mathbf{d}}_x^H \Phi_{\mathbf{y}}^{-1} \bar{\mathbf{d}}_x + e} \quad (36b)$$

Thus, the beamformer with an estimated steering vector is an affine transformation of  $\bar{\mathbf{f}}_{\text{mpdr}}[l, k]$ . It is trivial that  $\delta_x \rightarrow \mathbf{0} \implies g_e = 1, \mathbf{f}_\delta = \mathbf{0}$ .

Applying the same procedure to the MPDR beamformer with the SSBT from ??, we get a similar result as obtained in Eq. (35), but in which  $e, g_e$ , and  $\mathbf{f}_\delta$  now are

$$e = \mathbf{d}_{x;\Re}^T \Omega \delta_{x;\Im} + \delta_{x;\Re}^T \Omega \mathbf{d}_{x;\Im} + \delta_{x;\Re}^T \Omega \delta_{x;\Im} \quad (37a)$$

$$g_e = \frac{\mathbf{d}_{x;\Re}^T \Omega \mathbf{d}_{x;\Im}}{\mathbf{d}_{x;\Re}^T \Omega \mathbf{d}_{x;\Im} + e} \quad (37b)$$

$$\mathbf{f}_\delta = \frac{\Omega \delta_{x;\Im}}{\mathbf{d}_{x;\Re}^T \Omega \mathbf{d}_{x;\Im} + e} \quad (37c)$$

where  $\delta_{x;\Re}$  and  $\delta_{x;\Im}$  are the perturbation vectors for  $\mathbf{d}_{x;\Re}$  and  $\mathbf{d}_{x;\Im}$  respectively.

## 6. Comparisons and simulations

In the simulations<sup>2</sup>, we employ a sampling frequency of 16kHz. Room impulse responses were generated using Habets' RIR generator [20], and signals were selected from the SMARD database [21].

The room's dimensions are  $4\text{m} \times 6\text{m} \times 3\text{m}$  (width  $\times$  length  $\times$  height), with a reverberation time of 0.3s. The device composed of the loudspeaker + sensors is centered at (2m, 2m, 1m). Its sensors are located in a circular array with radius of 8cm, all omnidirectional and of flat frequency response. The positions and signals used for the sources are in Table 1. All signals were resampled to the desired sampling frequency of 16kHz.

Source	Position	Signal
$x[n]$	(2m, 1m, 1m)	50_male_speech_english_ch8_0mniPower4296.flac
$s[n]$	(2m, 2m, 1m)	69_abba_ch8_0mniPower4296.flac
$r[n]$	$\sim$	wgn_48kHz_ch8_0mniPower4296.flac

**Table 1.** Source information for the simulations.

For the transforms, Hamming windows were used, with a length of 32 samples/window and an overlap of 50%. The beamformers were calculated once for the whole signal, to speed up the processes. We will compare one beamformer for the STFT with and two for the SSBT. The STFT one will be based on Eq. (23), as well as the first with the SSBT (which will be called "Single-Frequency SSBT", or "SF-SSBT" for short). The second one based on the SSBT will be called "Dual-Frequency SSBT" (or "DF-SSBT"), as derived in Section 4, which led to Eq. (32). These names were chosen given that the one proposed in Section 4 uses two frequencies (namely the "dual-frequencies" from the STFT) at each moment, while the SF-SSBT beamformer only calculates one frequency at a time.

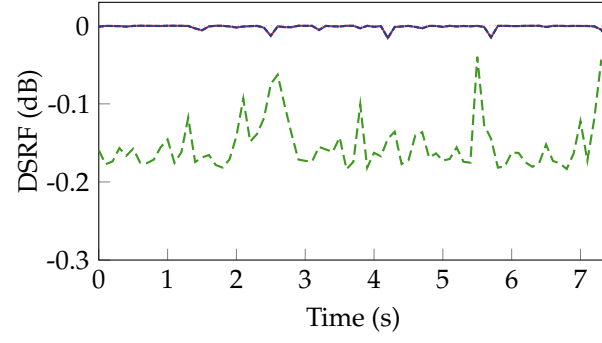
In line plots, STFT is presented in red with continuous lines, SF-SSBT in green with dashed lines, and DF-SSBT in blue with dotted lines. The output metrics were averaged over 200 frames and presented every 100 windows, for a better visualization.

### 6.1. Basic comparison

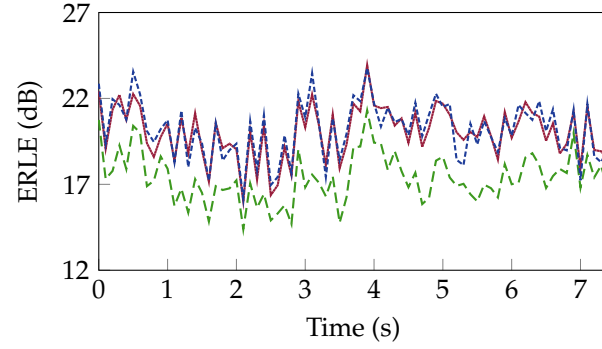
At the reference sensor (assumed to be the one at (2m, 2m, 1.08m)), the SNR for the loudspeaker's and noise signals are respectively  $-15\text{dB}$  and  $30\text{dB}$ . These will be referred as

<sup>2</sup> Code is available at <https://github.com/VCurtarelli/py-ssb-ctf-bf>.

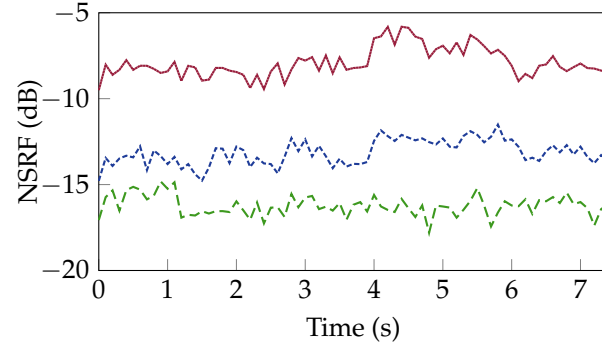




(a) Per-window broadband DSRF.



(b) Per-window broadband ERLE.



(c) Per-window broadband NSRF.

**Figure 1.** Output metrics for the beamformers.

Signal-to-Echo and Signal-to-Noise Ratios (SER and SNR) respectively. We will use  $L_Y = 1$  in this first scenario; other cases will be studied later.

In these simulations, we are interested in three metrics: desired signal reduction factor (DSRF), echo-return loss enhancement (ERLE), and noise signal reduction factor (NSRF). Their time-dependent broadband formulations are

$$\zeta_x[l] = \frac{\sum_k |X_1[l, k]|^2}{\sum_k |X_f[l, k]|^2} \quad (38a)$$

$$\zeta_s[l] = \frac{\sum_k |S_1[l, k]|^2}{\sum_k |S_f[l, k]|^2} \quad (38b)$$

$$\xi_r[l] = \frac{\sum_k |V_1[l, k]|^2}{\sum_k |V_f[l, k]|^2} \quad (38c)$$

where  $S_f[l, k] = \mathbf{f}^H[l, k]\mathbf{s}_1[l, k]$ ,  $X_f[l, k] = \mathbf{f}^H[l, k]\mathbf{x}_1[l, k]$  and  $R_f[l, k] = \mathbf{f}^H[l, k]\mathbf{r}_1[l, k]$  as the filtered-LS, filtered-desired and filtered-noise signals, respectively.

These metrics represent, respectively, how much distortion is being caused in the desired signal, how much the echo (loudspeaker signal) is being reduced, and how much the general noise is being reduced.

From Fig. 1a, we see that all beamformers had a negligible distortion of less than 0.2dB, however the distortion for the STFT and DF-SSBT beamformers was still closer to zero.

From the ERLE results in Fig. 1b it is noticeable that the STFT and SF-SSBT beamformers had a similar performance, with the DF-SSBT one being marginally better, both outperforming the SF-SSBT beamformer's results by 2 ~ 3dB. In terms of the NSRF (which, since  $R_m[n]$  is a white noise, is similar to a white-noise gain), we see that the STFT beamformer had a much better performance than the two SSBT-based beamformers, therefore increasing the white-noise less on the output.

## 6.2. Comparison over different input SERs

We now the results with a varying input SERs, to assess the beamformer's performances for different loudspeaker signal levels. For such, we will use the time-average metrics, as presented below. The other parameters and variables are maintained from the previous simulations, with only the SER being changed.

$$\xi_x = \frac{\sum_{l,k} |X_1[l, k]|^2}{\sum_{l,k} |X_f[l, k]|^2} \quad (39a)$$

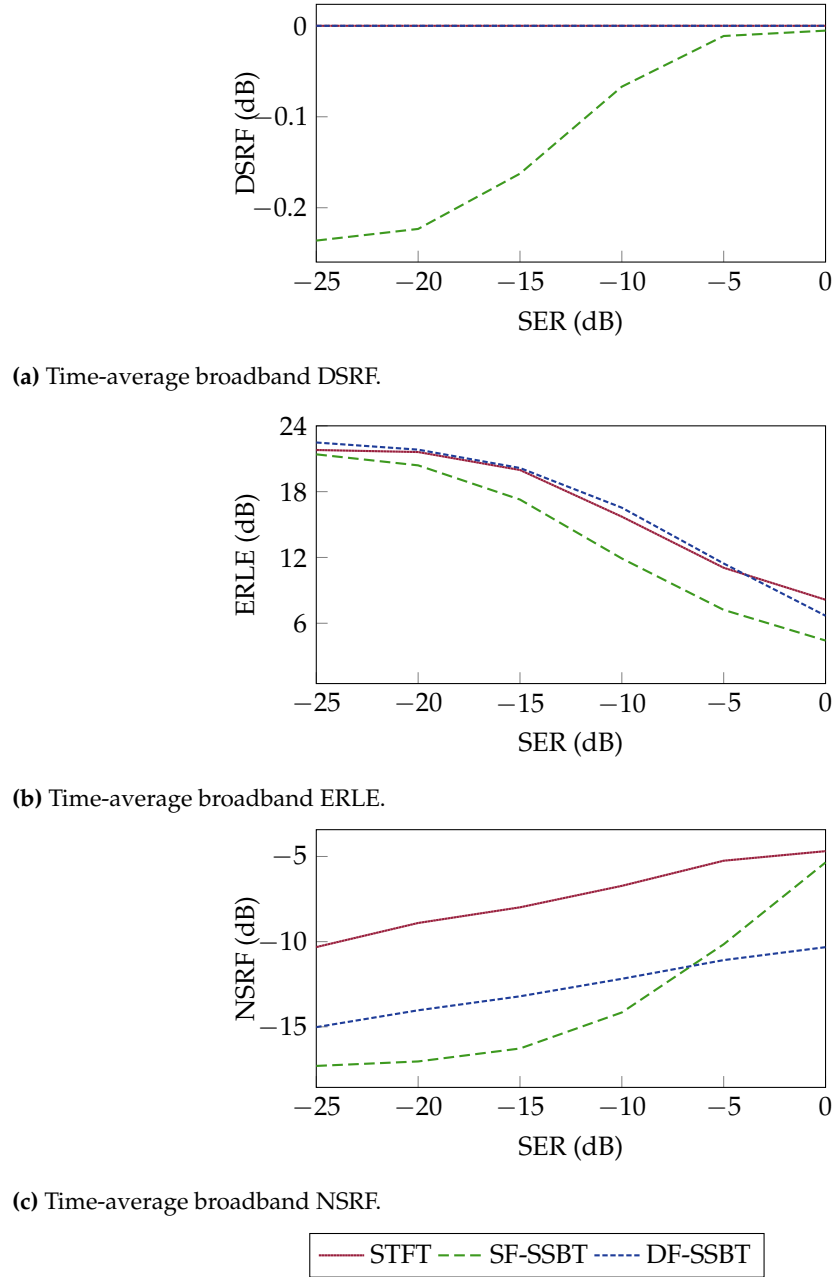
$$\xi_s = \frac{\sum_{l,k} |S_1[l, k]|^2}{\sum_{l,k} |S_f[l, k]|^2} \quad (39b)$$

$$\xi_r = \frac{\sum_{l,k} |V_1[l, k]|^2}{\sum_{l,k} |V_f[l, k]|^2} \quad (39c)$$

As seen in Fig. 2a, the STFT and DF-SSBT beamformers caused zero distortion, and the SF-SSBT beamformer led to some, although again minimal and negligible. We can also see that this distortion decreases as the loudspeaker SNR increases.

In terms of ERLE, we see that the SF-SSBT is strictly worse than the two other beamformers, for all SER's. The DF-SSBT beamformer is slightly better than the STFT for  $iSER \lesssim -5\text{dB}$ , and the STFT beamformer is considerably better otherwise.

For the NSRF we see the same results as were obtained previously, with the white-noise increase for the STFT beamformer being considerably lower than that for both SSBT-based beamformers. It is interesting to see that the SF-SSBT beamformer's performance surpassed that of the DF-SSBT in this metric, for  $iSER \gtrsim 7\text{dB}$ .

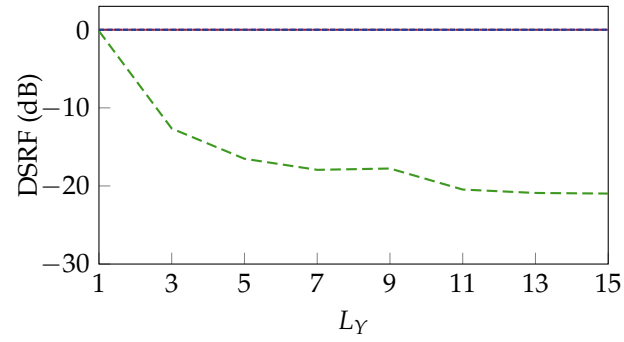


**Figure 2.** Output metrics for the beamformers.

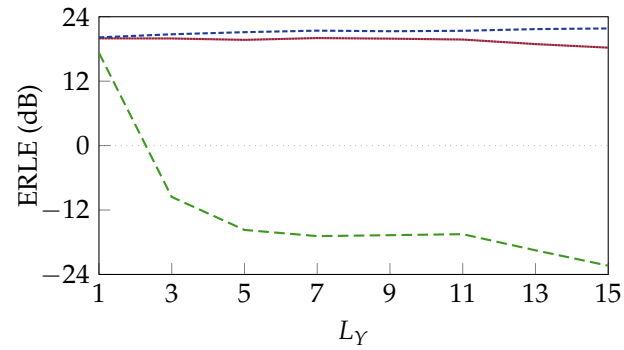
### 6.3. Comparison for different $L_Y$

Going back to observing only the case for  $iSER = -15$  dB, we will now investigate the effects of varying  $L_Y$  on the beamformers' performances.

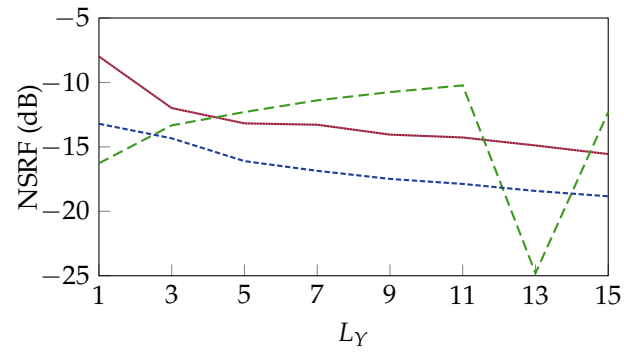
In this comparison, we have two different relevant effects that can be seen: firstly, we see that the SF-SSBT beamformer's performance deteriorates drastically, for both the desired signal's distortionless behavior, and the ERLE. This is likely due to the mathematical results exposed in Appendix A, and since the SSBT transform doesn't respect the convolution, a convolutive filter doesn't work well with it. Note that this is not the case for the DF-SSBT beamformer, since we designed it to appropriately bypass this complication. The increase in the SF-SSBT's NSRF performance is due to it not working correctly, and thus "randomly".



(a) Per-window broadband DSRF.



(b) Per-window broadband ERLE.



(c) Per-window broadband NSRF.

**Figure 3.** Output metrics for the beamformers.

Another effect that can be seen is regarding the ERLE and NSRF performances, for the STFT and DF-SSBT beamformers. We see that their ERLE performance increases (although minimally), while their NSRF decreases, with the DF-SSBT beamformer being strictly better than the STFT one for the ERLE, and strictly worse for the NSRF.

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## Abbreviations

The following abbreviations are used in this manuscript:

CTF	Convolutional Transfer Function
DSRF	Desired Signal Reduction Factor
MPDR	Minimum-Power Distortionless-Response
MTF	Multiplicative Transfer Function
SNR	Signal-to-Noise Ratio
SSBT	Single-Sideband Transform
STFT	Short-Time Fourier Transform

## Appendix A. SSBT Convolution

Let  $x[n]$  be a time domain signal, with  $X_{\mathcal{F}}[l, k]$  being its STFT equivalent, and  $X_{\mathcal{S}}[l, k]$  its SSBT equivalent. We here assume that both the STFT and the SSBT have  $K$  frequency bins.  $X_{\mathcal{S}}[l, k]$  can be obtained using  $X_{\mathcal{F}}[l, k]$ , through

$$X_{\mathcal{S}}[l, k] = -X_{\mathcal{F}}^{\Re}[l, k] - X_{\mathcal{F}}^{\Im}[l, k] \quad (\text{A.1})$$

in which  $(\cdot)^{\Re}$  and  $(\cdot)^{\Im}$  represent the real and imaginary components of their argument, respectively.

It is easy to see that

$$X_{\mathcal{F}}[l, k] = \frac{1}{\sqrt{2}} \left( e^{-j\frac{3\pi}{4}} X_{\mathcal{S}}[l, k] + e^{j\frac{3\pi}{4}} X_{\mathcal{S}}[l, K - k] \right) \quad (\text{A.2})$$

As stated before, in this formulation we abuse the notation by letting  $X_{\mathcal{S}}[l, K] = X_{\mathcal{S}}[l, 0]$  to simplify the mathematical operations.

Now, let there also be  $h[n]$ ,  $H_{\mathcal{F}}[k]$  and  $H_{\mathcal{S}}[k]$ , with the same assumptions as before. We define  $Y_{\mathcal{F}}[l, k]$  and  $Y_{\mathcal{S}}[l, k]$  as the output of an LTI system with impulse response  $h[n]$ , such that

$$Y_{\mathcal{F}}[l, k] = H_{\mathcal{F}}[k] X_{\mathcal{F}}[l, k] \quad (\text{A.3a})$$

$$Y_{\mathcal{S}}[l, k] = H_{\mathcal{S}}[k] X_{\mathcal{S}}[l, k] \quad (\text{A.3b})$$

We will assume that the MTF model [13] correctly models the convolution here. This was used instead of the CTF for simplicity, as these derivations would work exactly the

same for the CTF, but with window-wise summations as well, which would pollute the notation. 275  
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Applying Eq. (A.1) in Eq. (A.3b), and knowing that  $X_{\mathcal{F}}[l, k] = X_{\mathcal{F}}^*[l, K - k]$  (same for  $H_{\mathcal{F}}[k]$ ), with  $(\cdot)^*$  representing the complex-conjugate; we get that 277  
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$$\begin{aligned} Y_{\mathcal{S}}[l, k] &= X_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Re}[l, k] + X_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Im}[l, k] \\ &\quad + X_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Re}[l, k] + X_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Im}[l, k] \\ Y_{\mathcal{S}}[l, K - k] &= X_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Re}[l, k] - X_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Im}[l, k] \\ &\quad - X_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Re}[l, k] + X_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Im}[l, k] \end{aligned} \quad (\text{A.4})$$

Passing this through Eq. (A.2), 279

$$\begin{aligned} Y'_{\mathcal{F}}[l, k] &= -X_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Re}[l, k] + jX_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Re}[l, k] \\ &\quad + jX_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Re}[l, k] - X_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Im}[l, k] \end{aligned} \quad (\text{A.5})$$

where  $Y'_{\mathcal{F}}[l, k]$  is the STFT-equivalent of  $Y_{\mathcal{S}}[l, k]$ . 280

Expanding Eq. (A.3a) in terms of real and imaginary components, 281

$$\begin{aligned} Y_{\mathcal{F}}[l, k] &= X_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Re}[l, k] + jX_{\mathcal{F}}^{\Re}[l, k]H_{\mathcal{F}}^{\Im}[l, k] \\ &\quad + jX_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Re}[l, k] - X_{\mathcal{F}}^{\Im}[l, k]H_{\mathcal{F}}^{\Im}[l, k] \end{aligned} \quad (\text{A.6})$$

Comparing Eq. (A.5) and Eq. (A.6), trivially  $Y'_{\mathcal{F}}[l, k] \neq Y_{\mathcal{F}}[l, k]$ . This proves that the SSBT doesn't appropriately models the convolution, and therefore the convolution theorem doesn't hold when applying this transform. 282  
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## Appendix B. Correct separation of desired signal 285

Let  $X_m[l, k]$  be such that 286

$$\begin{aligned} X_m[l, k] &= A_m[l, k] * X_1[l, k] \\ &= \mathbf{a}_m^T[k] \mathbf{x}_1[l, k] \end{aligned} \quad (\text{B.1})$$

as in Eqs. (9) and (10). We can separate  $X_m[l, k]$  as 287

$$X_m[l, k] = d_m[l, k]X_1[l, k] + X'[l, k] \quad (\text{B.2})$$

where  $d_m[l, k]$  is the steering vector for the desired speech portion  $X_1[l, k]$ , and  $X'[l, k]$  is the undesired speech component, in such a way that  $X_1[l, k]$  and  $X'[l, k]$  are uncorrelated. 288  
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This seems trivial in a first glance, by adopting  $d_m[k]$  as the 0-th element of  $\mathbf{a}_m[k]$ , and  $X'[l, k]$  as the rest of the summation. However, this doesn't take into account that  $X[l, k]$  and  $X[l', k]$  may be correlated if  $|l - l'| \leq S$ , where  $S = \lfloor (K-1)/\mathcal{O} \rfloor$ . That is, if  $l$ -th and  $l'$ -th transform window share samples, then there is some correlation between them. 290  
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We define

$$\begin{aligned} d_m[l, k] &= \frac{\mathbb{E}\{X_1^*[l, k]X_m[l, k]\}}{\mathbb{E}\{|X_1[l, k]|^2\}} \\ &= \frac{\sum_i A_m[i, k]\mathbb{E}\{X_1^*[l, k]X_1[l - i, k]\}}{\mathbb{E}\{|X_1[l, k]|^2\}} \end{aligned} \quad (\text{B.3})$$

Focusing on each expectation in the numerator,

$$E_i = \mathbb{E}\{X_1^*[l, k]X_1[l - i, k]\} \quad (\text{B.4})$$

Through the definition of the STFT,

$$\begin{aligned} E_i &= \mathbb{E}\left\{\sum_{n=0}^{K-1} w(n)x_1(n + l\mathcal{O})e^{j2\pi\frac{k}{K}(n+l\mathcal{O})}\sum_{v=0}^{K-1} w(v)x_1(v + (l-i)\mathcal{O})e^{-j2\pi\frac{k}{K}(v+(l-i)\mathcal{O})}\right\} \\ &= \sum_{n=0}^{K-1}\sum_{v=0}^{K-1} w(n)w(v)e^{-j2\pi\frac{k}{K}(v-n+i\mathcal{O})}\mathbb{E}\{x_1(n + l\mathcal{O})x_1(v + (l-i)\mathcal{O})\} \end{aligned} \quad (\text{B.5})$$

Using the substitutions  $\tilde{n} = n + l\mathcal{O}$  and  $\tilde{v} = v + (l-i)\mathcal{O}$ ,

$$E_i = \sum_{\tilde{v}=l\mathcal{O}}^{K-1+l\mathcal{O}} \sum_{\tilde{n}=(l-i)\mathcal{O}}^{K-1+(l-i)\mathcal{O}} w(\tilde{n} - l\mathcal{O})w(\tilde{v} - (l-i)\mathcal{O})\mathbb{E}\{x_1(\tilde{n})x_1(\tilde{v})\}e^{-j2\pi\frac{k}{K}(\tilde{v}-\tilde{n})} \quad (\text{B.6})$$

We now assume  $x_1(n)$  is the result of a zero-mean white process, and therefore  $x_1(\tilde{n})$  and  $x_1(\tilde{v})$  are independent if  $\tilde{n} \neq \tilde{v}$  (which isn't true, but will allow us to continue the derivations). Then

$$E_i = \sum_{\tilde{n}} w(\tilde{n} - l\mathcal{O})w(\tilde{n} - (l-i)\mathcal{O})\mathbb{E}\{x_1(\tilde{n})^2\} \quad (\text{B.7})$$

Rolling back the substitution  $n = \tilde{n} - l\mathcal{O}$ ,

$$E_i = \sum_{n=0}^{K-1-|i\mathcal{O}|} w(n)w(n + i\mathcal{O})\mathbb{E}\{x_1(n + l\mathcal{O})^2\} \quad (\text{B.8})$$

where the summation takes into account that both windows are finite. We at last assume that  $\mathbb{E}\{x_1(n + l\mathcal{O})^2\} \approx \mathbb{E}\{x_1(l\mathcal{O})^2\}$  for small values of  $n$  (such as  $0 \leq n < K$ ), such that

$$E_i = \phi_{x_1}(l\mathcal{O}) \sum_{n=0}^{K-1-|i\mathcal{O}|} w(n)w(n + i\mathcal{O}) \quad (\text{B.9})$$

Going back to Eq. (B.3), and applying the derivations also to the numerator (with  $i = 0$ ),

$$\begin{aligned} d_m[l, k] &= \frac{\sum_i A_m[i, k]\phi_{x_1}(l\mathcal{O}) \sum_{n=0}^{K-1-|i\mathcal{O}|} w(n)w(n + |i\mathcal{O}|)}{\phi_{x_1}(l\mathcal{O}) \sum_{n'=0}^{K-1} w(n')^2} \\ &= \frac{\phi_{x_1}(l\mathcal{O}) \sum_i A_m[i, k] \sum_{n=0}^{K-1-|i\mathcal{O}|} w(n)w(n + |i\mathcal{O}|)}{\phi_{x_1}(l\mathcal{O}) \sum_{n'=0}^{K-1} w(n')^2} \\ &= \frac{\sum_i \sum_{n=0}^{K-1-|i\mathcal{O}|} A_m[i, k]w(n)w(n + |i\mathcal{O}|)}{\sum_{n'=0}^{K-1} w(n')^2} \end{aligned} \quad (\text{B.10})$$

This way, we see that  $d_m[l, k] \equiv d_m[k]$  since it doesn't depend on  $l$ . However, since for the summation over  $n$  we need that  $K - 1 - |i\mathcal{O}| \geq 0$ , this means that  $-S \leq i \leq S$  (where  $S = \lfloor (K-1)/\mathcal{O} \rfloor$ ), and thus our desired speech signal  $X_1[l, k]$  depends on up to the previous (or next)  $S$  windows.

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