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Article

# On the Single-Sideband Transform for MVDR Beamformers

Vitor Probst Curtarelli<sup>1,\*</sup>, Israel Cohen<sup>1</sup>

- Andrew and Erna Viterbi Faculty of Electrical and Computer Engineering, Technion–Israel Institute of Technology, Technion City, Haifa 3200003, Israel
- \* Correspondence: vitor.c@campus.technion.ac.il

Abstract: In order to explore different beamforming applications, this paper investigates the application of the Single-Sideband Transform (SSBT) for constructing a Minimum-Variance Distortionless-Response (MVDR) beamformer in the context of the convolutive transfer function (CTF) model for short-window time-frequency transforms by making use of filter-banks and their properties. Our study aims to optimize the appropriate utilization of SSBT in this endeavor, by examining its characteristics and traits. We address a reverberant scenario with multiple noise sources, aiming to minimize both undesired interference and reverberation in the output. Through simulations reflecting real-life scenarios, we show that employing the SSBT correctly leads to a beamformer that outperforms the obtained when via the Short-Time Fourier Transform (STFT), while exploiting the SSBT's property of it being real-valued. Two approaches were developed with the SSBT, one naive and one refined, with the later being able to ensure the desired distortionless behavior, which is not achieved by the former.

**Keywords:** Single-sideband transform; MVDR beamformer; Filter-banks; Array signal processing; Signal enhancement.

1. Introduction

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Beamformers are an important tool for signal enhancement, being employed in a plethora of applications from hearing aids [1] to source localization [2] to imaging [3,4]. Among the possible ways to use such devices is to implement them the time-frequency domain [5], which allows the exploitation of frequency-related information while also dynamically adapting to signal changes over time. The most widely used instruments for time-frequency analysis are transforms, from which the Short-Time Fourier Transform (STFT) [6,7] stands out in terms of spread and commonness. However, alternative transforms can also be employed implemented [8–10], each offering unique perspective and information regarding the signal, possibly leading to different outputs.

Among these alternatives, the Single-Sideband Transform (SSBT) [11,12] is of great interest, given its real-valued frequency spectrum. It has been shown that the SSBT works particularly well with short analysis windows [11]. Therefore, if we use the convolutive transfer function (CTF) model [13] to study the desired signal model, the SSBT can lend itself to be useful, if we think about the beamforming process through the lenses of filterbanks [14,15]. Thus, by applying this transform within this context it is possible to pull off

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superior performances than only with the STFT. However, it is important to be aware of the limitations of the transform, in order to properly utilize it to try and achieve better outputs.

Two of the most important goals in beamforming are the minimization of noise in the output signal, and the distortionless-ness of the desired signal, both being achieved by the Minimum-Variance Distortionless-Response (MVDR) beamformer [16,17]. As the MVDR beamformer can be used on the time-frequency domain without restrictions on the transform chosen, it is possible to explore and compare the performance of this filter, when designing it through different time-frequency transforms.

Motivated by this, our paper explores the SSB transform and its application on the subject of beamforming within the context of the CTF model. We propose an approach for the CTF that allows the separation of desired and undesired speech components for reverberant environments, and employ this approach for designing the MVDR beamformer. We also explore the traits and limitations of the SSBT, and how to properly adapt the MVDR beamformer to this new transform's constraints. We show that a beamformer designed using the SSBT can surpass the STFT one, while also being able to obey the distortionless constraint.

We organized the paper as follows: in Section 2 we introduce the proposed time-frequency transforms, how they're related and what are their relevant properties; Section 3 the considered signal model in the time domain is presented, and how it is transferred into the time-frequency domain; and in Section 4 we develop a true-MVDR beamformer with the SSBT, taking into account its features. In Section 5 we present and discuss the results, comparing the studied methods and beamformers obtained. Section 6 concludes this paper.

### 2. STFT and the Single-Sideband Transform

When studying signals and systems, often frequency and time-frequency transforms are used in order to change the signal domain [18], allowing the exploitation of different patterns and informations inherent to the signal.

Given a time-domain signal x[n], its Short-time Fourier Transform (STFT) [6,7] is

$$X_{\mathcal{F}}[l,k] = \sum_{n=0}^{K-1} w[n] x[n-l \cdot O] e^{-j2\pi k \frac{(n-l \cdot O)}{K}}$$
 (1)

where w[n] is an analysis window of length K; and O is the overlap between windows of the transform, usually  $O = \lfloor K/2 \rfloor$ . Even though the STFT is the most traditionally used time-frequency transform, it isn't the only one available. Thus, exploring different possibilities for such an operation can be useful and lead to interesting results.

The Single-Sideband Transform (SSBT) [11] is one such alternative, being cleverly constructed such that its frequency spectrum is real-valued, without loss of information. The SSB transform of x[n] is defined as

$$X_{\mathcal{S}}[l,k] = \sqrt{2}\Re\left\{\sum_{n=0}^{K-1} w[n]x[n-l\cdot O]e^{-j2\pi k\frac{(n-l\cdot O)}{K}+j\frac{3\pi}{4}}\right\}$$
 (2)

Assuming that x[n] is real-valued, one advantage of using the STFT is that we only need to work with  $\lfloor (K+1)/2 \rfloor + 1$  frequency bins, given its complex-conjugate behavior. Meanwhile, the SSBT requires all K bins to correctly capture all information of x[n], however it is real-valued.

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Assuming that all *K* bins of the STFT are available, from Eqs. (1) and (2) we have

$$X_{\mathcal{S}}[l,k] = \sqrt{2}\Re\left\{X_{\mathcal{F}}[l,k]e^{j\frac{3\pi}{4}}\right\}$$
$$= -\Re\{X_{\mathcal{F}}[l,k]\} - \Im\{X_{\mathcal{F}}[l,k]\}$$
(3)

It is easy to see that<sup>1</sup>

$$X_{\mathcal{S}}[l,k] = \frac{1}{\sqrt{2}} \left( e^{j\frac{3\pi}{4}} X_{\mathcal{F}}[l,k] + e^{-j\frac{3\pi}{4}} X_{\mathcal{F}}[l,K-k] \right)$$
(4)

from which it we deduce

$$X_{\mathcal{F}}[l,k] = \frac{1}{\sqrt{2}} \left( e^{-j\frac{3\pi}{4}} X_{\mathcal{S}}[l,k] + e^{j\frac{3\pi}{4}} X_{\mathcal{S}}[l,K-k] \right)$$
 (5)

One disadvantage of the SSBT is that the convolution theorem does not hold when employing it (see Appendix A), not even as an approximation. Nonetheless, by converting any result in the SSBT domain to the STFT domain (using Eq. (3)) before utilization, it remains feasible to employ the transform to study of the problem at hand.

#### 3. Signal Model and Beamforming

Let there be a generic sensor array within a reverberant environment, it being comprised of M sensors. In this setting there also are a desired and an interfering sources (namely x[n] and v[n]), and also uncorrelated noise  $r_m[n]$  at each sensor, all traveling with a speed c. We assume that the sources are spatially stationary, and all discrete signals were sampled with the same sampling frequency  $f_s$ .

We denote  $h_m[n]$  as the room impulse response between the desired source and the m-th sensor (1  $\leq m \leq M$ ). We similarly define  $g_m[n]$  for the interfering source. From this, we write  $y_m[n]$  as the observed signal at the m-th sensor as

$$y_m[n] = h_m[n] * x[n] + g_m[n] * v[n] + r_m[n]$$
(6)

We let m' be the reference sensor's index, for simplicity assume m' = 1. We let  $x_1[n] = h_1[n] * x[n]$  (and similarly for  $v_1[n]$ ).  $b_m[n]$  is the *relative* impulse response between the desired signal (at the reference sensor) and the m-th sensor, define such that

$$b_m[n] * x_1[n] = h_m[n] * x[n]$$
(7)

We similarly define  $c_m[n]$  such that  $c_m[n] * v_1[n] = g_m[n] * v[n]$ . Therefore, Eq. (6) becomes

$$y_m[n] = b_m[n] * x_1[n] + c_m[n] * v_1[n] + r_m[n]$$
(8)

Here, the impulse responses  $b_m[n]$  and  $c_m[n]$  can be non-causal, depending on the direction of arrival and features of the reverberant environment.

We can use a time-frequency transform (here the STFT or the SSBT, both exposed in Section 2) with the CTF model [13] to get our time-frequency signal model,

$$Y_m[l,k] = B_m[l,k] * X_1[l,k] + C_m[l,k] * V_1[l,k] + R_m[l,k]$$
(9)

For the abuse of notation, we let  $X_{\mathcal{S}}[l,K] \equiv X_{\mathcal{S}}[l,0]$ , and equally for  $X_{\mathcal{F}}[l,K]$ .

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where  $Y_m[l,k]$  is the transform of  $y_m[n]$  (resp. all other signals); l is the window index, and k the bin index, with  $0 \le k \le K - 1$ ; and the convolution is in the window-index axis.

Using that  $B_m[l,k]$  is a finite (possibly truncated) response with  $L_B$  windows, then

$$B_m[l,k] * X_1[l,k] = \mathbf{b}_m^{\mathsf{T}}[k]\mathbf{x}_1[l,k]$$
 (10)

in which

$$\mathbf{b}_{m}[k] = \left[ B_{m}[-\Delta, k], \dots, B_{m}[0, k], \dots, B_{m}[L_{B} - \Delta - 1, k] \right]^{\mathsf{T}}$$
 (11a)

$$\mathbf{x}_{1}[l,k] = \begin{bmatrix} X_{1}[l+\Delta,k], & \cdots, & X_{1}[l,k], & \cdots, & X_{1}[l-L_{B}+\Delta+1,k] \end{bmatrix}^{\mathsf{T}}$$
 (11b)

and in the same way we define  $\mathbf{c}_m[k]$  and  $\mathbf{v}_1[l,k]$ . Note that  $\mathbf{b}_m[k]$  and  $\mathbf{c}_m[k]$  don't depend on the index l, since neither the environment nor the sources' positions change over time. With this, Eq. (9) becomes

$$Y_m[l,k] = \mathbf{b}_m^{\mathsf{T}}[k]\mathbf{x}_1[l,k] + \mathbf{c}_m^{\mathsf{T}}[k]\mathbf{v}_1[l,k] + R_m[l,k]$$
(12)

Vectorizing the signals sensor-wise, we finally get

$$\mathbf{y}[l,k] = \mathbf{B}[k]\mathbf{x}_1[l,k] + \mathbf{C}[k]\mathbf{v}_1[l,k] + \mathbf{r}[l,k]$$
(13)

where

$$\mathbf{y}[l,k] = \left[ y_1[l,k], \dots, y_M[l,k] \right]^\mathsf{T}$$
(14)

and similarly for the other variables. In this situation,  $\mathbf{B}[k]$  and  $\mathbf{C}[k]$  are  $M \times L_B$  and  $M \times L_C$  matrices respectively;  $\mathbf{x}_1[l,k]$  and  $\mathbf{v}_1[l,k]$  are  $L_B \times 1$  and  $L_C \times 1$  vectors respectively; and  $\mathbf{y}[l,k]$  and  $\mathbf{r}[l,k]$  are  $M \times 1$  vectors.

# 3.1. Reverb-rejecting formulation

Let the  $\Delta$ -th column of  $\mathbf{B}[k]$  (equivalent to l=0) be the desired-speech frequency response (named  $\mathbf{d}_x[k]$ ), with the rest comprising an undesired component. We therefore write

$$\mathbf{B}[k]\mathbf{x}_{1}[l,k] = \mathbf{d}_{x}[k]X_{1}[l,k] + \sum_{\substack{l'=-\Delta\\l'\neq 0}}^{L_{B}-\Delta-1} \mathbf{p}_{B,l'}[k]X_{1}[l-l',k]$$

$$= \mathbf{d}_{x}[k]X_{1}[l,k] + \mathbf{q}[l,k]$$
(15)

where  $\mathbf{p}_{B,l'}[k]$  is the l'-th column of  $\mathbf{B}[k]$ . With this,  $\mathbf{d}_x[k]X_1[l,k]$  is the desired speech component of  $\mathbf{B}[k]\mathbf{x}_1[l,k]$  with  $\mathbf{d}_x[k]$  being the desired-speech frequency response; and  $\mathbf{q}[l,k]$  (the summation over  $l \neq 0$ ) is the undesired component, or reverberation signal.

It's important to have in mind the sensor delay and window length. If the time for the signal to travel from the reference to the farthest sensor exceeds the window length (in seconds), multiple windows may represent the desired speech. This isn't a problem if  $\frac{\delta}{f} < \frac{K}{f}$ , where  $\delta$  is the biggest reference-to-sensor distance, and K is the window length.

Using Eq. (15) we define  $\mathbf{w}[l,k]$  as the undesired signal (undesired speech components + interfering source + uncorrelated noise),

$$\mathbf{w}[l,k] = \mathbf{q}[l,k] + \mathbf{C}[k]\mathbf{v}_1[l,k] + \mathbf{r}[l,k]$$
(16)

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and therefore

$$\mathbf{y}[l,k] = \mathbf{d}_x[k]X_1[l,k] + \mathbf{w}[l,k] \tag{17}$$

We estimate the desired signal at reference through a filter f[l, k], such that

$$Z[l,k] = \mathbf{f}^{\mathsf{H}}[l,k]\mathbf{y}[l,k]$$

$$\approx X_1[l,k]$$
(18)

with  $(\cdot)^H$  being the transposed-complex-conjugate operator. Since the source signals' properties can vary over time, so can the filter, in order to adapt to the environment.

In order to minimize  $\mathbf{w}[l,k]$  the MVDR beamformer [17] will be used, being given by

$$\mathbf{f}_{\text{mvdr}}[l,k] = \min_{\mathbf{f}[l,k]} \mathbf{f}[l,k]^{\mathsf{H}} \mathbf{\Phi}_{\mathbf{y}}[l,k] \mathbf{f}[l,k] \text{ s.t. } \mathbf{f}^{\mathsf{H}}[l,k] \mathbf{d}_{x}[k] = 1$$
 (19)

in which  $\mathbf{f}^{\mathsf{H}}[l,k]\mathbf{d}_x[k] = 1$  is the distortionless constraint, and  $\mathbf{\Phi}_{\mathbf{y}}[l,k]$  is the correlation matrix of the observed signal  $\mathbf{y}[l,k]$ . This formulation is preferred over the one using  $\mathbf{\Phi}_{\mathbf{w}}[l,k]$  given the observed signal's availability.

The solution to Eq. (19) is

$$\mathbf{f}_{\text{mvdr}}[l,k] = \frac{\mathbf{\Phi}_{\mathbf{y}}^{-1}[l,k]\mathbf{d}_{x}[k]}{\mathbf{d}_{x}^{H}[k]\mathbf{\Phi}_{\mathbf{y}}^{-1}[l,k]\mathbf{d}_{x}[k]}$$
(20)

#### 3.2. Beamformer metrics

The metrics which will be used to observe and study the results will be the gain in Signal-to-Noise Ratio (SNR), where "noise" is  $\mathbf{w}[l,k]$ , encompassing all undesired signals; Reverberation Signal Reduction Factor  $\xi_{\rm r}$ , which shows how much the undesired speech components were reduced; and Desired Signal Reduction Factor  $\xi_{\rm d}$ , which explores how much the desired speech components were reduced; in both window-averaged narrowband (Eq. (21)) and window-averaged broadband (Eq. (22)) forms.

$$gSNR[k] = \frac{\sum_{l} \phi_{X_{1}}[l,k] \left| \mathbf{f}^{\mathsf{H}}[l,k] \mathbf{d}_{x}[k] \right|^{2}}{\sum_{l} \mathbf{f}^{\mathsf{H}}[l,k] \mathbf{\Phi}_{\mathbf{w}}[l,k] \mathbf{f}[l,k]} \div \frac{\sum_{l} \phi_{X_{1}}[l,k]}{\sum_{l} \phi_{W_{1}}[l,k]}$$
(21a)

$$\xi_{\mathbf{r}}[k] = \frac{\sum_{l} \phi_{Q_1}[l, k]}{\sum_{l} \mathbf{f}^{\mathsf{H}}[l, k] \mathbf{\Phi}_{\mathbf{q}}[l, k] \mathbf{f}[l, k]}$$
(21b)

$$\xi_{\mathbf{d}}[k] = \frac{\sum_{l} \phi_{\mathbf{X}_{1}}[l, k]}{\sum_{l} \phi_{\mathbf{X}_{1}}[l, k] \left| \mathbf{f}^{\mathsf{H}}[l, k] \mathbf{d}_{x}[k] \right|^{2}}$$
(21c)

$$gSNR = \frac{\sum\limits_{l,k} \phi_{X_1}[l,k] \left| \mathbf{f}^{\mathsf{H}}[l,k] \mathbf{d}_{x}[k] \right|^2}{\sum\limits_{l,k} \mathbf{f}^{\mathsf{H}}[l,k] \mathbf{\Phi}_{\mathbf{w}}[l,k] \mathbf{f}[l,k]} \div \frac{\sum\limits_{l,k} \phi_{X_1}[l,k]}{\sum\limits_{l,k} \phi_{W_1}[l,k]}$$
(22a)

$$\xi_{\rm r} = \frac{\sum\limits_{l,k} \boldsymbol{\phi}_{Q_1}[l,k]}{\sum\limits_{l,k} \mathbf{f}^{\sf H}[l,k] \boldsymbol{\Phi}_{\bf q}[l,k] \mathbf{f}[l,k]}$$
(22b)

$$\xi_{d} = \frac{\sum\limits_{l,k} \phi_{X_{1}}[l,k]}{\sum\limits_{l,k} \phi_{X_{1}}[l,k] |\mathbf{f}^{\mathsf{H}}[l,k] \mathbf{d}_{x}[k]|^{2}}$$
(22c)

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where  $Q_1[l,k]$  is the first element (corresponding to the reference sensor) of  $\mathbf{q}[l,k]$ . It is easy to see that if  $\xi_{\mathbf{d}}[l,k]=1$  then the distortionless constraint is satisfied. Also,  $\xi_{\mathbf{d}}[l,k]=1$  implies that  $\xi_{\mathbf{d}}[k]=\xi_{\mathbf{d}}=1$ .

#### 4. True-MVDR with the Single-Sideband Transform

When carelessly using any of the established methods with the SSBT, the distortionless constraint ensures that the beamformer avoids causing distortion exclusively within the SSBT domain. However, as explained in Section 2 the SSBT beamformer must be carefully constructed to achieve the desired effects, such as the distortionless constraint.

We thus propose a framework for the SSBT in which we consider both bins k and K - k simultaneously, since from Eq. (3) they aren't independent. We define  $\mathbf{y}'[l,k]$  as

$$\mathbf{y}'[l,k] = \begin{bmatrix} \mathbf{y}[l,k] \\ \mathbf{y}[l,K-k] \end{bmatrix}_{2M\times 1}$$
 (23)

from which we define  $\Phi_{\mathbf{y}'}[l,k]$  as its correlation matrix. Under this idea, our filter  $\mathbf{f}'[l,k]$  is a  $2M \times 1$  vector, with the first M values being for the k-th bin, and the last M values for the [K-k]-th bin. We let the STFT-equivalent filter for the SSBT beamformer  $\mathbf{f}'[l,k]$  be  $\mathbf{f}'_{\mathcal{F}}[l,k]$ , given by

$$\mathbf{f}_{\mathcal{F}}'[l,k] = \mathbf{A}\mathbf{f}'[l,k] \tag{24}$$

in which

$$\mathbf{A} = \frac{1}{\sqrt{2}} \begin{bmatrix} e^{j\frac{3\pi}{4}} & 0 & \cdots & 0 & e^{-j\frac{3\pi}{4}} & 0 & \cdots & 0\\ 0 & e^{j\frac{3\pi}{4}} & \cdots & 0 & 0 & e^{-j\frac{3\pi}{4}} & \cdots & 0\\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots\\ 0 & 0 & \cdots & e^{j\frac{3\pi}{4}} & 0 & 0 & \cdots & e^{-j\frac{3\pi}{4}} \end{bmatrix}_{M \times 2M}$$
 (25)

#### 4.1. Reverb-rejecting SSBT formulation

Continuing the results of Section 3.1, from Eq. (24) the distortionless constraint for the STFT, within the SSBT domain, is

$$\mathbf{f}^{\prime\mathsf{H}}[l,k]\hat{\mathbf{d}}_{x}[k] = 1 \tag{26a}$$

$$\hat{\mathbf{d}}_{x}[k] = \mathbf{A}^{\mathsf{H}} \mathbf{d}_{\mathcal{F}:x}[k] \tag{26b}$$

where  $\mathbf{d}_{\mathcal{F};x}[l,k]$  is the desired-speech frequency response in the STFT domain. In this scheme, our minimization problem becomes

$$\mathbf{f}'_{\text{mvdr}}[l,k] = \min_{\mathbf{f}'[l,k]} \mathbf{f}'^{\mathsf{H}}[l,k] \mathbf{\Phi}_{\mathbf{y}'}[l,k] \mathbf{f}'[l,k] \text{ s.t. } \mathbf{f}'^{\mathsf{H}}[l,k] \hat{\mathbf{d}}_{x}[k] = 1$$
 (27)

Although  $\Phi_{y'}[l,k]$  is a matrix with real entries,  $\hat{\mathbf{d}}_x$  is complex-valued, and thus is the solution to Eq. (27), contradicting the purpose of utilizing the SSBT.

# 4.2. Real-valued true-MVDR beamformer with SSBT

To ensure the desired behavior of f'[l,k] being real-valued, an additional constraint is necessary. By forcing f'[l,k] to have real entries, from Eq. (26a) we trivially have that

$$\mathbf{f}^{\prime\mathsf{T}}[l,k]\Re\left\{\hat{\mathbf{d}}_{x}[k]\right\} = 1\tag{28a}$$

$$\mathbf{f}^{\prime\mathsf{T}}[l,k]\Im\left\{\hat{\mathbf{d}}_{x}[k]\right\} = 0 \tag{28b}$$

which can be put in matricial form as  $\mathbf{f}^{\prime\mathsf{T}}[l,k]\mathbf{D}_{x}[k]=\mathbf{i}^{\mathsf{T}}$ , with

$$\mathbf{D}_{x}[k] = \left[ \Re \left\{ \hat{\mathbf{d}}_{x}[k] \right\}, \Im \left\{ \hat{\mathbf{d}}_{x}[k] \right\} \right]_{2M \times 2}$$
 (29a)

$$\mathbf{i} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \tag{29b}$$

Therefore, the minimization problem from Eq. (27) becomes

$$\mathbf{f}'_{\text{mvdr}}[l,k] = \min_{\mathbf{f}'[l,k]} \mathbf{f}'^{\mathsf{T}}[l,k] \mathbf{\Phi}_{\mathbf{y}'}[l,k] \mathbf{f}'[l,k] \text{ s.t. } \mathbf{f}'^{\mathsf{T}}[l,k] \mathbf{D}_{x}[k] = \mathbf{i}^{\mathsf{T}}$$
(30)

whose formulation is again similar to that of the LCMV beamformer, and therefore

$$\mathbf{f}'_{\text{mvdr}}[l,k] = \mathbf{\Phi}_{\mathbf{y}'}^{-1}[l,k]\mathbf{D}_{x}[k] \left(\mathbf{D}_{x}^{\mathsf{T}}[k]\mathbf{\Phi}_{\mathbf{y}'}^{-1}[k]\mathbf{D}_{x}[k]\right)^{-1}\mathbf{i}$$
(31)

Using Eq. (24), we can obtain the desired beamformer  $\mathbf{f}'_{\mathcal{F}:mvdr}[l,k]$ , in the STFT domain.

5. Simulations

In the simulations<sup>2</sup>, we employ a sampling frequency of 16kHz. The sensor array consists of a uniform linear array with 10 sensors spaced at 2cm. Room impulse responses were generated using Habets' RIR generator [19], and signals were selected from the SMARD [20] and LINSE [21] databases.

The room's dimensions are  $4m \times 6m \times 3m$  (width  $\times$  length  $\times$  height), with a reverberation time of 0.11s. The desired source is located at (2m, 1m, 1m), it being a male voice (SMARD, 50\_male\_speech\_english\_ch8\_0mniPower4296.flac). The interfering source, simulating an open door, is located simultaneously at (0.5m, 5m, [0.3:0.3:2.7]m), with a babble sound signal (LINSE database, babble.mat). The noise signal is white Gaussian noise (SMARD database, wgn\_48kHz\_ch8\_0mniPower4296.flac). All signals were resampled to the desired frequency.

The sensor array is positioned at (2m, [4.02:0.02:4.2]m, 1m), with omnidirectional sensors of flat frequency response. The input SNR between desired and noise signals is 30dB, while the input SNR between desired and interference signals (or input SIR) varies per simulation. Filters are calculated every 25 windows, considering the previous 25 windows to calculate correlation matrices.

We compare filters obtained through the STFT and SSBT transforms. N-SSBT uses Eq. (20) to (naively) calculate the SSBT beamformer, and T-SSBT will denote the beamformer obtained via the true-distortionless MVDR from Section 4. Performance analysis is

<sup>&</sup>lt;sup>2</sup> Code is available at https://github.com/VCurtarelli/py-ssb-ctf-bf.

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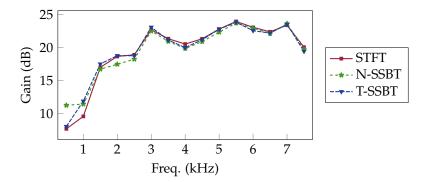
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conducted via the STFT domain, with the SSBT beamformers being converted into it. In line plots, STFT is presented in red, N-SSBT in green, and T-SSBT in blue.

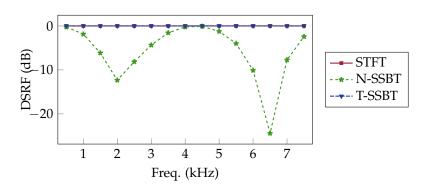
#### 5.1. Simulations with iSIR = 5dB

In this scenario, we assume that the input SIR is 5dB, and we use analysis windows with: 32 samples in Figs. 1 and 2; or 64 samples in Figs. 3 and 4. Figs. 1 and 3 show the window-wise averaged narrowband gain in SNR, and Figs. 2 and 4 the window-averaged narrowband DSRF, for all presented methods.

From Figs. 1 and 3 it is clear that the N-SSBT beamformer had the worse performance of them all. We also see that the T-SSBT beamformer had a better performance overall compared to the STFT one, although not by a landslide, and also being slightly worse at times. Also, in both Figs. 2 and 4 show that both the T-SSBT and the STFT beamformers ensured a distortionless response, a feature that wasn't achieved by the N-SSBT beamformer. This was expected, since the T-SSBT was appropriately designed to achieve this quality, while the N-SSBT wasn't.



**Figure 1.** Window-average SNR gain for K = 32.



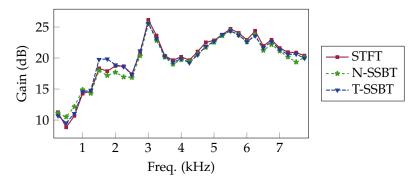
**Figure 2.** Window-average DSRF for K = 32.

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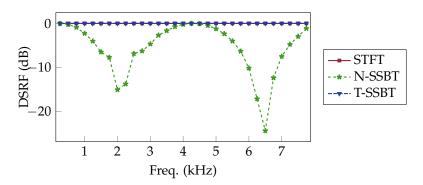
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**Figure 3.** Window-average SNR gain for K = 64.

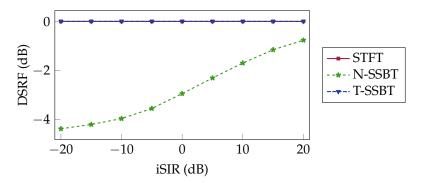


**Figure 4.** Window-average DSRF for K = 64.

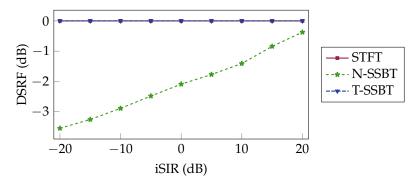
#### 5.2. Average results per iSIR

Here, we now take the broadband metrics for the beamformers, allowing us to compare them for different values of input SIR. We again test for both K = 32 and K = 64.

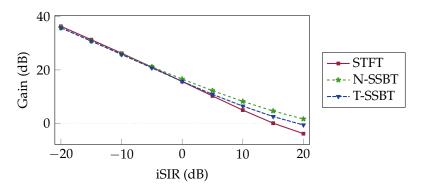
From Figs. 5 and 6, as expected we see that the STFT and T-SSBT beamformers didn't cause distortion on the desired signal, while the N-SSBT did, due to its nature. In terms of SNR gain (Figs. 7 and 8), the N-SSBT beamformer had a better performance with K=32 than with K=64, being at times better or worse than the STFT beamformer. Comparing the filters obtained via the T-SSBT and STFT transforms, the T-SSBT beamformer strictly outperformed the STFT one, being always 1-2dB better in terms of gain.



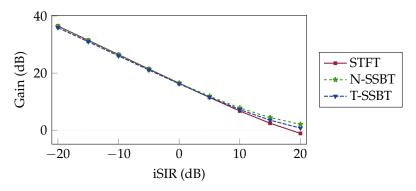
**Figure 5.** Broadband DSRF for K = 32.



**Figure 6.** Broadband DSRF for K = 64.



**Figure 7.** Broadband SNR gain for K = 32.



**Figure 8.** Broadband SNR gain for K = 64.

#### 5.3. Overall result

In all situations evaluated, the proposed true-MVDR SSBT beamformer outperformed the beamformer through the traditional STFT in terms of SNR gain, both also achieving the appropriate DSRF necessary for the desired distortionless behavior of the MVDR filter. Meanwhile, the naive SSBT beamformer had a (slightly) better overall performance for K=32 for the gain in SNR, and a worse overall performance for K=64, while also incurring some distortion on the desired signal, seen via the DSRF. It is interesting to note that for all beamformers the gain in SNR decreases as the input SIR increases, which was expected as in this scenario the weight of both the undesired speech components and uncorrelated noise over the input SNR increase.

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6. Conclusion

In this study, we investigated the application of the Single-Sideband Transform in beamforming within a reverberant environment, utilizing the convolutive transfer function model for filter bank (i.e., the beamformer) estimation. We implemented a Minimum-Variance Distortionless-Response beamformer to enhance signals in a real-life-like scenario, elucidating the process to achieve a truly-distortionless MVDR beamformer when employing the SSB transform.

The true-MVDR SSBT beamformer strictly outperformed the beamformer obtained with the traditional STFT in terms of SNR gain, matching it regarding the distortionlessness. The naive-MVDR SSBT beamformer's SNR gain performance varied with the number of samples per window and input signal-to-interference ratio, always performing worse than the true-MVDR SSBT beamformer; and in all situations it caused distortion in the desired signal.

Future research avenues may explore the integration of this transform into different beamformers, or undertake further comparisons of the proposed SSBT beamformer (following the considerations exposed in here) against the established and reliable STFT methodology.

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Conflicts of Interest: The authors declare no conflict of interest.

Abbreviations

The following abbreviations are used in this manuscript:

CTF Convolutive Transfer Function
DSRF Desired Signal Reduction Factor

LCMV Linearly-Constrained Minimum-Variance MVDR Minimum-Variance Distortionless-Response

MTF Multiplicative Transfer Function

SNR Signal-to-Noise Ratio SSBT Single-Sideband Transform STFT Short-Time Fourier Transform

# Appendix A. SSBT Convolution

Let x[n] be a time domain signal, with  $X_{\mathcal{F}}[l,k]$  being its STFT equivalent, and  $X_{\mathcal{S}}[l,k]$  its SSBT equivalent. We here assume that both the STFT and the SSBT have K frequency bins.  $X_{\mathcal{S}}[l,k]$  can be obtained using  $X_{\mathcal{F}}[l,k]$ , through

$$X_{\mathcal{S}}[l,k] = -X_{\mathcal{F}}^{\Re}[l,k] - X_{\mathcal{F}}^{\Im}[l,k]$$
(A1)

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in which  $(\cdot)^{\Re}$  and  $(\cdot)^{\Im}$  represent the real and imaginary components of their argument, respectively.

It is easy to see that

$$X_{\mathcal{F}}[l,k] = \frac{1}{\sqrt{2}} \left( e^{-j\frac{3\pi}{4}} X_{\mathcal{S}}[l,k] + e^{j\frac{3\pi}{4}} X_{\mathcal{S}}[l,K-k] \right)$$
(A2)

As stated before, in this formulation we abuse the notation by letting  $X_{\mathcal{S}}[l,K] = X_{\mathcal{S}}[l,0]$  to simplify the mathematical operations.

Now, let there also be h[n],  $H_{\mathcal{F}}[k]$  and  $H_{\mathcal{S}}[k]$ , with the same assumptions as before. We define  $Y_{\mathcal{F}}[l,k]$  and  $Y_{\mathcal{S}}[l,k]$  as the output of an LTI system with impulse response h[n], such that

$$Y_{\mathcal{F}}[l,k] = H_{\mathcal{F}}[k]X_{\mathcal{F}}[l,k] \tag{A3a}$$

$$Y_{\mathcal{S}}[l,k] = H_{\mathcal{S}}[k]X_{\mathcal{S}}[l,k] \tag{A3b}$$

We will assume that the MTF model [13] correctly models the convolution here. This waas used instead of the CTF for simplicity, as these derivations would work exactly the same for the CTF, but with window-wise summations as well, which would pollute the notation.

Applying Eq. (A1) in Eq. (A3b), and knowing that  $X_{\mathcal{F}}[l,k] = X_{\mathcal{F}}^*[l,K-k]$  (same for  $H_{\mathcal{F}}[k]$ ), with  $(\cdot)^*$  representing the complex-conjugate; we get that

$$Y_{\mathcal{S}}[l,k] = X_{\mathcal{F}}^{\Re}[l,k]H_{\mathcal{F}}^{\Re}[l,k] + X_{\mathcal{F}}^{\Re}[l,k]H_{\mathcal{F}}^{\Im}[l,k] + X_{\mathcal{F}}^{\Im}[l,k]H_{\mathcal{F}}^{\Re}[l,k] + X_{\mathcal{F}}^{\Im}[l,k]H_{\mathcal{F}}^{\Im}[l,k] Y_{\mathcal{S}}[l,K-k] = X_{\mathcal{F}}^{\Re}[l,k]H_{\mathcal{F}}^{\Re}[l,k] - X_{\mathcal{F}}^{\Re}[l,k]H_{\mathcal{F}}^{\Im}[l,k] - X_{\mathcal{F}}^{\Im}[l,k]H_{\mathcal{F}}^{\Re}[l,k] + X_{\mathcal{F}}^{\Im}[l,k]H_{\mathcal{F}}^{\Im}[l,k]$$
(A4)

Passing this through Eq. (A2),

$$Y_{\mathcal{F}}'[l,k] = -X_{\mathcal{F}}^{\Re}[l,k]H_{\mathcal{F}}^{\Re}[l,k] + jX_{\mathcal{F}}^{\Re}[l,k]H_{\mathcal{F}}^{\Re}[l,k] + iX_{\mathcal{F}}^{\Im}[l,k]H_{\mathcal{F}}^{\Re}[l,k] - X_{\mathcal{F}}^{\Im}[l,k]H_{\mathcal{F}}^{\Im}[l,k]$$
(A5)

where  $Y_{\mathcal{F}}'[l,k]$  is the STFT-equivalent of  $Y_{\mathcal{S}}[l,k]$ .

Expanding Eq. (A3a) in terms of real and imaginary components,

$$Y_{\mathcal{F}}[l,k] = X_{\mathcal{F}}^{\mathfrak{R}}[l,k]H_{\mathcal{F}}^{\mathfrak{R}}[l,k] + jX_{\mathcal{F}}^{\mathfrak{R}}[l,k]H_{\mathcal{F}}^{\mathfrak{I}}[l,k] + jX_{\mathcal{F}}^{\mathfrak{R}}[l,k]H_{\mathcal{F}}^{\mathfrak{R}}[l,k]H_{\mathcal{F}}^{\mathfrak{R}}[l,k]$$
(A6)

Comparing Eq. (A5) and Eq. (A6), trivially  $Y'_{\mathcal{F}}[l,k] \neq Y_{\mathcal{F}}[l,k]$ . This proves that the SSBT doesn't appropriately models the convolution, and therefore the convolution theorem doesn't hold when applying this transform.

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