

CAPSTONE PROJECT REPORT

Reg NO: 192210103

Name: K.Vivek Reddy

Course Code: CSA0656

Course Name: DAA

SLOT: A

ABSTRACT:

The problem involves determining the count of smaller elements to the right of each element in an integer array. This document provides a comprehensive solution, explains the approach in detail, and analyzes the complexity of the implemented algorithm. The chosen method efficiently tackles the problem using a modified merge sort technique, ensuring optimal performance.

INTRODUCTION:

Given an integer array `nums`, the objective is to return an integer array `counts` where `counts[i]` represents the number of smaller elements to the right of `nums[i]`. This problem is a common interview question and serves as a good exercise for understanding divide-and-conquer algorithms. While a naive approach would involve nested loops resulting in $O(n^2)$ time complexity, more efficient algorithms like the modified merge sort can achieve $O(n \log n)$ time complexity.

Problem Statement:

Given an integer array `nums`, return an integer array `counts` where `counts[i]` is the number of smaller elements to the right of `nums[i]`. The challenge is to achieve this in an efficient manner, ideally with a time complexity better than $O(n^2)$.

Example

For the array:

```
nums = [5, 2, 6, 1]
```

1. Understanding the Output:

- For each element in `nums`, determine how many of the elements to its right are smaller than it.

- Example:

- For `5`, there are 2 smaller elements to its right (`2` and `1`).
- For `2`, there is 1 smaller element to its right (`1`).
- For `6`, there is 1 smaller element to its right (`1`).
- For `1`, there are no smaller elements to its right.

2. Initial Approach (Inefficient):

- A naive solution involves iterating over each element and counting the smaller elements to its right, resulting in $O(n^2)$ time complexity.

- This approach is simple but inefficient for large arrays.

3. Efficient Approach Using Modified Merge Sort:

- Instead of the naive $O(n^2)$ method, we can use a modified merge sort to count the smaller elements efficiently.

- This approach leverages the divide-and-conquer strategy of merge sort to maintain the sorted order and count smaller elements during the merge process

4. Merge Sort Technique:

- Divide: Recursively divide the array into two halves until each half contains a single element.

- Conquer: During the merge process, count the elements in the right half that are smaller than the elements in the left half.

- Merge: Combine the two halves while maintaining the count of smaller elements.

5. Detailed Steps:

- Partition: Divide the array into left and right halves recursively.

- Count and Merge:

- Maintain an array `smaller` to keep track of the count of smaller elements for each index.

- During the merge step, compare elements from both halves and update the `smaller` array accordingly

6. Determine the Counts:

- After the merge sort completes, the `smaller` array contains the count of smaller elements to the right for each element in the original array

CODING:

```
#include <stdio.h>

#include <stdlib.h>

// Function to merge two halves and count the smaller elements
void merge(int* nums, int* indices, int* counts, int left, int mid, int right) {
    int leftSize = mid - left + 1;
    int rightSize = right - mid;
    int* leftIndices = (int*)malloc(leftSize * sizeof(int));
    int* rightIndices = (int*)malloc(rightSize * sizeof(int));

    for (int i = 0; i < leftSize; ++i)
        leftIndices[i] = indices[left + i];
    for (int i = 0; i < rightSize; ++i)
        rightIndices[i] = indices[mid + 1 + i];

    int i = 0, j = 0, k = left, rightCounter = 0;

    while (i < leftSize && j < rightSize)
    {
        if (nums[leftIndices[i]] <= nums[rightIndices[j]])
        {
            counts[leftIndices[i]] += rightCounter;
            indices[k++] = leftIndices[i++];
        } else {
            rightCounter++;
            indices[k++] = rightIndices[j++];
        }
    }
}
```

```

while (i < leftSize)
{
    counts[leftIndices[i]] += rightCounter;
    indices[k++] = leftIndices[i++];
}

while (j < rightSize)
{
    indices[k++] = rightIndices[j++];
}

free(leftIndices);
free(rightIndices);
}

// Recursive function to perform merge sort and count smaller elements
void mergeSort(int* nums, int* indices, int* counts, int left, int right)

{
    if (left < right)
    {
        int mid = left + (right - left) / 2;
        mergeSort(nums, indices, counts, left, mid);
        mergeSort(nums, indices, counts, mid + 1, right);
        merge(nums, indices, counts, left, mid, right);
    }
}

```

```

// Function to count smaller numbers after self
int* countSmaller(int* nums, int numsSize, int* returnSize)

{
    *returnSize = numsSize;

    int* counts = (int*)calloc(numsSize, sizeof(int));
    int* indices = (int*)malloc(numsSize * sizeof(int));

    for (int i = 0; i < numsSize; ++i)
        indices[i] = i;

    mergeSort(nums, indices, counts, 0, numsSize - 1);

    free(indices);
    return counts;
}

int main()

{
    int nums[] = {5, 2, 6, 1};
    int numsSize = sizeof(nums) / sizeof(nums[0]);
    int returnSize;
    int* result = countSmaller(nums, numsSize, &returnSize);

    printf("Output: [");
    for (int i = 0; i < returnSize; ++i)

```

```
{  
    printf("%d", result[i]);  
    if (i < returnSize - 1)  
        printf(", ");  
}  
printf("]\n");  
  
free(result);  
  
return 0;  
}
```

Output: [2, 1, 1, 0]

Process exited after 0.06865 seconds with return value 0
Press any key to continue . . . |

COMPLEXITY ANALYSIS:

Time Complexity:

1. Merge Sort Based Counting:

- The algorithm leverages a modified merge sort to count the number of smaller elements to the right of each element.
- Merge sort operates by recursively dividing the array into halves, sorting, and merging them.

2. Recursive Division:

- The array is divided into two halves recursively until each half contains a single element.
- The depth of recursion is determined by the logarithm of the array size, leading to $O(\log n)$ levels of recursion.

3. Merging and Counting:

- During the merge step, the algorithm merges the two halves while counting the smaller elements to the right.
- Each merge operation processes the entire array in $O(n)$ time for each level of recursion.

4. Overall Time Complexity:

- Combining the recursive division and the merge steps, the overall time complexity is $O(n \log n)$.
- This is significantly more efficient than a naive $O(n^2)$ approach, making it suitable for larger arrays.

Space Complexity:

1. Auxiliary Space:

- The algorithm requires additional space for auxiliary arrays to store indices and temporary values during the merge process.
- These auxiliary arrays are proportional to the size of the input array.

2. Overall Space Complexity:

- The space complexity is $O(n)$, where n is the size of the input array.
- This is due to the extra storage required for the auxiliary arrays used in the merge step.

BEST CASE:

Scenario:

- The array is sorted in descending order.

Example:

- Input: `nums = [6, 5, 4, 3, 2, 1]`
- Output: `[5, 4, 3, 2, 1, 0]`

Explanation:

- For 6, there are 5 smaller elements to the right (5, 4, 3, 2, 1).
- For 5, there are 4 smaller elements to the right (4, 3, 2, 1).
- For 4, there are 3 smaller elements to the right (3, 2, 1).
- For 3, there are 2 smaller elements to the right (2, 1).
- For 2, there is 1 smaller element to the right (1).
- For 1, there are no smaller elements to the right.

Complexity:

- The algorithm will still perform the merge sort operations.
- Time Complexity: $O(n \log n)$

Reason:

- Despite the order of elements, the merge sort will recursively divide the array and merge it back, ensuring the same performance as any other case.

WORST CASE:

Scenario:

- The array is sorted in ascending order.

Example:

- Input: `nums = [1, 2, 3, 4, 5, 6]`
- Output: `[0, 0, 0, 0, 0, 0]`

Explanation:

- For 1, there are no smaller elements to the right.
- For 2, there are no smaller elements to the right.
- For 3, there are no smaller elements to the right.
- For 4, there are no smaller elements to the right.
- For 5, there are no smaller elements to the right.
- For 6, there are no smaller elements to the right.

Complexity:

- The algorithm needs to count each element's smaller elements on the right.
- Time Complexity: $O(n \log n)$

Reason:

- The merge sort approach ensures that even in the worst case, the time complexity remains efficient compared to a naive $O(n^2)$ approach.

AVERAGE CASE:

Scenario:

- The array elements are in random order.

Example:

- Input: `nums = [5, 2, 6, 1]`
- Output: `[2, 1, 1, 0]`

Explanation:

- For 5, there are 2 smaller elements to the right (2, 1).
- For 2, there is 1 smaller element to the right (1).
- For 6, there is 1 smaller element to the right (1).
- For 1, there are no smaller elements to the right.

Complexity:

- The performance remains consistent with the merge sort characteristics.
- Time Complexity: $O(n \log n)$

Reason:

- Randomly ordered elements do not affect the divide-and-conquer strategy of merge sort, ensuring consistent performance.

CONCLUSION:

The problem of counting smaller numbers after self can be effectively solved using a modified merge sort algorithm. This approach provides a balance between simplicity and efficiency, ensuring an $O(n \log n)$ complexity for all cases. The provided implementation in Python demonstrates the solution, and the analysis confirms its effectiveness across different scenarios. The modified merge sort is a powerful technique for this class of problems, offering significant performance improvements over naive methods.